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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation THE HALSTEAD FAMILY FOUNDATION INC		A Employer identification number 46-1196443	
% SMITH & HOWARD PC			
Number and street (or P O box number if mail is not delivered to street address) 888 8TH AVENUE 15-J	Room/suite	B Telephone number (see instructions) (917) 280-6121	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10019		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☒ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16)▶\$ 8,340,488	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	639	639		
	4 Dividends and interest from securities	185,671	180,019		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-46,387			
	b Gross sales price for all assets on line 6a 3,440,289				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
	b Less Cost of goods sold				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	139,923	180,658		
	13 Compensation of officers, directors, trustees, etc	83,125			83,125
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	230	0	0	230
	b Accounting fees (attach schedule)	26,085	13,042	0	13,043
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	8,415			8,415
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	11,489			11,489
	22 Printing and publications	5,000			5,000
	23 Other expenses (attach schedule)	60,441	60,437		4
	24 Total operating and administrative expenses. Add lines 13 through 23	194,785	73,479	0	121,306
	25 Contributions, gifts, grants paid	265,000			265,000
	26 Total expenses and disbursements. Add lines 24 and 25	459,785	73,479	0	386,306
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-319,862			
	b Net investment income (if negative, enter -0-)		107,179		
c Adjusted net income(if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	2,091	5,714	5,714
	2	Savings and temporary cash investments	607,406	456,519	456,519
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	6,484,212	6,461,484	7,124,087
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	915,930	763,730	746,005
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	4,383	8,163	8,163	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	8,014,022	7,695,610	8,340,488	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable.			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)	2,279	3,729	
	23	Total liabilities (add lines 17 through 22)	2,279	3,729	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	8,011,743	7,691,881	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	8,011,743	7,691,881	
31	Total liabilities and net assets/fund balances (see instructions) .	8,014,022	7,695,610		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,011,743
2	Enter amount from Part I, line 27a	2	-319,862
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	7,691,881
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	7,691,881

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a JP MORGAN 4008	P		
b JP MORGAN 4008			
c CAPITAL GAIN DIVIDENDS	P		
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,573,290		2,613,233	-39,943
b 848,775		873,443	-24,668
c			18,224
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			-39,943
b			-24,668
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-46,387
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	415,470	7,526,671	0 0552
2014	349,066	5,247,522	0 06652
2013	288,505	7,966,952	0 036213
2012	295,300	5,941,102	0 049705
2011	188,372	4,211,121	0 044732

2 Total of line 1, column (d)	2	0 25237
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 050474
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	7,253,587
5 Multiply line 4 by line 3	5	366,118
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,072
7 Add lines 5 and 6	7	367,190
8 Enter qualifying distributions from Part XII, line 4	8	386,306

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	1,072
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	1,072
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,072
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	5,122
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	5,122
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . ▶	10	4,050
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 4,050 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ GA _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► _____	13	Yes	
14	The books are in care of ► <u>SMITH & HOWARD PC</u> Telephone no ► <u>(404) 874-6244</u>			

Located at ► 271 17TH STREET NW SUITE 1600 ATLANTA GA ZIP+4 ► 30363

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	☐		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ► _____			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☒ Yes ☐ No If "Yes," list the years ► 2013, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	☐ Yes ☒ No	5b	
	Organizations relying on a current notice regarding disaster assistance check here. ►	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
	b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	☐ Yes ☒ No	6b	No
	<i>If "Yes" to 6b, file Form 8870</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
	b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	☐ Yes ☒ No	7b	

[illegible]

Total number of other employees paid over \$50,000. ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services. ►		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	6,952,399
b	Average of monthly cash balances.	1b	411,649
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	7,364,048
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	7,364,048
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	110,461
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	7,253,587
6	Minimum investment return. Enter 5% of line 5.	6	362,679

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	362,679
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	1,072
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,072
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	361,607
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	361,607
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	361,607

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	386,306
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	386,306
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	1,072
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	385,234

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				361,607
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			263,787	
b Total for prior years 2014, 2013, 2012				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 386,306				
a Applied to 2015, but not more than line 2a			263,787	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				122,519
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:	0			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions.				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions.				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017.				239,088
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a.	0			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	265,000
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	639	
4 Dividends and interest from securities. . . .			14	185,671	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	-46,387	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e). .				139,923	
13 Total. Add line 12, columns (b), (d), and (e).			13		139,923

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
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--	--	--

1a(1)		No
1a(2)		No

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1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)	No
--------------	-----------

1b(6)		No
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1c		No
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[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

* * * * *

2017-05-15

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name M CHRISTINE RATLIFF	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN P01296675
Firm's name ▶ SMITH & HOWARD PC				Firm's EIN ▶
Firm's address ▶ 271 17TH STREET SUITE 1600 ATLANTA, GA 30363				Phone no (404) 874-6244

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
DAWN BERGFORD 888 8TH AVENUE 15-J NEW YORK, NY 10019	BOARD MEMBER 0	13,990	0	0
ELAINE KLESIUS 888 8TH AVENUE 15-J NEW YORK, NY 10019	BOARD MEMBER 0	14,302	0	0
JEFF BERGFORD 888 8TH AVENUE 15-J NEW YORK, NY 10019	BOARD MEMBER 0	14,302	0	0
PATRICK KLESIUS 888 8TH AVENUE 15-J NEW YORK, NY 10019	BOARD MEMBER 0	23,457	0	0
SANDRA ALPIZAR 888 8TH AVENUE 15-J NEW YORK, NY 10019	BOARD MEMBER 0	3,917	0	0
STEPHEN KLESIUS 888 8TH AVENUE 15-J NEW YORK, NY 10019	BOARD MEMBER 0	13,157	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AUBURN UNIVERSITY FOUNDATION 317 SOUTH COLLEGE STREET AUBURN, AL 36849	NONE		GENERAL	51,700
BELLEVUE HIGH SCHOOL 201 CENTER STREET BELLEVUE, KY 41073	NONE		GENERAL	3,000
BUNCOMBE COUNTY SCHOOLS 175 BINGHAM ROAD ASHEVILLE, NC 28806	NONE		GENERAL	28,550
CAROLINA DAY SCHOOL INC 135 HENDERSONVILLE RD ASHEVILLE, NC 28803	NONE		GENERAL	45,000
GATEWAY TO INDEPENDENCE PO BOX 6023 MARYVILLE, TN 37802	NONE		GENERAL	10,000
GREENGATE SCHOOL 1300 MERIDIAN STREET N SUITE 2200 HUNTSVILLE, AL 35801	NONE		GENERAL	4,500
MARYVILLE CITY SCHOOLS 833 LAWRENCE AVE MARYVILLE, TN 37802	NONE		GENERAL	2,750
MOTHERS AWARENESS ON SCHOOL 8937 EAST BELL ROAD SUITE 202 SCOTTSDALE, AZ 85260	NONE		GENERAL	45,000
RIGHTCARE FOUNDATION 34721 N 22ND LANE PHOENIX, AZ 85086	NONE		GENERAL	20,000
SUN PUBLISHING COMPANY 99 MECHANIC STREET PAWBATUCK, CT 06379	NONE		GENERAL	4,500
NYC FIREFIGHTER 9 METROTECH CENTER 5E-10 BROOKLYN, NY 11201	NONE		GENERAL	15,000
THE AUTISM RESOURCE FOUNDATION 701 ANDREW JACKSON WAY SUITE 219 HUNTSVILLE, AL 35801	NONE		GENERAL	20,000
LAKEVIEW ELEMENTARY SCHOOL 3040 West Yucca Street Phoenix, AZ 85029	NONE		GENERAL	10,000
MOUNTAIN SKY JUNIOR HIGH 16225 N 7TH AVE PHOENIX, AZ 85023	NONE		GENERAL	5,000
Total ► 3a				265,000

TY 2016 Accounting Fees Schedule**Name:** THE HALSTEAD FAMILY FOUNDATION INC**EIN:** 46-1196443

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	26,085	13,042		13,043

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: THE HALSTEAD FAMILY FOUNDATION INC

EIN: 46-1196443

TY 2016 Investments Corporate Stock Schedule**Name:** THE HALSTEAD FAMILY FOUNDATION INC**EIN:** 46-1196443

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EQUITIES	3,950,614	4,633,445
FIXED INCOME	2,510,870	2,490,642

TY 2016 Investments - Other Schedule

Name: THE HALSTEAD FAMILY FOUNDATION INC

EIN: 46-1196443

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
HEDGE FUNDS	AT COST	763,730	746,005

TY 2016 Legal Fees Schedule**Name:** THE HALSTEAD FAMILY FOUNDATION INC**EIN:** 46-1196443

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	230			230

TY 2016 Other Assets Schedule**Name:** THE HALSTEAD FAMILY FOUNDATION INC**EIN:** 46-1196443**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
OTHER ASSETS			
INCOME RECEIVABLE	4,383	8,163	8,163

TY 2016 Other Expenses Schedule**Name:** THE HALSTEAD FAMILY FOUNDATION INC**EIN:** 46-1196443**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	60,437	60,437		
PENALTIES	4			4

TY 2016 Other Liabilities Schedule**Name:** THE HALSTEAD FAMILY FOUNDATION INC**EIN:** 46-1196443

Description	Beginning of Year - Book Value	End of Year - Book Value
PAYROLL LIABILITIES	2,279	3,729

TY 2016 Taxes Schedule**Name:** THE HALSTEAD FAMILY FOUNDATION INC**EIN:** 46-1196443

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	8,400			8,400
FOREIGN TAXES WITHHELD	15			15



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 1/1/16 to 1/31/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
12/29 1/4	Sale High Cost		CROWN HOLDINGS INC @ 51 2512 102 50 BROKERAGE 0 03 LIQUIDNET INC (ID 228368-10-6)	(2,000)	51.235	102 47	(100 63)	1 84 S*
12/30 1/5	Sale High Cost		CROWN HOLDINGS INC @ 51 6504 103.30 BROKERAGE 0 04 UBS SECURITIES LLC (ID. 228368-10-6)	(2 000)	51 63	103 26	(100.63)	2.63 S*
12/31 1/6	Sale High Cost		CROWN HOLDINGS INC @ 50.8505 50 85 BROKERAGE 0 02 GOLDMAN SACHS & CO (ID 228368-10-6)	(1 000)	50 83	50 83	(50 31)	0 52 S*
1/21 1/26	Sale High Cost		SCHWAB (CHARLES) CORP @ 24 7483 24 74 BROKERAGE 0 01 LIQUIDNET INC (ID: 808513-10-5)	(1,000)	24.73	24 73	(30 10)	(5 37) L
1/21 1/26	Sale High Cost		SCHWAB (CHARLES) CORP @ 24 5001 539 00 BROKERAGE 0 49 FRIEDMAN BILLINGS & RAMSEY (ID 808513-10-5)	(22 000)	24 478	538 51	(662.23)	(123 72) L
1/21 1/26	Sale High Cost		DU PONT (E I.) DE NEMOURS @ 53 3412 2,560 37 BROKERAGE 0 72 TAX &/OR SEC 04 SJ LEVINSON & SONS LLC (ID. 263534-10-9)	(48 000)	53.325	2,559.61	(3,271.33)	(711 72) L
1/21 1/26	Sale High Cost		DU PONT (E I.) DE NEMOURS @ 53 2757 532.75 BROKERAGE 0 15 KNIGHT EQUITY MARKETS L P (ID 263534-10-9)	(10 000)	53 26	532 60	(632.81)	(100.21) L
1/21 1/26	Sale High Cost		SCHWAB (CHARLES) CORP @ 24 475 146.85 BROKERAGE 0 09 PENSERRA SECURITIES (ID 808513-10-5)	(6 000)	24 46	146 76	(180.61)	(33.85) L
1/22 1/27	Sale High Cost		SCHWAB (CHARLES) CORP @ 25 20 100 80 BROKERAGE 0 06 CITIGROUP GLOBAL MKTS INC (ID. 808513-10-5)	(4 000)	25.185	100 74	(120.41)	(19 67) L
1/22 1/27	Sale High Cost		SCHWAB (CHARLES) CORP @ 25 3604 355 04 BROKERAGE 0 31 FRIEDMAN BILLINGS & RAMSEY (ID 808513-10-5)	(14 000)	25 338	354 73	(420 81)	(66 08) L
Total Settled Sales/Maturities/Redemptions						\$4,669.01	(\$5,720.81)	(\$1,060.62) L \$8.82 S



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 1/1/16 to 1/31/16

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
1/12 1/15	Purchase	KIMBERLY-CLARK CORP @ 125 9902 3,653.71 BROKERAGE 0.43 CITIGROUP GLOBAL MKTS INC (ID: 494368-10-3)	29 000	126.005	(3,654.14)
1/21 1/26	Purchase	PPG INDUSTRIES INC @ 92.1796 2,027 95 BROKERAGE 0.33 CITIGROUP GLOBAL MKTS INC (ID: 693506-10-7)	22 000	92.195	(2,028.28)
1/21 1/26	Purchase	PPG INDUSTRIES INC @ 92 1375 644 96 BROKERAGE 0.10 SJ LEVINSON & SONS LLC (ID: 693506-10-7)	7.000	92 151	(645.06)
1/21 1/26	Purchase	PPG INDUSTRIES INC @ 92.3169 461.58 BROKERAGE 0.07 KNIGHT EQUITY MARKETS L.P. (ID: 693506-10-7)	5.000	92.33	(461.65)
1/21 1/26	Purchase	CONOCOPHILLIPS @ 36.6852 1,870 94 BROKERAGE 0.76 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 20825C-10-4)	51 000	36.70	(1,871.70)
Total Settled Securities Purchased					(\$8,660.83)

Trade Date Est. Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Pending Securities Purchased					
1/29 2/3	Purchase	GS NOTE OF NOTES EAFE BSKT 2/23/17 200% LEV 13.51% CAP 127 02% MAXRTRN INIT LVL-1/29/16 SX5E 3045.09, UKX. 6083 79, TPX: 1432.07 (ID: 38148T-LP-2)	157,000.000	99.00	(155,430.00)



THE HALSTEAD FAMILY FDN INC. ACCT. N00584008
For the Period 2/1/16 to 2/29/16

TRADE ACTIVITY

Note: L indicates Long Term Realized Gain/Loss S indicates Short Term Realized Gain/Loss
* Settled transaction was initiated in prior statement period and settled in current statement period

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
2/4 2/4	Litigation Proc	SCHERING-PLOUGH CORP REPRESENTS PRO RATA SHARE OF THE NET SETTLEMENT FROM THE SCHERING-PLOUGH CORP CLASS ACTION. DUE N00584008 THE HALSTEAD FAMILY FDN INC. FUTURE DISBURSEMENTS MAY OCCUR, PENDING NOTICE FROM PAYING AGENT (ID: 806605-10-1)	16.51				16.51 L
2/5 2/8	Sale High Cost	JPM STRAT INC OPP FD - SEL FUND 3844 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 10 91 (ID: 4812A4-35-1)	(22,635.775)	10.91	246,956.31	(256,995.81)	(10,039.50) L
2/5 2/8	Sale High Cost	JPM MUNI INC FD - SEL FUND 3242 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 10 07 (ID 4812C1-25-6)	(17,378.352)	10.07	175,000.00	(173,248.39)	1,751.61 L
2/5 2/8	Sale High Cost	PRUDENTIAL JENN M/C GROW-Z (ID 74441C-80-8)	(6,333.162)	31.52	199,621.27	(228,117.00)	(28,495.73) L
2/5 2/10	Sale High Cost	SCHWAB (CHARLES) CORP @ 24.5369 490.73 BROKERAGE 0 30 MORGAN STANLEY & CO. LLC (ID: 808513-10-5)	(20,000)	24.522	490.43	(600.92)	(110.49) L
2/5 2/10	Sale High Cost	SCHWAB (CHARLES) CORP @ 24 4168 73 25 BROKERAGE 0 04 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 808513-10-5)	(3 000)	24.403	73.21	(90.08)	(16.87) L
2/5 2/10	Sale High Cost	SCHWAB (CHARLES) CORP @ 24 3436 1,314.55 BROKERAGE 1 21 TAX &/OR SEC.02 BARCLAYS CAPITAL LE (ID 808513-10-5)	(54,000)	24.321	1,313.32	(1,578.30)	(112.66) L (152.32) S
2/5 2/10	Sale High Cost	DISH NETWORK CORP-A @ 45 665 228 32 BROKERAGE 0.07 JEFFERIES & COMPANY (ID 25470M-10-9)	(5 000)	45.65	228.25	(212.31)	15.94 L

J.P.Morgan



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 2/1/16 to 2/29/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
2/5 2/10	Sale High Cost	CSX CORP @ 22.805 205 24 BROKERAGE 0.13 KEEFE BRUYETTE & WOODS INC (ID: 126408-10-3)	(9 000)	22 79	205 11	(251.14)	(46 03) S
2/5 2/10	Sale High Cost	CSX CORP @ 22.8007 820.82 BROKERAGE 0.81 TAX &/OR SEC 01 UBS SECURITIES LLC (ID: 126408-10-3)	(36 000)	22 778	820 00	(1,004.56)	(184.56) S
2/5 2/10	Sale High Cost	CSX CORP @ 22.7881 205.09 BROKERAGE 0.13 BLOOMBERG TRADEBOOK LLC (ID: 126408-10-3)	(9 000)	22 773	204 96	(251.14)	(46 18) S
2/5 2/10	Sale High Cost	CSX CORP @ 22.8415 388.30 BROKERAGE 0.25 SJ LEVINSON & SONS LLC (ID: 126408-10-3)	(17 000)	22 826	388 05	(473.38)	(85.33) S
2/5 2/10	Sale High Cost	SCHWAB (CHARLES) CORP @ 24.3343 121.67 BROKERAGE 0.07 SJ LEVINSON & SONS LLC (ID: 808513-10-5)	(5,000)	24 32	121.60	(142.87)	(21 27) S
2/5 2/10	Sale High Cost	CSX CORP @ 22.8553 388.54 BROKERAGE 0.25 STATE STREET GLOBAL MARKETS, LLC. (ID: 126408-10-3)	(17 000)	22 841	388.29	(469.07)	(80.78) S
2/5 2/10	Sale High Cost	HP INC @ 9.9485 2,566.71 BROKERAGE 3.87 TAX &/OR SEC 04 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 40434L-10-5)	(258,000)	9 933	2,562.80	(3,137.66)	(574 86) S
2/5 2/10	Sale High Cost	DISH NETWORK CORP-A @ 45.6644 1,278.60 BROKERAGE 0.42 TAX &/OR SEC .02 UBS SECURITIES LLC (ID: 25470M-10-9)	(28 000)	45 649	1,278.16	(1,077.66)	200 50 L
2/8 2/11	Sale High Cost	COGNIZANT TECH SOLUTIONS-A @ 53.7707 322.62 BROKERAGE 0.13 CITIGROUP GLOBAL MKTS INC (ID: 192446-10-2)	(6 000)	53.748	322.49	(407.66)	(85 17) S
2/8 2/11	Sale High Cost	COGNIZANT TECH SOLUTIONS-A @ 54.0501 54.05 BROKERAGE 0.01 CITIGROUP GLOBAL MKTS INC (ID: 192446-10-2)	(1 000)	54.04	54.04	(67.94)	(13 90) S
2/8 2/11	Sale High Cost	CSX CORP @ 22.6223 22.62 BROKERAGE 0.01 MORGAN STANLEY & CO LLC (ID: 126408-10-3)	(1,000)	22 61	22.61	(27.55)	(4.94) S



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 2/1/16 to 2/29/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
2/8 2/11	Sale High Cost	CSX CORP @ 22.5119 90 04 BROKERAGE 0.09 TD WATERHOUSE INVESTOR SERVICES IN (ID: 126408-10-3)	(4,000)	22 488	89.95	(110 21)	(20.26) S
2/8 2/11	Sale High Cost	CSX CORP @ 22.7382 932.26 BROKERAGE 0 92 TAX &/OR SEC .01 BMO NESBITT BURNS CORP (ID: 126408-10-3)	(41,000)	22 715	931.33	(1,122.01)	(190.68) S
2/8 2/11	Sale High Cost	CSX CORP @ 22.4646 179.71 BROKERAGE 0 18 MORGAN STANLEY & CO. LLC (ID: 126408-10-3)	(8,000)	22 441	179 53	(217.15)	(37 62) S
2/8 2/11	Sale High Cost	CSX CORP @ 22.6226 67 86 BROKERAGE 0.04 BLOOMBERG TRADEBOOK LLC (ID: 126408-10-3)	(3 000)	22.607	67.82	(81 10)	(13 28) S
2/8 2/11	Sale High Cost	DISH NETWORK CORP-A @ 42.1972 464.16 BROKERAGE 0 16 KNIGHT EQUITY MARKETS L.P. (ID: 25470M-10-9)	(11 000)	42 182	464 00	(407.80)	56.20 L
2/9 2/12	Sale High Cost	COGNIZANT TECH SOLUTIONS-A @ 52 7349 105 46 BROKERAGE 0 03 CITIGROUP GLOBAL MKTS INC (ID: 192446-10-2)	(2,000)	52.715	105.43	(135.89)	(30.46) S
2/9 2/12	Sale High Cost	COGNIZANT TECH SOLUTIONS-A @ 53 1947 53 19 BROKERAGE 0 02 CITIGROUP GLOBAL MKTS INC (ID: 192446-10-2)	(1 000)	53.17	53 17	(67.94)	(14.77) S
2/9 2/12	Sale High Cost	COGNIZANT TECH SOLUTIONS-A @ 52 9764 158 92 BROKERAGE 0 04 LIQUIDNET INC (ID: 192446-10-2)	(3 000)	52 96	158 88	(203 83)	(44 95) S
2/9 2/12	Sale High Cost	COGNIZANT TECH SOLUTIONS-A @ 52.8421 105.68 BROKERAGE 0 03 INVESTMENT TECHNOLOGY GROUP (ITG) (ID: 192446-10-2)	(2,000)	52 825	105.65	(135 89)	(30 24) S
2/9 2/12	Sale High Cost	COGNIZANT TECH SOLUTIONS-A @ 51.8496 207 39 BROKERAGE 0.09 CITIGROUP GLOBAL MKTS INC (ID: 192446-10-2)	(4,000)	51 825	207.30	(271 78)	(64 48) S

JP Morgan



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 2/1/16 to 2/29/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
2/9 2/12	Sale High Cost	COGNIZANT TECH SOLUTIONS-A @ 51 515 51.51 BROKERAGE 0.01 LIQUIDNET INC (ID: 192446-10-2)	(1,000)	51.50	51.50	(67.95)	(16.45) S
2/9 2/12	Sale High Cost	COGNIZANT TECH SOLUTIONS-A @ 52.3406 418 72 BROKERAGE 0.18 CITIGROUP GLOBAL MKTS INC (ID: 192446-10-2)	(8,000)	52.318	418.54	(543.44)	(124.90) S
2/9 2/12	Sale High Cost	DISH NETWORK CORP-A @ 40 7879 1,386.78 BROKERAGE 0.51 TAX &/OR SEC 02 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 25470M-10-9)	(34,000)	40 772	1,386.25	(1,249.22)	137 03 L
2/9 2/12	Sale High Cost	DISH NETWORK CORP-A @ 41 0749 657 19 BROKERAGE 0 24 TAX &/OR SEC .01 SJ LEVINSON & SONS LLC (ID: 25470M-10-9)	(16,000)	41 059	656.94	(586.54)	70.40 L
2/10 2/16	Sale High Cost	COGNIZANT TECH SOLUTIONS-A @ 53 7222 483.49 BROKERAGE 0.20 TAX &/OR SEC .01 CITIGROUP GLOBAL MKTS INC (ID: 192446-10-2)	(9,000)	53 698	483.28	(610.44)	(127.16) S
2/10 2/16	Sale High Cost	BIOMEN INC @ 252 5118 1,515 07 BROKERAGE 0 09 TAX &/OR SEC 03 RBC CAPITAL MARKETS CORPORATION (ID: 09062X-10-3)	(6 000)	252.492	1,514.95	(299.29)	1,215 66 L
2/10 2/16	Sale High Cost	ELI LILLY & CO @ 73 6697 147.33 BROKERAGE 0.04 RBC CAPITAL MARKETS CORPORATION (ID: 532457-10-8)	(2 000)	73.645	147.29	(176.52)	(29.23) S
2/10 2/16	Sale High Cost	COGNIZANT TECH SOLUTIONS-A @ 53 3042 53 30 BROKERAGE 0.01 CITIGROUP GLOBAL MKTS INC (ID: 192446-10-2)	(1,000)	53.29	53.29	(67.83)	(14.54) S
2/10 2/16	Sale High Cost	COGNIZANT TECH SOLUTIONS-A @ 53 3261 159 97 BROKERAGE 0.04 LIQUIDNET INC (ID: 192446-10-2)	(3 000)	53.31	159.93	(203.48)	(43.55) S
2/10 2/16	Sale High Cost	ELI LILLY & CO @ 74 3579 669 22 BROKERAGE 0 20 TAX &/OR SEC .01 RBC CAPITAL MARKETS CORPORATION (ID: 532457-10-8)	(9 000)	74.334	669.01	(791.46)	(122.45) S



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 2/1/16 to 2/29/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
2/10	Sale	High Cost	ELI LILLY & CO @ 74 1759 74 17 BROKERAGE 0.01	(1 000)	74.16	74.16	(87.74)	(13.58) S
2/16			MORGAN STANLEY & CO. LLC (ID: 532457-10-8)					
2/11	Sale	High Cost	COGNIZANT TECH SOLUTIONS-A @ 52 2277 313 36	(6.000)	52.205	313.23	(406.96)	(93.73) S
2/17			BROKERAGE 0.13 CITIGROUP GLOBAL MKTS INC (ID: 192446-10-2)					
2/11	Sale	High Cost	COGNIZANT TECH SOLUTIONS-A @ 52 4083 52.40	(1 000)	52.39	52.39	(67.83)	(15.44) S
2/17			BROKERAGE 0.01 LIQUIDNET INC (ID: 192446-10-2)					
2/11	Sale	High Cost	UNITED TECHNOLOGIES CORP @ 84 4542 422 27	(5 000)	84.432	422.16	(498.26)	(76.10) S
2/17			BROKERAGE 0.11 UBS SECURITIES LLC (ID: 913017-10-9)					
2/11	Sale	High Cost	UNITED TECHNOLOGIES CORP @ 84 7087 1,101.21	(13 000)	84.685	1,100.90	(1,221.89)	43.65 L (164.64) S
2/17			BROKERAGE 0.29 TAX &/OR SEC.02 UBS SECURITIES LLC (ID: 913017-10-9)					
2/11	Sale	High Cost	UNITED TECHNOLOGIES CORP @ 84 7737 84.77	(1.000)	84.76	84.76	(62.86)	21.90 L
2/17			BROKERAGE 0.01 INSTINET (ID: 913017-10-9)					
2/11	Sale	High Cost	ELI LILLY & CO @ 71 5582 214 67 BROKERAGE 0.06	(3 000)	71.537	214.61	(263.20)	(48.59) S
2/17			RBC CAPITAL MARKETS CORPORATION (ID: 532457-10-8)					
2/18	Redemption	Pro Rata	BARC EAFE REN MAT 02/18/16 2X LEV, 10.57% CAP, 21.14% MAX INITIAL LEVEL-01/30/15 SXSE 3351 44 UKX:6749.40 TPX 1415.07 TO REDEMPTION (ID: 06741U-QC-1)	(185,000,000)	84.246	155,855.00	(185,000.00)	(29,145.00) L
2/18								
2/12	Sale	High Cost	UNITED TECHNOLOGIES CORP @ 85 2887 255.86	(3.000)	85.267	255.80	(188.58)	67.22 L
2/18			BROKERAGE 0.06 UBS SECURITIES LLC (ID: 913017-10-9)					
2/12	Sale	High Cost	ELI LILLY & CO @ 71 3291 71.32 BROKERAGE 0.01	(1 000)	71.31	71.31	(87.71)	(16.40) S
2/18			CITIGROUP GLOBAL MKTS INC (ID: 532457-10-8)					



THE HALSTEAD FAMILY FDN INC. ACCT. N00584008
For the Period 2/1/16 to 2/29/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
2/12 2/18	Sale High Cost		UNITED TECHNOLOGIES CORP @ 85.8593 1,287.88 BROKERAGE 0.33 TAX &/OR SEC .02 UBS SECURITIES LLC (ID: 913017-10-9)	(15,000)	85.835	1,287.53	(942.90)	344.63 L
2/12 2/18	Sale High Cost		UNITED TECHNOLOGIES CORP @ 85.485 85.48 BROKERAGE 0.01 MORGAN STANLEY & CO. LLC (ID: 913017-10-9)	(1,000)	85.47	85.47	(62.86)	22.61 L
2/12 2/18	Sale High Cost		UNITED TECHNOLOGIES CORP @ 85.8316 85.83 BROKERAGE 0.01 MORGAN STANLEY & CO. LLC (ID: 913017-10-9)	(1,000)	85.82	85.82	(62.86)	22.96 L
2/19 2/19	Cash In Lieu		BROADCOM LTD CASH IN LIEU OF FRACTIONAL SHARES (ID: Y09827-10-9)	0.845	115.953	97.98		97.98 L
2/17 2/22	Sale High Cost		WABCO HOLDINGS INC @ 96.124 865.11 BROKERAGE 0.13 TAX &/OR SEC 01 MORGAN STANLEY & CO. LLC (ID: 92927K-10-2)	(9,000)	96.108	864.97	(1,159.54)	(294.57) S
2/17 2/22	Sale High Cost		PROCTER & GAMBLE CO/THE @ 82.5071 2,640.22 BROKERAGE 0.48 TAX &/OR SEC 05 GOLDMAN SACHS & CO (ID: 742718-10-9)	(32,000)	82.49	2,639.69	(1,962.81)	676.88 L
2/18 2/23	Sale High Cost		WABCO HOLDINGS INC @ 93.1061 1,862.12 BROKERAGE 0.30 TAX &/OR SEC 04 DEUTSCHE BANC ALEX BROWN INC (ID: 92927K-10-2)	(20,000)	93.089	1,861.78	(2,548.39)	(686.61) S
2/22 2/25	Sale High Cost		DU PONT (E.I.) DE NEMOURS @ 60.2053 1,444.92 BROKERAGE 0.36 TAX &/OR SEC 03 DEUTSCHE BANC ALEX BROWN INC (ID: 263534-10-9)	(24,000)	60.189	1,444.53	(1,421.82)	(3.09) L 25.80 S
2/22 2/25	Sale High Cost		DOW CHEMICAL CO/THE @ 47.9004 2,155.51 BROKERAGE 0.67 TAX &/OR SEC .04 UBS SECURITIES LLC (ID: 260543-10-3)	(45,000)	47.884	2,154.80	(2,414.73)	(259.93) S
2/25 2/26	Sale High Cost		JPM GLBL RES ENH INDEX FD - SEL FUND 3457 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 16.65 (ID: 46637K-51-3)	(4,864,865)	16.65	81,000.00	(87,762.17)	(6,762.17) S



THE HALSTEAD FAMILY FDN INC. ACCT. N00584008
For the Period 2/1/16 to 2/29/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
2/25	Sale		JPM MUNI INC FD - SEL FUND 3242 JP MORGAN CHASE	(15,373.793)	10.04	154,352.88	(152,815.50)	1,537.38 L
2/26	High Cost		BANK AS SHAREHOLDER SERVICING AGENT @ 10.04 (ID: 4812C1-25-6)					
2/25	Sale		JPM UNCONSTRAINED DEBT FD - SELECT FUND 2130 JP	(4,206.099)	9.51	40,000.00	(40,084.12)	(84.12) S
2/26	High Cost		MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 9.51 (ID: 48121A-29-0)					
2/25	Sale		JOHN HANCOCK INCOME FD-I (ID: 410227-83-9)	(9,389.671)	6.39	60,000.00	(60,657.27)	(657.27) S
2/26	High Cost							
2/25	Sale		OAKMARK INTERNATIONAL-I (ID: 413838-20-2)	(2,903.907)	18.94	55,000.00	(77,587.51)	(22,587.51) L
2/26	High Cost							
2/24	Sale		COLGATE-PALMOLIVE CO @ 66.362 1,526.32	(23,000)	66.338	1,525.78	(1,415.47)	110.31 L
2/29	High Cost		BROKERAGE 0.51 TAX &/OR SEC.03 WELLS FARGO SECURITIES, LLC (ID: 194162-10-3)					
2/24	Sale		COLGATE-PALMOLIVE CO @ 66.3997 730.39 BROKERAGE	(11,000)	66.384	730.22	(675.96)	54.26 L
2/29	High Cost		0.16 TAX &/OR SEC.01 KNIGHT EQUITY MARKETS L.P. (ID: 194162-10-3)					
2/24	Sale		COLGATE-PALMOLIVE CO @ 66.2937 1,060.69	(16,000)	66.269	1,060.31	(982.42)	77.89 L
2/29	High Cost		BROKERAGE 0.36 TAX &/OR SEC.02 WELLS FARGO SECURITIES, LLC (ID: 194162-10-3)					
Total Settled Sales/Maturities/Redemptions						\$1,200,607.53	(\$1,295,944.57)	(\$83,969.33) L (\$11,367.71) S



THE HALSTEAD FAMILY FDN INC. ACCT. N00584008
For the Period 3/1/16 to 3/31/16

TRADE ACTIVITY

Note L Indicates Long Term Realized Gain/Loss S Indicates Short Term Realized Gain/Loss
* Settled transaction was initiated in prior statement period and settled in current statement period

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
2/25 3/1	Sale High Cost		BANK OF AMERICA CORP @ 12 1863 926.15 BROKERAGE 1 14 TAX &/OR SEC 02 LIQUIDNET INC (ID 060505-10-4)	(76 000)	12.171	924.99	(1,243.60)	(318.61) L *
2/25 3/1	Sale High Cost		BANK OF AMERICA CORP @ 12.2567 539 29 BROKERAGE 0.66 TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID 060505-10-4)	(44 000)	12.241	538.62	(671.92)	(133.30) L *
2/25 3/1	Sale High Cost		BANK OF AMERICA CORP @ 12.2976 983 80 BROKERAGE 1 20 TAX &/OR SEC 02 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 060505-10-4)	(80 000)	12.282	982.58	(1,186.72)	(204.14) L *
2/25 3/1	Sale High Cost		CITIGROUP INC @ 38 3826 1,919.13 BROKERAGE 0 75 TAX &/OR SEC 04 MORGAN STANLEY & CO LLC (ID 172967-42-4)	(50,000)	38.367	1,918.34	(2,550.42)	(15.81) L * (616.27) S
2/25 3/1	Sale High Cost		BANK OF AMERICA CORP @ 12.215 12 21 BROKERAGE 0.01 STATE STREET GLOBAL MARKETS, LLC (ID 060505-10-4)	(1,000)	12.20	12.20	(14.72)	(2.52) L *
2/26 3/2	Sale High Cost		ANADARKO PETROLEUM CORP @ 38.7463 2,014.80 BROKERAGE 0 78 TAX &/OR SEC .04 MERRILL LYNCH PIERCE FENNER & SMIT (ID 032511-10-7)	(52 000)	38.73	2,013.98	(3,849.90)	(1,835.92) L *
2/26 3/2	Sale High Cost		HESS CORP @ 42 9269 2,704.39 BROKERAGE 0 94 TAX &/OR SEC .05 MERRILL LYNCH PIERCE FENNER & SMIT (ID 42809H-10-7)	(63,000)	42.911	2,703.40	(3,219.31)	(515.91) S *
2/26 3/2	Sale High Cost		CONOCOPHILLIPS @ 34 2728 1,747.91 BROKERAGE 0.76 TAX &/OR SEC .03 UBS SECURITIES LLC (ID 20825C-10-4)	(51 000)	34.257	1,747.12	(1,871.70)	(124.58) S *

J.P.Morgan



THE HALSTEAD FAMILY FDN INC. ACCT. N00584008
For the Period 3/1/16 to 3/31/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
2/26 3/2	Sale High Cost		VALERO ENERGY CORP @ 60.7163 1,760.77 BROKERAGE 0.43 TAX &/OR SEC.03 UBS SECURITIES LLC (ID: 91913Y-10-0)	(29,000)	60.70	1,760.31	(1,972.00)	(211.69) S*
2/26 3/2	Sale High Cost		VALERO ENERGY CORP @ 60.3768 362.26 BROKERAGE 0.09 CITIGROUP GLOBAL MKTS INC (ID: 91913Y-10-0)	(6,000)	60.362	362.17	(407.90)	(45.73) S*
2/26 3/3	Sale High Cost		ANADARKO PETROLEUM CORP @ 38.96 506.48 BROKERAGE 0.13 TAX &/OR SEC.01 LUMINEX TRADING AND ANALYTICS LLC (ID: 032511-10-7)	(13,000)	38.949	506.34	(855.14)	(348.80) L*
2/29 3/3	Sale High Cost		WELLS FARGO & CO @ 47.0057 3,713.45 BROKERAGE 1.18 TAX &/OR SEC.08 RBC CAPITAL MARKETS CORPORATION (ID: 949746-10-1)	(79,000)	46.99	3,712.19	(3,496.68)	444.06 L* (228.55) S
3/7 3/10	Sale High Cost		CHEVRON CORP @ 88.459 1,149.96 BROKERAGE 0.19 TAX &/OR SEC.02 KNIGHT EQUITY MARKETS L.P. (ID: 166764-10-0)	(13,000)	88.442	1,149.75	(1,218.42)	(68.67) S
3/10 3/15	Sale High Cost		BROADCOM LTD @ 144.6019 2,313.63 BROKERAGE 0.24 TAX &/OR SEC.05 RBC CAPITAL MARKETS CORPORATION (ID: Y09827-10-9)	(16,000)	144.584	2,313.34	(1,289.80)	1,023.54 L
3/10 3/15	Sale High Cost		BROADCOM LTD @ 143.494 2,008.91 BROKERAGE 0.21 TAX &/OR SEC.04 RBC CAPITAL MARKETS CORPORATION (ID: Y09827-10-9)	(14,000)	143.476	2,008.66	(1,128.57)	880.09 L
3/16 3/21	Sale High Cost		SCHLUMBERGER LTD @ 72.9089 3,062.17 BROKERAGE 0.63 TAX &/OR SEC.06 KNIGHT EQUITY MARKETS L.P. (ID: 806957-10-8)	(42,000)	72.892	3,061.48	(3,518.45)	(136.60) L (320.37) S
3/22 3/28	Sale High Cost		ABBOTT LABORATORIES @ 41.0244 1,682.00 BROKERAGE 0.61 TAX &/OR SEC.03 GOLDMAN SACHS & CO (ID: 002824-10-0)	(41,000)	41.009	1,681.36	(1,947.62)	(266.26) S
3/22 3/28	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25.0055 150.03 BROKERAGE 0.13 WEEDEEN AND CO (ID: 198280-10-9)	(6,000)	24.983	149.90	(186.38)	(36.48) S



THE HALSTEAD FAMILY FDN INC. ACCT. N00584008
For the Period 3/1/16 to 3/31/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
3/23 3/29	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 00 200 00 BROKERAGE 0 18 FRIEDMAN BILLINGS & RAMSEY (ID 198280-10-9)	(8.000)	24.978	199.82	(248.50)	(48.68) S
3/23 3/29	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 03 150 18 BROKERAGE 0 13 MERRILL LYNCH PIERCE FENNER & SMIT (ID 198280-10-9)	(6.000)	25.008	150.05	(186.38)	(36.33) S
3/23 3/29	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25.0235 125 11 BROKERAGE 0 11 WEEDEN AND CO (ID 198280-10-9)	(5.000)	25.00	125.00	(154.47)	(29.47) S
3/23 3/29	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 015 100 06 BROKERAGE 0.06 GOLDMAN SACHS & CO (ID 198280-10-9)	(4.000)	25.00	100.00	(123.09)	(23.09) S
3/23 3/29	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 0266 75 07 BROKERAGE 0 04 MORGAN STANLEY & CO LLC (ID 198280-10-9)	(3.000)	25.01	75.03	(92.32)	(17.29) S
3/23 3/29	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 0173 850 58 BROKERAGE 0 76 TAX &/OR SEC 01 FRIEDMAN BILLINGS & RAMSEY (ID. 198280-10-9)	(34.000)	24.994	849.81	(1,040.50)	(190.69) S
3/23 3/29	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 0263 150 15 BROKERAGE 0 13 WEEDEN AND CO (ID: 198280-10-9)	(6.000)	25.003	150.02	(182.67)	(32.65) S
3/24 3/30	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 0349 150 20 BROKERAGE 0.13 FRIEDMAN BILLINGS & RAMSEY (ID 198280-10-9)	(6.000)	25.012	150.07	(182.66)	(32.59) S
3/24 3/30	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25.0413 75.12 BROKERAGE 0.06 JEFFERIES & COMPANY (ID 198280-10-9)	(3.000)	25.02	75.06	(91.33)	(16.27) S
3/28 3/31	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 0407 50 08 BROKERAGE 0 03 GOLDMAN SACHS & CO (ID 198280-10-9)	(2.000)	25.025	50.05	(60.89)	(10.84) S



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 3/1/16 to 3/31/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
3/28 3/31	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 0392 25 03 BROKERAGE 0.01 STATE STREET GLOBAL MARKETS, LLC. (ID: 198280-10-9)	(1.000)	25.02	25.02	(30.45)	(5.43) S
3/28 3/31	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 0501 150.30 BROKERAGE 0.13 FRIEDMAN BILLINGS & RAMSEY (ID: 198280-10-9)	(6.000)	25.028	150.17	(182.56)	(32.39) S
3/28 3/31	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25.0316 50.06 BROKERAGE 0.03 CREDIT AGRICOLE SECURITIES (ID: 198280-10-9)	(2.000)	25.015	50.03	(60.85)	(10.82) S
Total Settled Sales/Maturities/Redemptions						\$29,696.86	(\$33,265.92)	(\$648.01) L (\$2,921.05) S

Trade Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settle Date					
Settled Securities Purchased					
2/25 3/1	Purchase	MORGAN STANLEY @ 24 0967 72.29 BROKERAGE 0 04 LIQUIDNET INC (ID: 617446-44-8)	3 000	24.11	(72.33) *
2/25 3/1	Purchase	MORGAN STANLEY @ 24 0864 24.08 BROKERAGE 0 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 617446-44-8)	1 000	24.09	(24.09) *
2/25 3/1	Purchase	MORGAN STANLEY @ 24 2852 412.84 BROKERAGE 0.25 LIQUIDNET INC (ID: 617446-44-8)	17 000	24.299	(413.09) *
2/25 3/1	Purchase	MORGAN STANLEY @ 24 6088 147.65 BROKERAGE 0 09 LIQUIDNET INC (ID: 617446-44-8)	6 000	24.623	(147.74) *
2/25 3/1	Purchase	MORGAN STANLEY @ 24.28 48.56 BROKERAGE 0.04 DEUTSCHE BANC ALEX BROWN INC (ID: 617446-44-8)	2 000	24.30	(48.60) *



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 3/1/16 to 3/31/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
3/28 3/31	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 0392 25 03 BROKERAGE 0.01 STATE STREET GLOBAL MARKETS, LLC. (ID: 198280-10-9)	(1.000)	25.02	25.02	(30.45)	(5.43) S
3/28 3/31	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 0501 150.30 BROKERAGE 0.13 FRIEDMAN BILLINGS & RAMSEY (ID: 198280-10-9)	(6.000)	25.028	150.17	(182.56)	(32.39) S
3/28 3/31	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25.0316 50.06 BROKERAGE 0.03 CREDIT AGRICOLE SECURITIES (ID: 198280-10-9)	(2.000)	25.015	50.03	(60.85)	(10.82) S
Total Settled Sales/Maturities/Redemptions						\$29,696.86	(\$33,265.92)	(\$648.01) L (\$2,921.05) S

Trade Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settle Date					
Settled Securities Purchased					
2/25 3/1	Purchase	MORGAN STANLEY @ 24 0967 72.29 BROKERAGE 0 04 LIQUIDNET INC (ID: 617446-44-8)	3 000	24.11	(72.33) *
2/25 3/1	Purchase	MORGAN STANLEY @ 24 0864 24.08 BROKERAGE 0 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 617446-44-8)	1 000	24.09	(24.09) *
2/25 3/1	Purchase	MORGAN STANLEY @ 24 2852 412.84 BROKERAGE 0.25 LIQUIDNET INC (ID: 617446-44-8)	17 000	24.299	(413.09) *
2/25 3/1	Purchase	MORGAN STANLEY @ 24 6088 147.65 BROKERAGE 0 09 LIQUIDNET INC (ID: 617446-44-8)	6 000	24.623	(147.74) *
2/25 3/1	Purchase	MORGAN STANLEY @ 24.28 48.56 BROKERAGE 0.04 DEUTSCHE BANC ALEX BROWN INC (ID: 617446-44-8)	2 000	24.30	(48.60) *



THE HALSTEAD FAMILY FDN INC. ACCT N00584008
For the Period 4/1/16 to 4/30/16

Settle Date	Type	Description	Quantity		Amount
	Selection Method		Cost		
Payments and Transfers					
4/1	ACH Payment	TRANSFERRED BY ACH TO JPMORGAN CHASE BANK FAO PATRICK A KLESIOUS AS REQUESTED			(1,528.91)
Total Payments and Transfers					(\$5,033.20)

TRADE ACTIVITY

Note L indicates Long Term Realized Gain/Loss S indicates Short Term Realized Gain/Loss
* Settled transaction was initiated in prior statement period and settled in current statement period
** Realized Gain/Loss and tax cost are shown as "N/A" in cases where we do not have appropriate cost information for all tax lots comprising the sale Please contact your J P Morgan team for additional information

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
3/29 4/1	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 08 75 24 BROKERAGE 0.06 UBS SECURITIES LLC (ID 198280-10-9)	(3 000)	25.06	75.18	(82.61)	(7.43) L *
3/29 4/1	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 0606 100 24 BROKERAGE 0.09 FRIEDMAN BILLINGS & RAMSEY (ID 198280-10-9)	(4 000)	25.038	100.15	(110.15)	(10.00) L *
3/29 4/1	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 055 25 05 BROKERAGE 0.01 GOLDMAN SACHS & CO (ID 198280-10-9)	(1 000)	25.04	25.04	(27.54)	(2.50) L *
3/29 4/1	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 073 50 14 BROKERAGE 0.04 UBS SECURITIES LLC (ID 198280-10-9)	(2 000)	25.05	50.10	(55.07)	(4.97) L *



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 4/1/16 to 4/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
3/29 4/1	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 08 50 16 BROKERAGE 0 04 FRIEDMAN BILLINGS & RAMSEY (ID 198280-10-9)	(2 000)	25 06	50 12	(55 07)	(4 95) L *
3/30 4/4	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 1082 25 10 BROKERAGE 0 02 UBS SECURITIES LLC (ID 198280-10-9)	(1 000)	25 08	25 08	(27 54)	(2 46) L *
3/30 4/4	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 17 25 17 BROKERAGE 0 02 UBS SECURITIES LLC (ID 198280-10-9)	(1 000)	25 15	25 15	(27 54)	(2 39) L *
3/30 4/4	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 1006 50 20 BROKERAGE 0 04 FRIEDMAN BILLINGS & RAMSEY (ID 198280-10-9)	(2 000)	25 08	50 16	(55 07)	(4 91) L *
3/30 4/4	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 2001 25 20 BROKERAGE 0 02 UBS SECURITIES LLC (ID 198280-10-9)	(1 000)	25 18	25 18	(27 54)	(2 36) L *
3/30 4/4	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 20 25 20 BROKERAGE 0 02 FRIEDMAN BILLINGS & RAMSEY (ID 198280-10-9)	(1 000)	25 18	25 18	(27 54)	(2 36) L *
3/30 4/4	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 1759 25 17 BROKERAGE 0 01 GOLDMAN SACHS & CO (ID 198280-10-9)	(1 000)	25 16	25 16	(27 54)	(2 38) L *
3/30 4/4	Sale High Cost		CALIFORNIA RESOURCES CORP @ 1 1432 24 00 BROKERAGE 0 21 KNIGHT EQUITY MARKETS L P (ID 13057Q-10-7)	(21 000)	1 133	23 79	N/A **	N/A *
3/30 4/4	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 1782 276 96 BROKERAGE 0 24 CRT CAPITAL GROUP LLC (ID 198280-10-9)	(11 000)	25 156	276 72	(300 14)	(23 42) L *



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 4/1/16 to 4/30/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
3/30 4/4	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 1782 25 17 BROKERAGE 0 02 CRT CAPITAL GROUP LLC (ID 198280-10-9)	(1 000)	25 15	25 15	(27 20)	(2 05) L *
3/30 4/4	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 18 125 90 BROKERAGE 0 11 FRIEDMAN BILLINGS & RAMSEY (ID 198280-10-9)	(5 000)	25 158	125 79	(135 68)	(9 89) L *
3/30 4/4	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 19 25 19 BROKERAGE 0 02 UBS SECURITIES LLC (ID 198280-10-9)	(1 000)	25 17	25 17	(26 89)	(1 72) L *
3/30 4/4	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 19 125 95 BROKERAGE 0 11 UBS SECURITIES LLC (ID 198280-10-9)	(5 000)	25 168	125 84	(134 45)	(8 61) L *
3/31 4/5	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 20 25 20 BROKERAGE 0 02 UBS SECURITIES LLC (ID 198280-10-9)	(1 000)	25 18	25 18	(26 89)	(1 71) L *
3/31 4/5	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 20 126 00 BROKERAGE 0 11 UBS SECURITIES LLC (ID 198280-10-9)	(5 000)	25 178	125 89	(134 44)	(8 55) L *
3/31 4/5	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 20 100 80 BROKERAGE 0 09 FRIEDMAN BILLINGS & RAMSEY (ID 198280-10-9)	(4 000)	25 178	100 71	(107 55)	(6 84) L *
3/31 4/5	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 1205 50 24 BROKERAGE 0 04 UBS SECURITIES LLC (ID 198280-10-9)	(2 000)	25 10	50 20	(48 15)	2 05 L *
3/31 4/5	Sale High Cost	COLUMBIA PIPELINE GROUP -W/I @ 25 1205 577 77 BROKERAGE 0 51 TAX &/OR SEC 01 UBS SECURITIES LLC (ID 198280-10-9)	(23 000)	25 098	577 25	(465 18)	112 07 L *

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THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 4/1/16 to 4/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
3/31 4/5	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 18 201 44 BROKERAGE 0 18 FRIEDMAN BILLINGS & RAMSEY (ID 198280-10-9)	(8 000)	25 158	201 26	(160 06)	41 20 L*
3/31 4/5	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 18 25 18 BROKERAGE 0 02 FRIEDMAN BILLINGS & RAMSEY (ID 198280-10-9)	(1 000)	25 16	25 16	(20 01)	5 15 L*
4/1 4/6	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 12 125 60 BROKERAGE 0 11 CRT CAPITAL GROUP LLC (ID 198280-10-9)	(5 000)	25 098	125 49	(100 03)	25 46 L
4/1 4/6	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 12 100 48 BROKERAGE 0 09 UBS SECURITIES LLC (ID 198280-10-9)	(4 000)	25 098	100 39	(75 09)	25 30 L
4/1 4/6	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 1121 50 22 BROKERAGE 0 04 UBS SECURITIES LLC (ID 198280-10-9)	(2 000)	25 09	50 18	(34 92)	15 26 L
4/1 4/6	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 1121 376 68 BROKERAGE 0 33 UBS SECURITIES LLC (ID 198280-10-9)	(15 000)	25 09	376 35	(261 81)	114 54 L
4/4 4/7	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 1364 25 13 BROKERAGE 0 01 GOLDMAN SACHS & CO (ID 198280-10-9)	(1 000)	25 12	25 12	(17 45)	7 67 L
4/4 4/7	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 125 25 12 BROKERAGE 0 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID 198280-10-9)	(1 000)	25 11	25 11	(17 45)	7 66 L
4/4 4/7	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 1389 351 94 BROKERAGE 0 31 UBS SECURITIES LLC (ID 198280-10-9)	(14 000)	25 116	351 63	(243 54)	108 09 L



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
4/4 4/7	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 1389 50 27 BROKERAGE 0 04 UBS SECURITIES LLC (ID 198280-10-9)	(2 000)	25 115	50 23	(34 78)	15 45 L
4/4 4/7	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 1254 50 25 BROKERAGE 0 03 KNIGHT EQUITY MARKETS L P (ID 198280-10-9)	(2 000)	25 11	50 22	(34 76)	15 46 L
4/4 4/7	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 13 75 39 BROKERAGE 0 06 FRIEDMAN BILLINGS & RAMSEY (ID 198280-10-9)	(3 000)	25 11	75 33	(52 12)	23 21 L
4/4 4/7	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 1424 75 42 BROKERAGE 0 06 UBS SECURITIES LLC (ID 198280-10-9)	(3 000)	25 12	75 36	(52 13)	23 23 L
4/5 4/8	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 1416 50 28 BROKERAGE 0 04 UBS SECURITIES LLC (ID 198280-10-9)	(2 000)	25 12	50 24	(34 75)	15 49 L
4/5 4/8	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 1416 527 97 BROKERAGE 0 47 TAX &/OR SEC 01 UBS SECURITIES LLC (ID 198280-10-9)	(21 000)	25 119	527 49	(362 71)	164 78 L
4/5 4/8	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 10 25 10 BROKERAGE 0 02 UBS SECURITIES LLC (ID 198280-10-9)	(1 000)	25 08	25 08	(17 26)	7 82 L
4/5 4/8	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 10 75 30 BROKERAGE 0 06 UBS SECURITIES LLC (ID 198280-10-9)	(3 000)	25 08	75 24	(51 77)	23 47 L
4/6 4/11	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 15 50 30 BROKERAGE 0 04 UBS SECURITIES LLC (ID 198280-10-9)	(2 000)	25 13	50 26	(34 52)	15 74 L



THE HALSTEAD FAMILY FDN INC. ACCT. N00584008
For the Period 4/1/16 to 4/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
4/6 4/11	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 15 25 15 BROKERAGE 0 02 UBS SECURITIES LLC (ID 198280-10-9)	(1 000)	25 13	25 13	(17 26)	7 87 L
4/6 4/11	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 15 25 15 BROKERAGE 0 02 UBS SECURITIES LLC (ID 198280-10-9)	(1 000)	25 13	25 13	(17 26)	7 87 L
4/6 4/11	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 15 25 15 BROKERAGE 0 04 UBS SECURITIES LLC (ID 198280-10-9)	(2 000)	25 13	50 26	(34 52)	15 74 L
4/6 4/11	Sale High Cost		WELLS FARGO & CO @ 48 04 44 1,681 55 BROKERAGE 0 52 TAX &/OR SEC 03 SJ LEVINSON & SONS LLC (ID 949746-10-1)	(35 000)	48 029	1,681 00	(953 61)	727 39 L
4/7 4/12	Sale High Cost		COLUMBIA PIPELINE GROUP -W/I @ 25 16 4 50 32 BROKERAGE 0 04 UBS SECURITIES LLC (ID 198280-10-9)	(2 000)	25 14	50 28	(34 51)	15 77 L
4/7 4/12	Sale High Cost		ALLERGAN PLC @ 238 32 57 238 32 BROKERAGE 0 01 MORGAN STANLEY & CO LLC (ID G0177J-10-8)	(1 000)	238 31	238 31	(331 16)	(92 85) S
4/7 4/12	Sale High Cost		ALLERGAN PLC @ 244 19 56 1,709 36 BROKERAGE 0 10 TAX &/OR SEC 03 SJ LEVINSON & SONS LLC (ID G0177J-10-8)	(7 000)	244 176	1,709 23	(2,289 85)	(580 62) S
4/7 4/12	Sale High Cost		ALLERGAN PLC @ 243 09 69 1,215 48 BROKERAGE 0 07 TAX &/OR SEC 02 UBS SECURITIES LLC (ID G0177J-10-8)	(5 000)	243 078	1,215 39	(1,514 54)	(299 15) S
4/8 4/13	Sale High Cost		HUMANA INC @ 171 04 46 513 13 BROKERAGE 0 04 TAX &/OR SEC 01 INSTINET (ID 444859-10-2)	(3 000)	171 027	513 08	(256 70)	256 38 L
4/8 4/13	Sale High Cost		HUMANA INC @ 170 71 01 341 42 BROKERAGE 0 03 BLOOMBERG TRADEBOOK LLC (ID 444859-10-2)	(2 000)	170 695	341 39	(155 59)	185 80 L



THE HALSTEAD FAMILY FDN INC. ACCT. N00584008
For the Period 4/1/16 to 4/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
4/11	Sale		HUMANA INC @ 168 7835 168 78 BROKERAGE 0 02	(1 000)	168 76	168 76	(70 03)	98 73 L
4/14	High Cost		GOLDMAN SACHS & CO (ID 444859-10-2)					
4/15	Cash In Lieu		CALIFORNIA RESOURCES CORP CASH IN LIEU OF FRACTIONAL SHARES (ID 13057Q-10-7)	0 251	1 315	0 33		0 33 L
4/15								
4/12	Sale		HUMANA INC @ 168 5894 168 58 BROKERAGE 0 01	(1 000)	168 57	168 57	(70 03)	98 54 L
4/15	High Cost		KNIGHT EQUITY MARKETS L P (ID 444859-10-2)					
4/12	Sale		HUMANA INC @ 169 2714 846 35 BROKERAGE 0 11 TAX	(5 000)	169 246	846 23	(350 15)	496 08 L
4/15	High Cost		&/OR SEC 01 JEFFERIES & COMPANY (ID 444859-10-2)					
4/18	Sale		PACCAR INC @ 55 8708 55 87 BROKERAGE 0 01	(1 000)	55 86	55 86	(43 09)	12 77 L
4/20	High Cost		MERRILL LYNCH PIERCE FENNER & SMIT (ID 693718-10-8)					
4/18	Sale		PACCAR INC @ 55 8184 1,283 82 BROKERAGE 0 51	(23 000)	55 795	1,283 29	(872 01)	411 28 L
4/20	High Cost		TAX &/OR SEC 02 UBS SECURITIES LLC (ID 693718-10-8)					
4/18	Sale		APPLE INC @ 107 9991 3,239 97 BROKERAGE 0 45	(30 000)	107 982	3,239 45	(2,326 71)	912 74 L
4/21	High Cost		TAX &/OR SEC 07 DEUTSCHE BANC ALEX BROWN INC (ID C37833-10-0)					
4/18	Sale		HARTFORD FINANCIAL SVCS GRP @ 45 9881 2,207 42	(48 000)	45 972	2,206 66	(1,528 41)	678 25 L
4/21	High Cost		BROKERAGE 0 72 TAX &/OR SEC 04 DEUTSCHE BANC ALEX BROWN INC (ID 416515-10-4)					
4/19	Sale		PACCAR INC @ 56 244 337 46 BROKERAGE 0 13	(6 000)	56 222	337 33	(222 83)	114 50 L
4/22	High Cost		DEUTSCHE BANC ALEX BROWN INC (ID 693718-10-8)					
4/19	Sale		PACCAR INC @ 56 0477 784 66 BROKERAGE 0 21 TAX	(14 000)	56 031	784 44	(519 92)	264 52 L
4/22	High Cost		&/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID 693718-10-8)					
4/20	Sale		PACCAR INC @ 56 5182 113 03 BROKERAGE 0 03	(2 000)	56 50	113 00	(74 28)	38 72 L
4/25	High Cost		CITIGROUP GLOBAL MKTS INC (ID 693718-10-8)					



THE HALSTEAD FAMILY FDN INC. ACCT. N00584008
For the Period 4/1/16 to 4/30/16

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
4/20 4/25	Sale High Cost	PACCAR INC @ 56 49 338 94 BROKERAGE 0 13 DEUTSCHE BANC ALEX BROWN INC (ID 693718-10-8)	(6 000)	56 468	338 81	(206 23)	132 58 L
4/21 4/26	Sale High Cost	COMCAST CORP-CLASS A @ 60 5754 1.696 11 BROKERAGE 0 42 TAX &/OR SEC 03 KNIGHT EQUITY MARKETS L P (ID 20030N-10-1)	(28 000)	60 559	1,695 66	(1,550 94)	87 15 L 57 57 S
4/21 4/26	Sale High Cost	BROADCOM LTD @ 152 45 457 35 BROKERAGE 0 04 BARCLAYS CAPITAL LE (ID Y09827-10-9)	(3 000)	152 437	457 31	(241 84)	215 47 L
4/21 4/26	Sale High Cost	BROADCOM LTD @ 151 7728 3,035 45 BROKERAGE 0 30 TAX &/OR SEC 06 DEUTSCHE BANC ALEX BROWN INC (ID Y09827-10-9)	(20 000)	151 755	3,035 09	(1,612 25)	1,422 84 L
4/26 4/27	Sale High Cost	INV BALANCED-RISK ALLOC-Y (ID 00141V-69-7)	(7,477 859)	10 87	81,284 33	(92,426 34)	(11,142 01) L
4/25 4/28	Sale High Cost	UNITED CONTINENTAL HOLDINGS @ 50 2334 2,210 26 BROKERAGE 0 66 TAX &/OR SEC 04 GOLDMAN SACHS & CO (ID 910047-10-9)	(44 000)	50 217	2,209 56	(2,181 50)	44 83 L (16 77) S
Total Settled Sales/Maturities/Redemptions					\$108,292.21	(\$113,436.50)	(\$4,236.26) L (\$931.82) S

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
3/30 4/4	Purchase	CHARTER COMMUNICATION-A @ 202 7391 1,216 43 BROKERAGE 0 09 RBC CAPITAL MARKETS CORPORATION (ID 16117M-30-5)	6 000	202 753	(1,216 52) *



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 5/1/16 to 5/31/16

Notes * Transaction Market Value is representative of the prior trading day's market value This is for informational purposes only and is not to be used for any financial or tax purposes The Transaction Market Value shown is in USD

Settle Date	Type	Selection Method	Description	Quantity		Transaction Market Value *
				Cost	Proceeds	
5/18	Exchange		CHARTER COMMUNICATION-A MANDATORY EXCHANGE - HOLDERS RECEIVE 0.9042 OF A SHARE OF CHARTER COMMUNICATIONS INC CUSIP 16119P108, FOR EACH CLASS-A SHARE HELD (ID 16117M-30-5)	(23 000) 3,977 55		(5,230 43)

Securities Transferred Out

TRADE ACTIVITY

Note L Indicates Long Term Realized Gain/Loss S Indicates Short Term Realized Gain/Loss
* Settled transaction was initiated in prior statement period and settled in current statement period

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
4/29 5/4	Sale High Cost	CBS CORP-CLASS B NON VOTING @ 55 7148 390 00 BROKERAGE 0 10 GOLDMAN SACHS & CO (ID 124857-20-2)	(7 000)	55 70	389 90	(435 89)	(45 99) L *
4/29 5/4	Sale High Cost	CBS CORP-CLASS B NON VOTING @ 55 5833 1,167 24 BROKERAGE 0 31 TAX &/OR SEC 02 SJ LEVINSON & SONS LLC (ID 124857-20-2)	(21 000)	55 567	1,166 91	(1,262 05)	(95 14) L *
4/29 5/4	Sale High Cost	CBS CORP-CLASS B NON VOTING @ 55 7018 612 71 BROKERAGE 0 16 TAX &/OR SEC 01 SJ LEVINSON & SONS LLC (ID 124857-20-2)	(11 000)	55 695	612 54	(628 47)	(15 93) L *
5/19 5/24	Sale High Cost	CHEVRON CORP @ 99 8265 3,493 92 BROKERAGE 0 52 TAX &/OR SEC 07 CREDIT SUISSE FIRST BOSTON LLC (ID 166764-10-0)	(35 000)	99 809	3,493 33	(2,944 94)	548 39 S

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THE HALSTEAD FAMILY FDN INC. ACCT N00584008-
For the Period 5/1/16 to 5/31/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
5/19	Sale		CHEVRON CORP @ 99.785 299 35 BROKERAGE 0 04 SJ	(3 000)	99 77	299 31	(232 00)	67 31 S
5/24	High Cost		LEVINSON & SONS LLC (ID 166764-10-0)					
5/19	Sale		CHEVRON CORP @ 99.7925 898 13 BROKERAGE 0 13	(9 000)	99 777	897 99	(695 99)	202 00 S
5/24	High Cost		TAX &/OR SEC 01 KNIGHT EQUITY MARKETS L P (ID, 166764-10-0)					
5/20	Sale		CHEVRON CORP @ 99.8061 2,195 73 BROKERAGE 0 33	(22 000)	99 789	2,195 36	(1,700 85)	494 41 S
5/25	High Cost		TAX &/OR SEC 04 KNIGHT EQUITY MARKETS L P (ID 166764-10-0)					
Total Settled Sales/Maturities/Redemptions						\$9,055.34	(\$7,900.29)	(\$157.06) L \$1,312.11 S

Trade Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settle Date					
Settled Securities Purchased					
4/28	Purchase	ALLEGION PLC @ 65 7863 65 78 BROKERAGE 0 02 UBS	1 000	65 80	(65 80) *
5/3		SECURITIES LLC (ID G0176J-10-9)			
4/28	Purchase	ALLEGION PLC @ 65 7584 65.75 BROKERAGE 0 01	1 000	65 76	(65 76) *
5/3		LIQUIDNET INC (ID, G0176J-10-9)			
4/29	Purchase	ALLEGION PLC @ 64 8255 64 82 BROKERAGE 0 01	1 000	64 83	(64 83) *
5/4		MERRILL LYNCH PIERCE FENNER & SMIT (ID G0176J-10-9)			
4/29	Purchase	ALLEGION PLC @ 65 2772 65 27 BROKERAGE 0 02 UBS	1 000	65 29	(65 29) *
5/4		SECURITIES LLC (ID G0176J-10-9)			
5/2	Purchase	ALLEGION PLC @ 66 5621 66 56 BROKERAGE 0 01	1 000	66 57	(66 57)
5/5		MORGAN STANLEY & CO LLC (ID G0176J-10-9)			



THE HALSTEAD FAMILY FDN INC. ACCT. N00584008
For the Period 6/1/16 to 6/30/16

TRADE ACTIVITY

Note L indicates Long Term Realized Gain/Loss S indicates Short Term Realized Gain/Loss
* Settled transaction was initiated in prior statement period and settled in current statement period

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
5/27	Sale		BROADCOM LTD @ 152 8809 305 76 BROKERAGE 0 03	(2 000)	152.865	305.73	(161.22)	144.51 L*
6/2	High Cost		JEFFERIES & COMPANY (ID Y09827-10-9)					
5/27	Sale		BROADCOM LTD @ 152 9946 152 99 BROKERAGE 0 01	(1 000)	152.98	152.98	(80.61)	72.37 L*
6/2	High Cost		JEFFERIES & COMPANY (ID Y09827-10-9)					
5/27	Sale		ALLIANCE DATA SYSTEMS CORP @ 214 998 2,794 97	(13 000)	214.978	2,794.72	(2,294.27)	500.45 L*
6/2	High Cost		BROKERAGE 0 19 TAX &/OR SEC 06 DEUTSCHE BANC ALEX BROWN INC (ID 018581-10-8)					
5/27	Sale		HUMANA INC @ 173 3293 1,906 62 BROKERAGE 0 16	(11 000)	173.311	1,906.42	(770.33)	1,136.09 L*
6/2	High Cost		TAX &/OR SEC 04 DEUTSCHE BANC ALEX BROWN INC (ID 444859-10-2)					
5/31	Sale		BROADCOM LTD @ 154 1844 154 18 BROKERAGE 0 01	(1 000)	154.17	154.17	(80.62)	73.55 L*
6/3	High Cost		CITIGROUP GLOBAL MKTS INC (ID Y09827-10-9)					
5/31	Sale		BROADCOM LTD @ 154 1201 308 24 BROKERAGE 0 03	(2 000)	154.105	308.21	(115.15)	193.06 L*
6/3	High Cost		UBS SECURITIES LLC (ID Y09827-10-9)					
6/1	Sale		BROADCOM LTD @ 154 4828 308 96 BROKERAGE 0 03	(2 000)	154.465	308.93	(68.99)	239.94 L
6/6	High Cost		UBS SECURITIES LLC (ID Y09827-10-9)					
6/1	Sale		BROADCOM LTD @ 154 87 154 87 BROKERAGE 0 01	(1 000)	154.86	154.86	(34.49)	120.37 L
6/6	High Cost		LIQUIDNET INC (ID Y09827-10-9)					
6/1	Sale		BROADCOM LTD @ 154 8842 154 88 BROKERAGE 0 01	(1 000)	154.87	154.87	(34.50)	120.37 L
6/6	High Cost		UBS SECURITIES LLC (ID Y09827-10-9)					
6/3	Sale		JPM GBL RES ENH INDEX FD - SEL FUND 3457 JP	(1,102.536)	18.14	20,000.00	(19,812.99)	135.80 L
6/6	High Cost		MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 18 14 (ID 46637K-51-3)					51.21 S
6/3	Sale		JOHN HANCOCK III-DISCIPLN V-I (ID: 47803U-64-0)	(9,550.562)	17.61	168,185.40	(170,000.00)	(1,814.60) L
6/6	High Cost							



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 6/1/16 to 6/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
6/3 6/6	Sale High Cost		PRUDENTIAL TOTAL RETPN BND-Z (ID 74440B-40-5)	(15,172.414)	14.50	220,000.00	(218,937.94)	1,062.06 L
6/2 6/7	Sale High Cost		BROADCOM LTD @ 154.2121 154.21 BROKERAGE 0.01 UBS SECURITIES LLC (ID Y09827-10-9)	(1,000)	154.20	154.20	(34.49)	119.71 L
6/2 6/7	Sale High Cost		BROADCOM LTD @ 154.3392 308.67 BROKERAGE 0.03 UBS SECURITIES LLC (ID Y09827-10-9)	(2,000)	154.32	308.64	(68.99)	239.65 L
6/2 6/7	Sale High Cost		BROADCOM LTD @ 154.1715 17.17 BROKERAGE 0.01 UBS SECURITIES LLC (ID Y09827-10-9)	(1,000)	154.16	154.16	(34.48)	119.68 L
6/2 6/7	Sale High Cost		BROADCOM LTD @ 154.4487 154.44 BROKERAGE 0.01 UBS SECURITIES LLC (ID Y09827-10-9)	(1,000)	154.43	154.43	(34.48)	119.95 L
6/3 6/8	Sale High Cost		SYNCHRONY FINANCIAL @ 30.5923 1,988.49 BROKERAGE 0.97 TAX &/OR SEC 04 JEFFERIES & COMPANY (ID 87165B-10-3)	(65,000)	30.577	1,987.48	(1,572.41)	415.07 S
6/3 6/8	Sale High Cost		ISHARES MSCI EAFE INDEX FUND @ 58.6958 184.891 76 BROKERAGE 47.25 TAX &/OR SEC 4.03 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 464287-46-5)	(3,150,000)	58.68	184,840.48	(204,049.12)	(19,208.64) S
6/3 6/8	Sale High Cost		SYNCHRONY FINANCIAL @ 30.7658 1,599.05 BROKERAGE 0.76 TAX &/OR SEC 03 JEFFERIES & COMPANY (ID 87165B-10-3)	(51,000)	30.75	1,568.26	(1,232.73)	335.53 S
6/6 6/9	Sale High Cost		SYNCHRONY FINANCIAL @ 30.9269 618.53 BROKERAGE 0.30 TAX &/OR SEC 01 SJ LEVINSON & SONS LLC (ID 87165B-10-3)	(20,000)	30.911	618.22	(477.13)	141.09 S
6/24 6/24	Cash In Lieu		CHARTER COMMUNICATIONS INC-A CASH IN LIEU OF FRACTIONAL SHARES (ID 16119P-10-8)	0.797	221.28	176.36		176.36 L
6/24 6/29	Sale High Cost		PACCAR INC @ 52.18 1,878.48 BROKERAGE 0.54 TAX &/OR SEC 04 DEUTSCHE BANC ALEX BROWN INC (ID 693718-10-8)	(36,000)	52.164	1,877.90	(1,137.78)	740.12 L

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THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 6/1/16 to 6/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
6/27	Sale		PACCAR INC @ 49 0257 1,764 92 BROKERAGE 0 54	(36 000)	49 01	1,764 35	(920 54)	843 81 L
6/30	High Cost		TAX &/OR SEC 03 CREDIT SUISSSE FIRST BOSTON LLC (ID: 693718-10-8)					
Total Settled Sales/Maturities/Redemptions						\$608,030.77	(\$621,953.26)	\$4,343.25 L (\$18,265.74) S

Trade Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settle Date					
Settled Securities Purchased					
5/27	Purchase	MONDELEZ INTERNATIONAL INC-A @ 44.6858 89 37	2 000	44 695	(89 39) *
6/2		BROKERAGE 0 02 RBC CAPITAL MARKETS CORPORATION (ID: 609207-10-5)			
5/27	Purchase	MONDELEZ INTERNATIONAL INC-A @ 44 7499 44.74	1 000	44 75	(44 75) *
6/2		BROKERAGE 0 01 UBS SECURITIES LLC (ID: 609207-10-5)			
5/27	Purchase	NXP SEMICONDUCTORS NV @ 92 0771 2.670 23	29 000	92 092	(2 670 66) *
6/2		BROKERAGE 0 43 DEUTSCHE BANC ALEX BROWN INC (ID: N6596X-10-9)			
5/27	Purchase	AMERICAN INTERNATIONAL GROUP @ 58 1504 1,511 91	26 000	58 165	(1,512 30) *
6/2		BROKERAGE 0 39 DEUTSCHE BANC ALEX BROWN INC (ID: 026874-78-4)			
5/27	Purchase	WEX INC @ 92.0581 552.34 BROKERAGE 0 09	6 000	92 072	(552 43) *
6/2		DEUTSCHE BANC ALEX BROWN INC (ID: 96208T-10-4)			
5/27	Purchase	MONDELEZ INTERNATIONAL INC-A @ 44 6217 89 24	2 000	44 635	(89 27) *
6/2		BROKERAGE 0 03 KNIGHT EQUITY MARKETS L.P. (ID: 609207-10-5)			



THE HALSTEAD FAMILY FDN INC. ACCT N00584008
For the Period 7/1/16 to 7/31/16

Settle Date	Type	Description	Quantity	Amount
	Selection Method		Cost	
Payments and Transfers				
7/1	ACH Payment	TRANSFERRED BY ACH TO JPMORGAN CHASE BANK FAO PATRICK A KLESIUS AS REQUESTED		(1,528 91)
7/1	ACH Payment	TRANSFERRED BY ACH TO ARIZONA FEDERAL CREDIT UNI FAO DAWN M GREEN MONTHLY BOARD COMPENSATION		(728 13)
7/1	ACH Payment	TRANSFERRED BY ACH TO ARIZONA FEDERAL CREDIT UNI FAO JEFF BERGFORD MONTHLY BOARD COMPENSATION		(728 13)
7/1	ACH Payment	TRANSFERRED BY ACH TO USAA FEDERAL SAVINGS BANK FAO STEPHEN KLESIUS MONTHLY BOARD COMPENSATION		(728 92)
7/1	ACH Payment	TRANSFERRED BY ACH TO USAA FEDERAL SAVINGS BANK FAO ELAINE KLESIUS MONTHLY BOARD COMPENSATION		(819 11)
Total Payments and Transfers				(\$4,533.20)

TRADE ACTIVITY

Note: L indicates Long Term Realized Gain/Loss S indicates Short Term Realized Gain/Loss
* Settled transaction was initiated in prior statement period and settled in current statement period

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
6/30	Sale		NXP SEMICONDUCTORS NV @ 77 2193 772 19	(10 000)	77 203	772 03	(1,117 62)	(345 59) L *
7/6	High Cost		BROKERAGE 0 15 TAX & OR SEC 01 KNIGHT EQUITY MARKETS L P (ID N6596X-10-9)					
6/30	Sale		NXP SEMICONDUCTORS NV @ 77 2272 308 90	(4 000)	77 215	308 86	(445 62)	(136 76) L *
7/6	High Cost		BROKERAGE 0 04 LIQUIDNET INC (ID N6596X-10-9)					



THE HALSTEAD FAMILY FDN INC, ACCT. N00584008
For the Period 7/1/16 to 7/31/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
6/30 7/6	Sale High Cost		NXP SEMICONDUCTORS NV @ 78 0611 936.73 BROKERAGE 0 18 TAX &/OR SEC .02 KNIGHT EQUITY MARKETS L P (ID N6596X-10-9)	(12 000)	78 044	936 53	(1,283 97)	(347 44) L *
7/1 7/7	Sale High Cost		NXP SEMICONDUCTORS NV @ 78 0049 234.01 BROKERAGE 0 04 CITIGROUP GLOBAL MKTS INC (ID N6596X-10-9)	(3 000)	77 99	233 97	(311 41)	(77 44) L
7/1 7/7	Sale High Cost		NXP SEMICONDUCTORS NV @ 77 6721 77 67 BROKERAGE 0 01 LIQUIDNET INC (ID N6596X-10-9)	(1 000)	77 66	77 66	(103 80)	(26 14) L
7/1 7/7	Sale High Cost		NXP SEMICONDUCTORS NV @ 78 0327 78 03 BROKERAGE 0 01 LIQUIDNET INC (ID N6596X-10-9)	(1 000)	78 02	78 02	(103 80)	(25 78) L
7/1 7/7	Sale High Cost		NXP SEMICONDUCTORS NV @ 78 0919 312.36 BROKERAGE 0 10 CREDIT SUISSE FIRST BOSTON LLC (ID N6596X-10-9)	(4 000)	78 065	312 26	(415 22)	(102 96) L
7/5 7/8	Sale High Cost		NXP SEMICONDUCTORS NV @ 76 0766 228.22 BROKERAGE 0 04 KNIGHT EQUITY MARKETS L P (ID N6596X-10-9)	(3 000)	76 06	228 18	(311 41)	(83 23) L
7/5 7/8	Sale High Cost		NXP SEMICONDUCTORS NV @ 76 2684 76 26 BROKERAGE 0 01 UBS SECURITIES LLC (ID N6596X-10-9)	(1 000)	76 25	76 25	(103 81)	(27 56) L
7/7 7/12	Sale High Cost		HALLIBURTON CO @ 43 5549 783 98 BROKERAGE 0 27 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID 406216-10-1)	(18 000)	43 539	783 70	(732 51)	51 19 S
7/7 7/12	Sale High Cost		HALLIBURTON CO @ 43 8846 1,887 03 BROKERAGE 0 64 TAX &/OR SEC 04 SJ LEVINSON & SONS LLC (ID 406216-10-1)	(43 000)	43 869	1,886 35	(1,749 10)	137 25 S
7/7 7/12	Sale High Cost		DU PONT (E I) DE NEMOURS @ 61 9899 1,735.71 BROKERAGE 0 42 TAX &/OR SEC .03 CREDIT SUISSE FIRST BOSTON LLC (ID 263534-10-9)	(28 000)	61 974	1,735 26	(1,609 95)	125 31 S

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THE HALSTEAD FAMILY FDN INC. ACCT NO0584008
For the Period 7/1/16 to 7/31/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
7/7 7/12	Sale High Cost		FORTIVE CORP @ 47 6427 762 28 BROKERAGE 0 24 TAX &/OR SEC 01 UBS SECURITIES LLC (ID 34959J-10-8)	(16 000)	47 627	762 03	(752 29)	9 74 S
7/7 7/12	Sale High Cost		DIJ PONT (E I) DE NEMOURS @ 62 1094 558 98 BROKERAGE 0 09 TAX &/OR SEC 01 STATE STREET GLOBAL MARKETS, LLC (ID 263534-10-9)	(9 000)	62 098	558 88	(497 09)	61 79 S
7/7 7/12	Sale High Cost		FORTIVE CORP @ 47 61 190 44 BROKERAGE 0 04 DREXEL HAMILTON LLC (ID 34959J-10-8)	(4 000)	47 60	190 40	(186 12)	4 28 S
7/11 7/14	Sale High Cost		FACEBOOK INC-A @ 118 2404 4 138 41 BROKERAGE 0 52 TAX &/OR SEC 09 CITIGROUP GLOBAL MKTS INC (ID 30303M-10-2)	(35 000)	118 223	4 137 80	(3 325 07)	604 46 L 208 27 S
7/13 7/18	Sale High Cost		VANGUARD FTSE EUROPE ETF @ 47 1301 47 13 BROKERAGE 0 01 DEUTSCHE BANC ALEX BROWN INC (ID 922042-87-4)	(1 000)	47 12	47 12	(55 40)	(8 28) S
7/13 7/18	Sale High Cost		VANGUARD FTSE EUROPE ETF @ 47 0934 21 239 12 BROKERAGE 6 76 TAX &/OR SEC 46 DEUTSCHE BANC ALEX BROWN INC (ID 922042-87-4)	(451 000)	47 077	21 231 90	(24 984 00)	(3 752 10) S
7/13 7/18	Sale High Cost		VANGUARD FTSE EUROPE ETF @ 47 05 7 904 40 BROKERAGE 4 20 TAX &/OR SEC 17 KNIGHT EQUITY MARKETS L.P (ID 922042-87-4)	(168 000)	47 024	7 900 03	(9 306 68)	(1 406 65) S
7/14 7/19	Sale High Cost		VANGUARD FTSE EUROPE ETF @ 47 64 11 052 48 BROKERAGE 3 48 TAX &/OR SEC 24 MERRILL LYNCH PIERCE FENNER & SMIT (ID 922042-87-4)	(232 000)	47 624	11 048 76	(12 852 08)	(1 803 32) S
7/14 7/19	Sale High Cost		GS NOTE OF NOTES EAFE BSKT 2/23/17 200% LEV 13 51% CAP 127 02% MAXTRN INIT LVL-1/29/16 SX5E 3045 09, UKX 6083 79, TPX 1432 07 DISCOUNT RATE % @ 98 62 JP MORGAN SECURITIES LLC F I (ID 38148T-LP-2)	(157 000 000)	98 62	154 833 40	(155 430 00)	(596 60) S



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 7/1/16 to 7/31/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
7/14	Sale		VANGUARD FTSE EUROPE ETF @ 47 5992 8.853 45	(186 000)	47 583	8,850 47	(10,303 82)	(1,453 35) S
7/19	High Cost		BROKERAGE 2 79 TAX &/OR SEC 19 DEUTSCHE BANC ALEX BROWN INC (ID 923042-87-4)					
7/14	Sale		VANGUARD FTSE EUROPE ETF @ 47 595 22.036 48	(463 000)	47 579	22,029 06	(25,648 76)	(3,619 70) S
7/19	High Cost		BROKERAGE 6 94 TAX &/OR SEC 48 MERRILL LYNCH PIERCE FENNER & SMIT (ID 923042-87-4)					
7/14	Sale		VANGUARD FTSE EUROPE ETF @ 47 62 6.619 18	(139 000)	47 604	6,616 96	(7,700.17)	(1,083 21) S
7/19	High Cost		BROKERAGE 2 08 TAX &/OR SEC 14 DEUTSCHE BANC ALEX BROWN INC (ID 923042-87-4)					
7/18	Sale		GATEWAY FD-Y (ID 367829-88-4)	(4,826 897)	30 04	145,000 00	(141,008 89)	1,390 52 L 2,600 59 S
7/19	High Cost							
7/21	Sale		WALT DISNEY CO/THE @ 98 2476 4.912 38 BROKERAGE	(50 000)	98 231	4,911 53	(3,282 07)	1,629 46 L
7/26	High Cost		0 75 TAX &/OR SEC 10 SJ LEVINSON & SONS LLC (ID 254687-10-6)					
7/22	Sale		CABOT OIL & GAS CORP @ 24 2039 750 32 BROKERAGE	(31 000)	24 179	749 54	(623 11)	126 43 S
7/27	High Cost		0 77 TAX &/OR SEC 01 UBS SECURITIES LLC (ID, 127097-10-3)					
7/22	Sale		CABOT OIL & GAS CORP @ 24 215 702 23 BROKERAGE	(29 000)	24 19	701 50	(582 19)	119 31 S
7/27	High Cost		0 72 TAX &/OR SEC 01 CANTOR FITZGERALD & CO INC (ID 127097-10-3)					
7/25	Sale		CABOT OIL & GAS CORP @ 23 9011 71 70 BROKERAGE	(3 000)	23 887	71 66	(60 23)	11 43 S
7/28	High Cost		0 04 KNIGHT EQUITY MARKETS L P (ID 127097-10-3)					
7/25	Sale		CABOT OIL & GAS CORP @ 23.985 119 92 BROKERAGE	(5,000)	23 974	119 87	(100 38)	19 49 S
7/28	High Cost		0 05 LIQUIDNET INC (ID, 127097-10-3)					
7/25	Sale		CABOT OIL & GAS CORP @ 23 7567 926.51 BROKERAGE	(39 000)	23 731	925.52	(780 38)	145 14 S
7/28	High Cost		0 97 TAX &/OR SEC .02 MORGAN STANLEY & CO LLC (ID, 127097-10-3)					



THE HALSTEAD FAMILY FDN INC. ACCT. N00584008
For the Period 7/1/16 to 7/31/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
7/25	Sale	CABOT OIL & GAS CORP @ 23 5954 778 64 BROKERAGE	(33 000)	23 57	777 81	(653 08)	124 73 S
7/28	High Cost	0 82 TAX &/OR SEC 01 MORGAN STANLEY & CO LLC (ID 127097-10-3)					
7/26	Sale	CABOT OIL & GAS CORP @ 23 5424 447 30 BROKERAGE	(19 000)	23 517	445 83	(375 02)	70 81 S
7/29	High Cost	0 47 MORGAN STANLEY & CO LLC (ID 127097-10-3)					
7/26	Sale	CABOT OIL & GAS CORP @ 23 5922 660 58 BROKERAGE	(28 000)	23 567	659 87	(552 52)	107 35 S
7/29	High Cost	0 70 TAX &/OR SEC 01 CREDIT SUISSE FIRST BOSTON LLC (ID 127097-10-3)					
Total Settled Sales/Maturities/Redemptions					\$400,000.01	(\$407,348.57)	\$2,451.54 L (\$9,800.10) S

Trade Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settle Date					
Settled Securities Purchased					
6/30	Purchase	TRANSCANADA CORP @ 44 7893 3,180 04 BROKERAGE	71 000	44 814	(3,181.81)
7/7		1 77 TD SECURITIES (USA) LLC (ID 89353D-10-7)			
7/5	Purchase	PFIZER INC @ 35 8445 2,150 67 BROKERAGE 0 90 SJ	60 000	35 86	(2,151 57)
7/8		LEVINSON & SONS LLC (ID 717081-10-3)			
7/6	Purchase	DANAHER CORP @ 80 5453 1,771 99 BROKERAGE 0 33	22 000	80 56	(1,772 32)
7/11		KNIGHT EQUITY MARKETS L P (ID 235851-10-2)			
7/7	Purchase	TRANSCANADA CORP @ 46 1344 1,107 22 BROKERAGE	24 000	46 149	(1,107 58)
7/12		0 36 MORGAN STANLEY & CO LLC (ID 89353D-10-7)			
7/7	Purchase	EASTMAN CHEMICAL CO @ 67 0012 1,407 02	21 000	67.016	(1,407 33)
7/12		BROKERAGE 0 31 CREDIT SUISSE FIRST BOSTON LLC (ID 277432-10-0)			



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 8/1/16 to 8/31/16

TRADE ACTIVITY

Note: L indicates Long Term Realized Gain/Loss		S indicates Short Term Realized Gain/Loss					
Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
8/5 8/10	Sale High Cost	OCCIDENTAL PETROLEUM CORP @ 73 5853 3.384 92 BROKERAGE 0 69 TAX &/OR SEC 07 MORGAN STANLEY & CO LLC (ID: 674599-10-5)	(46 000)	73 569	3,384 16	(4,040 29)	(656 13) L
8/5 8/10	Sale High Cost	BRISTOL-MYERS SQUIBB CO @ 64 1581 128 31 BROKERAGE 0 03 CITIGROUP GLOBAL MKTS INC (ID 110122-10-8)	(2 000)	64 14	128 28	(134 80)	(6 52) S
8/5 8/10	Sale High Cost	BRISTOL-MYERS SQUIBB CO @ 63 7701 382 62 BROKERAGE 0 15 BROADCORT CAPITAL CORP (ID 110122-10-8)	(6 000)	63 745	382 47	(397 83)	(15 36) S
8/5 8/10	Sale High Cost	BRISTOL-MYERS SQUIBB CO @ 63 785 765 42 BROKERAGE 0 30 TAX &/OR SEC 01 CREDIT SUISSE FIRST BOSTON LLC (ID 110122-10-8)	(12 000)	63 759	765 11	(794 15)	(29 04) S
8/5 8/10	Sale High Cost	BRISTOL-MYERS SQUIBB CO @ 63 2352 442 64 BROKERAGE 0 10 FIRST NEW YORK SECURITIES LLC (ID 110122-10-8)	(7 000)	63 22	442 54	(462 62)	(20 08) S
8/5 8/10	Sale High Cost	BRISTOL-MYERS SQUIBB CO @ 63 6219 699 84 BROKERAGE 0 27 TAX &/OR SEC 01 GOLDMAN SACHS & CO (ID 110122-10-8)	(11 000)	63 596	699 56	(442 66)	256 90 L
8/10 8/15	Sale High Cost	CELGENE CORP @ 112 5581 2,701 39 BROKERAGE 0 36 TAX &/OR SEC 05 MERRILL LYNCH PIERCE FENNER & SMIT (ID 151020-10-4)	(24 000)	112 541	2,700 98	(3,117 66)	(416 68) S
8/10 8/15	Sale High Cost	TE CONNECTIVITY LTD @ 59 795 1,435 08 BROKERAGE 0 06 TAX &/OR SEC 03 LUMINEX TRADING AND ANALYTICS LLC (ID H84989-10-4)	(24 000)	59 791	1,434 99	(1,748 10)	(313 11) L
8/10 8/15	Sale High Cost	TE CONNECTIVITY LTD @ 59 7256 2,508 47 BROKERAGE 0 63 TAX &/OR SEC 05 MORGAN STANLEY & CO LLC (ID H84989-10-4)	(42 000)	59 709	2,507 79	(3,022 55)	(514 76) L



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 8/1/16 to 8/31/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
8/10	Sale		L-3 COMMUNICATIONS HOLDINGS @ 150 469 150 46	(1 000)	150 45	150 45	(128 23)	22 22 L
8/15	High Cost		BROKERAGE 0 01 INSTINET (ID: 502424-10-4)					
8/10	Sale		TE CONNECTIVITY LTD @ 59 7325 955 72 BROKERAGE	(16 000)	59 721	955 54	(1,126 32)	(170 78) L
8/15	High Cost		0 16 TAX &/OR SEC 02 LOOP CAPITAL MARKETS LLC (ID H84989-10-4)					
8/10	Sale		L-3 COMMUNICATIONS HOLDINGS @ 150 765 150 76	(1 000)	150 75	150 75	(128 23)	22 52 L
8/15	High Cost		BROKERAGE 0 01 LIQUIDNET INC (ID 502424-10-4)					
8/10	Sale		COCA-COLA CO/THE @ 43 571 1,002 13 BROKERAGE	(23 000)	43 545	1,001 54	(1,018 54)	(17 00) L
8/15	High Cost		0 57 TAX &/OR SEC 02 CREDIT SUISSE FIRST BOSTON LLC (ID 191216-10-0)					
8/12	Sale		CELGENE CORP @ 113 3421 2,266 84 BROKERAGE 0 30	(20 000)	113 325	2,266 50	(461 89)	1,804 61 L
8/15	High Cost		TAX &/OR SEC 04 MERRILL LYNCH PIERCE FENNER & SMIT (ID 151020-10-4)					
8/11	Sale		COCA-COLA CO/THE @ 43 75 262 50 BROKERAGE 0 15	(6 000)	43 725	262 35	(265 64)	(3 29) L
8/16	High Cost		CREDIT SUISSE FIRST BOSTON LLC (ID 191216-10-0)					
8/11	Sale		L-3 COMMUNICATIONS HOLDINGS @ 150 1939 300 38	(2 000)	150 165	300 33	(256 45)	43 88 L
8/16	High Cost		BROKERAGE 0 06 CITIGROUP GLOBAL MKTS INC (ID 502424-10-4)					
8/11	Sale		COCA-COLA CO/THE @ 43 7105 174 84 BROKERAGE	(4 000)	43 685	174 74	(177 05)	(2 31) L
8/16	High Cost		0 10 JEFFERIES & COMPANY (ID 191216-10-0)					
8/11	Sale		COCA-COLA CO/THE @ 43 6813 43 68 BROKERAGE 0 01	(1 000)	43 67	43 67	(44 26)	(0 59) L
8/16	High Cost		CITIGROUP GLOBAL MKTS INC (ID 191216-10-0)					
8/11	Sale		COCA-COLA CO/THE @ 43 6717 87 34 BROKERAGE 0 02	(2 000)	43 66	87 32	(88 50)	(1 18) L
8/16	High Cost		PENSERRA SECURITIES (ID: 191216-10-0)					
8/11	Sale		COCA-COLA CO/THE @ 43 765 43 76 BROKERAGE 0 01	(1 000)	43 75	43 75	(44 24)	(0 49) L
8/16	High Cost		ACADEMY SECURITIES, INC. (ID 191216-10-0)					



THE HALSTEAD FAMILY FDN INC., ACCT. NO0584008
For the Period 8/1/16 to 8/31/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
8/11 8/16	Sale High Cost		Coca-Cola Co/The @ 43 7577 612 60 BROKERAGE 0 35 TAX &/OR SEC 01 CREDIT SUISSE FIRST BOSTON LLC (ID 191216-10-0)	(14 000)	43 731	612 24	(619 10)	(6 86) L
8/15 8/16	Sale High Cost		CELGENE CORP @ 114 0873 684 52 BROKERAGE 0 09 TAX &/OR SEC 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID 151020-10-4)	(6 000)	114 07	684 42	(118 09)	566 33 L
8/12 8/17	Sale High Cost		Coca-Cola Co/The @ 43 9878 131 96 BROKERAGE 0 07 JEFFERIES & COMPANY (ID 191216-10-0)	(3 000)	43 963	131 89	(132 54)	(0 65) L
8/12 8/17	Sale High Cost		L-3 COMMUNICATIONS HOLDINGS @ 148 4142 445 24 BROKERAGE 0 04 UBS SECURITIES LLC (ID 502424-10-4)	(3 000)	148 40	445 20	(384 32)	60 88 L
8/12 8/17	Sale High Cost		Coca-Cola Co/The @ 43 9316 43 93 BROKERAGE 0 01 INSTINET (ID 191216-10-0)	(1 000)	43 92	43 92	(44 17)	(0 25) L
8/12 8/17	Sale High Cost		L-3 COMMUNICATIONS HOLDINGS @ 148 3732 148 37 BROKERAGE 0 01 KNIGHT EQUITY MARKETS L P (ID 502424-10-4)	(1 000)	148 36	148 36	(127 87)	20 49 L
8/12 8/17	Sale High Cost		Coca-Cola Co/The @ 44 0079 44 00 BROKERAGE 0 02 BMO NESBITT BURNS CORP (ID 191216-10-0)	(1 000)	43 98	43 98	(44 08)	(0 10) L
8/12 8/17	Sale High Cost		Coca-Cola Co/The @ 44 015 220 07 BROKERAGE 0 12 CREDIT SUISSE FIRST BOSTON LLC (ID 191216-10-0)	(5 000)	43 99	219 95	(220 39)	(0 44) L
8/12 8/17	Sale High Cost		ILLUMINA INC @ 167 0399 1 670 39 BROKERAGE 0 15 TAX &/OR SEC 03 SJ LEVINSON & SONS LLC (ID 452327-10-9)	(10 000)	167 021	1 670 21	(2 075 13)	(404 92) S
8/12 8/17	Sale High Cost		CROWN HOLDINGS INC @ 54 0468 108 09 BROKERAGE 0 05 BAIRD (ROBERT W) & CO INCORPORAT (ID 228368-10-6)	(2 000)	54 02	108 04	(100 63)	7 41 L
8/12 8/17	Sale High Cost		CROWN HOLDINGS INC @ 54 015 378 10 BROKERAGE 0 07 LOOP CAPITAL MARKETS LLC (ID 228368-10-6)	(7 000)	54 004	378 03	(351 87)	26 16 L



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 8/1/16 to 8/31/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
8/15	Sale		CROWN HOLDINGS INC @ 54 3891 54 38 BROKERAGE	(1 000)	54 37	54 37	(50 26)	4 11 L
8/18	High Cost		0 01 BLOOMBERG TRADEBOOK LLC (ID 228368-10-6)					
8/15	Sale		CROWN HOLDINGS INC @ 54 2746 162 82 BROKERAGE	(3 000)	54 26	162 78	(150 79)	11 99 L
8/18	High Cost		0 04 CREDIT SUISSE FIRST BOSTON LLC (ID 228368-10-6)					
8/15	Sale		CROWN HOLDINGS INC @ 54 0751 54 07 BROKERAGE	(1 000)	54 06	54 06	(50 26)	3 80 L
8/18	High Cost		0 01 UBS SECURITIES LLC (ID 228368-10-6)					
8/15	Sale		L-3 COMMUNICATIONS HOLDINGS @ 149 5677 149 56	(1 000)	149 55	149 55	(127 88)	21 67 L
8/18	High Cost		BROKERAGE 0 01 UBS SECURITIES LLC (ID 502424-10-4)					
8/15	Sale		CROWN HOLDINGS INC @ 53 6906 107 38 BROKERAGE	(2 000)	53 675	107 35	(100 53)	6 82 L
8/19	High Cost		0 03 UBS SECURITIES LLC (ID 228368-10-6)					
8/16	Sale		CROWN HOLDINGS INC @ 53 6144 53 61 BROKERAGE	(1 000)	53 60	53 60	(50 26)	3 34 L
8/19	High Cost		0 01 UBS SECURITIES LLC (ID 228368-10-6)					
8/16	Sale		L-3 COMMUNICATIONS HOLDINGS @ 148 4991 445 49	(3 000)	148 483	445 45	(383 63)	61 82 L
8/19	High Cost		BROKERAGE 0 04 UBS SECURITIES LLC (ID 502424-10-4)					
8/17	Sale		L-3 COMMUNICATIONS HOLDINGS @ 148 1994 444 59	(3 000)	148 183	444 55	(382 80)	61 75 L
8/22	High Cost		BROKERAGE 0 04 UBS SECURITIES LLC (ID 502424-10-4)					
8/17	Sale		TWENTY-FIRST CENTURY FOX-A @ 25 445 25 44	(1 000)	25 42	25 42	(34 48)	(9 06) L
8/22	High Cost		BROKERAGE 0 02 FRIEDMAN BILLINGS & RAMSEY (ID 90130A-10-1)					
8/17	Sale		LAM RESEARCH CORP @ 90 2279 90 22 BROKERAGE	(1 000)	90 21	90 21	(82 58)	7 63 L
8/22	High Cost		0 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID 512807-10-8)					
8/17	Sale		LAM RESEARCH CORP @ 90 105 90 10 BROKERAGE 0 01	(1 000)	90 09	90 09	(82 58)	7 51 L
8/22	High Cost		PENSERRA SECURITIES (ID 512807-10-8)					



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 8/1/16 to 8/31/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
8/17 8/22	Sale High Cost		TWENTY-FIRST CENTURY FOX-A @ 25 457 381 85 BROKERAGE 0 37 DEUTSCHE BANC ALEX BROWN INC (ID. 90130A-10-1)	(15 000)	25 432	381 48	(517 12)	(135 64) L
8/17 8/22	Sale High Cost		CROWN HOLDINGS INC @ 53 5934 214 37 BROKERAGE 0 10 INSTINET (ID 228368-10-6)	(4 000)	53 568	214 27	(201 05)	13 22 L
8/17 8/22	Sale High Cost		CROWN HOLDINGS INC @ 53 7451 161 23 BROKERAGE 0 04 UBS SECURITIES LLC (ID 228368-10-6)	(3 000)	53 73	161 19	(150 79)	10 40 L
8/17 8/22	Sale High Cost		LAM RESEARCH CORP @ 90 2881 541 72 BROKERAGE 0 15 TAX &/OR SEC 01 BARCLAYS CAPITAL LE (ID. 512807-10-8)	(6 000)	90 26	541 56	(495 45)	46 11 L
8/18 8/23	Sale High Cost		LAM RESEARCH CORP @ 90 6485 181 29 BROKERAGE 0 03 MERRILL LYNCH PIERCE FENNER & SMIT (ID 512807-10-8)	(2 000)	90 63	181 26	(165 15)	16 11 L
8/18 8/23	Sale High Cost		L-3 COMMUNICATIONS HOLDINGS @ 150 0192 150 01 BROKERAGE 0 02 UBS SECURITIES LLC (ID 502424-10-4)	(1 000)	149 99	149 99	(127 46)	22 53 L
8/18 8/23	Sale High Cost		TWENTY-FIRST CENTURY FOX-A @ 25 0413 100 16 BROKERAGE 0 04 LIQUIDNET INC (ID 90130A-10-1)	(4 000)	25 03	100 12	(137 90)	(37 78) L
8/18 8/23	Sale High Cost		LAM RESEARCH CORP @ 90 9222 181 84 BROKERAGE 0 05 GOLDMAN SACHS & CO (ID 512807-10-8)	(2 000)	90 895	181 79	(165 15)	16 64 L
8/18 8/23	Sale High Cost		TWENTY-FIRST CENTURY FOX-A @ 25 0428 25 04 BROKERAGE 0 01 MORGAN STANLEY & CO LLC (ID 90130A-10-1)	(1 000)	25 03	25 03	(34 48)	(9 45) L
8/18 8/23	Sale High Cost		LAM RESEARCH CORP @ 90 6334 90 63 BROKERAGE 0 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID 512807-10-8)	(1 000)	90 62	90 62	(82 58)	8 04 L



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 8/1/16 to 8/31/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
8/18 8/23	Sale High Cost		CROWN HOLDINGS INC @ 53 8035 107 60 BROKERAGE 0 03 DEUTSCHE BANC ALEX BROWN INC (ID 228368-10-6)	(2 000)	53 785	107 57	(100 53)	7 04 L
8/18 8/23	Sale High Cost		CROWN HOLDINGS INC @ 54 0627 270 31 BROKERAGE 0 07 DEUTSCHE BANC ALEX BROWN INC (ID 228368-10-6)	(5 000)	54 048	270 24	(251 31)	18 93 L
8/18 8/23	Sale High Cost		TWENTY-FIRST CENTURY FOX-A @ 25 47 127 35 BROKERAGE 0 12 DEUTSCHE BANC ALEX BROWN INC (ID 90130A-10-1)	(5 000)	25 446	127 23	(172 38)	(45 15) L
8/18 8/23	Sale High Cost		TWENTY-FIRST CENTURY FOX-A @ 25 1144 100 45 BROKERAGE 0 10 DEUTSCHE BANC ALEX BROWN INC (ID 90130A-10-1)	(4 000)	25 088	100 35	(137 90)	(37 55) L
8/18 8/23	Sale High Cost		L-3 COMMUNICATIONS HOLDINGS @ 150 4302 150 43 BROKERAGE 0 02 UBS SECURITIES LLC (ID 502424-10-4)	(1 000)	150 41	150 41	(127 46)	22 95 L
8/19 8/24	Sale High Cost		TWENTY-FIRST CENTURY FOX-A @ 24 9721 24 97 BROKERAGE 0 01 LIQUIDNET INC (ID 90130A-10-1)	(1 000)	24 96	24 96	(34 47)	(9 51) L
8/19 8/24	Sale High Cost		LAM RESEARCH CORP @ 92 7169 92 71 BROKERAGE 0 01 GOLDMAN SACHS & CO (ID 512807-10-8)	(1 000)	92 70	92 70	(82 58)	10 12 L
8/19 8/24	Sale High Cost		LAM RESEARCH CORP @ 92 7453 92 74 BROKERAGE 0 01 KNIGHT EQUITY MARKETS L P (ID 512807-10-8)	(1 000)	92 73	92 73	(82 57)	10 16 L
8/19 8/24	Sale High Cost		LAM RESEARCH CORP @ 93 22 93 22 BROKERAGE 0 02 BAIRD (ROBERT W) & CO. INCORPORAT (ID 512807-10-8)	(1 000)	93 20	93 20	(82 58)	10 62 L
8/19 8/24	Sale High Cost		LAM RESEARCH CORP @ 92 9218 371 68 BROKERAGE 0 10 DEUTSCHE BANC ALEX BROWN INC (ID 512807-10-8)	(4 000)	92 895	371 58	(330 30)	41 28 L



THE HALSTEAD FAMILY FDN INC. ACCT. N00584008
For the Period 8/1/16 to 8/31/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
8/19 8/24	Sale High Cost		LAM RESEARCH CORP @ 91 7664 91 76 BROKERAGE 0 01 STATE STREET GLOBAL MARKETS, LLC (ID 512807-10-8)	(1 000)	91 75	91 75	(82 57)	9 18 L
8/19 8/24	Sale High Cost		TWENTY-FIRST CENTURY FOX-A @ 25 0182 25 01 BROKERAGE 0 02 DEUTSCHE BANC ALEX BROWN INC (ID 90130A-10-1)	(1 000)	24 99	24 99	(34 47)	(9 48) L
8/19 8/24	Sale High Cost		TWENTY-FIRST CENTURY FOX-A @ 25 0289 25 02 BROKERAGE 0 01 CITIGROUP GLOBAL MKTS INC (ID 90130A-10-1)	(1 000)	25 01	25 01	(34 48)	(9 47) L
8/19 8/24	Sale High Cost		TWENTY-FIRST CENTURY FOX-A @ 24 9859 99 94 BROKERAGE 0 04 LIQUIDNET INC (ID 90130A-10-1)	(4 000)	24 975	99 90	(137 90)	(38 00) L
8/19 8/24	Sale High Cost		L-3 COMMUNICATIONS HOLDINGS @ 149 9449 149 94 BROKERAGE 0 01 UBS SECURITIES LLC (ID 502424-10-4)	(1 000)	149 93	149 93	(127 46)	22 47 L
8/19 8/24	Sale High Cost		TWENTY-FIRST CENTURY FOX-A @ 25 00 25 00 BROKERAGE 0 01 PENSERRA SECURITIES (ID 90130A-10-1)	(1 000)	24 99	24 99	(32 05)	(7 06) L
8/19 8/24	Sale High Cost		CROWN HOLDINGS INC @ 54 1446 108 28 BROKERAGE 0 03 DEUTSCHE BANC ALEX BROWN INC (ID 228368-10-6)	(2 000)	54 125	108 25	(100 44)	7 81 L
8/19 8/24	Sale High Cost		L-3 COMMUNICATIONS HOLDINGS @ 149 0081 745 04 BROKERAGE 0 07 TAX &/OR SEC 01 DEUTSCHE BANC ALEX BROWN INC (ID 502424-10-4)	(5 000)	148 992	744 96	(637 32)	107 64 L
8/19 8/24	Sale High Cost		TWENTY-FIRST CENTURY FOX-A @ 25 0439 375 65 BROKERAGE 0 37 DEUTSCHE BANC ALEX BROWN INC (ID 90130A-10-1)	(15 000)	25 019	375 28	(480 74)	(105 46) L
8/22 8/25	Sale High Cost		L-3 COMMUNICATIONS HOLDINGS @ 148 5897 148 58 BROKERAGE 0 01 UBS SECURITIES LLC (ID 502424-10-4)	(1 000)	148 57	148 57	(127 46)	21 11 L



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 8/1/16 to 8/31/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
8/22 8/25	Sale High Cost		CROWN HOLDINGS INC @ 53 8009 53 80 BROKERAGE 0 01 MORGAN STANLEY & CO LLC (ID 228368-10-6)	(1 000)	53 79	53 79	(50 22)	3 57 L
8/22 8/25	Sale High Cost		TWENTY-FIRST CENTURY FOX-A @ 25 3116 151 86 BROKERAGE 0 15 DEUTSCHE BANC ALEX BROWN INC (ID 90130A-10-1)	(6 000)	25 285	151 71	(192 29)	(40 58) L
8/22 8/25	Sale High Cost		TWENTY-FIRST CENTURY FOX-A @ 25 12 50 24 BROKERAGE 0 05 DEUTSCHE BANC ALEX BROWN INC (ID 90130A-10-1)	(2 000)	25 095	50 19	(64 10)	(13 91) L
8/22 8/25	Sale High Cost		L-3 COMMUNICATIONS HOLDINGS @ 148 9284 148 92 BROKERAGE 0 01 UBS SECURITIES LLC (ID 502424-10-4)	(1 000)	148 91	148 91	(127 47)	21 44 L
8/23 8/26	Sale High Cost		CROWN HOLDINGS INC @ 54 1402 54 14 BROKERAGE 0 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID 228368-10-6)	(1 000)	54 13	54 13	(50 22)	3 91 L
8/23 8/26	Sale High Cost		TWENTY-FIRST CENTURY FOX-A @ 24 945 24 94 BROKERAGE 0 01 LIQUIDNET INC (ID 90130A-10-1)	(1 000)	24 93	24 93	(32 05)	(7 12) L
8/23 8/26	Sale High Cost		TWENTY-FIRST CENTURY FOX-A @ 25 105 25 10 BROKERAGE 0 01 STATE STREET GLOBAL MARKETS, LLC (ID 90130A-10-1)	(1 000)	25 09	25 09	(32 05)	(6 96) L
8/23 8/26	Sale High Cost		CROWN HOLDINGS INC @ 53 9954 269 97 BROKERAGE 0 12 CITIGROUP GLOBAL MKTS INC (ID 228368-10-6)	(5 000)	53 97	269 85	(251 06)	18 79 L
8/23 8/26	Sale High Cost		L-3 COMMUNICATIONS HOLDINGS @ 147 3449 736 72 BROKERAGE 0 12 TAX &/OR SEC .01 UBS SECURITIES LLC (ID 502424-10-4)	(5 000)	147 318	736 59	(637 33)	99 26 L
8/23 8/26	Sale High Cost		TWENTY-FIRST CENTURY FOX-A @ 24 985 49.97 BROKERAGE 0 02 PENSERRA SECURITIES (ID 90130A-10-1)	(2 000)	24 975	49 95	(64 10)	(14 15) L

J.P.Morgan



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 8/1/16 to 8/31/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
8/23	Sale	TWENTY-FIRST CENTURY FOX-A @ 25 00 25 00	(1 000)	24 98	24 98	(32 05)	(7 07) L
8/26	High Cost	BROKERAGE 0 02 DEUTSCHE BANC ALEX BROWN INC (ID 90130A-10-1)					
8/24	Sale	L-3 COMMUNICATIONS HOLDINGS @ 146 8899 293.77	(2 000)	146 86	293 72	(254 93)	38 79 L
8/29	High Cost	BROKERAGE 0 05 DEUTSCHE BANC ALEX BROWN INC (ID 502424-10-4)					
8/24	Sale	L-3 COMMUNICATIONS HOLDINGS @ 146 915 146 91	(1 000)	146 90	146 90	(127 46)	19 44 L
8/29	High Cost	BROKERAGE 0 01 LIQUIDNET INC (ID 502424-10-4)					
8/25	Sale	L-3 COMMUNICATIONS HOLDINGS @ 149 1403 298 28	(2 000)	149 115	298 23	(254 93)	43 30 L
8/30	High Cost	BROKERAGE 0 05 UBS SECURITIES LLC (ID 502424-10-4)					
8/25	Sale	CROWN HOLDINGS INC @ 53 60 53 60 BROKERAGE 0 02	(1 000)	53 58	53 58	(50 21)	3 37 L
8/30	High Cost	CREDIT SUISSE FIRST BOSTON LLC (ID 228368-10-6)					
8/25	Sale	CROWN HOLDINGS INC @ 53 5755 375 02 BROKERAGE	(7 000)	53 56	374 92	(351 43)	23 49 L
8/30	High Cost	0 10 CITIGROUP GLOBAL MKTS INC (ID 228368-10-6)					
Total Settled Sales/Maturities/Redemptions					\$32,055.87	(\$31,460.25)	\$1,488.22 L (\$892.60) S

Trade Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settle Date					
Settled Securities Purchased					
8/5	Purchase	MERCK & CO INC @ 62 0867 186 26 BROKERAGE	3 000	62 10	(186 30)
8/10		0 04 KNIGHT EQUITY MARKETS L.P (ID 58933Y-10-5)			
8/5	Purchase	MERCK & CO INC. @ 62 626 62 62 BROKERAGE 0 01	1 000	62 63	(62 63)
8/10		KNIGHT EQUITY MARKETS L.P. (ID 58933Y-10-5)			



THE HALSTEAD FAMILY FDN INC. ACCT N00584008
For the Period 9/1/16 to 9/30/16



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TRADE ACTIVITY

Note		L Indicates Long Term Realized Gain/Loss		S Indicates Short Term Realized Gain/Loss									
Trade Date	Type												
Settle Date	Selection Method	Description		Quantity		Per Unit Amount		Proceeds		Tax Cost		Realized Gain/Loss	
Settled Sales/Maturities/Redemptions													
9/7	Sale	JOHNSON CONTROLS INC NON-TRADEABLE ASSET CORP		(90 000)		45 732		4,115 90		(3,186 19)		929 71 S	
9/7	Pro Rata	ACTIONS CONTRA HOLDERS RECEIVE \$5 7293 PER SHARE AND 0 8357 SHARES OF CUSIP G51502105 FOR EACH SHARE HELD OF CUSIP 478366107 THE SHARE WILL HAVE A COST BASIS OF 47 8676 AS OF 09/06/16 (ID: 47STK9-80-9)											
9/9	Sale	BANK OF AMERICA CORP @ 15 8763 1,285 98		(81 000)		15 861		1,284 75		(1,083 37)		201 38 L	
9/14	High Cost	BROKERAGE 1 21 TAX &/OR SEC 02 CITIGROUP GLOBAL MKTS INC (ID: 060505-10-4)											
9/9	Sale	LOWE'S COS INC @ 72 288 433 72 BROKERAGE 0 09		(6 000)		72 272		433 63		(138 31)		295 32 L	
9/14	High Cost	MORGAN STANLEY & CO LLC (ID 548661-10-7)											
9/9	Sale	LOWE'S COS INC @ 72 0568 2,738 15 BROKERAGE		(38 000)		72 04		2,737 53		(799 41)		1,938 12 L	
9/14	High Cost	0 57 TAX &/OR SEC 05 MORGAN STANLEY & CO LLC (ID 548661-10-7)											
9/9	Sale	BANK OF AMERICA CORP @ 15 8386 1,615 53		(102 000)		15 823		1,613 97		(1,203 49)		410 48 L	
9/14	High Cost	BROKERAGE 1 53 TAX &/OR SEC 03 CITIGROUP GLOBAL MKTS INC (ID 060505-10-4)											
9/16	Cash In Lieu	JOHNSON CONTROLS INTERNATIONAL PLC CASH IN LIEU OF FRACTIONAL SHARES (ID: G51502-10-5)		0 210		45 143		9 48				9 48 L	
9/15	Sale	UNITED TECHNOLOGIES CORP @ 101 50 406 00		(4 000)		101 49		405 96		(251 44)		154 52 L	
9/20	High Cost	BROKERAGE 0 04 LIQUIDNET INC (ID: 913017-10-9)											
9/15	Sale	UNITED TECHNOLOGIES CORP @ 101 50 203 00		(2 000)		101 49		202 98		(125 72)		77.26 L	
9/20	High Cost	BROKERAGE 0 02 LIQUIDNET INC (ID 913017-10-9)											
9/15	Sale	DIAMONDBACK ENERGY INC @ 89 0134 267 04		(3,000)		89 00		267 00		(218 41)		48 59 S	
9/20	High Cost	BROKERAGE 0 04 UBS SECURITIES LLC (ID 25278X-10-9)											



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 9/1/16 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
9/15 9/20	Sale High Cost		DIAMONDBACK ENERGY INC @ 89 4634 357.85 BROKERAGE 0.06 KNIGHT EQUITY MARKETS L P (ID 25278X-10-9)	(4 000)	89 448	357 79	(290 05)	67 74 S
9/15 9/20	Sale High Cost		DIAMONDBACK ENERGY INC @ 89 11 267 33 BROKERAGE 0 03 LIQUIDNET INC (ID 25278X-10-9)	(3 000)	89 10	267 30	(217 54)	49 76 S
9/15 9/20	Sale High Cost		DIAMONDBACK ENERGY INC @ 89 6555 268 96 BROKERAGE 0 04 SANFORD C BERNSTEIN & CO INC (SCB (ID 25278X-10-9)	(3 000)	89 64	268 92	(217 33)	51 59 S
9/15 9/20	Sale High Cost		DIAMONDBACK ENERGY INC @ 89 9123 809 21 BROKERAGE 0 13 TAX &/OR SEC 01 GOLDMAN SACHS & CO (ID 25278X-10-9)	(9 000)	89 897	809 07	(652 00)	157 07 S
9/15 9/20	Sale High Cost		MCKESSON CORP @ 167 5185 837 59 BROKERAGE 0 05 TAX &/OR SEC 01 KEEFE BRUYETTE & WOODS INC (ID 58155Q-10-3)	(5 000)	167 506	837 53	(1,134 30)	(296 77) L
9/15 9/20	Sale High Cost		MCKESSON CORP @ 166 8401 2,002 08 BROKERAGE 0 18 TAX &/OR SEC 04 CITIGROUP GLOBAL MKTS INC (ID 58155Q-10-3)	(12 000)	166 822	2,001 86	(2,706 08)	(704 22) L
9/15 9/20	Sale High Cost		UNITED TECHNOLOGIES CORP @ 102 2041 306 61 BROKERAGE 0 04 MORGAN STANLEY & CO LLC (ID 913017-10-9)	(3 000)	102 19	306 57	(188 58)	117 99 L
9/15 9/20	Sale High Cost		UNITED TECHNOLOGIES CORP @ 102 2041 511 02 BROKERAGE 0 07 TAX &/OR SEC 01 MORGAN STANLEY & CO LLC (ID 913017-10-9)	(5 000)	102 188	510 94	(314 30)	196 64 L
9/15 9/20	Sale High Cost		UNITED TECHNOLOGIES CORP @ 102 6086 923 47 BROKERAGE 0 13 TAX &/OR SEC 02 MORGAN STANLEY & CO LLC (ID 913017-10-9)	(9 000)	102 591	923 32	(565 74)	357 58 L
9/15 9/20	Sale High Cost		UNITED TECHNOLOGIES CORP @ 102 6086 1,231 30 BROKERAGE 0 18 TAX &/OR SEC 02 MORGAN STANLEY & CO LLC (ID 913017-10-9)	(12 000)	102 592	1,231 10	(754 32)	476 78 L



THE HALSTEAD FAMILY FDN INC. ACCT. N00584008
For the Period 9/1/16 to 9/30/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
9/16 9/21	Sale High Cost	UNITED TECHNOLOGIES CORP @ 101 0149 707 10 BROKERAGE 0 10 TAX &/OR SEC 01 UBS SECURITIES LLC (ID 913017-10-9)	(7 000)	100 999	706 99	(440 02)	266 97 L
9/16 9/21	Sale High Cost	UNITED TECHNOLOGIES CORP @ 101 0149 505 07 BROKERAGE 0 07 TAX &/OR SEC 01 UBS SECURITIES LLC (ID 913017-10-9)	(5 000)	100 998	504 99	(314 30)	190 69 L
9/16 9/21	Sale High Cost	UNITED TECHNOLOGIES CORP @ 100 375 100 37 BROKERAGE 0 01 DREXEL HAMILTON LLC (ID 913017-10-9)	(1 000)	100 36	100 36	(62 86)	37 50 L
9/16 9/21	Sale High Cost	UNITED TECHNOLOGIES CORP @ 100 375 200 75 BROKERAGE 0 02 DREXEL HAMILTON LLC (ID 913017-10-9)	(2 000)	100 365	200 73	(125 72)	75 01 L
9/16 9/21	Sale High Cost	UNITED TECHNOLOGIES CORP @ 100 1839 601 10 BROKERAGE 0 15 TAX &/OR SEC 01 DEUTSCHE BANC ALEX BROWN INC (ID 913017-10-9)	(6 000)	100 157	600 94	(377 16)	223 78 L
9/16 9/21	Sale High Cost	UNITED TECHNOLOGIES CORP @ 100 1839 400 73 BROKERAGE 0 10 DEUTSCHE BANC ALEX BROWN INC (ID 913017-10-9)	(4 000)	100 158	400 63	(251 44)	149 19 L
9/16 9/21	Sale High Cost	ISHA CURR HEDGED MSCIEAFE @ 24 24 30,396 96 BROKERAGE 31 35 TAX &/OR SEC 66 MERRILL LYNCH PROF CLEARING CORP (ID 46434V-80-3)	(1,254 000)	24 214	30,364 95	(27,626 49)	2,738 46 S
9/16 9/21	Sale High Cost	ISHA CURR HEDGED MSCIEAFE @ 24 2418 72,701 15 BROKERAGE 44 98 TAX &/OR SEC 1 58 DEUTSCHE BANC ALEX BROWN INC (ID 46434V-80-3)	(2,999 000)	24 226	72,654 59	(66,029 76)	6,624 83 S
9/16 9/21	Sale High Cost	ISHA CURR HEDGED MSCIEAFE @ 24 2278 58,170 94 BROKERAGE 36 01 TAX &/OR SEC 1 26 UBS SECURITIES LLC (ID 46434V-80-3)	(2,401 000)	24 212	58,133 67	(52,827 57)	5,306 10 S



THE HALSTEAD FAMILY FDN INC. ACCT. N00584008
For the Period 9/1/16 to 9/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
9/16 9/21	Sale High Cost		ISHA CURR HEDGED MSCI EAFE @ 24 26 8,393 96 BROKERAGE 8 65 TAX &/OR SEC 18 FIRST NEW YORK SECURITIES LLC (ID. 46434V-80-3)	(346 000)	24 234	8,385 13	(7,609 41)	775.72 S
9/21 9/26	Sale High Cost		LAM RESEARCH CORP @ 92 58 648 06 BROKERAGE 0 10 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID 512807-10-8)	(7 000)	92 564	647 95	(577.82)	70 13 L
9/21 9/26	Sale High Cost		LAM RESEARCH CORP @ 92 8611 278 58 BROKERAGE 0 04 CREDIT SUISSE FIRST BOSTON LLC (ID 512807-10-8)	(3 000)	92.847	278 54	(247 41)	31 13 L
9/22 9/27	Sale High Cost		LAM RESEARCH CORP @ 92 6737 278 02 BROKERAGE 0 04 CITIGROUP GLOBAL MKTS INC (ID. 512807-10-8)	(3 000)	92 66	277 98	(247 41)	30 57 L
9/22 9/27	Sale High Cost		LAM RESEARCH CORP @ 92 4555 92 45 BROKERAGE 0 01 CREDIT SUISSE FIRST BOSTON LLC (ID 512807-10-8)	(1 000)	92 44	92 44	(82 47)	9 97 L
9/22 9/27	Sale High Cost		LAM RESEARCH CORP @ 92 7411 463 70 BROKERAGE 0 12 TAX &/OR SEC 01 UBS SECURITIES LLC (ID 512807-10-8)	(5 000)	92.714	463 57	(396 79)	66 78 L
9/23 9/28	Sale High Cost		LAM RESEARCH CORP @ 92 2562 276.76 BROKERAGE 0 07 UBS SECURITIES LLC (ID 512807-10-8)	(3 000)	92.23	276 69	(224 09)	52 60 L
Total Settled Sales/Maturities/Redemptions						\$192,675.75	(\$171,487.30)	\$4,438.88 L \$16,749.57 S



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 10/1/16 to 10/31/16

Settle Date	Type	Description	Quantity	Amount
	Selection Method		Cost	
Payments and Transfers				
10/3	ACH Payment	TRANSFERRED BY ACH TO ARIZONA FEDERAL CREDIT UNI FAO JEFF BERGFORD MONTHLY BOARD COMPENSATION	(728 13)	
10/3	ACH Payment	TRANSFERRED BY ACH TO USAA FEDERAL SAVINGS BANK FAO STEPHEN KLESIUS MONTHLY BOARD COMPENSATION	(728 92)	
10/3	ACH Payment	TRANSFERRED BY ACH TO USAA FEDERAL SAVINGS BANK FAO ELAINE KLESIUS MONTHLY BOARD COMPENSATION	(819 11)	
Total Payments and Transfers				(\$4,533.20)

TRADE ACTIVITY

Note L indicates Long Term Realized Gain/Loss S indicates Short Term Realized Gain/Loss

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
10/3	Sale	DIAMONDBACK ENERGY INC @ 92 5269 1.665 48	(18 000)	92 51	1,665 18	(1,304 00)	361 18 S
10/6	High Cost	BROKERAGE 0 27 TAX &/OR SEC 03 MORGAN STANLEY & CO LLC (ID 25278X-10-9)					
10/4	Sale	ADVANSIX INC @ 15 3074 91 84 BROKERAGE 0 09	(6 000)	15 292	91 75	(46 95)	44 50 L
10/7	High Cost	CITIGROUP GLOBAL MKTS INC (ID 00773T-10-1)					
10/7	Sale	CBS CORP-CLASS B NON VOTING @ 55 3796 3.931 95	(71 000)	55 364	3,930 81	(4,028 61)	(97 80) L
10/13	High Cost	BROKERAGE 1 06 TAX &/OR SEC 08 MORGAN STANLEY & CO LLC (ID 124857-20-2)					
10/18	Sale	LAM RESEARCH CORP @ 99 495 1.094 44 BROKERAGE	(11 000)	99 483	1,094 31	(821 65)	272 66 L
10/21	High Cost	0 11 TAX &/OR SEC 02 LOOP CAPITAL MARKETS (ID 512807-10-8)					

J.P.Morgan



THE HALSTEAD FAMILY FDN INC. ACCT N00584008
For the Period 10/1/16 to 10/31/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
10/18 10/21	Sale High Cost		LAM RESEARCH CORP @ 99 5076 1.094 58 BROKERAGE 0 02 TAX &/OR SEC 02 LUMINEX TRADING AND ANALYTICS LLC (ID 512807-10-8)	(11 000)	99 504	1 094 54	(821 64)	272 90 L
10/18 10/21	Sale High Cost		LAM RESEARCH CORP @ 99 4451 1.690 56 BROKERAGE 0 25 TAX &/OR SEC 03 CREDIT SUISSSE FIRST BOSTON LLC (ID 512807-10-8)	(17 000)	99 428	1,690 28	(720 16)	970 12 L
10/31 10/31	Cash In Lieu		ADVANSIX INC CASH IN LIEU OF FRACTIONAL SHARES (ID 00773T-10-1)	0 400	16 175	6 47		6 47 L
Total Settled Sales/Maturities/Redemptions						\$9,573.34	(\$7,743.01)	\$1,468.85 L \$361.18 S

Trade Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settle Date					
Settled Securities Purchased					
10/3 10/6	Purchase	JOHNSON CONTROLS INTERNATIONAL PLC @ 46 3501 1 993 05 BROKERAGE 0 64 CREDIT SUISSSE FIRST BOSTON LLC (ID G51502-10-5)	43 000	46 365	(1,993 69)
10/7 10/13	Purchase	WALT DISNEY CO/THE @ 92 5266 2.775 79 BROKERAGE 0 75 UBS SECURITIES LLC (ID 254687-10-6)	30 000	92 551	(2,776 54)
10/7 10/13	Purchase	WALT DISNEY CO/THE @ 92 5818 1.018 39 BROKERAGE 0 27 UBS SECURITIES LLC (ID 254687-10-6)	11 000	92 605	(1,018 66)
10/10 10/13	Purchase	WALT DISNEY CO/THE @ 92 844 1.299 81 BROKERAGE 0 35 UBS SECURITIES LLC (ID 254687-10-6)	14 000	92 869	(1,300 16)
10/18 10/21	Purchase	NEXTERA ENERGY INC @ 125 1804 2.002 88 BROKERAGE 0 24 UBS SECURITIES LLC (ID 65339F-10-1)	16 000	125 195	(2,003 12)

J.P.Morgan



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 11/1/16 to 11/30/16

Settle Date	Type	Description	Quantity	Amount
	Selection Method		Cost	
Payments and Transfers				
11/1	ACH Payment	TRANSFERRED BY ACH TO ARIZONA FEDERAL CREDIT UNI FAO DAWN M GREEN MONTHLY BOARD COMPENSATION	(728 13)	
11/1	ACH Payment	TRANSFERRED BY ACH TO ARIZONA FEDERAL CREDIT UNI FAO JEFF BERGFORD MONTHLY BOARD COMPENSATION	(728 13)	
11/1	ACH Payment	TRANSFERRED BY ACH TO USAA FEDERAL SAVINGS BANK FAO STEPHEN KLESIUS MONTHLY BOARD COMPENSATION	(728 92)	
11/1	ACH Payment	TRANSFERRED BY ACH TO USAA FEDERAL SAVINGS BANK FAO ELAINE KLESIUS MONTHLY BOARD COMPENSATION	(819 11)	
11/1	ACH Payment	TRANSFERRED BY ACH TO JPMORGAN CHASE BANK FAO PATRICK A KLESIUS AS REQUESTED	(1,528 91)	
Total Payments and Transfers				(\$4,533.20)

TRADE ACTIVITY

Note L indicates Long Term Realized Gain/Loss S indicates Short Term Realized Gain/Loss
* Settled transaction was initiated in prior statement period and settled in current statement period

Settled transaction rate initiated in prior statement period and settled in current statement period								
Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss	
Settle Date	Selection Method							
Settled Sales/Maturities/Redemptions								
10/27 11/1	Sale High Cost	NXP SEMICONDUCTORS NV @ 99 0203 396 08 BROKERAGE 0 06 JEFFERIES & COMPANY (ID N6596X-10-9)	(4 000)	99 005	396 02	(414 99)	(18 97) L *	
10/27 11/1	Sale High Cost	GILEAD SCIENCES INC @ 75 8452 1,441 05 BROKERAGE 0 28 TAX &/OR SEC 03 CITIGROUP GLOBAL MKTS INC (ID 375558-10-3)	(19 000)	75 828	1,440 74	(2,166 36)	(725 62) L *	



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 11/1/16 to 11/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
10/27 11/1	Sale High Cost		TIME WARNER INC @ 88 2225 1.323 33 BROKERAGE 0 22 TAX &/OR SEC 02 KNIGHT EQUITY MARKETS L P. (ID 887317-30-3)	(15 000)	88 206	1,323 09	(316 03)	1,007 06 L*
10/27 11/1	Sale High Cost		NXP SEMICONDUCTORS NV @ 99 0757 2,179 66 BROKERAGE 0 33 TAX &/OR SEC 04 SJ LEVINSON & SONS LLC (ID N6596X-10-9)	(22 000)	99 059	2,179 29	(2,211 61)	(74.12) L* 41 80 S
10/27 11/1	Sale High Cost		GILEAD SCIENCES INC @ 75 9915 1,443 83 BROKERAGE 0 19 TAX &/OR SEC 03 ACADEMY SECURITIES, INC. (ID 375558-10-3)	(19 000)	75.979	1,443 61	(2,068 53)	(624.92) L*
10/27 11/1	Sale High Cost		NXP SEMICONDUCTORS NV @ 99 2613 3,176 36 BROKERAGE 0 32 TAX &/OR SEC 06 STATE STREET GLOBAL MARKETS, LLC (ID N6596X-10-9)	(32 000)	99 249	3,175 98	(2,876 00)	299 98 S*
10/27 11/1	Sale High Cost		TIME WARNER INC @ 88 2507 1,323 76 BROKERAGE 0 37 TAX &/OR SEC 02 ISI GROUP INC (ID 887317-30-3)	(15 000)	88 225	1,323 37	(316.03)	1,007.34 L*
10/28 11/2	Sale High Cost		NXP SEMICONDUCTORS NV @ 99 9575 1,099 53 BROKERAGE 0 16 TAX &/OR SEC 02 SANFORD C BERNSTEIN & CO INC (SCB (ID N6596X-10-9)	(11 000)	99 941	1,099 35	(925 63)	173 72 S*
10/28 11/2	Sale High Cost		NXP SEMICONDUCTORS NV @ 99.695 99 69 BROKERAGE 0 01 LIQUIDNET INC (ID N6596X-10-9)	(1 000)	99.68	99 68	(84 08)	15 60 S*
10/28 11/2	Sale High Cost		NXP SEMICONDUCTORS NV @ 99 5627 597 37 BROKERAGE 0 09 TAX &/OR SEC .01 UBS SECURITIES LLC (ID N6596X-10-9)	(6 000)	99.545	597 27	(504 48)	92.79 S*
11/3 11/8	Sale High Cost		ADIANT LTD ISIN IE00BD845X29 @ 44 6238 490 86 BROKERAGE 0 16 TAX &/OR SEC 01 KNIGHT EQUITY MARKETS L P (ID G0084W-10-1)	(11 000)	44 608	490 69	(542 39)	(51 70) S
11/7 11/10	Sale High Cost		DIAMONDBACK ENERGY INC @ 93 1496 2,049 29 BROKERAGE 0 33 TAX &/OR SEC 04 CITIGROUP GLOBAL MKTS INC (ID 25278X-10-9)	(22 000)	93 133	2,048 92	(1,587.28)	461 64 S



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 11/1/16 to 11/30/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
11/7	Sale	TIME WARNER INC @ 87 6889 4,121 37 BROKERAGE	(47 000)	87 672	4,120 59	(946 46)	3,174 13 L
11/10	High Cost	0 70 TAX &/OR SEC 08 CREDIT SUISSE FIRST BOSTON LLC (ID 887317-30-3)					
11/17	Sale	JPM GLBL RES ENH INDEX FD - SEL FUND 3457 JP	(1,143 460)	18 61	21,279 79	(20,479 37)	800 42 L
11/18	High Cost	MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 18 61 (ID 46637K-51-3)					
11/15	Sale	HARMAN INTERNATIONAL @ 109 5129 547 56	(5 000)	109 496	547 48	(523 56)	23 92 L
11/18	High Cost	BROKERAGE 0 07 TAX &/OR SEC 01 CITIGROUP, GLOBAL MKTS INC (ID 413086-10-9)					
11/17	Sale	PARNAUSSUS CORE EQUITY FD-INS (ID 701769-40-8)	(1,879 228)	39 91	75,000 00	(67,013 28)	7,986 72 L
11/18	High Cost						
11/17	Sale	OAKMARK INTERNATIONAL-I (ID 413838-20-2)	(2,028 855)	22 18	45,000 00	(53,764 66)	(8,764 66) L
11/18	High Cost						
11/17	Sale	BROWN ADV JAPAN ALPHA OPP-IS (ID 115233-57-9)	(14,069 152)	9 743	137,081 38	(155,000 00)	(17,918 62) L
11/18	High Cost						
11/17	Sale	THE ARBITRAGE FD-I (ID 03875R-20-5)	(1,795 163)	13 23	23,750 00	(23,488 64)	261 36 L
11/18	High Cost						
11/16	Sale	HARMAN INTERNATIONAL @ 109 5517 547 75	(5 000)	109 534	547 67	(520 47)	27 20 L
11/21	High Cost	BROKERAGE 0 07 TAX &/OR SEC 01 MORGAN STANLEY & CO LLC (ID 413086-10-9)					
11/16	Sale	HARMAN INTERNATIONAL @ 109 2834 437 13	(4 000)	109 268	437 07	(409 75)	27 32 L
11/21	High Cost	BROKERAGE 0 06 RBC CAPITAL MARKETS CORPORATION (ID 413086-10-9)					
11/16	Sale	HARMAN INTERNATIONAL @ 109 1737 109 17	(1 000)	109 16	109 16	(99 96)	9 20 L
11/21	High Cost	BROKERAGE 0 01 STATE STREET GLOBAL MARKETS, LLC (ID 413086-10-9)					



THE HALSTEAD FAMILY FDN INC. ACCT. N00584008
For the Period 11/1/16 to 11/30/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
11/21	LT Capital Gain	ARTISAN INTL VALUE FD-ADV 11/17/16 LONG TERM	4,401 572	0 67	2,946 85		
11/21	Distribution	CAPITAL GAINS @ 0 669 PER SHARE AS OF 11/17/16 (ID 04314H-66-7)					
11/17	Sale	HARMAN INTERNATIONAL @ 109 189 1,310.26	(12,000)	109.162	1,309 94	(1,187 65)	122.29 L
11/22	High Cost	BROKERAGE 0 30 TAX &/OR SEC 02 GOLDMAN SACHS & CO (ID 413086-10-9)					
11/17	Sale	ISHARES CORE S&P MIDCAP ETF @ 160.2128	(358 000)	160 194	57,349 55	(47,515 84)	9,833.71 L
11/22	High Cost	57,356 18 BROKERAGE 5 37 TAX &/OR SEC 1 26 JEFFERIES & COMPANY (ID 464287-50-7)					
11/17	Sale	HARMAN INTERNATIONAL @ 109 208 109 20 BROKERAGE	(1 000)	109 19	109 19	(97.78)	11 41 L
11/22	High Cost	0.01 BLOOMBERG TRADEBOOK LLC (ID 413086-10-9)					
11/17	Sale	HARMAN INTERNATIONAL @ 109 3504 109 35	(1 000)	109 34	109 34	(97 77)	11 57 L
11/22	High Cost	BROKERAGE 0 01 JEFFERIES & COMPANY (ID 413086-10-9)					
11/17	Sale	HARMAN INTERNATIONAL @ 109 3095 109 30	(1 000)	109.28	109.28	(97 13)	12 15 L
11/22	High Cost	BROKERAGE 0 02 GOLDMAN SACHS & CO (ID 413086-10-9)					
11/18	Sale	COCA-COLA CO/THE @ 41 1821 4,035.84 BROKERAGE	(98,000)	41 166	4,034 29	(4,285 85)	(251.56) L
11/23	High Cost	1 47 TAX &/OR SEC 08 DEUTSCHE BANC ALEX BROWN INC (ID 191216-10-0)					
11/18	Sale	HARMAN INTERNATIONAL @ 109 3293 1,093 29	(10 000)	109 302	1,093 02	(971 30)	121 72 L
11/23	High Cost	BROKERAGE 0.25 TAX &/OR SEC 02 UBS SECURITIES LLC (ID 413086-10-9)					
11/23	LT Capital Gain	PARNASSUS CORE EQUITY FD-INS 11/22/16 LONG TERM	3,168 444	1.091	3,458 04		
11/23	Distribution	CAPITAL GAINS @ 1 091 PER SHARE AS OF 11/22/16 (ID 701769-40-8)					
11/22	Sale	HARMAN INTERNATIONAL @ 109 5722 219 14	(2 000)	109 555	219 11	(190 30)	28 81 L
11/28	High Cost	BROKERAGE 0 03 UBS SECURITIES LLC (ID 413086-10-9)					



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 11/1/16 to 11/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
11/22	Sale		HARMAN INTERNATIONAL @ 109 6499 328 94	(3 000)	109 633	328 90	(284 40)	44 50 L
11/28	High Cost		BROKERAGE 0 04 UBS SECURITIES LLC (ID 413086-10-9)					
11/22	Sale		HARMAN INTERNATIONAL @ 109 645 109 64 BROKERAGE	(1 000)	109 63	109 63	(94 80)	14.83 L
11/28	High Cost		0 01 STATE STREET GLOBAL MARKETS, LLC (ID 413086-10-9)					
11/23	Sale		HARMAN INTERNATIONAL @ 109 5542 328 66	(3 000)	109 54	328 62	(284 29)	44 33 L
11/29	High Cost		BROKERAGE 0 04 UBS SECURITIES LLC (ID 413086-10-9)					
11/23	Sale		HARMAN INTERNATIONAL @ 109 40 109 40 BROKERAGE	(1 000)	109 39	109 39	(90 84)	18 55 L
11/29	High Cost		0 01 ACADEMY SECURITIES, INC (ID 413086-10-9)					
11/23	Sale		HARMAN INTERNATIONAL @ 109 6111 109 61	(1 000)	109 59	109 59	(90 84)	18 75 L
11/29	High Cost		BROKERAGE 0 02 JEFFERIES & COMPANY (ID 413086-10-9)					
11/23	Sale		HARMAN INTERNATIONAL @ 109 5121 109 51	(1 000)	109 50	109 50	(90 84)	18 66 L
11/29	High Cost		BROKERAGE 0 01 UBS SECURITIES LLC (ID 413086-10-9)					
11/23	Sale		HARMAN INTERNATIONAL @ 109 4273 109 42	(1 000)	109 41	109 41	(90 84)	18 57 L
11/29	High Cost		BROKERAGE 0 01 STATE STREET GLOBAL MARKETS, LLC (ID 413086-10-9)					
Total Settled Sales/Maturities/Redemptions						\$395,434.80	(\$391,730.03)	(\$3,733.95) L \$1,033.83 S



THE HALSTEAD FAMILY FDN INC. ACCT. NO0584008
For the Period 12/1/16 to 12/31/16

TRADE ACTIVITY

Note L indicates Long Term Realized Gain/Loss S indicates Short Term Realized Gain/Loss
* Settled transaction was initiated in prior statement period and settled in current statement period

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
11/28 12/1	Sale High Cost		HARMAN INTERNATIONAL @ 109 6387 109 63 BROKERAGE 0 01 UBS SECURITIES LLC (ID 413086-10-9)	(1 000)	109 62	109 62	(90 84)	18 78 L*
11/29 12/2	Sale High Cost		HARMAN INTERNATIONAL @ 109 4489 109 44 BROKERAGE 0 01 BARCLAYS CAPITAL LE (ID 413086-10-9)	(1 000)	109 43	109 43	(90 84)	18 59 L*
11/30 12/5	Sale High Cost		HARMAN INTERNATIONAL @ 109 409 109 40 BROKERAGE 0 01 DEUTSCHE BANC ALEX BROWN INC (ID 413086-10-9)	(1 000)	109 39	109 39	(90 84)	18 55 L*
11/30 12/5	Sale High Cost		HARMAN INTERNATIONAL @ 109 431 109 43 BROKERAGE 0 01 UBS SECURITIES LLC (ID 413086-10-9)	(1 000)	109 42	109 42	(90 85)	18 57 L*
11/30 12/5	Sale High Cost		HARMAN INTERNATIONAL @ 109 485 109 48 BROKERAGE 0 01 DREXEL HAMILTON LLC (ID 413086-10-9)	(1 000)	109 47	109 47	(90 85)	18 62 L*
12/5 12/5	Cash In Lieu		ADIENT LTD ISIN IE00BD845X29 CASH IN LIEU OF FRACTIONAL SHARES (ID G0084W-10-1)	0 800	56 025	44 82		44 82 L
12/1 12/6	Sale High Cost		HARMAN INTERNATIONAL @ 109 5178 328 55 BROKERAGE 0 04 JEFFERIES & COMPANY (ID 413086-10-9)	(3 000)	109 503	328 51	(272 53)	55 98 L
12/1 12/6	Sale High Cost		HARMAN INTERNATIONAL @ 109 457 109 45 BROKERAGE 0 02 DEUTSCHE BANC ALEX BROWN INC (ID 413086-10-9)	(1 000)	109 43	109 43	(88 87)	20 56 L
12/6 12/7	Sale High Cost		THE ARBITRAGE FD-I (ID 03875R-20-5)	(8,385 648)	13 29	111,445 26	(109,261 79)	2,183 47 L
12/6 12/7	Sale High Cost		GATEWAY FD-Y (ID 367829-88-4)	(9,712,761)	30 86	299,735 80	(269,552 35)	30,183 45 L



THE HALSTEAD FAMILY FDN INC. ACCT. N00584008
For the Period 12/1/16 to 12/31/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
12/5 12/8	Sale High Cost	YUM CHINA HOLDINGS INC @ 28 0923 1,460 79 BROKERAGE 0 78 TAX &/OR SEC 03 JEFFERIES & COMPANY (ID 98850P-10-9)	(52 000)	28 077	1,459 98	(1,256 67)	203 31 S
12/5 12/8	Sale High Cost	YUM CHINA HOLDINGS INC @ 28 07 308 77 BROKERAGE 0 11 STATE STREET GLOBAL MARKETS, LLC (ID 98850P-10-9)	(11 000)	28 06	308 66	(259 55)	49 11 S
12/5 12/8	Sale High Cost	DANAHER CORP @ 77 1377 2,314 13 BROKERAGE 0 45 TAX &/OR SEC 05 SJ LEVINSON & SONS LLC (ID 235851-10-2)	(30 000)	77 121	2,313 63	(2,376 82)	(63 19) S
12/5 12/8	Sale High Cost	TIME WARNER INC @ 93 4522 2,429 75 BROKERAGE 0 39 TAX &/OR SEC 05 SJ LEVINSON & SONS LLC (ID 887317-30-3)	(26 000)	93 435	2,429 31	(508 08)	1,921 23 L
12/5 12/8	Sale High Cost	DANAHER CORP @ 77 1677 2,469 36 BROKERAGE 0 32 TAX &/OR SEC 05 CITIGROUP GLOBAL MKTS INC (ID 235851-10-2)	(32 000)	77 156	2,468 99	(2,411 70)	57 29 S
12/8 12/13	Sale High Cost	SCHLUMBERGER LTD @ 84 4633 3,378 53 BROKERAGE 0 60 TAX &/OR SEC 07 JEFFERIES & COMPANY (ID 806857-10-8)	(40 000)	84 447	3,377 86	(3,217 27)	153 75 L 6 84 S
12/8 12/13	Sale High Cost	TIME WARNER INC @ 94 5468 2,552 76 BROKERAGE 0 40 TAX &/OR SEC 05 JEFFERIES & COMPANY (ID 887317-30-3)	(27 000)	94 53	2,552 31	(512 32)	2,039 99 L
12/8 12/13	Sale High Cost	CARNIVAL CORP @ 52 9155 2,857 43 BROKERAGE 0 81 TAX &/OR SEC 06 UBS SECURITIES LLC (ID 143658-30-0)	(54 000)	52 899	2,856 56	(2,824 89)	20 34 L 11 33 S
12/13 12/13	LT Capital Gain Distribution	PRUDENTIAL TOTAL RETRN BND-Z 12/12/16 LONG TERM CAPITAL GAINS @ 0 069 PER SHARE AS OF 12/12/16 (ID 74440B-40-5)	23,324 092	0 07	1,622 43		



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Settle Date								
Settled Sales/Maturities/Redemptions								
12/15	LT Capital Gain		JPM INTERM TAX FREE BD FD - INSTL FUND 1374	53 625 729	0 02	1,088 60		
12/15	Distribution		LONG TERM CAPITAL GAINS @ 0 0203 (ID 4812A0-45-8)					
12/15	LT Capital Gain		JPM CORE BD FD - SEL FUND 3720 LONG TERM	15,997 605	0 041	655 90		
12/15	Distribution		CAPITAL GAINS @ 0 041 (ID 4812C0-38-1)					
12/15	Sale		MARSH & MCLENNAN COS @ 68 8259 481 78 BROKERAGE	(7 000)	68 81	481 67	(368 65)	113 02 L
12/20	High Cost		0 10 TAX &/OR SEC 01 CREDIT SUISSE FIRST BOSTON LLC (ID 571748-10-2)					
12/15	Sale		MARSH & MCLENNAN COS @ 68 7328 3,024 24	(44 000)	68 716	3,023 52	(2,181 58)	841 94 L
12/20	High Cost		BROKERAGE 0 66 TAX &/OR SEC 06 CREDIT SUISSE FIRST BOSTON LLC (ID 571748-10-2)					
12/20	LT Capital Gain		NEUBERGER BER MU/C OPP-INS 12/19/16 LONG TERM	17,554 292	0 448	7,862 57		
12/20	Distribution		CAPITAL GAINS @ 0 447 PER SHARE AS OF 12/19/16 (ID 64122Q-30-9)					
12/16	Sale		TIME WARNER INC @ 94 9338 284 80 BROKERAGE 0 07	(3 000)	94 91	284 73	(54 29)	230 44 L
12/21	High Cost		UBS SECURITIES LLC (ID 887317-30-3)					
12/16	Sale		TIME WARNER INC @ 94 95 474 75 BROKERAGE 0 12	(5 000)	94 924	474 62	(90 48)	384 14 L
12/21	High Cost		TAX &/OR SEC 01 JEFFERIES & COMPANY (ID 887317-30-3)					
12/16	Sale		TIME WARNER INC @ 94 8128 663.68 BROKERAGE 0 17	(7 000)	94 786	663 50	(126 68)	536 82 L
12/21	High Cost		TAX &/OR SEC 01 UBS SECURITIES LLC (ID 887317-30-3)					
12/16	Sale		KIMBERLY-CLARK CORP @ 116 1521 232 30 BROKERAGE	(2 000)	116 135	232 27	(261 25)	(28 98) S
12/21	High Cost		0 03 KNIGHT EQUITY MARKETS L P (ID 494368-10-3)					
12/16	Sale		KIMBERLY-CLARK CORP @ 116 0612 116 06 BROKERAGE	(1 000)	116 04	116 04	(130 63)	(14 59) S
12/21	High Cost		0 02 WELLS FARGO SECURITIES, LLC (ID 494368-10-3)					



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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
12/16	Sale		TIME WARNER INC @ 94 9813 379 92 BROKERAGE 0 04	(4 000)	94 97	379 88	(72 39)	307 49 L
12/21	High Cost		STATE STREET GLOBAL MARKETS, LLC (ID 887317-30-3)					
12/16	Sale		MARSH & MCLENNAN COS @ 68 4784 1,711 96	(25 000)	68 462	1,711 56	(1,171 03)	540 53 L
12/21	High Cost		BROKERAGE 0 37 TAX &/OR SEC 03 CITIGROUP GLOBAL MKTS INC (ID 571748-10-2)					
12/19	Sale		KIMBERLY-CLARK CORP @ 114 874 114 87 BROKERAGE	(1 000)	114 86	114 86	(130 63)	(15 77) S
12/22	High Cost		0.01 CITIGROUP GLOBAL MKTS INC (ID 494368-10-3)					
12/19	Sale		KIMBERLY-CLARK CORP @ 114 8632 114 86 BROKERAGE	(1 000)	114 84	114 84	(130 63)	(15 79) S
12/22	High Cost		0 02 UBS SECURITIES LLC (ID 494368-10-3)					
12/20	Sale		KIMBERLY-CLARK CORP @ 114 3717 343 11 BROKERAGE	(3 000)	114 347	343 04	(391 88)	(48 84) S
12/23	High Cost		0 07 DEUTSCHE BANC ALEX BROWN INC (ID 494368-10-3)					
12/20	Sale		KIMBERLY-CLARK CORP @ 114 2596 114 25 BROKERAGE	(1 000)	114 24	114 24	(130 63)	(16 39) S
12/23	High Cost		0 01 KNIGHT EQUITY MARKETS L P (ID 494368-10-3)					
12/21	Sale		KIMBERLY-CLARK CORP @ 115 1451 115 14 BROKERAGE	(1 000)	115 12	115 12	(130 62)	(15 50) S
12/27	High Cost		0 02 JEFFERIES & COMPANY (ID 494368-10-3)					
12/27	LT Capital Gain Distribution		VANGUARD INTM TRM INV G-ADM 12/27/16 LONG TERM CAPITAL GAINS @ 0 036 PER SHARE (ID 922031-81-0)	16,187 050	0 036	589 21		
12/23	Sale		KIMBERLY-CLARK CORP @ 114 9136 229 82 BROKERAGE	(2 000)	114 885	229 77	(252 01)	(22 24) S
12/29	High Cost		0 05 DEUTSCHE BANC ALEX BROWN INC (ID 494368-10-3)					
Total Settled Sales/Maturities/Redemptions						\$450,196.82	(\$398,620.44)	\$39,671.08 L \$86.59 S