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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

**2016**

Open to Public Inspection

For calendar year 2016 or tax year beginning

, 2016, and ending

, 20

Name of foundation <b>MAGNETAR CAPITAL FOUNDATION</b>		<b>A</b> Employer identification number 46-0807550						
Number and street (or P O box number if mail is not delivered to street address)  1603 ORRINGTON AVENUE		<b>B</b> Telephone number (see instructions)  (847) 905-4624						
City or town, state or province, country, and ZIP or foreign postal code  EVANSTON, IL 60201-5018		<b>C</b> If exemption application is pending, check here. <input type="checkbox"/>						
<b>G</b> Check all that apply: <table border="0"> <tr> <td><input type="checkbox"/> Initial return</td> <td><input type="checkbox"/> Initial return of a former public charity</td> </tr> <tr> <td><input type="checkbox"/> Final return</td> <td><input type="checkbox"/> Amended return</td> </tr> <tr> <td><input type="checkbox"/> Address change</td> <td><input type="checkbox"/> Name change</td> </tr> </table>		<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	<b>D</b> 1 Foreign organizations check here. <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation. <input type="checkbox"/>
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity							
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return							
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change							
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here. <input type="checkbox"/>						
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 62,835.		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. <input type="checkbox"/>						
<b>J</b> Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)								

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)		1,203,221.			
<b>2</b> Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.					
<b>3</b> Interest on savings and temporary cash investments.					
<b>4</b> Dividends and interest from securities					
<b>5a</b> Gross rents					
<b>b</b> Net rental income or (loss)					
<b>6a</b> Net gain or (loss) from sale of assets not on line 10					
<b>b</b> Gross sales price for all assets on line 6a					
<b>7</b> Capital gain net income (from Part IV, line 2)			0.		
<b>8</b> Net short-term capital gain					
<b>9</b> Income modifications					
<b>a</b> Gross sales less returns and allowances					
<b>b</b> Less: Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule) <b>ATCH. 1</b>		1,666.			
<b>12</b> Total. Add lines 1 through 11		1,204,887.	0.		
<b>13</b> Compensation of officers, directors, trustees, etc.		0.			
<b>14</b> Other employee salaries and wages					
<b>15</b> Pension plans, employee benefits					
<b>16a</b> Legal fees (attach schedule) <b>ATCH. 2</b>		-258.			595.
<b>b</b> Accounting fees (attach schedule) <b>ATCH. 3</b>		12,325.			19,325.
<b>c</b> Other professional fees (attach schedule) <b>[4]</b>		137,529.			173,215.
<b>17</b> Interest					
<b>18</b> Taxes (attach schedule) (see instructions) <b>[5]</b>		246.			65.
<b>19</b> Depreciation (attach schedule) and depletion					
<b>20</b> Occupancy					
<b>21</b> Travel, conferences, and meetings					
<b>22</b> Printing and publications					
<b>23</b> Other expenses (attach schedule) <b>ATCH. 6</b>		184,583.			201,951.
<b>24</b> Total operating and administrative expenses. Add lines 13 through 23.		334,425.			395,151.
<b>25</b> Contributions, gifts, grants paid		5,034,906.			1,008,011.
<b>26</b> Total expenses and disbursements. Add lines 24 and 25		5,369,331.	0.	0.	1,403,162.
<b>27</b> Subtract line 26 from line 12					
<b>a</b> Excess of revenue over expenses and disbursements		-4,164,444.			
<b>b</b> Net investment income (if negative, enter -0-)			0.		
<b>c</b> Adjusted net income (if negative, enter -0-)					

9- 24

SCANNED DEC 07 2017

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .		257,696.	62,835.	62,835.
	2	Savings and temporary cash investments . . . . .				
	3	Accounts receivable ▶ . . . . .				
		Less allowance for doubtful accounts ▶ . . . . .				
	4	Pledges receivable ▶ . . . . .				
		Less allowance for doubtful accounts ▶ . . . . .				
	5	Grants receivable. . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7	Other notes and loans receivable (attach schedule) ▶ . . . . .				
		Less allowance for doubtful accounts ▶ . . . . .				
	8	Inventories for sale or use. . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10a	Investments - U S and state government obligations (attach schedule) . . . . .				
	b	Investments - corporate stock (attach schedule) . . . . .				
	c	Investments - corporate bonds (attach schedule) . . . . .				
	Liabilities	11	Investments - land, buildings, and equipment basis ▶ . . . . .			
		Less accumulated depreciation (attach schedule) ▶ . . . . .				
12		Investments - mortgage loans . . . . .				
13		Investments - other (attach schedule) . . . . .				
14		Land, buildings, and equipment basis ▶ . . . . .				
		Less accumulated depreciation (attach schedule) ▶ . . . . .				
15		Other assets (describe ▶ . . . . .)				
16		<b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .		257,696.	62,835.	62,835.
17		Accounts payable and accrued expenses . . . . .		149,954.	112,181.	
18		Grants payable . . . . .			4,007,356.	
Net Assets or Fund Balances	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe ▶ . . . . .)				
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		149,954.	4,119,537.	
		<b>Foundations that follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>				
	24	Unrestricted . . . . .		104,121.	-4,056,702.	
	25	Temporarily restricted . . . . .		3,621.		
	26	Permanently restricted . . . . .				
		<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> ▶ <input type="checkbox"/>				
27	Capital stock, trust principal, or current funds . . . . .					
28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .					
29	Retained earnings, accumulated income, endowment, or other funds . . . . .					
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .		107,742.	-4,056,702.		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .		257,696.	62,835.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	107,742.
2	Enter amount from Part I, line 27a . . . . .	2	-4,164,444.
3	Other increases not included in line 2 (itemize) ▶ . . . . .	3	
4	Add lines 1, 2, and 3 . . . . .	4	-4,056,702.
5	Decreases not included in line 2 (itemize) ▶ . . . . .	5	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	-4,056,702.

Form **990-PF** (2016)

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)	<div style="display: flex; align-items: center;"> <div style="font-size: 3em; margin-right: 10px;">{</div> <div>           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div> </div>		2		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div style="display: flex; align-items: center;"> <div style="font-size: 3em; margin-right: 10px;">}</div> <div>           If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8         </div> </div>		3		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	470,065.	422,988.	1.111296
2014	331,787.	742,054.	0.447120
2013	553,866.	1,216,365.	0.455345
2012	63,247.	30,699.	2.060230
2011			
2 Total of line 1, column (d)			4.073991
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.			1.018498
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			143,927.
5 Multiply line 4 by line 3.			146,589.
6 Enter 1% of net investment income (1% of Part I, line 27b).			
7 Add lines 5 and 6.			146,589.
8 Enter qualifying distributions from Part XII, line 4.			1,403,162.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948- see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . . Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)		1	0.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2 . . . . .		3	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	0.
6 Credits/Payments			
a 2016 estimated tax payments and 2015 overpayment credited to 2016 . . . . .	6a		
b Exempt foreign organizations - tax withheld at source . . . . .	6b		
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c		
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d . . . . .	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9		0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10		
11 Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IL, NY, <input type="checkbox"/>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X

Form 990-PF (2016)

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>WWW.MAGNETARACADEMY.ORG</u>	X	
14	The books are in care of <u>ANDREA KETTER</u> Telephone no <u>847-905-4400</u> Located at <u>1603 ORRINGTON AVENUE, SUITE 1300 EVANSTON, IL</u> ZIP+4 <u>60201-5018</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> N/A		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country <u></u>	Yes	No
		16	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)	Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years <u></u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u></u>		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

Form 990-PF (2016)

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<b>5a</b> During the year did the foundation pay or incur any amount to:				
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b> If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		<input type="checkbox"/>	<b>5b</b>	X
Organizations relying on a current notice regarding disaster assistance check here				
<b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?		<input type="checkbox"/> Yes <input type="checkbox"/> No		N/A
If "Yes," attach the statement required by Regulations section 53.4945-5(d)				
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			<b>6b</b>	X
If "Yes" to 6b, file Form 8870				
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			<b>7b</b>	N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 7		0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ▶

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	OPERATION OF AN EDUCATIONAL PROGRAM CALLED MAGNETAR YOUTH INVESTMENT ACADEMY. THE ACADEMY EDUCATES YOUTH ABOUT THE BASICS OF PERSONAL FINANCE AND INVESTING ACROSS FOUR TOPICS.	485,528.
2		
3		
4		

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	NONE	
2		
All other program-related investments See instructions		
3	NONE	

Total. Add lines 1 through 3 . . . . . ▶

Form 990-PF (2016)



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes	
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>
<b>b</b>	Average of monthly cash balances	<b>1b</b> 146,119.
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b> 146,119.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>
<b>3</b>	Subtract line 2 from line 1d	<b>3</b> 146,119.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b> 2,192.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b> 143,927.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b> 7,196.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b> 7,196.
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5	<b>2a</b>
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI)	<b>2b</b>
<b>c</b>	Add lines 2a and 2b	<b>2c</b>
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b> 7,196.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b> 1,666.
<b>5</b>	Add lines 3 and 4	<b>5</b> 8,862.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b> 8,862.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b> 1,403,162.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the	
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b> 1,403,162.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b> 0.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b> 1,403,162.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7 . . . . .				8,862.
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only. . . . .				
<b>b</b> Total for prior years 20 <u>14</u> , 20 <u>13</u> , 20 <u>12</u> . . . . .				
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011 . . . . .				
<b>b</b> From 2012 . . . . . 62,186.				
<b>c</b> From 2013 . . . . . 493,048.				
<b>d</b> From 2014 . . . . . 294,684.				
<b>e</b> From 2015 . . . . . 448,916.				
<b>f</b> Total of lines 3a through e . . . . .	1,298,834.			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ► \$ <u>1,403,162.</u>				
<b>a</b> Applied to 2015, but not more than line 2a . . . . .				
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .				
<b>d</b> Applied to 2016 distributable amount . . . . .				8,862.
<b>e</b> Remaining amount distributed out of corpus. . . . .	1,394,300.			
<b>5</b> Excess distributions carryover applied to 2016 . (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:	2,693,134.			
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions . . . . .				
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017. . . . .				
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a . . . . .	2,693,134.			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012 . . . . . 62,186.				
<b>b</b> Excess from 2013 . . . . . 493,048.				
<b>c</b> Excess from 2014 . . . . . 294,684.				
<b>d</b> Excess from 2015 . . . . . 448,916.				
<b>e</b> Excess from 2016 . . . . . 1,394,300.				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . . ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test - enter					
<b>(1)</b> Value of all assets. . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter					
<b>(1)</b> Total support other than gross investment income (interest dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization. . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

N/A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

ATCH 8

**c** Any submission deadlines

SEE ATTACHMENT 8

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

YES. SEE ATTACHMENT 8

**Part XV** Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	<div>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</div>	<div>Foundation status of recipient</div>	<div>Purpose of grant or contribution</div>	<div>Amount</div>
<b>a Paid during the year</b>  ATCH 9				
<b>Total</b> . . . . .			▶ <b>3a</b>	1,033,411.
<b>b Approved for future payment</b>  ATCH 10				
<b>Total</b> . . . . .			▶ <b>3b</b>	4,007,356.

## Enter gross amounts unless otherwise indicated

Schedule of Income-Producing Activities					
Enter gross amounts unless otherwise indicated					
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments . . . . .					
3 Interest on savings and temporary cash investments .					
4 Dividends and interest from securities . . . . .					
5 Net rental income or (loss) from real estate					
a Debt-financed property . . . . .					
b Not debt-financed property . . . . .					
6 Net rental income or (loss) from personal property. .					
7 Other investment income . . . . .					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events . . .					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a GRANT REFUNDED			01	1,666.	
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e) . . . . .				1,666.	
13 Total Add line 12, columns (b), (d), and (e) . . . . .				1,666.	

(See worksheet in line 13 instructions to verify calculations.)

[illegible]

**Part XVII** Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?   |              | Yes | No |
|---|--------------|-----|----|
| <b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of  |              |     |    |
| (1) Cash . . . . .  | <b>1a(1)</b> |     | X  |
| (2) Other assets . . . . .  | <b>1a(2)</b> |     | X  |
| <b>b</b> Other transactions   |              |     |    |
| (1) Sales of assets to a noncharitable exempt organization . . . . .  | <b>1b(1)</b> |     | X  |
| (2) Purchases of assets from a noncharitable exempt organization . . . . .  | <b>1b(2)</b> |     | X  |
| (3) Rental of facilities, equipment, or other assets . . . . .  | <b>1b(3)</b> |     | X  |
| (4) Reimbursement arrangements . . . . .  | <b>1b(4)</b> |     | X  |
| (5) Loans or loan guarantees . . . . .  | <b>1b(5)</b> |     | X  |
| (6) Performance of services or membership or fundraising solicitations . . . . .  | <b>1b(6)</b> |     | X  |
| <b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .   | <b>1c</b>    |     | X  |
| <b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |              |     |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

KATHERINE E KURTZMAN

Preparer's signature.

Date \_\_\_\_\_

Check <input type="checkbox"/>	if
<input checked="" type="checkbox"/> Self-employed	

PTIN

P01236691

Firm's name ▶ ERNST & YOUNG U.S. LLP

Firm's EIN ► 34-6565596

Firm's address ► 155 N. WACKER DRIVE  
CHICAGO, IL

Phone no 312-879-2000

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)  
Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

**2016**▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

MAGNETAR CAPITAL FOUNDATION

Employer identification number

46-0807550

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization **MAGNETAR CAPITAL FOUNDATION**

Employer identification number

**Part I** **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	GOLDMAN SACHS 200 WEST STREET NEW YORK, NY 10282	\$ 5,000.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	BANK OF MONTREAL 1 FIRST CANADIAN PLACE TORONTO ONTARIO CANADA	\$ 10,000.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
3	MAGNETAR CAPITAL LLC 1603 ORRINGTON AVE, STE 1300 EVANSTON, IL 60201	\$ 1,163,000.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)



Name of organization **MAGNETAR CAPITAL FOUNDATION**

Employer identification number

**Part II** Noncash Property (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization **MAGNETAR CAPITAL FOUNDATION**

Employer identification number

**Part III** **Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once. See instructions.) ▶ \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

ATTACHMENT 1FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
GRANT REFUNDED	1,666.
TOTALS	<u>1,666.</u>

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ATTORNEY FEES	-258.			595.
TOTALS	<u>-258.</u>			<u>595.</u>

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING AND AUDIT FEES	12,325.			19,325.
TOTALS	<u>12,325.</u>			<u>19,325.</u>

ATTACHMENT 4FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>CHARITABLE PURPOSES</u>
PROGRAM CONSULTING EXPENSE	82,427.	101,241.
INSTRUCTOR STIPEND/SCHOLARSHIP	55,102.	71,974.
TOTALS	<u>137,529.</u>	<u>173,215.</u>

ATTACHMENT 5FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>CHARITABLE PURPOSES</u>
STATE FILLING FEES	65.	65.
EXCISE TAX	181.	
TOTALS	<u>246.</u>	<u>65.</u>

ATTACHMENT 6FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
CHALLENGE PRIZE	157,858.	174,691.
POSTAGE & DELIVERY	499.	570.
INSURANCE	12,257.	12,257.
GIFT CERT/PRINT MATERIALS	3,200.	3,200.
ENTERTAINMENT	2,790.	2,790.
SUPPLIES	2,012.	2,417.
DUES & SUBSCRIPTIONS	753.	812.
SEMINARS	5,214.	5,214.
TOTALS	<u>184,583.</u>	<u>201,951.</u>



FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 7

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
ALEC N. LITOWITZ 1603 ORRINGTON AVENUE, SUITE 1300 EVANSTON, IL 60201-5018	PRESIDENT, DIRECTOR	0.	0.	0.
BEN PAULL 1603 ORRINGTON AVENUE, SUITE 1300 EVANSTON, IL 60201-5018	TREASURER	0.	0.	0.
BARBARA BERNSTEIN 1603 ORRINGTON AVENUE, SUITE 1300 EVANSTON, IL 60201-5018	SECRETARY 1.00	0.	0.	0.
ROSS LASER 1603 ORRINGTON AVENUE, SUITE 1300 EVANSTON, IL 60201-5018	DIRECTOR	0.	0.	0.
DAVID SNYDERMAN 1603 ORRINGTON AVENUE, SUITE 1300 EVANSTON, IL 60201-5018	DIRECTOR	0.	0.	0.
ERNEST ROGERS 1603 ORRINGTON AVENUE, SUITE 1300 EVANSTON, IL 60201-5018	DIRECTOR	0.	0.	0.
GRAND TOTALS		0.	0.	0.

ATTACHMENT 8990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

THE FOUNDATION OPERATES THE MAGNETAR YOUTH INVESTMENT ACADEMY (THE ACADEMY), A UNIQUE, HANDS-ON, INNOVATIVE FINANCIAL LITERACY PROGRAM FOR HIGH SCHOOL STUDENTS, WITH SPECIAL FOCUS ON STUDENTS LIVING IN ECONOMICALLY DISADVANTAGED COMMUNITIES. AS OF DECEMBER 31, 2016, THE ACADEMY WAS OPERATING IN 50 CHICAGO HIGH SCHOOLS THAT LARGELY SERVE LOW-INCOME STUDENTS.

THE ACADEMY CURRICULUM IS TIED TO ILLINOIS STATE MATH AND ENGLISH LANGUAGE ARTS AND COMMON CORE STANDARDS AND COVERS FINANCIAL RESPONSIBILITY AND DECISION MAKING, FINANCIAL PLANNING AND MONEY MANAGEMENT AND INSURANCE, CREDIT AND DEBT, AND SAVINGS AND INVESTING. STUDENT EVENTS INCLUDE A DAY-LONG INVESTMENT COMPETITION, DURING WHICH HIGH SCHOOL TEAMS BUILD AND MANAGE A MOCK INVESTMENT PORTFOLIO OVER THREE ECONOMIC CYCLES. GRANTS ARE AWARDED TO THE HIGH SCHOOLS WHOSE TEAMS' PORTFOLIOS PERFORM AT THE HIGHEST LEVELS. AT THE END OF THE COURSE, STUDENTS ARE INVITED TO COMPETE IN A YEAR-LONG MOCK STOCK MARKET PORTFOLIO COMPETITION, WHICH AWARDS A SERIES OF PARTIAL COLLEGE SCHOLARSHIPS TO WINNERS. THE GRANTS ARE GENERALLY APPROXIMATELY BETWEEN \$1,000 AND \$2,500.

## MAGNETAR CAPITAL FOUNDATION

46-0807550

TAX YEAR END 12/31/2016

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Charity Donations	Address	Relationship to substantial contributor	Foundation Status	Purpose of Grant	Amount
Anti-Defamation League	120 S LaSalle St / Chicago, IL 60603	None	PC	Charity Donation	5,000 00
ALS Therapy Development Institute	300 Technology Sq Ste 400 / Cambridge, MA 02139	None	PC	Charity Donation	250 00
Teach For America New York	PO Box 398477 / San Francisco, CA 94139	None	PC	Charity Donation	12,500 00
Autism Speaks	Regency Office Plaza, Suite 304 / 2700 River Road / Des Plaines, IL 60018	None	PC	Charity Donation	1,000 00
Schwab Charitable	211 Main Street / San Francisco, CA 94105	None	PC	Charity Donation	50,000 00
Connections For the Homeless	2121 Dewey Avenue / Evanston, IL 60201	None	PC	Charity Donation	1,000 00
Invest For Kids	875 N Michigan Avenue Suite 3400 / Chicago, IL 60611	None	PC	Charity Donation	5,000 00
The Anti-Cruelty Society	157 W Grand Avenue / Development Office / Chicago, IL 60654	None	PC	Charity Donation	1,000 00
Children's Home & Aid Society of Illinois	125 S Wacker Dr Suite 1400 / Chicago, IL 60606	None	PC	Matching Gift	300 00
Xilin North Shore Chinese School	7701 N Lincoln Avenue / Skokie, IL 60077	None	PC	Matching Gift	100 00
National MS Society	5150 W Goldleaf Circle, Suite 400 / Los Angeles, CA 90056	None	PC	Matching Gift	300 00
University of Michigan	500 S State Street / Ann Arbor, MI 48109	None	PC	Matching Gift	250 00
Alzheimer's Association North Central Texas	2630 West Freeway / Fort Worth, TX 76102	None	PC	Matching Gift	200 00
JDRF International	26 Broadway Fl 14 / New York, NY 10004-1838	None	PC	Matching Gift	100 00
The Leukemia & Lymphoma Society Inc	3 International Drive, Suite 200 / Rye Brook, NY 10573	None	PC	Matching Gift	75 00
Lycee Francais de Chicago, Inc	613 W Bittersweet Pl / Chicago, IL 60613-2307	None	PC	Matching Gift	300 00
Trinity College	300 Summit Street / Hartford, CT 06106-3100	None	PC	Matching Gift	300 00
Lurie Children's Foundation	225 East Chicago Avenue Box 4 / Chicago, IL 60611	None	PC	Matching Gift	200 00
The Hotchkiss School	11 Interlaken Rd / Lakeview, CT 06039	None	PC	Matching Gift	300 00
Equal Justice Initiative	112 Commerce Street / Montgomery, AL 36104	None	PC	Matching Gift	300 00
The Leukemia & Lymphoma Society Inc	3 International Drive Suite 200 / Rye Brook, NY 10573	None	PC	Matching Gift	110 00
The UN Refugee Agency	1775 K Street NW Suite 580 / Washington, DC 20006	None	PC	Matching Gift	300 00
Kansas State University Foundation	2323 Anderson Ave Ste 500 / Manhattan, KS 66502-2912	None	PC	Matching Gift	300 00
Hudson County Court Appointed Special Advoc	442 Hoboken Ave / Jersey City, NJ 07306-2616	None	PC	Matching Gift	300 00
International Rhino Foundation	201 Main St Ste 2600 / Fort Worth, TX 76102-3134	None	PC	Matching Gift	300 00
Fisher House Foundation	111 Rockville Pike, Suite 420 / Rockville, MD 20850	None	PC	Matching Gift	150 00
Good Counsel	411 Clinton Street / Hoboken, NJ 07030	None	PC	Matching Gift	150 00
Children's Home & Aid Society of Illinois	125 S Wacker Drive 14th Fl / Chicago, IL 60606	None	PC	Matching Gift	300 00
The Samfund	101 Federal St Suite 1900 / Boston, MA 02110	None	PC	Matching Gift	300 00
JDRF International (Seattle)	1215 4th Avenue #1100 / Seattle, WA 98161	None	PC	Matching Gift	300 00
Northwestern Memorial Foundation	227 W Monroe Street / Chicago, IL 60606	None	PC	Matching Gift	150 00
Working In The Schools	150 E Huron Street, Suite 900 / Chicago, IL 60611	None	PC	Matching Gift	250 00
American Diabetes Association	1701 North Beauregard Street / Alexandria, VA 22311	None	PC	Matching Gift	300 00
Sauganash PTA	6040 N Kilpatrick / Chicago, IL 60646	None	PC	Matching Gift	300 00
Girls On the Run	1415 N Dayton Suite 112 / Chicago, IL 60642	None	PC	Matching Gift	157 00
Kansas State University Foundation	2323 Anderson Ave Ste 500 / Manhattan, KS 66502-2912	None	PC	Matching Gift	300 00
Education Pioneers	360 22nd St, Suite 220 / Oakland, CA 94612	None	PC	Matching Gift	150 00
University of Chicago Laboratory Schools	5801 S Ellis Avenue / Chicago, IL 60637	None	PC	Matching Gift	100 00
Fudan Fuzhong Overseas Foundation Inc	5 Times Square, 14th Floor / New York, NY 10036	None	PC	Matching Gift	100 00
Tuck at Dartmouth	7 Lebanon Street, Suite 302 / Hanover, New Hampshire 3755	None	PC	Matching Gift	300 00
New York Cares, Inc	65 Broadway, 19th Fl / New York, NY 10006	None	PC	Matching Gift	300 00
University of Illinois Foundation	1305 West Green Street / Urbana, IL 61801	None	PC	Matching Gift	150 00
JDRF International (Seattle)	1215 4th Avenue #1100 / Seattle, WA 98161	None	PC	Matching Gift	300 00

## MAGNETAR CAPITAL FOUNDATION

46-0807550

TAX YEAR END 12/31/2016

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

## ATTACHMENT 9

The Leukemia & Lymphoma Society Inc	3 International Drive, Suite 200/ Rye Brook, NY 10573	None	PC	Matching Gift	75 00
The Joffrey Ballet	10 East Randolph St / Chicago, IL 60601	None	PC	Matching Gift	50 00
World Vision Inc	P O Box 9716 / Federal Way, WA 98063-9716	None	PC	Matching Gift	300 00
JDRF Chicago	1 N LaSalle Street Suite 1200 / Chicago, IL 60602	None	PC	Matching Gift	300 00
Dewey PTA	1551 Wesley Avenue / Evanston, IL 60201	None	PC	Matching Gift	300 00
ALSAC- St Jude Children's Research Hospital	501 St Jude Place / Memphis, TN 38105	None	PC	Matching Gift	100 00
Umoja Student Development Corporation	2935 W Polk St / Chicago, IL 60612	None	PC	Matching Gift	300 00
Greater Chicago Food Depository	4100 W Ann Lurie Place / Chicago, IL 60632	None	PC	Matching Gift	300 00
Wheelchair Sports Foundation	6454 82nd Street, Suite 2 / Middle Village, NY 11379	None	PC	Matching Gift	150 00
Alphonsus Academy	1439 W Wellington Ave / Chicago, IL 60657	None	PC	Matching Gift	250 00
Inspiration Corporation	4554 N Broadway, Suite 207 / Chicago, IL 60640	None	PC	Matching Gift	300 00
The News Literacy Project Inc	5525 Devon Rd / Bethesda, MD 20814	None	PC	Matching Gift	300 00
APNE AAP INTERNATIONAL INC	PO BOX 915 / New York, NY 10272	None	PC	Matching Gift	300 00
Peking University Alumni Association in Chic	4763 Wellington Dr / Long Grove, IL 60047	None	PC	Matching Gift	100 00
Misericordia	6300 N Ridge Ave / Chicago, IL 60660	None	PC	Matching Gift	300 00
Total Charity Donations					87,267 00

Challenge - Grant Awards	Address	Foundation Status	Amount
Elk Grove High School	2121 South Goebbert Road / Arlington Heights, IL 60005	None PC	Challenge - Grant award 5,000 00
Whitney M Young Magnet High School	211 S Laffin St / Chicago, IL 60607	None PC	Challenge - Grant award 1,500 00
Walter Payton College Prep	1034 N Wells St / Chicago, IL 60610	None PC	Challenge - Grant award 1,500 00
CICS Northtown Academy	3900 W Peterson Ave #1 / Chicago, IL 60659	None PC	Challenge - Grant award 2,500 00
Total Challenge Grant Awards			10,500 00

Scholarships	Address	Foundation Status	Amount
Central Michigan University - Tyanica Williams	104 Warriner Hall / MT Pleasant, MI 48859	None I	Scholarship Winner 1,000 00
Dominican University - Justin Villa	7900 W Division Street / River Forest, IL 60305	None I	Scholarship Winner 1,000 00
Earlham College, Inc - Kyle Barbour	801 National Rd West / Richmond, IN 47374	None I	Scholarship Winner 1,000 00
Illinois State University - Vernon Anderson	Campus Box 1200 / Normal, IL 61790-1200	None I	Scholarship Winner 1,000 00
Illinois State University - Aakash Shah	Campus Box 1200 / Normal, IL 61790-1200	None I	Scholarship Winner 1,000 00
Malcolm X College - Martin Hinojosa	1900 W Jackson / Chicago, IL 60612	None I	Scholarship Winner 1,000 00
Malcolm X College - Dericka Linzy	1900 W Jackson / Chicago, IL 60612	None I	Scholarship Winner 1,000 00
Spoon River College - Jamar Osley	23235 N County 22 / Canton, IL 61520	None I	Scholarship Winner 1,000 00
University Of Wisconsin Madison - Enrique Guzman	21 North Park Street Room 5352 / Madison, WI 53715	None I	Scholarship Winner 1,000 00
Western Illinois University Foundation - Tenera Hamilton	1 University Circle, Sherman Hall 303 / Macomb, IL 61455	None I	Scholarship Winner 1,000 00
Western Illinois University Foundation - Arie Taylor	1 University Circle, Sherman Hall 303 / Macomb, IL 61455	None I	Scholarship Winner 1,000 00
William Rainey Harper College - Jessica Gomez	1200 W Algonquin Rd / Palatine, IL 60067	None I	Scholarship Winner 1,000 00
Total Scholarships			12,000 00

Collaboration Grants - University of Chicago	Address	Foundation Status	Amount
University of Chicago	5801 S Ellis Avenue / Chicago, IL 60637	None PC	Collaboration Donation 228,000 00
University of Chicago	5801 S Ellis Avenue / Chicago, IL 60637	None PC	Collaboration Donation 250,000 00
University of Chicago	5801 S Ellis Avenue / Chicago, IL 60637	None PC	Collaboration Donation 420,244 00
Collaboration Grants			898,244 00

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 10

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR  
AND  
FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

UNIVERSITY OF CHICAGO  
5801 S ELLIS AVENUE  
CHICAGO, IL 60637

NONE  
PC

COLLABORATION DONATION

4,007,356

TOTAL CONTRIBUTIONS APPROVED

4,007,356