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For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation THE RALPH AND RICKY LAUREN FAMILY FOUNDATION INC		A Employer identification number 45-4717268	
Number and street (or P O box number if mail is not delivered to street address) C/O CBIZ 1065 AVE OF THE AMERICAS		Room/suite	B Telephone number (see instructions) (212) 790-5700
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10018		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 38,298,507		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	34	34		
	4 Dividends and interest from securities	859,602	859,602		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	-65,655			
	b Gross sales price for all assets on line 6a _____ 10,291,797				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	345,839	0		
	12 Total. Add lines 1 through 11	1,139,820	859,636		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	3,347	1,674		1,673
	b Accounting fees (attach schedule)	10,160	5,080		5,080
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	5,735	5,735		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	146,579	145,399		1,180
	24 Total operating and administrative expenses. Add lines 13 through 23	165,821	157,888		7,933
	25 Contributions, gifts, grants paid	3,133,084			3,133,084
	26 Total expenses and disbursements. Add lines 24 and 25	3,298,905	157,888		3,141,017
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-2,159,085			
	b Net investment income (if negative, enter -0-)		701,748		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	68,470	220,733	220,733
	2	Savings and temporary cash investments	3,005,280	2,779,185	2,779,185
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	37,748,476	35,722,500	35,298,589
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	40,822,226	38,722,418	38,298,507	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	40,822,226	38,722,418	
	30	Total net assets or fund balances (see instructions)	40,822,226	38,722,418	
31	Total liabilities and net assets/fund balances (see instructions) .	40,822,226	38,722,418		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	40,822,226
2	Enter amount from Part I, line 27a	2	-2,159,085
3	Other increases not included in line 2 (itemize) ▶ _____	3	59,277
4	Add lines 1, 2, and 3	4	38,722,418
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	38,722,418

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a THRU TIEDMANN#2575-SCH#1	P		
b THRU TIEDMANN#2575-SCH#1	P		
c THRU FIDELITY#802575-SCH#2	P		
d THRU FIDELITY#802575-SCH#2	P		
e CAPITAL GAINS DIVIDENDS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,977,692		3,040,099	-62,407
b 5,608,739		5,921,346	-312,607
c 607,844		499,081	108,763
d 896,556		896,926	-370
e 200,966			200,966

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-62,407
b			-312,607
c			108,763
d			-370
e			200,966

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-65,655
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	2,419,516	38,625,018	0 062641
2014	3,521,539	1,568,778	2 244766
2013	1,364,132	15,434,346	0 088383
2012	1,906,081	11,238,743	0 169599
2011			

2 Total of line 1, column (d)	2	2 565389
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 641347
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	38,961,901
5 Multiply line 4 by line 3	5	24,988,098
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	7,017
7 Add lines 5 and 6	7	24,995,115
8 Enter qualifying distributions from Part XII, line 4	8	3,141,017

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	14,035
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	14,035
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	14,035
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	23,970
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	6,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	29,970
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	15,935
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ☐ 15,935 Refunded ☐	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	No
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► CO CBIZ MHM LLC Telephone no ► (212) 790-5700			

Located at **►** 1065 AVENUE OF THE AMERICAS NEW YORK NY ZIP+4 **►** 10018

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ► ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐	5b		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No <i>If "Yes" to 6b, file Form 8870</i>	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
RALPH LAUREN C/O CBIZ 1065 AVE OF THE AMERICAS NEW YORK, NY 10018	PRES , DIR 0 50	0	0	0
RICKY LAUREN C/O CBIZ 1065 AVE OF THE AMERICAS NEW YORK, NY 10018	TREAS , DIR 0 50	0	0	0
CRAIG SMITH C/O CBIZ 1065 AVE OF THE AMERICAS NEW YORK, NY 10018	SECRETARY 0 10	0	0	0
KENNETH R NOLAN C/O CBIZ 1065 AVE OF THE AMERICAS NEW YORK, NY 10018	TREASURY 0 10	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000. ☐				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	35,425,668
b	Average of monthly cash balances.	1b	4,129,561
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	39,555,229
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	39,555,229
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	593,328
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	38,961,901
6	Minimum investment return. Enter 5% of line 5.	6	1,948,095

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,948,095
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	14,035
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	14,035
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,934,060
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,934,060
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,934,060

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	3,141,017
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	3,141,017
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,141,017

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,934,060
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012. 1,635,134				
c From 2013. 736,542				
d From 2014. 3,465,184				
e From 2015. 503,253				
f Total of lines 3a through e.	6,340,113			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 3,141,017				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				1,934,060
e Remaining amount distributed out of corpus	1,206,957			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	7,547,070			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	7,547,070			
10 Analysis of line 9				
a Excess from 2012. 1,635,134				
b Excess from 2013. 736,542				
c Excess from 2014. 3,465,184				
d Excess from 2015. 503,253				
e Excess from 2016. 1,206,957				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	3,133,084
b <i>Approved for future payment</i>				
Total			3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

1 Program service revenue**a** _____**b** _____**c** _____**d** _____**e** _____**f** _____**g** Fees and contracts from government agencies**2** Membership dues and assessments.**3** Interest on savings and temporary cash investments**4** Dividends and interest from securities.**5** Net rental income or (loss) from real estate**a** Debt-financed property.**b** Not debt-financed property.**6** Net rental income or (loss) from personal property**7** Other investment income.**8** Gain or (loss) from sales of assets other than inventory**9** Net income or (loss) from special events**10** Gross profit or (loss) from sales of inventory**11** Other revenue **a** _____**b** _____**c** _____**d** _____**e** _____**12** Subtotal. Add columns (b), (d), and (e).**13 Total.** Add line 12, columns (b), (d), and (e). **13** 1,139,820
(See worksheet in line 13 instructions to verify calculations)**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes****Line No.**

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

		2017-06-27	
Signature of officer or trustee	Date		Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name KENNETH R NOLAN	Preparer's Signature	Date	Check if self-employed ☐	PTIN P01256016
Firm's name ☐ CBIZ MHM LLC				Firm's EIN ☐ 34-1883473
Firm's address ☐ 1065 AVENUE OF THE AMERICAS NEW YORK, NY 10018				Phone no (212) 790-5700

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALZHEIMER'S DRUG DISCOVERY FOUNDATION 57 WEST 57TH STREET STE904 NEW YORK, NY 10019	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	25,000
AMERICAN FOUNDATION FOR THE UNIVERSITY OF THE WEST INDIES 767 THIRD AVENUE STE 301 NEW YORK, NY 10017	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	10,000
AMERICAN SOCIETY FOR TECHNION CO WK PLANNING 6 W 18TH STREET 8TH FL NEW YORK, NY 10011	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	25,000
ANIMAL MEDICAL CENTER 510 EAST 62ND STREET NEW YORK, NY 10065	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	60,000
BEDFORD FIRE DEPARTMENT PO BOX 230 BEDFORD, NY 10506	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	3,000
Total 3a				3,133,084

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BEDFORD FREE LIBRARY-VILLAGE GREEN PO BOX 375 BEDFORD, NY 10506	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	2,000
BEDFORD HISTORICAL SOCIETY 612 OLD POST ROAD BEDFORD, NY 10506	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	500
BREAST CANCER SUPPORT GROUP OF SOUTHWESTERN CO 645 SOUTH 5TH STREET MONTROSE, CO 81401	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	500
CARAMOOR CENTER FOR THE MUSIC & THE ARTS INC PO BOX 816 KATONAH, NY 10536	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	8,750
CARNEGIE HALL SOCIETY 881 SEVENTH AVE NEW YORK, NY 10019	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	25,000
Total 3a				3,133,084

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CEDARS-SINAI MEDICAL CENTER 8700 BEVERLY BLVD STE2416 LOS ANGELES, CA 90048	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	166,667
CHABAD TORAH CENTER PO BOX 2245 WESTFIELD, NJ 07091	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	18,000
BILL HILLARY & CHELSEA CLINTON FOUNDATION 1271 AVE OF THE AMERICAS 42ND FL NEW YORK, NY 10020	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	200,000
COLORADO STATE PATROL FAMILY FOUNDATION 55 WADSWORTH BLVD LAKEWOOD, CO 80226	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	2,500
EAST HAMPTON FIRE DEPARTMENT 1 CEDAR STREET EAST HAMPTON, NY 11937	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	2,500
Total 				3,133,084
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EAST HAMPTON LIBRARY 159 MAIN STREET EAST HAMPTON, NY 11937	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	5,000
EAST HAMPTON VILLAGE ASSN 1 CEDAR STREET EAST HAMPTON, NY 11937	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	2,500
EXPLORING THE ARTS CO EVENT ASSOCIATES 162 WEST 56TH STREET STE405 NEW YORK, NY 10019	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	25,000
FDNY FOUNDATION 9 METROTECH CENTER BROOKLYN, NY 11201	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	15,000
GUILD HALL OF EAST HAMPTON INC 158 MAIN STREET EAST HAMPTON, NY 11937	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	10,000
Total 				3,133,084
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HANOVER CHARITIES 33 NEPTUNE RD MARBLEHEAD, MA 01945	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	10,000
KATONAH FIRE DEPARTMENT 65 BEDFORD ROAD KATONAH, NY 10536	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	3,000
KATONAH MUSEUM OF ART 134 JAY ST KATONAH, NY 10536	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	5,000
KATONAH VILLAGE LIBRARY 26 BEDFORD ROAD KATONAH, NY 10536	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	2,000
LANG LANG INTERNATIONAL MUSIC FOUNDATION 350 SEVENTH AVE STE2202 NEW YORK, NY 10001	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	10,000
Total ▶ 3a				3,133,084

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LITERACY PARTNER'S CO BUCKLEY EVENTS 17-19 MARBLE AVE PLEASANTVILLE, NY 10570	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	10,000
LOS ANGELES CONSERVANCY 523 WEST 6TH STREET STE826 LOS ANGELES, CA 90014	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	10,000
MONTAUK LIBRARY PO BOX 700 MONTAUK, NY 11954	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	2,500
MONTAUK VILLAGE ASSN PO BOX 457 MONTAUK, NY 11954	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	2,000
MUSEUM OF JEWISH HERITAGE - A LIVING MEMORIAL EDMOND J SAFRA PLAZA 36 BATTERY PLACE NEW YORK, NY 10280	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	25,000
Total 				3,133,084
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NATIONAL 911 MEMORIAL & MUSEUM CO INSTITUTIONAL ADVANCEMENT 200 LIBERTY STREET 16TH FL NEW YORK, NY 10281	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	100,000
THE NEW YORK LANDMARKS CONSERVANCY ONE WHITEHALL STREET NEW YORK, NY 10004	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	25,000
NEW YORK PRESBYTERIAN HOSPITAL YOUTH ANXIETY CENTER 850 THIRD AVENUE 12TH FL NEW YORK, NY 10022	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	50,000
NEW YORK RESTORATION PROJECT 17-19 MARBLE AVENUE PLEASANTVILLE, NY 10570	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	10,000
NEW YORK STEM CELL FOUNDATION 1995 BROADWAY NEW YORK, NY 10023	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	150,000
Total ▶ 3a				3,133,084

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NY FIREFIGHTER'S BURN CENTER FOUNDATION 21 ASCH LOOP BRONX, NY 10475	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	5,000
NYC POLICE FOUNDATION 555 FIFTH AVENUE 15TH FL NEW YORK, NY 10017	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	25,000
OPHTHALMICEDGEORG 116 EAST 66TH STREET NEW YORK, NY 10065	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	25,000
OURAY COUNTY EMS 541 4TH STREET OURAY, CO 81427	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	2,500
OURAY COUNTY HISTORICAL SOCIETY PO BOX 151 OURAY, CO 81427	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	1,000
Total ▶ 3a				3,133,084

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
OURAY COUNTY RANCH HISTORY MUSEUM PO BOX 190 RIDGWAY, CO 81432	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	1,000
OURAY COUNTY SCHOOLS COMMUNITY RESOURCE CONSORTIUM - VOYAGER PROGRAM PO BOX 709 RIDGWAY, CO 81432	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	2,000
OURAY COUNTY SHERIFF(DONATION ACCOUNT) 541 4TH STREET OURAY, CO 81427	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	2,500
PARK AVENUE SYNAGOGUE 50 EAST 87TH STREET NEW YORK, NY 10128	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	1,000,000
PLAYWRIGHTS HORIZON 416 W 42ND STREET NEW YORK, NY 10036	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	25,000
Total 3a				3,133,084

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RALPH LAUREN CENTER FOR CANCER CARE & PREVENTION 919 MADISON AVENUE NEW YORK, NY 10035	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	75,000
RIDGWAY POLICE DEPT 201 N RAILROAD ST RIDGWAY, CO 81432	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	2,500
RIDGWAY SECONDARY SCHOOL (TRANSITION PROGRAM) 1200 GREEN ST RIDGWAY, CO 81432	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	2,500
RIDGWAY VOLUNTEER FIRE DEPT PO BOX 181 RIDGWAY, CO 81432	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	2,500
RIVERKEEPER 20 SECOR ROAD OSSINING, NY 10562	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	100,000
Total 				3,133,084
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SAN MIGUEL COUNTY SHERIFF DEPT 684 COUNTY ROAD 63L TELLURIDE, CO 81435	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	2,500
SAR ACADEMY 655 WEST 254TH STREET RIVERDALE, NY 10471	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	10,000
SECOND CHANCE HUMANE SOCIETY 177 COUNTY ROAD 10 RIDGWAY, CO 81432	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	1,000
SOUTHAMPTON HOSPITAL FOUNDATION 240 MEETING HOUSE LANE SOUTHAMPTON, NY 11968	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	10,000
STATUE OF LIBERTY - ELLIS ISLAND FOUNDATION 17 BATTERY PLACE NEW YORK, NY 10004	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	666,667
Total 				3,133,084
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TELLURIDE MARSHALL'S OFFICE 134 S SPRUCE ST TELLURIDE, CO 81435	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	2,500
TELLURIDE APAPTIVE SPORTS PROGRAM 568 MOUNTAIN VILLAGE BLVD 101 TELLURIDE, CO 81435	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	2,500
THE ALI FORNEY CENTER 224 WEST 35TH ST NEW YORK, NY 10001	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	25,000
THE AMERICAN FRIENDS OF JAMAICA 1697 BROADWAY NEW YORK, NY 10019	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	25,000
THE GEORGE W BUSH FOUNDATION 2943 SMU BOULEVARD DALLAS, TX 75205	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	25,000
Total ► 3a				3,133,084

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE ROSS INSTITUTE 18 GOODFRIEND DRIVE EAST HAMPTON, NY 11937	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	25,000
UNESCO - THE UCLA FOUNDATION 2043 MOORE HALL LOS ANGELES, CA 90095	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	10,000
WEILL CORNELL MEDICAL COLLEGE 1300 YORK AVENUE NEW YORK, NY 10065	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	10,000
WORLD JEWISH CONGRESS AMERICAN SECTION 501 MADISON AVENUE 17TH FL NEW YORK, NY 10022	NONE	EXEMPT ORG	CHARITABLE CONTRIBUTION	25,000
Total ▶ 3a				3,133,084

TY 2016 Accounting Fees Schedule

Name: THE RALPH AND RICKY LAUREN FAMILY
FOUNDATION INC

EIN: 45-4717268

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CBIZ MHM LLC	10,160	5,080		5,080

TY 2016 Investments Corporate Stock Schedule

Name: THE RALPH AND RICKY LAUREN FAMILY
FOUNDATION INC

EIN: 45-4717268

Name of Stock	End of Year Book Value	End of Year Fair Market Value
THRU TIEDEMANN TRUST COMPANY	35,722,500	35,298,589

TY 2016 Legal Fees Schedule

Name: THE RALPH AND RICKY LAUREN FAMILY
FOUNDATION INC

EIN: 45-4717268

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	3,347	1,674		1,673

TY 2016 Other Expenses Schedule

Name: THE RALPH AND RICKY LAUREN FAMILY
FOUNDATION INC

EIN: 45-4717268

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS FILING FEES	775	0		775
FILING FEES	405	0		405
INVESTMENT FEES - TIEDEMANN	145,399	145,399		0

TY 2016 Other Income Schedule

Name: THE RALPH AND RICKY LAUREN FAMILY
FOUNDATION INC

EIN: 45-4717268

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
2015 REFUND	100,000	0	100,000
TIEDEMANN TRUST COMPANY - NONDIVIDEND DISTRIBUTION	245,839	0	245,839

TY 2016 Other Increases Schedule

Name: THE RALPH AND RICKY LAUREN FAMILY
FOUNDATION INC

EIN: 45-4717268

Description	Amount
UNREALIZED GAIN/LOSS	59,277

TY 2016 Taxes Schedule

Name: THE RALPH AND RICKY LAUREN FAMILY
FOUNDATION INC

EIN: 45-4717268

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	5,735	5,735		0

2016 Tax Information Statement

TIEDEMANN TRUST COMPANY
200 BELLEVUE PARKWAY, SUITE 525
WILMINGTON, DE 19809

Account Number: 2575
Recipient's Tax ID Number: XX-XXX7268
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
RALPH AND RICKY LAUREN FAMILY
FOUNDATION
INC.
1065 AVENUE OF THE AMERICANS
NEW YORK, NY 10018

2016 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property		Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales										
13126.0	DEEP VALUE ETF									
26922A701	Various	02/05/2016		268,059.49	300,391.92			-32,332.43	0.00	0.00
1660 0	FIRST TRUST NEW OPPORTUNITIES MLP & ENER									
33739M100		03/29/2016	08/26/2015	19,111.82	22,921.78			-3,809.96	0.00	0.00
1977 0	FIRST TRUST NEW OPPORTUNITIES MLP & ENER									
33739M100		03/30/2016	08/26/2015	23,514.52	27,299.02			-3,784.50	0.00	0.00
358.0	FIRST TRUST NEW OPPORTUNITIES MLP & ENER									
33739M100		03/31/2016	08/26/2015	4,293.30	4,943.37			-650.07	0.00	0.00
1609.0	FIRST TRUST NEW OPPORTUNITIES MLP & ENER									
33739M100		04/01/2016	08/26/2015	18,668.50	22,060.39			-3,391.89	0.00	0.00
109.0	FIRST TRUST NEW OPPORTUNITIES MLP & ENER									
33739M100		04/04/2016	08/26/2015	1,264.14	1,494.46			-230.32	0.00	0.00
152.0	FIRST TRUST NEW OPPORTUNITIES MLP & ENER									
33739M100		04/06/2016	08/26/2015	1,777.28	2,084.01			-306.73	0.00	0.00
1857 0	ISHARES CORE S&P MID-CAP ETF									
464287507		04/08/2016	02/12/2016	265,687.09	233,213.94			32,473.15	0.00	0.00
1321.0	ISHARES CORE S&P MID-CAP ETF									
464287507		06/10/2016	02/12/2016	198,219.52	165,899.63			32,319.89	0.00	0.00

This is important tax information and is being furnished to you.

2016 Tax Information Statement

Account Number: 2575
 Recipient's Tax ID Number: XX-XXX7268
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
 RALPH AND RICKY LAUREN FAMILY
 FOUNDATION
 INC.
 1065 AVENUE OF THE AMERICANS
 NEW YORK, NY 10018

TIEDEMANN TRUST COMPANY
 200 BELLEVUE PARKWAY, SUITE 525
 WILMINGTON, DE 19809

Sales are listed at Gross Proceeds less commissions and option premiums.
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property				Federal Income Tax Withheld		State Tax Withheld				
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
3570 0	ISHARES S&P 500 GROWTH INDEX FUND									
464287309	02/05/2016	Various	376,457.07	400,423.11			-23,966.04		0.00	0.00
4155.0	ISHARES S&P 500 GROWTH INDEX FUND									
464287309	05/13/2016	08/25/2015	476,131.01	441,795.33			34,335.68		0.00	0.00
5570.0	SELECT SECTOR SPDR. CONSUMER STAPLES									
81369Y308	01/15/2016	08/07/2015	269,026.61	279,140.55			-10,113.94		0.00	0.00
3589.0	SELECT SECTOR SPDR. CONSUMER STAPLES									
81369Y308	06/10/2016	08/25/2015	193,807.88	165,432.08			28,375.80		0.00	0.00
66899.277	WELLS FARGO FUNDS - GMO BENCHMARK-FREE A									
94987W737	02/05/2016	Various	644,240.04	704,000.00			-59,759.96		0.00	0.00
4828.0	WISDOMTREE TR JAPAN HEDGED EQUITY FUND									
97717W851	02/05/2016	Various	211,262.15	261,885.30			-50,623.15		0.00	0.00
145.0	WISDOMTREE TR JAPAN HEDGED EQUITY FUND									
97717W851	02/08/2016	08/25/2015	6,171.64	7,114.32			-942.68		0.00	0.00
Total Short Term Sales			2,977,692.06	3,040,099.21	0.00	0.00	-62,407.15		0.00	0.00

Long Term Sales

This is important tax information and is being furnished to you.

2016 Tax Information Statement

TIEDEMANN TRUST COMPANY
 200 BELLEVUE PARKWAY, SUITE 525
 WILMINGTON, DE 19809

Account Number: 2575
Recipient's Tax ID Number: XX-XXX7268

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
 RALPH AND RICKY LAUREN FAMILY
 FOUNDATION
 INC.
 1065 AVENUE OF THE AMERICANS
 NEW YORK, NY 10018

Sales are listed at Gross Proceeds less commissions and option premiums.
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property				Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
Cusip Number	Date Sold or Disposed	Date Acquired									
4990 02	ALLIANCEBERNSTEIN/TWM GLOBAL EQUITY & CO										
89833W238	05/12/2016	01/06/2014		50,000.00	56,137.73			-6,137.73		0.00	0.00
4104.0	CREDIT SUISSE CUSHING 30 MLP INDEX ETN										
22542D852	05/12/2016	01/03/2014		81,619.79	127,182.96			-45,563.17		0.00	0.00
27135 0	CREDIT SUISSE CUSHING 30 MLP INDEX ETN										
22542D852	06/10/2016	Various		554,830.81	839,702.46			-284,871.65		0.00	0.00
29602.0	CREDIT SUISSE CUSHING 30 MLP INDEX ETN										
22542D852	06/13/2016	Various		597,790.47	901,758.75			-303,968.28		0.00	0.00
33283 0	DEEP VALUE ETF										
26922A701	02/05/2016	Various		679,706.23	824,450.80			-144,744.57		0.00	0.00
13335.0	ISHARES S&P 500 GROWTH INDEX FUND										
464287309	05/13/2016	Various		1,528,088.34	1,262,398.64			265,689.70		0.00	0.00
4609 0	JPMORGAN ALERIAN MLP ETN										
46625H365	06/10/2016	Various		142,368.91	213,751.45			-71,382.54		0.00	0.00
5092.298	MATTHEWS ASIA DIVIDEND INSTL										
577130750	05/12/2016	12/02/2013		80,000.00	81,875.80			-1,875.80		0.00	0.00
2519 0	SELECT SECTOR SPDR CONSUMER STAPLES										
81369Y308	01/15/2016	11/04/2014		121,665.71	118,747.68			2,918.03		0.00	0.00

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2016 Tax Information Statement

TIEDEMANN TRUST COMPANY
200 BELLEVUE PARKWAY, SUITE 525
WILMINGTON, DE 19809

Account Number: 2575
Recipient's Tax ID Number: XX-XXX7268
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
RALPH AND RICKY LAUREN FAMILY
FOUNDATION
INC.
1065 AVENUE OF THE AMERICANS
NEW YORK, NY 10018

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Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property				Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax		State Tax Withheld
Cusip Number	Date Sold or Disposed	Date Acquired								Withheld		
4272.0	SELECT SECTOR SPDR CONSUMER STAPLES											
81369Y308	01/29/2016	Various		216,850.42	188,709.37			28,141.05		0.00		0.00
11877 0	SELECT SECTOR SPDR CONSUMER STAPLES											
81369Y308	02/12/2016	Various		591,781.19	466,889.12			124,892.07		0.00		0.00
6674 0	SELECT SECTOR SPDR CONSUMER STAPLES											
81369Y308	04/04/2016	05/21/2012		357,000.50	224,592.78			132,407.72		0.00		0.00
1701 0	SELECT SECTOR SPDR CONSUMER STAPLES											
81369Y308	05/04/2016	Various		90,057.49	57,189.15			32,868.34		0.00		0.00
1479.0	SELECT SECTOR SPDR CONSUMER STAPLES											
81369Y308	05/12/2016	04/11/2012		79,679.23	49,701.65			29,977.58		0.00		0.00
132.0	SELECT SECTOR SPDR CONSUMER STAPLES											
81369Y308	06/10/2016	04/11/2012		7,128.07	4,435.85			2,692.22		0.00		0.00
10982.343	WELLS FARGO FUNDS - GMO BENCHMARK-FREE A											
94987W737	02/05/2016	12/02/2013		105,759.96	123,880.83			-18,120.87		0.00		0.00
4720 0	WISDOMTREE TR JAPAN HEDGED EQUITY FUND											
97717W851	02/08/2016	06/25/2014		200,897.44	235,026.74			-34,129.30		0.00		0.00
297C 0	WISDOMTREE TR JAPAN HEDGED EQUITY FUND											
97717W851	02/09/2016	Various		123,514.39	144,913.75			-21,399.36		0.00		0.00
Total Long Term Sales				5,608,738.95	5,921,345.51	0.00	0.00	-312,606.56		0.00		0.00

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