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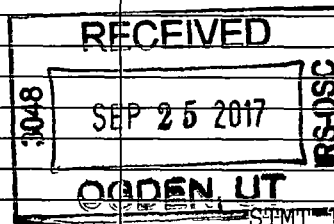


Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

For calendar year **2016** or tax year beginning , **2016**, and ending , **20**

Name of foundation SCHUMANN, ROBERT F FDN-MAIN		A Employer identification number 45-4757108
Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) 800-352-3705
1525 W W.T. HARRIS BLVD. D1114-044		
City or town, state or province, country, and ZIP or foreign postal code CHARLOTTE, NC 28262		
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		C If exemption application is pending, check here. ☐ D 1 Foreign organizations, check here. ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation. ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here. ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 43,306,416. J Accounting method. ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	18,199,135.			
	2 Check ☐ if the foundation is not required to attach Sch. B.				
	3 Interest on savings and temporary cash investments.	3,270.	3,270.		STMT 1
	4 Dividends and interest from securities	887,230.	807,649.		STMT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	10,312,035.			
	b Gross sales price for all assets on line 6a 38,541,901.				
	7 Capital gain net income (from Part IV, line 2)		10,312,035.		
	8 Net short-term capital gain.				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	3,193.	3,193.		
	12 Total. Add lines 1 through 11	29,404,863.	11,126,147.		
	13 Compensation of officers, directors, trustees, etc.	321,877.	221,261.		100,616.
	14 Other employee salaries and wages		NONE	NONE	
	15 Pension plans, employee benefits		NONE	NONE	
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) STMT. 19	2,200.	NONE	NONE	2,200.
	c Other professional fees (attach schedule) STMT. 20	9,443.	9,443.		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT. 21	268,086.	13,517.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	2,627.			2,627.
	21 Travel, conferences, and meetings		NONE	NONE	
	22 Printing and publications		NONE	NONE	
	23 Other expenses (attach schedule) STMT. 22	1,836.	1,836.		
	24 Total operating and administrative expenses. Add lines 13 through 23.	606,069.	246,057.	NONE	105,443.
25 Contributions, gifts, grants paid	2,007,233.			2,007,233.	
26 Total expenses and disbursements Add lines 24 and 25	2,613,302.	246,057.	NONE	2,112,676.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	26,791,561.				
b Net investment income (if negative, enter -0-)		10,880,090.			
c Adjusted net income (if negative, enter -0-)					



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	-701.	-701.
	2	Savings and temporary cash investments	2,803,149.	6,601,864.
	3	Accounts receivable ▶		
		Less: allowance for doubtful accounts ▶		
	4	Pledges receivable ▶		
		Less: allowance for doubtful accounts ▶		
	5	Grants receivable		
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)		
	7	Other notes and loans receivable (attach schedule) ▶		
		Less: allowance for doubtful accounts ▶	NONE	
	8	Inventories for sale or use		
	9	Prepaid expenses and deferred charges		
	10a	Investments - U.S. and state government obligations (attach schedule)	1,480,207.	1,747,011.
	b	Investments - corporate stock (attach schedule)	9,083,980.	23,981,743.
	c	Investments - corporate bonds (attach schedule)	978,520.	5,787,782.
	Liabilities	11	Investments - land, buildings, and equipment basis	
		Less: accumulated depreciation (attach schedule) ▶		
12		Investments - mortgage loans		
13		Investments - other (attach schedule)	2,953,742.	3,063,408.
14		Land, buildings, and equipment basis		
		Less: accumulated depreciation (attach schedule) ▶		
15		Other assets (describe ▶)		
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	14,345,856.	41,071,441.
17		Accounts payable and accrued expenses		
18		Grants payable		
Net Assets or Fund Balances	19	Deferred revenue		
	20	Loans from officers, directors, trustees, and other disqualified persons		
	21	Mortgages and other notes payable (attach schedule)		
	22	Other liabilities (describe ▶)		
23	Total liabilities (add lines 17 through 22)		NONE	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted		
	25	Temporarily restricted		
	26	Permanently restricted		
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	14,345,856.	41,071,441.
	28	Paid-in or capital surplus, or land, bldg, and equipment fund		
	29	Retained earnings, accumulated income, endowment, or other funds		
	30	Total net assets or fund balances (see instructions)	14,345,856.	41,071,441.
	31	Total liabilities and net assets/fund balances (see instructions)	14,345,856.	41,071,441.

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	14,345,856.
2	Enter amount from Part I, line 27a	2	26,791,561.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 43	3	6,016.
4	Add lines 1, 2, and 3	4	41,143,433.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 44	5	71,992.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	41,071,441.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1-a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 38,541,898.		28,229,863.	10,312,035.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			10,312,035.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	10,312,035.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	NONE	19,564,085.	NONE
2014			
2013			
2012			
2011			
2 Total of line 1, column (d)			2 NONE
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.			3 NONE
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 42,364,134.
5 Multiply line 4 by line 3.			5 NONE
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 108,801.
7 Add lines 5 and 6.			7 108,801.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 2,112,676.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	108,801.
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	108,801.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	108,801.
6 Credits/Payments			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	254,489.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	254,489.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	145,688.	
11 Enter the amount of line 10 to be. Credited to 2017 estimated tax ☒ 108,804. Refunded ☒ 36,884.	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. ☒ \$ _____ (2) On foundation managers. ☒ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 45	X	

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	X	
14 The books are in care of <u>SEE STATEMENT 46</u> Telephone no. <u></u> Located at <u></u> ZIP+4 <u></u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country <u></u>		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)		X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u></u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 47		321,877.		

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ **NONE**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	34,683,600.
b	Average of monthly cash balances	1b	8,325,673.
c	Fair market value of all other assets (see instructions).	1c	NONE
d	Total (add lines 1a, b, and c)	1d	43,009,273.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	43,009,273.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	645,139.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	42,364,134.
6	Minimum investment return. Enter 5% of line 5	6	2,118,207.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,118,207.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	108,801.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	108,801.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,009,406.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	2,009,406.
6	Deduction from distributable amount (see instructions).	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	2,009,406.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,112,676.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,112,676.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	108,801.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,003,875.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				2,009,406.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			45,480.	
b Total for prior years 20 <u>14</u> , 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2016				
a From 2011	NONE			
b From 2012	NONE			
c From 2013	NONE			
d From 2014	NONE			
e From 2015	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2016 from Part XII, line 4 ► \$ <u>2,112,676.</u>				
a Applied to 2015, but not more than line 2a . . .			45,480.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2016 distributable amount				2,009,406.
e Remaining amount distributed out of corpus. . .	57,790.			
5 Excess distributions carryover applied to 2016 . (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	57,790.			
b Prior years' undistributed income. Subtract line 4b from line 2b.		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017.				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .	NONE			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	57,790.			
10 Analysis of line 9.				
a Excess from 2012 . . .	NONE			
b Excess from 2013 . . .	NONE			
c Excess from 2014 . . .	NONE			
d Excess from 2015 . . .	NONE			
e Excess from 2016 . . .	57,790.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Various Charities see attachment 100 NORTH MAINS STREET Winston-Salem NC 2710	N/A	PC	CHARITABLE	2,007,233.
Total			▶ 3a	2,007,233.
b Approved for future payment				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments				14	3,270.	
4 Dividends and interest from securities				14	887,230.	
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property. .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory				18	10,312,035.	
9 Net income or (loss) from special events . . .						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue. a _____						
b INVESTMENT FEE REB _____				1	3,193.	
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)					11,205,728.	
13 Total. Add line 12, columns (b), (d), and (e)						11,205,728.

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than the taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

Wells Fargo Bank, N.A.

Signature of officer or trustee

09/18/2017
Date

Date _____

Trustee
Title

Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No. 1545-0047

2016

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990

Name of the organization

Employer identification number

SCHUMANN, ROBERT F FDN-MAIN

45-4757108

Organization type (check one):

Filers of:**Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization
 SCHUMANN, ROBERT F FDN-MAIN

Employer identification number
 45-4757108

Part I Contributors (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	R Schumann Marital M Schumann Robert F Schumann Trust U/A / /	\$ 18,199,135.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization SCHUMANN, ROBERT F FDN-MAIN	Employer identification number 45-4757108
--	---

Part II Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	Cash Received 7,060,066.57 Various Assets 11,139,068.29		
		\$	02/01/2016
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	

SCHUMANN, ROBERT F FDN-MAIN

45-4757108

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
SECURED MARKET DEPOSIT ACCOUNT	3,270.	3,270.
	-----	-----
TOTAL	3,270.	3,270.
	=====	=====

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
ABB LTD - ADR	1,441.	1,441.
AFLAC INC	347.	347.
AES CORPORATION 8.000% 6/01/20	388.	388.
AIA GROUP LTD-SP ADR	230.	230.
AT & T INC	1,102.	1,102.
AT&T INC 3.000% 6/30/22	1,038.	1,038.
ABAXIS INC	297.	297.
ABBOTT LABS	53.	53.
ACCESS MIDSTREAM PAR 4.875% 5/15/23	218.	218.
AETNA INC-NEW	126.	126.
INV BALANCE RISK COMM STR-Y #8611	21,324.	21,324.
AIR LEASE CORP	21.	21.
AIR PRODS & CHEMS INC COM	183.	183.
AKZO NOBEL N.V. - ADR	579.	579.
ALBEMARLE CORP COM	10.	10.
ALLIANZ SE ADR	403.	403.
ALLY FINANCIAL INC 8.000% 3/15/20	472.	472.
ALLY FINANCIAL INC 5.125% 9/30/24	184.	184.
ALTRIA GROUP INC	14,125.	14,125.
AMADEUS IT GROUP, S.A. ADR	101.	101.
AMBEV SA-SPN ADR	78.	78.
AMERICAN ASSETS TRUST INC	1,178.	1,178.
AMERICAN CAMPUS CMNTYS INC	733.	227.
AMERICAN EAGLE OUTFITTERS INC NEW	336.	336.
AMERICAN EXPRESS 6.150% 8/28/17	909.	909.
AMERICAN HONDA FINAN 2.450% 9/24/20	568.	568.
AMERICAN TOWER CORP	3,240.	3,240.
AMERISOURCEBERGEN CORP	195.	195.
AMERIGAS PART/FIN CO 5.875% 8/20/26	46.	46.
AMGEN INC	11,392.	11,392.
ANHEUSER-BUSCH INBEV 3.650% 2/01/26	522.	522.
ANTERO RESOURCES FIN 5.375% 11/01/21	582.	582.

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
APARTMENT INVT & MGMT CO CL A	895.	895.
APPLE INC	8,175.	8,175.
APPLE INC 2.850% 5/06/21	1,116.	1,116.
APTARGROUP INC COM	202.	202.
ARCHER-DANIELS-MIDLA 2.500% 8/11/26	65.	65.
ARM HOLDINGS PLC - ADR	79.	79.
ARTISAN PARTNERS ASSET MANAGEM	1,634.	436.
AUTOMATIC DATA PROCESSING INC	5,544.	5,544.
AVALONBAY CMNTYS INC	667.	667.
AVERY DENNISON CORP	336.	336.
AVIS BUDGET CAR/FINA 5.500% 4/01/23	27.	27.
BASF AKTIENGESELLSCHAFT - LVL 1 ADR	359.	359.
BB&T CORPORATION 1.450% 1/12/18	175.	175.
BHP BILLITON PLC ADR	343.	343.
BP PLC - ADR	3,622.	3,622.
BNP PARIBAS - ADR	655.	655.
BT GROUP PLC - ADR	216.	216.
BALL CORP 4.375% 12/15/20	56.	56.
BANCO BILBAO VIZCAYA ARGENT- ADR	122.	122.
BANCO SANTANDER CEN-SPON - ADR	500.	500.
BANK OF AMERICA CORP 2.600% 1/15/19	260.	260.
BANK OF NY MELLON CO 1.600% 5/22/18	460.	460.
BANK N S HALIFAX	343.	343.
BANK OF NOVA SCOTIA 2.350% 10/21/20	90.	90.
BARCLAYS PLC - ADR	78.	78.
BAYER AG - ADR	407.	407.
BEMIS INC	133.	133.
BERRY PLASTICS CORP 5.125% 7/15/23	101.	101.
BIG LOTS INC	207.	207.
BIOGEN INC 4.050% 9/15/25	536.	536.
BLACKROCK INC	3,664.	3,664.
BOEING CO	362.	362.
BORG WARNER INC.	199.	199.

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
BOSTON PROPERTIES INC COM	1,011.	1,011.
BOYD GAMING CORP 6.875% 5/15/23	396.	396.
BRAMBLES LTD-SPONSORED ADR	201.	201.
BRISTOL MYERS SQUIBB CO	4,860.	4,860.
BRITISH AMERICAN TOBACCO P.L.C - ADR	535.	535.
CCO HLDGS LLC/CAP CO 5.750% 1/15/24	81.	81.
CBRE SERVICES INC 5.000% 3/15/23	366.	366.
CBS OUTDOOR AMERS CA 5.625% 2/15/24	146.	146.
CDW LLC/CDW FINANCE 6.000% 8/15/22	140.	140.
CDW CORP/DE	593.	593.
CHS/COMMUNITY HEALTH 7.125% 7/15/20	302.	302.
CHS/COMMUNITY HEALTH 5.125% 8/15/18	40.	40.
AI CHS/COMMUNITY HEA 5.125% 8/01/21	64.	64.
CIT GROUP INC 5.250% 3/15/18	222.	222.
CK HUTCHISON HOLDIN-UNSP ADR	448.	448.
CNH CAPITAL LLC 3.625% 4/15/18	149.	149.
CSC HOLDINGS INC 7.625% 7/15/18	101.	101.
CSC HOLDINGS. LLC 6.750% 11/15/21	218.	218.
CSX CORP	3,780.	3,780.
CVS HEALTH CORPORATION	241.	241.
CVS CAREMARK CORP 2.250% 12/05/18	706.	706.
CALPINE CORP 5.750% 1/15/25	297.	297.
CANON INC - ADR	296.	296.
CARRIZO OIL & GAS IN 6.250% 4/15/23	29.	29.
CATERPILLAR INC	924.	924.
CELANESE US HOLDINGS 5.875% 6/15/21	171.	171.
CENTENE CORP 5.750% 6/01/17	177.	177.
CENTENE CORP 4.750% 5/15/22	250.	250.
CENTURYLINK INC 7.500% 4/01/24	194.	194.
CHASE ISSUANCE TRUST 1.370% 6/15/21	677.	677.
CHEMOURS CO 6.625% 5/15/23	105.	105.
CHESAPEAKE LODGING TRUST	824.	733.
CHEVRON CORP	3,184.	3,184.

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
DPS CHINA MOBILE LIMITED ADR	519.	519.
CINEMARK USA INC 5.125% 12/15/22	234.	234.
CISCO SYSTEMS INC	17,826.	17,826.
CISCO SYSTEMS INC 4.450% 1/15/20	538.	538.
CITIGROUP INC 4.500% 1/14/22	445.	445.
CITIBANK CREDIT CARD 5.350% 2/07/20	3,418.	3,418.
CITIZENS PPTY INS CO 5.000% 6/01/19	1,598.	
CLEAN HARBORS INC 5.250% 8/01/20	101.	101.
CLEAR CHANNEL WORLDW 6.500% 11/15/22	376.	376.
COCA COLA CO	413.	413.
COCA-COLA CO/THE 2.875% 10/27/25	240.	240.
COMCAST CORP CLASS A	4,696.	4,696.
COMERICA INC	3,733.	3,733.
COMPASS GROUP PLC - ADR	62.	62.
COMPUTER PROGRAMS & SYSTEMS INC	421.	421.
CONCHO RESOURCES INC 6.500% 1/15/22	60.	60.
CONNECTICUT ST 4.000% 3/01/17	5,072.	
CONNECTICUT ST 5.000% 12/15/16	3,061.	
CONNECTICUT ST 5.000% 6/01/16	5,117.	
CONNECTICUT ST 5.000% 5/01/16	3,914.	
CONNECTICUT ST 5.000% 6/01/16	2,659.	
CONNECTICUT ST 4.500% 6/01/22	3,277.	
CONNECTICUT ST SPL 4.500% 2/01/16	1,761.	
CONSOL ENERGY INC 5.875% 4/15/22	282.	282.
CONSTELLATION BRANDS INC	52.	52.
CONSTELLATION BRANDS 6.000% 5/01/22	256.	256.
CONTINENTAL RESOURCE 5.000% 9/15/22	268.	268.
CONVERGYS CORP	86.	86.
CORESITE REALTY CORP	1,787.	1,787.
COSTCO WHOLESALE CORP	131.	131.
COSTCO WHOLESALE COR 1.700% 12/15/19	517.	517.
CROWN CASTLE INTL CO 4.875% 4/15/22	112.	112.
CROWN CASTLE INTL CORP	2,803.	2,512.

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SCHUMANN, ROBERT F FDN-MAIN

45-4757108

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
DDR CORP	335.	155.
D.R. HORTON INC 5.750% 8/15/23	334.	334.
D.R. HORTON INC 4.000% 2/15/20	47.	47.
DST SYS INC COM	196.	196.
DAIKIN INDUSTRIES-UNSPONADR ADR	365.	365.
DAIMLER AG- SPN ADR	489.	489.
DANAHER CORP	465.	465.
DANONE ADR	297.	297.
DASSAULT SYSTEMES S.A. - ADR	41.	41.
DAVITA INC 5.750% 8/15/22	155.	155.
DAVITA HEALTHCARE PA 5.125% 7/15/24	91.	91.
DELTA AIR LINES INC	228.	228.
DELUXE CORP	253.	253.
DENSO CORPORATION - ADR	204.	204.
DEUTSCHE BOERSE AG ADR	146.	146.
DEUTSCHE TELEKOM AG - ADR	526.	526.
DIAGEO PLC - ADR	102.	102.
DIAMONDBACK ENERGY 7.625% 10/01/21	144.	144.
WALT DISNEY CO	483.	483.
WALT DISNEY COMPANY/ 2.300% 2/12/21	374.	374.
DISH DBS CORP 7.875% 9/01/19	291.	291.
DISH DBS CORP 5.875% 7/15/22	105.	105.
DIST OF COLUMBIA INC 5.000% 12/01/17	2,064.	
DOMINION RES INC VA	294.	294.
DONALDSON CO INC	287.	287.
DOW CHEMICAL CO	1,554.	1,554.
DRIEHAUS ACTIVE INCOME FUND	7,369.	7,369.
DUKE ENERGY HOLDING CORP. COM	273.	273.
DUKE ENERGY CORP 3.750% 4/15/24	885.	885.
DYNEGY INC 6.750% 11/01/19	302.	302.
E M C CORP MASS	6,038.	6,038.
ENI SPA - ADR	2,402.	2,402.
EPR PROPERTIES	1,823.	1,690.

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
EATON VANCE GLOBAL MACRO - I #0088	8,956.	6,232.
ECOLAB INC	1,353.	1,353.
EL PASO PIPELINE PAR 5.000% 10/01/21	173.	173.
EMERSON ELECTRIC CO	4,275.	4,275.
ENGIE-SPON ADR	1,330.	1,330.
EQUINIX INC	1,197.	1,197.
EQUINIX INC 5.750% 1/01/25	79.	79.
EQUITY RESIDENTIAL PPTYS TR SH BEN	14.	14.
ERICSSON (LM) TEL-SP ADR	216.	216.
EXPEDIA INC	7.	7.
EXPONENT INC	233.	233.
EXPRESS SCRIPTS HOLD 2.250% 6/15/19	650.	650.
EXTRA SPACE STORAGE INC	2,385.	2,385.
EXXON MOBIL CORPORATION	1,143.	1,143.
EXXON MOBIL CORPORAT 1.819% 3/15/19	364.	364.
FACTSET RESH SYS INC COM	175.	175.
FED HOME LN BK 1.375% 2/18/21	880.	880.
FED NATL MTG ASSN 1.750% 9/12/19	1,351.	1,351.
FED NATL MTG ASSN 2.625% 9/06/24	965.	965.
FED HOME LN MTG CORP 1.375% 5/01/20	943.	943.
FNMA POOL #AU0920 3.500% 7/01/43	1,517.	1,517.
FEDERATED INVESTORS INC	796.	796.
FEDEX CORPORATION	446.	446.
FID ADV EMER MKTS INC- CL I #607	42,737.	42,737.
FIFTH THIRD BANCORP 2.300% 3/01/19	332.	332.
FIRST INDL RLTY TR INC COM	749.	720.
FIRST POTOMAC RLTY TR	1,002.	
FOOT LOCKER INC	330.	330.
FORD MOTOR COMPANY	220.	220.
FORD MOTOR CREDIT CO 5.875% 8/02/21	824.	824.
FORTIVE CORP	42.	42.
FULLER H B CO	92.	92.
GEA GROUP AG ADR	115.	115.

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

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DESCRIPTION \ -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
GEO GROUP INC/THE	2,135.	1,665.
GLP CAPITAL LP / FIN 4.875% 11/01/20	183.	183.
GENERAL ELECTRIC CO	35,616.	35,616.
GENERAL ELECTRIC CO 2.700% 10/09/22	756.	756.
GENERAL GROWTH PROPERTIE-W/I	2,118.	2,118.
GENERAL MOTORS CO	694.	694.
GENERAL MOTORS FINL 3.250% 5/15/18	173.	173.
GENERAL MOTORS FINL 4.250% 5/15/23	245.	245.
GILEAD SCIENCES INC	8,592.	8,592.
GILEAD SCIENCES INC 2.550% 9/01/20	464.	464.
GLAXOSMITHKLINE PLC - ADR	767.	767.
GOLDMAN SACHS GROUP INC	2,145.	2,145.
GOLDMAN SACHS GROUP 5.750% 1/24/22	1,086.	1,086.
GOODYEAR TIRE & RUBB 7.000% 5/15/22	314.	314.
GRACO INC	350.	350.
GRAPHIC PACKAGING IN 4.750% 4/15/21	178.	178.
HCA INC 3.750% 3/15/19	118.	118.
HCA INC 5.000% 3/15/24	301.	301.
HCP INC	15,211.	2,864.
HDFC BANK LTD. - ADR	42.	42.
HSBC - ADR	26,690.	26,690.
HALLIBURTON CO	579.	579.
HASBRO INC	44.	44.
HENRY JACK & ASSOC INC COM	271.	271.
HERTZ CORP 6.750% 4/15/19	263.	263.
HITACHI LIMITED - ADR	224.	224.
HOME DEPOT INC	5,435.	5,435.
HOME DEPOT INC 2.000% 4/01/21	631.	631.
HONDA MOTOR CO., LTD. - ADR	623.	623.
HOST HOTELS & RESORTS, INC.	1,134.	1,134.
HUNTINGTON BANCSHARES INC	209.	209.
ILG INC	199.	199.
ISTAR FINANCIAL INC 5.000% 7/01/19	77.	77.
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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

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DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
ISTAR FINANCIAL INC 4.000% 11/01/17	186.	186.
ISTAR INC 6.500% 7/01/21	107.	107.
IBERDROLA S.A.ADA ADR	926.	926.
ICAHN ENTERPRISES/FI 6.000% 8/01/20	139.	139.
INGREDION INC	158.	158.
INTEL CORP	839.	839.
INTER PARFUMS INC	120.	120.
INTERNATIONAL BUSINESS MACHS CORP	11,070.	11,070.
INTL LEASE FINANCE 8.250% 12/15/20	987.	987.
INTERVAL LEISURE GROUP INC	199.	199.
INTESA SANPAOLO-SPON ADR	496.	496.
INTUIT COM	7,200.	7,200.
IRON MOUNTAIN INC 5.750% 8/15/24	148.	148.
ISHARES SELECT DIVIDEND ETF	29,349.	29,349.
ISHARES RUSSELL MID-CAP ETF	8,978.	8,978.
ISHARES RUSSELL 2000 ETF	4,477.	4,477.
ISHARES FLOATING RATE BOND ETF	513.	513.
JPMORGAN CHASE & CO	4,052.	4,052.
JPMORGAN CHASE & CO 2.250% 1/23/20	440.	440.
JABIL CIRCUIT INC COM	210.	
JPMBB COMMERCIAL MO 3.0456% 4/15/47	1,870.	1,870.
JOHNSON & JOHNSON	27,356.	27,356.
KBC GROEP NV-UNSP ADR	445.	445.
KLA-TENCOR CORP COM	1,872.	1,872.
KAO CORP ADR	52.	52.
KDDI CORP-UNSPONSORED ADR	581.	581.
KILROY REALTY CORP COM	512.	512.
KIMBERLY CLARK CORP COM	5,520.	5,520.
KIMCO RLTY CORP	1,421.	1,269.
KINDRED HEALTHCARE 8.750% 1/15/23	122.	122.
KONINKLIJKE AHOND-SP ADR	498.	498.
PHILIPS ELECTRONICS - NY SHR ADR	348.	348.
KROGER CO	197.	197.

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SCHUMANN, ROBERT F FDN-MAIN

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

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DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
KUBOTA LIMITED - ADR	49.	49.
LKQ CORP 4.750% 5/15/23	125.	125.
L'OREAL - ADR	183.	183.
LVMH MOET HENNESSY UNSP ADR - ADR	691.	691.
LAMAR MEDIA CORP 5.000% 5/01/23	254.	254.
LANDSTAR SYS INC COM	83.	83.
LAS VEGAS SANDS CORP	731.	731.
LASALLE HOTEL PROPERTIES COM	661.	661.
LEAR CORP	156.	156.
LEAR CORP 5.250% 1/15/25	309.	309.
LENNAR CORP 4.500% 6/15/19	307.	307.
LENNAR CORP 4.750% 5/30/25	308.	308.
LENNOX INTERNATIONAL INC	45.	45.
LEUCADIA NATIONAL CO 5.500% 10/18/23	211.	211.
LEVEL 3 FINANCING IN 5.375% 5/01/25	141.	141.
LEVEL 3 COMMUNICATIO 5.750% 12/01/22	212.	212.
LIFEPOINT HEALTH INC 5.875% 12/01/23	132.	132.
ELI LILLY & CO COM	44.	44.
LINCOLN NATL CORP IND	182.	182.
LINEAR TECHNOLOGY CORP	321.	321.
LLOYDS BANKING GROUP PLC - ADR	1,150.	1,150.
LOCKHEED MARTIN CORP	359.	359.
LOCKHEED MARTIN CORP 2.500% 11/23/20	819.	819.
LOWES COS INC	267.	267.
M & T BANK CORPORATION COM	7,350.	7,350.
MGM RESORTS INTL 6.750% 10/01/20	504.	504.
MANPOWERGROUP INC	189.	189.
MARKETAXESS HLDGS INC	178.	178.
MARSH & MCLENNAN COS INC	1,240.	1,240.
MARRIOTT INTERNATIONAL INC CLASS A	230.	230.
MASCO CORP 5.950% 3/15/22	177.	177.
MASTERCARD INC	97.	97.
MCDONALDS CORP	96.	96.

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

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DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
MCKESSON CORP	33.	33.
MEDICAL PPTYS TR INC	1,115.	866.
MEDTRONIC INC 2.500% 3/15/20	653.	653.
MERCK & CO INC NEW	430.	430.
MERCK & CO INC 2.800% 5/18/23	918.	918.
METROPOLITAN ATLANTA 5.000% 7/01/18	1,551.	
MICROSOFT CORP	27,205.	27,205.
MICROSOFT CORP 3.125% 11/03/25	838.	838.
MID AMERICA APARTMENT COM	1,922.	1,922.
MINERALS TECHNOLOGIES INC COM	47.	47.
MITSUBISHI UFJ FINL GROUP INC ADR	672.	672.
MONDELEZ INTERNATIONAL INC	4,412.	882.
MONSANTO CO NEW	2,810.	2,810.
MORGAN STANLEY	34.	34.
MORGAN STANLEY 3.875% 4/29/24	835.	835.
NRG ENERGY INC 7.625% 1/15/18	126.	126.
NRG ENERGY INC 6.625% 3/15/23	206.	206.
NTT DOCOMO, INC. - ADR	625.	625.
NATIONAL GRID PLC ADR	507.	507.
NATIONAL RETAIL PPTYS INC	1,515.	1,289.
NATIONSTAR MORT/CAP 9.625% 5/01/19	333.	333.
NATIONSTAR MORT/CAP 6.500% 7/01/21	120.	120.
NATIONSTAR MORT/CAP 6.500% 8/01/18	70.	70.
NESTLE S.A. REGISTERED SHARES - ADR	1,689.	1,689.
NEW YORK N Y CITY TR 5.000% 11/01/18	1,604.	
NEXTERA ENERGY INC	324.	324.
NIKE INC CL B	71.	71.
NOBLE ENERGY INC	220.	220.
NORFOLK SOUTHERN CORP	1,239.	1,239.
NORTHROP GRUMMAN CORP	268.	268.
NOVO NORDISK A/S - ADR	169.	169.
NVIDIA CORP	271.	108.
ORACLE CORPORATION	1,388.	1,388.

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

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DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
ORACLE CORP 2.950% 5/15/25	797.	797.
OPPENHEIMER DEVELOPING MKT-I #799	12,467.	12,467.
ORBITAL ATK INC	76.	76.
OUTFRONT MEDIA INC	2,143.	2,143.
PIMCO FOREIGN BD FD USD H-INST #103	4,636.	4,543.
PNC BANK NA 2.150% 4/29/21	572.	572.
PVH CORP 4.500% 12/15/22	295.	295.
PEPSICO INC 2.250% 1/07/19	533.	533.
PFIZER INC	10,019.	10,019.
PHILIP MORRIS INTERNATIONAL IN	17,610.	17,610.
PHILIP MORRIS INTL 5.650% 5/16/18	1,609.	1,609.
PIEDMONT OFFICE REALTY TRU-A	754.	617.
PIMCO ENHANCED SHORT MATURITY	2,289.	2,289.
PINNACLE FOODS INC	115.	115.
POLARIS INDS INC COM	333.	333.
POOL CORPORATION	203.	203.
POST HOLDINGS INC 7.375% 2/15/22	170.	170.
PRICESMART INC	96.	75.
PRIMERICA INC	468.	468.
PRINCIPAL FINANCIAL 3.125% 5/15/23	245.	245.
PROCTER & GAMBLE CO	21,493.	21,493.
PROLOGIS INC	1,391.	1,391.
PRUDENTIAL FINL INC	545.	545.
PRUDENTIAL PLC - ADR	359.	359.
PUBLIC SVC ENTERPRISE GROUP INC	292.	292.
PUBLIC STORAGE INC COM	737.	737.
PULTEGROUP INC 5.500% 3/01/26	187.	187.
QBE INSURANCE GROUP-SPN ADR	448.	448.
QUALCOMM INC	9,581.	9,581.
RLI CORP COM	932.	932.
RLJ LODGING TRUST	1,267.	1,267.
RADIAN GROUP INC 5.500% 6/01/19	240.	240.
RADIAN GROUP INC 7.000% 3/15/21	191.	191.

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

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DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
RAMCO-GERSHENSON PPTYS TR COM	805.	805.
RANGE RESOURCES CORP 5.000% 3/15/23	101.	101.
RECKITT BENCKIS/S ADR	637.	637.
REINSURANCE GROUP AMER CLASS A NEW	106.	106.
RELX NV ADR	445.	445.
REPUBLIC SERVICES INC CL A COMM	900.	900.
RICE ENERGY INC 6.250% 5/01/22	210.	210.
RIO TINTO PLC - ADR	350.	350.
RITE AID CORP 6.750% 6/15/21	307.	307.
ROYAL BANK OF CANADA 1.625% 4/15/19	390.	390.
ROYAL CARIBBEAN CRUI 5.250% 11/15/22	254.	254.
ROYAL DUTCH SHELL PLC ADR	1,807.	1,807.
ROYAL DUTCH SHELL PLC ADR	9,968.	9,968.
RYDER SYSTEM INC 2.500% 3/01/17	823.	823.
SBA TELECOMMUNICATIO 5.750% 7/15/20	133.	133.
S&P GLOBAL INC	81.	81.
SLM CORP 5.500% 1/15/19	108.	108.
SPDR S & P 500 ETF TRUST	10,299.	10,299.
SABINE PASS LIQUEFAC 5.625% 3/01/25	204.	204.
SABRA HEALTH CARE REIT -	1,494.	629.
VR SACRAMENTO CNTY 0.975% 6/01/34	10,429.	
SALLY HOLDINGS/SALLY 5.750% 6/01/22	341.	341.
SALT RIVER PROJ ARIZ 5.000% 12/01/18	1,445.	
SANOFI-ADR	916.	916.
SAP SE	316.	316.
SCHLUMBERGER LTD	3,751.	3,751.
SCHNEIDER ELECTRIC SE - ADR	428.	428.
SCHWAB CHARLES CORP NEW	494.	494.
AMEX ENERGY SELECT SPDR	1,778.	1,778.
SERVISFIRST BANCSHARES INC	38.	38.
SEVEN & I HOLDINGS CO., LTD ADR	199.	199.
SHIRE PLC ADR	10.	10.
SIMON PROPERTY GROUP INC	3,940.	3,940.

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

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DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
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SINGAPORE TELECOMMUNICATIONS LTD ADR	804.	804.
SKYWORKS SOLUTIONS INC	35.	35.
SMUCKER (J.M.) CO 3.500% 10/15/21	826.	826.
SOFTBANK GROUP CORP-UNSPON ADR	80.	80.
SOUTHERN CALIF PUB 5.000% 7/01/23	1,399.	
SOUTHWEST AIRLINES CO	45.	45.
SOUTHWESTERN ENERGY 4.050% 1/23/20	86.	86.
SPRINGLEAF FINANCE 8.250% 12/15/20	223.	223.
SPRINT CAP CORP 6.875% 11/15/28	23.	23.
SPRINT CAP CORP 6.900% 5/01/19	109.	109.
SPRINT NEXTEL CORP 11.500% 11/15/21	267.	267.
SPRINT NEXTEL CORP 6.000% 11/15/22	185.	185.
STAG INDUSTRIAL INC	1,010.	686.
STANDARD PACIFIC COR 8.375% 5/15/18	411.	411.
STANLEY BLACK & DECKER, INC.	187.	187.
STARBUCKS CORP COM	234.	234.
STATE STREET CORP 2.650% 5/19/26	225.	225.
STEEL DYNAMICS INC 5.250% 4/15/23	247.	247.
SUMITOMO TRUST AND BANKING ADR	976.	976.
SUNCOR ENERGY INC NEW F	330.	330.
SUNTRUST BANKS INC	396.	396.
SUNTRUST BANKS INC 2.900% 3/03/21	761.	761.
SYMRISE AG ADR	134.	134.
SYNGENTA AG - ADR	363.	363.
SYSCO CORP	125.	125.
TJX COMPANIES INC	269.	269.
T-MOBILE USA INC 6.542% 4/28/20	106.	106.
T-MOBILE USA INC 6.125% 1/15/22	93.	93.
TAIWAN SEMICONDUCTOR MANUFACTU - ADR	1,103.	1,103.
TAKEDA PHARMACEUTIC-SP ADR	1,421.	1,421.
TAMPA FLA 5.000% 11/15/16	4,630.	
TANGER FACTORY OUTLET CTR	126.	126.
TARGA RESOURCES PART 5.250% 5/01/23	27.	27.

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SCHUMANN, ROBERT F FDN-MAIN

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

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DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
TARGET CORP	2,922.	2,922.
TECHNIP - ADR	326.	326.
TELEFONICA SA - ADR	2,167.	2,167.
TELENOR ASA - ADR	365.	365.
TELIA CO-UNSPON ADR	1,813.	1,813.
TELSTRA CORP-ADR	492.	492.
TEMPUR SEALY INTL IN 5.500% 6/15/26	91.	91.
TENARIS S.A. - ADR	214.	214.
TENET HEALTHCARE COR 4.750% 6/01/20	302.	302.
TENET HEALTHCARE COR 8.125% 4/01/22	424.	424.
TERADYNE INC	29.	29.
TESORO LOGISTICS LP/ 6.125% 10/15/21	680.	680.
3M CO	1,770.	1,770.
TIFFANY & CO NEW	960.	960.
TIME WARNER INC	172.	172.
TIME WARNER CABLE INC	563.	563.
TOKIO MARINE HOLDINGS INC ADR	1,413.	1,413.
TOKYO ELECTRON LTD-UNSP ADR	412.	412.
TORO CO	284.	284.
TORONTO DOMINION BK ONT COM NEW	376.	376.
TOTAL S.A. - ADR	1,038.	1,038.
TOYOTA MOTOR CORPORATION - ADR	469.	469.
TRANSDIGM INC 5.500% 10/15/20	233.	233.
E-TRACS ALERIAN MLP INFRASTRUCTURE	19,578.	19,578.
UGI CORP NEW COM	97.	97.
US BANCORP	18,214.	18,214.
UNILEVER PLC - ADR	916.	916.
UNILEVER N.V. - ADR	392.	392.
UNION PACIFIC CORP	992.	992.
UNITED OVERSEAS BANK LIMITED - ADR	826.	826.
UNITED RENTALS NORTH 5.750% 11/15/24	347.	347.
US TREASURY NOTE 1.000% 3/15/18	389.	389.
US TREASURY NOTE 1.000% 9/15/18	448.	448.

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

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DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----		-----	-----
US TREASURY NOTE	1.750% 9/30/22	1,042.	1,042.
US TREASURY NOTE	2.250% 11/15/25	1,272.	1,272.
US TREASURY NOTE	1.500% 2/28/23	543.	543.
US TREASURY NOTE	1.125% 2/28/21	407.	407.
US TREASURY NOTE	1.625% 5/15/26	829.	829.
US TREASURY NOTE	0.875% 5/15/19	214.	214.
US TREASURY NOTE	2.125% 8/31/20	591.	591.
US TREASURY NOTE	2.750% 11/15/23	883.	883.
US TREASURY NOTE	1.750% 4/30/22	861.	861.
UNITED TECHNOLOGIES CORP		3,734.	3,734.
UNITEDHEALTH GROUP INC		2,872.	2,872.
UNITEDHEALTH GROUP	3.350% 7/15/22	309.	309.
UNIV OF ILLINOIS IL	5.250% 10/01/24	5,666.	6,099.
UNIV OF ILLINOIS IL	5.250% 10/01/24	2,440.	2,826.
VALERO ENERGY CORP		523.	523.
VANGUARD FTSE EMERGING MARKETS ETF		9,269.	9,269.
VENTAS INC COM		1,571.	1,571.
VENTAS REALTY LP/CAP	2.700% 4/01/20	503.	503.
VANGUARD REIT VIPER		9,520.	6,564.
VEREIT OPERATING PAR	4.875% 6/01/26	422.	422.
VERISIGN INC	4.625% 5/01/23	249.	249.
VERIZON COMMUNICATIONS		17,197.	17,197.
VERIZON COMMUNICATIO	5.150% 9/15/23	1,327.	1,327.
VISA INC-CLASS A SHRS		679.	679.
VISA INC	3.150% 12/14/25	1,033.	1,033.
VODAFONE GROUP PLC-SP ADR		358.	358.
VODAFONE GROUP PLC	1.500% 2/19/18	494.	494.
WPP PLC NEW ADR		655.	655.
WESTERN REFNG INC		130.	130.
WESTPAC BANKING CORPORATION - ADR		256.	256.
WESTPAC BANKING CORP	2.600% 11/23/20	743.	743.
WHITING PETROLEUM CO	5.000% 3/15/19	12.	12.
WILLIAMS SONOMA INC		171.	171.

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SCHUMANN, ROBERT F FDN-MAIN

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

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DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
WISCONSIN ST HLTH & 4.500% 8/15/17	8,435.	9,188.
WOLSELEY PLC ADR	154.	154.
ZAYO GROUP LLC/ZAYO 6.000% 4/01/23	231.	231.
ZURICH INSURANCE GROUP-ADR	1,740.	1,740.
ACCENTURE PLC	770.	770.
COCA-COLA EUROPEAN PARTNERS	55.	55.
EATON CORP PLC	3,675.	
XL GROUP PLC	2,600.	2,600.
UBS GROUP AG	716.	716.
CORE LABORATORIES N V COM	423.	423.
LYONDELLBASELL INDU-CL A	345.	345.
	-----	-----
TOTAL	887,230.	807,649.
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SCHUMANN, ROBERT F FDN-MAIN

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FORM 990PF, PART I - OTHER INCOME
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DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
INVESTMENT FEE REBATE	3,193.	3,193.
	-----	-----
TOTALS	3,193.	3,193.
	=====	=====

SCHUMANN, ROBERT F FDN-MAIN

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FORM 990PF, PART I - ACCOUNTING FEES
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DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE (NON-ALLOC	2,200.			2,200.
	-----	-----	-----	-----
TOTALS	2,200.	NONE	NONE	2,200.
	=====	=====	=====	=====

SCHUMANN, ROBERT F FDN-MAIN

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FORM 990PF, PART I - OTHER PROFESSIONAL FEES

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DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
INVESTMENT MGMT FEES-SUBJECT T	9,443.	9,443.
	-----	-----
TOTALS	9,443.	9,443.
	=====	=====

SCHUMANN, ROBERT F FDN-MAIN

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FORM 990PF, PART I - TAXES

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DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	9,733.	9,733.
FEDERAL TAX PAYMENT - PRIOR YE	80.	
FEDERAL ESTIMATES - PRINCIPAL	254,489.	
FOREIGN TAXES ON QUALIFIED FOR	2,951.	2,951.
FOREIGN TAXES ON NONQUALIFIED	833.	833.
	-----	-----
TOTALS	268,086.	13,517.
	=====	=====

SCHUMANN, ROBERT F FDN-MAIN

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FORM 990PF, PART I - OTHER EXPENSES

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DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
ADR FEES	1,836.	1,836.
TOTALS	----- 1,836. =====	----- 1,836. =====

SCHUMANN, ROBERT F FDN-MAIN

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FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
176553FX8 CITIZENS PPTY INS CO	52,023.		
20772G3R7 CONNECTICUT ST	127,811.		
20772GPY8 CONNECTICUT ST	50,996.		
20772GSF6 CONNECTICUT ST	120,558.		
20772GXH6 CONNECTICUT ST	100,746.		
20772GYE2 CONNECTICUT ST	75,711.		
20772GYR3 CONNECTICUT ST	145,754.		
207758FE8 CONNECTICUT ST SPL	85,152.		
25477GDR3 DIST OF COLUMBIA INC	78,600.		
591745ZR8 METROPOLITAN ATLANTA	51,887.		
64971QCR2 NEW YORK N Y CITY TR	53,884.		
78615RCU5 VR SACRAMENTO CNTY	39,411.		
79575DG79 SALT RIVER PROJ ARIZ	70,266.		
84247PDR9 SOUTHERN CALIF PUB	56,390.	108,676.	110,207.
87515EAN4 TAMPA FLA	66,033.		
914325CL7 UNIV OF ILLINOIS IL	106,286.		
914325CU7 UNIV OF ILLINOIS IL	46,591.		
97710BCC4 WISCONSIN ST HLTH &	152,108.		
3135G0ZR7 FED NATL MTG ASSN		105,590.	100,968.
3137EADR7 FED HOME LN MTG CORP		50,341.	49,613.
3138X0AW8 FNMA POOL #AU0920		78,441.	77,042.
912828J68 US TREASURY NOTE		215,927.	215,101.
912828L40 US TREASURY NOTE		200,753.	199,578.
912828L57 US TREASURY NOTE		152,012.	147,159.
912828P79 US TREASURY NOTE		99,828.	96,180.
912828P87 US TREASURY NOTE		99,617.	97,301.
912828R36 US TREASURY NOTE		49,152.	46,625.
912828R44 US TREASURY NOTE		49,799.	49,522.
912828T83 US TREASURY NOTE		119,405.	119,137.

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SCHUMANN, ROBERT F FDN-MAIN

45-4757108

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
912828VV9 US TREASURY NOTE		212,962.	208,315.
912828WE6 US TREASURY NOTE		103,113.	98,132.
912828WZ9 US TREASURY NOTE		101,395.	98,613.
TOTALS	----- 1,480,207. =====	----- 1,747,011. =====	----- 1,713,493. =====

SCHUMANN, ROBERT F FDN-MAIN

45-4757108

FORM 990PF, PART II - CORPORATE STOCK

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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
02079K107 ALPHABET INC CL C	38,668.	146,970.	262,419.
02079K305 ALPHABET INC CL A	38,898.	70,145.	69,736.
02209S103 ALTRIA GROUP INC	143,475.		
03027X100 AMERICAN TOWER CORP	38,136.	118,209.	118,045.
031162100 AMGEN INC	158,716.		
037833100 APPLE INC	140,906.	325,737.	444,980.
053015103 AUTOMATIC DATA PROCE	114,223.		
084670108 BERKSHIRE HATHAWAY I	115,986.	115,986.	244,121.
09247X101 BLACKROCK INC	34,292.	72,455.	152,216.
110122108 BRISTOL MYERS SQUIBB	81,034.	55,954.	57,096.
126408103 CSX CORP	222,726.		
149123101 CATERPILLAR INC	18,813.		
156782104 CERNER CORP COM	101,499.		
166764100 CHEVRON CORP	25,844.	66,332.	76,858.
17275R102 CISCO SYSTEMS INC	234,500.	144,833.	217,644.
20030N101 COMCAST CORP CLASS A	54,456.	108,912.	331,440.
200340107 COMERICA INC	153,635.		
235851102 DANAHER CORP	27,846.		
254687106 WALT DISNEY CO	10,917.	21,833.	62,532.
260543103 DOW CHEMICAL CO	11,934.	23,862.	45,776.
268648102 E M C CORP MASS	407,925.		
278865100 ECOLAB INC	33,375.		
291011104 EMERSON ELECTRIC CO	87,644.		
30303M102 FACEBOOK INC	21,268.	117,972.	110,448.
31428X106 FEDEX CORPORATION	18,668.	40,461.	41,709.
369604103 GENERAL ELECTRIC CO	509,127.		
375558103 GILEAD SCIENCES INC	79,527.	34,627.	104,909.
38141G104 GOLDMAN SACHS GROUP	51,418.	47,876.	60,341.
404280406 HSBC - ADR	253,428.		

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SCHUMANN, ROBERT F FDN-MAIN

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FORM 990PF, PART II - CORPORATE STOCK

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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
406216101 HALLIBURTON CO	40,159.	39,235.	43,867.
40650V100 HALYARD HEALTH INC	4,399.		
437076102 HOME DEPOT INC	44,352.	49,941.	102,035.
45104G104 ICICI BANK LTD. - AD	42,799.		
459200101 INTERNATIONAL BUSINE	394,492.		
461202103 INTUIT COM	425,240.		
46625H100 JPMORGAN CHASE & CO	36,179.	118,597.	243,942.
478160104 JOHNSON & JOHNSON	384,030.	82,592.	139,519.
482480100 KLA-TENCOR CORP COM	40,055.		
494368103 KIMBERLY CLARK CORP	101,810.		
55261F104 M & T BANK CORPORATI	377,790.		
571748102 MARSH & MCLENNAN COS	30,705.		
594918104 MICROSOFT CORP	279,718.	245,367.	450,080.
609207105 MONDELEZ INTERNATIONAL	122,322.		
61166W101 MONSANTO CO NEW	52,232.		
655044105 NOBLE ENERGY INC	52,941.		
655844108 NORFOLK SOUTHERN COR	23,164.		
68389X105 ORACLE CORPORATION	55,379.		
717081103 PFIZER INC	142,310.	27,383.	27,608.
718172109 PHILIP MORRIS INTERN	224,955.	146,424.	171,086.
742718109 PROCTER & GAMBLE CO	323,250.	130,608.	164,124.
747525103 QUALCOMM INC	244,958.	83,104.	82,152.
760759100 REPUBLIC SERVICES IN	26,889.		
780259206 ROYAL DUTCH SHELL PL	118,321.	11,831.	13,051.
79466L302 SALESFORCE COM INC	61,083.	58,125.	55,453.
806857108 SCHLUMBERGER LTD	90,993.	137,445.	156,987.
808513105 SCHWAB CHARLES CORP	22,610.		
812578102 SEATTLE GENETICS INC	57,086.		
81369Y506 AMEX ENERGY SELECT S	80,079.		

SCHUMANN, ROBERT F FDN-MAIN

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FORM 990PF, PART II - CORPORATE STOCK

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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
87612E106 TARGET CORP	31,890.	64,185.	86,676.
88579Y101 3M CO	18,269.	19,893.	43,750.
886547108 TIFFANY & CO NEW	52,634.		
88732J207 TIME WARNER CABLE IN	15,420.		
902973304 US BANCORP	467,640.	49,752.	98,374.
907818108 UNION PACIFIC CORP	11,123.	22,245.	45,619.
913017109 UNITED TECHNOLOGIES	53,596.	107,074.	156,209.
91324P102 UNITEDHEALTH GROUP I	26,820.	53,640.	176,044.
92343V104 VERIZON COMMUNICATIO	247,003.	21,449.	30,106.
92826C839 VISA INC-CLASS A SHR	13,349.	98,188.	95,965.
949746101 WELLS FARGO & CO	727,430.		
G0177J108 ALLERGAN PLC	84,180.	41,995.	30,871.
G1151C101 ACCENTURE PLC	19,083.		
G29183103 EATON CORP PLC	51,874.	82,562.	113,047.
G98290102 XL GROUP PLC	134,485.		
000375204 ABB LTD - ADR		42,431.	46,249.
001317205 AIA GROUP LTD-SP ADR		27,683.	26,402.
00206R102 AT & T INC		28,917.	30,919.
002567105 ABAXIS INC		51,616.	58,786.
00687A107 ADIDAS-AG ADR		52,927.	50,586.
00724F101 ADOBE SYS INC		58,686.	58,476.
008252108 AFFILIATED MANAGERS		40,948.	38,940.
00888Y508 INV BALANCE RISK COM		775,000.	777,723.
010199305 AKZO NOBEL N.V. - AD		36,611.	35,128.
012653101 ALBEMARLE CORP COM		18,295.	18,249.
015351109 ALEXION PHARMACEUTIC		43,634.	43,557.
01609W102 ALIBABA GROUP HOLDIN		128,762.	120,475.
018805101 ALLIANZ SE ADR		27,481.	30,340.
02263T104 AMADEUS IT GROUP, S.		18,466.	18,155.

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FORM 990PF, PART II - CORPORATE STOCK

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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ----
023135106 AMAZON COM INC COM		175,421.	170,220.
02319V103 AMBEV SA-SPN ADR		7,855.	6,304.
024013104 AMERICAN ASSETS TRUS		74,942.	79,483.
024835100 AMERICAN CAMPUS CMNT		48,156.	50,069.
02553E106 AMERICAN EAGLE OUTFI		45,085.	38,972.
03073E105 AMERISOURCEBERGEN CO		43,349.	41,597.
03748R101 APARTMENT INVT & MGM		105,749.	113,852.
038336103 APTARGROUP INC COM		24,508.	23,357.
04316A108 ARTISAN PARTNERS ASS		36,718.	39,925.
045327103 ASPEN TECHNOLOGY INC		41,492.	56,922.
05278C107 AUTOHOME INC-ADR		62,439.	62,492.
053484101 AVALONBAY CMNTYS INC		48,516.	47,299.
054536107 AXA - ADR		12,862.	13,734.
055262505 BASF AKTIENGESELLSCH		18,383.	22,217.
05545E209 BHP BILLITON PLC ADR		10,794.	14,881.
055622104 BP PLC - ADR		79,781.	91,506.
05565A202 BNP PARIBAS - ADR		30,386.	40,227.
05577E101 BT GROUP PLC - ADR		11,140.	9,396.
05964H105 BANCO SANTANDER CEN-		19,173.	23,351.
064149107 BANK N S HALIFAX		12,967.	14,755.
06738E204 BARCLAYS PLC - ADR		20,842.	27,313.
072730302 BAYER AG - ADR		47,072.	46,717.
081437105 BEMIS INC		9,696.	9,038.
089302103 BIG LOTS INC		50,138.	47,398.
09061G101 BIOMARIN PHARMACEUTI		36,465.	33,799.
097023105 BOEING CO		121,138.	125,478.
099724106 BORG WARNER INC.		51,589.	55,886.
101121101 BOSTON PROPERTIES IN		51,346.	51,696.
105105209 BRAMBLES LTD-SPONSOR		29,653.	28,403.

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SCHUMANN, ROBERT F FDN-MAIN

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FORM 990PF, PART II - CORPORATE STOCK

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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
110448107 BRITISH AMERICAN TOB		18,430.	17,239.
12514G108 CDW CORP/DE		60,780.	75,374.
126650100 CVS HEALTH CORPORATI		12,966.	13,178.
138006309 CANON INC - ADR		33,791.	32,727.
151020104 CELGENE CORP COM		84,740.	91,558.
16119P108 CCH I LLC		29,406.	30,520.
163086101 CHEFS' WAREHOUSE HOL		8,163.	6,920.
165240102 CHESAPEAKE LODGING T		29,734.	31,032.
16941M109 DPS CHINA MOBILE LIM		28,398.	25,743.
172755100 CIRRUS LOGIC INC		51,394.	53,996.
172967424 CITIGROUP INC.		78,646.	77,259.
191216100 COCA COLA CO		9,102.	8,582.
19625X102 COLONY STARWOOD HOME		45,785.	47,421.
20449X302 COMPASS GROUP PLC -		19,831.	20,361.
20605P101 CONCHO RESOURCES INC		35,769.	35,272.
21036P108 CONSTELLATION BRANDS		19,970.	18,704.
212485106 CONVERGYS CORP		47,831.	44,920.
217204106 COPART INC COM		54,087.	64,941.
21870Q105 CORESITE REALTY CORP		86,953.	95,561.
22002T108 CORPORATE OFFICE PRO		47,059.	51,482.
22160K105 COSTCO WHOLESALE COR		38,399.	40,188.
22822V101 CROWN CASTLE INTL CO		111,943.	107,334.
233326107 DST SYS INC COM		46,143.	42,539.
23381B106 DAIKIN INDUSTRIES-UN		32,289.	36,686.
233825108 DAIMLER AG- SPN ADR		26,058.	28,625.
23636T100 DANONE ADR		29,479.	25,714.
248019101 DELUXE CORP		57,125.	57,932.
251542106 DEUTSCHE BOERSE AG A		9,338.	8,773.
251566105 DEUTSCHE TELEKOM AG		35,119.	34,730.

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FORM 990PF, PART II - CORPORATE STOCK

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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
25243Q205 DIAGEO PLC - ADR		9,755.	9,147.
25746U109 DOMINION RES INC VA		10,574.	10,952.
257651109 DONALDSON CO INC		27,335.	33,706.
262037104 DRIL-QUIP INC COM		47,201.	48,520.
26441C204 DUKE ENERGY HOLDING		8,596.	8,461.
26874R108 ENI SPA - ADR		60,069.	65,189.
26875P101 EOG RESOURCES, INC		13,759.	13,143.
26884U109 EPR PROPERTIES		70,056.	70,837.
277923728 EATON VANCE GLOBAL M		487,000.	489,073.
29265W207 ENEL SOCIETA PER AZI		19,449.	20,875.
29286D105 ENGIE-SPON ADR		22,523.	18,078.
29444U700 EQUINIX INC		92,543.	96,501.
30212P303 EXPEDIA INC		10,590.	9,969.
30214U102 EXPONENT INC		24,663.	29,246.
30225T102 EXTRA SPACE STORAGE		119,244.	104,737.
30231G102 EXXON MOBIL CORPORAT		30,169.	32,133.
30249U101 FMC TECHNOLOGIES INC		23,928.	27,998.
303075105 FACTSET RESH SYS INC		49,200.	49,683.
314211103 FEDERATED INVESTORS		36,726.	34,388.
315616102 F5 NETWORKS INC		50,635.	55,283.
32054K103 FIRST INDL RLTY TR I		45,528.	51,528.
33610F109 FIRST POTOMAC RLTY T		36,003.	43,683.
339041105 FLEETCOR TECHNOLOGIE		38,261.	33,823.
344849104 FOOT LOCKER INC		42,306.	42,321.
359694106 FULLER H B CO		60,063.	60,677.
361592108 GEA GROUP AG ADR		30,120.	25,915.
370023103 GENERAL GROWTH PROPE		113,506.	97,397.
37733W105 GLAXOSMITHKLINE PLC		56,566.	51,834.
379577208 GLOBUS MEDICAL INC -		27,696.	29,425.

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SCHUMANN, ROBERT F FDN-MAIN

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FORM 990PF, PART II - CORPORATE STOCK

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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
384109104 GRACO INC		40,650.	43,456.
40414L109 HCP INC		70,610.	60,985.
40415F101 HDFC BANK LTD. - ADR		30,488.	28,702.
40418F108 HFF INC		33,105.	36,119.
426281101 HENRY JACK & ASSOC I		31,456.	33,381.
433578507 HITACHI LIMITED - AD		11,481.	13,716.
438128308 HONDA MOTOR CO., LTD		40,055.	42,968.
44107P104 HOST HOTELS & RESORT		45,405.	52,262.
443320106 HUB GROUP INC		51,486.	53,113.
44967H101 ILG INC		57,648.	57,890.
450737101 IBERDROLA S.A.ADA AD		48,664.	47,712.
452327109 ILLUMINA INC		17,411.	12,804.
457187102 INGREDION INC		77,451.	75,226.
458140100 INTEL CORP		18,103.	20,311.
458334109 INTER PARFUMS INC		51,940.	49,944.
46115H107 INTESA SANPAOLO-SPON		23,005.	27,048.
464287168 ISHARES SELECT DIVID	2,127,069.		2,177,051.
464287499 ISHARES RUSSELL MID-	828,325.		870,154.
464287655 ISHARES RUSSELL 2000	373,476.		444,331.
48241F104 KBC GROEP NV-UNSP AD	17,342.		20,452.
485537302 KAO CORP ADR	18,436.		16,204.
48667L106 KDDI CORP-UNSPONSORE	23,511.		20,721.
49427F108 KILROY REALTY CORP C	47,177.		47,739.
49446R109 KIMCO RLTY CORP	132,342.		115,082.
495724403 KINGFISHER PLC - ADR	13,268.		12,577.
500467501 KONINKLIJKE AHOLD-SP	48,091.		43,009.
500472303 PHILIPS ELECTRONICS	18,164.		19,993.
501173207 KUBOTA LIMITED - ADR	27,373.		27,231.
502117203 L'OREAL - ADR	23,947.		23,448.

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SCHUMANN, ROBERT F FDN-MAIN

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FORM 990PF, PART II - CORPORATE STOCK

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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
502441306 LVMH MOET HENNESSY U		41,518.	46,316.
50540R409 LABORATORY CRP OF AM		46,634.	44,805.
517834107 LAS VEGAS SANDS CORP		13,328.	13,566.
517942108 LASALLE HOTEL PROPER		19,960.	24,589.
526107107 LENNOX INTERNATIONAL		32,071.	30,787.
532457108 ELI LILLY & CO COM		10,738.	10,812.
535223200 LINDE AG ADR		25,966.	28,616.
536797103 LITHIA MOTORS INC CL		54,803.	55,677.
539439109 LLOYDS BANKING GROUP		69,397.	72,528.
539830109 LOCKHEED MARTIN CORP		16,940.	18,246.
553573106 MSG NETWORKS INC		52,123.	62,049.
562750109 MANHATTAN ASSOCIATES		25,022.	24,129.
56418H100 MANPOWERGROUP INC		33,928.	37,503.
57060D108 MARKETAXESS HLDGS IN		42,084.	43,782.
571903202 MARRIOTT INTERNATIONAL		49,385.	56,388.
57636Q104 MASTERCARD INC		81,525.	83,942.
58463J304 MEDICAL PPTYS TR INC		92,526.	87,601.
58933Y105 MERCK & CO INC NEW		18,755.	19,250.
592688105 METTLER-TOLEDO INTL		22,949.	23,439.
594972408 MICROSTRATEGY INC CL		63,507.	62,181.
59522J103 MID AMERICA APARTMEN		114,157.	111,629.
603158106 MINERALS TECHNOLOGIE		60,038.	64,195.
606822104 MITSUBISHI UFJ FINL		21,838.	28,293.
61174X109 MONSTER BEVERAGE COR		40,055.	37,911.
62942M201 NTT DOCOMO, INC. - A		35,942.	32,260.
62944T105 NVR INC COM		35,292.	35,049.
636274300 NATIONAL GRID PLC AD		36,724.	30,507.
637417106 NATIONAL RETAIL PPTY		72,145.	66,963.
641069406 NESTLE S.A. REGISTER		112,940.	106,606.

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SCHUMANN, ROBERT F FDN-MAIN

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FORM 990PF, PART II - CORPORATE STOCK

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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
64110L106 NETFLIX INC		51,966.	58,805.
65339F101 NEXTERA ENERGY INC		16,761.	16,485.
654106103 NIKE INC CL B		20,351.	19,163.
66987V109 NOVARTIS AG - ADR		109,536.	102,195.
67066G104 NVIDIA CORP		62,700.	94,038.
670837103 OGE ENERGY CORP COM		36,474.	37,966.
67103H107 O'REILLY AUTOMOTIVE		49,377.	49,835.
679580100 OLD DOMINION FREIGHT		52,240.	63,828.
683974604 OPPENHEIMER DEVELOPI	1,400,000.		1,396,647.
69007J106 OUTFRONT MEDIA INC		55,431.	62,299.
697435105 PALO ALTO NETWORKS I		36,047.	30,262.
701094104 PARKER HANNIFIN CORP		27,758.	27,300.
720190206 PIEDMONT OFFICE REAL		48,614.	49,557.
731068102 POLARIS INDS INC COM		36,372.	39,300.
73278L105 POOL CORPORATION		21,013.	25,668.
741503403 THE PRICELINE GROUP		52,999.	52,778.
741511109 PRICESMART INC		37,008.	36,740.
74164M108 PRIMERICA INC		101,838.	125,715.
74340W103 PROLOGIS INC		125,470.	142,480.
74435K204 PRUDENTIAL PLC - ADR		13,403.	14,165.
744573106 PUBLIC SVC ENTERPRIS		10,624.	10,575.
74460D109 PUBLIC STORAGE INC C		39,423.	34,866.
74728G605 QBE INSURANCE GROUP-		21,963.	24,936.
74925K581 BOSTON P LNG/SHRT RE	1,033,056.		1,088,924.
749607107 RLI CORP COM		24,764.	23,484.
74965L101 RLJ LODGING TRUST		40,241.	44,988.
74975E303 RWE AG - ADR		25,551.	21,575.
751452202 RAMCO-GERSHENSON PPT		55,597.	46,938.
75524B104 RBC BEARINGS INC		31,795.	40,372.

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SCHUMANN, ROBERT F FDN-MAIN

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FORM 990PF, PART II - CORPORATE STOCK

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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
756255204 RECKITT BENCKIS/S AD		39,982.	34,490.
756577102 RED HAT INC		37,472.	33,595.
75886F107 REGENERON PHARMACEUT		41,492.	39,646.
75955B102 RELX NV ADR		32,836.	31,526.
767204100 RIO TINTO PLC - ADR		30,926.	39,383.
771195104 ROCHE HOLDINGS LTD -		64,383.	58,059.
780259107 ROYAL DUTCH SHELL PL		39,508.	44,985.
78409V104 S&P GLOBAL INC		24,894.	22,906.
78462F103 SPDR S & P 500 ETF T		1,751,499.	1,732,358.
78573L106 SABRA HEALTH CARE RE		30,368.	34,530.
80105N105 SANOFI-ADR		57,936.	58,517.
803054204 SAP SE ADR		42,829.	47,277.
80687P106 SCHNEIDER ELECTRIC S		29,646.	32,826.
81616X103 SELECT COMFORT CORPO		48,299.	46,484.
81619Q105 SELECT MEDICAL HOLDI		30,139.	32,449.
81768T108 SERVISFIRST BANCShar		22,661.	27,706.
81783H105 SEVEN & I HOLDINGS C		17,828.	15,794.
82481R106 SHIRE PLC ADR		68,688.	64,233.
825690100 SHUTTERSTOCK INC		66,932.	70,425.
826197501 SIEMENS AG - SPONSOR		26,863.	30,238.
828806109 SIMON PROPERTY GROUP		198,462.	170,563.
82929R304 SINGAPORE TELECOMMUN		36,664.	30,651.
83084V106 SKY PLC-SPN ADR		7,967.	8,824.
83404D109 SOFTBANK GROUP CORP-		14,211.	16,614.
848577102 SPIRIT AIRLINES INC		25,154.	29,161.
848637104 SPLUNK INC		30,716.	27,928.
85254J102 STAG INDUSTRIAL INC		28,791.	32,225.
855244109 STARBUCKS CORP COM		53,067.	52,633.
86562M209 SUMITOMO TRUST AND B		32,570.	39,293.

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STATEMENT 34

SCHUMANN, ROBERT F FDN-MAIN

45-4757108

FORM 990PF, PART II - CORPORATE STOCK

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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
867224107 SUNCOR ENERGY INC NE		7,320.	9,088.
87155N109 SYMRISE AG ADR		25,421.	22,482.
87157D109 SYNAPTICS INC		18,207.	17,467.
87160A100 SYNGENTA AG - ADR		31,664.	31,699.
872540109 TJX COMPANIES INC		32,588.	32,456.
874039100 TAIWAN SEMICONDUCTOR		72,162.	79,149.
874060205 TAKEDA PHARMACEUTIC-		48,503.	44,968.
875465106 TANGER FACTORY OUTLE		26,902.	26,692.
878546209 TECHNIP - ADR		21,049.	26,748.
87873R101 TECHTRONIC INDUSTRIE		14,440.	14,088.
879360105 TELEDYNE TECHNOLOGIE		52,673.	67,404.
879382208 TELEFONICA SA - ADR		32,714.	30,443.
87944W105 TELENOR ASA - ADR		9,227.	8,203.
87960M205 TELIA CO-UNSPON ADR		37,706.	31,751.
87969N204 TELSTRA CORP-ADR		14,839.	13,275.
88031M109 TENARIS S.A. - ADR		8,857.	11,534.
881575302 TESCO PLC - ADR		36,458.	40,713.
88160R101 TESLA MOTORS INC		25,644.	26,925.
887317303 TIME WARNER INC		29,165.	34,365.
889094108 TOKIO MARINE HOLDING		50,425.	58,677.
891092108 TORO CO		21,093.	28,590.
891160509 TORONTO DOMINION BK		16,433.	18,996.
89151E109 TOTAL S.A. - ADR		27,498.	29,410.
892331307 TOYOTA MOTOR CORPORA		16,438.	16,994.
89531P105 TREX COMPANY INC		26,813.	29,173.
902641646 E-TRACS ALERIAN MLP		750,655.	795,480.
90347A100 UBIQUITI NETWORKS IN		52,815.	52,540.
90384S303 ULTA SALON COSMETICS		21,751.	22,435.
904767704 UNILEVER PLC - ADR		48,136.	42,409.

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SCHUMANN, ROBERT F FDN-MAIN

45-4757108

FORM 990PF, PART II - CORPORATE STOCK

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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
904784709 UNILEVER N.V. - ADR		10,895.	10,142.
911271302 UNITED OVERSEAS BANK		40,064.	42,126.
911363109 UNITED RENTAL INC CO		76,433.	87,209.
91307C102 UNITED THERAPEUTICS		50,671.	57,946.
922042858 VANGUARD FTSE EMERGI		644,594.	636,884.
92220P105 VARIAN MED SYS INC		38,775.	37,618.
92276F100 VENTAS INC COM		59,403.	56,518.
92334N103 VEOLIA ENVIRONNEMENT		10,078.	7,782.
92857W308 VODAFONE GROUP PLC-S		28,653.	22,671.
92927K102 WABCO HOLDINGS INC		108,813.	108,804.
92937A102 WPP PLC NEW ADR		30,691.	30,763.
950814103 WESCO AIRCRAFT HOLDI		31,166.	30,483.
969904101 WILLIAMS SONOMA INC		47,427.	42,680.
977868306 WOLSELEY PLC ADR		13,837.	14,556.
98138H101 WORKDAY INC		36,661.	29,741.
989825104 ZURICH INSURANCE GRO		64,417.	72,950.
G25839104 COCA-COLA EUROPEAN P		14,279.	11,869.
H42097107 UBS GROUP AG		27,766.	31,826.
N22717107 CORE LABORATORIES N		26,519.	28,570.
N51488117 MOBILEYE NV		14,243.	13,685.
N53745100 LYONDELLBASELL INDU-		14,045.	14,926.
N6596X109 NXP SEMICONDUCTORS N		18,090.	19,014.
TOTALS	9,083,980.	23,981,743.	26,170,308.

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SCHUMANN, ROBERT F FDN-MAIN

45-4757108

FORM 990PF, PART II - CORPORATE BONDS

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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
46429B655 ISHARES FLOATING RAT	278,451.		
57060U522 MARKET VECTORS J.P.	90,755.		
72201R833 PIMCO ENHANCED SHORT	609,314.		
00101JAK2 ADT CORP		7,603.	7,595.
00130HBN4 AES CORPORATION		12,785.	12,788.
00206RCM2 AT&T INC		50,895.	49,081.
00434NAA3 ACCESS MIDSTREAM PAR		19,813.	20,373.
02005NAE0 ALLY FINANCIAL INC		40,974.	40,770.
02005NAV2 ALLY FINANCIAL INC		20,621.	20,350.
025816AX7 AMERICAN EXPRESS		42,602.	41,194.
02665WAZ4 AMERICAN HONDA FINAN		61,901.	60,310.
030981AJ3 AMERIGAS PART/FIN CO		12,561.	12,180.
03232PAD0 AMSURG CORP		20,450.	20,620.
035242AP1 ANHEUSER-BUSCH INBEV		52,801.	50,760.
03674PAL7 ANTERO RESOURCES FIN		38,218.	39,878.
038522AK4 ARAMARK SERVICES INC		20,607.	20,625.
039483BL5 ARCHER-DANIELS-MIDLA		30,063.	28,338.
05531FAM5 BB&T CORPORATION		50,166.	49,918.
058498AU0 BALL CORP		12,625.	12,540.
06051GEX3 BANK OF AMERICA CORP		40,686.	40,342.
06406HDB2 BANK OF NY MELLON CO		50,405.	50,021.
064159GW0 BANK OF NOVA SCOTIA		61,360.	59,785.
085790AY9 BERRY PLASTICS CORP		20,405.	20,350.
09062XAF0 BIOGEN INC		43,020.	41,182.
095370AD4 BLUE CUBE SPINCO IN		20,236.	20,528.
103304BK6 BOYD GAMING CORP		17,103.	17,200.
1248EPBE2 CCO HLDGS LLC/CAP CO		21,073.	20,900.
12505BAA8 CBRE SERVICES INC		25,890.	25,790.
12505FAD3 CBS OUTDOOR AMERS CA		15,924.	15,638.

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SCHUMANN, ROBERT F FDN-MAIN

45-4757108

FORM 990PF, PART II - CORPORATE BONDS

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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
12513GAZ2 CDW LLC/CDW FINANCE		10,694.	10,575.
12543DAR1 CHS/COMMUNITY HEALTH		2,035.	1,960.
12543DAU4 AI CHS/COMMUNITY HEA		13,715.	12,985.
12623EAF8 CNH CAPITAL LLC		9,053.	9,113.
126304AK0 CSC HOLDINGS INC		20,488.	20,283.
126307AF4 CSC HOLDINGS. LLC		19,213.	19,350.
126650CB4 CVS CAREMARK CORP		51,199.	50,439.
131347CF1 CALPINE CORP		20,613.	20,265.
14042RBT7 CAPITAL ONE NA		65,129.	63,421.
15135BAD3 CENTENE CORP		18,356.	18,180.
163851AB4 CHEMOURS CO		20,691.	20,790.
17275RAH5 CISCO SYSTEMS INC		55,497.	53,587.
172967FT3 CITIGROUP INC		43,756.	42,647.
184496AJ6 CLEAN HARBORS INC		12,303.	12,285.
18451QAM0 CLEAR CHANNEL WORLDW		13,194.	13,293.
191216BS8 COCA-COLA CO/THE		58,104.	54,275.
20605PAC5 CONCHO RESOURCES INC		13,420.	13,451.
20854PAL3 CONSOL ENERGY INC		10,856.	12,740.
21036PAH1 CONSTELLATION BRANDS		20,430.	20,301.
22160KAF2 COSTCO WHOLESALE COR		50,950.	49,947.
228189AB2 CROWN AMER/CAP CORP		20,318.	20,400.
23331ABK4 D.R. HORTON INC		12,548.	12,330.
23918KAP3 DAVITA INC		13,698.	13,585.
23918KAQ1 DAVITA HEALTHCARE PA		13,365.	12,968.
25470XAB1 DISH DBS CORP		19,899.	19,980.
25470XAJ4 DISH DBS CORP		20,273.	21,050.
262028855 DRIEHAUS ACTIVE INCO		425,850.	437,545.
263534CK3 E.I. DU PONT DE NEMO		61,428.	58,976.
26441CAN5 DUKE ENERGY CORP		53,101.	51,562.

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STATEMENT 38

SCHUMANN, ROBERT F FDN-MAIN

45-4757108

FORM 990PF, PART II - CORPORATE BONDS

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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ----
28370TAE9 EL PASO PIPELINE PAR		12,556.	12,777.
29444UAP1 EQUINIX INC		14,838.	14,630.
30219GAH1 EXPRESS SCRIPTS HOLD		50,657.	49,995.
30231GAD4 EXXON MOBIL CORPORAT		50,856.	50,082.
315920702 FID ADV EMER MKTS IN		1,825,000.	1,834,490.
316773CQ1 FIFTH THIRD BANCORP		50,956.	50,433.
345397VU4 FORD MOTOR CREDIT CO		57,470.	55,219.
369604BD4 GENERAL ELECTRIC CO		62,321.	59,989.
375558BB8 GILEAD SCIENCES INC		51,896.	50,527.
38141GGS7 GOLDMAN SACHS GROUP		23,103.	22,484.
382550BC4 GOODYEAR TIRE & RUBB		10,768.	10,550.
38869PAK0 GRAPHIC PACKAGING IN		15,840.	15,750.
404119BM0 HCA INC		13,423.	13,358.
404119BS7 HCA INC		21,000.	20,600.
428040CJ6 HERTZ CORP		3,043.	3,000.
45031UBU4 ISTAR FINANCIAL INC		12,608.	13,049.
45031UBX8 ISTAR FINANCIAL INC		12,929.	13,065.
45031UBY6 ISTAR INC		12,896.	13,390.
451102AX5 ICAHN ENTERPRISES/FI		12,794.	13,276.
459745GF6 INTL LEASE FINANCE		41,106.	40,775.
46284PAP9 IRON MOUNTAIN INC		13,383.	13,358.
46625HKA7 JPMORGAN CHASE & CO		35,255.	34,918.
494580AF0 KINDRED HEALTHCARE		20,295.	19,635.
501889AB5 LKQ CORP		13,217.	12,935.
513075BE0 LAMAR MEDIA CORP		13,625.	13,390.
526057BT0 LENNAR CORP		13,536.	13,423.
526057BV5 LENNAR CORP		13,043.	12,675.
527288BE3 LEUCADIA NATIONAL CO		12,963.	13,767.
527298BH5 LEVEL 3 FINANCING IN		20,436.	20,400.

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STATEMENT 39

SCHUMANN, ROBERT F FDN-MAIN

45-4757108

FORM 990PF, PART II - CORPORATE BONDS

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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
52729NBX7 LEVEL 3 COMMUNICATIO		12,415.	12,330.
552848AF0 MGIC INVESTMENT CORP		28,201.	28,148.
552953BY6 MGM RESORTS INTL		25,109.	25,588.
574599BH8 MASCO CORP		21,227.	20,995.
587118AE0 THE MEN'S WEARHOUSE		9,210.	9,800.
594918BJ2 MICROSOFT CORP		52,977.	50,559.
61746BDQ6 MORGAN STANLEY		41,918.	41,023.
629377BU5 NRG ENERGY INC		19,989.	20,050.
63860UAE0 NATIONSTAR MORT/CAP		10,445.	10,463.
63860UAK6 NATIONSTAR MORT/CAP		10,558.	12,150.
63860UAM2 NATIONSTAR MORT/CAP		12,955.	13,211.
68389XBC8 ORACLE CORP		25,754.	24,507.
693656AA8 PVH CORP		20,513.	20,300.
718172AA7 PHILIP MORRIS INTL		54,672.	52,700.
74251VAH5 PRINCIPAL FINANCIAL		61,414.	59,672.
745867AW1 PULTEGROUP INC		13,494.	12,903.
750236AT8 RADIAN GROUP INC		20,843.	21,138.
762760AB2 RICE ENERGY INC		20,593.	20,550.
767754CG7 RITE AID CORP		13,686.	13,650.
78012KNL7 ROYAL BANK OF CANADA		49,918.	49,724.
780153AU6 ROYAL CARIBBEAN CRUI		17,079.	17,100.
78442FER5 SLM CORP		13,005.	13,488.
785592AM8 SABINE PASS LIQUEFAC		25,540.	26,750.
79546VAJ5 SALLY HOLDINGS/SALLY		11,558.	11,426.
81180WAL5 SEAGATE HDD CAYMAN		20,057.	20,007.
832696AB4 SMUCKER (J.M.) CO		53,299.	51,976.
845467AK5 SOUTHWESTERN ENERGY		11,403.	13,390.
85172FAJ8 SPRINGLEAF FINANCE		20,751.	20,663.
852061AM2 SPRINT NEXTEL CORP		8,283.	9,820.

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SCHUMANN, ROBERT F FDN-MAIN

45-4757108

FORM 990PF, PART II - CORPORATE BONDS

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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
85375CAX9 STANDARD PACIFIC COR		13,343.	13,020.
857477AW3 STATE STREET CORP		60,931.	56,906.
858119AZ3 STEEL DYNAMICS INC		13,377.	13,618.
86614RAG2 SUMMIT MATERIALS LLC		13,284.	13,341.
86765LAC1 SUNOCO LP/FINANCE CO		12,240.	12,240.
87264AAF2 T-MOBILE USA INC		7,230.	7,210.
87264AAH8 T-MOBILE USA INC		13,797.	13,715.
88023UAG6 TEMPUR SEALY INTL IN		20,478.	20,100.
88033GBU3 TENET HEALTHCARE COR		13,393.	13,130.
88160QAD5 TESORO LOGISTICS LP/		14,264.	14,700.
88167AAC5 TEVA PHARMACEUTICALS		59,942.	57,401.
893647AR8 TRANSDIGM INC		10,171.	10,250.
896047AH0 TRIBUNE MEDIA CO		12,126.	12,195.
911365BB9 UNITED RENTALS NORTH		16,431.	16,800.
91324PCN0 UNITEDHEALTH GROUP		42,512.	41,212.
92276MBB0 VENTAS REALTY LP/CAP		50,699.	50,303.
92340LAA7 VEREIT OPERATING PAR		42,149.	41,527.
92343EAF9 VERISIGN INC		13,328.	13,130.
92343VBR4 VERIZON COMMUNICATIO		28,744.	27,644.
92826CAD4 VISA INC		52,461.	50,220.
961214CS0 WESTPAC BANKING CORP		56,424.	55,222.
989194AM7 ZAYO GROUP LLC/ZAYO		20,816.	20,800.
TOTALS	----- 978,520. =====	----- 5,787,782. =====	----- 5,758,044. =====

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SCHUMANN, ROBERT F FDN-MAIN

45-4757108

FORM 990PF, PART II - OTHER INVESTMENTS

=====

DESCRIPTION -----	COST/ FMV C OR F -----	ENDING BOOK VALUE -----	ENDING FMV ---
161571HC1 CHASE ISSUANCE TRUST	C	100,000.	99,036.
46641WAT4 JPMBB COMMERCIAL MO	C	103,742.	102,374.
70299RF16 PARTNERS GROUP PRIV	C	1,000,000.	1,055,708.
GRA994205 GRAHAM ALT INV FD II	C	500,000.	510,119.
GTC997004 GAI CORBIN MULTI STR	C	1,250,000.	1,296,171.
TOTALS		2,953,742.	3,063,408.
		=====	=====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
-----	-----
MF TAX EFFECTIVE DATE AFTER TYE	1,184.
ROUNDING	24.
MUTUAL FUND ADJUUSTMENT	4,808.

TOTAL	6,016.
	=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====DESCRIPTION
-----AMOUNT

MF TAX EFFECTIVE DATE BEFORE TYE

18,480.

ROC

5,768.

ST/LT WASH SALES DISQUALIFIED

47,744.

TOTAL

71,992.
=====

SCHUMANN, ROBERT F FDN-MAIN

45-4757108

FORM 990PF, PART VII-A - NEW SUBSTANTIAL CONTRIBUTORS
=====

NAME AND ADDRESS

R Schumann Marital M Schumann
Robert F Schumann Trust U/A
, ,

STATEMENT 45

SCHUMANN, ROBERT F FDN-MAIN

45-4757108

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF
=====

NAME: Wells Fargo Bank , NA
MAC D4001-065
ADDRESS: 100 NORTH MAIN STREET
WINSTON-SALEM, NC 27101

TELEPHONE NUMBER: (800)352-3705

STATEMENT 46

SCHUMANN, ROBERT F FDN-MAIN

45-4757108

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

Wells Fargo Bank

ADDRESS:

1525 West W T Harris Blvd

Charlotte, NC 28288

TITLE:

Co-Trustee

COMPENSATION 241,288.

COMPENSATION EXPLANATION:

See Attached Footnotes

OFFICER NAME:

Timothy W Crowley

ADDRESS:

PO Box 1089

Madison, CT 06443

TITLE:

Co-Trustee

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 20

COMPENSATION 80,589.

TOTAL COMPENSATION:

321,877.

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STATEMENT 47

Tax Return Reconciliation

September 18, 2017

Robert F. Schumann Foundation
76532600

Organization	Tax ID Tax Status	Grant Purpose	Payment Date	Amount
Art Works Studio School, Inc. 3711 Rhode Island Avenue Mt. Rainier, MD 20712	275122542 501(c)(3) FC15 - 509(a)(1) in 170(b)(1)(A)(vi) - Publicly Supported	Arts After School program	9/30/2016	\$5,000.00
Boys & Girls Clubs of North Central Georgia, Inc. P.O. Box 767 Madison, GA 30650	271029072 501(c)(3) FC15 - 509(a)(1) in 170(b)(1)(A)(vi) - Publicly Supported	Project Be Great - Education for Vulnerable Youth (Education and Art/Music Program)	9/30/2016	\$5,000.00
Boys and Girls Club of Western Broome, Inc. One Clubhouse Rd. Endicott, NY 13760	161446907 501(c)(3) FC15 - 509(a)(1) in 170(b)(1)(A)(vi) - Publicly Supported	general programming support	9/30/2016	\$5,000.00
Chesapeake Bay Foundation, Inc. 6 HERNDON AVE Annapolis, MD 21403	526065757 501(c)(3) FC15 - 509(a)(1) in 170(b)(1)(A)(vi) - Publicly Supported	Maryland Environmental Education program	9/30/2016	\$50,000.00
Compass Youth Collaborative, Inc. 55 Airport Road, Suite 201 Hartford, CT 06114	311768549 501(c)(3) FC15 - 509(a)(1) in 170(b)(1)(A)(vi) - Publicly Supported	Environmental Enrichment After School Program	9/30/2016	\$23,233.00
Connecticut Fund for the Environment, Inc. 142 Temple St 3Rd Floor New Haven, CT 06510-2600	060990195 501(c)(3) FC15 - 509(a)(1) in 170(b)(1)(A)(vi) - Publicly Supported	general programming support	9/30/2016	\$1,000.00
Connecticut River Foundation At Steamboat Dock, Inc. AKA: Connecticut River Museum 67 Main St Essex, CT 06426-1150	237417579 501(c)(3) FC15 - 509(a)(1) in 170(b)(1)(A)(vi) - Publicly Supported	general programming support	9/30/2016	\$1,000.00

Organization	Tax ID	Grant Purpose	Payment Date	Amount
Environmental Defense Fund Incorporated 257 Park Avenue South New York, NY 10010	11-6107128 501(c)(3) FC15 - 509(a)(1) in 170(b)(1)(A)(vi) - Publicly Supported	general programming support	9/30/2016	\$1,000.00
Florence Griswold Museum, Inc. 96 Lyme St. Old Lyme, CT 06371	066062157 501(c)(3) FC16-509(a)(2) under 170(b)(1)(A)(vii)	Implementing a Landscape Master Plan	9/30/2016	\$100,000.00
Friends of Hammonasset, Inc. Post Office Box 271 Madison, CT 06443-0271	061546997 501(c)(3) FC15 - 509(a)(1) in 170(b)(1)(A)(vi) - Publicly Supported	general programming support	9/30/2016	\$5,000.00
Garfield Center Foundation, Inc. 210 High Street Chestertown, MD 21620	522343419 501(c)(3) FC15 - 509(a)(1) in 170(b)(1)(A)(vi) - Publicly Supported	Audio Systems upgrade	9/30/2016	\$18,000.00
Gifford, Florida Youth Orchestra 8 Vista Gardens Trail, #102 Vero Beach, FL 32962	800605983 501(c)(3) FC15 - 509(a)(1) in 170(b)(1)(A)(vi) - Publicly Supported	Off the Street -- On the Stage programming support	9/30/2016	\$10,000.00
Greenpeace Fund, Inc. 702 H Street NW, # 300 Washington, DC 20001-3874	953313195 501(c)(3) FC15 - 509(a)(1) in 170(b)(1)(A)(vi) - Publicly Supported	general programming support	9/30/2016	\$1,000.00
Literacy Volunteers of Sullivan County 63 North Street PO Box 759 Monticello, NY 12701	141774672 501(c)(3) FC15 - 509(a)(1) in 170(b)(1)(A)(vi) - Publicly Supported	Pre-High School Equivalency (HSE) Materials	9/30/2016	\$1,000.00
Lyme Academy of Fine Arts, Inc. 84 Lyme Street Old Lyme, CT 06371	060942210 501(c)(3) FC11 - 509(a)(1) in 170(b)(1)(A)(ii) - School	Center for Arts programming	9/30/2016	\$25,000.00
Middlesex Hospital 28 Crescent St Middletown, CT 06457-3654	060646718 501(c)(3) FC12 - 509(a)(1) in 170(b)(1)(A)(iii) - Hospital/Medical Research	general programming support	9/30/2016	\$1,000.00

Organization	Tax ID Tax Status	Grant Purpose	Payment Date	Amount
National Audubon Society, Inc. - Connecticut Chapter 613 Riversville Road Greenwich, CT 06831	131624102 501(c)(3) FC15 - 509(a)(1) in 170(b)(1)(A)(vi) - Publicly Supported	Be a Good Egg! - Coastal Bird Conservation project in Connecticut and the Atlantic Flyway	9/30/2016	\$100,000.00
National Audubon Society, Inc. - Florida Chapter 4500 Biscayne Boulevard Suite 205 Miami, FL 33137	131624102 501(c)(3) FC15 - 509(a)(1) in 170(b)(1)(A)(vi) - Publicly Supported	Citizen Science and Stewardship program to protect and manage critical wildlife areas	9/30/2016	\$100,000.00
National Audubon Society, Inc. - New York Chapter 2 Third Street, Suite 480 Troy, NY 12180	131624102 501(c)(3) FC15 - 509(a)(1) in 170(b)(1)(A)(vi) - Publicly Supported	Expanding Audubon, NY coastal conservation program	9/30/2016	\$100,000.00
Natural Resources Defense Council 40 West 20th Street New York, NY 10011	132654926 501(c)(3) FC15 - 509(a)(1) in 170(b)(1)(A)(vi) - Publicly Supported	general programming support	9/30/2016	\$1,000.00
Roger Tory Peterson Institute of Natural History 311 Curtis Street Jamestown, NY 14701	112724904 501(c)(3) FC11 - 509(a)(1) in 170(b)(1)(A)(ii) - School	Bringing Nature Back in People's Lives Project	9/30/2016	\$25,000.00
Union of Concerned Scientists, Inc. Two Brattle Square Cambridge, MA 02138-3742	042535767 501(c)(3) FC15 - 509(a)(1) in 170(b)(1)(A)(vi) - Publicly Supported	general programming support	9/30/2016	\$1,000.00
United Way of Broome County, Inc. Post Office Box 550 Binghamton, NY 13902	150564074 501(c)(3) FC16-509(a)(2) under 170(b)(1)(A)(vii)	general programming support	9/30/2016	\$1,000.00
Upstream Alliance, Inc. 1867 Lindamoore Drive Annapolis, MD 21401	473035594 501(c)(3) FC15 - 509(a)(1) in 170(b)(1)(A)(vi) - Publicly Supported	Upstream Alliance Networking Expeditions program	9/30/2016	\$20,000.00

Organization	Tax ID Tax Status	Grant Purpose	Payment Date	Amount
Wilderness Society 1615 M Street NW, 2nd Floor Washington, DC 20036-3209	530167933 501(C)(3) FC15 - 509(a)(1) in 170(b)(1)(A)(vi) - Publicly Supported	general programming support	9/30/2016	\$1,000.00
Wildlands Conservancy 3701 Orchid Place Emmaus, Pa 18049	237401326 501(C)(3) FC15 - 509(a)(1) in 170(b)(1)(A)(vi) - Publicly Supported	Wild About Learning program	9/30/2016	\$6,000.00
Wesleyan University 237 High Street Middletown, CT 06459	060646959 501(C)(3) FC11 - 509(a)(1) in 170(b)(1)(A)(ii) - School	create Robert F. Schumann Institute of the College of the Environment	12/15/2016	\$400,000.00
Sub Total				\$1,007,233.00

Roberson Museum and Science Center
30 Front Street
Binghamton, NY 13905
501 c 3 PC
3/23/2016 \$ 250,000.00

Cornell University
Laboratory of Ornithology
159 Sapsucker Woods Road
Ithaca, NY 14850
501 c 3 PC
3/23/2016 \$ 250,000.00

Community Child Care Center
555 NW 4th Street
Delray Beach, FL 33444
501 c 3 PC
3/23/2016 \$ 250,000.00

Governor Dummer Academy
1 Elm Street
Byfield, Ma 01922
501 c 3 PC
3/23/2016 \$ 250,000.00

Grand Total \$ 2,007,233.00