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For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation THE MORTON MENDES CHARITABLE FOUNDATION		A Employer identification number 46-1787457	
Number and street (or P O box number if mail is not delivered to street address) 188 MAIN ROAD		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code WESTPORT ISLAND, ME 04578		B Telephone number (see instructions)	
G Check all that apply <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 419,726		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	4	4	4	
	4 Dividends and interest from securities	9,609	9,609	9,609	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-152,689			
	b Gross sales price for all assets on line 6a	903,261			
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	-143,076	9,613	9,613	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	975	975	975	
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	1,379	1,379	1,379	
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	4,094	4,094	4,094	
	24 Total operating and administrative expenses. Add lines 13 through 23	6,448	6,448	6,448	0
	25 Contributions, gifts, grants paid	28,242			28,242
	26 Total expenses and disbursements. Add lines 24 and 25	34,690	6,448	6,448	28,242
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-177,766			
	b Net investment income (if negative, enter -0-)		3,165		
				3,165	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	44,318	31,201	31,201
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	513,522	348,866	388,525
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	557,840	380,067	419,726	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)		6,599	
	23 Total liabilities (add lines 17 through 22)		6,599	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	557,840	373,468	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)	557,840	373,468		
31 Total liabilities and net assets/fund balances (see instructions) .	557,840	380,067		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	557,840
2 Enter amount from Part I, line 27a	2	-177,766
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	380,074
5 Decreases not included in line 2 (itemize) ▶ _____	5	6,606
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	373,468

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a SEE ATTACHMENT	P		
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 320,013		498,853	-178,840
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-178,840
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	-178,840
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	21,810	467,878	0 046615
2014	28,368	497,634	0 057006
2013	25,075	542,999	0 046179
2012	23,438	506,387	0 046285
2011	24,365	476,348	0 051150
2 Total of line 1, column (d)			0 247235
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0 049447
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			422,935
5 Multiply line 4 by line 3			20,913
6 Enter 1% of net investment income (1% of Part I, line 27b)			32
7 Add lines 5 and 6			20,945
8 Enter qualifying distributions from Part XII, line 4			28,242

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	32
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	32
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	32
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	653
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	653
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	621
11	Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> 621 Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► MORTON MENDES Telephone no ► (207) 882-7402			

Located at **►** 188 MAIN ROAD WESTPORT ISLAND ME ZIP+4 **►** 04578

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? <input type="checkbox"/>	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/>	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). <input type="checkbox"/>	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to <ul style="list-style-type: none"> (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	5b		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945–5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b		No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b		
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
MORTON R MENDES 188 MAIN ROAD WESTPORT ISLAND, ME 04578	PRESIDENT 1 00	0	0	0
PEGGY STERN 2258 COVENTRY ROAD CLEVELAND HEIGHTS, OH 44118	SECRETARY 1 00	0	0	0
SCOTT MENDES 4978 E WOODCREST DRIVE ORANGE, OH 44022	TREASURER 1 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000.				▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 <div></div> <div></div> <div></div>	
2 <div></div> <div></div> <div></div>	
3 <div></div> <div></div> <div></div>	
4 <div></div> <div></div> <div></div>	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2 <div></div> <div></div> <div></div>	
All other program-related investments. See instructions. 3 <div></div> <div></div> <div></div>	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	395,165
b	Average of monthly cash balances.	1b	37,760
c	Fair market value of all other assets (see instructions).	1c	-3,549
d	Total (add lines 1a, b, and c).	1d	429,376
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	429,376
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	6,441
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	422,935
6	Minimum investment return. Enter 5% of line 5.	6	21,147

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	21,147
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	32
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	32
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	21,115
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	21,115
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	21,115

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	28,242
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	28,242
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	32
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	28,210

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				21,115
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011. 1,295				
b From 2012.				
c From 2013.				
d From 2014. 4,174				
e From 2015.				
f Total of lines 3a through e.	5,469			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 28,242				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				21,115
e Remaining amount distributed out of corpus	7,127			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	12,596			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	1,295			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	11,301			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014. 4,174				
d Excess from 2015.				
e Excess from 2016. 7,127				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	28,242
b <i>Approved for future payment</i>				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments.						
3 Interest on savings and temporary cash investments			14	4		
4 Dividends and interest from securities.			14	9,609		
5 Net rental income or (loss) from real estate						
a Debt-financed property.						
b Not debt-financed property.						
6 Net rental income or (loss) from personal property						
7 Other investment income.						
8 Gain or (loss) from sales of assets other than inventory						-152,689
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e). . .				9,613		-152,689
13 Total. Add line 12, columns (b), (d), and (e).						-143,076

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name JOSEPH E RECCHIA	Preparer's Signature	Date 2017-02-22	Check if self-employed <input type="checkbox"/>	PTIN P00172539
Firm's name ► MARTINET RECCHIA INC				Firm's EIN ► 34-1331802
Firm's address ► 5000 E 345TH ST WILLOUGHBY, OH 440944611				Phone no (440) 942-3900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
PATHFINDER 9 GALEN STREET 217 WATERTOWN, MA 02472		PUBLIC	CHARITY	2,000
GREENPEACE 702 H STREET NW 300 WASHINGTON, DC 20001		PUBLIC	CHARITY	195
GOOD SHEPHERD FOOD BANK 3121 HOTEL ROAD AUBURN, ME 04210		PUBLIC	CHARITY	2,000
DEFENDERS OF WILDLIFE 1130 17TH STREET NW WASHINGTON, DC 20036		PUBLIC	CHARITY	150
CHILDFUND INTERNATIONAL 2821 EMERYWOOD PARKWAY RICHMOND, VA 23294		PUBLIC	CHARITY	1,000
ASPCA 424 E 92ND STREET NEW YORK, NY 10128		PUBLIC	CHARITY	85
MAINE MARITIME ACADEMY 1 PLEASANT STREET CASTINE, ME 04421		PUBLIC	CHARITY	5,047
ALZHEIMER'S ASSOCIATION MAINE CHAPT 383 US ROUTE ONE SCARBOROUGH, ME 04074		PUBLIC	CHARITY	250
HORSE AND RIDER CONNECTION 7 FARM ROAD RAYMOND, ME 04071		PUBLIC	CHARITY	11,500
PARALYZED VETERANS OF AMERICA 801 EIGHTEENTH STREET NW WASHINGTON, DC 20006		PUBLIC	CHARITY	50
DISABLED VETERANS NATL FOUNDATION 1020 19TH STREET NW WASHINGTON, DC 20036		PUBLIC	CHARITY	15
EQUINE ADVOCATES PO BOX 354 CHATHAM, NY 12037		PUBLIC	CHARITY	100
WESTPORT VOLUNTEER FIRE DEPARTMENT 644 MAIN ROAD WESTPORT, ME 04578		PUBLIC	CHARITY	1,000
LINCOLN COUNTY ANIMAL SHELTER 27 ATLANTIC HIGHWAY EDGECOMB, ME 04556		PUBLIC	CHARITY	1,000
GENTLE GIANT DRAFT HORSE RESCUE 17250 OLD FREDERICK ROAD MOUNT AIRY, MD 21771		PUBLIC	CHARITY	250
Total ► 3a				28,242

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SHRINERS HOSPITAL FOR CHILDREN 12502 USF PINE DRIVE TAMPA, FL 33612		PUBLIC	CHARITY	50
WESTPORT COMMUNITY ASSOCIATION 6 FOWLES POINT ROAD WESTPORT ISLAND, ME 04578		PUBLIC	CHARITY	250
AMERICAN CHILDREN'S CANCER FNDATION 223 WALL STREET STE 369 HUNTINGTON, NY 11743		PUBLIC	CHARITY	50
MAINE SOCIETY FOR ANIMAL PROTECTION 279 RIVER ROAD WINDHAM, ME 04062		PUBLIC	CHARITY	1,500
MIDCOAST CONSERVANCY PO BOX 289 WISCASSET, ME 045780289		PUBLIC	CHARITY	250
KENNEBEC ESTUARY LAND TRUST 92 FRONT STREET BATH, ME 04530		PUBLIC	CHARITY	1,000
SEMINOLE HISTORICAL SOCIETY PO BOX 7562 SEMINOLE, FL 33775		PUBLIC	CHARITY	500
Total ▶ 3a				28,242

TY 2016 Accounting Fees Schedule

Name: THE MORTON MENDES CHARITABLE
FOUNDATION

EIN: 46-1787457

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT ACCOUNTING FEES	975	975	975	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Gain/Loss from Sale of Other Assets Schedule

Name: THE MORTON MENDES CHARITABLE
FOUNDATION

EIN: 46-1787457

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
SEE ATTACHMENT		PURCHASE			583,248	557,097			26,151	

TY 2016 Investments Corporate Stock Schedule

Name: THE MORTON MENDES CHARITABLE
FOUNDATION

EIN: 46-1787457

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MERRILL LYNCH	348,866	388,525

TY 2016 Other Decreases Schedule

Name: THE MORTON MENDES CHARITABLE
FOUNDATION

EIN: 46-1787457

Description	Amount
ESTIMATED COST ON SHORT SALES	6,606

TY 2016 Other Expenses Schedule

Name: THE MORTON MENDES CHARITABLE
FOUNDATION

EIN: 46-1787457

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
EMA FUND AND ADVISORY FEES	3,944	3,944	3,944	
EMA FUND FEE	150	150	150	

TY 2016 Other Liabilities Schedule

Name: THE MORTON MENDES CHARITABLE
FOUNDATION

EIN: 46-1787457

Description	Beginning of Year - Book Value	End of Year - Book Value
MERRILL LYNCH - CALL OPTIONS		6,599

TY 2016 Taxes Schedule

Name: THE MORTON MENDES CHARITABLE
FOUNDATION

EIN: 46-1787457

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
2016 FEDERAL ESTIMATES	628	628	628	
2015 OHIO CHARITABLE FEE	100	100	100	
2015 FEDERAL TAXES	626	626	626	
US TREASURY	25	25	25	



Fiscal Statement



MORTON MENDES CHARITABLE

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
1,400 0000	ANNUALY CAP MGMT INC	05/08/09	01/20/16	11 983 92	19,967 51	(7,983 59) L
400 0000	ANNUALY CAP MGMT INC	02/08/11	01/20/16	3,423 98	7,086 15	(3 662 17) L
184 0000	ARES CAPITAL CORP	08/22/08	01/20/16	2,395 66	16,659 78	(14,264 12) L
600 0000	CAPSTEAD MORTGAGE CP NEW	05/08/09	01/20/16	4,648 85	7,115 53	(2,468 68) L
3,000 0000	TEMPLETH EMRG MKT INC FD	09/23/93	01/20/16	26 608 80	45,000 00	(18,391 20) L
734 0000	MORGAN STNLY EMRG MKTS	02/25/02	01/20/16	5,766 78	8,259 39	(2,492 61) L
1,000 0000	COHEN & STEERS REIT & PFD	04/27/06	01/20/16	16,469 79	26,845 35	(10,375 56) L
400 0000	COHEN & STEERS REIT & PFD	08/22/06	01/20/16	6,587 93	11 608 00	(5,020 07) L
1,000 0000	CALAMOS STRAT TOT RETURN	02/10/09	01/20/16	8,079 85	5,592 84	2,487 01 L
667 0000	BLACKROCK ENGY AND RESRC	05/01/07	01/20/16	6,787 47	19,212 86	(12,425 39) L
1,000 0000	ADVENT/CLAY ENHCD G & I	04/10/07	01/20/16	7,426 76	19,701 73	(12,274 97) L
1,026 0000	BLACKROCK CORPORATE HIGH	02/10/09	01/20/16	9,431 64	7,485 34	1,946 30 L
6 000 0000	WESTERN ASSET EMRGNG	08/26/93	01/20/16	52,827 42	95,104 85	(42,277 43) L
2,186 0000	WESTERN ASSET EMRGNG	04/07/98	01/20/16	19,246 80	34,477 57	(15,230 77) L
267 0000	HATTERAS FINL CORP	05/08/09	01/20/16	2,926 27	6,409 79	(3,483 52) L
2,400 0000	ALLIANZGI CONV AND	02/15/05	01/20/16	9,240 22	36,773 14	(26,942 92) L
2,000 0000	ALLIANZGI CONVERTIBLE	07/29/03	01/20/16	9,240 03	30,185 35	(20,945 32) L
1,333 0000	ALLIANZGI CONVERTIBLE	11/10/03	01/20/16	6,158 48	21,583 05	(15,424 57) L
833 0000	VOYA GLOBAL ADVANTAGE	08/22/06	01/20/16	7,464 04	15,337 73	(7,873 69) L
1,333 0000	DEUTSCHE GLOBAL HIGH	02/25/02	01/20/16	9,165 80	8,419 67	746 13 L
1,000 0000	PUB SVC ENTERPRISE GRP	08/18/93	01/20/16	38,569 39	17,426 62	21,162 77 L
600 0000	SEADRILL LTD	04/07/14	01/20/16	1,104 04	21,166 20	(20,062 16) L
333 0000	ENERGY TRANSFER PTNRS LP	02/10/09	01/20/16	7,124 77	11,526 25	N/C
4 0000	CALL PM FEB 00091 00	02-19/16	01/28/16	379 99	320 00	59 99 S
2 0000	CALL CVS FEB 00096 00	02/19/16	01/28/16	259 99	184 00	85 99 S

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MORTON MENDES CHARITABLE

REALIZED CAPITAL GAIN AND LOSS SUMMARY

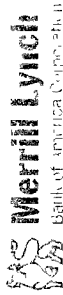
Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
1 0000	CALL CVS FEB 00096 00	02/19/16	01/28/16	135 00	93 00	42 00 ST
3 0000	CALL FB FEB 00110 00	02/22/16	01/28/16	563 99	N/A	563 99 ST
3 0000	CALL MDLZ FEB 00044 00	02/22/16	01/28/16	164 99	N/A	164 99 ST
2 0000	CALL GS FEB 00160 00	02/22/16	01/28/16	439 99	N/A	439 99 ST
1 0000	CALL BLK FEB 00310 00	02/19/16	01/28/16	499 99	150 00	349 99 ST
1 400 0000	ANNALY CAP MGMT INC	05/08/09	01/20/16	11,983 92	19,967 51	-7,983 59 LT
1,400 0000	ANNALY CAP MGMT INC	05/08/09	01/20/16	11,983 92	19,355 75	(7,371 83) LT
400 0000	ANNALY CAP MGMT INC	02/08/11	01/20/16	3,423 58	-7,086 15	-3,662 17 LT
400 0000	ANNALY CAP MGMT INC	02/08/11	01/20/16	3,423 98	6,911 37	(3,487 39) LT
4 0000	CALL MO MAR 00062 50	03/21/16	01/28/16	163 99	N/A	163 99 ST
1 0000	CALL KHC MAR 00080 00	03/21/16	01/28/16	114 99	N/A	114 99 ST
2 0000	CALL COST MAR 00155 00	03/21/16	01/28/16	429 99	N/A	429 99 ST
4 0000	CALL LLY MAR 00085 00	03/21/16	01/28/16	359 99	N/A	359 99 ST
3 0000	CALL ABC MAR 00095 00	03/21/16	01/28/16	329 99	N/A	329 99 ST
400 0000	DANAHER CORP DEL COM	01/20/16	03/21/16	35,499 23	33,785 20	1,714 03 ST
400 0000	NXP SEMICONDUCTORS NV	01/20/16	03/21/16	31,899 30	28,159 96	3,739 34 ST
2 0000	CALL FB APR 00110 00	04/14/16	02/23/16	639 99	352 00	287 99 ST
1 0000	CALL FB APR 00110 00	04/14/16	02/23/16	319 99	175 00	144 99 ST
100 0000	BLACKROCK INC	01/20/16	04/18/16	33,079 28	28,733 99	4,345 29 ST
200 0000	GOLDMAN SACHS GROUP INC	01/20/16	04/18/16	31,457 31	30,707 98	749 33 ST
231 0000	MONDELEZ INTERNATIONAL	10/19/99	04/18/16	10,036 72	1,607 77	8,428 95 LT
69 0000	MONDELEZ INTERNATIONAL	01/20/16	04/18/16	2,997 99	2,782 07	215 92 ST
667 0000	RPM INTERNATIONAL INC	02/10/09	04/14/16	30,281 13	8,619 16	21,661 97 LT
33 0000	RPM INTERNATIONAL INC	01/20/16	04/14/16	1 488 17	1,276 44	221 73 ST
4 0000	CALL PM MAY 00105 00	05/23/16	04/11/16	239 99	N/A	239 99 ST
2 0000	CALL WHR MAY 00185 00	05/23/16	03/22/16	919 98	N/A	919 98 ST
4 0000	CALL MO MAY 00065 00	05/23/16	03/22/16	127 99	N/A	127 99 ST
1 0000	CALL KHC MAY 00080 00	05/18/16	03/22/16	114 99	173 00	(58 01) ST
7 0000	CALL LUV MAY 00047 00	05/23/16	03/22/16	699 98	N/A	699 98 ST
4 0000	CALL LLY MAY 00077 50	05/23/16	03/22/16	239 99	N/A	239 99 ST
2 0000	CALL COST MAY 00160 00	05/23/16	03/22/16	241 99	N/A	241 99 ST
3 0000	CALL ABC MAY 00092 50	05/23/16	03/22/16	269 99	N/A	269 99 ST
2 0000	CALL CVS MAY 00100 00	05/20/16	02/19/16	547 99	20 00	527 99 ST
1 0000	CALL CVS MAY 00100 00	05/20/16	02/19/16	274 99	10 00	264 99 ST
2 0000	CALL EEM JUN 00037 00	06/20/16	04/19/16	91 99	N/A	91 99 ST
6 0000	CALL EEM JUN 00037 00	06/20/16	04/19/16	275 99	N/A	275 99 ST
5 0000	CALL TEVA JUN 00060 00	06/20/16	04/19/16	524 99	N/A	524 99 ST
2 0000	CALL FB JUN 00115 00	06/20/16	04/14/16	931 98	N/A	931 98 ST
1 0000	CALL FB JUN 00115 00	06/20/16	04/14/16	465 99	N/A	465 99 ST
5 0000	CALL FL JUN 00065 00	06/20/16	04/19/16	699 98	N/A	699 98 ST

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Fiscal Statement



MORTON MENDES CHARITABLE

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
2 0000	CALL AAP JUN 00170 00	06/20/16	04/19/16	719 98	N/A	719 98 ST
4 0000	CALL MO JUL 00067 50	07/15/16	05/24/16	91 99	676 00	(584 01) ST
2 0000	CALL WHR JUL 00180 00	07/18/16	05/24/16	649 99	N/A	649 99 ST
1 0000	CALL LLY JUL 00080 00	07/15/16	05/24/16	60 00	84 00	(24 00) ST
2 0000	CALL LLY JUL 00080 00	07/15/16	05/24/16	119 99	170 00	(50 01) ST
1 0000	CALL LLY JUL 00080 00	07/15/16	05/24/16	60 00	85 00	(25 00) ST
7 0000	CALL LUV JUL 00046 00	07/18/16	05/24/16	349 99	N/A	349 99 ST
3 0000	CALL CVS JUL 00100 00	07/18/16	05/24/16	434 99	N/A	434 99 ST
300 0000	AMERISOURCEBERGEN CORP	01/20/16	07/18/16	23,549 48	27,225 00	(3,675 52) ST
200 0000	COSTCO WHOLESALE CRP DEL	01/20/16	07/18/16	30,301 34	29,451 68	849 66 ST
77 0000	KRAFT (THE) HEINZ CO SHS	10/19/99	07/18/16	6,408 56	869 15	5,539 41 LT
23 0000	KRAFT (THE) HEINZ CO SHS	01/20/16	07/18/16	1,914 26	1,621 27	292 99 ST
1 0000	CALL LLY AUG 00082 50	08/22/16	07/15/16	98 99	N/A	98 99 ST
2 0000	CALL LLY AUG 00082 50	08/22/16	07/15/16	199 99	N/A	199 99 ST
1 0000	CALL LLY AUG 00082 50	08/22/16	07/15/16	99 99	N/A	99 99 ST
5 0000	CALL TEVA AUG 00055 00	08/22/16	06/22/16	474 99	N/A	474 99 ST
2 0000	CALL AAP AUG 00165 00	08/22/16	06/22/16	619 99	N/A	619 99 ST
500 0000	FOOT LOCKER INC N Y COM	04/19/16	08/22/16	29,299 36	30,918 25	(1,618 89) ST
300 0000	FACEBOOK INC	01/20/16	08/22/16	36,809 20	27 791 97	9,017 23 ST
800 0000	ISHARES MSCI EMERGING	04/19/16	08/22/16	29,383 34	28,237 52	1,145 82 ST
2 0000	CALL WHR SEP 00195 00	09/19/16	07/20/16	433 99	N/A	433 99 ST
4 0000	CALL PM SEP 00105 00	09/19/16	07/20/16	159 99	N/A	159 99 ST
4 0000	CALL MO SEP 00070 00	09/19/16	07/15/16	483 99	N/A	483 99 ST
7 0000	CALL LUV SEP 00045 00	09/19/16	07/20/16	699 98	N/A	699 98 ST
2 0000	CALL GS SEP 00165 00	09/16/16	07/20/16	709 98	368 00	341 98 ST
7 0000	CALL HES SEP 00060 00	09/19/16	07/20/16	1,224 97	N/A	1,224 97 ST
3 0000	CALL CVS SEP 00100 00	09/19/16	07/20/16	317 99	N/A	317 99 ST
300 0000	CVS HEALTH CORP	01/20/16	09/21/16	26,975 41	28,061 97	(1,086 56) ST
3 0000	CALL UTX OCT 00110 00	10/24/16	08/24/16	503 99	N/A	503 99 ST
4 0000	CALL LLY OCT 00082 50	10/24/16	08/23/16	419 99	N/A	419 99 ST
2 0000	CALL IBM OCT 00165 00	10/24/16	08/24/16	475 99	N/A	475 99 ST
5 0000	CALL TEVA OCT 00060 00	10/24/16	08/23/16	474 99	N/A	474 99 ST
2 0000	CALL AAP OCT 00165 00	10/24/16	08/23/16	559 99	N/A	559 99 ST
4 0000	CALL CRM OCT 00082 50	10/24/16	08/24/16	699 98	N/A	699 98 ST
2 0000	CALL WHR NOV 00175 00	10/26/16	09/20/16	479 99	18 00	461 99 ST
200 0000	GOLDMAN SACHS GROUP INC	07/20/16	10/24/16	34,619 25	32,365 62	2,253 63 ST
7 0000	CALL HES NOV 00055 00	11/21/16	09/20/16	314 99	N/A	314 99 ST
4 0000	CALL LLY DEC 00082 50	11/23/16	10/25/16	899 98	20 00	879 98 ST
300 0000	NXP SEMICONDUCTORS N V	09/21/16	11/21/16	27,629 40	25,047 84	2,581 56 ST
700 0000	SOUTHWEST AIRLNS CO	03/22/16	11/21/16	29,014 36	31,245 90	(2,231 54) ST

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Account No 617-02368

EMASM Fiscal Statement

MORTON MENDES CHARITABLE

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
2 0000	CALL UTX DEC 00105 00	12/16/16	10/25/16	149 99	774 00	(624 01) ST
1 0000	CALL UTX DEC 00105 00	12/16/16	10/25/16	75 00	390 00	(315 00) ST
5 0000	CALL TEVA DEC 00050 00	12/19/16	10/25/16	234 99	N/A	234 99 ST
4 0000	CALL CRM DEC 00080 00	12/19/16	10/25/16	627 99	N/A	627 99 ST
200 0000	ADVANCE AUTO PARTS INC	04/19/16	12/19/16	30,539 33	32,380 28	(1,840 95) ST
200 0000	INTL BUSINESS MACHINES	08/24/16	12/19/16	32,119 29	31,901 00	218 29 ST
400 0000	PNC FINCL SERVICES GROUP	10/26/16	12/19/16	38,747 15	37,507 32	1,239 83 ST
500 0000	TEVA PHARMACTCL INDS ADR	04/19/16	12/20/16	18,101 16	28,366 85	(10,265 69) ST
200 0000	WHIRLPOOL CORP	03/22/16	12/19/16	32,363 29	35,467 44	(3,104 15) ST

PLEASE SEE REVERSE SIDE
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 Statement Period Year Ending 12/31/16
 Account No 617-02368