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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation

HARMAN FAMILY FOUNDATION

A Employer identification number

45-6495102

Number and street (or P.O. box number if mail is not delivered to street address)

10390 SANTA MONICA BLVD STE 360

B Telephone number (see instructions)

(310) 551-1220

City or town, state or province, country, and ZIP or foreign postal code

LOS ANGELES

CA

90025

Foreign country name

Foreign province/state/country

Foreign postal code

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationI Fair market value of all assets at
end of year (from Part II, col (c),
line 16) ▶ \$ 34,382,455J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test,
check here and attach computation ☐E If private foundation status was terminated under
section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The total of
amounts in columns (b), (c), and (d) may not necessarily
equal the amounts in column (a) (see instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	821			
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	633,280	633,280		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	140,087			
	b Gross sales price for all assets on line 6a 10,926,875				
	7 Capital gain net income (from Part IV, line 2)		140,087		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	774,188	773,367	0	
	13 Compensation of officers, directors, trustees, etc.	295,551			295,551
	14 Other employee salaries and wages	99,982			99,982
	15 Pension plans, employee benefits	4,550			4,550
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	22,508			22,508
	c Other professional fees (attach schedule)	168,738	168,048		690
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	96,460	68,294		28,166
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	7,263			7,263
	21 Travel, conferences, and meetings	7,835			7,835
	22 Printing and publications				
	23 Other expenses (attach schedule)	11,486			11,486
	24 Total operating and administrative expenses. Add lines 13 through 23	714,373	236,342	0	478,031
	25 Contributions, gifts, grants paid	2,019,323			2,019,323
	26 Total expenses and disbursements. Add lines 24 and 25	2,733,696	236,342	0	2,497,354
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-1,959,508			
	b Net investment income (if negative, enter -0-)		537,025		
	c Adjusted net income (if negative, enter -0-)			0	

9 -

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash—non-interest-bearing	41,789	71,583	71,583
	2 Savings and temporary cash investments	429,123	891,112	891,112
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	4,723,494	3,479,780	3,447,923
	b Investments—corporate stock (attach schedule)	20,991,397	19,725,786	23,241,329
	c Investments—corporate bonds (attach schedule)	4,033,414	4,085,193	4,004,334
Liabilities	11 Investments—land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	2,808,060	2,808,060	2,724,424
	14 Land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)			
	15 Other assets (describe: SECURITY DEPOSIT)		1,750	1,750
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	33,027,277	31,063,264	34,382,455
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe: TAX/BOOK DIFFERENCE)		3,680	
	23 Total liabilities (add lines 17 through 22)	0	3,680	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	33,027,277	31,059,584	
	30 Total net assets or fund balances (see instructions)	33,027,277	31,059,584	
	31 Total liabilities and net assets/fund balances (see instructions)	33,027,277	31,063,264	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	33,027,277
2	Enter amount from Part I, line 27a	2	-1,959,508
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	31,067,769
5	Decreases not included in line 2 (itemize): WASH SALES DISALLOWANCE	5	8,185
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	31,059,584

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLIC TRADED SECURITIES	P	1/1/2015	12/31/2016
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 10,926,875		10,794,973	140,087	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h)))
(l) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a			140,087	
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	140,087	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }	3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	2,305,564	37,459,474	0.061548
2014	2,288,908	39,490,087	0.057962
2013	2,867,039	36,212,312	0.079173
2012	1,065,549	28,153,701	0.037848
2011			0.000000
2	Total of line 1, column (d)	2	0.236531
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.059133
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	33,174,565
5	Multiply line 4 by line 3	5	1,961,712
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	5,370
7	Add lines 5 and 6	7	1,967,082
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	2,497,354

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter. (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).			
1			5,370	
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		0	
3	Add lines 1 and 2		5,370	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5,370	
6	Credits/Payments			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	30,000	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		30,000	
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		0	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		24,630	
11	Enter the amount of line 10 to be: Credited to 2017 estimated tax 24,630 Refunded		0	

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
b		X
1c		X
2		X
3		X
4a		X
4b	N/A	
5		X
6		
7	X	
8a		
8b	X	
9		X
10		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► HARMAN-FOUNDATION.ORG	13	X	
14	The books are in care of ► DRESSLER & ASSOCIATES INC Telephone no. ► 310-551-1220 Located at ► 10390 SANTA MONICA BL VD 360 LOS ANGELES CA ZIP+4 ► 90025			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Statement	00	0		
	.00	0		
	00	0		
	.00	0		
	.00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NANCY SWARTZ 26 SHADY LANE, NEEDHAM, MA 02492	GRANTS/OPS MGF 40 00	99,982	250	
	.00	0		
	.00	0		
	00	0		
	.00	0		

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
CAPITAL GUARDIAN TRUST COMPANY 6455 IRVINE CENTER DRIVE, IRVINE, CA 92618	INVESTMENT MANAGEMENT	168,048
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

- 1 THE EXECUTIVE DIRECTOR AND THE GRANTS AND OPERATIONS MANAGER VOLUNTEER FIFTY PERCENT OF THEIR TIME PROVIDING SIGNIFICANT TECHNICAL ASSISTANCE TO THE FOUNDATION'S LEAD NONPROFIT PARTNER, THE CATALOGUE FOR PHILANTHROPY. THE EXECUTIVE DIRECTOR
- 2 OF THE FOUNDATION VOLUNTEERS AS THE CATALOGUE'S LEAD EXECUTIVE MANAGING ALL ORGANIZATIONAL ACTIVITIES, INCLUDING STRATEGIC PLANNING, FUNDRAISING, PUBLIC RELATIONS AND OPERATIONS MANAGEMENT. THE GRANTS AND OPERATIONS MANAGER
- 3 VOLUNTEERS AS THE CATALOGUE'S EDITORIAL MANAGER, EVENT PLANNER AND COORDINATES THE OVERALL ADMINISTRATIVE OPERATIONS OF THIS NONPROFIT PARTNER.
- 4

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 N/A

2

All other program-related investments. See instructions

3

Total. Add lines 1 through 3

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	32,890,787
b	Average of monthly cash balances	1b	788,974
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	33,679,761
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	33,679,761
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	505,196
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	33,174,565
6	Minimum investment return. Enter 5% of line 5	6	1,658,728

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,658,728
2a	Tax on investment income for 2016 from Part VI, line 5	2a	5,370
b	Income tax for 2016 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	5,370
3	Distributable amount before adjustments Subtract line 2c from line 1	3	1,653,358
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,653,358
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	1,653,358

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	2,497,354
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,497,354
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	5,370
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,491,984

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,653,358
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016.				
a From 2011				
b From 2012				
c From 2013	1,089,279			
d From 2014	339,272			
e From 2015	481,518			
f Total of lines 3a through e	1,910,069			
4 Qualifying distributions for 2016 from Part XII, line 4: $\blacktriangleright$ \$ 2,497,354				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				1,653,358
e Remaining amount distributed out of corpus	843,996			
5 Excess distributions carryover applied to 2016. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	2,754,065			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	2,754,065			
10 Analysis of line 9.				
a Excess from 2012				
b Excess from 2013	1,089,279			
c Excess from 2014	339,272			
d Excess from 2015	481,518			
e Excess from 2016	843,996			

Part XV **Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a <i>Paid during the year</i> SEE DETAIL SCHEDULE ATTACHED			PC		2,019,323
Total				▶ 3a	2,019,323
b <i>Approved for future payment</i>					
Total				▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue.					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	633,280	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	140,007	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0		773,287	0
13	Total. Add line 12, columns (b), (d), and (e)				13	773,287

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | |
|---|--|
| 1 | <p>Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of</p> <p style="padding-left: 20px;">(1) Cash</p> <p style="padding-left: 20px;">(2) Other assets</p> <p>b Other transactions:</p> <p style="padding-left: 20px;">(1) Sales of assets to a noncharitable exempt organization</p> <p style="padding-left: 20px;">(2) Purchases of assets from a noncharitable exempt organization</p> <p style="padding-left: 20px;">(3) Rental of facilities, equipment, or other assets</p> <p style="padding-left: 20px;">(4) Reimbursement arrangements</p> <p style="padding-left: 20px;">(5) Loans or loan guarantees</p> <p style="padding-left: 20px;">(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> |
|---|--|

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

TRUSTEE
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name

LARRY J DRESSLER

Preparer's signature

Date _____

10/27/2017

Check ☐ if self-employed

PTIN

P01231790

Firm's name ► **DRESSLER & ASSOCIATES INC**

Firm's EIN ► 95-4198016

Firm's address ► 10390 SANTA MONICA BLVD #360, LOS ANGELES, CA 90025

Phone no	310-551-1220
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Part I, Line 16b (990-PF) - Accounting Fees

		22,508	0	0	22,508
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	DRESSLER & ASSOCIATES	5,500			5,500
2	HAN GROUP	12,575			12,575
3	MARGARET REZNIK	4,433			4,433

Part I, Line 16c (990-PF) - Other Professional Fees

		168,738	168,048	0	690
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	CT CORPORATION	690			690
2	CAPITAL GUARDIAN INVESTMENT FEES	168,048	168,048		0

Part I, Line 18 (990-PF) - Taxes

		96,460	68,294	0	28,166
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FEDERAL INCOME TAX	30,000	30,000		
2	FOREIGN TAXES ON INVESTMENTS	38,294	38,294		
3	PAYROLL TAXES	26,906			26,906
4	OTHER FILING FEES	1,260			1,260

Part I, Line 23 (990-PF) - Other Expenses

		11,486	0	0	11,486
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	INSURANCE	3,050	0		3,050
2	PAYROLL SERVICE FEES	2,203	0		2,203
3	TELEPHONE/COMMUNICATIONS	3,115	0		3,115
4	CREDIT CARD DISCOUNT CHARGES	194	0		194
5	PRINTING/COPYING	786	0		786
6	SUPPLIES	786	0		786
7	DUES AND SUBSCRIPTIONS	750	0		750
8	POSTAGE	548	0		548
9	REPAIRS & MAINTENANCE	54	0		54

Part II, Line 15 (990-PF) - Other Assets

		0	1,750	1,750
Asset Description		Book Value Beg of Year	Book Value End of Year	FMV End of Year
1	SECURITY DEPOSIT		1,750	1,750

Part II, Line 22 (990-PF) - Other Liabilities

		0	3,680
Description		Beginning Balance	Ending Balance
1	TAX/BOOK DIFFERENCE		3,680

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

295,55100												
Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account	Explanation
1BARBARA HARMAN		10390 SANTA MONICA BLVD 360	LOS ANGELES	CA	90025		TRUSTEE	40.00	180,000			
2JANE HARMAN		10390 SANTA MONICA BLVD 360	LOS ANGELES	CA	90025		TRUSTEE	4.00	0			
3MEGAN QUITKIN		10390 SANTA MONICA BLVD 360	LOS ANGELES	CA	90025		TRUSTEE	40.00	115,551			
4LYNN HARMAN		10390 SANTA MONICA BLVD 360	LOS ANGELES	CA	90025		TRUSTEE	1.00	0			
5DAN HARMAN		10390 SANTA MONICA BLVD 360	LOS ANGELES	CA	90025		TRUSTEE	1.00	0			
6BRIAN FRANK		10390 SANTA MONICA BLVD 360	LOS ANGELES	CA	90025		TRUSTEE	1.00	0			

HARMAN FAMILY FOUNDATION

TOTAL: \$2,019,323.00

Organization Name	Cycle	Funded Amount	Check Date	Address	501c3	Description
CONTINUING PROGRAMS GRANTS						
826DC	2016-Cycle 2	\$20,000.00	2016-07-25	3333 14th Street NW, Ste M-120, Washington, DC, 20010	yes	Writing/creative writing program for 4000 students in DC public schools.
A Wider Circle	2016-Cycle 1	\$10,000.00	2016-01-14	4808 Moorland Lane, Suite 802, Bethesda, MD, 20814	yes	Providing a unique, holistic approach to ending poverty.
Arena Stage: Voices Of Now	2016-Cycle 2	\$20,000.00	2016-07-25	1101 Sixth Street, SW, Washington, DC, 20024	yes	Program devises autobiographical plays and ensembles of middle-school artists
Capital Partners For Education	2016-Cycle 1	\$30,000.00	2016-01-14	1413 K Street NW, Third Floor, Washington, DC, 20005	yes	College access program for motivated, low-income students in DC.
Citydance	2016-Cycle 1	\$25,000.00	2016-01-14	4000 Albemarle Street, NW, Suite 304, Washington, DC, 20016	yes	Serving under-resourced youth in Washington DC, uses dance as a vehicle to teach students to become engaged advocates for change in their own lives and in their communities.
Collegiate Directions	2016-Cycle 1	\$10,000.00	2016-01-14	4827 Rugby Avenue, Suite 001, Bethesda, MD, 20814	yes	College readiness, access and retention program for low-income, first-generation-to-college students.
Critical Exposure	2016-Cycle 2	\$25,000.00	2016-07-25	1816 12th St. NW, 3rd Fl., Third Floor, Washington, DC, 20009	yes	Empowers at-risk youth through photography and advocacy training.
Dc Arts And Humanities Education Collaborative	2016-Cycle 1	\$15,000.00	2016-01-14	975 F Street NW, Suite 400, Washington , DC, 20004	yes	Access to the city's vibrant arts world for DC public schoolchildren
Dc Creative Writing Workshop	2016-Cycle 2	\$20,000.00	2016-07-25	601 Mississippi Avenue, SE, Washington, DC, 20032	yes	Helps teachers and offers writing opportunities unavailable at other schools.
Dc Youth Orchestra Program	2016-Cycle 2	\$40,000.00	2016-07-25	1700 E. Capitol Street, NE, Washington, DC, 20003	yes	Music education organization providing instruction and performance opportunities for youth.
For Love Of Children	2016-Cycle 1	\$20,000.00	2016-01-14	1763 Columbia Road, NW, Washington, DC, 20009	yes	Providing free educational services to low-income Washington, DC students.
Free Minds Book Club & Writing Workshop	2016-Cycle 1	\$35,000.00	2016-01-14	2201 P Street NW, Washington, DC, 20037	yes	Book club and creative writing program for teens incarcerated as adults in DC jail, and reentry program as they return from incarceration in federal prison.
Friendship Place	2016-Cycle 1	\$10,000.00	2016-01-14	4713 Wisconsin Ave NW, Washington, DC, 20016	yes	Community-based organization helping homeless adults rebuild their lives

Generation Hope	2016-Cycle 1	\$25,000.00	2016-01-14	415 Michigan Avenue NE, Suite 250, Washington, DC, 20017	yes	HS completion/college access program for parenting teens
Higher Achievement	2016-Cycle 1	\$25,000.00	2016-01-14	317 8th Street NE, Washington, DC, 20002	yes	Provides 650 hours of academic instruction, enrichment activities, and academic mentoring annually through the Summer Academy, Afterschool Academy, and High School Placement Program.
Horton's Kids	2016-Cycle 2	\$25,000.00	2016-07-25	100 Maryland Avenue NE, Suite 520, Washington, DC, 20002	yes	Serves as a second family to over 700 children from the Ward 8 housing projects with individualized tutoring.
Inner-City Arts	2016-Cycle 2	\$25,000.00	2016-07-25	720 Kohler Street, Los Angeles, CA, 90021	yes	Uses arts education to positively affect the lives of "underserved children in high-poverty downtown LA neighborhoods
La Conservation Corps	2016-Cycle 2	\$10,000.00	2016-07-25	PO Box 15868, Los Angeles, CA, 90015	yes	Provides opportunities for work and schooling for at risk young people
Los Angeles Philharmonic Association	2016-Cycle 2	\$25,000.00	2016-07-25	151 S. Grand Ave., Los Angeles, CA, 90012	yes	Music education for children 2-18 that come from "extremely low income" families.
Martha's Table	2016-Cycle 2	\$10,554.00	2016-07-06	2114 14th Street, NW, Washington, DC, 20009	yes	Provides access to quality education, healthy food, and family supports to 18,000 residents
Miriam's Kitchen	2016-Cycle 1	\$15,000.00	2016-01-14	2401 Virginia Avenue, NW, Washington, DC, 20037	yes	Serves 4,000 homeless adults annually in Washington, DC.
Posse D.C.	2016-Cycle 1	\$20,000.00	2016-01-14	1319 F Street NW, Suite 604, Washington, DC, 20004	yes	Education program sends 6 multicultural teams of 10 students to college and maintains database of finalists that other schools can access.
Project Create	2016-Cycle 2	\$25,000.00	2016-07-25	2028 Martin Luther King Jr. Avenue SE, 2nd Floor, Washington, DC, 20020	yes	Arts opportunities for children in at-risk situations
Raw Art Works	2016-Cycle 1	\$30,000.00	2016-01-14	37 Central Square, Lynn, MA, 01901	yes	Integrates the arts with leadership development, life and work skills, entrepreneurship, teen employment, and college access.
Sitar Arts Center	2016-Cycle 1	\$25,000.00	2016-01-14	1700 Kalorama Road, NW, Suite 101, Washington, DC, 20009	yes	Offers arts programs year-round to 850 students, 8-% of whom are low-income.
Spark Program	2016-Cycle 2	\$15,000.00	2016-07-25	145 S. Spring Street, Suite 410, Los Angeles, CA, 90012	yes	Educational enrichment program combined with apprenticeship program to keep students on track to graduate HS and prepare for college and career.
The Ellington Fund	2016-Cycle 2	\$20,000.00	2016-07-25	Duke Ellington School of the Arts, 2001 10th Street, NW, Washington, DC, 20001	yes	The Shepherding Program provides character education, academic support, and wrap around services for students at the Ellington School of the Arts.
The Springboard Collaborative	2016-Cycle 2	\$10,000.00	2016-07-25	2 Penn Center, Suite 1315, 1500 JFK Boulevard,	yes	Program to prevent summer learning loss

				Philadelphia, PA, 19102			
The Theater Offensive	2016-Cycle 1	\$30,000.00	2016-01-14	565 Boylston St, 3rd Floor, Boston, MA, 02116	yes	Provides year-round theater programming for lesbian, gay, bisexual, transgender, queer and questioning youth and their straight allies (LGBTQQA), ages 14 to 22.	
The Theatre Lab School For The Dramatic Arts	2016-Cycle 1	\$20,000.00	2016-01-14	733 8th St. NW, Washington, DC, 20001	yes	Life Stories outreach program teaches youth from marginalized populations to create original dramatic works using their own life experiences.	
The Washington School For Girls	2016-Cycle 1	\$25,000.00	2016-01-14	1901 Mississippi Ave., SE, Washington, DC, 20020	yes	Middle school for at-risk girls	
Thrive DC	2016-Cycle 1	\$10,000.00	2016-01-14	1525 Newton Street NW, Suite G1, Washington, DC, 20010	yes	Offering services for homeless/low-income individuals in the DC metro area.	
Washington Jesuit Academy	2016-Cycle 1	\$35,000.00	2016-01-14	900 Varnum Street, NE, Washington, DC, 20017	yes	Tuition-free middle-school for low-income boys.	
Young Playwrights' Theater	2016-Cycle 2	\$25,000.00	2016-07-25	2437 15th Street, NW, Washington, DC, 20009	yes	Educational theater, teaches playwriting to youth and produces youth-written plays	
CONTINUING PROGRAMS GRANTS -- Subtotal: \$730,554.00							
FAMILY MATCHING GRANTS							
Accion East	2016-Cycle 1	\$10,000.00	2016-01-14	80 Maiden Lane, New York, NY, 10038	yes	Brings affordable small business loans to microentrepreneurs	
Americashare	2016-Cycle 1	\$6,435.00	2016-01-14	15 West 26th Stret, New York, NY, 10010	yes	Sends Kenyan HIV/AIDS orphans to safe, private schools.	
Ashoka: Innovators For The Public	2016-Cycle 1	\$10,000.00	2016-01-14	1700 North Moore Street, Suite 2000, Arlington, VA, 22209	yes	Develops social entrepreneurship around the world	
Calvary Women's Services	2016-Cycle 1	\$2,000.00	2016-01-14	1217 Good Hope Road, SE, Washington, DC, 20020	yes	Transitional housing program, also offers nutritious meals, psychiatric care, substance abuse support groups, life skills classes, and a sisterhood of support.	
Catalogue For Philanthropy: Greater Washington	2016-Cycle 1	\$35,000.00	2016-01-14	1899 L Street, NW, Suite 900, Washington, DC, 20036	yes	Curates, educates, and empowers the best community-based nonprofits in the DC region and provides donors with a roadmap for effective giving.	
Children's Aid Society	2016-Cycle 1	\$2,000.00	2016-01-14	711 Third Avenue , suite 700, New York, NY, 10017	yes	Provides comprehensive services to New York City's most impoverished children and their families, helping them succeed and thrive in the face of adversity.	
ECPAT-USA	2016-Cycle 1	\$1,000.00	2016-01-14	30 Third Avenue, Suite 800A, Brooklyn, NY, 11217	yes	Protects children who are sexually exploited by Americans abroad; children who are trafficked to the United States from abroad; and American	

						children who are trafficked and exploited within the United States		
Forum Theatre	2016-Cycle 1	\$2,000.00	2016-01-14	PO Box 13974, Silver Spring, MD, 20911	yes	Produces adventurous, relevant, and challenging plays that inspire discussion and build community		
International Rescue Committee	2016-Cycle 1	\$3,000.00	2016-01-14	122 E. 42nd Street, New York, NY, 10168-1289	yes	Intensive classroom instruction and social services for newly emigrated students ages 5- 19		
Joseph's House	2016-Cycle 1	\$2,000.00	2016-01-14	1730 Lanier Place NW, Washington, DC, 20009	yes	Home and hospice to formerly homeless men living with AIDS.		
Joy Of Motion Dance Center	2016-Cycle 2	\$10,000.00	2016-06-02	1875 Connecticut Avenue NW, 10th Floor, Washington, DC, 20009	yes	Dance education center for all ages, levels, and backgrounds.		
New York University Silver School Of Social Work	2016-Cycle 1	\$10,000.00	2016-01-14	1 washington square north, new york, NY, 10003	yes	Social work graduate school		
Planned Parenthood Federation Of America	2016-Cycle 1	\$1,000.00	2016-01-14	1110 Vermont Avenue NW #300, washington DC, 20005	yes	Provides SRH services to women, men, and adolescents at reasonable, affordable prices.		
Seeds Of Peace	2016-Cycle 1	\$1,000.00	2016-01-14	370 Lexington Avenue, Suite 1201, New York, NY, 10017	yes	Gives rise to new generations of leaders uniquely inspired and equipped to build peace in countries facing some of the most intractable crises.		
Southern Poverty Law Center	2016-Cycle 1	\$10,000.00	2016-01-14	400 Washington Avenue, Montgomery, AL, 36104	yes	Dedicated to confronting hate and intolerance		
St Ann's Warehouse	2016-Cycle 1	\$1,000.00	2016-01-14	45 water street, brooklyn, ny, 11201	yes	Curates experimental theater at reasonable cost for the NYC community		
The Inn	2016-Cycle 1	\$5,000.00	2016-01-14	211 Fulton Avenue, Hempstead, NY, 11550	yes	Addresses issues of hunger and homelessness on Long Island		
The Mount Sinai Medical Legal Partnership	2016-Cycle 1	\$7,500.00	2016-01-14	150 East 42nd Street 2-B-20 (attn: Scott Landau), New York, NY, 10017	yes	Coordinates legal counsel for indigent patients		
The Women's Collective	2016-Cycle 1	\$2,000.00	2016-01-14	1331 Rhode Island Avenue NE, Washington, DC, 20018	yes	Support women and families living with/or at risk for HIV/AIDS.		
Women's Refugee Commission	2016-Cycle 1	\$1,000.00	2016-01-14	122 East 42nd Street, new york, ny, 10168		Protects rights of refugee women		
Woodrow Wilson International Center For Scholars	2016-OTHER	\$23,334.00	2016-02-16	1300 Pennsylvania Avenue, Washington, DC, 20004	yes	To provide a nonpartisan for for open, intelligent, informed dialogue on critical issues of the day		
Year Up	2016-Cycle 1	\$35,000.00	2016-01-14	85 Broad Street, 6th Floor, New York, NY, 10004	yes	Seeks to close the Opportunity Divide by providing urban young adults with the skills, experience, and support that will empower them to reach their potential through professional careers and higher education.		

FAMILY MATCHING GRANTS -- Subtotal: \$180,269.00

LEGACY GRANTS

Aspen Institute	2016-Cycle 2	\$35,000.00	2016-06-02	One Dupont Circle, NW, Suite 700, Washington, DC, 20036	yes	Dedicated to developing visionary, principle-centered leaders who will create a better world
Baruch College	2016-OTHER	\$15,000.00	2016-10-11	One Bernard Baruch Way , Box A-1603, New York, NY, 10010-5585	yes	Sidney Harman Writers-in-Residence Program
Catalogue For Philanthropy: Greater Washington	2016-OTHER	\$25,000.00	2016-09-21	1899 L Street, NW, Suite 900, Washington, DC, 20036	yes	Curates, educates, and empowers the best community-based nonprofits in the DC region and provides donors with a roadmap for effective giving.
Didi Hirsch Mental Health Services	2016-Cycle 1	\$3,000.00	2016-01-14	4760 S. Sepulveda Blvd., Culver City, CA, 90230	yes	provides quality mental health care and substance use disorder services in communities where stigma or poverty limit access
John F. Kennedy Center For The Performing Arts	2016-Cycle 1	\$50,000.00	2016-01-14	2700 F Street NW, Washington, DC, 20566	yes	Support the Center's District of Columbia Partnership Schools Initiative (DCPSI) in its efforts to promote and expand arts education opportunities within its partnership schools network.
Levine Music	2016-Cycle 1	\$20,000.00	2016-01-14	2801 Upton Street NW, Washington, DC, 20008	yes	A center for music education, where children and adults find lifelong inspiration and joy through learning, performing, listening to, and participating with others in music
National Democratic Institute	2016-OTHER	\$5,000.00	2016-12-19	455 Massachusetts Avenue, NW, 8th floor, Washington, DC, DC, 20001	yes	Working to strengthen and expand democracy
National Women's Law Center	2016-Cycle 2	\$2,500.00	2016-08-12	11 Dupont Circle, Suite 800, Washington, DC, 20036	yes	Leader on issues that matter to women and girls
Planned Parenthood	2016-OTHER	\$5,000.00	2016-12-16	400 West 30th Street, Los Angeles, CA, 90007	yes	Reproductive health care and information to the people of LA County
Shakespeare Theatre Company	2016-OTHER	\$200,000.00	2016-09-21	516 8th Street SE, Washington, DC, 20003	yes	Shakespeare Theatre at the Harman Center for the Arts
Share Our Strength	2016-Cycle 1	\$10,000.00	2016-01-14	1030 15th Street NW , Suite 1100!, Washington, DC, 20005	yes	Committed to ending childhood hunger through its "No Kid Hungry" campaign,
The Jewish Federation Of La	2016-OTHER	\$5,000.00	2016-12-16	Goldsmith Center, 6505 Wilshire Boulevard, Los Angeles, CA, 90048	yes	Convenes community and leverages its resources to assure the continuity of the Jewish people, support a secure State of Israel, care for Jews in need here and abroad.
The Rape Foundation	2016-OTHER	\$2,500.00	2016-08-15	1223 Wilshire Boulevard, No 410, Santa Monica, CA, 90403	yes	Rape victim assistance program
The Washington Ballet	2016-Cycle 1	\$25,000.00	2016-01-14	3515 Wisconsin Ave, NW, Washington, DC, DC, 20016	yes	One of the pre-eminent ballet organizations in the United States with outreach programs in DC schools and at THEARC in Southeast DC.
Venice Family Clinic	2016-Cycle 1	\$2,500.00	2016-01-14	Venice Family Clinic, 604 Rose	yes	Medical services to the uninsured

				Avenue, Venice, CA, 90291			
Woodley House	2016- OTHER	\$3,000.00	2016- 11-01	3000 Connecticut Avenue NW, Suite 138, Washington, DC, 20008	yes	Support for those with mental illness	
LEGACY GRANTS -- Subtotal: \$408,500.00							
NEW PROGRAMS GRANTS							
African Services Committee	2016- Cycle 2	\$35,000.00	2016- 07-27	429 West 127th St, New York, NEW YORK, 10027	yes	Provides health, legal, housing and supportive services to African diaspora immigrants in NYC and health services in 5 of Ethiopia's poorest regions.	
Community-Word Project	2016- Cycle 2	\$35,000.00	2016- 07-25	11 Broadway, Ste 508, New York, NY, 46227	yes	Arts residency program for underserved students in 16 title 1 NYC schools	
Dreamyard Project	2016- Cycle 1	\$50,000.00	2016- 01-14	1085 Washington Avenue, Bronx, NY, 10456	yes	creating bright futures for Bronx youth by shaping their creative development through arts-based learning	
Free The Slaves	2016- Cycle 2	\$150,000.00	2016- 07-27	1320 19th St NW, Suite 600, Suite 600, Washington, DC, 20036	yes	Works to eradicate human trafficking and modern day slavery	
Go Project	2016- Cycle 1	\$35,000.00	2016- 01-14	50 Cooper Square, 3rd Floor, New York, NY, 10003	yes	Unique public private partnership that addresses the academic and psycho social needs of at-risk, low-income public school students	
Healthright International	2016- Cycle 1	\$35,000.00	2016- 01-14	240 Greene Street, 2nd Floor, New York, New York, 10003	yes	Builds lasting access to health for excluded communities using human rights based approach	
International Rescue Committee	2016- Cycle 2	\$50,000.00	2016- 07-27	122 E. 42nd Street, New York, NY, 10168-1289	yes	Intensive classroom instruction and social services for newly emigrated students ages 5- 19	
Litworld	2016- Cycle 2	\$50,000.00	2016- 07-27	222 Broadway, 19th Floor, New York, NY, 10038	yes	Creating communities of resilience, hope and joy through reading, writing and power of shared story	
Magic Box Productions, Inc.	2016- Cycle 1	\$40,000.00	2016- 01-14	444 Bedford Rd., Pleasantville, New York, 10570	yes	The only NYC org focused on providing quality media-arts education to NYC grade school students	
Ramapo For Children	2016- Cycle 1	\$50,000.00	2016- 01-14	49 W. 38th St., 5th Floor, New York, NY, 10018	yes	Supporting children whose behavior puts them at risk of being marginalized in their school and communities	
Seeds Of Peace	2016- OTHER	\$50,000.00	2016- 12-14	370 Lexington Avenue, Suite 1201, New York, NY, 10017	yes	Gives rise to new generations of leaders uniquely inspired and equipped to build peace in countries facing some of the most intractable crises.	
The Lower Eastside Girls Club	2016- Cycle 2	\$50,000.00	2016- 07-27	101 Avenue D, Suite 12E, New York, NY, 10009	yes	LESGC empowers girls ages 8-23 to grow, learn, have fun and develop confidence in themselves and their ability to make a difference in the world	
The Time In Children's Arts Initiative	2016- Cycle 1	\$30,000.00	2016- 01-14	The Time In Children's Arts Initiative, 227 West 29th St. Studio 4R, New York , NY,	yes	Arts education for some of NYC's most undeserved elementary school children.	

				10001			
NEW PROGRAMS GRANTS -- Subtotal: \$660,000.00							
OTHERS							
826NYC	2016-OTHER	\$5,000.00	2016-12-29	372 5th Ave, Brooklyn, NY, 11215	yes	Academic and literacy support for underserved kids	
Chrysalis	2016-OTHER	\$5,000.00	2016-12-29	1853 Lincoln Boulevard, santa monica, CA, 90404	yes	Creates pathway to jobs for men and women	
Forum Theatre	2016-OTHER	\$5,000.00	2016-12-29	PO Box 13974, Silver Spring, MD, 20911	yes	Produces adventurous, relevant, and challenging plays that inspire discussion and build community	
Horizons	2016-OTHER	\$5,000.00	2016-12-29	Horizons c/o Brooklyn Friends School, 375 Pearl Street, Brooklyn, NY, 11201	yes	Levels the academic playing field for kids who live in NYC school district 13	
Southern Poverty Law Center	2016-OTHER	\$5,000.00	2016-12-29	400 Washington Avenue, Montgomery, AL, 36104	yes	Dedicated to confronting hate and intolerance	
The Center For Reproductive Rights	2016-OTHER	\$5,000.00	2016-12-29	199 Water Street , New York, NY, 10038	yes	the Center for Reproductive Rights has used the law to advance reproductive freedom as a fundamental human right that all governments are legally obligated to protect, respect, and fulfill.	
The Mount Sinai Medical Legal Partnership	2016-OTHER	\$5,000.00	2016-12-29	150 East 42nd Street 2-B-20 (attn: Scott Landau), New York, NY, 10017	yes	Coordinates legal counsel for indigent patients	
The World Wildlife Fund	2016-OTHER	\$5,000.00	2016-12-29	P.O. Box 97180, Washington, D.C., 20090-7180	yes	Protecting the environment and endangered species	
OTHERS -- Subtotal: \$40,000.00							
TOTAL: \$2,019,323.00							



Detailed Holdings List by Tax Country

HARMAN FAMILY FOUNDATION

Account Number: XXXX04900

As of December 31, 2016
Reporting Currency: USD

Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	% of Total Net Assets	Unrealized Gain/(Loss)	Estimated Annual Income	Annual Yield(%)
Cash and Cash Equivalents									
STATE STREET INSTITUTIONAL U S GOVERNMENT MONEY MARKET FUND ADM	976,191	1.00	976,191	1.00	976,191	2.8	0	31	0.0
PENDING PURCHASES/SALES			(85,079)		(85,079)	(0.2)			
Total Cash and Cash Equivalents			891,112		891,112	2.6	0	31	0.0
Fixed Income									
CANADA									
ENBRIDGE INC 5.60% 04/01/17	45,000	115.94	52,173	101.03	45,462	0.1	(6,710)	2,520	5.5
ENBRIDGE INC SR UNSEC 4.0% 10-01-23	20,000	99.00	19,800	101.52	20,304	0.1	504	800	3.9
TOTAL CANADA			71,973		65,766	0.2	(6,206)	3,320	5.0
FRANCE									
BNP PARIBAS FRN SR UNSEC (L+48) J-17-17	25,000	100.00	25,000	100.05	25,014	0.1	14	368	1.5
TOTAL FRANCE			25,000		25,014	0.1	14	368	1.5
IRELAND									
SHIRE SR UNSEC 1.9% 09-23-19	10,000	99.92	9,992	98.80	9,880		(112)	190	1.9
SHIRE SR UNSEC 2.4% 09-23-21	5,000	99.89	4,995	96.71	4,835		(159)	120	2.5
SHIRE SR UNSEC 2.875% 09-23-23	5,000	99.99	4,999	95.19	4,759		(240)	144	3.0
SHIRE SR UNSEC 3.20% 09-23-26	5,000	99.88	4,994	93.63	4,681		(313)	160	3.4
TOTAL IRELAND			24,980		24,156	0.1	(824)	614	2.5



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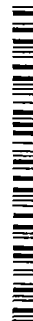
Portfolio Holdings Summary by Sector

HARMAN FAMILY FOUNDATION

Account Number: XXXX04900

As of December 31, 2016
Reporting Currency: USD

Description	Total Cost	Total Market Value	% of Asset Class	% of Total Net Assets
MUTUAL FUNDS	8,498,601	7,318,460	31.5	21.3
Total Equity	19,725,786	23,241,329	100.0	67.7
Other Assets and Liabilities				
ALTERNATIVE INVESTMENTS	2,800,000	2,724,424	100.0	7.9
Total Other Assets and Liabilities	2,800,000	2,724,424	100.0	7.9
Total Net Assets	30,981,871	34,309,121		100.0





Detailed Holdings List by Tax Country

HARMAN FAMILY FOUNDATION

Account Number: XXXX04900

As of December 31, 2016
Reporting Currency: USD

Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	% of Total Net Assets	Unrealized Gain/(Loss)	Estimated Annual Income	Yield(%)
LUXEMBOURG									
ACTAVIS FUNDING SCS SR UNSEC 3.0% 03-12-20	40,000	100.00	39,998	101.39	40,554	0.1	556	1,200	3.0
ACTAVIS FUNDING SCS SR UNSEC 3.8% 03-15-25	45,000	99.65	44,840	100.12	45,053	0.1	213	1,710	3.8
TOTAL LUXEMBOURG			84,838		85,608	0.2	769	2,910	3.4
MEXICO									
UTD MEX ST GVT GLOBAL 4.0% 10-02-23	18,000	101.55	18,279	100.24	18,043	0.1	(236)	720	4.0
TOTAL MEXICO			18,279		18,043	0.1	(236)	720	4.0
NETHERLANDS									
SHELL INTL FINANCE SR UNSEC 1.875% 05-10-21	10,000	99.46	9,946	97.77	9,777		(168)	188	1.9
SHELL INTL FINANCE SR UNSEC 2.5% 09-12-26	10,000	98.99	9,899	93.83	9,383		(516)	250	2.7
SHELL INTL FINANCE SR UNSEC 2.875% 05-10-26	15,000	99.67	14,951	96.68	14,502		(449)	431	3.0
TEVA PHARMACEUTICAL SR UNSEC 1.7% 07-19-19	5,000	99.99	5,000	98.25	4,913		(87)	85	1.7
TEVA PHARMACEUTICAL SR UNSEC 2.2% 07-21-21	15,000	100.20	15,030	95.67	14,350		(680)	330	2.3
TEVA PHARMACEUTICAL SR UNSEC 2.8% 07-21-23	5,000	99.67	4,983	94.66	4,733		(250)	140	3.0



Detailed Holdings List by Tax Country

HARMAN FAMILY FOUNDATION

Account Number: XXXX04900

As of December 31, 2016
Reporting Currency: USD

Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	Total Net Assets	% of Assets	Unrealized Gain/(Loss)	Estimated Annual Income Yield(%)
TEVA PHARMACEUTICAL SR UNSEC 3.15% 10-01-26	5,000	99.73	4,987	92.19	4,610			(377)	158 3.4
TEVA PHARMACEUTICAL SR UNSEC1 4% 07-20-18	5,000	99.91	4,996	99.19	4,959			(36)	70 1.4
TOTAL NETHERLANDS			69,790		67,227	0.2		(2,563)	1,651 2.5
UNITED KINGDOM									
ASTRAZENECA PLC SR UNSEC 3 375% 11-16-25	20,000	99.07	19,814	99.31	19,862	0.1		48	675 3.4
TOTAL UNITED KINGDOM			19,814		19,862	0.1		48	675 3.4
UNITED STATES									
3M COMPANY SR UNSEC 1.625% 09-19-21	20,000	99.95	19,989	97.45	19,490	0.1		(499)	325 1.7
ABBOTT LABORATORIES SR UNSEC 2 35% 11-22-19	15,000	99.90	14,985	100.12	15,018			32	353 2.4
ABBOTT LABORATORIES SR UNSEC 2 9% 11-30-21	10,000	99.82	9,982	99.72	9,972			(11)	290 2.9
ABBOTT LABORATORIES SR UNSEC 3 75% 11-30-26	5,000	99.26	4,963	99.31	4,965			3	188 3.8
ABBVIE INC SR UNSEC 2 3% 05-14-21	10,000	99.83	9,983	97.98	9,798			(185)	230 2.4
ABBVIE INC SR UNSEC 2 5% 05-14-20	35,000	99.62	34,867	100.03	35,009	0.1		142	875 2.5
ABBVIE INC SR UNSEC 3.6% 05-14-25	10,000	99.83	9,983	99.05	9,905			(78)	360 3.6
ACE INA HOLDINGS INC SR UNSEC 2 3% 11-03-20	15,000	99.94	14,992	99.98	14,997			5	345 2.3
ACE INA HOLDINGS INC SR UNSEC 2 875% 11-03-22	5,000	99.87	4,994	100.82	5,041			47	144 2.9
AETNA INC SR UNSEC 1.7% 06-07-18	10,000	100.09	10,009	99.91	9,991			(19)	170 1.7
AETNA INC SR UNSEC 1 9% 06-07-19	10,000	100.24	10,024	99.77	9,977			(47)	190 1.9



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Detailed Holdings List by Tax Country

HARMAN FAMILY FOUNDATION

Account Number: XXXX04900

As of December 31, 2016
Reporting Currency: USD

Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	% of Total Net Assets	Unrealized Gain/(Loss)	Estimated Annual Income	Annual Yield(%)
AETNA INC SR UNSEC 2.4% 06-15-21	10,000	100.86	10,086	99.55	9,955		(131)	240	2.4
AETNA INC SR UNSEC 3.2% 06-15-26	15,000	99.93	14,989	98.93	14,839		(150)	480	3.2
AIG GROUP SR UNSEC 2.3% 07-16-19	20,000	99.80	19,960	100.47	20,094	0.1	135	460	2.3
ALLSTATE CORP SR UNSEC 3.28% 12-15-26	10,000	99.86	9,986	100.33	10,033		47	328	3.3
ALPHABET INC SR UNSEC 1.998% 08-15-26	20,000	97.92	19,584	91.79	18,357	0.1	(1,227)	400	2.2
AMCAR 14-3 A3 1.15% 06-10-19/06-08-17	7,818	100.00	7,818	99.96	7,815		(3)	90	1.2
AMERICAN CAMPUS COMMUNITIES INC SR UNSEC 4.125% 07-01-24	15,000	100.50	15,076	102.53	15,379		304	619	4.0
AMERICAN HONDA FINANCE CORP SR UNSEC 2.3% 09-09-26	5,000	99.70	4,985	93.34	4,667		(318)	115	2.5
AMGEN INC SR UNSEC 2.25% 08-19-23	10,000	99.75	9,975	94.07	9,407		(568)	225	2.4
AMGEN INC SR UNSEC 2.6% 08-19-26	5,000	99.94	4,997	91.97	4,599		(398)	130	2.8
ANHEUSER-BUSCH INBEV SR UNSEC 2.65% 02-01-21	15,000	99.69	14,953	100.58	15,086		133	398	2.6
APPLE INC SR UNSEC 1.55% 08-04-21	10,000	99.86	9,986	96.68	9,668		(318)	155	1.6
AT&T INC SR UNSEC 2.8% 02-17-21	15,000	99.82	14,973	99.21	14,881		(93)	420	2.8
BACM 07-4 AM VAR 02-10-51/08-10-17	100,000	108.36	108,361	101.84	101,841	0.3	(6,520)	5,814	5.7
BANK OF AMERICA CORP SR UNSEC 2.625% 10-19-20	35,000	99.97	34,988	100.06	35,020	0.1	32	919	2.6
BANK OF AMERICA CORP SR UNSEC 3.875% 08-01-25	50,000	99.89	49,947	101.68	50,842	0.1	895	1,938	3.8
BB&T CORP SR UNSEC 2.625% 06-29-20	15,000	100.84	15,125	100.78	15,117		(8)	394	2.6
BERKSHIRE HATHAWAY SR UNSEC 2.0% 08-15-18	30,000	99.98	29,995	100.71	30,214	0.1	219	600	2.0
BOARDWALK PIPELINES SR UNSEC 4.95% 12-15-24	20,000	98.82	19,764	102.68	20,535	0.1	771	990	4.8



Detailed Holdings List by Tax Country

HARMAN FAMILY FOUNDATION

Account Number: XXXX04900

As of December 31, 2016
Reporting Currency: USD

Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	% of Total Net Assets	Unrealized Gain/(Loss)	Estimated Annual Income	Annual Yield(%)
RANDYWINE OPER PARTNERS LP SR UNSEC 4.95% 04/15/2018	40,000	102.26	40,906	103.37	41,349	0.1	444	1,980	4.8
CARMX 14-3 A3 1.33% 06-17-19	31,545	99.93	31,524	99.95	31,530	0.1	6	420	1.3
CELGENE CORP SR UNSEC 2.125% 08-15-18	10,000	99.99	9,999	100.40	10,040		41	213	2.1
CELGENE CORP SR UNSEC 2.875% 08-15-20	5,000	99.82	4,991	101.15	5,057		66	144	2.8
CGCMT 15-GC35 AAB 3 608% 11-10-48/04-10-25	25,000	103.00	25,749	103.73	25,932	0.1	183	902	3.5
CHARTER COMM CCO SR SEC 1ST LIEN 4.464% 07-23-22	15,000	103.71	15,557	104.50	15,675		119	670	4.3
CHARTER COMM CCO SR SEC 4.908% 07-23-25	5,000	103.64	5,182	105.39	5,270		88	245	4.7
CISCO SYSTEMS INC SR UNSEC 2.2% 09-20-23	20,000	99.79	19,959	96.20	19,239	0.1	(720)	440	2.3
CITIGROUP INC 4 45% 09/29/2027	12,000	100.30	12,036	101.59	12,190		154	534	4.4
CITIGROUP INC SR UNSEC 2.35% 08-02-21	40,000	100.07	40,026	97.82	39,128	0.1	(898)	940	2.4
COMCAST CORP SR UNSEC 2.35% 11-15-27	20,000	100.36	20,071	92.30	18,461	0.1	(1,610)	470	2.6
CORPORATE OFFICE PROP LP SR UNSEC 5.25% 02-15-24	10,000	98.78	9,878	104.18	10,418		540	525	5.0
CRAT 15-1 A4 1.82% 09-15-20/05-15-19	30,000	99.98	29,994	100.33	30,100	0.1	105	546	1.8
CRAT 15-1 B 2 51% 02-16-21/05-15-19	10,000	99.98	9,998	99.70	9,970		(28)	248	2.5
CSMC 07-C5 A4 VAR 09-15-40/17	19,799	115.47	22,862	101.43	20,082	0.1	(2,780)	1,128	5.6
DDR CORP SR UNSEC 4 25% 02-01-26	15,000	99.23	14,885	100.56	15,084		199	638	4.2
DOMINION GAS HLDGS LLC SR UNSEC 2.8% 11-15-20	6,000	99.66	5,980	100.91	6,055		75	168	2.8



Detailed Holdings List by Tax Country

HARMAN FAMILY FOUNDATION

Account Number: XXXX04900

As of December 31, 2016
Reporting Currency: USD

Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	% of Total Net Assets	Unrealized Gain/(Loss)	Estimated Annual Income	Yield(%)
DUKE ENERGY CORP SR UNSEC 1.8% 09-01-21	25,000	99.99	24,998	96.33	24,083	0.1	(915)	450	1.9
DUKE ENERGY CORP SR UNSEC 2.65% 09-01-26	15,000	99.69	14,954	93.33	14,000		(954)	398	2.8
ENLINK MIDSTREAM PARTNERS SR UNSEC 4.4% 04-01-2024	10,000	102.26	10,226	99.36	9,936		(290)	440	4.4
ERP OPERATING LP SR UNSEC 4.625% 12-15-21	21,000	112.24	23,571	108.62	22,810	0.1	(760)	971	4.3
ESSEX PORTFOLIO LP SR UNSEC 3.5% 04-01-25	20,000	99.75	19,949	98.49	19,698	0.1	(251)	700	3.6
FGTW 3.5 C91793 10-01-34	82,466	105.34	86,873	103.41	85,281	0.2	(1,592)	2,886	3.4
FHLB 1.875% 03-13-20	125,000	98.55	123,183	100.95	126,181	0.4	2,998	2,344	1.9
FHMS K718 A2 (MF) 2.791% 01-25-22	45,000	103.00	46,350	102.18	45,980	0.1	(370)	1,256	2.7
FL HURRICANE CATASTROPHE FUND FIN CORP 13A TAXABLE 2.995% 07-01-20	70,000	100.00	70,000	102.39	71,676	0.2	1,676	2,097	2.9
FNCL 3.0 TBA 01/17 01-01-47	90,000	99.34	89,409	99.34	89,407	0.3	(2)	2,700	3.0
FNMA 1.75% 09-12-19	65,000	99.44	64,634	100.95	65,618	0.2	983	1,138	1.7
FNMA 2.625% 09-06-24	55,000	99.09	54,498	100.97	55,532	0.2	1,035	1,444	2.6
FORDF 16-3 A1 1.70% 07-15-21	10,000	99.98	9,998	98.97	9,897		(101)	170	1.7
FREDDIE MAC SER 3272 CL PA 6% 02/15/2037	5,329	111.51	5,943	112.83	6,013		70	320	5.3
GZRF 6.64 AA7554 07-20-64	30,647	110.63	33,906	105.26	32,259	0.1	(1,647)	2,035	6.3
GENERAL MOTORS CO SR UNSEC 4.0% 04-01-25	5,000	99.27	4,964	97.99	4,899		(64)	200	4.1
GILEAD SCIENCES INC SR UNSEC 3.25% 09-01-22	15,000	99.86	14,979	102.07	15,311		332	488	3.2
GILEAD SCIENCES INC SR UNSEC 3.5% 02-01-25	15,000	99.91	14,986	101.11	15,166		180	525	3.5



Detailed Holdings List by Tax Country

HARMAN FAMILY FOUNDATION

Account Number: XXXX04900

As of December 31, 2016
Reporting Currency: USD

Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	Total Net Assets	% of Assets	Unrealized Gain/(Loss)	Estimated Annual Income	Yield(%)
M FINANCIAL SR UNSEC 3 1% 01-15-19	45,000	99.95	44,979	101.06	45,477	0.1	0.1	498	1,395	3.1
GM FINANCIAL SR UNSEC 4.0% 10-06-26	5,000	99.81	4,991	96.15	4,808			(183)	200	4.2
GOLDMAN SACHS GROUP SR UNSEC 3.75% 02-25-26	20,000	99.77	19,954	100.29	20,059	0.1	0.1	105	750	3.7
GOLDMAN SACHS GROUP SR UNSEC 2.35% 11-15-21	15,000	96.66	14,498	97.16	14,573			75	353	2.4
HALLIBURTON CO SR UNSEC 3.8% 11-15-25	5,000	99.72	4,986	101.59	5,080			94	190	3.7
HOME DEPOT INC SR UNSEC 2.125% 09-15-26	30,000	98.90	29,671	92.24	27,672	0.1	0.1	(1,999)	638	2.3
HONEYWELL INTL SR UNSEC 1.4% 10-30-19	15,000	99.92	14,988	99.08	14,861			(127)	210	1.4
HONEYWELL INTL SR UNSEC 1.85% 11-01-21	15,000	100.00	14,999	97.72	14,658			(341)	278	1.9
ILLINOIS ST TAXABLE PENSION 5 10% 06/01/2033	20,000	97.95	19,590	89.35	17,870	0.1	0.1	(1,720)	1,020	5.7
INDUSTRY CATAL AGM TAXABLE 15A 39% 01-01-20	40,000	100.00	40,000	101.75	40,700	0.1	0.1	700	1,256	3.1
JOHNSON & JOHNSON SR UNSEC 2.45% 03-01-26	15,000	99.86	14,979	95.64	14,346			(632)	368	2.6
JPMORGAN CHASE SR UNSEC 2.55% 03-01-21	25,000	99.93	24,981	99.69	24,922	0.1	0.1	(60)	638	2.6
KIMCO REALTY CORP SR UNSEC 2.8% 10-01-26	20,000	99.17	19,834	92.98	18,595	0.1	0.1	(1,239)	560	3.0
KIMCO REALTY CORP SR UNSEC 3.4% 11-01-22	5,000	99.32	4,966	101.48	5,074			108	170	3.4
KINDER MORGAN ENERGY SR UNSEC 4.25% 09-01-24	20,000	99.83	19,966	102.12	20,425	0.1	0.1	458	850	4.2



Detailed Holdings List by Tax Country

HARMAN FAMILY FOUNDATION

Account Number: XXXX04900

As of December 31, 2016
Reporting Currency: USD

Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	Total Net Assets	% of Assets	Unrealized Gain/(Loss)	Estimated Annual Income Yield(%)
XROGER CO SR UNSEC 2 0% 01-15-19	19,000	99.88	18,978	100.23	19,043	0.1	0.1	65	380
LBUBS 07-C2 A1A 5.387% 02-15-40	15,457	111.39	17,217	100.28	15,501			(1,716)	833
MICROSOFT CORP SR UNSEC 2 4% 08-08-26	30,000	99.81	29,944	94.47	28,341	0.1	0.1	(1,603)	720
MLMI 07-C1 A4 VAR 06-12-50/17	27,906	116.09	32,396	100.84	28,141	0.1	0.1	(4,255)	1,648
MOLSON COORS BREWING CO SR UNSEC 1.45% 07-15-19	5,000	99.95	4,998	98.53	4,926			(71)	73
MOLSON COORS BREWING CO SR UNSEC 3.0% 07-15-26	5,000	99.85	4,992	94.53	4,727			(266)	150
MORGAN STANLEY SR UNSEC 3 125% 07-27-26	10,000	99.32	9,932	95.54	9,554			(378)	313
MORGAN STANLEY SR UNSEC FRN (L+140) 10-24-23	25,000	100.00	25,000	101.11	25,278	0.1	0.1	278	570
MSBAM 15-C25 ASB 3.383% 10-15-48/ 06-15-25	35,000	102.99	36,048	101.98	35,693	0.1	0.1	(355)	1,184
MSC 07-IQ13 AM 5.406% 03-15-44/17	100,000	106.26	106,261	99.91	99,913	0.3	0.3	(6,348)	5,406
NEWELL RUBBERMAID SR UNSEC 3 15% 04-01-21	10,000	101.57	10,157	101.77	10,177			20	315
NEWELL RUBBERMAID SR UNSEC 3 85% 04-01-23	10,000	99.97	9,997	103.73	10,373			376	385
NEWELL RUBBERMAID SR UNSEC 4 2% 04-01-26	20,000	101.14	20,227	104.38	20,876	0.1	0.1	648	840
NIKE INC SR UNSEC 2.375% 11-01-26	25,000	99.86	24,965	94.35	23,587	0.1	0.1	(1,377)	594
NJ EDA SCH FACS TAXABLE 15YY 4 447% 06-15-20	30,000	100.00	30,000	100.98	30,295	0.1	0.1	295	1,334
ORACLE CORP SR UNSEC 2 375% 01-15-19	20,000	99.65	19,930	101.34	20,268	0.1	0.1	337	475
PACIFICORP 5.65% 07/15/18	30,000	120.74	36,221	106.02	31,806	0.1	0.1	(4,415)	1,695
PEPSICO INC SR UNSEC 1.35% 10-04-19	15,000	99.99	14,998	99.24	14,886			(112)	203



Detailed Holdings List by Tax Country

HARMAN FAMILY FOUNDATION

Account Number: XXXX04900

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Reporting Currency: USD

Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	% of Total Net Assets	Unrealized Gain/(Loss)	Estimated Annual Income Yield(%)
EPSICO INC SR UNSEC 1.7% 10-06-21	15,000	99.87	14,980	97.15	14,572		(408)	255 1.8
HILLIPS 66 PARTNERS SR UNSEC 3.55% 10-01-26	4,000	99.90	3,996	96.78	3,871		(125)	142 3.7
PROGRESS ENERGY INC SR UNSEC 7.05% 03-15-19	25,000	126.27	31,567	110.54	27,636	0.1	(3,931)	1,763 6.4
PRUDENTIAL FINANCIAL INC FRN SR UNSEC (L+78) 08-15-18	25,000	100.00	25,000	100.35	25,087	0.1	87	421 1.7
PUB SVC EL & GAS SR SEC 1ST LIEN 1.9% 03-15-21	12,000	99.84	11,981	98.22	11,786		(195)	228 1.9
PUB SVC EL & GAS SR SEC 1ST LIEN 2.25% 09-15-26	20,000	99.67	19,934	92.80	18,559	0.1	(1,375)	450 2.4
SDART 15-1 A3 1.27% 02-15-19	4,021	99.99	4,021	100.00	4,021		1	51 1.3
SDART 15-3 C2.74% 01-15-21	70,000	101.69	71,181	101.00	70,700	0.2	(481)	1,918 2.7
SDART 16-2 A3 1.56% 05-15-20	10,000	99.98	9,998	99.92	9,992		(7)	156 1.6
SDART 16-2 C 2.66% 11-15-21	5,000	99.98	4,999	100.28	5,014		15	133 2.7
SIMON PROPERTY GROUP SR UNSEC 2.50% 07-15-2021	25,000	99.92	24,980	100.10	25,024	0.1	44	625 2.5
SPECTRA ENERGY PARTNERS SR UNSEC 2.95% 09-25-18	5,000	99.83	4,991	101.58	5,079		87	148 2.9
TARBUCKS CORP SR UNSEC 2.0% 12-05-18	10,000	99.79	9,979	101.00	10,100		121	200 2.0
TC PIPELINES SR UNSEC 4 375% 03-13-25	15,000	99.58	14,936	100.81	15,121		185	656 4.3
TOYOTA MTR CREDIT SR UNSEC 2.25% 10-18-23	15,000	99.74	14,960	96.40	14,460		(500)	338 2.3
TSY INFL IX N/B 0.125% 07/15/2024	208,690	96.99	202,414	98.32	205,191	0.6	2,777	261 0.1
UNITED HEALTH GROUP SR UNSEC 3.35% 07-15-22	20,000	99.88	19,975	103.03	20,606	0.1	631	670 3.3
UNITED HEALTH GROUP SR UNSEC 3.75% 07-15-25	25,000	99.73	24,932	103.52	25,881	0.1	949	938 3.6



Detailed Holdings List by Tax Country

HARMAN FAMILY FOUNDATION

Account Number: XXXX04900

As of December 31, 2016
Reporting Currency: USD

Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	% of Total Net Assets	Unrealized Gain/(Loss)	Estimated Annual Income	Annual Yield(%)
US TREASURY I/L FRN 0.125% 04-15-21	142,783	101.57	145,019	100.57	143,600	0.4	(1,419)	178	0.1
US TREASURY I/L FRN 0.125% 04/15/17	186,240	100.34	186,875	100.19	186,602	0.5	(274)	233	0.1
US TREASURY NOTE 0.625% 07-31-17	160,000	99.83	159,731	99.96	159,931	0.5	200	1,000	0.6
US TREASURY NOTE 0.875% 06-15-19	210,000	100.22	210,468	98.97	207,830	0.6	(2,637)	1,838	0.9
US TREASURY NOTE 1.125% 07/31/2021	140,000	99.96	139,951	96.68	135,349	0.4	(4,602)	1,575	1.2
US TREASURY NOTE 1.375% 03-31-20	345,000	99.82	344,385	99.49	343,248	1.0	(1,137)	4,744	1.4
US TREASURY NOTE 1.5% 01-31-22	250,000	98.33	245,835	97.76	244,395	0.7	(1,440)	3,750	1.5
US TREASURY NOTE 1.50% 12-31-18	70,000	98.88	69,213	100.60	70,418	0.2	1,206	1,050	1.5
US TREASURY NOTE 1.625% 06-30-20	285,000	99.90	284,722	100.06	285,184	0.8	462	4,631	1.6
US TREASURY NOTE 1.75% 01/31/2023	170,000	102.01	173,420	97.71	166,109	0.5	(7,311)	2,975	1.8
US TREASURY NOTE 2.125% 06-30-22	80,000	101.91	81,525	100.35	80,282	0.2	(1,243)	1,700	2.1
US TREASURY NOTE 2.125% 11-30-23	135,000	99.54	134,378	99.34	134,104	0.4	(274)	2,869	2.1
US TREASURY NOTE 2.125% 12/31/2022	205,000	102.08	209,268	99.96	204,920	0.6	(4,348)	4,356	2.1
US TREASURY NOTE0 75% 02-15-19	40,000	99.49	39,797	99.00	39,602	0.1	(195)	300	0.8
US TREASURY NOTE1 25% 03-31-21	45,000	99.54	44,794	97.69	43,961	0.1	(833)	563	1.3
US TREASURY NOTE1.375% 04-30-21	280,000	100.68	281,914	98.11	274,712	0.8	(7,202)	3,850	1.4
US TREASURY NOTE1.375% 05-31-21	210,000	100.07	210,156	98.01	205,825	0.6	(4,331)	2,888	1.4
US TREASURY NOTE1 625% 05-15-26	55,000	100.59	55,322	93.25	51,288	0.1	(4,035)	894	1.7
VERIZON COMMUNICATIONS SR UNSEC 1.375% 08-15-19	15,000	99.99	14,999	98.44	14,767		(232)	206	1.4
VERIZON COMMUNICATIONS SR UNSEC 1.75% 08-15-21	2,000	99.56	1,991	95.93	1,919		(73)	35	1.8
VERIZON COMMUNICATIONS SR UNSEC 2.625% 08-15-26	15,000	99.77	14,965	92.06	13,808		(1,157)	394	2.9
VERIZON COMMUNICATIONS SR UNSEC 4.125% 08-15-46	5,000	100.32	5,016	90.50	4,525		(491)	206	4.6
VIACOM INC SR UNSEC 2.5% 09-01-18	30,000	99.41	29,824	100.30	30,090	0.1	266	750	2.5



CAPITAL GROUP® | PRIVATE
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SERVICES

Detailed Holdings List by Tax Country

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Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	Total Net Assets	% of Assets	Unrealized Gain/(Loss)	Estimated Annual Income Yield(%)
IACOM INC SR UNSEC 3.875% J4-01-24	15,000	99.97	14,995	97.20	14,580			(415)	581 4.0
VIRGINIA ELEC & PWR SR UNSEC 1.2% 01-15-18	30,000	99.40	29,819	99.58	29,873		0.1	53	360 1.2
VIRGINIA ELEC & PWR SR UNSEC SER A 3 1% 05-15-25	25,000	99.91	24,979	99.34	24,836		0.1	(143)	775 3.1
VISA INC SR UNSEC 2.2% 12-14-20	40,000	99.92	39,966	100.31	40,124		0.1	158	880 2.2
WBCMT 07-C30 A5 5 342% 12-15-43	13,132	113.46	14,900	99.89	13,117			(1,783)	702 5.4
WBCMT 07-C31 AM 5.591% 04-15-47	120,000	107.68	129,211	100.63	120,760		0.4	(8,452)	6,709 5.6
WBCMT 07-C32 A3 5.731889% 06-15-49	29,268	115.35	33,762	100.61	29,446		0.1	(4,315)	1,673 5.7
WELLPOINT INC SR UNSEC 2.3% 07-15-18	10,000	99.58	9,958	100.65	10,065			108	230 2.3
WELLS FARGO CO SR UNSEC 2 1% 07-26-21	35,000	99.91	34,967	97.31	34,057		0.1	(910)	735 2.2
WELLS FARGO CO SR UNSEC 2.5% 03-04-21	35,000	99.98	34,992	99.26	34,741		0.1	(251)	875 2.5
WILLIAMS PARTNERS LP SR UNSEC 4 5% 11-15-23	20,000	99.40	19,879	102.72	20,545		0.1	665	900 4.4
ZIMMER HOLDINGS SR UNSEC 3.15% 1-01-22	15,000	99.75	14,962	99.73	14,959			(3)	473 3.2
TOTAL UNITED STATES			6,339,762		6,246,614		18.2	(93,149)	140,169 2.2
MUTUAL FUNDS - FIXED									
SHORT TERM BOND FD OF AMERICA INC CL F2	90,631	10.05	910,538	9.93	899,968		2.6	(10,569)	9,310 1.0
TOTAL MUTUAL FUNDS - FIXED			910,538		899,968		2.6	(10,569)	9,310 1.0
Total Fixed Income			7,564,973		7,452,257		21.7	(112,716)	159,737 2.1



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HARMAN FAMILY FOUNDATION

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Equity								
AUSTRALIA								
SYDNEY AIRPORT STAPLED SECURITY	5,635	4.57	25,730	4.32	24,367	0.1	(1,363)	1,261 5.2
TOTAL AUSTRALIA			25,730		24,367	0.1	(1,363)	1,261 5.2
BELGIUM								
KBC GROEP NV	695	63.35	44,026	61.93	43,044	0.1	(982)	1,463 3.4
TOTAL BELGIUM			44,026		43,044	0.1	(982)	1,463 3.4
BERMUDA								
INVESCO LTD	1,455	26.79	38,984	30.34	44,145	0.1	5,160	1,630 3.7
JARDINE MATHESON HLD (SINGAPORE)	1,000	62.06	62,057	55.25	55,250	0.2	(6,807)	1,450 2.6
NORWEGIAN CRUISE LINE HOLDINGS LTD	1,940	37.81	73,359	42.53	82,508	0.2	9,150	0 0.0
VTECH HOLDINGS LTD	7,700	13.04	100,386	13.37	102,979	0.3	2,593	3,234 3.1
TOTAL BERMUDA			274,786		284,882	0.8	10,096	6,313 2.2
CANADA								
CANADIAN NATIONAL RAILWAY CO US\$	470	51.47	24,191	67.40	31,678	0.1	7,487	528 1.7
ENBRIDGE INC C\$	2,815	44.37	124,904	42.08	118,462	0.3	(6,442)	4,445 3.8
WASTE CONNECTIONS INC	555	74.17	41,163	78.59	43,617	0.1	2,455	400 0.9
TOTAL CANADA			190,258		193,758	0.6	3,500	5,372 2.8



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JURACAO									
SCHLUMBERGER LTD	910	71.91	65,438	83.95	76,395	0.2	10,957	1,820	2.4
TOTAL JURACAO			65,438		76,395	0.2	10,957	1,820	2.4
DENMARK									
CARLSBERG A/S B	912	86.81	79,168	86.31	78,714	0.2	(453)	1,162	1.5
NQVO NORDISK A/S CL B	4,975	33.45	166,415	36.07	179,435	0.5	13,019	4,509	2.5
TOTAL DENMARK			245,583		258,149	0.8	12,566	5,671	2.2
FINLAND									
SAMPO OYJ A SHS	1,664	26.62	44,295	44.84	74,608	0.2	30,313	3,766	5.1
TOTAL FINLAND			44,295		74,608	0.2	30,313	3,766	5.0
FRANCE									
BNP PARIBAS	2,899	50.57	146,598	63.74	184,794	0.5	38,196	7,050	3.8
DANONE	1,071	66.73	71,465	63.38	67,875	0.2	(3,590)	1,804	2.7
SSILOR INTL	786	99.89	78,510	113.01	88,828	0.3	10,318	918	1.0
JODECAUX SA	237	28.10	6,659	29.40	6,969		310	140	2.0
L'AIR LIQUIDE (L)	304	106.87	32,489	111.22	33,812	0.1	1,323	832	2.5
L'OREAL	614	157.46	96,680	182.55	112,084	0.3	15,404	2,004	1.8
LVMH MOET HENNESSY VUITTON SE	343	168.58	57,822	190.97	65,502	0.2	7,681	1,300	2.0
PERNOD RICARD SA	1,370	113.69	155,760	108.38	148,481	0.4	(7,279)	2,711	1.8
SAFRAN SA	2,259	66.81	150,917	72.03	162,714	0.5	11,797	3,496	2.2
TOTAL SA (FR)	1,003	54.91	55,074	51.29	51,444	0.1	(3,631)	2,576	5.0
TOTAL FRANCE			851,974		922,503	2.7	70,529	22,832	2.5



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GERMANY									
BRENTAG AG	350	54.71	19,147	55.66	19,481	0.1	335	368	1.9
DEUTSCHE WOHNEN AG (BEARER)	1,216	21.40	26,018	31.55	38,368	0.1	12,351	691	1.8
SAP SE	765	87.35	66,826	87.01	66,564	0.2	(262)	926	1.4
TOTAL GERMANY			111,990		124,414	0.4	12,424	1,986	1.6
HONG KONG									
AIA GROUP LTD	40,800	4.06	165,516	5.64	230,207	0.7	64,690	3,836	1.7
LINK REIT (REIT)	2,500	7.08	17,693	6.50	16,250		(1,443)	706	4.3
TOTAL HONG KONG			183,210		246,457	0.7	63,247	4,542	1.8
INDIA									
HDFC BANK LTD	1,200	33.73	40,471	60.68	72,816	0.2	32,345	484	0.7
TOTAL INDIA			40,471		72,816	0.2	32,345	484	0.7
IRELAND									
ACCENTURE PLC US\$	300	67.34	20,201	117.13	35,139	0.1	14,938	726	2.1
EATON CORP PLC	1,715	46.48	79,713	67.09	115,059	0.3	35,346	3,910	3.4
MEDTRONIC PLC	655	75.75	49,617	71.23	46,656	0.1	(2,961)	1,127	2.4
RYANAIR HOLDINGS PLC SP ADR	300	74.36	22,308	83.26	24,978	0.1	2,670	0	0.0
TOTAL IRELAND			171,839		221,832	0.6	49,993	5,763	2.6
JAPAN									
ASAHI KASEI CORP	12,000	7.79	93,444	8.73	104,703	0.3	11,259	2,054	2.0
DENSO CORP	500	34.70	17,352	43.33	21,665	0.1	4,314	514	2.4
FANUC CO	700	167.84	117,486	169.58	118,709	0.3	1,223	1,930	1.6
HAMAMATSU PHOTONIC KK	3,200	18.51	59,243	26.32	84,214	0.2	24,971	931	1.1



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IOSHIZAKI CORP	300	88.36	26,508	79.16	23,749	0.1	(2,758)	180	0.8
KEYENCE CORP	360	237.07	85,346	686.38	247,097	0.7	161,750	462	0.2
MURATA MANUFACTURING CO LTD	1,000	87.61	87,614	133.94	133,938	0.4	46,324	1,883	1.4
NIIT DOCOMO	1,300	20.02	26,021	22.79	29,628	0.1	3,607	890	3.0
ORACLE CORP JAPAN	500	44.58	22,291	50.41	25,204	0.1	2,913	471	1.9
SEVEN & I HOLDING CO LTD	1,700	43.33	73,659	38.11	64,788	0.2	(8,871)	1,309	2.0
SHISEIDO CO	3,000	25.24	75,709	25.32	75,960	0.2	251	514	0.7
SMC CORP	500	169.03	84,513	238.82	119,410	0.3	34,897	856	0.7
SOFTBANK GROUP CORP	3,000	48.75	146,237	66.46	199,367	0.6	53,130	1,130	0.6
SYSMEX CORP	400	19.47	7,789	57.94	23,176	0.1	15,387	192	0.8
TOTAL JAPAN			923,210		1,271,608	3.7	348,397	13,314	1.0
LUXEMBOURG									
SAMSONITE INTERNATIONAL SA	11,100	3.39	37,678	2.86	31,709	0.1	(5,969)	730	2.3
SES FDR CL A (PARIS)	1,753	23.37	40,966	22.03	38,616	0.1	(2,349)	2,399	6.2
TOTAL LUXEMBOURG			78,644		70,325	0.2	(8,318)	3,129	4.5
NETHERLANDS									
BN AMRO GROUP NV CVA GDR	595	20.73	12,335	22.16	13,185		850	0	0.0
AIRBUS GROUP SE	1,420	60.39	85,748	66.15	93,940	0.3	8,192	1,943	2.1
ASML HOLDING NV	1,906	77.92	148,517	112.28	213,998	0.6	65,480	2,107	1.0
GEMALTO NV	650	75.74	49,232	57.82	37,581	0.1	(11,651)	322	0.9
TOTAL NETHERLANDS			295,833		358,704	1.0	62,871	4,372	1.2
NORWAY									
DNB ASA	2,039	16.09	32,801	14.86	30,295	0.1	(2,505)	1,062	3.5
TOTAL NORWAY			32,801		30,295	0.1	(2,505)	1,062	3.5



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HARMAN FAMILY FOUNDATION							As of December 31, 2016	
Account Number: XXXX04900							Reporting Currency USD	
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SINGAPORE								
BROADCOM LTD	621	54.49	33,839	176.77	109,774	0.3	75,935	2,534
SINGAPORE TELECOMM	60,600	2.85	172,727	2.52	152,771	0.4	(19,956)	7,325
TOTAL SINGAPORE			206,566		262,546	0.8	55,979	9,858
SOUTH AFRICA								
NASPERS LIMITED CL N SHS SPONSORED ADR	8,245	15.73	129,659	14.62	120,542	0.4	(9,117)	228
TOTAL SOUTH AFRICA			129,659		120,542	0.4	(9,117)	228
SPAIN								
AMADEUS IT GROUP SA	1,760	42.62	75,012	45.45	79,987	0.2	4,975	1,547
TOTAL SPAIN			75,012		79,987	0.2	4,975	1,547
SWEDEN								
ASSA ABLOY AB CL B	5,880	10.99	64,636	18.57	109,176	0.3	44,540	1,711
MODERN TIMES GROUP B	655	26.96	17,659	29.65	19,418	0.1	1,759	827
SVENSKA HANDELSBANKEN AB CL A SHS	1,761	16.85	29,675	13.90	24,479	0.1	(5,196)	1,160
TOTAL SWEDEN			111,971		153,074	0.4	41,103	3,698
SWITZERLAND								
CHUBB LTD	505	89.19	45,041	132.12	66,721	0.2	21,679	1,394
COMPAGNIE FINANCIERE RICHEMONT SA REG	634	60.21	38,176	66.19	41,966	0.1	3,790	1,058
DKSH HOLDING LTD	430	81.10	34,873	68.65	29,518	0.1	(5,355)	549
GIVAUDAN	15	1,777.99	26,670	1,831.21	27,468	0.1	798	795



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IESTLE SA REG	1,687	65.34	110,226	71.69	120,938	0.4	10,711	3,725	3.1
RÖCHE HOLDING AG GENUSSCHEIN	639	243.34	155,492	228.26	145,860	0.4	(9,631)	5,079	3.5
UBS GROUP AG	1,675	20.64	34,570	15.65	26,218	0.1	(8,352)	986	3.8
TOTAL SWITZERLAND			445,048		458,688	1.3	13,640	13,585	3.0
TAIWAN									
TAIWAN SEMICONDUCTOR SPONS ADR	7,715	25.82	199,200	28.75	221,806	0.6	22,606	5,802	2.6
TOTAL TAIWAN			199,200		221,806	0.6	22,606	5,802	2.6
UNITED KINGDOM									
AA PLC	6,125	5.16	31,581	3.41	20,916	0.1	(10,665)	687	3.3
AON PLC	550	61.76	33,966	111.53	61,342	0.2	27,376	726	1.2
ASTRAZENECA PLC (GBP)	1,745	73.03	127,430	54.70	95,450	0.3	(31,979)	4,296	4.5
BCA MARKETPLACE PLC	13,000	2.66	34,567	2.30	29,849	0.1	(4,718)	994	3.3
DIAGEO PLC	2,025	27.99	56,689	26.01	52,662	0.2	(4,027)	1,478	2.8
LIBERTY GLOBAL PLC CL A	1,075	33.34	35,840	30.59	32,884	0.1	(2,956)	0	0.0
LIBERTY GLOBAL PLC CL C	200	33.21	6,642	29.70	5,940		(702)	0	0.0
LOYDS TSB GROUP PLC	44,950	1.21	54,245	0.77	34,634	0.1	(19,611)	1,413	4.1
MEGGITT PLC	1,865	8.02	14,964	5.65	10,542		(4,422)	336	3.2
NATIONAL GRID PLC	2,995	10.90	32,650	11.73	35,132	0.1	2,482	1,606	4.6
NIELSEN HOLDINGS PLC	1,350	29.91*	40,375	41.95	56,633	0.2	16,257	1,674	3.0
PRUDENTIAL PLC	1,400	17.70	24,786	20.06	28,081	0.1	3,295	680	2.4
RIO TINTO PLC REG	710	52.16	37,031	38.93	27,642	0.1	(9,389)	945	3.4
RÖYAL DUTCH SHELL CL B ADR	3,145	51.93	163,334	57.97	182,316	0.5	18,982	11,825	6.5
SCOTTISH & SOUTHERN ENERGY	5,130	22.18	113,764	19.13	98,153	0.3	(15,612)	5,686	5.8
UNILEVER PLC	1,220	41.00	50,016	40.58	49,512	0.1	(504)	1,569	3.2
VODAFONE GROUP PLC	19,100	3.41	65,073	2.46	47,046	0.1	(18,027)	3,431	7.3
TOTAL UNITED KINGDOM			922,952		868,731	2.5	(54,220)	37,346	4.3



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Detailed Holdings List by Tax Country

HARMAN FAMILY FOUNDATION

Account Number: XXXX04900

As of December 31, 2016
Reporting Currency: USD

Description	Shares/ Par	Average Cost/ Unit	Total Cost	Current Price	Total Market Value	% of Total Net Assets	Unrealized Gain/(Loss)	Estimated Annual Income	Yield(%)
UNITED STATES									
ACTIVISION BLIZZARD INC	1,060	33.61	35,628	36.11	38,277	0.1	2,649	276	0.7
ADVANCED AUTO PARTS INC	75	165.44	12,408	169.12	12,684		276	18	0.1
AES CORP COM	6,200	11.88	73,684	11.62	72,044	0.2	(1,640)	2,976	4.1
AETNA INC	220	102.63	22,578	124.01	27,282	0.1	4,704	220	0.8
ALPHABET INC CL A	70	301.61	21,113	792.45	55,472	0.2	34,359	0	0.0
ALPHABET INC CL C	50	301.57	15,079	771.82	38,591	0.1	23,512	0	0.0
AMAZON COM INC	209	779.61	162,938	749.87	156,723	0.5	(6,215)	0	0.0
AMERICAN TOWER CORP	1,140	66.85	76,213	105.68	120,475	0.4	44,263	2,645	2.2
ANALOG DEVICES INC	215	58.29	12,532	72.62	15,613		3,081	361	2.3
APPLE INC	1,320	81.27	107,272	115.82	152,882	0.4	45,610	3,010	2.0
AUTOMATIC DATA PROCESSING INC	210	63.02	13,234	102.78	21,584	0.1	8,350	479	2.2
BANK OF NEW YORK MELLON CORP	1,340	39.64	53,123	47.38	63,489	0.2	10,366	1,018	1.6
BOEING CO	465	75.08	34,913	155.68	72,391	0.2	37,478	2,641	3.7
BERNER CORP	2,155	42.76	92,150	47.37	102,082	0.3	9,932	0	0.0
CHARTER COMMUNICATIONS INC CL A	212	193.84	41,094	287.92	61,039	0.2	19,945	0	0.0
CHEVRON CORP	1,520	106.56	161,977	117.70	178,904	0.5	16,927	6,566	3.7
CME GROUP INC	1,870	62.89	117,596	115.35	215,705	0.6	98,108	4,488	2.1
COCA-COLA CO	1,130	42.05	47,519	41.46	46,850	0.1	(669)	1,582	3.4
COMCAST CORP CL A (NEW)	1,575	29.61	46,636	69.05	108,754	0.3	62,118	1,733	1.6
CONOCOPHILLIPS	275	44.28	12,178	50.14	13,789		1,611	275	2.0
CROWN CASTLE INTERNATIONAL CORP	1,205	90.03	108,484	86.77	104,558	0.3	(3,926)	4,579	4.4
DANAHER CORP	1,030	43.32	44,624	77.84	80,175	0.2	35,551	515	0.6
DOLLAR GENERAL CORP	420	69.28	29,096	74.07	31,109	0.1	2,014	420	1.4
DOW CHEMICAL CO	1,580	43.49	68,714	57.22	90,408	0.3	21,694	2,907	3.2
EOG RESOURCES INC	765	82.34	62,987	101.10	77,342	0.2	14,355	513	0.7
EXPRESS SCRIPTS HOLDING CO	445	53.88	23,976	68.79	30,612	0.1	6,635	0	0.0



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XXON MOBIL CORP	1,445	73.15	105,702	90.26	130,426	0.4		24,724	4,335	3.3
GENERAL ELECTRIC CO (USD)	1,290	30.24	39,010	31.60	40,764	0.1		1,754	1,238	3.0
GILEAD SCIENCES INC	685	25.11	17,202	71.61	49,053	0.1		31,851	1,288	2.6
GOLDMAN SACHS GROUP INC	550	114.83	63,157	239.45	131,698	0.4		68,541	1,430	1.1
HARMAN INTERNATIONAL	41,153	46.81	1,926,372	111.16	4,574,567	13.3		2,648,196	57,614	1.3
HELMERICH & PAYNE	575	60.18	34,605	77.40	44,505	0.1		9,900	1,610	3.6
HEXCEL CORP	2,000	42.39	84,778	51.44	102,880	0.3		18,102	880	0.9
HR INC	3,640	10.63	38,708	14.84	54,018	0.2		15,310	1,933	3.6
IDEX CORP	600	70.22	42,130	90.06	54,036	0.2		11,906	816	1.5
INCYTE CORP	1,170	65.59	76,735	100.27	117,316	0.3		40,581	0	0.0
JABIL CIRCUIT INC	1,780	16.59	29,526	23.67	42,133	0.1		12,607	570	1.4
JACK HENRY & ASSOC INC	840	33.73	28,332	88.78	74,575	0.2		46,243	941	1.3
JOHNSON & JOHNSON	155	119.09	18,460	115.21	17,858	0.1		(602)	496	2.8
JPMORGAN CHASE & CO	2,195	52.02	114,179	86.29	189,407	0.6		75,227	4,214	2.2
LILLY ELI & CO	990	85.90	85,041	73.55	72,815	0.2		(12,227)	2,059	2.8
MARSH & MCLENNAN COS INC	915	32.74	29,957	67.59	61,845	0.2		31,888	1,244	2.0
MICROSOFT CORP	680	43.77	29,761	62.14	42,255	0.1		12,494	1,061	2.5
MONDELEZ INTERNATIONAL INC	720	40.31	29,026	44.33	31,918	0.1		2,892	547	1.7
MONSANTO CO NEW COM	710	79.80	56,660	105.21	74,699	0.2		18,039	1,534	2.1
MODYS CORP	1,090	104.89	114,335	94.27	102,754	0.3		(11,580)	1,657	1.6
NEW YORK COMMUNITY BANCORP	2,300	15.31	35,212	15.91	36,593	0.1		1,381	1,564	4.3
NEWELL BRANDS INC	2,310	21.13	48,805	44.65	103,142	0.3		54,336	1,756	1.7
NORFOLK SOUTHERN CORP	470	71.12	33,425	108.07	50,793	0.1		17,368	1,109	2.2
OCCIDENTAL PETROLEUM CORP	2,130	70.39	149,940	71.23	151,720	0.4		1,780	6,475	4.3
PANERA BREAD CO CL A	70	185.20	12,964	205.09	14,356			1,392	0	0.0
PNC FINANCIAL SERVICES GROUP INC	375	89.69	33,634	116.96	43,860	0.1		10,226	825	1.9
PRAXAIR INC	235	131.40	30,880	117.19	27,540	0.1		(3,340)	705	2.6
PROCTER & GAMBLE CO	315	75.44	23,765	84.08	26,485	0.1		2,720	844	3.2
ROYAL CARIBBEAN CRUISES	1,360	49.21	66,924	82.04	111,574	0.3		44,651	2,611	2.3



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SCRIPPS NETWORKS INTERACTIVE CL A	370	48.73	18,030	71.37	26,407	0.1	0.1	8,377	370	1.4
SEMPRA ENERGY	570	93.05	53,041	100.64	57,365	0.2	0.2	4,324	1,721	3.0
STARBUCKS CORP	1,870	29.69	55,518	55.52	103,822	0.3	0.3	48,304	1,870	1.8
TEMPUR SEALY INTERNATIONAL INC	405	60.85	24,646	68.28	27,653	0.1	0.1	3,008	0	0.0
TEXAS INSTRUMENTS INC	2,120	42.77	90,679	72.97	154,696	0.5	0.5	64,018	4,240	2.7
TRANSIDGM GROUP INC	100	222.53	22,253	248.96	24,896	0.1	0.1	2,643	0	0.0
UNITEDHEALTH GROUP INC	175	140.17	24,531	160.04	28,007	0.1	0.1	3,477	438	1.6
VERISIGN INC	220	46.75	10,285	76.07	16,735	0.1	0.1	6,450	0	0.0
VERIZON COMMUNICATIONS	370	49.17	18,194	53.38	19,751	0.1	0.1	1,556	855	4.3
VISA INC CL A	2,325	49.19	114,359	78.02	181,397	0.5	0.5	67,038	1,535	0.9
WASTE MANAGEMENT INC	355	53.33	18,933	70.91	25,173	0.1	0.1	6,240	582	2.3
WELLS FARGO & CO (NEW)	900	52.51	47,263	55.11	49,599	0.1	0.1	2,336	1,368	2.8
XILINX INC	1,480	43.08	63,758	60.37	89,348	0.3	0.3	25,590	1,954	2.2
YUM BRANDS INC	1,195	54.59	65,234	63.33	75,679	0.2	0.2	10,445	1,434	1.9
YUM CHINA HOLDINGS INC	1,470	22.45	33,001	26.12	38,396	0.1	0.1	5,396	0	0.0
TOTAL UNITED STATES			5,556,692		9,483,339	27.6		3,926,650	154,943	1.6
MUTUAL FUNDS - EQUITY										
CAPITAL GROUP INTERNATIONAL EQUITY FUND	323,744	12.50	4,047,037	10.72	3,470,538	10.1	10.1	(576,499)	57,057	1.6
EMERGING MARKETS GROWTH FUND INC	340,750	7.54	2,569,257	6.04	2,058,127	6.0	6.0	(511,130)	23,512	1.1
SMALLCAP WORLD FUND CL F2	38,557	48.82	1,882,306	46.42	1,789,794	5.2	5.2	(92,512)	11,259	0.6
TOTAL MUTUAL FUNDS - EQUITY			8,498,601		7,318,460	21.3		(1,180,141)	91,827	1.3
Total Equity			19,725,786		23,241,329	67.7		3,515,545	401,985	1.7



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Other Assets and Liabilities									
ALTERNATIVE INVESTMENTS									
CAPITAL GROUP ALTERNATIVE STRATEGIES FUND OFFSHORE LP	2,563	1,092.57	2,800,000	1,063.08	2,724,424	7.9	7.9	(75,576)	0.0
TOTAL ALTERNATIVE INVESTMENTS			2,800,000		2,724,424	7.9	7.9	(75,576)	0.0
Total Other Assets and Liabilities			2,800,000		2,724,424	7.9	7.9	(75,576)	0.0
Total Net Assets			30,981,871		34,309,121	100.0	100.0	3,327,253	1.6