Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation: ALAN W. AND SHARON A. BRAUN FAMILY FOUNDATION, INC.

A Employer identification number: 45-4122368

Number and street (or P O box number if mail is not delivered to street address): 949 CEDAR HILL DRIVE

Room/suite:

B Telephone number (see instructions): (502) 581-0435

City or town, state or province, country, and ZIP or foreign postal code: EVANSVILLE, IN 47710

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A) check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 10,131,152.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc received (attach schedule)	1,263,448.			
2	Check ▶ ☐ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	80,254.	80,254.		ATCH 1
4	Dividends and interest from securities	171,118.	171,118.		ATCH 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-24,285.			
b	Gross sales price for all assets on line 6a 2,091,269.				
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	**Total.** Add lines 1 through 11	1,490,535.	251,372.	0.	
13	Compensation of officers, directors, trustees, etc	20,798.	20,798.		
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) ATCH 3	2,268.			2,268.
c	Other professional fees (attach schedule) [4]	7,573.	7,573.		
17	Interest				
18	Taxes (attach schedule) (see instructions) [5]	3,923.	3,923.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) ATCH 6	15,441.	15,441.		
24	**Total operating and administrative expenses.** Add lines 13 through 23	50,003.	47,735.		2,268.
25	Contributions, gifts, grants paid	450,100.			450,100.
26	**Total expenses and disbursements** Add lines 24 and 25	500,103.	47,735.	0.	452,368.
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	990,432.			
b	**Net investment income** (if negative, enter -0-)		203,637.		
c	**Adjusted net income** (if negative, enter -0-)			0.	

Revenue

Operating and Administrative Expenses

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Description	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash - non-interest-bearing	1,265,012.	112,305.	112,306.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)[7]	1,396,906.	1,595,079.	1,552,535.
	b	Investments - corporate stock (attach schedule) ATCH 8	4,076,991.	5,363,429.	6,878,133.
	c	Investments - corporate bonds (attach schedule) ATCH 9	1,533,099.	1,587,837.	1,588,178.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	8,272,008.	8,658,650.	10,131,152.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	8,272,008.	8,658,650.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [ ]			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	8,272,008.	8,658,650.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	8,272,008.	8,658,650.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,272,008.
2	Enter amount from Part I, line 27a	2	990,432.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	9,262,440.
5	Decreases not included in line 2 (itemize) ▶ ATCH 10	5	603,790.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,658,650.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-24,285.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	359,173.	8,479,018.	0.042360
2014	373,898.	7,951,427.	0.047023
2013	192,868.	7,263,259.	0.026554
2012	501,638.	6,297,966.	0.079651
2011		225,729.	

2	**Total** of line 1, column (d)	2	0.195588
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.039118
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	8,864,337.
5	Multiply line 4 by line 3	5	346,755.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,036.
7	Add lines 5 and 6	7	348,791.
8	Enter qualifying distributions from Part XII, line 4	8	452,368.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948- see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	2,036.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	2,036.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	2,036.
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a: 5,789.		
b	Exempt foreign organizations - tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	5,789.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	3,753.
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 3,753. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IN,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ALAN W. BRAUN Telephone no ▶ 502-581-0435

Located at ▶ 949 CEDAR HILL DRIVE EVANSVILLE, IN ZIP+4 ▶ 47710

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	8,264,343.
b	Average of monthly cash balances	1b	734,984.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	8,999,327.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	8,999,327.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	134,990.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	8,864,337.
6	**Minimum investment return.** Enter 5% of line 5	6	443,217.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	443,217.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	2,036.		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	2,036.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	441,181.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	441,181.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	441,181.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	452,368.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	452,368.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	2,036.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	450,332.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				441,181.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			52,080.	
b Total for prior years 20 14 ,20 13 ,20 12				
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f **Total** of lines 3a through e				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 452,368.				
a Applied to 2015, but not more than line 2a			52,080.	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2016 distributable amount				400,288.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				40,893.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2017.** *Subtract lines 7 and 8 from line 6a*	0.			
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets. . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization.					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

ALAN & SHARON BRAUN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATCH 12				
Total . . . . ▶ **3a**				450,100.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	80,254.	
4 Dividends and interest from securities			14	171,118.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-24,285.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				227,087.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . 13 227,087.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

ALAN BRAUN — Alan W. Braun — 4/5/17 — PRESIDENT

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Timothy R Deisher	[signature] CPA	3/24/2017		P00649535

Firm's name ▶ BKD, LLP — Firm's EIN ▶ 44-0160260

Firm's address ▶ 360 E. 8TH AVE. STE 201 PO BOX 1196 BOWLING GREEN, KY 42102-1196 — Phone no 270-781-0111

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		VARIOUS STCG - SEE ATTACHMENT					VARIOUS	VARIOUS
156,597.		155,910.					687.	
		VARIOUS LTCG - SEE ATTACHMENT					VARIOUS	VARIOUS
1,934,672.		1,959,644.					-24,972.	
TOTAL GAIN(LOSS)							-24,285.	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

2016

Name of the organization	**Employer identification number**
ALAN W. AND SHARON A. BRAUN FAMILY FOUNDATION, INC.	45-4122368

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ______________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization ALAN W. AND SHARON A. BRAUN FAMILY FOUNDATION, INC.

Employer identification number

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ALAN & SHARON BRAUN 949 CEDAR HILL DRIVE EVANSVILLE, IN 47710	$ 1,263,448.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization ALAN W. AND SHARON A. BRAUN FAMILY FOUNDATION, INC.

Employer identification number

Part II **Noncash Property** (See instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	SPDR S&P 500 ETF TR - 5,564.500 SHS	$ 1,263,448.	12/14/2016
		$	
		$	
		$	
		$	
		$	

Name of organization ALAN W. AND SHARON A. BRAUN FAMILY FOUNDATION, INC.

Employer identification number

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e)** and the following line entry For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year. (Enter this information once See instructions) ▶ $ ____________
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
INTEREST INCOME	57,283.	57,283.	
INTEREST ON U.S. SAVINGS BONDS/TREAS OBL	22,971.	22,971.	
TOTAL	80,254.	80,254.	

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
DIVIDEND INCOME	171,118.	171,118.	
TOTAL	171,118.	171,118.	

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING & TAX PREP FEES	2,268.			2,268.
TOTALS	2,268.			2,268.

ATTACHMENT 4

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	7,573.	7,573.		
TOTALS	7,573.	7,573.		

ATTACHMENT 5

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
FOREIGN TAXES	3,923.	3,923.		
TOTALS	3,923.	3,923.		

ATTACHMENT 6

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
MISCELLANEOUS EXPENSE	414.	414.		
AMORTIZATION/ACCRETION EXPENSE	15,027.	15,027.		
TOTALS	15,441.	15,441.		

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 7

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
U.S. GOVERNMENT	1,595,079.	1,552,535.
US OBLIGATIONS TOTAL	1,595,079.	1,552,535.

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
COMMON STOCK	3,696,434.	4,627,428.
FOREIGN STOCK	990,488.	1,010,896.
EQUITY MUTUAL FUND	676,507.	1,239,809.
TOTALS	5,363,429.	6,878,133.

ATTACHMENT 9

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
CORPORATE BONDS	732,673.	738,066.
MUNICIPALS	307,090.	306,890.
FIXED INCOME	180,000.	181,628.
FOREIGN BONDS	152,902.	150,678.
FIXED INCOME MUTUAL FUNDS	215,172.	210,916.
TOTALS	1,587,837.	1,588,178.

ATTACHMENT 10

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
WASH SALE LOSS	12,554.
ADJUSTMENT TO COST	4,295.
DONATED STOCK COST BASIS ADJUSTMENT	586,941.
TOTAL	603,790.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
ALAN W. BRAUN 949 CEDAR HILL DRIVE EVANSVILLE, IN 47710	PRESIDENT, TREASURER 2.00	0.	0.	0.
SHARON A. BRAUN 949 CEDAR HILL DRIVE EVANSVILLE, IN 47710	VICE PRESIDENT, SECRETARY 2.00	0.	0.	0.
MATHEW A. BRAUN 949 CEDAR HILL DRIVE EVANSVILLE, IN 47710	DIRECTOR 2.00	0.	0.	0.
MOLLY A. RUSSELL 949 CEDAR HILL DRIVE EVANSVILLE, IN 47710	DIRECTOR 2.00	0.	0.	0.
	GRAND TOTALS	0.	0.	0.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF EVANSVILLE 1800 LINCOLN AVE EVANSVILLE, IN 47714	NONE PC	GENERAL SUPPORT	37,000
UNITED WAY OF SOUTHWESTERN INDIANA 501 NW 4TH ST EVANSVILLE, IN 47708	NONE PC	GENERAL SUPPORT	28,500
CATHOLIC EDUCATION FOUNDATION 520 S BENNIGHOF EVANSVILLE, IN 47714	NONE PC	GENERAL SUPPORT	1,500
AURORA, INC 1100 LINCOLN AVE EVANSVILLE, IN 47714	NONE PC	GENERAL SUPPORT	1,000
MATER DEI HIGH SCHOOL 1300 HARMONY WAY EVANSVILLE, IN 47720	NONE PC	GENERAL SUPPORT	50,000
UNIVERSITY OF NOTRE DAME NOTRE DAME NOTRE DAME, IN 46556	NONE PC	GENERAL SUPPORT	100,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
DEACONESS FOUNDATION 600 MARY STREET EVANSVILLE, IN 47747	NONE PC	GENERAL SUPPORT	11,000
UNIVERSITY OF SOUTHERN INDIANA 8600 UNIVERSITY BLVD EVANSVILLE, IN 47712	NONE PC	GENERAL SUPPORT	10,000
KEEP EVANSVILLE BEAUTIFUL 209 MAIN STREET EVANSVILLE, IN 47708	NONE PC	GENERAL SUPPORT	500
SISTERS OF ST BENEDICT 8001 LARCH LANE EVANSVILLE, IN 47710	NONE PC	GENERAL SUPPORT	2,000
MATER DEI ALUMNI & FRIENDS 1300 HARMONY WAY EVANSVILLE, IN 47720	NONE PC	GENERAL SUPPORT	1,000
YMCA OF SOUTHWESTERN INDIANA 222 NW 6TH ST EVANSVILLE, IN 47708	NONE PC	GENERAL SUPPORT	100,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SANTA CLOTHES CLUB 1138 WASHINGTON SQUARE EVANSVILLE, IN 47715	NONE PC	GENERAL SUPPORT	2,000
TRI-STATE FOOD BANK, INC 801 E MICHIGAN ST EVANSVILLE, IN 47711	NONE PC	GENERAL SUPPORT	2,000
CHRISTIAN LIFE CENTER 509 S KENTUCKY AVE EVANSVILLE, IN 47714	NONE PC	GENERAL SUPPORT	10,000
HABITAT FOR HUMANITY OF EVANSVILLE 1401 N FARES AVE EVANSVILLE, IN 47711	NONE PC	GENERAL SUPPORT	30,000
CORPUS CHRISTI CATHOLIC CHURCH 5528 HOGUE ROAD EVANSVILLE, IN 47712	NONE PC	GENERAL SUPPORT	25,000
OZANAM FAMILY SHELTER CORP 1100 READ ST EVANSVILLE, IN 47710	NONE PC	GENERAL SUPPORT	2,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ST BENEDICT CATHEDRAL 1328 LINCOLN AVE EVANSVILLE, IN 47714	NONE PC	GENERAL SUPPORT	2,500
RONALD MCDONALD HOUSE CHARITIES 3540 WASHINGTON AVE EVANSVILLE, IN 47714	NONE PC	GENERAL SUPPORT	2,000
EVANSVILLE RESCUE MISSION 500 E WALNUT ST EVANSVILLE, IN 47713	NONE PC	GENERAL SUPPORT	1,000
MUSCULAR DYSTROPHY ASSOCIATION 3300 EAST SUNRISE DRIVE TUCSON, AZ 85718	NONE PC	GENERAL SUPPORT	100
EVANSVILLE ARC INC 615 W VIRGINIA ST P O BOX 4089 EVANSVILLE, IN 47724	NONE PC	GENERAL SUPPORT	1,500
COMMUNITY ONE INC 402 S GREEN RIVER RD EVANSVILLE, IN 47715	NONE PC	GENERAL SUPPORT	2,500

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
LAMPION CENTER 655 SOUTH HEBRON AVE EVANSVILLE, IN 47714	NONE PC	GENERAL SUPPORT	1,000
YOUTH RESOURCES OF SOUTHWESTERN INDIANA PO BOX 3635 EVANSVILLE, IN 47735	NONE PC	GENERAL SUPPORT	1,000
JDRF 26 BROADWAY, 14TH FLOOR NEW YORK, NY 10004	NONE PC	GENERAL SUPPORT	2,500
EVANSVILLE CATHOLIC HIGH SCHOOL 1300 HARMONY WAY EVANSVILLE, IN 47720	NONE PC	GENERAL SUPPORT	1,000
ST MARY'S HEALTH FOUNDATION 3700 WASHINGTON AVENUE EVANSVILLE, IN 47750	NONE PC	GENERAL SUPPORT	2,500
LOUIS J KOCH FAMILY CHILDREN'S MUSEUM PO BOX 122 EVANSVILLE, IN 47701	NONE PC	GENERAL SUPPORT	2,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
DAN SCISM SCHOLARSHIP FOUNDATION 2301 N 1ST AVE EVANSVILLE, IN 47710	NONE PC	GENERAL SUPPORT	2,500
VANDERBURGH COMMUNITY FOUNDATION 401 SE 6TH ST, STE 203 EVANSVILLE, IN 47713	NONE PC	GENERAL SUPPORT	2,000
BOYS & GIRLS CLUB OF EVANSVILLE, INC PO BOX 6311 EVANSVILLE, IN 47719	NONE PC	GENERAL SUPPORT	2,500
RILEY CHILDREN'S FOUNDATION 30 SOUTH MERIDIAN ST, #200 INDIANAPOLIS, IN 46204	NONE PC	GENERAL SUPPORT	5,000
ST MEINRAD ARCHABBEY 200 HILL DR ST MEINRAD, IN 47577	NONE PC	GENERAL SUPPORT	2,500
WESSELMAN PARK NATURE SOCIETY 551 N BOEKE RD EVANSVILLE, IN 47711	NONE PC	GENERAL SUPPORT	2,500
		TOTAL CONTRIBUTIONS PAID	450,100

ALAN AND SHARON BRAUN FAMILY FDN INC

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
3. ABBOTT LABS	06/06/2016	07/11/2016	126.09	117.90			8.19
17. ABBOTT LABS	06/06/2016	08/08/2016	763.30	668 10			95.20
47. ABBOTT LABS	09/09/2016	10/07/2016	2,017.22	1,886.47			130.75
80. ABBOTT LABS	11/29/2016	12/14/2016	3,099.94	3,143.81	W	43.87	
3. ABBVIE INC	05/09/2016	07/11/2016	192.89	191.31			1.58
16. ABBVIE INC	05/09/2016	08/08/2016	1,060.14	1,020.32			39.82
31. ABBVIE INC	05/09/2016	11/08/2016	1,819.04	1,976.87	W	157.83	
109. ABBVIE INC	05/09/2016	12/14/2016	6,717.58	6,950.92	W	233.34	
7. AMGEN INC	06/06/2016	08/08/2016	1,205.65	1,121.40			84.25
21. AMGEN INC	09/09/2016	12/14/2016	3,119.48	3,361.19	W	241.71	
4. AMGEN INC	09/09/2016	12/14/2016	594.19	668.48			-74.29
104. ARCHER-DANIELS-MIDLAND CO	04/05/2016	05/09/2016	3,926.96	3,963.85			-36.89
35. CNOOC LTD SP ADR	04/05/2016	05/09/2016	3,948.98	4,365.70			-416.72
5. CAMPBELL SOUP CO	04/05/2016	05/09/2016	323.85	321.95			1.90
36. COCA COLA FEMSA S.A.B. DE C.V SP ADR	01/11/2016	05/09/2016	2,945.84	2,386.08			559.76
9. CORNING INC	04/05/2016	05/09/2016	165.04	186.39			-21.35
5. DEERE & COMPANY	04/05/2016	05/09/2016	402.53	381.39			21.14
5. DIAGEO PLC ADR NEW	04/05/2016	05/09/2016	546.09	532.73			13.36
5. DOW CHEM CO	04/05/2016	05/09/2016	253.84	254.20			-0 36
54. DU PONT E I DE NEMOURS & CO	04/05/2016	05/09/2016	3,456.52	3,174.14			282.38
396. ENERSIS AMERICAS S.A. SP ADR	04/05/2016	05/09/2016	3,277.85	2,700.96			576.89
396. ENERSIS CHILE S.A. SP ADR	04/05/2016	05/09/2016	2,316.60	2,219.68			96.92
156. HP INC	04/05/2016	05/09/2016	1,776.80	1,681.53			95.27
5. HONDA MOTOR CO LTD ADR NEW	05/09/2016	07/11/2016	128.39	138.60			-10.21
24. HONDA MOTOR CO LTD ADR NEW	05/09/2016	08/08/2016	698.86	665.28			33.58
13. HONDA MOTOR CO LTD ADR NEW	09/09/2016	10/07/2016	381 86	375 30			6.56
134. HONDA MOTOR CO LTD ADR NEW	11/08/2016	11/29/2016	3,925.04	3,806.47			118.57
307. JOHNSON CTLS INC	06/06/2016	09/07/2016	14,102.01	12,608.11			1,493.90
5. KIMBERLY CLARK CORP	06/06/2016	08/08/2016	647.58	650.25			-2.67
7. KIMBERLY CLARK CORP	06/06/2016	11/29/2016	814.92	910.35	W	95.43	
19. KOHLS CORP	07/08/2015	05/09/2016	798.93	1,229.11			-430.18
61. KONINKLIJKE PHILIPS ELECTRS NV NEW	05/09/2016	06/06/2016	1,642.11	1,614.66			27.45
3. KONINKLIJKE PHILIPS ELECTRS NV NEW	05/09/2016	07/11/2016	75.06	79.41			-4.35
Totals							

JSA
XG900 3 000

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
15 KONINKLIJKE PHILIPS ELECTRS NV NEW	05/09/2016	08/08/2016	401.24	397.05			4.19
5. KONINKLIJKE PHILIPS ELECTRS NV NEW	09/09/2016	10/07/2016	146 25	143.00			3.25
65. KONINKLIJKE PHILIPS ELECTRS NV NEW	11/29/2016	12/14/2016	1,912 25	1,832.51			79.74
8. MAGNA INTL INC CL A	05/09/2016	08/08/2016	320.64	328.80			-8.16
8. MAGNA INTL INC CL A	05/09/2016	09/09/2016	318.55	328.80			-10.25
25. MAGNA INTL INC CL A	05/09/2016	11/29/2016	1,012.48	1,027.49	W	15.01	
11. MAGNA INTL INC CL A	05/09/2016	11/29/2016	445.49	452.09			-6.60
12. MAGNA INTL INC CL A	05/09/2016	12/14/2016	533.15	493.19			39.96
16. MARATHON PETE CORP	05/09/2016	06/06/2016	563.83	559.19			4.64
9. MARATHON PETE CORP	05/09/2016	08/08/2016	376.73	314.55			62.18
20. MARATHON PETE CORP	05/09/2016	09/09/2016	845.98	698.99			146.99
10. MARATHON PETE CORP	05/09/2016	10/07/2016	416.79	349.50			67.29
56. MARATHON PETE CORP	11/08/2016	11/29/2016	2,572.59	2,350.24			222.35
6. MCDONALDS CORP	04/05/2016	05/09/2016	784.28	764.82			19.46
6. MONSANTO CO	06/06/2016	08/08/2016	640.79	574.19			66.60
3. MONSANTO CO	05/09/2016	09/09/2016	320.51	267.38			53.13
19. MONSANTO CO	11/08/2016	11/29/2016	1,941.95	1,850.14			91.81
359. NATL OILWELL VARCO INC	05/09/2016	06/06/2016	12,539.59	11,901.61			637.98
75. NORFOLK SOUTHERN CORP.	01/11/2016	05/09/2016	6,616.19	5,793.37			822.82
148. PEARSON PLC SP ADR	04/05/2016	05/09/2016	1,711.24	2,133.09			-421.85
120. QUALCOMM INC	05/05/2015	01/11/2016	5,465.91	8,234.11			-2,768.20
5 ST JUDE MEDICAL INC	06/06/2016	08/08/2016	416.96	391.70			25.26
5. ST JUDE MEDICAL INC	06/06/2016	09/09/2016	390.14	391.70			-1.56
172. SYMANTEC CORP	04/05/2016	05/09/2016	2,853.44	3,611.63			-758.19
125. SYNGENTA AG SP ADR	04/05/2016	05/09/2016	10,088.54	9,985.14			103.40
9. TAIWAN SEMICONDUCTOR MFG CO LTD	06/06/2016	07/11/2016	244.08	229.95			14.13
47. TAIWAN SEMICONDUCTOR MFG CO LTD	06/06/2016	08/08/2016	1,362 55	1,200.83			161.72
156. TAIWAN SEMICONDUCTOR MFG CO LTD	06/06/2016	09/09/2016	4,458.45	3,802.38			656.07
48 TAIWAN SEMICONDUCTOR MFG CO LTD	11/08/2016	12/14/2016	1,431.55	1,500.17	W	68.63	0.01
117. TAIWAN SEMICONDUCTOR MFG CO LTD	11/08/2016	12/14/2016	3,489.38	3,674.80			-185.42
136. TENARIS S.A. SP ADR	04/05/2016	05/09/2016	3,444.81	3,057.85			386.96
7. V F CORP	05/09/2016	08/08/2016	435.71	452.76			-17.05
4. V F CORP	05/09/2016	11/08/2016	217.72	258.72			-41.00
Totals							

ALAN AND SHARON BRAUN FAMILY FDN INC

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
63. VALERO ENERGY CORP NEW	05/05/2015	01/11/2016	4,283.67	3,665.56			618.11
8. VIACOM INC CL B	06/06/2016	08/08/2016	338.95	364.48			-25.53
5. VIACOM INC CL B	06/06/2016	11/08/2016	186.32	227.80			-41.48
30. VIACOM INC CL B	06/06/2016	11/08/2016	1,117.92	1,286.14	W	168.22	
17. WPP PLC SP ADR	07/08/2015	05/09/2016	1,979.54	1,857.76			121.78
16. WESTERN DIGITAL CORP	07/08/2015	05/09/2016	590.23	1,261.92			-671.69
27. YUM BRANDS INC	04/05/2016	05/09/2016	2,209.09	2,325.02			-115.93
129. ADIENT PLC	11/01/2016	11/08/2016	5,960.96	6,009.46			-48.50
.2 ADIENT PLC	11/01/2016	11/29/2016	10.93	9.32			1.61
5. ACCENTURE PLC CL A	04/05/2016	05/09/2016	577.16	571.60			5.56
.576 JOHNSON CONTROLS INTERNATIONAL PLC	09/06/2016	10/03/2016	26.75	27.71	W	0.96	NONE
24. JOHNSON CONTROLS INTERNATIONAL PLC	09/06/2016	11/08/2016	1,050.47	1,154.64	W	104.17	
24. JOHNSON CONTROLS INTERNATIONAL PLC	09/06/2016	11/08/2016	1,050.47	1,154.64			-104.17
46. SEAGATE TECHNOLOGY	04/05/2016	05/09/2016	863.40	2,070.66			-1,207.26
8. TYCO INTL PLC	06/06/2016	08/08/2016	360.35	347.52			12.83
Totals			156,597.15	157,038.88		1,129.17	687.44

JSA
XG900 3 000

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
7. ABB LTD SP ADR	05/08/2014	07/11/2016	134.88	163.67			-28.79
37. ABB LTD SP ADR	05/08/2014	08/08/2016	788.46	865.09			-76.63
5. ABB LTD SP ADR	08/05/2014	09/09/2016	110.53	114.00			-3.47
43. ABB LTD SP ADR	08/05/2014	09/09/2016	950 49	1,003 64	W	53.16	0.01
183. ABB LTD SP ADR	04/07/2015	12/14/2016	3,830.13	3,982.30	W	152 17	
635. ARCHER-DANIELS-MIDLAND CO	05/05/2015	05/09/2016	23,977.08	25,522.63			-1,545.55
8. AUTOMATIC DATA PROCESSING INC	02/04/2015	08/08/2016	714.54	693.28			21.26
27. AUTOMATIC DATA PROCESSING INC	05/05/2015	11/08/2016	2,458.31	2,318.60			139.71
7. AUTOMATIC DATA PROCESSING INC	05/05/2015	11/29/2016	678.14	599.20			78.94
3. BB & T CORP	05/05/2015	07/11/2016	105.83	118.13			-12.30
15. BB & T CORP	05/05/2015	08/08/2016	567.89	590.67			-22.78
12. BB & T CORP	05/05/2015	10/07/2016	465.35	472.54			-7.19
22. BB & T CORP	05/05/2015	10/07/2016	853.14	866.31	W	13.17	
43. BB & T CORP	05/05/2015	11/29/2016	1,891.96	1,693.25			198.71
100000. BK NOVA SCOTIA 3% 02/28/2028	09/05/2013	08/28/2016	100,000.00	92,759.88			7,240.12
8. BEST BUY INC	05/05/2015	08/08/2016	272.35	287.38			-15.03
11. BEST BUY INC	05/05/2015	10/07/2016	426.90	395.14			31.76
20. BEST BUY INC	05/05/2015	11/08/2016	757.98	718.44			39.54
82. BEST BUY INC	05/05/2015	11/29/2016	3,728.46	2,945.61			782.85
9. BOEING COMPANY	04/07/2015	08/08/2016	1,188.06	1,373.13			-185.07
25. BOEING COMPANY	04/07/2015	09/09/2016	3,217.43	3,814.25			-596.82
5. BOEING COMPANY	04/07/2015	09/09/2016	643.49	762 85	W	119.36	
10. BOEING COMPANY	04/07/2015	11/29/2016	1,514.57	1,525.70	W	11.13	
7. BOEING COMPANY	04/07/2015	12/14/2016	1,080.56	1,039.19			41.37
324. CNOOC LTD SP ADR	05/05/2015	05/09/2016	36,556.33	52,537.67			-15,981.34
3 CRH PLC SP ADR	05/05/2015	07/11/2016	86 03	84.51			1 52
16. CRH PLC SP ADR	05/05/2015	08/08/2016	489 43	450.74			38.69
17. CRH PLC SP ADR	05/05/2015	10/07/2016	558.61	478.91			79.70
99. CRH PLC SP ADR	05/05/2015	12/14/2016	3,289.73	2,788.93			500.80
10 CA INC	04/07/2015	08/08/2016	337.59	324.76			12.83
7. CA INC	04/07/2015	12/14/2016	225.54	226.94	W	1.40	
26. CA INC	05/05/2015	12/14/2016	837.70	824.20			13.50
353. CAMPBELL SOUP CO	05/05/2015	05/09/2016	22,863 59	16,133.97			6,729.62
Totals							

JSA
XG900 3 000

ALAN AND SHARON BRAUN FAMILY FDN INC

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
4. CANON INC SP ADR	02/09/2012	07/11/2016	114.31	179.48			-65.17
22. CANON INC SP ADR	05/07/2012	08/08/2016	626.34	986.44			-360.10
34. CANON INC SP ADR	05/07/2012	09/09/2016	984.62	1,520.82	W	536.20	
25. CANON INC SP ADR	01/05/2012	11/29/2016	716.25	1,103.50	W	387.25	
32. CANON INC SP ADR	01/05/2012	11/29/2016	916.79	1,412.47			-495.68
23. CATERPILLAR INC	07/14/2014	06/06/2016	1,755.79	2,471 81	W	716.02	
9. CATERPILLAR INC	05/08/2014	08/08/2016	749.50	940.75			-191.25
20. CATERPILLAR INC	05/08/2014	09/09/2016	1,619.16	2,090.55			-471 39
13. CATERPILLAR INC	05/08/2014	09/09/2016	1,052.46	1,358.86	W	306.40	
5. CATERPILLAR INC	05/08/2014	11/29/2016	469.36	522.64	W	53.28	
11. CISCO SYSTEMS INC	05/05/2015	07/11/2016	322.95	319.54			3.41
55. CISCO SYSTEMS INC	05/05/2015	08/08/2016	1,698.97	1,596.60			102.37
46. CISCO SYSTEMS INC	04/07/2015	11/08/2016	1,421.37	1,267.08			154.29
316. CISCO SYSTEMS INC	04/07/2015	12/14/2016	9,603.06	8,677.36			925.70
3. CLOROX CO	04/07/2015	08/08/2016	396.29	332.70			63.59
16 CLOROX CO	05/05/2015	11/29/2016	1,882.20	1,709.86			172 34
258. COCA COLA FEMSA S.A.B. DE C.V SP ADR	05/05/2015	05/09/2016	21,111.90	26,742 91			-5,631.01
13. COLGATE PALMOLIVE CO	04/07/2015	08/08/2016	965.68	908.57			57.11
5. COLGATE PALMOLIVE CO	02/04/2015	12/14/2016	328.84	347.35	W	18.51	
23. COLGATE PALMOLIVE CO	05/05/2015	12/14/2016	1,512.68	1,565.45			-52.77
9. CONAGRA INC	05/05/2015	08/08/2016	419.22	333.89			85.33
18. CONAGRA INC	05/05/2015	10/07/2016	842.11	667.77			174.34
53. CONAGRA INC	05/05/2015	11/29/2016	1,996.48	1,503.69			492.79
1134. CORNING INC	05/05/2015	05/09/2016	20,795.40	23,802.21			-3,006.81
4 CUMMINS INC	05/05/2015	08/08/2016	504.76	561.99			-57.23
10 CUMMINS INC	05/05/2015	10/07/2016	1,285.67	1,404.96			-119.29
12. CUMMINS INC	05/05/2015	10/07/2016	1,542.81	1,685.96	W	143.15	
337. DEERE & COMPANY	05/05/2015	05/09/2016	27,130.37	29,559.77			-2,429 40
448. DIAGEO PLC ADR NEW	05/05/2015	05/09/2016	48,929.59	53,030.40			-4,100.81
925. DOW CHEM CO	05/05/2015	05/09/2016	46,960.30	46,328.05			632.25
4. DR PEPPER SNAPPLE GROUP INC	04/07/2015	08/08/2016	383 33	317.72			65.61
24. DR PEPPER SNAPPLE GROUP INC	05/05/2015	11/29/2016	2,143.87	1,831.01			312.86
635. DU PONT E I DE NEMOURS & CO	05/05/2015	05/09/2016	40,646.04	44,362.99			-3,716.95
Totals							

JSA
XG900 3 000

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
12. EMERSON ELEC CO	05/08/2014	08/08/2016	649.90	810.12			-160.22
5. EMERSON ELEC CO	05/08/2014	09/09/2016	254.24	337.55			-83.31
11. EMERSON ELEC CO	05/08/2014	09/09/2016	559.34	742.61	W	183.27	
14. EMERSON ELEC CO	08/05/2014	12/14/2016	786.08	919.77			-133 69
1073. ENERSIS AMERICAS S.A. SP ADR	05/05/2015	05/09/2016	8,881.67	10,617.57			-1,735.90
1073. ENERSIS CHILE S.A. SP ADR	05/05/2015	05/09/2016	6,277.02	8,725.62			-2,448.60
11. ERICSSON TEL LM SP ADR	04/07/2015	07/11/2016	83.48	142.01			-58.53
60. ERICSSON TEL LM SP ADR	04/07/2015	08/08/2016	431.99	774.59			-342.60
12. ERICSSON TEL LM SP ADR	04/07/2015	10/07/2016	84.12	154.92	W	70.80	
98 ERICSSON TEL LM SP ADR	04/07/2015	11/08/2016	481.43	1,262.65	W	781.22	
1. ERICSSON TEL LM SP ADR	08/29/2013	12/14/2016	5.66	12.04	W	6.38	NONE
292. ERICSSON TEL LM SP ADR	11/04/2013	12/14/2016	1,652.68	3,523.99			-1,871.31
150000. FED HOME LN MTG 5.4% 03/17/2021-2016	01/06/2012	03/17/2016	150,000.00	169,132.00			-19,132.00
75000. FED HOME LN BK 1.45% 10/09/2019	08/20/2013	04/09/2016	75,000.00	72,201.75			2,798.25
100000. FED HOME LN BK 2 44% 12/20/2024	10/06/2014	07/14/2016	100,000.00	94,991.23			5,008.77
75000. FED FARM CR BK 2.55% 01/17/2025	08/12/2014	06/14/2016	75,000.00	71,636.13			3,363.87
4. FIFTH THIRD BANCORP	05/05/2015	07/11/2016	69 27	82.42			-13.15
18. FIFTH THIRD BANCORP	05/05/2015	08/08/2016	347.59	370.87			-23.28
8. FIFTH THIRD BANCORP	05/05/2015	10/07/2016	164.88	164.83			0.05
4. FIFTH THIRD BANCORP	05/05/2015	11/08/2016	86.24	82.42			3.82
49. FIFTH THIRD BANCORP	05/05/2015	11/29/2016	1,236.75	1,009.60			227.15
53. GAP INC	05/08/2014	01/11/2016	1,187.71	2,089.16			-901.45
52. GAP INC	05/05/2015	06/06/2016	986.96	2,118.95	W	1,131.99	
11. GAP INC	05/05/2015	08/08/2016	280.49	435.41			-154.92
45. GAP INC	05/05/2015	10/07/2016	1,174.48	1,781 24	W	606 76	
83. GAP INC	05/05/2015	12/14/2016	2,099.94	3,278.65	W	1,178.71	
53. GAP INC	05/05/2015	12/14/2016	1,340.92	2,097.91			-756.99
5. GENERAL DYNAMICS CORP	05/05/2015	08/08/2016	740.33	692.70			47.63
10. GENERAL DYNAMICS CORP	05/05/2015	11/08/2016	1,535.87	1,385.40			150.47
11. GENERAL MILLS INC	05/05/2015	08/08/2016	776.36	612.91			163.45
5. GENERAL MILLS INC	08/11/2015	10/07/2016	310 15	296.45			13 70
15 GENERAL MILLS INC	08/11/2015	11/29/2016	939 67	839 36			100.31
13. GENERAL MILLS INC	05/05/2015	12/14/2016	815.21	724.35			90.86
Totals							

JSA
XG900 3 000

ALAN AND SHARON BRAUN FAMILY FDN INC

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
4. GENUINE PARTS CO	04/07/2015	08/08/2016	404.91	374.76			30.15
8. GENUINE PARTS CO	05/05/2015	11/29/2016	769.90	739.16			30.74
1246. HP INC	05/05/2015	05/09/2016	14,191.63	19,787.21			-5,595.58
6. HARLEY DAVIDSON INC	05/05/2015	08/08/2016	323.33	345.40			-22.07
16. HARLEY DAVIDSON INC	05/05/2015	11/08/2016	907.34	921.06			-13.72
13. HARLEY DAVIDSON INC	05/05/2015	11/29/2016	776.47	748.36			28.11
4. HERSHEY FOODS CORP	05/05/2015	08/08/2016	441.83	375.20			66.63
5. HERSHEY FOODS CORP	05/05/2015	11/29/2016	490.44	468.99			21.45
7. HERSHEY FOODS CORP	05/05/2015	12/14/2016	697.74	656.59			41.15
3. HOME DEPOT	04/07/2015	07/11/2016	405.41	343.80			61.61
16. HOME DEPOT	04/07/2015	08/08/2016	2,177.71	1,833.60			344.11
34. HOME DEPOT	04/07/2015	10/07/2016	4,366.19	3,717.21			648.98
15. HOME DEPOT	02/04/2015	11/08/2016	1,863.41	1,564.56			298.85
40. HOME DEPOT	11/07/2014	12/14/2016	5,439.88	3,290.16			2,149.72
6. ILLINOIS TOOL WORKS	04/07/2015	08/08/2016	703.42	588.60			114.82
9. ILLINOIS TOOL WORKS	05/05/2015	11/08/2016	1,058.92	873.22			185.70
11. INTEL CORP	02/04/2015	07/11/2016	377.18	371.69			5.49
55. INTEL CORP	02/04/2015	08/08/2016	1,918 63	1,858.44			60.19
13. INTEL CORP	02/04/2015	09/09/2016	460.77	439.27			21.50
47. INTEL CORP	02/04/2015	11/29/2016	1,658.12	1,581.85			76.27
147. INTEL CORP	06/12/2015	12/14/2016	5,360.97	4,825.89			535.08
10. INTERNATIONAL BUSINESS MACHS CORP	05/05/2015	08/08/2016	1,619.06	1,732.48			-113.42
13. INTERNATIONAL BUSINESS MACHS CORP	05/05/2015	11/08/2016	2,012.48	2,252.23			-239.75
6. INTERNATIONAL BUSINESS MACHS CORP	05/05/2015	11/29/2016	980.67	1,039.49			-58.82
14. INTERNATIONAL BUSINESS MACHS CORP	05/05/2015	12/14/2016	2,357.55	2,425.48			-67.93
9. INTERNATIONAL PAPER CO	05/05/2015	08/08/2016	414.80	466.00			-51.20
22. INTERNATIONAL PAPER CO	05/05/2015	11/29/2016	1,072.70	1,139.12	W	66.42	
20. INTERNATIONAL PAPER CO	05/05/2015	11/29/2016	975.18	1,035.56			-60.38
7. JP MORGAN CHASE & CO	05/05/2015	07/11/2016	435.74	455.20			-19.46
35. JP MORGAN CHASE & CO	05/05/2015	08/08/2016	2,308.92	2,275.98			32.94
45. JP MORGAN CHASE & CO	05/05/2015	10/07/2016	3,057.25	2,926.26			130.99
29. JP MORGAN CHASE & CO	05/05/2015	11/29/2016	2,281.96	1,885.81			396.15
19. JP MORGAN CHASE & CO	05/05/2015	12/14/2016	1,611.92	1,235 53			376.39
Totals							

JSA
XG900 3 000

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
5. JOHNSON & JOHNSON	01/09/2015	07/11/2016	613.99	526.60			87.39
27. JOHNSON & JOHNSON	01/09/2015	08/08/2016	3,333.62	2,829.53			504.09
20. JOHNSON & JOHNSON	02/04/2015	09/09/2016	2,369.54	2,033.30			336.24
137. JOHNSON & JOHNSON	04/07/2015	12/14/2016	15,731.52	13,758.73			1,972.79
12. JOHNSON CTLS INC	05/05/2015	08/08/2016	542.03	609.87			-67.84
536. JOHNSON CTLS INC	05/05/2015	09/07/2016	24,621.09	27,240 86			-2,619.77
31. KOHLS CORP	05/08/2014	01/11/2016	1,547.30	1,686.40			-139.10
219. KOHLS CORP	05/05/2015	05/09/2016	9,208.76	13,321.85			-4,113.09
306. L BRANDS INC	05/05/2015	05/09/2016	21,437.91	17,852.58			3,585.33
.666 LAMB WESTON HLDGS INC	05/05/2015	12/21/2016	23.25	17.44			5.81
4. LOCKHEED MARTIN CORP	04/07/2015	08/08/2016	1,039.41	801.80			237.61
15 LOCKHEED MARTIN CORP	05/05/2015	11/29/2016	3,999.51	2,838.94			1,160.57
141. M & T BANK CORP	05/05/2015	05/09/2016	16,042.46	17,095 99			-1,053.53
880. MCDONALDS CORP	05/05/2015	05/09/2016	115,028.35	84,834.99			30,193.36
6. MERCK AND CO INC NEW COMMON	11/07/2014	07/11/2016	355.61	357.18			-1.57
33. MERCK AND CO INC NEW COMMON	11/07/2014	08/08/2016	2,071.08	1,964.49			106.59
30. MERCK AND CO INC NEW COMMON	11/07/2014	09/09/2016	1,875.25	1,785.90			89.35
82 MERCK AND CO INC NEW COMMON	02/04/2015	12/14/2016	5,061.01	4,863.34			197.67
11. MICROSOFT CORP	12/04/2014	07/11/2016	578.03	539.55			38.48
56. MICROSOFT CORP	02/04/2015	08/08/2016	3,246.27	2,458.98			787.29
38. MICROSOFT CORP	02/04/2015	11/08/2016	2,292.89	1,597.14			695.75
81. MICROSOFT CORP	04/07/2015	11/29/2016	4,942.51	3,400.37			1,542.14
94. MICROSOFT CORP	04/07/2015	12/14/2016	5,883.33	3,925.44			1,957.89
411. NTT DOCOMO INC SP ADR	05/08/2014	01/11/2016	8,319.87	6,697.64			1,622.23
9. NTT DOCOMO INC SP ADR	04/07/2015	07/11/2016	252.27	163.61			88.66
48. NTT DOCOMO INC SP ADR	04/07/2015	08/08/2016	1,226.85	872.59			354.26
88. NTT DOCOMO INC SP ADR	04/07/2015	11/08/2016	2,121.63	1,599.74			521.89
221. NTT DOCOMO INC SP ADR	11/13/2015	11/29/2016	5,111.64	4,347.47			764.17
292. NATL OILWELL VARCO INC	05/05/2015	06/06/2016	10,199.34	16,360.64			-6,161.30
240. NORFOLK SOUTHERN CORP.	05/05/2015	05/09/2016	21,171.81	24,513.84			-3,342.03
7 NUCOR CORP	05/05/2015	08/08/2016	374.35	344.40			29.95
17. NUCOR CORP	05/05/2015	11/08/2016	871.74	836.39			35.35
5. OMNICOM GROUP INC	05/05/2015	08/08/2016	409.34	384.44			24.90
Totals							

JSA
XG900 3 000

ALAN AND SHARON BRAUN FAMILY FDN INC

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
13. OMNICOM GROUP INC	05/05/2015	11/08/2016	1,056 64	999.55			57.09
17. OMNICOM GROUP INC	05/05/2015	11/29/2016	1,497 33	1,307 11			190.22
8. PNC FINANCIAL CORP	05/05/2015	08/08/2016	690.87	752.92			-62.05
7. PNC FINANCIAL CORP	05/05/2015	09/09/2016	630.19	658.80			-28.61
4 PNC FINANCIAL CORP	05/05/2015	10/07/2016	365.55	376.46			-10.91
21. PNC FINANCIAL CORP	05/05/2015	11/29/2016	2,291.47	1,976.41			315.06
3. PARKER HANNIFIN CORP	05/05/2015	08/08/2016	367.66	370.55			-2.89
8. PAYCHEX INC	05/05/2015	08/08/2016	471.51	393.72			77.79
19. PAYCHEX INC	05/05/2015	11/29/2016	1,126.87	930.79			196.08
906. PEARSON PLC SP ADR	05/05/2015	05/09/2016	10,475.58	18,124.17			-7,648.59
4. PEPSICO INC	02/04/2015	07/11/2016	435.31	388.28			47.03
20. PEPSICO INC	02/04/2015	08/08/2016	2,160.78	1,941.40			219.38
12. PEPSICO INC	02/04/2015	09/09/2016	1,250.48	1,164.84			85.64
57. PEPSICO INC	04/07/2015	12/14/2016	5,950.70	5,501.82			448.88
72. TELEKOMUNIKASI INDONESIA SP ADR	01/09/2015	01/11/2016	3,140.61	3,301.06			-160.45
10. TELEKOMUNIKASI INDONESIA SP ADR	02/04/2015	08/08/2016	661.89	447.80			214.09
12. TELEKOMUNIKASI INDONESIA SP ADR	04/07/2015	09/09/2016	727.17	532.10			195.07
55. TELEKOMUNIKASI INDONESIA SP ADR	04/07/2015	12/14/2016	1,581.28	1,196 38			384 90
13. PFIZER INC	04/07/2015	07/11/2016	468.64	450.83			17.81
68. PFIZER INC	04/07/2015	08/08/2016	2,369.75	2,358.17			11.58
11. PFIZER INC	04/07/2015	12/14/2016	359.75	381.47	W	21.72	
282. PFIZER INC	04/07/2015	12/14/2016	9,222.61	8,864.41			358.20
75000. PHILIP MORRIS INTL INC 2 5% 05/16/2016	01/18/2012	05/16/2016	75,000.00	76,440.15			-1,440.15
5. PRAXAIR INC	05/05/2015	08/08/2016	583.58	609.25			-25.67
6. PRAXAIR INC	05/05/2015	10/07/2016	712.78	731.11	W	18.33	
6. PRAXAIR INC	05/05/2015	12/14/2016	734 26	731.11			3.15
2. PROCTER & GAMBLE CO	02/04/2015	05/09/2016	163.96	172.18	W	8.22	
6. PROCTER & GAMBLE CO	02/04/2015	07/11/2016	513.83	516.54			-2.71
32. PROCTER & GAMBLE CO	02/04/2015	08/08/2016	2,741.73	2,682.64			59.09
8. PROCTER & GAMBLE CO	09/09/2014	09/09/2016	691.19	664.64			26.55
11. PROCTER & GAMBLE CO	04/07/2015	10/07/2016	988.55	910.16			78.39
65. PROCTER & GAMBLE CO	04/07/2015	12/14/2016	5,470.28	5,355.44			114.84
4. QUALCOMM INC	05/05/2015	07/11/2016	216.12	274.47			-58.35
Totals							

JSA
XG900 3 000

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
20. QUALCOMM INC	05/05/2015	08/08/2016	1,228.99	1,372 35			-143.36
9. QUALCOMM INC	05/05/2015	11/08/2016	613.52	617.56			-4.04
11. QUALCOMM INC	05/05/2015	11/29/2016	734.01	754.79			-20.78
54. QUALCOMM INC	05/05/2015	12/14/2016	3,641.68	3,705.35			-63.67
42. RAYTHEON CO NEW	11/07/2014	01/11/2016	5,158.77	4,211.28			947.49
5. RAYTHEON CO NEW	04/07/2015	08/08/2016	699.18	544.95			154.23
3. ROCKWELL AUTOMATION INC	05/05/2015	08/08/2016	353.27	364.74			-11.47
3. SK TELECOM CO LTD SP ADR	05/05/2015	07/11/2016	62.63	87.55			-24.92
16. SK TELECOM CO LTD SP ADR	05/05/2015	08/08/2016	355.43	466.92			-111.49
41. SK TELECOM CO LTD SP ADR	05/05/2015	09/09/2016	891.53	1,196.50	W	304.97	
11. SK TELECOM CO LTD SP ADR	05/05/2015	11/08/2016	238.36	321.01			-82.65
13. SK TELECOM CO LTD SP ADR	05/05/2015	11/29/2016	275.01	379.38			-104 37
24. SK TELECOM CO LTD SP ADR	05/05/2015	12/14/2016	519.59	700.39			-180.80
6. SANOFI SP ADR	09/09/2014	07/11/2016	248.27	335.17			-86.90
31. SANOFI SP ADR	09/09/2014	08/08/2016	1,239.66	1,731.70			-492.04
18. SANOFI SP ADR	09/09/2014	09/09/2016	705.77	963.31	W	257.54	
28. SANOFI SP ADR	07/14/2014	11/08/2016	1,121.94	1,456.71	W	334.77	
71. SANOFI SP ADR	04/07/2015	12/14/2016	2,808.91	3,686.80	W	877.88	-0.01
27. SANOFI SP ADR	05/05/2015	12/14/2016	1,068.18	1,375.49			-307.31
3. SCHLUMBERGER LTD	05/05/2015	07/11/2016	233.70	280.52			-46 82
17. SCHLUMBERGER LTD	05/05/2015	08/08/2016	1,400.60	1,589.58			-188.98
66. SCHLUMBERGER LTD	05/05/2015	09/09/2016	5,125.45	6,171.33			-1,045.88
37. SCHLUMBERGER LTD	05/05/2015	10/07/2016	3,005.49	3,459.69			-454 20
22. SCHLUMBERGER LTD	05/05/2015	11/29/2016	1,748.96	2,057.11	W	308.15	
18. SMUCKER J M CO	01/09/2015	01/11/2016	2,162.84	1,804.70			358.14
3. SMUCKER J M CO	05/05/2015	08/08/2016	462.77	350.13			112.64
19 SMUCKER J M CO	05/05/2015	09/09/2016	2,586.22	2,217.49			368.73
8. SMUCKER J M CO	05/05/2015	12/14/2016	1,021 42	933 68			87.74
4. STANLEY BLACK & DECKER INC	05/05/2015	08/08/2016	493.02	405.96			87.06
4. STANLEY BLACK & DECKER INC	05/05/2015	10/07/2016	489.18	405.96			83.22
12. STANLEY BLACK & DECKER INC	05/05/2015	12/14/2016	1,419.33	1,217.88			201.45
832. SYMANTEC CORP	05/05/2015	05/09/2016	13,802.67	18,371.43			-4,568.76
356. SYNGENTA AG SP ADR	05/05/2015	05/09/2016	28,732.17	25,923.99			2,808.18
Totals							

JSA
XG900 3 000

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
3. SYSCO CORP	02/04/2015	05/09/2016	148.83	120.42			28.41
12. SYSCO CORP	02/04/2015	08/08/2016	613.06	481.68			131 38
3. SYSCO CORP	02/04/2015	09/09/2016	149.43	120.42			29.01
77. SYSCO CORP	04/07/2015	11/08/2016	4,165.62	2,883.33			1,282.29
14. SYSCO CORP	05/08/2014	12/14/2016	775.72	517.02			258.70
9. TARGET CORP	05/05/2015	08/08/2016	673 72	714.47			-40.75
7. TARGET CORP	05/05/2015	10/07/2016	483.27	555.70	W	72.43	
63. TARGET CORP	05/05/2015	11/29/2016	4,930.37	5,001.28	W	70.91	
536. TENARIS S A. SP ADR	05/05/2015	05/09/2016	13,576.58	17,031.03			-3,454.45
80. TEVA PHARMACEUTICAL INDS LTD SP ADR	09/09/2014	01/11/2016	5,031.10	4,120.42			910.68
52. TEVA PHARMACEUTICAL INDS LTD SP ADR	05/05/2015	06/06/2016	2,838.12	3,188.11	W	349.99	
3. TEVA PHARMACEUTICAL INDS LTD SP ADR	05/05/2015	07/11/2016	152.75	183.93			-31.18
13. TEVA PHARMACEUTICAL INDS LTD SP ADR	05/05/2015	08/08/2016	691.06	797.03			-105.97
74. TEVA PHARMACEUTICAL INDS LTD SP ADR	11/13/2015	12/14/2016	2,731.28	4,472.44			-1,741.16
8. TEVA PHARMACEUTICAL INDS LTD SP ADR	05/05/2015	12/14/2016	295 27	490.48	W	195.20	-0.01
3. TEXAS INSTRS INC	04/07/2015	07/11/2016	189.87	171.45			18.42
15. TEXAS INSTRS INC	04/07/2015	08/08/2016	1,049.69	836.97			212.72
4 TEXAS INSTRS INC	12/04/2014	09/09/2016	266.92	222.36			44.56
12. TEXAS INSTRS INC	02/04/2015	10/07/2016	849.82	663.03			186.79
96. TEXAS INSTRS INC	05/05/2015	11/29/2016	7,137.44	5,216.56			1,920.88
3. THOMSON REUTERS CORP	04/07/2015	07/11/2016	124.10	125.07			-0.97
14. THOMSON REUTERS CORP	05/05/2015	08/08/2016	576 78	575.26			1.52
33. THOMSON REUTERS CORP	05/05/2015	11/08/2016	1,370.46	1,352.67			17.79
9. 3M CO	04/07/2015	08/08/2016	1,605.38	1,498.10			107.28
39. 3M CO	04/07/2015	11/08/2016	6,661.69	6,491.77			169.92
28. 3M CO	11/13/2015	12/14/2016	4,943.57	4,570.85			372.72
5. US BANCORP DE NEW	05/05/2015	07/11/2016	200.94	218.28			-17.34
24. US BANCORP DE NEW	05/05/2015	08/08/2016	1,027.43	1,047.74			-20 31
13. US BANCORP DE NEW	05/05/2015	10/07/2016	567.07	567.52	W	0 45	
34. US BANCORP DE NEW	05/05/2015	10/07/2016	1,483.12	1,484.30			-1.18
33. US BANCORP DE NEW	05/05/2015	11/29/2016	1,616.63	1,440.64			175.99
6. US BANCORP DE NEW	05/05/2015	12/14/2016	310.07	261.93			48.14
14. ULTRAPAR PARTICIPACOES S A SP ADR	05/08/2014	08/08/2016	323 53	360.78			-37.25
Totals							

JSA
XG900 3 000

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
7. ULTRAPAR PARTICIPACOES S A SP ADR	05/08/2014	09/09/2016	151.06	180.39	W	29.33	
13. ULTRAPAR PARTICIPACOES S A SP ADR	05/08/2014	09/09/2016	280.53	335.01			-54.48
106. ULTRAPAR PARTICIPACOES S A SP ADR	05/08/2014	11/29/2016	2,147.52	2,731.62	W	584.10	
7. UNILEVER PLC	05/08/2014	07/11/2016	331.79	311.04			20.75
34. UNILEVER PLC	05/08/2014	08/08/2016	1,559.20	1,510.78			48 42
11. UNILEVER PLC	05/08/2014	09/09/2016	510.85	488.78			22.07
28. UNILEVER PLC	05/08/2014	11/29/2016	1,103.17	1,244.17			-141.00
12. UNILEVER PLC	05/08/2014	11/29/2016	472.79	533.21	W	60.43	0.01
66. UNILEVER PLC	05/08/2014	12/14/2016	2,651.16	2,932.69			-281.53
11. UNION PACIFIC CORP	05/05/2015	08/08/2016	1,031.11	1,175.82			-144.71
30. UNION PACIFIC CORP	05/05/2015	09/09/2016	2,786.70	3,206.77			-420 07
11. UNITED PARCEL SERVICE CL B	05/05/2015	08/08/2016	1,197.87	1,106.78			91.09
10. UNITED PARCEL SERVICE CL B	05/05/2015	11/08/2016	1,117.97	1,006.17			111.80
8. UNITED PARCEL SERVICE CL B	05/05/2015	12/14/2016	936.77	804.93			131.84
125000. US TREASURY N/B 1.5% 07/31/2016	02/01/2012	07/31/2016	125,000.00	127,090.90			-2,090.90
11. UNITED TECHNOLOGIES CORP	05/05/2015	08/08/2016	1,183 45	1,272.85			-89.40
6. UNITED TECHNOLOGIES CORP	05/05/2015	10/07/2016	604.01	694.28	W	90.27	
2. UNITED TECHNOLOGIES CORP	05/05/2015	12/14/2016	218.36	231.43			-13.07
7. UNITED TECHNOLOGIES CORP	05/05/2015	12/14/2016	764.26	810.00	W	45.74	
2. VALERO ENERGY CORP NEW	05/05/2015	06/06/2016	109 56	116.37	W	6.81	
8. VALERO ENERGY CORP NEW	05/05/2015	08/08/2016	433.11	465.47			-32.36
9. VALERO ENERGY CORP NEW	05/05/2015	10/07/2016	487.52	523.65	W	36.13	
28. VALERO ENERGY CORP NEW	05/05/2015	11/29/2016	1,784.40	1,629.14			155.26
34. VALERO ENERGY CORP NEW	05/05/2015	12/14/2016	2,259.25	1,978.24			281.01
263. WPP PLC SP ADR	05/05/2015	05/09/2016	30,624.58	28,992.50			1,632.08
210. WALMART STORES INC	11/07/2014	01/11/2016	13,397.75	16,410.10			-3,012.35
7. WALMART STORES INC	02/04/2015	07/11/2016	517.85	607.92			-90.07
35. WALMART STORES INC	02/04/2015	08/08/2016	2,565.11	3,039.60			-474.49
9. WALMART STORES INC	02/04/2015	12/14/2016	641.59	781.61			-140.02
9. WASTE MANAGEMENT INC	04/07/2015	08/08/2016	589.21	493.11			96.10
40. WASTE MANAGEMENT INC	05/05/2015	11/29/2016	2,812.30	2,032.67			779.63
9. WELLS FARGO & CO NEW	05/05/2015	07/11/2016	432.26	505.49			-73.23
46. WELLS FARGO & CO NEW	05/05/2015	08/08/2016	2,246.61	2,583.62			-337.01
Totals							

JSA
XG900 3 000

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
47. WELLS FARGO & CO NEW	05/05/2015	10/07/2016	2,128.59	2,639.79	W	511.20	
11. WELLS FARGO & CO NEW	05/05/2015	10/07/2016	498.18	617.82			-119 64
31. WELLS FARGO & CO NEW	05/05/2015	11/29/2016	1,604.52	1,741.14	W	136.62	
112. WELLS FARGO & CO NEW	05/05/2015	12/14/2016	6,149.79	6,290.56			-140.77
75000. WELLS FARGO & CO STEP CPN 3.676% 06/15/2016	01/24/2012	06/15/2016	75,000.00	75,000.00			
186. WESTERN DIGITAL CORP	05/05/2015	05/09/2016	6,861.38	18,157.45			-11,296.07
9. XEROX CORP	04/07/2015	06/06/2016	92.10	117.45	W	25.35	
5 XEROX CORP	04/07/2015	07/11/2016	47.14	65 25			-18.11
26. XEROX CORP	04/07/2015	08/08/2016	256.96	339.30			-82.34
58. XEROX CORP	04/07/2015	11/29/2016	541.71	718.79	W	177.08	
351. YUM BRANDS INC	05/05/2015	05/09/2016	28,718.19	31,735.77			-3,017.58
542. ACCENTURE PLC CL A	05/05/2015	05/09/2016	62,564.56	41,277 98			21,286.58
9. EATON CORP PLC	05/08/2014	08/08/2016	591.19	638.46			-47.27
14. EATON CORP PLC	05/08/2014	10/07/2016	902.84	993.16	W	90.32	
9. EATON CORP PLC	05/08/2014	12/14/2016	609.01	633.33			-24.32
7. EATON CORP PLC	05/08/2014	12/14/2016	473.68	492.59	W	18.91	
327. SEAGATE TECHNOLOGY	05/05/2015	05/09/2016	6,137.64	17,607.84			-11,470.20
7. LYONDELLBASELL INDS NV CL A	05/08/2014	08/08/2016	527.85	672.54			-144.69
4. LYONDELLBASELL INDS NV CL A	05/08/2014	09/09/2016	305.60	384.31			-78.71
15. LYONDELLBASELL INDS NV CL A	05/08/2014	10/07/2016	1,221.27	1,441.16			-219.89
20. LYONDELLBASELL INDS NV CL A	05/08/2014	11/29/2016	1,666.36	1,921.55	W	255.19	
Totals			1,934,672.33	1,971,068.55		11,424.79	-24,971.43

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