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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation****or Section 4947(a)(1) Trust Treated as Private Foundation**

- Do not enter social security numbers on this form as it may be made public.
- Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

**2016****Open to Public  
Inspection****For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016**

<p>Name of foundation GERALD B HAUSER FOUNDATION</p> <p>Number and street (or P O box number if mail is not delivered to street address) 400 STONEHAVEN DRIVE</p> <p>City or town, state or province, country, and ZIP or foreign postal code FAYETTEVILLE, GA 30215</p> <p><b>G</b> Check all that apply      <input type="checkbox"/> Initial return      <input type="checkbox"/> Initial return of a former public charity  <input type="checkbox"/> Final return      <input type="checkbox"/> Amended return  <input type="checkbox"/> Address change      <input type="checkbox"/> Name change</p> <p><b>H</b> Check type of organization      <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation  <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust      <input type="checkbox"/> Other taxable private foundation</p> <p><b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 2,081,002</p>		<p><b>A</b> Employer identification number 45-5106111</p> <p><b>B</b> Telephone number (see instructions)</p> <p><b>C</b> If exemption application is pending, check here ► <input type="checkbox"/></p> <p><b>D</b> 1. Foreign organizations, check here ► <input type="checkbox"/>  2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/></p> <p><b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/></p> <p><b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/></p>			
		<p><b>J</b> Accounting method      <input checked="" type="checkbox"/> Cash      <input type="checkbox"/> Accrual  <input type="checkbox"/> Other (specify) _____</p> <p>(Part I, column (d) must be on cash basis)</p>			
<p><b>Part I</b> <b>Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</p>		<b>(a)</b> Revenue and expenses per books	<b>(b)</b> Net investment income	<b>(c)</b> Adjusted net income	<b>(d)</b> Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	37,128	37,128	37,128	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	34,761			
	b Gross sales price for all assets on line 6a	1,149,968			
	7 Capital gain net income (from Part IV, line 2)		34,761		
	8 Net short-term capital gain			1,230	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
<b>12 Total.</b> Add lines 1 through 11	71,889	71,889	38,358		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	1,300		575	725
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	1,945	1,945		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	784			784
	22 Printing and publications				
	23 Other expenses (attach schedule)	375			375
	<b>24 Total operating and administrative expenses.</b>	4,404	1,945	575	1,884
25 Contributions, gifts, grants paid	74,000			74,000	
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	78,404	1,945	575	75,884	
27 Subtract line 26 from line 12	-6,515				
a <b>Excess of revenue over expenses and disbursements</b>					
b <b>Net investment income</b> (if negative, enter -0-)		69,944			
c <b>Adjusted net income</b> (if negative, enter -0-)			37,783		

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>					
1	Cash—non-interest-bearing . . . . .				
2	Savings and temporary cash investments . . . . .	344,969	93,511	93,511	
3	Accounts receivable ►				
	Less allowance for doubtful accounts ►				
4	Pledges receivable ►				
	Less allowance for doubtful accounts ►				
5	Grants receivable . . . . .				
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
7	Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ►				
8	Inventories for sale or use . . . . .				
9	Prepaid expenses and deferred charges . . . . .				
10a	Investments—U S and state government obligations (attach schedule)				
b	Investments—corporate stock (attach schedule) . . . . .	1,453,936	1,277,419	1,282,577	
c	Investments—corporate bonds (attach schedule) . . . . .	313,030	734,490	704,914	
11	Investments—land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
12	Investments—mortgage loans . . . . .				
13	Investments—other (attach schedule) . . . . .				
14	Land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
15	Other assets (describe ► _____)				
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	2,111,935	2,105,420	2,081,002	
<b>Liabilities</b>					
17	Accounts payable and accrued expenses . . . . .				
18	Grants payable. . . . .				
19	Deferred revenue . . . . .				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable (attach schedule) . . . . .				
22	Other liabilities (describe ► _____)				
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	0	0		
<b>Net Assets or Fund Balances</b>					
	<b>Foundations that follow SFAS 117, check here ► <input type="checkbox"/></b> <b>and complete lines 24 through 26 and lines 30 and 31.</b>				
24	Unrestricted . . . . .				
25	Temporarily restricted . . . . .				
26	Permanently restricted . . . . .				
	<b>Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/></b> <b>and complete lines 27 through 31.</b>				
27	Capital stock, trust principal, or current funds . . . . .				
28	Paid-in or capital surplus, or land, bldg , and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds	2,111,935	2,105,420		
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	2,111,935	2,105,420		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	2,111,935	2,105,420		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	2,111,935
2	Enter amount from Part I, line 27a . . . . .	2	-6,515
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3 . . . . .	4	2,105,420
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	2,105,420

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a</b> PUBLICLY TRADED SECURITIES					
<b>b</b> PUBLICLY TRADED SECURITIES CG DISTR					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b> 1,149,968		1,160,887	-10,919		
<b>b</b> 45,680			45,680		
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
<b>a</b>			-10,919		
<b>b</b>			45,680		
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	34,761	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8				3	1,230

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

 Yes  No

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	38,901	2,065,375	0 018835
2014	99,858	2,011,725	0 049638
2013	127,274	1,580,821	0 080511
2012		1,143,742	0 0
2011			
<b>2</b> Total of line 1, column (d)			2 0 148984
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 037246
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 2,011,999
<b>5</b> Multiply line 4 by line 3			5 74,939
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			6 699
<b>7</b> Add lines 5 and 6			7 75,638
<b>8</b> Enter qualifying distributions from Part XIII, line 4			8 75,884

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)	1	699
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2.	3	699
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0- . . . . .	5	699
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,500
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	1,500
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	10	801
11	Enter the amount of line 10 to be <b>Credited to 2017 estimated tax</b> ► 801	Refunded	► 11

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b	Yes	No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>			
c	Did the foundation file <b>Form 1120-POL</b> for this year?	1c	Yes	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	Yes	No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	Yes	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes	No
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	4b	Yes	No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	Yes	No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	No
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes	No
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	Yes	No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	Yes	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	Yes	No

**Part VII-A Statements Regarding Activities (continued)**

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). 11 No

12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions). 12 No

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 Yes

Website address ►

14 The books are in care of ►ROBERT HAUSER JR Telephone no ►(256) 759-3522

Located at ►400 STONEHAVEN DRIVE FAYETTEVILLE GA

ZIP+4 ►30215

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here and enter the amount of tax-exempt interest received or accrued during the year. ► 15 □

16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 Yes No

See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes", enter the name of the foreign country ►

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File **Form 4720** if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? □ Yes  No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? □ Yes  No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? □ Yes  No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? □ Yes  No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? □ Yes  No

(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). □ Yes  No

b If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. ► □ 1b

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? 1c No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ► 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_ □ Yes  No

b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) 2b

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? □ Yes  No

b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). 3b

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a No

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? 4b No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)**

<b>5a</b>	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
	<i>If "Yes," attach the statement required by Regulations section 53.4945-5(d)</i>				
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
	<i>If "Yes" to 6b, file Form 8870</i>				
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
<b>b</b>	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1. List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ROBERT HAUSER JR 400 STONEHAVEN DRIVE FAYETTEVILLE, GA 30215	TRUSTEE 8 00	0	0	0
ANN BRENNAN HAUSER 400 STONEHAVEN DRIVE FAYETTEVILLE, GA 30215	TRUSTEE 4 00	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

<b>(a)</b> Name and address of each employee paid more than \$50,000	<b>(b)</b> Title, and average hours per week devoted to position	<b>(c)</b> Compensation	<b>(d)</b> Contributions to employee benefit plans and deferred compensation	<b>(e)</b> Expense account, other allowances
NONE				
<b>Total</b>	\$50,000			

0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	1,825,330
b	Average of monthly cash balances. . . . .	1b	217,309
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	<b>Total</b> (add lines 1a, b, and c). . . . .	1d	2,042,639
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	2,042,639
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	30,640
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,011,999
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6	100,600

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign**Part XI** organizations check here ►  and do not complete this part )

1	Minimum investment return from Part X, line 6. . . . .	1	100,600
2a	Tax on investment income for 2016 from Part VI, line 5. . . . .	2a	699
b	Income tax for 2016 (This does not include the tax from Part VI). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	699
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	99,901
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	99,901
6	Deduction from distributable amount (see instructions). . . . .	6	24,017
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	75,884

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	75,884
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	75,884
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	699
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	6	75,185
<b>Note:</b> The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				75,884
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only. . . . .				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011. . . . .				
b From 2012. . . . .				
c From 2013. . . . .				
d From 2014. . . . .				
e From 2015. . . . .				
f Total of lines 3a through e. . . . .				
4 Qualifying distributions for 2016 from Part XII, line 4 ► \$ 75,884				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .				
d Applied to 2016 distributable amount. . . . .				75,884
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017. . . . .				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . . . .				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a. . . . .				
10 Analysis of line 9				
a Excess from 2012. . . . .				
b Excess from 2013. . . . .				
c Excess from 2014. . . . .				
d Excess from 2015. . . . .				
e Excess from 2016. . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ►				
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)				
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.				
b	85% of line 2a . . . . .				
c	Qualifying distributions from Part XII, line 4 for each year listed . . . . .				
d	Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .				
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .				
3	Complete 3a, b, or c for the alternative test relied upon				
a	"Assets" alternative test—enter				
(1)	Value of all assets . . . . .				
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i)				
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .				
c	"Support" alternative test—enter				
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .				
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .				
(3)	Largest amount of support from an exempt organization . . . . .				
(4)	Gross investment income . . . . .				

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)****1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )
- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed  
ROBERT HAUSER JR  
400 STONEHAVEN DRIVE  
FAYETTEVILLE, GA 30215  
(256) 759-3522
- b The form in which applications should be submitted and information and materials they should include  
IN WRITING
- c Any submission deadlines  
CONTINUOUS
- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

GRANTS ARE AWARDED TO SISTERS OF THE DIVINE SAVIOR, ST JOHNS CATHOLIC CHURCH, ST BENEDICT THE MOOR, ST VINCENT DEPAUL SOCIETY OF MILWAUKEE, ARCHDIOCESE OF MILWAUKEE AND OTHER CATHOLIC CHARITIES DEEMED APPROPRIATE BY THE TRUSTEES

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b> SEE 990SCHPDF VARIOUS MILWAUKEE, WI 53202	NONE		SUPPORT CATHOLIC CHARITIES	39,000
<b>Total . . . . .</b>			► 3a	39,000
<b>b Approved for future payment</b>				
<b>Total . . . . .</b>			► 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .					
<b>4</b> Dividends and interest from securities. . . . .					
<b>5</b> Net rental income or (loss) from real estate					
a Debt-financed property. . . . .					
b Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .					
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
<b>12</b> Subtotal Add columns (b), (d), and (e). . . . .					
<b>13</b> Total. Add line 12, columns (b), (d), and (e). . . . .					

(See worksheet in line 13 instructions to verify)

## Part XVI-B Relationship of Activities

## Part XVI-B Relationship of Activities to the Act

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

## Part XVII

## **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of

### • Other transactions

(5) Reimbursement arrangements . . . . .  
**(5) Loans or loan guarantees. . . . .**  
(6) Performance of company on subcontractor, or funding a subcontractor . . . . .

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value.

If the answer to any of the above is 'yes', complete the following schedule. Column (B) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value

if the goods, other assets, or services given by the reporting organization have a value less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . .  Yes  No

**b** If "Yes," complete the following schedule

<b>(a) Name of organization</b>	<b>(b) Type of organization</b>	<b>(c) Description of relationship</b>

**Sign** Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

\* \* \* \* \*

2017-05-03

\* \* \* \* \*

May the IRS discuss this return  
with the preparer shown below  
(see instr.)?  Yes  No

**Sign  
Here**

**Paid  
Preparer  
Use Only**

**TY 2016 Investments Corporate Bonds Schedule****Name:** GERALD B HAUSER FOUNDATION**EIN:** 45-5106111

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SIGNET UK FINANCIAL	29,962	28,683
NOBLE HLDG	0	0
WEATHER FORD	0	0
EBAY INC	0	0
MONSANTO	0	0
BAXTER	0	0
VERIZON	0	0
AT&T	0	0
COLGATE PALMOLIVE	0	0
ALLERGAN	0	0
TEXAS INSTRU	0	0
VALE OVERSEAS LTD	0	0
ACCRUED INTEREST	4,331	4,331
CBL & ASSOC	97,476	93,734
INGRAM MICRO INC	161,368	150,558
XLIT LTD	50,615	49,578
EPR PROPTERTY	40,010	39,514
SEAGATE HDD	169,440	171,111
MACYS RETAIL	28,636	26,818
VIACOM	99,303	89,147

Name of Bond	End of Year Book Value	End of Year Fair Market Value
HEWLET PACKARD	53,349	51,440

**TY 2016 Investments Corporate Stock Schedule**

**Name:** GERALD B HAUSER FOUNDATION  
**EIN:** 45-5106111

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
AMERICAN INTL GP	119,827	17,242
ASHLAND INC NEW	36,793	189,072
KB HOME	6,041	17,754
TECH DATA CORP	2,600	16,936
VALEANT PHARMACEUTICALS INTL	7,728	1,336
COLUMBIA CORE FND	25,228	16,728
FIDELITY INC FND	268,113	245,387
JOHN HANCOCK REG BANK FND	151,614	213,813
CLEARBRIDGE LG CAP	103,569	110,733
NEUBERGER BERMAN GUARDIAN FND	104,855	82,876
PRUDENTIAL JENNISON UTILITY FN	198,423	156,278
WELLS FARGO OMEGA GROWTH	51,250	31,821
GAMCO GLOBAL GOLD	201,378	182,601

## TY 2016 Other Expenses Schedule

**Name:** GERALD B HAUSER FOUNDATION  
**EIN:** 45-5106111

## Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
COMMUNICATIONS	142	0	0	142
OFFICE SUPPLIES	233	0	0	233

## TY 2016 Other Professional Fees Schedule

**Name:** GERALD B HAUSER FOUNDATION**EIN:** 45-5106111

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
UBS FIN SVC ACCT FEE	150	0	0	150
ACCOUNTING	1,150	0	575	575

## TY 2016 Taxes Schedule

**Name:** GERALD B HAUSER FOUNDATION**EIN:** 45-5106111

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
2015 990 TAX DUE	445	445	0	0
2016 990 ESTIMATED TAX	1,500	1,500	0	0

Gerald B Hauser Foundation, 45-5106111

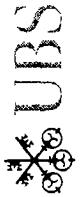
**Part XI Line 6**

Start up Period minimum Distribution

Year Created 2012

	Part X, line 6	ratio	Distribution -	
			Required	Actual
2013	79,041	20%	15,808	127,274
2014	100,856	40%	40,342	99,858
2015	103,269	60%	61,961	38,091
2016	100,654	80%	80,523	75,309
			198,635	340,532

The actual distributions exceed the required minimum distributions No carryover of excess distributions



## Business Services Account

Account name: 41111111111111111111111111111111  
Friendly account name: 41111111111111111111111111111111 Foundation  
Account number: 136 18696551

**Your Financial Advisor**  
NOVAK AND KRONICK  
262-794-0800/800-847-3591

UBS Visa debit card

MR ROBERT J HAUSER JR - XXXX43181

Communications

1 transaction date Description  
1/05/18 16 DRI\* KASPI R

UBS Visa debit card

MRS ANN B HAUSER - XXXX4 3199

Purchases	Transaction date	Description	Value
12-03-21	12-03-21	12-03-21	12-03-21

Transportation	Description
Train	Train connection

## Realized gains and losses

Estimated 2016 gains and losses for tax purposes, with trade date, through 1/30/2016, have been incorporated into this statement. Please note that gain or loss, recognized on the sale or tenderization of certain structured products, is contingent debt securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor to calculate gains and losses, we liquidate the older security for you. This is known as the first in, first out or FIFO accounting method. We do not consider these purchases or sales to be part of your order placed by your order. This is known as a FIFO purchases or sales.

## Short-term capital gains and losses

Method	Security description	Allegian Inc.	Allegian Inc.	Allegian Inc.
	02 800% 031523110031213			
	1C 091513 C Allegian 15BP			
	02 65% 120122110121112			
	1C 060113C Allegian 15BP			

**SEC important information about your statement** on the last page of this statement for more information. We in no event liable for capital gains, and losses for an capital gains, so that is for coupon tax except municipal securities, include securities, subject to AMT, have been adjusted to mandatory amortization of bond premium (Estimate in the Undivided section can not be classified as short term or long term because information is missing, and, on the products one in which the *gains/losses* calculation is not provided

continued next page



## Business Services Account

Account name (1) Real Business Risk Analysis

Your Financial Advisor

Primary account name (if applicable):  
Account number: 186654

VAR. ANNI 1850-1851

Account Number 130701

2 794 0800/800 84 / 31,39

## Realized gains and losses (continued)

## Short-term capital gains and losses (continued)

Customer description	Method	Quantity or last value	Purchase date	Sale date	Amount (\$)	Total (\$)	Worth, at cost or fair market (\$)
CB & ASSOC LTD							
25.250% 12013 D1D112613 ((060114 ((All@)MW+40)RP	IFO	30,000 000	Jun 10, 16	Sep 21, 16	30,445 43	28,858 05	1,587 38
1110		70,000 000	Jun 13, 16	Sep 21, 16	71,039 32	67,156 95	3,882 37
LIN INDUS KHL INC B/L							
25.150% 031534 D1D031114 ((091514 ((All@)MW+25)RP	IFO	60,000 000	Aug 11, 16	Oct 27, 16	53,459 55	59,983 05	6,523 50
COOLAIR PAIMOLIVI CO ((020113 MID HFM N1's	IFO	35,000 000	Apr 27, 15	Apr 04, 16	34,794 90	34,765 15	79 15
BAY INC N15 B/L ((011513 ((All@)MW+20)RP	IFO	30,000 000	Apr 16, 15	Apr 04, 16	28,846 95	29,571 15	724 20
COOLAIR INC B/L							
23.700% 031523 D1D032013 ((091513 ((All@)MW+25)RP	IFO	30,000 000	Apr 04, 16	Jun 13, 16	29,770 35	29,926 05	155 70
HCP INC B/L							
23.875% 081524 D1D081414 ((021515 ((All@)MW+25)RP	IFO	30,000 000	Apr 04, 16	Jun 28, 16	30,055 55	30,126 45	71 10
KOHLS CORP B/L ((011716 ((All@)MW+30)RP	IFO	60,000 000	Apr 04, 16	Aug 11, 16	61,485 75	60,491 25	994 50
MONSANTO CO ((011716 ((All@)MW+10)RP	IFO	70,000 000	Apr 27, 15	Apr 04, 16	19,529 35	19,979 65	-450 30
MOTOROLA SOLUTIONS INC 04.000% 090124 D1D081914 ((030115 ((All@)MW+30)RP	IFO	15,000 000	Apr 04, 16	Jun 13, 16	14,624 53	14,453 85	170 68
1110		25,000 000	Apr 04, 16	Jun 13, 16	24,374 22	24,100 75	273 47
SHAGAHL LTD ((AYMAN B/L 04.750% 010125 D1D1052814 ((010115	IFO	70,000 000	Jul 14, 16	Aug 26, 16	64,463 35	61,726 35	2,737 00
1110113 ((All@)MW+15)RP 02.250% 050123 D1D1050813	IFO	30,000 000	Apr 22, 15	Apr 04, 16	29,554 35	29,681 55	127 00

new media

## Realized gains and losses (continued)

## Short-term capital gains and losses (continued)





## Business Services Account

Account name (friendly name) **Challenger Capital Corporation**  
Account number **B6 1869G 55**

**Your Financial Advisor**  
NOVAK ANDERSON III NK  
262-794-0800/800-847-3191

## Realized gains and losses (continued)

## Short-term capital gains and losses (continued)

Method		Quantity in Units Value Date	Part 1, if any due Date	Amount due or balance amount (\$)	Worth, if any due or balance amount (\$)
1100	1105.25% 1105.15 1105.16% All @ MW+ 30RP	40,000 000	Apri 04, 16	40,392 35	39,705 25
1100	30,000 000	Apri 22, 15	Apri 04, 16	29,576 25	29,791 65
1100	30,000 000	Apri 22, 15	Apri 04, 16	29,576 25	29,791 65
<b>Total</b>				<b>\$621,392 60</b>	<b>\$620,161 75</b>

## Long-term capital gains and losses

Gerald B Hauser Foundation, 45-5106111

**Part XV Line 3a**

Schedule of Donations

	<u>2016</u>
Neosho/Rubicon Historical Society	1000
Summer Lunch Program	1000
Embracing Military Families	2000
Holy Trinity Catholic Church	3000
Shelter Development	10000
Missionary Groups of the Ho	11000
Sister of Divine Savior	11000
Capuchin Community Services	<u>35000</u>
	74000