EXTENDED TO NOVEMBER 15, 2017

Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation: AL & CHAR HATFIELD FAMILY FOUNDATION

A Employer identification number: 45-4111437

Number and street (or P O box number if mail is not delivered to street address): 4800 OCEAN BLVD | Room/suite:

B Telephone number: 612-810-8082

City or town, state or province, country, and ZIP or foreign postal code: SARASOTA, FL 34242

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 6,765,641.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	1,400.		N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	213,998.	213,998.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	163,152.			
	b Gross sales price for all assets on line 6a 3,232,116.				
	7 Capital gain net income (from Part IV, line 2)		163,152.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	5,000.	0.		STATEMENT 2
	12 **Total.** Add lines 1 through 11	383,550.	377,150.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	13,735.	0.		13,735.
	c Other professional fees STMT 4	21,018.	21,018.		0.
	17 Interest				
	18 Taxes STMT 5	16,001.	4,693.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	574.	0.		574.
	22 Printing and publications				
	23 Other expenses STMT 6	55,322.	50,347.		4,975.
	24 **Total operating and administrative expenses** Add lines 13 through 23	106,650.	76,058.		19,284.
	25 Contributions, gifts, grants paid	403,500.			403,500.
	26 **Total expenses and disbursements.** Add lines 24 and 25	510,150.	76,058.		422,784.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<126,600.>			
	b **Net investment income** (if negative, enter -0-)		301,092.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	85,167.	103,665.	103,665.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ 120,000.			
	Less: allowance for doubtful accounts ▶ 0.	0.	120,000.	120,000.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	3,620,244.	3,397,967.	3,397,967.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	2,598,868.	3,144,009.	3,144,009.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	6,304,279.	6,765,641.	6,765,641.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	6,304,279.	6,765,641.	
	30 Total net assets or fund balances	6,304,279.	6,765,641.	
	31 Total liabilities and net assets/fund balances	6,304,279.	6,765,641.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,304,279.
2	Enter amount from Part I, line 27a	2	<126,600.>
3	Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN ON INVESTMENTS	3	587,962.
4	Add lines 1, 2, and 3	4	6,765,641.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,765,641.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	3,232,116.		3,068,964.	163,152.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				163,152.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	163,152.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	385,190.	7,528,913.	.051161
2014	468,066.	8,144,859.	.057468
2013	259,541.	6,960,750.	.037286
2012	500.	5,627,768.	.000089
2011	0.	205,210.	.000000

2 **Total** of line 1, column (d)	2	.146004
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.029201
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	7,767,437.
5 Multiply line 4 by line 3	5	226,817.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,011.
7 Add lines 5 and 6	7	229,828.
8 Enter qualifying distributions from Part XII, line 4	8	422,784.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	3,011.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	3,011.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	3,011.
6 Credits/Payments:				
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	7,461.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	7,461.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	4,450.
11 Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶	4,450.	**Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MN			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ THE ORGANIZATION Telephone no.▶612-810-8082			
	Located at ▶4800 OCEAN BLVD, SARASOTA, FL ZIP+4 ▶34242			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.			
	▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b	
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII | Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
M. ALLEN HATFIELD 4800 OCEAN BLVD SARASOTA, FL 34242	DIRECTOR 1.00	0.	0.	0.
CHARLENE DUBOIS HATFIELD 4800 OCEAN BLVD SARASOTA, FL 34242	DIRECTOR 1.00	0.	0.	0.
LARRY JEDELLOH 4800 OCEAN BLVD SARASOTA, FL 34242	DIRECTOR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	5,999,839.
b	Average of monthly cash balances		1b	325,154.
c	Fair market value of all other assets		1c	1,560,730.
d	**Total** (add lines 1a, b, and c)		1d	7,885,723.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	7,885,723.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	118,286.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	7,767,437.
6	**Minimum investment return.** Enter 5% of line 5		6	388,372.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	388,372.
2a	Tax on investment income for 2016 from Part VI, line 5	2a 3,011.		
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	3,011.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	385,361.
4	Recoveries of amounts treated as qualifying distributions		4	5,000.
5	Add lines 3 and 4		5	390,361.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	390,361.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	422,784.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	422,784.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	3,011.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	419,773.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				390,361.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014 69,941.				
e From 2015 13,770.				
f **Total** of lines 3a through e	83,711.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 422,784.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				390,361.
e Remaining amount distributed out of corpus	32,423.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	116,134.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	116,134.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014 69,941.				
d Excess from 2015 13,770.				
e Excess from 2016 32,423.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 9

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AGAINST MALARIA FOUNDATION 310 W. 20TH STREET KANSAS CITY, MO 64108	NONE	501(C)(3)	PROGRAM SUPPORT	5,000.
ALL FAITHS FOOD BANK 8171 BLAIKIE CT SARASOTA, FL 34240	NONE	501(C)(3)	PROGRAM SUPPORT	2,000.
COMMON HOPE 1400 ENERGY PARK DRIVE #23 ST. PAUL, MN 55108	NONE	501(C)(3)	PROGRAM SUPPORT	5,000.
FRIENDS OF SOUTH GEORGIA ISLAND 25 DAKOTA MEADOWS CARBONDALE, CO 81623	NONE	501(C)(3)	PROGRAM SUPPORT	5,000.
GIVE DIRECT INC PO BOX 3221 NEW YORK, NY 10008	NONE	501(C)(3)	PROGRAM SUPPORT	5,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	403,500.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	213,998.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	163,152.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a RECOVERY OF PRIOR					
b QUALIFYING DISTRIBUTION					5,000.
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		377,150.	5,000.
13 **Total.** Add line 12, columns (b), (d), and (e)				13	382,150.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
11A	RECOVERY OF PRIOR QUALIFYING DISTRIBUTION

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 11/4/17 Title: DIRECTOR

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
RYAN VETTRUS, CPA	[signature]	11/3/17		P01243596
Firm's name ▶ OLSEN THIELEN & CO., LTD			Firm's EIN ▶ 41-1360831	
Firm's address ▶ 2675 LONG LAKE ROAD ST. PAUL, MN 55113			Phone no. 651-483-4521	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM PARTNERSHIP K-1'S-CAPITAL GAINS	P		
b	STIFEL X0457 - S/T SEE ATTACHED SCHEDULE	P		
c	STIFEL X8058 - S/T SEE ATTACHED SCHEDULE	P		
d	STIFEL X8058 - L/T SEE ATTACHED SCHEDULE	P		
e	CRESTWOOD EQUITY PARTNERS LP - ADJ TO BASIS-SOLD	P		
f	CAPITAL GAINS DIVIDENDS			
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	234.			234.
b	22,214.		25,782.	<3,568.>
c	1,445,008.		1,449,674.	<4,666.>
d	1,731,817.		1,593,508.	138,309.
e	15,032.			15,032.
f	17,811.			17,811.
g				
h				
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				234.
b				<3,568.>
c				<4,666.>
d				138,309.
e				15,032.
f				17,811.
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	163,152.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HAITI OUTREACH 15119 MINNETONKA BLVD MINNETONKA, MN 55345	NONE	501(C)(3)	PROGRAM SUPPORT	10,000.
HEIFER PROJECT INTERNATIONAL 1 WORLD AVE LITTLE ROCK, AR 72202	NONE	501(C)(3)	PROGRAM SUPPORT	10,000.
IMPERIAL COLLEGE TRUST KENSINGTON, SW7 2AZ LONDON, UNITED KINGDOM	NONE	REGISTERED CHARITY IN UK	SCHISTOSOMIASIS PROGRAM SUPPORT	5,000.
INTERNATIONAL WOLF CENTER 3410 WINNETKA AVE N MINNEAPOLIS, MN 55427	NONE	501(C)(3)	PROGRAM SUPPORT	500.
LAKE VERMILLION FIRE BRIGADE P.O. BOX 490 COOK, MN 55723	NONE	501(C)(3)	PROGRAM SUPPORT	35,000.
MARIE SELBY BOTANICAL GARDENS 811 S PALM AVE SARASOTA, FL 34236	NONE	501(C)(3)	PROGRAM SUPPORT	1,000.
MAYO CLINIC 200 FIRST STEET SW ROCHESTER, MN 55905	NONE	501(C)(3)	PROGRAM SUPPORT	200,000.
MINNESOTANS AGAINST TERRORISM PO BOX 368 HOPKINS, MN 55343-0368	NONE	501(C)(3)	PROGRAM SUPPORT	5,000.
NATIONAL CENTER FOR CHILDREN AND FAMILIES 6301 GREENTREE ROAD BETHESDA, MD 20817	NONE	501(C)(3)	PROGRAM SUPPORT	2,000.
NATIONAL PUBLIC RADIO 1111 NORTH CAPITOL STREET WN, DC 20002	NONE	501(C)(3)	PROGRAM SUPPORT	10,000.
Total from continuation sheets				381,500.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NORTHERN STAR COUNCIL 393 MARSHALL AVENUE ST. PAUL, MN 55102-1795	NONE	501(C)(3)	PROGRAM SUPPORT	25,000.
PATHWAYS TO CHILDREN 100 SECOND ST SE #1201 MINNEAPOLIS, MN 55414	NONE	501(C)(3)	PROGRAM SUPPORT	10,000.
PLANNED PARENTHOOD 671 VANDALIA ST ST. PAUL, MN 55114	NONE	501(C)(3)	PROGRAM SUPPORT	5,000.
PROJECT FOR PEOPLE OF PARAGUAY PO BOX 251 AVON, MN 56310	NONE	501(C)(3)	PROGRAM SUPPORT	10,000.
SAVE OUR SEABIRDS 1708 KEN THOMPSON PARKWAY SARASOTA, FL 34236	NONE	501(C)(3)	PROGRAM SUPPORT	1,000.
SEMPER FI FUND 825 COLLEGE BLVD OCEANSIDE, CA 92057	NONE	501(C)(3)	PROGRAM SUPPORT	10,000.
THE NATURE CONSERVANCY 4245 NORTH FAIRFAX DR ARLINGTON, VA 22203	NONE	501(C)(3)	PROGRAM SUPPORT	10,000.
W.C. HEIAM MEDICAL FOUNDATION P.O. BOX 1195 COOK, MN 55723	NONE	501(C)(3)	PROGRAM SUPPORT	10,000.
WOODLAND HILLS 4321 ALLENDALE AVE DULUTH, MN 55803	NONE	501(C)(3)	PROGRAM SUPPORT	5,000.
WORLD WILDLIFE FUND 1250 24TH ST. NW WASHINGTON, DC 20037-1193	NONE	501(C)(3)	PROGRAM SUPPORT	5,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEIGHBORS HELPING NEIGHBORS 1956 SPRUCE PL WHITE BEAR LAKE, MN 55110	NONE	501(C)(3)	PROGRAM SUPPORT	2,000.
HUMANE SOCIETY OF SARASOTA 2331 15TH ST SARASOTA, FL 34237	NONE	501(C)(3)	PROGRAM SUPPORT	5,000.
SARASOTA CAT DEPOT 2542 17TH ST SARASOTA, FL 34234	NONE	501(C)(3)	PROGRAM SUPPORT	3,000.
UNDERDOG RESCUE PO BOX 16453 ST. LOUIS PARK, MN 55416	NONE	501(C)(3)	PROGRAM SUPPORT	2,000.
Total from continuation sheets				

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ONEOME, LLC INTEREST	6,111.	0.	6,111.	6,111.	
OTHER PARTNERSHIP INVESTMENT INCOME	3,281.	0.	3,281.	3,281.	
PARTNERSHIP DIVIDEND INCOME	1,570.	0.	1,570.	1,570.	
PARTNERSHIP INTEREST INCOME	2,262.	0.	2,262.	2,262.	
STIFEL NICOLAUS DIVIDEND INCOME	217,006.	17,811.	199,195.	199,195.	
STIFEL NICOLAUS INTEREST INCOME	1,579.	0.	1,579.	1,579.	
TO PART I, LINE 4	231,809.	17,811.	213,998.	213,998.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
RECOVERY OF PRIOR QUALIFYING DISTRIBUTION	5,000.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	5,000.	0.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREP FEES	13,735.	0.		13,735.
TO FORM 990-PF, PG 1, LN 16B	13,735.	0.		13,735.

FORM 990-PF	OTHER PROFESSIONAL FEES		STATEMENT 4	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADVISORY FEES - STIFEL NICOLAUS	21,018.	21,018.		0.
TO FORM 990-PF, PG 1, LN 16C	21,018.	21,018.		0.

FORM 990-PF	TAXES		STATEMENT 5	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	3,774.	3,774.		0.
FOREIGN TAX PAID FROM PARTNERSHIP K-1	919.	919.		0.
FEDERAL TAX PAYMENTS	11,308.	0.		0.
TO FORM 990-PF, PG 1, LN 18	16,001.	4,693.		0.

FORM 990-PF	OTHER EXPENSES		STATEMENT 6	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER PARTNERSHIP INVESTMENT EXPENSES NET	149.	149.		0.
OTHER PARTNERSHIP UNRELATED BUSINESS LOSS-REPORTED ON 990-T	50,198.	50,198.		0.
STATE FEES	25.	0.		25.
DUES AND SUBSCRIPTIONS	4,950.	0.		4,950.
TO FORM 990-PF, PG 1, LN 23	55,322.	50,347.		4,975.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STIFEL NICOLAUS - EQUITIES (DETAIL ATTACHED)	2,977,056.	2,977,056.
STIFEL NICOLAUS - PREFERREDS (DETAIL ATTACHED)	320,910.	320,910.
MEDICOM SERIES A PREFERRED	100,001.	100,001.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,397,967.	3,397,967.

FORM 990-PF OTHER INVESTMENTS STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
STIFEL NICOLAUS - MUTUAL FUNDS (DETAIL ATTACHED)	FMV	186,971.	186,971.
STIFEL NICOLAUS - PUBLICALY TRADED PARTNERSHIPS (DETAIL ATTACHED)	FMV	1,516,308.	1,516,308.
ONEOME, LLC	FMV	32,491.	32,491.
HATFIELD FAMILY FUND 1, LLC	FMV	1,408,239.	1,408,239.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,144,009.	3,144,009.

FORM 990-PF PART XV - LINE 1A STATEMENT 9
LIST OF FOUNDATION MANAGERS

NAME OF MANAGER
M. ALLEN HATFIELD
CHARLENE DUBOIS HATFIELD

STIFEL

Al & Char Hatfield Family Foundation
EIN: 45-111437
Investments at Fair Market Value
December 31, 2016

AL AND CHAR HATFIELD FAMILY FOUNDATION
DBA CLASS OF 1956 HICKMAN
HIGH SCHOOL FUND/HORIZON ACCT

December 1 - December 31, 2016
Account Number: GM01)457

ASSET DETAILS

This section shows the cash equivalents and/or securities in your account. Prices obtained from outside sources are considered reliable but are not guaranteed by Stifel. Actual prices may vary, and upon sale, you may receive more or less than your original purchase price. Contact your Financial Advisor for current price quotes. Cost basis may be adjusted due to, but not limited to, the following amortization, accretion, principal paydowns, capital changes, listed option premiums, gifting rules, inheritance stop-up, or wash sales. Tax lot details are provided for each acquired security (maximum of ten) excluding reinvested tax lots Reinvested tax lots and acquisitions in excess of ten will be aggregated. The unrealized gain/loss is provided for informational purposes only and should not be relied upon for tax purposes. Lot detail quantity displayed is truncated to the one thousandth of a share.

NET CASH EQUIVALENTS

	Current value	Cost Basis	Estimated Annualized Income	Estimated Yield %
INSURED BANK PROGRAM	2,948 94	2,948 94	2 36	0.08%
Total Net Cash Equivalents	**$2,948.94**	**$2,948.94**	**$2.36**	**0.08%**

Insured Bank Program deposits may be held at multiple banks. For information regarding specific banks visit IMPORTANT DISCLOSURES on www.stifel com. The deposits are not covered by the Securities Investor Protection Act (SIPC) Balances in the Program are insured up to the FDIC limit in each bank

Balances in the Insured Bank Program or in any money market fund offered as an available fund for Cash Investment Services at Stifel can be liquidated upon request and returned to your brokerage account or remitted directly to you.

PORTFOLIO ASSETS - HELD AT STIFEL

Equities	Symbol/ Type/	Quantity	Current Price	Current Value	Cost Basis	Unrealized Gain/(-)Loss 10/ Term	Estimated Annualized Income	Estimated Yield %
NEW RESIDENTIAL INVT CORP NEW CUSIP. 64828T201	NRZ							
Acquired: 06/10/15	Cash	800	15 7200	12,576 00	12,719.92	-143.92 L	1,472 00	11.70%
STARWOOD PROPERTY TRUST INC CUSIP. 85571B105	STWD							
Acquired 06/10/15	Cash	500	21 9500	10,975.00	11,519 95	-544 95 L	960 00	8.75%
Total Equities				**$23,551.00**	**$24,239.87**	**-$688.87**	**$2,432.00**	**10.33%**

STIFEL|ADVANTAGE

Al & Char Hatfield Family Foundation
EIN: 45-111437
Investments at Fair Market Value
December 31, 2016

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Mutual Funds	Symbol/ Type/	Quantity	Current Price	Current Value	Cost Basis	Unrealized Gain/(-)Loss [10]/ Term	Estimated Annualized Income	Estimated Yield %
Open-End Funds								
LORD ABBETT SHORT DURATION INCOME CL F CUSIP. 543916464 *Dividend Option: Reinvest*	LDLFX							
Acquired· 06/01/16	Cash	5,542.725		23,833.72	24,000.00	-166.28 S		
Acquired: Various[9]	Cash	111.717		480.38	485.02	-4.63		
Total		5,654.442	4.3000	24,314.10	24,485.02	-170.91	989.52	4.07%
						Original Investment[7]	*24,000.00*	
						Cumulative Return[8]	*314.10*	

Mutual Funds	Symbol/ Type/	Quantity	Current Price	Current Value	Cost Basis	Unrealized Gain/(-)Loss [10]/ Term	Estimated Annualized Income	Estimated Yield %
Closed-End Funds								
KAYNE ANDERSON MLP INVESTMENT COMPANY CUSIP 486606106	KYN							
Acquired 12/22/15	Cash	450	19.5800	8,811.00	6,803.96	2,007 04 L	990.00	11.24%
						Original Investment[7]	*6,803.96*	
						Cumulative Return[8]	*2,007.04*	
Total Mutual Funds				**$33,125.10**	**$31,288.98**	**$1,836.13**	**$1,979.52**	**5.98%**
Total Portfolio Assets - Held at Stifel				**$56,676.10**	**$55,528.85**	**$1,147.26**	**$4,411.52**	**7.78%**
Total Net Portfolio Value				**$59,625.04**	**$58,477.79**	**$1,147.26**	**$4,413.88**	**7.40%**

FOOTNOTE DEFINITIONS

L Gain/loss may be long term.
S Gain/loss may be short term.
O Gain/loss is undeterminable or unavailable.
7 **Original Investment:** Total amount invested in the purchased shares still held in the account. Does not include shares purchased through automatic reinvestment of capital gains and dividends
8 **Cumulative Return:** Current Value minus Original Investment. This is the capital appreciation (depreciation) of all shares purchased, including shares purchased through automatic reinvestment of capital gains and dividends.
9 **Various:** Entry will include all reinvested tax lots where applicable and may include acquired tax lots when more than 10 non-reinvested tax lots exist for the security. Investment information is aggregated for the "Various" entries for this security. Gain/Loss term information may vary for aggregated tax lots. Please contact your Financial Advisor for more information
10 Please note "Unrealized Gain/(-)Loss" does not equal the total current value minus the total cost if any value or cost amounts are missing. Unrealized gains or losses are provided for your information only and should not be used for tax purposes.

STIFEL

Al & Char Hatfield Family Foundation
EIN: 45-111437
Investments at Fair Market Value
December 31, 2016

ASSET DETAILS

This section shows the cash equivalents and/or securities in your account. Prices obtained from outside sources are considered reliable but are not guaranteed by Stifel. Actual prices may vary, and upon sale, you may receive more or less than your original purchase price. Contact your Financial Advisor for current price quotes. Cost basis may be adjusted due to, but not limited to, the following amortization, accretion, principal paydowns, capital changes, listed option premiums, gifting rules, inheritance step-up, or wash sales Tax lot details are provided for each acquired security (maximum of ten) excluding reinvested tax lots. Reinvested tax lots and acquisitions in excess of ten will be aggregated. The unrealized gain/loss is provided for informational purposes only and should not be relied upon for tax purposes. Lot detail quantity displayed is truncated to the one thousandth of a share.

NET CASH EQUIVALENTS

	Current value	Cost Basis	Estimated Annualized Income	Estimated Yield %
CASH	40,000 00	40,000.00		
INSURED BANK PROGRAM	60,715.63	60,715.63	48.57	0.08%
Total Net Cash Equivalents	**$100,715.63**	**$100,715.63**	**$48.57**	**0.05%**

Insured Bank Program deposits may be held at multiple banks. For information regarding specific banks visit IMPORTANT DISCLOSURES on www.stifel com. The deposits are not covered by the Securities Investor Protection Act (SIPC). Balances in the Program are insured up to the FDIC limit in each bank

Balances in the Insured Bank Program or in any money market fund offered as an available fund for Cash Investment Services at Stifel can be liquidated upon request and returned to your brokerage account or remitted directly to you

PORTFOLIO ASSETS - HELD AT STIFEL

Equities	Symbol/ Type/	Quantity	Current Price	Current Value	Cost Basis	Unrealized Gain/(-)Loss 10/ Term	Estimated Annualized Income	Estimated Yield %
AT&T INC	T							
CUSIP: 00206R102								
Acquired· 01/12/12	Cash	1,334		56,735.02	40,246.78	16,488.24 L		
Acquired: 01/13/12	Cash	1,334		56,735.02	39,939 96	16,795.06 L		
Acquired 01/25/12	Cash	668		28,410 04	20,013.08	8,396.96 L		
Total		**3,336**	**42.5300**	**141,880.08**	**100,199.82**	**41,680.26**	**6,538.56**	**4.61%**
AMERICAN INTL GROUP INC NEW	AIG							
CUSIP 026874784								
Acquired 01/28/13	Cash	2,500	65.3100	163,275 00	92,724 20	70,550 80 L	3,200.00	1.96%
APPLE INC	AAPL							
CUSIP. 037833100								
Acquired· 09/18/13	Cash	1,400	115 8200	162,148.00	92,419.98	69,728.02 L	3,192 00	1.97%

Al & Char Hatfield Family Foundation
EIN: 45-111437
Investments at Fair Market Value
December 31, 2016

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type/	Quantity	Current Price	Current Value	Cost Basis	Unrealized Gain/(-)Loss 10/ Term	Estimated Annualized Income	Estimated Yield %
ARES CAPITAL CORP CUSIP: 04010L103	ARCC							
Acquired: 01/12/12	Cash	1,575		25,971.75	25,058 09	913.66 L		
Acquired: 01/13/12	Cash	1,575		25,971.75	25,026.44	945 31 L		
Acquired. 01/25/12	Cash	797		13,142.53	12,496.16	646.37 L		
Total		3,947	16.4900	65,086.03	62,580.69	2,505.34	5,999.44	9.22%
BCE INC NEW CUSIP: 05534B760	BCE							
Acquired: 02/08/12	Cash	490		21,187.60	20,026.01	1,161.59 L		
Acquired: 02/08/12	Cash	490		21,187.60	20,079.12	1,108 48 L		
Acquired: 02/17/12	Cash	501		21,663 24	19,944.21	1,719.03 L		
Acquired 02/27/12	Cash	979		42,331 96	39,215.22	3,116.74 L		
Total		2,460	43.2400	106,370.40	99,264.56	7,105.84	4,994.53	4.70%
BANK MONTREAL QUEBEC CUSIP: 063671101	BMO							
Acquired: 02/08/12	Cash	342		24,596.64	20,105.77	4,490.87 L		
Acquired: 02/08/12	Cash	342		24,596.64	20,061.00	4,535.64 L		
Acquired: 02/17/12	Cash	343		24,668.56	19,952.10	4,716.46 L		
Acquired: 02/27/12	Cash	676		48,617.92	39,153.92	9,464 00 L		
Total		1,703	71.9200	122,479.76	99,272.79	23,206.97	4,356.78	3.56%
CBL & ASSOCIATES PROPERTIES INC CUSIP: 124830100	CBL							
Acquired: 02/08/12	Cash	1,084		12,466.00	20,133.24	-7,667 24 L		
Acquired 02/08/12	Cash	1,084		12,466.00	20,103.65	-7,637 65 L		
Acquired. 02/17/12	Cash	1,141		13,121.50	19,996.14	-6,874.64 L		
Acquired. 02/27/12	Cash	2,232		25,668.00	39,493.01	-13,825 01 L		
Total		5,541	11.5000	63,721.50	99,726.04	-36,004.54	5,873.46	9.22%
DONNELLEY R R & SONS COMPANY NEW CUSIP: 257867200	RRD							
Acquired. 02/08/12	Cash	537		8,763.84	11,040.50	-2,276.66 L		
Acquired: 02/08/12	Cash	537.333		8,769.28	11,171.43	-2,402.15 L		
Acquired: 02/17/12	Cash	506.333		8,263.36	11,028 91	-2,765 55 L		

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type/	Quantity	Current Price	Current Value	Cost Basis	Unrealized Gain/(-)Loss [10]/ Term	Estimated Annualized Income	Estimated Yield %
Acquired 02/27/12	Cash	926 333		15,117.76	21,558.35	-6,440.59 L		
Total		**2,507**	**16.3200**	**40,914.24**	**54,799.19**	**-13,884.95**	**1,403.92**	**3.43%**
DUKE REALTY CORP NEW CUSIP: 264411505 *Original Cost· 99,539.18*	DRE							
Acquired: 02/08/12	Cash	1,443		38,326.08	19,435.84	18,890.24 L		
Acquired: 02/08/12	Cash	1,443		38,326 08	19,146 93	19,179.15 L		
Acquired: 02/17/12	Cash	1,440		38,246 40	19,260.24	18,986.16 L		
Acquired: 02/27/12	Cash	2,824		75,005.44	37,807.64	37,197.80 L		
Total		**7,150**	**26.5600**	**189,904.00**	**95,650.65**	**94,253.35**	**5,434.00**	**2.86%**
GENERAL ELECTRIC COMPANY CUSIP: 369604103	GE							
Acquired: 01/12/12	Cash	2,119		66,960.40	39,985.53	26,974.87 L		
Acquired: 01/13/12	Cash	2,119		66,960.40	39,837 20	27,123 20 L		
Acquired: 01/25/12	Cash	1,055		33,338.00	20,045.00	13,293.00 L		
Total		**5,293**	**31.6000**	**167,258.80**	**99,867.73**	**67,391.07**	**5,081.28**	**3.04%**
KIMBERLY CLARK CORP CUSIP. 494368103	KMB							
Acquired. 01/12/12	Cash	276		31,497.12	19,200.15	12,296.97 L		
Acquired: 01/13/12	Cash	276		31,497.12	19,247.85	12,249.27 L		
Acquired: 01/25/12	Cash	139		15,862.68	9,592.41	6,270 27 L		
Total		**691**	**114.1200**	**78,856.92**	**48,040.41**	**30,816.51**	**2,542.88**	**3.22%**
KINDER MORGAN INC DE CUSIP: 49456B101	KMI							
Acquired: 01/12/12	Cash	3,272.425		67,771 92	82,960 20	-15,188 28 L		
Acquired: 01/13/12	Cash	3,272.878		67,781 31	82,433 63	-14,652.32 L		
Acquired· 01/25/12	Cash	1,589.696		32,922.62	41,759 22	-8,836 60 L		
Total		**8,135**	**20.7100**	**168,475.85**	**207,153.05**	**-38,677.20**	**4,067.50**	**2.41%**
LIBERTY PROPERTY TRUST CUSIP: 531172104 *Original Cost: 99,498.12*	LPT							
Acquired· 02/08/12	Cash	598		23,621.00	19,860.58	3,760.42 L		
Acquired· 02/08/12	Cash	598		23,621.00	19,823.32	3,797.68 L		
Acquired: 02/17/12	Cash	587		23,186.50	19,531.79	3,654.71 L		

Al & Char Hatfield Family Foundation
EIN: 45-111437
Investments at Fair Market Value
December 31, 2016

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type/	Quantity	Current Price	Current Value	Cost Basis	Unrealized Gain/(-)Loss 10/ Term	Estimated Annualized Income	Estimated Yield %
Acquired: 02/27/12	Cash	1,168		46,136 00	38,585.82	7,550 18 L		
Total		**2,951**	**39.5000**	**116,564.50**	**97,801.51**	**18,762.99**	**5,606.90**	**4.81%**
MERCK & COMPANY INC NEW	MRK							
CUSIP 58933Y105								
Acquired: 01/12/12	Cash	1,041		61,283.67	40,244.75	21,038.92 L		
Acquired: 01/13/12	Cash	1,041		61,283 67	39,870 30	21,413.37 L		
Acquired 01/25/12	Cash	518		30,494.66	19,958 54	10,536 12 L		
Total		**2,600**	**58.8700**	**153,062.00**	**100,073.59**	**52,988.41**	**4,888.00**	**3.19%**
NEW RESIDENTIAL INVT CORP NEW	NRZ							
CUSIP: 64828T201								
Acquired. 05/16/13	Cash	2,500	15.7200	39,300.00	34,450.00	4,850.00 L	4,600.00	11.70%
NEW SENIOR INVESTMENT GROUP INC	SNR							
CUSIP. 648691103								
Original Cost. 15,010.66								
Acquired. 11/07/14	Cash	833	9 7900	8,155.07	14,194.32	-6,039.25 L	866.32	10.62%
NORTHSTAR REALTY FIN CORP PAR$	NRF							
CUSIP. 66704R803								
Original Cost: 40,007.36								
Acquired. 02/08/12	Cash	930.500		14,097.07	3,927.25	10,169.83 L		
Acquired· 02/08/12	Cash	931		14,104.65	3,941.02	10,163.63 L		
Acquired 02/17/12	Cash	878.750		13,313.06	4,012.98	9,300.08 L		
Acquired. 02/27/12	Cash	1,802.750		27,311 67	7,326 24	19,985.42 L		
Total		**4,543**	**15.1500**	**68,826.45**	**19,207.49**	**49,618.96**	**7,268.80**	**10.56%**
PENNANTPARK INVESTMENT CORP	PNNT							
CUSIP 708062104								
Acquired. 02/08/12	Cash	1,878		14,385 48	20,008 02	-5,622 54 L		
Acquired. 02/08/12	Cash	1,878		14,385.48	19,704.54	-5,319.06 L		
Acquired. 02/17/12	Cash	1,843		14,117 38	20,066.58	-5,949 20 L		
Acquired: 02/27/12	Cash	3,502		26,825 32	39,106.48	-12,281 16 L		
Total		**9,101**	**7.6600**	**69,713.66**	**98,885.62**	**-29,171.96**	**10,193.12**	**14.62%**

Al & Char Hatfield Family Foundation
EIN: 45-111437
Investments at Fair Market Value
December 31, 2016

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type/	Quantity	Current Price	Current Value	Cost Basis	Unrealized Gain/(-)Loss 10/ Term	Estimated Annualized Income	Estimated Yield %
PFIZER INC	PFE							
CUSIP: 717081103								
Acquired: 01/12/12	Cash	1,826		59,308.48	40,117 22	19,191.26 L		
Acquired. 01/13/12	Cash	1,826		59,308.48	39,843.32	19,465.16 L		
Acquired: 01/25/12	Cash	924		30,011.52	19,958 40	10,053 12 L		
Total		**4,576**	**32.4800**	**148,628.48**	**99,918.94**	**48,709.54**	**5,857.28**	**3.94%**
PHILIP MORRIS INTL INC	PM							
CUSIP: 718172109								
Acquired 01/12/12	Cash	261		23,878.89	20,018.70	3,860.19 L		
Acquired: 01/13/12	Cash	261		23,878.89	20,154.42	3,724.47 L		
Acquired: 01/25/12	Cash	133		12,168 17	10,004 26	2,163.91 L		
Total		**655**	**91.4900**	**59,925.95**	**50,177.38**	**9,748.57**	**2,724.80**	**4.55%**
QUMU CORP	QUMU							
CUSIP: 749063103								
Acquired: 04/16/13	Cash	3,850		9,163.00	34,618 00	-25,455 00 L		
Acquired· 07/08/13	Cash	3,650		8,687.00	30,969.84	-22,282 84 L		
Acquired. 11/14/13	Cash	2,500		5,950.00	33,500.00	-27,550.00 L		
Acquired. 05/02/14	Cash	2,500		5,950.00	33,750.00	-27,800.00 L		
Acquired: 05/05/14	Cash	2,500		5,950.00	33,750.00	-27,800.00 L		
Acquired: 07/29/14	Cash	2,500		5,950.00	32,500 00	-26,550.00 L		
Acquired: 08/07/14	Cash	2,500		5,950.00	34,375.00	-28,425.00 L		
Acquired. 08/21/14	Cash	2,500		5,950.00	33,077 76	-27,127.76 L		
Acquired: 08/25/14	Cash	900		2,142.00	11,817.00	-9,675.00 L		
Acquired· 08/29/14	Cash	1,600		3,808.00	20,799.00	-16,991.00 L		
Total		**25,000**	**2.3800**	**59,500.00**	**299,156.60**	**-239,656.60**	**N/A**	**N/A**
RAIT FINANCIAL TR	RAS							
CUSIP. 749227609								
Original Cost· 99,666.10								
Acquired: 02/08/12	Cash	3,311		11,124.96	14,894 25	-3,769.29 L		
Acquired 02/08/12	Cash	3,311		11,124.96	14,812.80	-3,687.84 L		
Acquired 02/17/12	Cash	3,515		11,810.40	14,351 49	-2,541.09 L		
Acquired· 02/27/12	Cash	7,072		23,761.92	27,801 23	-4,039 31 L		
Total		**17,209**	**3.3600**	**57,822.24**	**71,859.77**	**-14,037.53**	**6,195.24**	**10.71%**

Al & Char Hatfield Family Foundation
EIN: 45-111437
Investments at Fair Market Value
December 31, 2016

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type/	Quantity	Current Price	Current Value	Cost Basis	Unrealized Gain/(-)Loss 10/ Term	Estimated Annualized Income	Estimated Yield %
ROYAL BANK CANADA MONTREAL CUSIP. 780087102	RY							
Acquired: 02/08/12	Cash	371		25,120.41	20,174 53	4,945 88 L		
Acquired. 02/08/12	Cash	371		25,120.41	20,015.00	5,105.41 L		
Acquired: 02/17/12	Cash	375		25,391.25	20,047.28	5,343.97 L		
Acquired: 02/27/12	Cash	724		49,022.04	39,103 17	9,918.87 L		
Total		**1,841**	**67.7100**	**124,654.11**	**99,339.98**	**25,314.13**	**4,545.61**	**3.65%**
SENIOR HOUSING PROPERTIES TRUST SBI CUSIP: 81721M109 *Original Cost· 48,891.12*	SNH							
Acquired: 01/12/12	Cash	889		16,828.77	17,407.76	-578 99 L		
Acquired: 01/13/12	Cash	889		16,828.77	17,363.93	-535.16 L		
Acquired: 01/25/12	Cash	454		8,594 22	9,012.21	-417.99 L		
Total		**2,232**	**18.9300**	**42,251.76**	**43,783.90**	**-1,532.14**	**3,481.92**	**8.24%**
SOUTHERN COMPANY CUSIP: 842587107	SO							
Acquired: 01/12/12	Cash	444		21,840.36	19,980.00	1,860 36 L		
Acquired· 01/13/12	Cash	444		21,840.36	20,077.64	1,762.72 L		
Acquired: 01/25/12	Cash	224		11,018.56	10,062.08	956.48 L		
Total		**1,112**	**49.1900**	**54,699.28**	**50,119.72**	**4,579.56**	**2,490.88**	**4.55%**
STARWOOD PROPERTY TRUST INC CUSIP: 85571B105 *Original Cost· 62,257.37*	STWD							
Acquired. 01/12/12	Cash	1,305		28,644.75	10,247.60	18,397.15 L		
Acquired. 01/13/12	Cash	1,305		28,644.75	23,401.35	5,243 40 L		
Acquired: 01/25/12	Cash	643		14,113.85	11,824.81	2,289.04 L		
Total		**3,253**	**21.9500**	**71,403.35**	**45,473.76**	**25,929.59**	**6,245.76**	**8.75%**
TOTAL S A SPONSORED ADR CUSIP: 89151E109	TOT							
Acquired· 01/12/12	Cash	393		20,031.21	19,752.18	279.03 L		
Acquired: 01/13/12	Cash	393		20,031.21	19,458.37	572.84 L		

Al & Char Hatfield Family Foundation
EIN: 45-111437
Investments at Fair Market Value
December 31, 2016

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type/	Quantity	Current Price	Current Value	Cost Basis	Unrealized Gain/(-)Loss 10/ Term	Estimated Annualized Income	Estimated Yield %
Acquired: 01/25/12	Cash	191		9,735.27	10,038.96	-303.69 L		
Total		**977**	**50.9700**	**49,797.69**	**49,249.51**	**548.18**	**2,235.37**	**4.49%**
VERIZON COMMUNICATIONS INC CUSIP. 92343V104	VZ							
Acquired. 01/12/12	Cash	1,028		54,874.64	39,896.68	14,977.96 L		
Acquired: 01/13/12	Cash	1,028		54,874 64	39,835.00	15,039.64 L		
Acquired: 01/25/12	Cash	533		28,451.54	20,046.13	8,405.41 L		
Total		**2,589**	**53.3800**	**138,200.82**	**99,777.81**	**38,423.01**	**5,980.59**	**4.33%**
WESTERN REFINING INC CUSIP: 959319104	WNR							
Acquired: 06/29/16	Cash	1,733	37.8500	65,594.05	34,746.65	30,847.40 S	2,634.16	4 02%
SHIP FIN INTL LTD CUSIP: G81075106	SFL							
Acquired: 01/12/12	Cash	2,441		36,248 85	25,815 77	10,433 08 L		
Acquired: 01/13/12	Cash	2,441		36,248.85	25,038.56	11,210.29 L		
Acquired: 01/25/12	Cash	1,103		16,379 55	12,477 03	3,902.52 L		
Total		**5,985**	**14.8500**	**88,877.25**	**63,331.36**	**25,545.89**	**10,773.00**	**12.12%**
GOLAR LNG PARTNERS REPSTG LTD PARTNER INT CUSIP: Y2745C102 *Original Cost: 99,147.34*	GMLP							
Acquired 02/08/12	Cash	585		14,063.40	17,991.50	-3,928.10 L		
Acquired: 02/08/12	Cash	585		14,063.40	17,988.46	-3,925.06 L		
Acquired. 02/17/12	Cash	548		13,173.92	18,277.62	-5,103.70 L		
Acquired: 02/27/12	Cash	1,034		24,857.36	35,806.46	-10,949 10 L		
Total		**2,752**	**24.0400**	**66,158.08**	**90,064.04**	**-23,905.96**	**6,357.12**	**9.61%**
Total Equities				**$2,953,505.32**	**$2,613,311.06**	**$340,194.26**	**$145,629.22**	**4.93%**

Preferreds	Symbol/ Type/	Quantity	Current Price	Current Value	Cost Basis	Unrealized Gain/(-)Loss 10/ Term	Estimated Annualized Income	Estimated Yield %
AMTRUST FINANCIAL SVCS INC REPSTG 1/40 NON CUML PFD SER B 7.25% CUSIP: 032359507	AFSI'B							
Acquired· 06/25/14	Cash	2,000	25 0250	50,050.00	50,000.00	50.00 L	3,625.00	7.24%

Al & Char Hatfield Family Foundation
EIN: 45-111437
Investments at Fair Market Value
December 31, 2016

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Preferreds	Symbol/ Type/	Quantity	Current Price	Current Value	Cost Basis	Unrealized Gain/(-)Loss 10/ Term	Estimated Annualized Income	Estimated Yield %
HERCULES CAPITAL INC SR NT 7% DUE 09/30/19 CALL 09/30/15 @ 25.00 CUSIP: 427096870	HTGY							
Acquired: 09/19/12	Cash	799	25 5700	20,430.43	19,975.00	455.43 L	1,398.25	6.84%
NORTHSTAR REALTY FINANCE CORP SER B PFD CUM 8 25% PERPETUAL CUSIP. 66704R308 *Original Cost: 91,400.00*	NRF'B							
Acquired: 08/16/12	Cash	4,000	24 9700	99,880.00	84,541.60	15,338.40 L	8,252.00	8 26%
NORTHSTAR RLTY FIN CORP CUML REDEEM PFD SER C PERPETUAL 8.875% CUSIP: 66704R506 *Original Cost 25,000 00*	NRF'C							
Acquired: 10/04/12	Cash	1,000	25.5500	25,550 00	22,981.32	2,568.68 L	2,218.80	8.68%
TCF FINANCIAL CORP SER B PERPETUAL PFD 6.45% CUSIP: 872277405	TCB'C							
Acquired: 12/12/12	Cash	5,000	25 0000	125,000.00	125,000.00	N/A	8,062 50	6 45%
Total Preferreds				**$320,910.43**	**$302,497.92**	**$18,412.51**	**$23,556.55**	**7.34%**

Mutual Funds	Symbol/ Type/	Quantity	Current Price	Current Value	Cost Basis	Unrealized Gain/(-)Loss 10/ Term	Estimated Annualized Income	Estimated Yield %
Open-End Funds								
LORD ABBETT SHORT DURATION INCOME CL F CUSIP: 543916464 *Dividend Option: Reinvest*	LDLFX							
Acquired 06/01/16	Cash	7,557.793		32,498.51	32,725.23	-226.72 S		
Acquired Various[9]	Cash	5,955.716		25,609.57	25,866.99	-257.42		
Total		13,513.509	4.3000	58,108.08	58,592.22	-484.14	2,364.86	4.07%
						Original Investment[7]	*32,725.23*	
						Cumulative Return[8]	*25,382.85*	

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Mutual Funds	Symbol/ Type/	Quantity	Current Price	Current Value	Cost Basis	Unrealized Gain/(-)Loss 10/ Term	Estimated Annualized Income	Estimated Yield %
Closed-End Funds								
EATON VANCE SENIOR INCOME TRUST CUSIP: 27826S103	EVF							
Acquired. 02/08/12	Cash	2,937		19,619.16	20,078.51	-459.35 L		
Acquired. 02/08/12	Cash	2,937		19,619 16	20,177 78	-558.62 L		
Acquired. 02/17/12	Cash	2,899		19,365.32	20,028.61	-663 29 L		
Acquired. 02/27/12	Cash	5,559		37,134.12	39,232 64	-2,098.52 L		
Total		**14,332**	**6.6800**	**95,737.76**	**99,517.54**	**-3,779.78**	**5,675.47**	**5.93%**
						Original Investment[7]	99,517.54	
						Cumulative Return[8]	-3,779.78	
Total Mutual Funds				**$153,845.84**	**$158,109.76**	**-$4,263.92**	**$8,040.33**	**5.23%**

Other Investments	Symbol/ Type/	Quantity	Current Price	Current Value	Cost Basis	Unrealized Gain/(-)Loss 10/ Term	Estimated Annualized Income	Estimated Yield %

Investments classified as Other Investments, such as partnerships, private equity, hedge funds, and real estate trusts, are generally illiquid, and a formal trading market may not exist The current values shown on this statement for such investments have been provided by the management, administrator, or sponsor of each program and have not been evaluated by Stifel. These estimates may be generated monthly, quarterly, or less frequently, depending on the specific investment. Therefore, the values shown herein will not necessarily be realized upon the sale of the securities. If a value is not provided, such information is not currently available. Unregistered securities are not covered by SIPC

Other Investments	Symbol/ Type/	Quantity	Current Price	Current Value	Cost Basis	Unrealized Gain/(-)Loss 10/ Term	Estimated Annualized Income	Estimated Yield %
BUCKEYE PARTNERS LTD PARTNERSHIP CUSIP: 118230101 *Original Cost: 99,264.92*	BPL							
Acquired. 02/08/12	Cash	315		20,840.40	15,116.12	5,724.28 L		
Acquired. 02/08/12	Cash	315		20,840 40	15,024.49	5,815 91 L		
Acquired: 02/17/12	Cash	337		22,295.92	14,594.14	7,701.78 L		
Acquired 02/27/12	Cash	642		42,474.72	28,766.04	13,708 68 L		
Total		**1,609**	**66.1600**	**106,451.44**	**73,500.79**	**32,950.65**	**7,884.10**	**7.41%**
DCP MIDSTREAM PARTNERS LIMITED PARTNERSHIP CUSIP: 23311P100 *Original Cost: 207,035.45*	DPM							
Acquired. 01/12/12	Cash	1,802		69,160.76	63,357.07	5,803.69 L		
Acquired: 01/13/12	Cash	1,802		69,160.76	63,524.11	5,636.65 L		
Acquired: 01/25/12	Cash	869		33,352.22	32,404.99	947.23 L		
Total		**4,473**	**38.3800**	**171,673.74**	**159,286.17**	**12,387.57**	**13,955.76**	**8.13%**

Al & Char Hatfield Family Foundation
EIN: 45-111437
Investments at Fair Market Value
December 31, 2016

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Other Investments	Symbol/ Type/	Quantity	Current Price	Current Value	Cost Basis	Unrealized Gain/(-)Loss 10/ Term	Estimated Annualized Income	Estimated Yield %
ENERGY TRANSFER EQUITY COMMON UNIT LIMITED PARTNERSHIP CUSIP. 29273V100 *Original Cost: 212,589.08*	ETE							
Acquired: 01/12/12	Cash	8,344		161,122.64	57,710.87	103,411 77 L		
Acquired: 01/13/12	Cash	8,344		161,122 64	59,014 21	102,108 43 L		
Acquired: 01/25/12	Cash	3,908		75,463.48	29,094 34	46,369.14 L		
Total		**20,596**	**19.3100**	**397,708.76**	**145,819.42**	**251,889.34**	**23,479.44**	**5.90%**
ENTERPRISE PRODUCTS PARTNERS LTD PARTNERSHIP CUSIP 293792107 *Original Cost 209,037 30*	EPD							
Acquired: 01/12/12	Cash	3,578		96,749.12	64,965 57	31,783.55 L		
Acquired: 01/13/12	Cash	3,578		96,749 12	65,074.88	31,674 24 L		
Acquired: 01/25/12	Cash	1,704		46,076 16	32,791.95	13,284 21 L		
Total		**8,860**	**27.0400**	**239,574.40**	**162,832.40**	**76,742.00**	**14,353.20**	**5.99%**
MPLX LTD PARTNERSHIP REPSTG LTD PARTNER INT CUSIP 55336V100 *Original Cost: 211,751.83*	MPLX							
Acquired. 01/12/12	Cash	1,676.060		58,025 20	67,285.65	-9,260 45 L		
Acquired: 01/13/12	Cash	1,676 420		58,037.66	68,652.18	-10,614.52 L		
Acquired. 01/25/12	Cash	793 520		27,471.66	33,735.14	-6,263.48 L		
Total		**4,146**	**34.6200**	**143,534.52**	**169,672.97**	**-26,138.45**	**8,540.76**	**5.95%**
PLAINS ALL AMERICAN PIPELINE LP CUSIP: 726503105 *Original Cost 308,604.16*	PAA							
Acquired. 01/12/12	Cash	2,290		73,944.10	62,608 49	11,335.61 L		
Acquired 01/13/12	Cash	2,290		73,944.10	62,973.17	10,970 93 L		
Acquired 01/25/12	Cash	1,096		35,389.84	31,808 65	3,581 19 L		
Acquired: 02/08/12	Cash	491 545		15,871.99	13,374.00	2,497.99 L		
Acquired: 02/08/12	Cash	492.170		15,892.17	13,445.23	2,446.94 L		
Acquired: 02/17/12	Cash	474 815		15,331.77	13,599.43	1,732.35 L		

STIFEL

Al & Char Hatfield Family Foundation
EIN: 45-111437
Investments at Fair Market Value
December 31, 2016

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Other Investments	Symbol/ Type/	Quantity	Current Price	Current Value	Cost Basis	Unrealized Gain/(-)Loss 10/ Term	Estimated Annualized Income	Estimated Yield %
Acquired: 02/27/12	Cash	910.470		29,399.08	26,734.62	2,664.46 L		
Total		**8,045**	**32.2900**	**259,773.05**	**224,543.59**	**35,229.47**	**17,690.00**	**6.81%**
SPECTRA ENERGY PARTNERS L P UNITS REPRESENTING LTD PARTNER INTERESTS CUSIP 84756N109 *Original Cost: 99,018 24*	SEP							
Acquired· 02/08/12	Cash	633		29,016.72	14,788.61	14,228.11 L		
Acquired· 02/08/12	Cash	633		29,016 72	14,635.04	14,381.68 L		
Acquired: 02/17/12	Cash	608		27,870 72	14,987 20	12,883 52 L		
Acquired 02/27/12	Cash	1,190		54,549.60	29,084.27	25,465 33 L		
Total		**3,064**	**45.8400**	**140,453.76**	**73,495.12**	**66,958.64**	**8,288.12**	**5.90%**
SUBURBAN PROPANE PARTNERS LTD PARTNERSHIP CUSIP: 864482104	SPH							
Acquired 09/20/12	Cash	663	30 0600	19,929.78	Incomplete	N/A	2,353.65	11.81%
TEEKAY LNG PARTNERS LIMITED PARTNERSHIP UNIT CUSIP: Y8564M105 *Original Cost: 99,415.40*	TGP							
Acquired· 02/08/12	Cash	535		7,730 75	16,011.72	-8,280.97 L		
Acquired: 02/08/12	Cash	535		7,730.75	15,885 67	-8,154.92 L		
Acquired· 02/17/12	Cash	519		7,499.55	16,202.43	-8,702.88 L		
Acquired· 02/27/12	Cash	986		14,247 70	32,061.76	-17,814.06 L		
Total		**2,575**	**14.4500**	**37,208.75**	**80,161.58**	**-42,952.83**	**1,442.00**	**3.88%**
Total Other Investments				**$1,516,308.20**	**$1,089,312.04**	**$407,066.39**	**$97,996.03**	**6.46%**
Total Portfolio Assets - Held at Stifel				**$4,944,569.79**	**$4,163,230.78**	**$761,409.24**	**$275,222.13**	**5.57%**
Total Net Portfolio Value				**$5,045,285.42**	**$4,263,946.41**	**$761,409.24**	**$275,270.70**	**5.46%**

FOOTNOTE DEFINITIONS

L Gain/loss may be long term.
S Gain/loss may be short term
O Gain/loss is undeterminable or unavailable
7 **Original Investment:** Total amount invested in the purchased shares still held in the account Does not include shares purchased through automatic reinvestment of capital gains and dividends.
8 **Cumulative Return:** Current Value minus Original Investment. This is the capital appreciation (depreciation) of all shares purchased, including shares purchased through automatic reinvestment of capital gains and dividends.

STIFEL | ADVANTAGE

Al & Char Hatfield Family Foundation
EIN: 45-111437
Schedule of Realized Gains and Losses
December 31, 2016

REALIZED GAINS/(-)LOSSES

This section provides estimated realized gains or losses for informational purposes only. Cost basis may be adjusted due to, but not limited to, the following: amortization, accretion, principal paydowns, capital changes, listed option premiums, gifting rules, inheritance step-up, or wash sales. Unless another method was in effect at the time of the trade, the trading tax lot relief method indicated on the first page of the statement was used to calculate gains or losses. Please review this information carefully for accuracy, and contact your Financial Advisor with any questions.

REALIZED GAINS/(-)LOSSES SUMMARY	*Original Cost Basis*	*Adjusted Cost Basis****	*Sale Proceeds*	*Realized Gain/(-)Loss***
TOTAL NET SHORT-TERM GAINS/(-)LOSSES	$25,782.34	$25,782.34	$22,214.33	-$3,568.01
TOTAL NET LONG-TERM GAINS/(-)LOSSES	$0.00	$0.00	$0.00	$0.00
TOTAL NET OTHER-TERM GAINS/(-)LOSSES	$0.00	$0.00	$0.00	$0.00
GRAND TOTAL REALIZED GAINS/(-)LOSSES	**$25,782.34**	**$25,782.34**	**$22,214.33**	**-$3,568.01**

SHORT-TERM CAPITAL GAINS/(-)LOSSES

Description	*Closing Transaction*	*Quantity*	*Date Acquired*	*Date Sold*	*Original Cost Basis*	*Adjusted Cost Basis****	*Sale Proceeds*	*Realized Gain/(-)Loss***
Mutual Funds-Open End								
AQR		1,177.285	06/10/15	05/06/16	25,500.00	25,500.00	21,944.59	-3,555.41
SMALL CAP MOMENTUM STYLE		5.108	12/22/15	05/06/16	99.66	99.66	95.22	-4.44
CUSIP. 00203H800		9.363	12/22/15	05/06/16	182.68	182.68	174 52	-8.16
		1,191.756			25,782 34	25,782.34	22,214.33	-3,568 01
TOTAL SHORT-TERM CAPITAL GAINS/(-)LOSSES					**$25,782.34**	**$25,782.34**	**$22,214.33**	**-$3,568.01**
GRAND TOTAL REALIZED GAINS/(-)LOSSES					**$25,782.34**	**$25,782.34**	**$22,214.33**	**-$3,568.01**

** Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing.

*** Original Cost Basis reflected in Adjusted Cost Basis column when there is not an adjustment to the original basis

Al & Char Hatfield Family Foundation
EIN: 45-111437
Schedule of Realized Gains and Losses
December 31, 2016

REALIZED GAINS/(-)LOSSES

This section provides estimated realized gains or losses for informational purposes only. Cost basis may be adjusted due to, but not limited to, the following: amortization, accretion, principal paydowns, capital changes, listed option premiums, gifting rules, inheritance step-up, or wash sales. Unless another method was in effect at the time of the trade, the trading tax lot relief method indicated on the *first page of the statement was used to calculate gains or losses. Please review this information carefully for accuracy, and* contact your Financial Advisor with any questions.

REALIZED GAINS/(-)LOSSES SUMMARY	*Original Cost Basis*	*Adjusted Cost Basis****	*Sale Proceeds*	*Realized Gain/(-)Loss***
TOTAL NET SHORT-TERM GAINS/(-)LOSSES	$1,449,921.27	$1,449,901.06	$1,445,008.01	-$4,893.05
TOTAL NET LONG-TERM GAINS/(-)LOSSES	$1,529,900.47	$1,482,529.47	$1,731,817.22	$135,635.66
TOTAL NET OTHER-TERM GAINS/(-)LOSSES	$0.00	$0.00	$0.00	$0.00
GRAND TOTAL REALIZED GAINS/(-)LOSSES	$2,979,821.74	$2,932,430.53	$3,176,825.23	$130,742.61

SHORT-TERM CAPITAL GAINS/(-)LOSSES

Description	*Closing Transaction*	*Quantity*	*Date Acquired*	*Date Sold*	*Original Cost Basis*	*Adjusted Cost Basis****	*Sale Proceeds*	*Realized Gain/(-)Loss***
Equities								
NORTHSTAR REALTY EUROPE CORP CUSIP: 66706L101		1,514	11/01/15	05/06/16	17,229.32	17,209.11	18,026.23	817.12
RMR GROUP INC CL A CUSIP· 74967R106		24	12/15/15	05/06/16	285.36	285 36	615.34	329.98
WESTERN REFINING INC CUSIP: 959319104	CASH IN LIEU	0.779	06/29/16	06/29/16	15.62	15.62	16 04	0 42
Mutual Funds-Open End								
AQR		306.802	12/22/15	05/06/16	5,970 37	5,970.37	5,973.44	3.07
LARGE CAP MOMENTUM STYLE CUSIP. 00203H701		2,163.285	12/22/15	05/06/16	42,097 52	42,097.52	42,119.18	21.66
		2,470.087			48,067 89	48,067 89	48,092 62	24.73
AQR		93.371	12/22/15	05/06/16	1,821.66	1,821.66	1,740.45	-81.21
SMALL CAP MOMENTUM STYLE CUSIP: 00203H800		171.155	12/22/15	05/06/16	3,339.24	3,339.24	3,190.33	-148.91
		264.526			5,160 90	5,160.90	4,930.78	-230.12

** Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing.

*** Original Cost Basis reflected in Adjusted Cost Basis column when there is not an adjustment to the original basis.

Al & Char Hatfield Family Foundation
EIN: 45-111437
Schedule of Realized Gains and Losses
December 31, 2016

REALIZED GAINS/(-)LOSSES coı

SHORT-TERM CAPITAL GAINS/(-)LOSSES continued

Description	*Closing Transaction*	*Quantity*	*Date Acquired*	*Date Sold*	*Original Cost Basis*	*Adjusted Cost Basis ****	*Sale Proceeds*	*Realized Gain/(-)Loss***
LORD ABBETT SHORT DURATION INCOME CUSIP: 543916464		4,608 295	06/01/16	06/13/16	19,953.92	19,953 92	20,000 00	46 08
LORD ABBETT SHORT DURATION INCOME CUSIP. 543916464		11,494 253	06/01/16	07/28/16	49,770 12	49,770.12	50,000.00	229.88
LORD ABBETT SHORT DURATION INCOME CUSIP: 543916464		23,041.475	06/01/16	10/06/16	99,769.59	99,769.59	100,000.00	230.41
LORD ABBETT SHORT DURATION INCOME CUSIP 543916464		35,714.286	06/01/16	10/25/16	154,642.86	154,642.86	155,000 00	357.14
LORD ABBETT SHORT DURATION INCOME CUSIP: 543916464		61,096 288	06/01/16	11/17/16	264,546.93	264,546.93	263,325 00	-1,221.93
LORD ABBETT SHORT DURATION INCOME CUSIP. 543916464		27,906.977	06/01/16	12/16/16	120,837.21	120,837.21	120,000 00	-837 21
LORD ABBETT SHORT DURATION INCOME CUSIP: 543916464		87,209 302	06/01/16	12/20/16	377,616.28	377,616.28	375,000 00	-2,616.28
LORD ABBETT SHORT DURATION INCOME CUSIP· 543916464		46,512.093	06/01/16	12/21/16	201,397.36	201,397.36	200,002 00	-1,395.36
LORD ABBETT SHORT DURATION INCOME CUSIP. 543916464		11,627 907	06/01/16	12/28/16	50,348.84	50,348 84	50,000.00	-348 84
LORD ABBETT SHORT DURATION INCOME CUSIP 543916464		9,302 326	06/01/16	12/30/16	40,279.07	40,279 07	40,000.00	-279.07
TOTAL SHORT-TERM CAPITAL GAINS/(-)LOSSES					**$1,449,921.27**	**$1,449,901.06**	**$1,445,008.01**	**-$4,893.05**

** Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing

*** Original Cost Basis reflected in Adjusted Cost Basis column when there is not an adjustment to the original basis

Al & Char Hatfield Family Foundation
EIN: 45-111437
Schedule of Realized Gains and Losses
December 31, 2016

REALIZED GAINS/(-)LOSSES c

LONG-TERM CAPITAL GAINS/(-)LOSSES

Description	Closing Transaction	Quantity	Date Acquired	Date Sold	Original Cost Basis	Adjusted Cost Basis ***	Sale Proceeds	Realized Gain/(-)Loss**
Equities								
AMERICAN INTL GROUP INC NEW CUSIP 026874784		2,500	01/28/13	11/02/16	92,724.21	92,724.21	151,896 68	59,172.47
COLONY STARWOOD HOMES CUSIP. 19625X102		650	02/03/14	05/05/16	18,746.00	18,655.00	16,295 21	-2,359.79
CONAGRA FOODS INC		744	01/12/12	05/06/16	20,088.00	20,088.00	33,077.59	12,989.59
CUSIP. 205887102		744	01/13/12	05/06/16	19,983.84	19,983.84	33,077.59	13,093.75
		367	01/25/12	05/06/16	9,993.41	9,993 41	16,316.51	6,323.10
		1,855			50,065 25	50,065 25	82,471 69	32,406 44
DONNELLEY R R & SONS COMPANY NEW CUSIP: 257867200	CASH IN LIEU	0.333	02/08/12	10/06/16	6 85	6.85	7.51	0.66
DONNELLEY FINANCIAL		201.500	02/08/12	10/06/16	3,996.10	3,996 10	4,454 15	458.05
SOLUTIONS INC		201.500	02/08/12	10/06/16	4,040.99	4,040.99	4,454 15	413.16
CUSIP. 25787G100	CASH IN LIEU	0.250	02/17/12	10/06/16	5.25	5.25	5 34	0.09
		189.625	02/17/12	10/06/16	3,984.19	3,984.19	4,191.65	207.46
		347.375	02/27/12	10/06/16	7,798.20	7,798 20	7,678.71	-119 49
		940.250			19,824.73	19,824.73	20,784.00	959.27
INNVEST REAL ESTATE INVT TR UNIT CUSIP. 45771T132	MERGER	17,970	07/09/12	08/25/16	Incomplete	0.00 98,063 53	100,705 34	N/A 2,641.81
LSC COMMUNICATIONS INC CUSIP. 50218P107	CASH IN LIEU	0 250	02/08/12	10/06/16	6.18	6 18	6.68	0 50
LSC COMMUNICATIONS INC		201.250	02/08/12	10/25/16	4,970.93	4,970.93	5,043.23	72.30
CUSIP: 50218P107		201.500	02/08/12	10/25/16	5,033 01	5,033.01	5,049.50	16.49
		189.875	02/17/12	10/25/16	4,968.81	4,968.81	4,758.18	-210 63
		347 375	02/27/12	10/25/16	9,712.59	9,712.59	8,705.06	-1,007.53
		940			24,685.34	24,685.34	23,555 97	-1,129.37
LOCKHEED MARTIN CORP		248	01/12/12	08/02/16	20,110.32	20,110.32	63,627 99	43,517.67
CUSIP: 539830109		248	01/13/12	08/02/16	20,194.22	20,194.22	63,627 99	43,433 77
		122	01/25/12	08/02/16	10,000.34	10,000.34	31,300 88	21,300.54
		618			50,304.88	50,304.88	158,556.86	108,251.98

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Al & Char Hatfield Family Foundation
EIN: 45-111437
Schedule of Realized Gains and Losses
December 31, 2016

REALIZED GAINS/(-)LOSSES

LONG-TERM CAPITAL GAINS/(-)LOSSES continued

Description	Closing Transaction	Quantity	Date Acquired	Date Sold	Original Cost Basis	Adjusted Cost Basis ***	Sale Proceeds	Realized Gain/(-)Loss**
WASTE MANAGEMENT INC DEL		600	01/12/12	05/05/16	20,232.00	20,232.00	36,191.27	15,959.27
CUSIP. 94106L109		600	01/13/12	05/05/16	20,045.94	20,045.94	36,191 27	16,145.33
		290	01/25/12	05/05/16	9,993.40	9,993.40	17,492 45	7,499.05
		1,490			50,271.34	50,271 34	89,874.99	39,603.65
Mutual Funds-Open End								
AQR LARGE CAP MOMENTUM STYLE CUSIP: 00203H701		15,965.939	10/02/13	03/03/16	317,083.55	317,083 55	300,000 00	-17,083.55
AQR		9,210.295	10/02/13	05/06/16	182,916.45	182,916.45	179,324.44	-3,592.01
LARGE CAP MOMENTUM STYLE		163.929	12/24/13	05/06/16	3,344.16	3,344.16	3,191 69	-152.47
CUSIP: 00203H701		302.176	12/24/13	05/06/16	6,164.40	6,164.40	5,883.36	-281.04
		768.800	12/24/13	05/06/16	15,683.53	15,683 53	14,968.53	-715.00
		171.033	12/23/14	05/06/16	3,566.03	3,566.03	3,330.01	-236.02
		484.825	12/23/14	05/06/16	10,108.60	10,108.60	9,439.54	-669.06
		1,949.167	12/23/14	05/06/16	40,640.14	40,640.14	37,950.28	-2,689.86
		13,050.225			262,423.31	262,423 31	254,087 85	-8,335 46
AQR SMALL CAP MOMENTUM STYLE CUSIP: 00203H800		10,892	10/15/13	03/03/16	237,663.44	237,663.44	195,620.32	-42,043.12
AQR		565.379	10/15/13	05/06/16	12,336.56	12,336.56	10,538.66	-1,797.90
SMALL CAP MOMENTUM STYLE		6,648.936	10/22/13	05/06/16	150,000.00	150,000.00	123,936.16	-26,063 84
CUSIP. 00203H800		70.744	12/24/13	05/06/16	1,554.25	1,554.25	1,318.66	-235.59
		462.795	12/24/13	05/06/16	10,167.60	10,167.60	8,626.50	-1,541.10
		624.219	12/24/13	05/06/16	13,714.09	13,714.09	11,635.44	-2,078.65
		33.925	12/23/14	05/06/16	692.74	692 74	632.36	-60 38
		423.895	12/23/14	05/06/16	8,655 93	8,655.93	7,901.40	-754.53
		1,797.983	12/23/14	05/06/16	36,714 82	36,714.82	33,514.41	-3,200.41
		10,627.876			233,835.99	233,835.99	198,103.59	-35,732.40
Other/Alternative Investments								
CRESTWOOD EQUITY PARTNERS LP UNIT CUSIP 226344208		729	06/19/13	04/25/16	Incomplete	0.00 12,950.20	12,946.75	N/A -3 45

** Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing

*** Original Cost Basis reflected in Adjusted Cost Basis column when there is not an adjustment to the original basis.

STIFEL

Al & Char Hatfield Family Foundation
EIN: 45-111437
Schedule of Realized Gains and Losses
December 31, 2016

AL & CHAR HATFIELD
FAMILY FOUNDATION
HORIZON ACCOUNT

December 1 -
December 31, 2016
Account Number.

GM01 -8058

REALIZED GAINS/(-)LOSSES co

LONG-TERM CAPITAL GAINS/(-)LOSSES continued

Description	*Closing Transaction*	*Quantity*	*Date Acquired*	*Date Sold*	*Original Cost Basis*	*Adjusted Cost Basis****	*Sale Proceeds*	*Realized Gain/(-)Loss***
NORTHERN TIER ENERGY XXX SUBMITTED FOR CASH CUSIP: 665CSH987	TENDERED	6,000	02/08/13	06/29/16	172,259.40	124,979.40	126,903 78	1,924.38
TOTAL LONG-TERM CAPITAL GAINS/(-)LOSSES					**$1,529,900.47**	**$1,482,529.47**	**$1,731,817.22**	**$135,635.66**
GRAND TOTAL REALIZED GAINS/(-)LOSSES					**$2,979,821.74**	**$2,932,430.53**	**$3,176,825.23**	**$130,742.61**

** Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing.

*** Original Cost Basis reflected in Adjusted Cost Basis column when there is not an adjustment to the original basis.

INTERESTED PARTIES AND/OR SUPPORTING FINANCIAL ADVISOR(S)

Per your request, a copy of this statement has also been sent to:

TIS GROUP
100 VILLAGE CENTER DR STE 260
NORTH OAKS MN 55127-3024