

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

2016

Open to Public Inspection

Department of the Treasury
Internal Revenue Service► Do not enter social security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2016 or tax year beginning

, 2016, and ending

, 20

| | | |
|--|--|--|
| Name of foundation ST JOHN FAMILY FOUNDATION TRUST | | A Employer identification number 45-6691903 |
| Number and street (or P O box number if mail is not delivered to street address) 3913 W PROSPECT AVENUE | | Room/suite 201 |
| City or town, state or province, country, and ZIP or foreign postal code APPLETON WI 54914 | | |
| G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change | | |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 2,406,269 | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ | C If exemption application is pending, check here ► <input type="checkbox"/> D 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/> |

Part I Analysis of Revenue and Expenses (The total of
amounts in columns (b), (c), and (d) may not necessarily equal
the amounts in column (a) (see instructions))

| | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------|----------------------------|--|
| Revenue | | | | |
| 1 Contributions, gifts, grants, etc., received (attach schedule) | | | | |
| 2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B | | | | |
| 3 Interest on savings and temporary cash investments | | | | |
| 4 Dividends and interest from securities | 69,196 | 69,196 | | |
| 5a Gross rents | | | | |
| b Net rental income or (loss) _____ | | | | |
| 6a Net gain or (loss) from sale of assets not on line 10 | -31,102 | | | |
| b Gross sales price for all assets on line 6a 475,876 | | | | |
| 7 Capital gain net income (from Part IV, line 2) | | 0 | | |
| 8 Net short-term capital gain | | | | |
| 9 Income modifications | | | | |
| 10a Gross sales less returns and allowances | | | | |
| b Less: Cost of goods sold | | | | |
| c Gross profit or (loss) (attach schedule) | | | | |
| 11 Other income (attach schedule) | | | | |
| 12 Total. Add lines 1 through 11 | 38,094 | 69,196 | | |
| Operating and Administrative Expenses | | | | |
| 13 Compensation of officers, directors, trustees, etc. | 10,833 | 5,417 | | 5,416 |
| 14 Other employee salaries and wages | | | | |
| 15 Pension plans, employee benefits | | | | |
| 16a Legal fees (attach schedule) | | | | |
| b Accounting fees (attach schedule) | 3,750 | 1,875 | | 1,875 |
| c Other professional fees (attach schedule) | | | | |
| 17 Interest | | | | |
| 18 Taxes (attach schedule) (see instructions) | 1,371 | | | |
| 19 Depreciation (attach schedule) and depletion | | | | |
| 20 Occupancy | | | | |
| 21 Travel, conferences, and meetings | | | | |
| 22 Printing and publications | | | | |
| 23 Other expenses (attach schedule) | 12,126 | 6,063 | | 6,063 |
| 24 Total operating and administrative expenses. Add lines 13 through 23 | 28,080 | 13,355 | | 13,354 |
| 25 Contributions, gifts, grants paid | 90,000 | | | 90,000 |
| 26 Total expenses and disbursements. Add lines 24 and 25 | 118,080 | 13,355 | | 103,354 |
| 27 Subtract line 26 from line 12: a Excess of revenue over expenses and disbursements b Net investment income (if negative, enter -0-) c Adjusted net income (if negative, enter -0-) | -79,986 | | | 0 |
| | 55,841 | | | |

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2016)

17 21

| Part II | Balance Sheets | Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.) | Beginning of year | End of year | |
|---------|---|--|-------------------|----------------|-----------------------|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| | 1 Cash—non-interest-bearing | | | | |
| | 2 Savings and temporary cash investments | | 24,240 | 106,259 | 106,259 |
| | 3 Accounts receivable ► | | | | |
| | Less: allowance for doubtful accounts ► | | | | |
| | 4 Pledges receivable ► | | | | |
| | Less: allowance for doubtful accounts ► | | | | |
| | 5 Grants receivable | | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | | |
| | 7 Other notes and loans receivable (attach schedule) ► | | | | |
| | Less: allowance for doubtful accounts ► | | | | |
| | 8 Inventories for sale or use | | | | |
| | 9 Prepaid expenses and deferred charges | | | | |
| | 10a Investments—U.S. and state government obligations (attach schedule) | | 417,095 | 331,250 | 323,654 |
| | b Investments—corporate stock (attach schedule) | | 1,426,977 | 1,411,513 | 1,595,477 |
| | c Investments—corporate bonds (attach schedule) | | 420,687 | 359,991 | 360,879 |
| | 11 Investments—land, buildings, and equipment: basis ► | | | | |
| | Less accumulated depreciation (attach schedule) ► | | | | |
| | 12 Investments—mortgage loans | | | | |
| | 13 Investments—other (attach schedule) | | 20,000 | 20,000 | 20,000 |
| | 14 Land, buildings, and equipment: basis ► | | | | |
| | Less. accumulated depreciation (attach schedule) ► | | | | |
| | 15 Other assets (describe ►) | | | | |
| | 16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I) | | 2,308,999 | 2,229,013 | 2,406,269 |
| | 17 Accounts payable and accrued expenses | | | | |
| | 18 Grants payable | | | | |
| | 19 Deferred revenue | | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | | |
| | 22 Other liabilities (describe ►) | | | | |
| | 23 Total liabilities (add lines 17 through 22) | | 0 | 0 | |
| | Foundations that follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | | |
| | 24 Unrestricted | | | | |
| | 25 Temporarily restricted | | | | |
| | 26 Permanently restricted | | | | |
| | Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 27 through 31. | | | | |
| | 27 Capital stock, trust principal, or current funds | | 2,287,863 | 2,229,013 | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | | 0 | 0 | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | 21,136 | 0 | |
| | 30 Total net assets or fund balances (see instructions) | | 2,308,999 | 2,229,013 | |
| | 31 Total liabilities and net assets/fund balances (see instructions) | | 2,308,999 | 2,229,013 | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|--|---|-----------|
| 1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 2,308,999 |
| 2 Enter amount from Part I, line 27a | 2 | -79,986 |
| 3 Other increases not included in line 2 (itemize) ► | 3 | 0 |
| 4 Add lines 1, 2, and 3 | 4 | 2,229,013 |
| 5 Decreases not included in line 2 (itemize) ► | 5 | 0 |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 2,229,013 |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|---------|---|---|--|----------------------------------|
| 1a SHORT TERM SALES PUBLICLY TRADED SECURITIES - SEE ATTACHMENT | | | P | VARIOUS | VARIOUS |
| b LONG TERM SALES PUBLICLY TRADED SECURITIES - SEE ATTACHMENT | | | P | VARIOUS | VARIOUS |
| c CAPITAL GAIN DIVIDEND DISTRIBUTIONS | | | | | |
| d | | | | | |
| e | | | | | |
| (e) Gross sales price | | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) | |
| a | 69,013 | | 75,142 | -6,129 | |
| b | 404,517 | | 431,836 | -27,319 | |
| c | 2,346 | | | 2,346 | |
| d | | | | | |
| e | | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) | |
| (i) F M V as of 12/31/69 | | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 2 Capital gain net income or (net capital loss) | | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | | 2 | -31,102 |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 | | | | | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|--|--|---|
| 2015 | 112,103 | 2,418,563 | 0.046351 |
| 2014 | 123,421 | 2,475,749 | 0.049852 |
| 2013 | 135,887 | 2,297,586 | 0.059143 |
| 2012 | 24,723 | 947,295 | 0.026099 |
| 2011 | | | |
| 2 Total of line 1, column (d) | | | 2 0.181445 |
| 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | | | 3 0.045361 |
| 4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5 | | | 4 2,375,196 |
| 5 Multiply line 4 by line 3 | | | 5 107,741 |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | | | 6 558 |
| 7 Add lines 5 and 6 | | | 7 108,299 |
| 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions. | | | 8 103,354 |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

| | | | | |
|-----------|---|----------|-------|----|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions) | 1 | 1,117 | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b | | | |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | 0 | |
| 3 | Add lines 1 and 2 | | | |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | |
| 5 | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 1,117 | |
| 6 | Credits/Payments: | | | |
| a | 2016 estimated tax payments and 2015 overpayment credited to 2016 | | | 6a |
| b | Exempt foreign organizations—tax withheld at source | | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d | Backup withholding erroneously withheld | | 6d | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | | 0 |
| 8 | Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached | | | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 8 | 33 | |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | | | |
| 11 | Enter the amount of line 10 to be Credited to 2017 estimated tax ► | Refunded | 11 | |

Part VII-A Statements Regarding Activities

| | | | | |
|----|--|----|-----|----|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | Yes | No |
| 1b | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? | | | |
| 1c | If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | | |
| c | Did the foundation file Form 1120-POL for this year? | 1c | Yes | No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ <u>N/A</u> (2) On foundation managers. ► \$ <u>N/A</u> | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ <u>N/A</u> | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. | 2 | Yes | No |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | | |
| 4a | Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | | |
| b | If "Yes," has it filed a tax return on Form 990-T for this year? | 4a | Yes | No |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. | | | |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | | | |
| 7 | Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV | 6 | Yes | No |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ► WISCONSIN | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | | | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV | 8b | Yes | No |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | | | |

Part VII-A Statements Regarding Activities (continued)

| | | | | |
|----|---|---|-----|---------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | Yes | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | ✓ |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► NONE | 13 | ✓ | |
| 14 | The books are in care of ► FIDUCIARY PARTNERS INC., TRUSTEE Located at ► 3913 W PROSPECT AVENUE, SUITE 201, APPLETON, WI | Telephone no. ► 920-380-9960 ZIP+4 ► 54914 | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here. and enter the amount of tax-exempt interest received or accrued during the year ► 15 | | 15 | □ N/A |
| 16 | At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► NOT APPLICABLE | 16 | Yes | No ✓ |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | |
|----|---|-------|------|
| 1a | During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | □ Yes | ✓ No |
| 1b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► □ | | N/A |
| 1c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? | | ✓ |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ► 20 , 20 , 20 , 20 □ Yes ✓ No | | |
| 2b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) | | N/A |
| 3a | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 , 20 , 20 , 20 □ Yes ✓ No | | |
| 3b | If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) | | N/A |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | ✓ |
| 4b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? | | ✓ |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

| | | | | | | | |
|----|--|------------------------------|--|--|--|------------------------------|--|
| 5a | During the year did the foundation pay or incur any amount to: | | | | | | |
| | (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | | | | |
| | (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | | | | |
| | (3) Provide a grant to an individual for travel, study, or other similar purposes? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | | | | |
| | (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | | | | |
| | (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | | | | |
| b | If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? | | | | | | |
| | Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/> | | | | | | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | | | | | N/A | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i> | | | | | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | | | | | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | | | | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| | <i>If "Yes" to 6b, file Form 8870.</i> | | | | | | |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | | | | |
| b | If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | | | | | 7b | N/A |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

| | | | | |
|---|---|---|---|---------------------------------------|
| 1 List all officers, directors, trustees, foundation managers and their compensation (see instructions). | | | | |
| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| FIDUCIARY PARTNERS INC., 3913 W PROSPECT AVE SUITE 201, APPLETON, WISCONSIN, 54914 | TRUSTEE 1.40 HRS/WEEK | 10,833 | 0 | 0 |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| 2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE." | | | | |
| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| NONE | N/A | 0 | 0 | 0 |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| Total number of other employees paid over \$50,000 | ► | | | NONE |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | 0 |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services | | NONE |

Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|----------|
| 1 DISTRIBUTIONS MADE TO TEN CHARITABLE ORGANIZATIONS TO BE USED FOR SCHOLARSHIPS OR TO SUPPORT PROGRAMS BY COVERING OPERATIONAL, RESEARCH, OR PATIENT CARE COSTS ALL AS SPECIFICALLY DIRECTED BY THE PROVISIONS OF THE GOVERNING TRUST DOCUMENT. | 0 |
| 2 | |
| 3 | |
| 4 | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|-------------|
| 1 NOT APPLICABLE | 0 |
| 2 | |
| All other program-related investments. See instructions | |
| 3 | |
| Total. Add lines 1 through 3 | NONE |

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|----|-----------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 2,359,787 |
| b | Average of monthly cash balances | 1b | 51,580 |
| c | Fair market value of all other assets (see instructions) | 1c | 0 |
| d | Total (add lines 1a, b, and c) | 1d | 2,411,367 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0 |
| 3 | Subtract line 2 from line 1d | 3 | 2,411,367 |
| 4 | Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions) | 4 | 36,171 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 2,375,196 |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 118,760 |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

| | | | |
|----|---|----|---------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 118,760 |
| 2a | Tax on investment income for 2016 from Part VI, line 5 | 2a | 1,117 |
| b | Income tax for 2016. (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | 1,117 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 117,643 |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0 |
| 5 | Add lines 3 and 4 | 5 | 117,643 |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0 |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 117,643 |

Part XII Qualifying Distributions (see instructions)

| | | | |
|---|--|----|---------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 | 1a | 103,354 |
| b | Program-related investments—total from Part IX-B | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | 0 |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | 0 |
| b | Cash distribution test (attach the required schedule) | 3b | 0 |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 103,354 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 | 558 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 102,976 |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2015 | (c) 2015 | (d) 2016 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2016 from Part XI, line 7 | | | | 117,643 |
| 2 Undistributed income, if any, as of the end of 2016: | | | | |
| a Enter amount for 2015 only | | | 0 | |
| b Total for prior years: 20 ____ ,20 ____ ,20 ____ | | 0 | | |
| 3 Excess distributions carryover, if any, to 2016: | | | | |
| a From 2011 | 0 | | | |
| b From 2012 | 0 | | | |
| c From 2013 | 20,661 | | | |
| d From 2014 | 1,862 | | | |
| e From 2015 | 0 | | | |
| f Total of lines 3a through e | 22,523 | | | |
| 4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 103,354 | | | 0 | |
| a Applied to 2015, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required—see instructions) | | 0 | | |
| c Treated as distributions out of corpus (Election required—see instructions) | 0 | | | |
| d Applied to 2016 distributable amount | | | | 103,354 |
| e Remaining amount distributed out of corpus | 0 | | | |
| 5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).) | 14,289 | | | 14,289 |
| 6 Enter the net total of each column as indicated below: | 8,234 | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | | 0 | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0 | | |
| d Subtract line 6c from line 6b. Taxable amount—see instructions | | 0 | | |
| e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions | | 0 | | 0 |
| f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017 | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) | 0 | | | |
| 8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) | 0 | | | |
| 9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a | 8,234 | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2012 | 0 | | | |
| b Excess from 2013 | 6,372 | | | |
| c Excess from 2014 | 1,862 | | | |
| d Excess from 2015 | 0 | | | |
| e Excess from 2016 | 0 | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

| | | | | |
|---|-----------------------|----------------------|-----------------|-----------------|
| 1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ► | NOT APPLICABLE | | | |
| b Check box to indicate whether the foundation is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5) | | | | |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | Tax year | Prior 3 years | | |
| | (a) 2016 | (b) 2015 | (c) 2014 | (d) 2013 |
| b 85% of line 2a | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | |
| a "Assets" alternative test—enter: | | | | |
| (1) Value of all assets | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | |
| b "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed | | | | |
| c "Support" alternative test—enter: | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | |
| (3) Largest amount of support from an exempt organization | | | | |
| (4) Gross investment income | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV · Supplementary Information (continued)**3 · Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|-------------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| APPLETON MEDICAL CENTER FOUNDATION; PO BOX 1222, APPLETON, WI, 54912 | N/A | PC | Patient Care Costs | 5,000 |
| GIRL SCOUTS OF NW GREAT LAKES; 4693 NORTH LYNNDALE DRIVE, APPLETON WI 54913 | N/A | PC | Camp Costs for Poor | 10,000 |
| FOUNDATION FOR PHYSICAL THERAPY; 111 NORTH FAIRFAX STREET, ALEXANDRIA VA | N/A | PC | Fund Research Projects | 20,000 |
| JONI AND FRIENDS INTL DISABILITY CENTER; PO BOX 3333, AGOURA HILLS CA 91376-3333 | N/A | PC | Costs of assistance of disabled | 10,000 |
| KAISER FOUNDATION REHABILITATION CENTER; 975 SERENO DRIVE, VALLEJO CA 94589 | N/A | PC | Pay for enhanced patient care | 10,000 |
| MAYO CLINIC ROCHESTER MN; 200 FIRST STREET SW ROCHESTER MN 55905 | N/A | PC | Patient Care Costs | 5,000 |
| NORTH CAROLINA OUTWARD BOUND SCHOOL; 2582 RICEVILLE ROAD, ASHVILLE NC 28805 | N/A | PC | Costs of Training Disabled | 10,000 |
| PRESBYTERIAN HOMES & SERVICES FOUNDATION; 2845 HAMLINE AVENUE; ROSEVILLE MN 55113 | N/A | PC | Provide in-home meals to elderly | 5,000 |
| OUTAGAMIE COUNTY AGING SERVICES; 401 S ELM STREET, APPLETON WI 54912 | N/A | PC | Provide in-home meals to elderly | 5,000 |
| YMCA CAMP WIDJIWAGAN; 3088 SMITH SPRINGS RD; ANTIOCH TN 37013 | N/A | PC | Cover camp costs for the needy | 10,000 |
| Total | | | | ► 3a 90,000 |
| b Approved for future payment | | | | |
| NONE | | | | |
| Total | | | | ► 3b NONE |

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

1 Program service revenue:

- a _____
- b _____
- c _____
- d _____
- e _____
- f _____

g Fees and contracts from government agencies**2 Membership dues and assessments****3 Interest on savings and temporary cash investments****4 Dividends and interest from securities****5 Net rental income or (loss) from real estate:**

- a Debt-financed property
- b Not debt-financed property

6 Net rental income or (loss) from personal property**7 Other investment income****8 Gain or (loss) from sales of assets other than inventory****9 Net income or (loss) from special events****10 Gross profit or (loss) from sales of inventory****11 Other revenue: a _____**

- b _____
- c _____
- d _____
- e _____

12 Subtotal. Add columns (b), (d), and (e)**13 Total. Add line 12, columns (b), (d), and (e)**

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| | |
|----------------------|---|
| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions) |
|----------------------|---|

NOT APPLICABLE

| | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|--|-----------------------------|----------------------|--------------------------------------|----------------------|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | | | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | | | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: a _____ | | | | | |
| b _____ | | | | | |
| c _____ | | | | | |
| d _____ | | | | | |
| e _____ | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | | | | 38,094 |
| 13 Total. Add line 12, columns (b), (d), and (e) | | | | | 38,094 |

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | Yes | No |
|-----|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| (1) | Cash | 1a(1) | ✓ |
| (2) | Other assets | 1a(2) | ✓ |
| b | Other transactions: | | |
| (1) | Sales of assets to a noncharitable exempt organization | 1b(1) | ✓ |
| (2) | Purchases of assets from a noncharitable exempt organization | 1b(2) | ✓ |
| (3) | Rental of facilities, equipment, or other assets | 1b(3) | ✓ |
| (4) | Reimbursement arrangements | 1b(4) | ✓ |
| (5) | Loans or loan guarantees | 1b(5) | ✓ |
| (6) | Performance of services or membership or fundraising solicitations | 1b(6) | ✓ |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | ✓ |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| NOT APPLICABLE | | |
| | | |
| | | |
| | | |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here
Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, they are correct, complete, and accurate. Declaration of preparer (other than taxpayer) is based on all information of which preparer has knowledge.

Steve W. Tuck, Jr. VP 15/15/2017 VICE PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? Yes No

| | | | | | |
|---------------------------------------|----------------------------|------|----------------------|---------------------|---|
| Signature of officer or trustee | | Date | Title | (See instructions.) | |
| Paid Preparer Use Only | Print/Type preparer's name | | Preparer's signature | Date | Check <input type="checkbox"/> if self-employed |
| | Firm's name ► | | | Firm's EIN ► | |
| | Firm's address ► | | | Phone no | |

FORM 990PF INCOME ATTACHMENT – STATEMENT 1

ST JOHN FAMILY FOUNDATION TRUST

45-6691903

| | Line 4 Itemization Amount |
|---|------------------------------|
| DIVIDEND AND INTEREST FROM SECURITIES | |
| American Muni Pwr Ohio due 02/15/2027 | 1,800.00 |
| Computer Sciences due 09/15/2022 | 1,112.50 |
| Cuyahoga Cnty OH Econ Dev due 06/01/2025 | 2,113.60 |
| Fidelity Natl Information due 03/15/2022 | 1,250.00 |
| Fulton Cnty GA Dev Auth due 11/01/2024 | 1,560.25 |
| General Electric Cap Corp Note due 09/07/2022 | 1,260.00 |
| Goldman Sachs Group due 05/13/2028 | 812.50 |
| Hastings MN Econ Dev due 02/01/2025 | 802.08 |
| Johnson Cnty KS Sch Dist due 09/01/2025 | 1,820.00 |
| Kansas City MO due 04/01/2019 | 1,377.74 |
| Maryland State Econ Dev due 12/01/2024 | 2,240.00 |
| Oshkosh WI Water Rev due 01/01/2025 | 2,335.00 |
| Passaic Cnty NJ due 04/01/2018 | 625.00 |
| Qualcomm due 05/20/2022 | 1,200.00 |
| Sara Lee Corp due 09/15/2020 | 1,230.00 |
| Symantec Corp due 09/15/2020 | 1,680.00 |
| Washington Real Estate due 10/15/2022 | 1,975.00 |
| Washoe Cnty NV Sch Dist due 06/01/2023 | 1,467.50 |
| Western Union Co due 10/01/2016 | 1,482.50 |
| Zoetis Inc due 11/13/2020 | 862.50 |
| Burlington North Santa Fe due 10/01/2019 | 822.50 |
| Elk River MN Sch Dist due 02/01/2017 | 1,475.00 |
| Pennsylvania St due 04/01/2016 | 320.00 |
| South Carolina St Hsg due 07/01/2024 | 1,264.12 |
| Conley Land Contract due 08/15/2017 | 600.03 |
| Accrued Interest Paid on Purchased Bonds | (83.33) |
| Aflac Inc | 373.00 |
| Abbott Laboratories | 466.70 |
| Adam Nat Resources | 770.75 |
| AES Trust III Pfd | 2,953.12 |
| Affiliated Managers Group | 2,390.64 |
| Ametek Inc | 110.25 |
| Apple Inc | 390.25 |
| Bryn Mawr Bank | 390.00 |
| CA Inc | 710.25 |
| Customers Bancorp Inc | 1,195.32 |

FORM 990PF INCOME ATTACHMENT – STATEMENT 1

ST JOHN FAMILY FOUNDATION TRUST45-6691903

DIVIDEND AND INTEREST FROM SECURITIES

| | Line 4 Itemization Amount |
|--|------------------------------|
|--|------------------------------|

| | |
|-----------------------------|----------|
| Discover Financial Services | 306.00 |
| EMC Corp | 86.25 |
| Ecolab Inc | 210.00 |
| Equifax Inc | 165.00 |
| Fed Ex Corp | 97.50 |
| Fidelity Natl Information | 275.60 |
| Franklin Resources | 90.00 |
| General Electric Co | 575.00 |
| Global Payments | 12.00 |
| Hanesbrands Inc | 25.50 |
| Harbor International | 806.11 |
| Illinois Tool Works | 287.50 |
| Intel Corporation | 208.00 |
| Intuit | 248.00 |
| IShs MSCI Emerging Mkts ETF | 993.56 |
| Lowes Cos | 157.50 |
| Mercantile Bank | 812.00 |
| Microsoft Corporation | 420.75 |
| Navient Corp | 336.00 |
| Old Second Cap Tr Pfd | 2,340.00 |
| Oracle Corp | 138.75 |
| Perrigo Co | 137.77 |
| Pfizer Inc | 525.00 |
| Price T Rowe Group | 594.00 |
| Principal Financial Group | 1,086.75 |
| PrivateBancorp Inc Pfd | 445.31 |
| Prosperity Bancshares | 330.00 |
| Qualcomm Inc | 515.00 |
| RPM International | 421.89 |
| Resmed Inc | 189.00 |
| Rockwell Automation | 366.89 |
| SEI Investment Co | 156.00 |
| SLM Corporation | 825.30 |
| SS&C Technologies Hldgs | 75.00 |
| Schwab Charles Corp | 157.50 |
| Stifel Finl Corp | 1,217.79 |
| Stryker Corp | 304.00 |

FORM 990PF INCOME ATTACHMENT – STATEMENT 1

ST JOHN FAMILY FOUNDATION TRUST45-6691903

DIVIDEND AND INTEREST FROM SECURITIES

| | Line 4 Itemization Amount |
|--|------------------------------|
|--|------------------------------|

| | |
|--------------------------------------|-------------------------|
| Texas Cap Bancshares | 812.50 |
| Thermo Fisher Scientific | 60.00 |
| Tweedy Browne Global Value Fund | 778.43 |
| US Bancorp | 182.89 |
| Union Pacific Corp | 450.99 |
| Vanguard Short Term Bond | 890.91 |
| Verizon Communications | 1,305.75 |
| Visa Inc | 117.00 |
| WSFS Financial Corp | 1,757.79 |
| Western Union Co | 464.00 |
| Wyndham Worldwide | 700.00 |
| Xilinx Inc | 325.00 |
| YUM Brands | 330.75 |
| Zions Bancorporation | 1,737.48 |
| Willis Towers Watson | 48.00 |
| Schlumberger | 37.50 |
| Federated Prime Value Fund | 104.06 |
| Total Dividends and Interest. | <u>69,196.04</u> |

FORM 990PF, PART II, BALANCE SHEETS (Asset Section – Lines 10a thru 10c)

| <u>Par/Shares</u> | <u>Description</u> | <u>Beginning Book Value</u> | <u>End of Year Book Value</u> | <u>End of Year Market Value</u> |
|------------------------|---------------------------------|-----------------------------|-------------------------------|---------------------------------|
| CORPORATE STOCK | | | | |
| 200.000 | Aflac Inc | 12,544 | 12,544 | 13,920 |
| 100.000 | Aflac Inc | 6,299 | | |
| 1000.000 | AES Corp | 11,040 | 11,040 | 11,620 |
| 1100.000 | AES Corp | | 10,516 | 12,782 |
| 370.000 | Abbott Laboratories | 12,597 | 12,597 | 14,212 |
| 105.000 | Abbott Laboratories | | 4,172 | 4,033 |
| 1150.000 | ACI Worldwide Inc | 22,468 | 22,468 | 20,872 |
| 675.000 | Adam Nat Resources | 17,022 | 17,022 | 13,615 |
| 1650.000 | Adam Nat Resources | | 28,225 | 33,280 |
| 100.000 | Adobe Systems Inc | 6,551 | 6,551 | 10,295 |
| 125.000 | Affiliated Managers Group | 21,886 | 21,886 | 18,163 |
| 75.000 | Affiliated Managers Group | | 10,433 | 10,897 |
| 300.000 | Akamai Technologies | 14,655 | 14,655 | 20,004 |
| 950.000 | Allscripts Healthcare Solutions | 10,429 | 10,429 | 9,700 |
| 300.000 | Allscripts Healthcare Solutions | | 3,278 | 3,062 |
| 150.000 | Allscripts Healthcare Solutions | 2,065 | | |
| 250.000 | Ametek Inc | 13,285 | 13,285 | 12,150 |
| 75.000 | Ametek Inc | | 3,497 | 3,645 |
| 175.000 | Apple Inc | 11,861 | 11,861 | 20,268 |
| 1275.000 | Bottomline Technologies | 35,443 | 35,443 | 31,901 |
| 350.000 | Bottomline Technologies | | 9,175 | 8,757 |
| 125.000 | Bottomline Technologies | 3,606 | | |
| 450.000 | Bryn Mawr Bank Corp | 13,148 | 13,148 | 18,968 |
| 100.000 | Bryn Mawr Bank Corp | | 3,102 | 4,214 |
| 650.000 | CA Inc | 15,751 | 15,751 | 20,650 |
| 100.000 | CA Inc | 2,701 | | |
| 200.000 | Cabela's Inc | 12,686 | | |
| 1700.000 | Chesapeake Energy | 26,496 | | |
| 350.000 | Cognizant Tech Solutions | 11,558 | 11,558 | 19,611 |
| 225.000 | Discover Financial Svcs | 14,384 | 14,384 | 16,220 |
| 75.000 | Discover Financial Svcs | | 4,127 | 5,407 |

FORM 990PF, PART II, BALANCE SHEETS (Asset Section – Lines 10a thru 10c)

| <u>Par/Shares</u> | <u>Description</u> | <u>Beginning Book Value</u> | <u>End of Year Book Value</u> | <u>End of Year Market Value</u> |
|------------------------|----------------------------------|-----------------------------|-------------------------------|---------------------------------|
| CORPORATE STOCK | | | | |
| 800.000 | Discovery Communications | 26,843 | 26,843 | 21,424 |
| 750.000 | EMC Corporation | 18,714 | | |
| 300.000 | E Bay Inc | 6,704 | | |
| 650.000 | Echo Global Logistics | 12,153 | 12,153 | 16,283 |
| 50.000 | Echo Global Logistics | 1,125 | | |
| 150.000 | Ecolab Inc | 15,622 | 15,622 | 17,583 |
| 125.000 | Equifax Inc | 12,390 | 12,390 | 14,779 |
| 1150.000 | Evertec Inc | 24,160 | 24,160 | 20,413 |
| 600.000 | Evertec Inc | | 8,031 | 10,649 |
| 300.000 | Evertec Inc | 7,399 | | |
| 175.000 | Express Scripts Hldgs | 12,199 | 12,199 | 12,038 |
| 25.000 | Express Scripts Hldgs | 1,743 | | |
| 75.000 | Fed Ex Corp | 7,234 | 7,234 | 13,965 |
| 265.000 | Fidelity Natl Info Svcs | 10,743 | 10,743 | 20,045 |
| 700.000 | First Data Corp | | 9,301 | 9,933 |
| 125.000 | Fiserv Inc | 5,431 | 5,431 | 13,285 |
| 25.000 | Fiserv Inc | 1,086 | | |
| 500.000 | Franklin Resources | 23,238 | | |
| 625.000 | General Electric | 14,078 | 14,078 | 19,750 |
| 300.000 | Global Payments Inc | 7,085 | 7,085 | 20,823 |
| 5.000 | Alphabet Inc Cl A (f/k/a Google) | 2,014 | 2,014 | 3,962 |
| 10.000 | Alphabet Inc Cl A (f/k/a Google) | 4,889 | | |
| 25.000 | Alphabet Inc Cl C (f/k/a Google) | 12,364 | 12,364 | 19,295 |
| 425.000 | Haemonetics Corp | 17,784 | | |
| 650.000 | Hanesbrands | | 17,336 | 14,021 |
| 325.000 | Hologic Inc | 7,133 | 7,133 | 13,039 |
| 125.000 | Hologic Inc | 2,826 | | |
| 75.000 | Illinois Tool Works | 4,677 | 4,677 | 9,185 |
| 50.000 | Illinois Tool Works | 3,204 | | |
| 400.000 | Intel Corp | 11,960 | | |

FORM 990PF, PART II, BALANCE SHEETS (Asset Section – Lines 10a thru 10c)

| <u>Par/Shares</u> | <u>Description</u> | <u>Beginning Book Value</u> | <u>End of Year Book Value</u> | <u>End of Year Market Value</u> |
|------------------------|---------------------------|-----------------------------|-------------------------------|---------------------------------|
| CORPORATE STOCK | | | | |
| 200.000 | Intuit | 17,696 | 17,696 | 22,922 |
| 125.000 | Lowes Co | 5,802 | 5,802 | 8,890 |
| 575.000 | Mercantile Bank | 12,013 | 12,013 | 21,678 |
| 125.000 | Mercantile Bank | 2,694 | | |
| 225.000 | Microsoft | 6,140 | 6,140 | 13,982 |
| 100.000 | Microsoft | 2,729 | | |
| 475.000 | Morgan Stanley India Fund | 8,146 | | |
| 700.000 | Navient Corp | 8,378 | | |
| 350.000 | Netscout Systems Inc | | 7,477 | 11,025 |
| 300.000 | Netscout Systems Inc | 11,082 | | |
| 200.000 | Oracle Corp | 6,448 | 6,448 | 7,690 |
| 125.000 | Oracle Corp | | 5,147 | 4,806 |
| 475.000 | Panhandle Relaty Co | 8,147 | | |
| 300.000 | Paypal Holdings | 9,696 | 9,696 | 11,841 |
| 75.000 | Paypal Holdings | | 2,880 | 2,960 |
| 400.000 | Pfizer Inc | 8,556 | 8,556 | 12,992 |
| 50.000 | Pfizer Inc | 1,390 | | |
| 275.000 | T Rowe Price Group | 21,417 | 21,417 | 20,696 |
| 550.000 | Principal Financial Group | 17,148 | 17,148 | 31,823 |
| 125.000 | Principal Financial Group | | 4,806 | 7,233 |
| 175.000 | Prosperity Bancshares | 8,765 | 8,765 | 12,562 |
| 100.000 | Prosperity Bancshares | 5,591 | | |
| 200.000 | Qualcomm Inc | 12,498 | 12,498 | 13,040 |
| 100.000 | Qualcomm Inc | 7,054 | | |
| 325.000 | RPM International | 15,008 | 15,008 | 17,495 |
| 50.000 | RPM International | 2,444 | | |
| 150.000 | Resmed Inc | 8,624 | 8,624 | 9,307 |
| 100.000 | Rockwell Automation | 11,228 | 11,228 | 13,440 |
| 25.000 | Rockwell Automation | 2,930 | | |
| 300.000 | SEI Investment Company | 13,910 | 13,910 | 14,808 |

FORM 990PF, PART II, BALANCE SHEETS (Asset Section – Lines 10a thru 10c)

| <u>Par/Shares</u> | <u>Description</u> | <u>Beginning Book Value</u> | <u>End of Year Book Value</u> | <u>End of Year Market Value</u> |
|------------------------|-------------------------------|-----------------------------|-------------------------------|---------------------------------|
| CORPORATE STOCK | | | | |
| 400.000 | SS&C Technologies | | 12,061 | 11,440 |
| 750.000 | Schwab Charles Corp | | 19,031 | 29,602 |
| 175.000 | Stryker Corp | 10,604 | 10,604 | 20,967 |
| 25.000 | Stryker Corp | 1,654 | | |
| 100.000 | Thermo Fisher Scientific | 9,016 | 9,016 | 14,110 |
| 100.000 | Towers Watson & Co | 13,137 | | |
| 150.000 | Treehouse Foods Inc | 10,858 | 10,858 | 10,829 |
| 50.000 | Treehouse Foods Inc | | 3,403 | 3,609 |
| 125.000 | US Bancorp | 4,683 | 4,683 | 6,407 |
| 50.000 | US Bancorp | 1,874 | | |
| 200.000 | Union Pacific | 20,659 | 20,659 | 20,736 |
| 625.000 | Verifone Systems Inc | 13,843 | 13,843 | 11,081 |
| 325.000 | Verifone Systems Inc | | 6,161 | 5,762 |
| 75.000 | Verisign Inc | 3,712 | 3,712 | 5,705 |
| 5.000 | Verisign Inc | | 405 | 381 |
| 475.000 | Verizon Communications | 21,694 | 21,694 | 25,356 |
| 125.000 | Verizon Communications | 6,226 | | |
| 200.000 | VISA Inc | 9,711 | 9,711 | 15,604 |
| 675.000 | Western Union Co | 9,306 | 9,306 | 14,661 |
| 100.000 | Western Union Co | 1,472 | | |
| 330.000 | Whiting Petroleum | 16,088 | | |
| 350.000 | Wyndham Worldwide Corp | 23,544 | 23,544 | 26,730 |
| 250.000 | Xilinx Inc | 9,343 | 9,343 | 15,092 |
| 175.000 | YUM Brands | 13,254 | 9,364 | 11,083 |
| 175.000 | YUM China Holdings | | 3,890 | 4,571 |
| 65.000 | Allergan PLC | | 15,113 | 13,651 |
| 1500.000 | Affiliated Managers Group Pfd | 39,583 | 39,583 | 37,875 |
| 1000.000 | Customers Bancorp | | 26,705 | 26,255 |
| 1000.000 | Privatebancorp Inc Pfd | | 26,550 | 26,280 |

FORM 990PF, PART II, BALANCE SHEETS (Asset Section – Lines 10a thru 10c)

| <u>Par/Shares</u> | <u>Description</u> | <u>Beginning Book Value</u> | <u>End of Year Book Value</u> | <u>End of Year Market Value</u> |
|------------------------|----------------------------|-----------------------------|-------------------------------|---------------------------------|
| CORPORATE STOCK | | | | |
| 1000.000 | SLM Corp Pfd | 24,640 | 24,640 | 25,029 |
| 500.000 | SLM Corp Pfd | | 12,520 | 12,515 |
| 1000.000 | Stifel Financial Pfd | 25,850 | | |
| 1000.000 | Texas Cap Bancshares | | 25,650 | 25,350 |
| 1000.000 | WSFS Financial Corp Pfd | 26,550 | 26,550 | 25,400 |
| 500.000 | WSFS Financial Corp Pfd | | 13,150 | 12,700 |
| 1000.000 | Zions Bancorporation Pfd | 28,100 | 28,100 | 27,880 |
| 3000.000 | Old Second Cap Trust Pfd | 34,725 | 34,725 | 30,540 |
| 875.000 | AES Trust III Pfd | 43,114 | 43,114 | 44,100 |
| 75.000 | Alibaba Group Holding | | 6,164 | 6,586 |
| 900.000 | IShares MSCI Emerging Mkts | 42,991 | 42,991 | 38,205 |
| 425.000 | IShares MSCI Emerging Mkts | 21,683 | | |
| 75.000 | Schlumberger | 5,450 | | |
| 125.000 | Perrigo Co | 18,800 | 18,800 | 10,404 |
| 150.000 | Perrigo Co | | 15,730 | 12,484 |
| 693.110 | Harbor Intl Fund | 44,295 | 44,295 | 40,485 |
| 595.000 | Templeton Dragon Fund | 15,711 | | |
| 1521.720 | Tweedy Browne Global Value | 40,645 | 40,645 | 38,104 |
| 200.481 | Tweedy Browne Global Value | 5,355 | | |
| TOTALS | | 1,426,977 | 1,411,513 | 1,595,477 |

FORM 990PF, PART II, BALANCE SHEETS (Asset Section – Lines 10a thru 10c)

| <u>Par/Shares</u> | <u>Description</u> | <u>Beginning Book Value</u> | <u>End of Year Book Value</u> | <u>End of Year Market Value</u> |
|------------------------------------|----------------------------------|-----------------------------|-------------------------------|---------------------------------|
| STATE GOV'T/CORPORATE BONDS | | | | |
| 17500.000 | Burlington North Santa Fe | 18,131 | 18,131 | 18,820 |
| 25000.000 | Computer Sciences | 24,831 | 24,831 | 25,885 |
| 25000.000 | Fidelity Natl Information Svcs | 26,069 | 26,069 | 25,690 |
| 40000.000 | General Electric Cap 09/07/2022 | 40,154 | 40,154 | 40,852 |
| 25000.000 | Goldman Sachs Group | 24,295 | 24,295 | 24,045 |
| 40000.000 | Qualcomm Inc | 39,418 | 39,418 | 40,532 |
| 30000.000 | Sara Lee Corp | 31,597 | 31,597 | 31,429 |
| 40000.000 | Symantec Corp | 42,093 | 42,093 | 41,034 |
| 50000.000 | Washington REIT | 48,994 | 48,994 | 49,870 |
| 25000.000 | Western Union Co | 27,124 | | |
| 25000.000 | Zoetis Inc | 25,375 | 25,375 | 25,659 |
| 25000.000 | American Muni Power Ohio | 27,852 | 27,852 | 26,474 |
| 10000.000 | American Muni Power Ohio | | 11,182 | 10,589 |
| 40000.000 | Cuyahoga County OH Econ Dev 2025 | 43,865 | 43,865 | 42,695 |
| 25000.000 | Elk River MN Sch Dist | 27,752 | 27,752 | 25,085 |
| 25000.000 | Fulton County GA | 28,898 | 28,898 | 27,583 |
| 25000.000 | Hastings MN Econ Dev | 25,899 | | |
| 40000.000 | Johnson County KS Sch Dist | 41,528 | 41,528 | 41,544 |
| 25000.000 | Kansas City MO | 27,752 | 27,752 | 26,929 |
| 40000.000 | Maryland St Econ Dev | 43,665 | 43,665 | 44,887 |
| 40000.000 | Oshkosh WI Wtr Rev | 40,759 | | |
| 25000.000 | Passaic County NJ | 25,308 | 25,308 | 25,262 |
| 20000.000 | Pennsylvania State Build America | 19,571 | | |
| 35000.000 | South Carolina Hsg Finance | 35,842 | 35,842 | 35,493 |
| 350.000 | Vanguard Short Term Bond ETF | 28,236 | 28,236 | 27,807 |
| 550.000 | Vanguard Short Term Bond ETF | 44,370 | | |
| 25000.000 | Washoe County NV Sch Dist | 28,404 | 28,404 | 26,369 |
| TOTALS | | 837,782 | 691,241 | 684,533 |

FORM 990-PF PART IV ATTACHMENT

CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| <u>Description of Property</u> | <u>How Acquired</u> | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Gross Sales Price</u> | <u>Cost</u> | <u>Gain/Loss</u> |
|---------------------------------|---------------------|----------------------|------------------|--------------------------|-------------|------------------|
| HELD LESS THAN ONE YEAR | | | | | | |
| 175.000 AKAMAI TECHNOLOGIES | P | VARIOUS | 11/17/2016 | 11,663 | 8,895 | 2,768 |
| 25.000 BRYN MAWR BANK | P | 08/19/2016 | 12/28/2016 | 1,050 | 776 | 274 |
| 800.000 CHESAPEAKE ENERGY | P | VARIOUS | 02/11/2016 | 1,304 | 10,197 | (8,893) |
| 250.000 EMC CORP | P | 03/13/2015 | 02/29/2016 | 6,570 | 6,418 | 152 |
| 100.000 FRANKLIN RESOURCES | P | 03/13/2015 | 02/29/2016 | 3,641 | 5,203 | (1,562) |
| 400.000 INTEL CORP | P | 07/16/2015 | 07/12/2016 | 13,794 | 11,960 | 1,834 |
| 100.000 ISHS MSCI EMERGING MKTS | P | 05/20/2015 | 02/29/2016 | 3,705 | 5,194 | (1,489) |
| 700.000 NAVIENT CORP | P | 11/24/2015 | 10/12/2016 | 9,893 | 8,378 | 1,515 |
| 150.000 NETSCOUT SYSTEMS INC | P | 07/12/2016 | 11/17/2016 | 4,849 | 3,561 | 1,288 |
| 475.000 PANHANDLE REALTY CO | P | 09/08/2015 | 02/11/2016 | 6,294 | 8,147 | (1,853) |
| 250.000 STIFEL FINL CORP PFD | P | 06/16/2016 | 08/12/2016 | 6,250 | 6,413 | (163) |
| HELD MORE THAN ONE YEAR | | | | | | |
| 100.000 AFLAC INC | P | 07/09/2014 | 04/20/2016 | 6,798 | 6,299 | 499 |
| 150.000 ALLSCRIPTS HEALTHCARE | P | 05/06/2013 | 08/19/2016 | 1,886 | 2,065 | (179) |
| 10.000 ALPHABET INC CL A | P | VARIOUS | 11/17/2016 | 7,860 | 4,889 | 2,971 |
| 125.000 BOTTOMLINE TECHNOLOGIES | P | 06/23/2015 | 12/28/2016 | 3,109 | 3,606 | (497) |
| 100.000 CA INC | P | 10/23/2014 | 08/19/2016 | 3,408 | 2,701 | 707 |
| 200.000 CABELAS INC | P | VARIOUS | 05/06/2016 | 10,203 | 12,686 | (2,483) |
| 900.000 CHESAPEAKE ENERGY | P | VARIOUS | 02/11/2016 | 1,467 | 16,299 | (14,832) |
| 500.000 EMC CORP | P | VARIOUS | 02/29/2016 | 13,141 | 12,296 | 845 |
| 300.000 EBAY INC | P | VARIOUS | 02/29/2016 | 7,203 | 6,704 | 499 |
| 50.000 ECHO GLOBAL LOGISTICS | P | 10/08/2015 | 12/28/2016 | 1,255 | 1,125 | 130 |
| 300.000 EVERTEC INC | P | VARIOUS | 04/20/2016 | 4,228 | 7,399 | (3,171) |
| 25.000 EXPRESS SCRIPTS HOLDINGS | P | 10/07/2014 | 12/28/2016 | 1,738 | 1,743 | (5) |

ST JOHN FAMILY FOUNDATION TRUST

45-6691903

FORM 990-PF PART IV ATTACHMENTCAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| <u>Description of Property</u> | <u>How Acquired</u> | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Gross Sales Price</u> | <u>Cost</u> | <u>Gain/Loss</u> |
|---|---------------------|----------------------|------------------|--------------------------|-------------|------------------|
| HELD MORE THAN ONE YEAR | | | | | | |
| 25.000 FISERV INC | P | 06/07/2013 | 08/19/2016 | 2,560 | 1,086 | 1,474 |
| 400.000 FRANKLIN RESOURCES | P | VARIOUS | 02/29/2016 | 14,566 | 18,035 | (3,469) |
| 425.000 HAEMONETICS CORP | P | VARIOUS | 11/17/2016 | 16,675 | 17,784 | (1,109) |
| 125.000 HOLOGIC INC | P | VARIOUS | 02/29/2016 | 4,504 | 2,826 | 1,678 |
| 50.000 ILLINOIS TOOL WORKS | P | VARIOUS | 12/28/2016 | 6,192 | 3,204 | 2,988 |
| 325.000 ISHARES CORE MSCI EMERGING MARKETS ETF | P | VARIOUS | 08/19/2016 | 14,745 | 16,489 | (1,744) |
| 125.000 MERCANTILE BANK | P | 03/26/2014 | 12/28/2016 | 4,749 | 2,694 | 2,055 |
| 100.000 MICROSOFT CORP | P | 01/15/2013 | 08/19/2016 | 5,528 | 2,729 | 2,799 |
| 475.000 MORGAN STANLEY INDIA FUND | P | VARIOUS | 10/12/2016 | 13,057 | 8,146 | 4,911 |
| 300.000 NETSCOUT SYSTEMS | P | 09/08/2015 | 11/17/2016 | 9,697 | 11,082 | (1,385) |
| 50.000 PFIZER INC | P | 01/29/2013 | 08/19/2016 | 1,746 | 1,390 | 356 |
| 100.000 PROSPERITY BANCSHARES | P | 10/07/2014 | 12/28/2016 | 7,339 | 5,591 | 1,748 |
| 100.000 QUALCOMM INC | P | VARIOUS | 08/19/2016 | 6,255 | 7,054 | (799) |
| 50.000 RPM INTERNATIONAL | P | 07/16/2015 | 12/28/2016 | 2,707 | 2,444 | 263 |
| 25.000 ROCKWELL AUTOMATION | P | 09/04/2014 | 12/28/2016 | 3,387 | 2,930 | 457 |
| 75.000 SCHLUMBERGER | P | 01/15/2013 | 02/11/2016 | 5,041 | 5,450 | (409) |
| 25.000 STRYKER CORP | P | 05/06/2013 | 12/28/2016 | 3,016 | 1,654 | 1,362 |
| 595.000 TEMPLETON DRAGON FUND | P | VARIOUS | 04/20/2016 | 10,362 | 15,711 | (5,349) |
| 200.481 TWEEDY BROWNE GLOBAL FD | P | 10/23/2014 | 12/28/2016 | 5,000 | 5,355 | (355) |
| 50.000 US BANCORP | P | 07/22/2013 | 12/28/2016 | 2,602 | 1,874 | 728 |
| 550.000 VANGUARD SHORT-TERM BON | D | 12/26/2010 | 10/12/2016 | 44,211 | 44,370 | (159) |
| 125.000 VERIZON COMMUNICATIONS | P | VARIOUS | 07/12/2016 | 6,891 | 6,226 | 665 |
| 100.000 WESTERN UNION CO | P | 04/02/2013 | 08/19/2016 | 2,109 | 1,472 | 637 |
| 330.000 WHITING PETROLEUM CORP | P | VARIOUS | 02/11/2016 | 1,584 | 16,088 | (14,504) |

ST JOHN FAMILY FOUNDATION TRUST

45-6691903

FORM 990-PF PART IV ATTACHMENT

CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| <u>Description of Property</u> | <u>How Acquired</u> | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Gross Sales Price</u> | <u>Cost</u> | <u>Gain/Loss</u> |
|--|---------------------|----------------------|------------------|--------------------------|---------------|------------------|
| HELD MORE THAN ONE YEAR | | | | | | |
| 100.000 WILLIS TOWERS WATSON | P | 03/19/2015 | 05/05/2016 | 12,698 | 13,137 | (439) |
| 25000.000 HASTINGS MN ECON DEV NOTE DUE 02/01/2025 | P | 01/09/2014 | 03/01/2016 | 25,000 | 25,899 | (899) |
| 40000.000 OSHKOSH WI WATER REV BOND DUE 01/01/2025 | P | 02/25/2014 | 10/18/2016 | 40,000 | 40,759 | (759) |
| 1000.000 STIFEL FINANCIAL PFD | P | VARIOUS | 08/12/2016 | 25,000 | 25,850 | (850) |
| 25000.000 WESTERN UNION CO NOTE DUE 10/01/2016 | P | 04/01/2013 | 10/03/2016 | 25,000 | 27,124 | (2,124) |
| 20000.000 PENNSYLVANIA STATE CTFS BOND DUE 04/01/2016 | D | 12/26/2010 | 04/01/2016 | <u>20,000</u> | <u>19,571</u> | <u>429</u> |
| Capital Gain (Loss) From Detail | | | | 473,530 | 506,978 | <u>(33,448)</u> |
| Capital Gain Dividends | | | | | | |
| ADAM NATURAL RESOURCES | | | | | | 1,615 |
| MORGAN STANLEY INDIA FUND | | | | | | 143 |
| TWEEDY BROWNE GLOBAL VALUE | | | | | | 586 |
| VANGUARD SHORT TERM BOND ETF | | | | | | 2 |
| Total Capital Gain Dividends | | | | | | 2,346 |
| TOTAL GAIN/LOSS | | | | | | (31,102) |