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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2016 or tax year beginning

, and ending

Name of foundation SG SCHIMENTI FOUNDATION, INC.		A Employer identification number 45-4076946
Number and street (or P.O. box number if mail is not delivered to street address) 2 BENEDICT PLACE	Room/suite	B Telephone number 203 629-4473
City or town, state or province, country, and ZIP or foreign postal code GREENWICH, CT 06830-5358		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 846,625.	J Accounting method ☒ Other (specify) MODIFIED CASH ☐ Cash ☐ Accrual	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		1.	1.		STATEMENT 1
4 Dividends and interest from securities		18,970.	18,970.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		<17,331.>			
b Gross sales price for all assets on line 6a		476,431.			
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		1,640.	18,971.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		2,813.	0.		2,813.
c Other professional fees STMT 4		10,241.	10,241.		0.
17 Interest					
18 Taxes STMT 5		462.	462.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		15.	15.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		13,531.	10,718.		2,813.
25 Contributions, gifts, grants paid		36,200.			36,200.
26 Total expenses and disbursements. Add lines 24 and 25		49,731.	10,718.		39,013.
27 Subtract line 26 from line 12		<48,091.>			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			8,253.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	10,934.	11,102.	11,102.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 7	479,656.	448,928.	448,928.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 8	353,816.	386,595.	386,595.	
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	844,406.	846,625.	846,625.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	844,406.	846,625.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	844,406.	846,625.	
31 Total liabilities and net assets/fund balances	844,406.	846,625.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	844,406.
2 Enter amount from Part I, line 27a	2	<48,091.>
3 Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN ON INVESTMENTS	3	50,310.
4 Add lines 1, 2, and 3	4	846,625.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	846,625.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
b CAPITAL GAINS DIVIDENDS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 473,526.		493,762.	<20,236.>
b 2,905.			2,905.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			<20,236.>
b			2,905.
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 2	<17,331.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	If gain, also enter in Part I, line 8 If (loss), enter -0- in Part I, line 8 3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	40,847.	878,502.	.046496
2014	32,776.	917,166.	.035736
2013	42,463.	851,149.	.049889
2012	5,688.	358,034.	.015887
2011	0.	306,856.	.000000

2 Total of line 1, column (d)	2	.148008
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.029602
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	825,301.
5 Multiply line 4 by line 3	5	24,431.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	83.
7 Add lines 5 and 6	7	24,514.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	39,013.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)	1	83.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	2	0.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)	3	83.
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
3	Add lines 1 and 2	5	83.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	6a	8,428.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	6b	
6	Credits/Payments	6c	
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6d	
b	Exempt foreign organizations - tax withheld at source	7	8,428.
c	Tax paid with application for extension of time to file (Form 8868)	8	
d	Backup withholding erroneously withheld	9	
7	Total credits and payments. Add lines 6a through 6d	10	8,345.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	11	0.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		
11	Enter the amount of line 10 to be credited to 2017 estimated tax ☒ 8,345. Refunded ☐		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ 0. (2) On foundation managers ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ DE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X
14 The books are in care of ► THE FOUNDATION Telephone no ► 203 629-4473 Located at ► 2 BENEDICT PLACE, GREENWICH, CT ZIP+4 ► 06830-5358		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)). a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ► , , , b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► , , ,	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SALVATORE G. SCHIMENTI	DIRECTOR/CHAIRMAN			
2 BENEDICT PLACE				
GREENWICH, CT 06830	0.25	0.	0.	0.
GLENN ANGIOLILLO	DIRECTOR/PRESIDENT/SECRETARY			
2 BENEDICT PLACE				
GREENWICH, CT 06830	0.25	0.	0.	0.
THOMAS LIGUORI	DIRECTOR			
2 BENEDICT PLACE				
GREENWICH, CT 06830	0.25	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	825,414.
b	Average of monthly cash balances	1b	12,455.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	837,869.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	837,869.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	12,568.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	825,301.
6	Minimum investment return. Enter 5% of line 5	6	41,265.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	41,265.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	83.
b	Income tax for 2016 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	83.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	41,182.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	41,182.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	41,182.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	39,013.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	39,013.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	83.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	38,930.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				41,182.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			11,869.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 39,013.				
a Applied to 2015, but not more than line 2a			11,869.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				27,144.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				14,038.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9.				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CHURCH OF OUR LADY OF THE ROSARY 22 DON BOSCO PL PORT CHESTER, NY 10573-5046	N/A	PC	GENERAL SUPPORT - FOOD PANTRY	36,000.
CONNECTICUT HUMANE SOCIETY 701 RUSSELL RD NEWINGTON, CT 06111-1593	N/A	PC	GENERAL SUPPORT	100.
STAMFORD MUSEUM AND NATURE CENTER INCORPORATED 39 SCOFIELDTOWN RD STAMFORD, CT 06903-4023	N/A	PC	GENERAL SUPPORT	100.
Total			3a	36,200.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer of trust

Date _____

Title

May the IRS discuss this return with the preparer shown below (see last p. 12)

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

THOMAS F. BLANEY,
CPA, CFE

Preparer's signature _____

Date _____

Check ☐
self-employed

PTIN	
------	--

P00234022

Firm's name ▶ PKF O'CONNOR DAVIES, LLP

Firm's EIN ► 27-1728945

Firm's address ► 665 FIFTH AVENUE
NEW YORK, NY 10022-5342

Phone no 212 286-2600

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MONEY MARKET ACCOUNTS	1.	1.	
TOTAL TO PART I, LINE 3	1.	1.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PUBLICLY TRADED SECURITIES	21,875.	2,905.	18,970.	18,970.	
TO PART I, LINE 4	21,875.	2,905.	18,970.	18,970.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEES	2,813.	0.		2,813.
TO FORM 990-PF, PG 1, LN 16B	2,813.	0.		2,813.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	10,241.	10,241.		0.
TO FORM 990-PF, PG 1, LN 16C	10,241.	10,241.		0.

FORM 990-PF	TAXES		STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD	462.	462.		0.
TO FORM 990-PF, PG 1, LN 18	462.	462.		0.

FORM 990-PF	OTHER EXPENSES		STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER INVESTMENT RELATED FEES	15.	15.		0.
TO FORM 990-PF, PG 1, LN 23	15.	15.		0.

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A PAGE 35 OF 38			448,928.	448,928.
TOTAL TO FORM 990-PF, PART II, LINE 10B			448,928.	448,928.

FORM 990-PF	OTHER INVESTMENTS		STATEMENT	8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
MUTUAL FUNDS - SEE ATTACHMENT A PAGE 38 OF 38	FMV	386,595.	386,595.	
TOTAL TO FORM 990-PF, PART II, LINE 13		386,595.	386,595.	

Stocks, options & ETFs

Stocks and ETFs

This section may include foreign equity securities that may be denominated in currencies other than US dollars. The amounts, annual income and annual yield on your statement for such securities will be estimated based on prevailing exchange rates and the amount does not necessarily reflect the rate you will receive if converted to US dollars. The "Quantity" field reflects total shares held, regardless of the currency in which your shares are denominated. Please contact Your Financial Advisor if you have additional questions regarding your foreign security holdings.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ABBOTT LABORATORIES ABT Acquired 06/23/16 S	0.24	52	39.40	2,049.30	38,410.00	1,997.32	-51.98	55.12	2.75

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ABBVIE INC									
ABBV									
Acquired 01/21/15 L		37	62.50	2,312.67		2,316.94	4.27		
Acquired 10/26/15 L		21	51.81	1,088.10		1,315.02	226.92		
Total	0.43	58	\$58.63	\$3,400.77	62.6200	\$3,631.96	\$231.19	\$148.48	4.09
ACCENTURE PLC IRELAND									
SHARES CLASS A									
ACN									
Acquired 10/26/16 S		27	115.52	3,119.13		3,162.51	43.38		
Acquired 11/14/16 S		9	117.75	1,059.77		1,054.17	-5.60		
Total	0.50	36	\$116.08	\$4,178.90	117.1300	\$4,216.68	\$37.78	\$87.12	2.07
ACTELION LTD									
UNSPONSORED ADR									
ALIOY									
Acquired 02/23/15 L		8	29.47	235.82		435.60	199.78		
Acquired 12/17/15 L		8	34.92	279.42		435.60	156.18		
Acquired 01/28/16 S		7	33.91	237.37		381.15	143.78		
Total	0.15	23	\$32.72	\$752.61	54.4500	\$1,252.35	\$499.74	N/A	N/A
AETNA INC(NEW)									
AET									
Acquired 01/21/15 L	0.45	31	94.37	2,925.78	124.0100	3,844.31	918.53	31.00	0.80
AFLAC INC									
AFL									
Acquired 05/17/16 S	0.36	44	68.47	3,013.11	69.6000	3,062.40	49.29	75.68	2.47
AIR PRODUCTS & CHEMICALS INC									
APD									
Acquired 01/21/15 L		3	130.03	390.11		431.46	41.35		
Acquired 09/30/15 L		29	116.28	3,372.19		4,170.78	798.59		
Acquired 06/15/16 S		1	132.08	132.08		143.82	11.74		
Acquired 09/29/16 S		1	137.71	137.72		143.82	6.10		
Acquired 10/14/16 S		1	132.60	132.61		143.82	11.21		
Acquired 11/09/16 S		1	136.66	136.67		143.82	7.15		
Total	0.61	36	\$119.48	\$4,301.38	143.8200	\$5,177.52	\$876.14	\$123.84	2.39

Stocks, options & ETFs Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ALPHABET INC NON VOTING									
CAP STK CL C									
GOOG									
Acquired 01/21/16 S	0.36	4	709.40	2,837.63	771.8200	3,087.28	249.65	N/A	N/A
AMGEN INC									
AMGN									
Acquired 01/22/15 L	0.33	19	157.25	2,987.89	146.2100	2,777.99	-209.90	87.40	3.14
ANHEUSER BUSCH INBEV									
SANV-SPONSORED ADR									
BUD									
Acquired 01/21/15 L	0.37	30	117.95	3,538.50	105.4400	3,163.20	-375.30	95.88	3.03
AON PLC									
CLASS A									
AON									
Acquired 01/21/15 L		13	94.29	1,225.90		1,449.89	223.99		
Acquired 03/30/15 L		1	97.38	97.39		111.53	14.14		
Acquired 05/22/15 L		12	103.09	1,237.19		1,338.36	101.17		
Acquired 07/23/15 L		1	101.72	101.72		111.53	9.81		
Acquired 08/14/15 L		3	101.81	306.44		334.59	29.15		
Acquired 09/14/15 L		1	90.99	91.00		111.53	20.53		
Acquired 09/18/15 L		3	91.32	273.97		334.59	60.62		
Acquired 09/29/15 L		1	87.97	87.98		111.53	23.55		
Acquired 10/01/15 L		3	88.06	264.20		334.59	70.39		
Acquired 10/14/15 L		1	90.33	90.33		111.53	21.20		
Acquired 11/02/15 L		1	94.75	94.75		111.53	16.78		
Acquired 01/07/16 S		1	88.63	88.63		111.53	22.90		
Acquired 02/24/16 S		1	94.05	94.05		111.53	17.48		
Acquired 08/10/16 S		2	109.72	219.46		223.06	3.60		
Total	0.58	44	\$97.09	\$4,272.01	111.5300	\$4,907.32	\$635.31	\$58.08	1.18
APPLE INC									
AAPL									
Acquired 01/21/15 L		33	109.71	3,620.43		3,822.06	201.63		
Acquired 12/30/15 L		1	107.66	107.67		115.82	8.15		
Total	0.47	34	\$109.65	\$3,728.10	115.8200	\$3,937.88	\$209.78	\$77.52	1.97
ARCH CAP GROUP LTD									
ACGL									
Acquired 01/21/15 L		5	58.70	293.55		431.45	137.90		
Acquired 08/31/16 S		2	80.16	160.33		172.58	12.25		

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 09/29/16 S		2	79.87	159.75		172.58	12.83		
Total	0.09	9	\$68.18	\$613.63	86.2900	\$776.61	\$162.98	N/A	N/A
ARMSTRONG WORLD INDUSTRIES									
AWI		1	41.64	41.64	41.8000	41.80	0.16	N/A	N/A
Acquired 04/27/16 S									
ASSTEAD GROUP PLC									
UNSPONSORED ADR									
ASHTY		12	65.78	789.36	79.4000	952.80	163.44	13.75	1.44
Acquired 01/21/15 L	0.11								
ASSA ABLOY AB - ADR									
ASAZY		229	8.98	2,057.94	9.2500	2,118.25	60.31	27.02	1.27
Acquired 01/21/15 L	0.25								
ASSOC BRITISH FOODS LTD ADR NEW									
ASBFY		24	45.38	1,089.12	33.8200	811.68	-277.44	9.88	1.21
Acquired 01/21/15 L	0.10								
AT & T INC									
T									
Acquired 12/18/15 L		51	33.89	1,728.46		2,169.03	440.57		
Acquired 01/13/16 S		28	33.82	946.99		1,190.84	243.85		
Total	0.40	79	\$33.87	\$2,675.45	42.5300	\$3,359.87	\$684.42	\$154.84	4.61
AUTODESK INC									
ADSK		6	50.41	302.47		444.06	141.59		
Acquired 08/27/15 L		9	46.15	415.37		666.09	250.72		
Acquired 09/14/15 L		3	45.61	136.86		222.03	85.17		
Acquired 09/29/15 L		2	55.13	110.26		148.02	37.76		
Acquired 01/12/16 S		1	57.69	57.69		74.01	16.32		
Acquired 03/23/16 S									
Total	0.18	21	\$48.70	\$1,022.65	74.0100	\$1,554.21	\$531.56	N/A	N/A
AUTOZONE INC									
AZO		2	584.32	1,168.64	789.7900	1,579.58	410.94	N/A	N/A
Acquired 01/21/15 L	0.19								
EVERY DENNISON CORP									
AVY		42	62.10	2,608.55		2,949.24	340.69		
Acquired 06/10/15 L									

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 08/25/15 L		2	57.92	115.86		140.44	24.58		
Total	0.36	44	\$61.92	\$2,724.41	70.2200	\$3,089.68	\$365.27	\$72.16	2.34
AXALTA COATING SYSTEMS									
LTD									
AXTA									
Acquired 07/20/16 S		3	28.33	85.02		81.60	-3.42		
Acquired 07/27/16 S		4	28.82	115.29		108.80	-6.49		
Acquired 08/10/16 S		5	28.22	141.10		136.00	-5.10		
Total	0.04	12	\$28.45	\$341.41	27.2000	\$326.40	-\$15.01	N/A	N/A
BANCA MEDIOLANUM SPA ADR									
BNC DY									
Acquired 05/04/16 S		33	16.79	554.15		475.86	-78.29		
Acquired 05/24/16 S		33	16.91	558.22		475.86	-82.36		
Total	0.11	66	\$16.85	\$1,112.37	14.4200	\$951.72	-\$160.65	\$14.58	1.53
BANK MONTREAL QUEBEC									
BMO									
Acquired 01/21/15 L	0.24	28	62.22	1,742.16	71.9200	2,013.76	271.60	76.19	3.78
BARD C R INC									
BCR									
Acquired 01/21/15 L		5	175.20	876.05		1,123.30	247.25		
Acquired 08/27/15 L		1	196.06	196.07		224.66	28.59		
Total	0.16	6	\$178.69	\$1,072.12	224.6600	\$1,347.96	\$275.84	\$6.24	0.46
BB&T CORP									
BBT									
Acquired 10/14/16 S		29	37.96	1,100.96		1,363.58	262.62		
Acquired 10/19/16 S		27	38.85	1,049.07		1,269.54	220.47		
Total	0.31	56	\$38.39	\$2,150.03	47.0200	\$2,633.12	\$483.09	\$67.20	2.55
BCE INC									
BCE									
Acquired 01/21/15 L	0.10	20	46.65	933.00	43.2400	864.80	-68.20	42.21	4.88
BHP BILLITON LTD									
SPON ADR									
BHP									
Acquired 01/21/15 L		30	46.48	1,394.40		1,073.40	-321.00		
Acquired 10/09/15 L		23	37.94	872.84		822.94	-49.90		
Acquired 02/05/16 S		11	22.68	249.57		393.58	144.01		

Stocks, options & ETFs Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 08/26/16 S		2	33.23	66.46		71.56	5.10		
Acquired 12/09/16 S		2	38.48	76.96		71.56	-5.40		
Total	0.29	68	\$39.12	\$2,660.23	35.7800	\$2,433.04	-227.19	\$40.80	1.68
BRITISH AMERN TOB PLC									
SPON ADR									
BTI									
Acquired 01/21/15 L		24	111.39	2,673.36		2,704.08	30.72		
Acquired 07/31/15 L		2	118.72	237.44		225.34	-12.10		
Acquired 08/26/16 S		1	126.97	126.98		112.67	-14.31		
Total	0.36	27	\$112.51	\$3,037.78	112.6700	\$3,042.09	\$4.31	\$117.23	3.85
BROOKFIELD ASSET MANGMNT									
CLASS A									
BAM									
Acquired 01/21/15 L		73	33.81	2,468.74		2,409.73	-59.01		
Acquired 06/24/15 L		4	36.29	145.17		132.04	-13.13		
Acquired 07/23/15 L		6	34.86	209.18		198.06	-11.12		
Acquired 08/25/15 L		3	31.08	93.24		99.03	5.79		
Acquired 08/27/15 L		3	32.01	96.06		99.03	2.97		
Acquired 09/29/15 L		2	30.23	60.47		66.02	5.55		
Acquired 01/27/16 S		6	28.95	173.75		198.06	24.31		
Acquired 06/30/16 S		5	32.78	163.93		165.05	1.12		
Acquired 09/16/16 S		4	32.71	130.87		132.04	1.17		
Acquired 12/23/16 S		4	33.06	132.28		132.04	-0.24		
Total	0.43	110	\$33.40	\$3,673.69	33.0100	\$3,631.10	-\$42.59	\$57.20	1.58
BROWN AND BROWN INC COM									
BRO									
Acquired 01/21/15 L		16	31.49	503.84		717.76	213.92		
Acquired 07/07/16 S		1	36.86	36.86		44.86	8.00		
Total	0.09	17	\$31.81	\$540.70	44.8600	\$762.62	\$221.92	\$9.18	1.20
BROWN-FORMAN CORP CL B									
BF/B									
Acquired 11/23/16 S	0.03	6	45.82	274.98	44.9200	269.52	-5.46	4.38	1.62
CANADIAN NATL RY CO									
CNI									
Acquired 07/25/16 S		15	63.37	950.56		1,011.00	60.44		
Acquired 08/09/16 S		3	62.74	188.22		202.20	13.98		
Acquired 09/23/16 S		5	64.45	322.30		337.00	14.70		

Stocks, options & ETFs Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	0.18	23	\$63.53	\$1,461.08	67.4000	\$1,550.20	\$89.12	\$26.67	1.72
CAPGEMINI SA - ADR									
CGEMY									
Acquired 02/17/16 S		51.50000	16.17	834.68		865.97	31.29		
Acquired 06/20/16 S		17.50000	19.49	341.76		294.26	-47.50		
Total	0.14	69	\$17.05	\$1,176.44	16.8150	\$1,160.23	-\$16.21	\$16.07	1.39
CARLSBERG AS-B									
SPONSORED ADR									
CABGY									
Acquired 01/21/15 L		45	15.31	688.95		776.70	87.75		
Acquired 03/03/15 L		15	16.96	254.42		258.90	4.48		
Acquired 09/21/15 L		35	15.55	544.60		604.10	59.50		
Total	0.19	95	\$15.66	\$1,487.97	17.2600	\$1,639.70	\$151.73	\$16.81	1.03
CARMAX INC									
KMX									
Acquired 01/21/15 L		14	62.51	875.14		901.46	26.32		
Acquired 06/19/15 L		1	70.18	70.19		64.39	-5.80		
Acquired 08/11/15 L		2	62.83	125.67		128.78	3.11		
Acquired 08/27/15 L		2	60.04	120.09		128.78	8.69		
Acquired 09/14/15 L		2	59.45	118.90		128.78	9.88		
Acquired 10/14/15 L		1	56.74	56.75		64.39	7.64		
Acquired 11/02/15 L		2	58.99	118.00		128.78	10.78		
Acquired 11/19/15 L		3	56.57	169.72		193.17	23.45		
Acquired 01/12/16 S		3	47.28	141.86		193.17	51.31		
Acquired 01/27/16 S		2	44.60	89.22		128.78	39.56		
Acquired 02/10/16 S		1	43.67	43.68		64.39	20.71		
Acquired 05/12/16 S		1	50.69	50.70		64.39	13.69		
Acquired 06/30/16 S		3	47.71	143.13		193.17	50.04		
Acquired 10/14/16 S		2	51.66	103.32		128.78	25.46		
Total	0.30	39	\$57.09	\$2,226.37	64.3900	\$2,511.21	\$284.84	N/A	N/A
CBRE GROUP INC CLASS A									
CBG									
Acquired 01/21/15 L		20	32.64	653.00		629.80	-23.20		
Acquired 06/24/15 L		1	37.39	37.39		31.49	-5.90		
Acquired 09/14/15 L		2	32.52	65.04		62.98	-2.06		
Acquired 01/26/16 S		2	27.91	55.83		62.98	7.15		
Acquired 03/14/16 S		4	27.85	111.42		125.96	14.54		
Acquired 04/08/16 S		4	28.57	114.30		125.96	11.66		

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 06/08/16 S		3	31.10	93.30		94.47	1.17		
Acquired 07/07/16 S		1	24.65	24.66		31.49	6.83		
Acquired 09/29/16 S		3	27.77	83.32		94.47	11.15		
Acquired 10/26/16 S		2	27.93	55.87		62.98	7.11		
Total	0.16	42	\$30.81	\$1,294.13	31.4900	\$1,322.58	\$28.45	N/A	N/A
CDK GLOBAL HOLDINGS									
LLC									
CDK									
Acquired 11/19/15 L		6	48.39	290.40		358.14	67.74		
Acquired 11/23/16 S		2	58.61	117.23		119.38	2.15		
Acquired 12/06/16 S		1	58.00	58.00		59.69	1.69		
Total	0.06	9	\$51.74	\$465.63	59.6900	\$537.21	\$71.58	\$5.04	0.94
CDW CORPORATION OF									
DELAWARE									
CDW									
Acquired 09/30/15 L	0.46	74	40.59	3,004.32	52.0900	3,854.66	850.34	47.36	1.22
CENTENE CORP									
CNC									
Acquired 01/21/15 L	0.34	51	54.87	2,798.37	56.5100	2,882.01	83.64	N/A	N/A
CHEVRON CORPORATION									
CVX									
Acquired 06/15/16 S	0.42	30	101.23	3,036.99	117.7000	3,531.00	494.01	129.60	3.67
CISCO SYSTEMS INC									
CSCO									
Acquired 01/21/15 L		69	27.76	1,915.81		2,085.18	169.37		
Acquired 06/15/15 L		32	28.40	908.84		967.04	58.20		
Acquired 06/19/15 L		16	28.97	463.66		483.52	19.86		
Acquired 08/25/15 L		7	25.69	179.83		211.54	31.71		
Acquired 02/19/16 S		4	26.46	105.84		120.88	15.04		
Total	0.46	128	\$27.92	\$3,573.98	30.2200	\$3,868.16	\$294.18	\$133.12	3.44
CME GROUP INC									
CME									
Acquired 12/17/15 L	0.26	19	95.42	1,813.06	115.3500	2,191.65	378.59	45.60	2.08
COACH INC									
COH									
Acquired 01/21/15 L	0.28	68	37.47	2,548.28	35.0200	2,381.36	-166.92	91.80	3.85

Stocks, options & ETFs **Stocks and ETFs continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
COLFAX CORP									
CFX									
Acquired 01/21/15 L		15	45.28	679.35		538.95	-140.40		
Acquired 01/28/15 L		2	44.27	88.56		71.86	-16.70		
Acquired 02/17/15 L		2	51.94	103.89		71.86	-32.03		
Acquired 05/14/15 L		2	51.55	103.11		71.86	-31.25		
Acquired 07/08/15 L		2	43.91	87.82		71.86	-15.96		
Acquired 08/11/15 L		1	40.79	40.80		35.93	-4.87		
Acquired 11/19/15 L		7	26.43	185.07		251.51	66.44		
Acquired 01/27/16 S		1	21.18	21.18		35.93	14.75		
Acquired 05/12/16 S		3	25.68	77.06		107.79	30.73		
Acquired 05/25/16 S		4	27.07	108.30		143.72	35.42		
Acquired 07/20/16 S		2	28.64	57.30		71.86	14.56		
Acquired 10/14/16 S		3	29.48	88.44		107.79	19.35		
Total	0.19	44	\$37.29	\$1,640.88	35.9300	\$1,580.92	-\$59.96	N/A	N/A
COMCAST CORP NEW CL A									
CMCSA									
Acquired 01/21/15 L	0.43	53	55.58	2,945.74	69.0500	3,659.65	713.91	58.30	1.59
COMPAGNIE FINANCIERE									
RICHEMONT SA-UNSPON ADR									
CFRUY									
Acquired 01/21/15 L		100	8.55	855.49		656.00	-199.49		
Acquired 03/09/16 S		32	6.71	214.98		209.92	-5.06		
Total	0.10	132	\$8.11	\$1,070.47	6.5600	\$865.92	-\$204.55	\$13.20	1.52
COMPASS GRP PLC SPON ADR									
1 RCPT= 1 ORD SHRS									
CMPGY									
Acquired 06/15/15 L		68	17.49	1,189.83		1,270.24	80.41		
Acquired 07/10/15 L		21	17.21	361.53		392.28	30.75		
Acquired 08/21/15 L		16	16.12	257.97		298.88	40.91		
Total	0.23	105	\$17.23	\$1,809.33	18.6800	\$1,961.40	\$152.07	\$39.06	1.99
CONTINENTAL AG									
SPON ADR									
CTTAY									
Acquired 01/22/15 L		24	45.77	1,098.63		924.00	-174.63		
Acquired 10/21/16 S		6	38.47	230.86		231.00	0.14		
Total	0.14	30	\$44.32	\$1,329.49	38.5000	\$1,155.00	-\$174.49	\$18.57	1.61

Stocks, options & ETFs **Stocks and ETFs continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
COPART INC									
CPRT									
Acquired 01/21/15 L		15	35.43	531.60		831.15	299.55		
Acquired 05/25/16 S		2	44.04	88.10		110.82	22.72		
Acquired 06/15/16 S		1	48.88	48.88		55.41	6.53		
Total	0.12	18	\$37.14	\$668.58	55.4100	\$997.38	\$328.80	N/A	N/A
COVANTA HOLDING CORP									
CVA									
Acquired 01/21/15 L	0.09	47	20.30 20.74	954.25 975.09	15.6000	733.20	-221.05	47.00	6.41
CRACKER BARREL OLD COUNTRY									
CBRL									
Acquired 10/27/16 S		4	132.90	531.62		667.92	136.30		
Acquired 10/28/16 S		12	135.91	1,630.99		2,003.76	372.77		
Total	0.32	16	\$135.16	\$2,162.61	166.9800	\$2,671.68	\$509.07	\$73.60	2.75
CROWN CASTLE INTERNATIONAL CORP									
CCI									
Acquired 11/17/16 S	0.27	26	85.16	2,214.19	86.7700	2,256.02	41.83	98.80	4.37
CVS HEALTH CORPORATION									
CVS									
Acquired 01/21/15 L		37	99.14	3,668.18		2,919.67	-748.51		
Acquired 08/25/15 L		1	103.43	103.44		78.91	-24.53		
Acquired 05/11/16 S		1	104.87	104.88		78.91	-25.97		
Total	0.36	39	\$99.40	\$3,876.50	78.9100	\$3,077.49	-\$799.01	\$78.00	2.53
DAIWA HOUSE IND LTD									
COMM ADRS									
JAPAN									
DWAHY									
Acquired 01/21/15 L	0.33	104	18.53	1,927.12	27.1500	2,823.60	896.48	58.13	2.05
DAVITA INC									
DVA									
Acquired 03/30/15 L		3	82.32	246.97		192.60	-54.37		
Acquired 04/17/15 L		1	81.25	81.26		64.20	-17.06		
Acquired 05/14/15 L		2	81.83	163.68		128.40	-35.28		

Stocks, options & ETFs Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 05/27/15 L		1	84.06	84.07		64.20	-19.87		
Acquired 06/10/15 L		1	81.71	81.71		64.20	-17.51		
Acquired 07/08/15 L		1	78.79	78.79		64.20	-14.59		
Acquired 09/29/15 L		1	71.05	71.05		64.20	-6.85		
Acquired 10/14/15 L		1	73.20	73.21		64.20	-9.01		
Acquired 12/10/15 L		1	70.83	70.83		64.20	-6.63		
Acquired 03/14/16 S		1	70.82	70.82		64.20	-6.62		
Acquired 05/25/16 S		1	77.39	77.39		64.20	-13.19		
Acquired 06/30/16 S		1	76.24	76.25		64.20	-12.05		
Acquired 08/10/16 S		1	70.06	70.07		64.20	-5.87		
Total	0.12	16	\$77.88	\$1,246.10	64.2000	\$1,027.20	-\$218.90	N/A	N/A
DELTA AIR LINES INC NEW									
DAL									
Acquired 01/21/15 L		67	48.73	3,265.22		3,295.73	30.51		
Acquired 08/25/15 L		3	43.26	129.78		147.57	17.79		
Total	0.41	70	\$48.50	\$3,395.00	49.1900	\$3,443.30	\$48.30	\$56.70	1.65
DIGITAL REALTY TRUST INC									
DLR									
Acquired 01/21/15 L		31	72.70	2,253.78		3,046.06	792.28		
Total	0.36	31	72.70	2,253.78	98.2600	3,046.06	792.28	109.12	3.58
DOLLAR GENERAL CORP									
DG									
Acquired 01/21/15 L		6	67.03	402.24		444.42	42.18		
Total	0.05	6	67.03	402.24	74.0700	444.42	42.18	6.00	1.35
DOLLAR TREE STORES INC									
DLTR									
Acquired 11/02/15 L		5	66.29	331.48		385.90	54.42		
Acquired 11/19/15 L		6	67.48	404.91		463.08	58.17		
Acquired 12/10/15 L		3	78.05	234.17		231.54	-2.63		
Acquired 01/07/16 S		2	78.58	157.16		154.36	-2.80		
Acquired 01/27/16 S		1	77.81	77.81		77.18	-0.63		
Acquired 02/18/16 S		2	80.42	160.85		154.36	-6.49		
Acquired 02/24/16 S		1	80.94	80.94		77.18	-3.76		
Acquired 05/12/16 S		1	80.04	80.05		77.18	-2.87		
Acquired 05/25/16 S		1	78.56	78.56		77.18	-1.38		
Total	0.20	22	\$73.00	\$1,605.93	77.1800	\$1,697.96	\$92.03	N/A	N/A

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
DOMTAR CORP NEW UFS									
Acquired 02/10/15 L		18	41.95	755.15		702.54	-52.61		
Acquired 03/16/15 L		35	44.31	1,551.06		1,366.05	-185.01		
Total	0.24	53	\$43.51	\$2,306.21	39.0300	\$2,068.59	-\$237.62	\$87.98	4.25
DOW CHEMICAL COMPANY DOW									
Acquired 01/21/15 L	0.41	60	44.51	2,671.19	57.2200	3,433.20	762.01	110.40	3.21
DUPONT FABROS TECHNOLOGY INC									
DFT									
Acquired 10/21/16 S	0.13	25	43.73	1,093.41	43.9300	1,098.25	4.84	50.00	4.55
EATON CORP PLC ETN									
Acquired 01/21/15 L	0.21	26	63.24 65.43	1,644.24 1,701.44	67.0900	1,744.34	100.10	59.28	3.39
ECHOSTAR HOLDING CORP - A									
SATS									
Acquired 01/21/15 L	0.02	4	51.50	206.04	51.3900	205.56	-0.48	N/A	N/A
ECOLAB INC									
ECL									
Acquired 01/21/15 L		3	103.20	309.63		351.66	42.03		
Acquired 02/17/15 L		1	111.64	111.64		117.22	5.58		
Total	0.06	4	\$105.32	\$421.27	117.2200	\$468.88	\$47.61	\$5.92	1.26
ELECTRONIC ARTS INC									
EA									
Acquired 03/20/15 L		40	57.33	2,293.39		3,150.40	857.01		
Acquired 08/25/15 L		2	65.46	130.94		157.52	26.58		
Total	0.39	42	\$57.72	\$2,424.33	78.7600	\$3,307.92	\$883.59	N/A	N/A
EMCOR GROUP INC									
EME									
Acquired 12/05/16 S		10	69.61	696.19		707.60	11.41		
Acquired 12/06/16 S		39	70.04	2,731.94		2,759.64	27.70		
Total	0.41	49	\$69.96	\$3,428.13	70.7600	\$3,467.24	\$39.11	\$15.68	0.45

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ENVISION HEALTHCARE CORP									
EVHC	0.30	40	77.12	3,084.82	63.2900	2,531.60	-553.22	N/A	N/A
EQUINIX INC									
EQIX									
Acquired 11/02/15 L		1	304.13	304.13		357.41	53.28		
Acquired 11/19/15 L		1	286.29	286.29		357.41	71.12		
Acquired 01/07/16 S		1	303.88	303.89		357.41	53.52		
Total	0.13	3	\$298.10	\$894.31	357.4100	\$1,072.23	\$177.92	\$21.00	1.96
EXXON MOBIL CORP									
XOM									
Acquired 01/21/15 L		27	91.41	2,468.07		2,437.02	-31.05		
Acquired 12/05/16 S		11	87.26	959.88		992.86	32.98		
Total	0.41	38	\$90.21	\$3,427.95	90.2600	\$3,429.88	\$1.93	\$114.00	3.32
FANUC CORP									
UNSPONSORED ADR									
FANUY									
Acquired 03/08/16 S	0.14	70	16.21	1,135.29	16.6500	1,165.50	30.21	18.34	1.57
FASTENAL CO									
FAST									
Acquired 01/21/15 L		6	45.68	274.08		281.88	7.80		
Acquired 03/09/15 L		1	40.93	40.94		46.98	6.04		
Acquired 08/11/15 L		1	39.78	39.79		46.98	7.19		
Acquired 10/14/16 S		2	38.41	76.83		93.96	17.13		
Total	0.06	10	\$43.16	\$431.64	46.9800	\$469.80	\$38.16	\$12.00	2.55
FIDELITY NATIONAL INFORMATION SVCS									
FIS									
Acquired 01/21/15 L		16	62.45	999.20		1,210.24	211.04		
Acquired 02/10/16 S		1	58.28	58.28		75.64	17.36		
Total	0.15	17	\$62.20	\$1,057.48	75.6400	\$1,285.88	\$228.40	\$17.68	1.37
FNF GROUP									
FNF									
Acquired 01/21/15 L		24	35.89	861.36		815.04	-46.32		
Acquired 07/08/15 L		3	38.09	114.28		101.88	-12.40		
Acquired 08/11/15 L		3	38.99	116.99		101.88	-15.11		
Acquired 09/14/15 L		1	36.27	36.28		33.96	-2.32		

Stocks, options & ETFs **Stocks and ETFs continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 09/29/15 L		3	35.40	106.21		101.88	-4.33		
Acquired 10/14/15 L		1	35.84	35.84		33.96	-1.88		
Acquired 11/02/15 L		2	35.75	71.51		67.92	-3.59		
Acquired 12/10/15 L		3	35.36	106.10		101.88	-4.22		
Acquired 03/23/16 S		2	32.80	65.61		67.92	2.31		
Acquired 05/12/16 S		2	33.51	67.03		67.92	0.89		
Acquired 10/14/16 S		2	36.76	73.54		67.92	-5.62		
Total	0.18	46	\$35.97	\$1,654.75	33.9600	\$1,562.16	-\$92.59	\$46.00	2.94
FOOT LOCKER INC FL									
Acquired 01/21/15 L	0.42	50	52.27	2,613.50	70.8900	3,544.50	931.00	55.00	1.55
FOREST CITY REALTY TR INC CLASS A									
FCE/A									
Acquired 01/21/15 L		31	24.25	752.06		646.04	-106.02		
Acquired 02/10/16 S		3	16.90	50.71		62.52	11.81		
Acquired 04/08/16 S		3	20.95	62.88		62.52	-0.36		
Total	0.09	37	\$23.40	\$865.65	20.8400	\$771.08	-\$94.57	\$8.88	1.15
FRESENIUS SE & CO KGAA SPONSORED ADR FSNUY									
Acquired 01/21/15 L	0.20	87	14.05	1,222.78	19.4800	1,694.76	471.98	8.70	0.51
GEA GROUP AG - SPON ADR GEAGY									
Acquired 10/14/15 L		8	41.26	330.13		321.92	-8.21		
Acquired 01/27/16 S		5	41.40	207.01		201.20	-5.81		
Acquired 06/17/16 S		7	47.69	333.89		281.68	-52.21		
Total	0.10	20	\$43.55	\$871.03	40.2400	\$804.80	-\$66.23	\$17.66	2.19
GENERAL ELECTRIC COMPANY GE									
Acquired 01/21/15 L	0.39	104	23.97	2,493.18	31.6000	3,286.40	793.22	99.84	3.03
GENERAL MOTORS CO GM									
Acquired 01/21/16 S	0.39	95	29.46	2,798.72	34.8400	3,309.80	511.08	144.40	4.36

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
GILEAD SCIENCES INC									
GILD									
Acquired 01/21/15 L		32	103.65	3,316.80		2,291.52	-1,025.28		
Acquired 12/30/15 L		2	102.21	204.44		143.22	-61.22		
Total	0.29	34	\$103.57	\$3,521.24	71.6100	\$2,434.74	-\$1,086.50	\$63.92	2.63
GLAXOSMITHKLINE PLC-ADR									
GSK									
Acquired 01/21/15 L		44	44.58	1,961.64		1,694.44	-267.20		
Acquired 02/29/16 S		26	38.86	1,010.54		1,001.26	-9.28		
Total	0.32	70	\$42.46	\$2,972.18	38.5100	\$2,695.70	-\$276.48	\$144.69	5.37
GOLDMAN SACHS GROUP INC									
GS									
Acquired 01/21/15 L		16	176.62	2,825.92		3,831.20	1,005.28		
Acquired 08/25/15 L		1	186.57	186.58		239.45	52.87		
Total	0.48	17	\$177.21	\$3,012.50	239.4500	\$4,070.65	\$1,058.15	\$44.20	1.09
HCA HOLDINGS INC									
HCA									
Acquired 05/17/16 S	0.32	37	77.19	2,856.38	74.0200	2,738.74	-117.64	N/A	N/A
HOME DEPOT INC									
HD									
Acquired 01/21/15 L		27	103.40	2,791.80		3,620.16	828.36		
Acquired 08/25/15 L		2	115.02	230.06		268.16	38.10		
Total	0.46	29	\$104.20	\$3,021.86	134.0800	\$3,888.32	\$866.46	\$80.04	2.06
HOSPITALITY PROPERTIES									
REIT TRUST									
COM SH BEN INT									
HPT									
Acquired 01/21/15 L	0.28	74	31.88	2,359.37	31.7400	2,348.76	-10.61	150.96	6.42
			32.03	2,370.22					
HOWARD HUGHES CORP									
HHC									
Acquired 11/19/15 L		2	122.09	244.20		228.20	-16.00		
Acquired 11/08/16 S		1	105.93	105.94		114.10	8.16		
Total	0.04	3	\$116.71	\$350.14	114.1000	\$342.30	-\$7.84	N/A	N/A

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
HUNTINGTON BANCSHRES INC									
HBAN									
Acquired 08/12/15 L		264	11.46	3,026.49		3,490.08	463.59		
Acquired 08/25/15 L		11	10.60	116.71		145.42	28.71		
Total	0.43	275	\$11.43	\$3,143.20	13.2200	\$3,635.50	\$492.30	\$88.00	2.42
IHS MARKIT LTD									
INFO									
Acquired 10/14/16 S		4	36.55	146.21		141.64	-4.57		
Acquired 10/26/16 S		6	37.63	225.82		212.46	-13.36		
Acquired 11/08/16 S		3	35.62	106.86		106.23	-0.63		
Acquired 12/06/16 S		2	34.86	69.73		70.82	1.09		
Acquired 12/23/16 S		2	35.60	71.22		70.82	-0.40		
Total	0.07	17	\$36.46	\$619.84	35.4100	\$601.97	-\$17.87	N/A	N/A
INFORMA PLC SPON ADR									
1 RCPT= 2 ORD SHRS									
IFJPY									
Acquired 01/21/15 L	0.17	86	15.21	1,308.49	16.8000	1,444.80	136.31	43.77	3.02
INTEL CORP									
INTC									
Acquired 01/22/15 L		82	36.61	3,002.75		2,974.14	-28.61		
Acquired 12/30/15 L		1	35.19	35.19		36.27	1.08		
Total	0.36	83	\$36.60	\$3,037.94	36.2700	\$3,010.41	-\$27.53	\$86.32	2.87
INTERNATIONAL BUSINESS MACHINE CORP									
IBM									
Acquired 07/20/16 S	0.27	14	161.53	2,261.48	165.9900	2,323.86	62.38	78.40	3.37
INTUIT INC									
INTU									
Acquired 01/21/15 L		8	86.42	691.44		916.88	225.44		
Acquired 08/25/15 L		1	84.82	84.83		114.61	29.78		
Total	0.12	9	\$86.25	\$776.27	114.6100	\$1,031.49	\$255.22	\$12.24	1.19
JABIL CIRCUIT INC									
JBL									
Acquired 12/18/15 L	0.37	131	23.67	3,101.88	23.6700	3,100.77	-1.11	41.92	1.35

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
JAPAN TOBACCO INC ADR									
UNSPON									
1 RCPT .5 ORD SHRS									
JAPAY									
Acquired 01/21/15 L		24	13.95	334.80		392.04	57.24		
Acquired 02/19/15 L		39	15.60	608.67		637.06	28.39		
Acquired 12/17/15 L		15	18.83	282.46		245.03	-37.43		
Total	0.15	78	\$15.72	\$1,225.93	16.3350	\$1,274.13	\$48.20	\$34.47	2.71
JOHNSON & JOHNSON									
JNJ									
Acquired 01/21/15 L	0.44	32	101.48	3,247.36	115.2100	3,686.72	439.36	102.40	2.77
JOHNSON CTLS INTL PLC									
JCI									
Acquired 09/06/16 S	0.30	61	45.69	2,787.09	41.1900	2,512.59	-274.50	61.00	2.42
JPMORGAN CHASE & CO									
JPM									
Acquired 05/20/15 L		49	66.52	3,259.74		4,228.21	968.47		
Acquired 12/30/15 L		1	66.64	66.65		86.29	19.64		
Total	0.51	50	\$66.53	\$3,326.39	86.2900	\$4,314.50	\$988.11	\$96.00	2.23
KAR AUCTION SERVICES INC									
KAR									
Acquired 01/21/15 L		21	33.61	706.02		895.02	189.00		
Acquired 07/07/16 S		1	41.52	41.53		42.62	1.09		
Total	0.11	22	\$33.98	\$747.55	42.6200	\$937.64	\$190.09	\$28.16	3.00
KBC GROEP NV - UNSPN ADR									
KBCSY									
Acquired 03/12/15 L	0.08	23	30.01	690.26	30.9875	712.71	22.45	7.82	1.09
KDDI CORP-UNSPONSORED									
ADR									
KDDIY									
Acquired 01/21/15 L		81	11.40	923.66		1,024.65	100.99		
Acquired 09/28/16 S		26	15.55	404.34		328.90	-75.44		
Total	0.16	107	\$12.41	\$1,328.00	12.6500	\$1,353.55	\$25.55	\$26.32	1.94

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
KOMATSU LTD SPON ADR NEW KMTUY	0.14	54	21.97	1,186.65	22,6800	1,224.72	38.07	22.41	1.82
KROGER COMPANY COMMON KR									
Acquired 02/25/16 S		74	39.32	2,910.16		2,553.74	-356.42		
Acquired 12/05/16 S		21	32.91	691.13		724.71	33.58		
Total	0.39	95	\$37.91	\$3,601.29	34,5100	\$3,278.45	-\$322.84	\$45.60	1.39
L BRANDS INC LB	0.20	26	84.02	2,184.67	65,8400	1,711.84	-472.83	62.40	3.64
LAMAR ADVERTISING CO NEW CLASS A LAMR	0.26	33	55.08 55.42	1,817.88 1,828.86	67,2400	2,218.92	401.04	100.32	4.52
LEAR CORP LEA	0.42	27	97.57	2,634.59	132,3700	3,573.99	939.40	32.40	0.90
LENNAR CORPORATION CLASS A LEN									
Acquired 08/27/15 L		5	51.01	255.09		214.65	-40.44		
Acquired 09/14/15 L		3	52.22	156.66		128.79	-27.87		
Acquired 03/14/16 S		1	45.59	45.59		42.93	-2.66		
Total	0.05	9	\$50.82	\$457.34	42,9300	\$386.37	-\$70.97	\$1.44	0.37
LEUCADIA NATIONAL CORP LUK									
Acquired 01/21/15 L		28	22.34	625.52		651.00	25.48		
Acquired 07/07/16 S		1	17.26	17.27		23.25	5.98		
Total	0.08	29	\$22.17	\$642.79	23,2500	\$674.25	\$31.46	\$7.25	1.08
LIBERTY BROADBAND CORP COM SER C LBRDK									
Acquired 01/21/15 L		8	47.13	377.04		592.56	215.52		

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 01/28/15 L		3	46.31	138.96		222.21	83.25		
Acquired 03/30/15 L		1	54.16	54.17		74.07	19.90		
Acquired 08/11/15 L		1	53.66	53.67		74.07	20.40		
Acquired 08/23/15 L		1	52.44	52.44		74.07	21.63		
Acquired 04/08/16 S		2	57.94	115.89		148.14	32.25		
Acquired 05/16/16 S		1	58.14	58.14		74.07	15.93		
Total	0.15	17	\$50.02	\$850.31	74.0700	\$1,259.19	\$408.88	N/A	N/A
LIBERTY BROADBAND									
CORP COM SER A									
LBRDA									
Acquired 01/21/15 L		2	47.11	94.22		144.92	50.70		
Acquired 06/24/15 L		1	51.32	51.32		72.46	21.14		
Acquired 11/02/15 L		1	54.93	54.93		72.46	17.53		
Acquired 12/10/15 L		2	51.23	102.48		144.92	42.44		
Total	0.05	6	\$50.49	\$302.95	72.4600	\$434.76	\$131.81	N/A	N/A
LIBERTY EXPEDIA HOLDINGS									
INC SER A									
LEXEA									
Acquired 01/21/15 L		7.40741	33.34	246.96		293.85	46.89		
Acquired 03/30/15 L		1.11111	37.82	42.03		44.08	2.05		
Acquired 01/27/16 S		0.37037	34.62	12.82		14.69	1.87		
Acquired 05/12/16 S		0.74074	34.66	25.68		29.39	3.71		
Acquired 07/07/16 S		0.37037	33.80	12.52		14.69	2.17		
Total	0.05	10	\$34.00	\$340.01	39.6700	\$396.70	\$56.69	N/A	N/A
LIBERTY GLOBAL PLC									
SHS CL A									
LBTYA									
Acquired 01/21/15 L		16	38.83	621.38		489.44	-131.94		
Acquired 01/07/16 S		2	34.33	68.68		61.18	-7.50		
Total	0.07	18	\$38.34	\$690.06	30.5900	\$550.62	-\$139.44	N/A	N/A
LIBERTY GLOBAL PLC									
SHS CLC									
LBTYK									
Acquired 01/21/15 L		16	37.39	598.26		475.20	-123.06		
Acquired 06/30/16 S		8	28.38	227.08		237.60	10.52		
Acquired 07/20/16 S		6	30.27	181.62		178.20	-3.42		
Total	0.11	30	\$33.57	\$1,006.96	29.7000	\$891.00	-\$115.96	N/A	N/A

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
LIBERTY INTERACTIVE CORP									
NEW SER A NEW									
LVNTA									
Acquired 01/21/15 L		10 85185	33.33	361.79		400.11	38.32		
Acquired 03/30/15 L		1 77778	37.82	67.25		65.55	-1.70		
Acquired 01/27/16 S		0 59259	34.63	20.52		21.85	1.33		
Acquired 05/12/16 S		1.18519	34.65	41.08		43.69	2.61		
Acquired 07/07/16 S		0 59259	33.80	20.03		21.85	1.82		
Total	0.07	15	\$34.04	\$510.67	36.8700	\$553.05	\$42.38	N/A	N/A
LIBERTY MEDIA CORP DEL									
COM SER C SIRIUSXM GROUP									
LSXMK									
Acquired 01/21/15 L	0.09	23	27.48	632.15	33 9200	780.16	148.01	N/A	N/A
LIBERTY MEDIA CORP DEL									
COM SER A SIRIUSXM GRP									
LSXMA									
Acquired 01/21/15 L	0.04	9	26.95	242.63	34 5200	310.68	68.05	N/A	N/A
LIBERTY MEDIA GRP									
CLASS C									
LMCK									
Acquired 01/21/15 L		3	20.42	61.26		93.99	32.73		
Acquired 04/25/16 S		1	17.88	17.89		31.33	13.44		
Acquired 05/12/16 S		4	18.46	73.86		125.32	51.46		
Total	0.03	8	\$19.13	\$153.01	31.3300	\$250.64	\$97.63	N/A	N/A
LIBERTY MEDIA GRP									
COM SER A MEDIA GROUP									
LMCA									
Acquired 01/21/15 L	0.01	3	20.08	60.26	31 3500	94.05	33.79	N/A	N/A
LINCOLN NATL CORP IND									
LNC									
Acquired 01/21/15 L		67	51.42	3,445.53		4,440.09	994.56		
Acquired 12/30/15 L		1	50.96	50.96		66.27	15.31		
Total	0.53	68	\$51.42	\$3,496.49	66.2700	\$4,506.36	\$1,009.87	\$78.88	1.75
LINEAR TECHNOLOGY COR									
LLTC									
Acquired 05/17/16 S	0.49	66	44.28	2,922.98	62.3500	4,115.10	1,192.12	84.48	2.05

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
LOEWS CORPORATION									
L	0.16	29	39.12	1,134.77	46,8300	1,358.07	223.30	7.25	0.53
Acquired 01/21/15 L									
LOWES COMPANIES INC									
LOW									
Acquired 01/21/15 L		46	67.29	3,095.34		3,271.52	176.18		
Acquired 05/14/15 L		1	72.51	72.51		71.12	-1.39		
Acquired 08/25/15 L		2	69.26	138.52		142.24	3.72		
Total	0.41	49	\$67.48	\$3,306.37	71.1200	\$3,484.88	\$178.51	\$68.60	1.97
LYONDELLBASELL									
INDUSTRIES AF SCA									
CLASS A									
LYB	0.30	30	80.35	2,410.50	85,7800	2,573.40	162.90	102.00	3.96
Acquired 01/21/15 L									
M & T BANK CORP									
MTB									
Acquired 01/21/15 L		6	112.26	673.56		938.58	265.02		
Acquired 08/25/15 L		1	117.80	117.81		156.43	38.62		
Total	0.13	7	\$113.05	\$791.37	156.4300	\$1,095.01	\$303.64	\$19.60	1.79
MACQUARIE INFRASTRUCTUR									
CORPORATION									
MIC									
Acquired 05/14/15 L		2	81.32	162.64		163.40	0.76		
			83.56	167.12					
Acquired 05/27/15 L		2	83.81	167.62		163.40	-4.22		
			86.04	172.10					
Acquired 06/10/15 L		3	84.45	253.35		245.10	-8.25		
			86.68	260.07					
Acquired 06/24/15 L		3	85.11	255.34		245.10	-10.24		
			87.35	262.06					
Acquired 07/08/15 L		1	78.46	78.46		81.70	3.24		
			80.70	80.70					
Acquired 08/25/15 L		1	73.67	73.67		81.70	8.03		
			74.79	74.80					
Total	0.12	12	\$82.59	\$991.08	81.7000	\$980.40	-\$10.68	\$61.92	6.32
			\$84.74	\$1,016.85					

Stocks, options & ETFs Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
MAKITA CORPORATION ADR									
NEW 11/76									
MKTAY									
Acquired 01/21/15 L		32	45.29	1,449.43		2,141.12	691.69		
Acquired 08/29/16 S		1	74.19	74.20		66.91	-7.29		
Total	0.26	33	\$46.17	\$1,523.63	66.9100	\$2,208.03	\$684.40	\$28.44	1.29
MARKEL CORP									
MKL									
Acquired 01/21/15 L	0.32	3	679.28	2,037.84	904.5000	2,713.50	675.66	N/A	N/A
MARTIN MARIETTA									
MATERIALS INC									
MLM									
Acquired 02/17/15 L		1	144.22	144.23		221.53	77.30		
Acquired 07/13/15 L		1	153.48	153.48		221.53	68.05		
Acquired 11/19/15 L		1	161.26	161.27		221.53	60.26		
Acquired 09/16/16 S		1	174.24	174.25		221.53	47.28		
Acquired 10/14/16 S		1	173.21	173.22		221.53	48.31		
Total	0.13	5	\$161.29	\$806.45	221.5300	\$1,107.65	\$301.20	\$8.40	0.76
MCKESSON CORPORATION									
MCK									
Acquired 01/21/15 L		16	214.33	3,429.28		2,247.20	-1,182.08		
Acquired 08/25/15 L		1	198.68	198.68		140.45	-58.23		
Total	0.28	17	\$213.41	\$3,627.96	140.4500	\$2,387.65	-\$1,240.31	\$19.04	0.80
MEAD JOHNSON									
NUTRITION CO									
MJN									
Acquired 01/21/15 L		1	99.82	99.82		70.76	-29.06		
Acquired 06/10/15 L		2	91.09	182.18		141.52	-40.66		
Acquired 07/23/15 L		1	90.70	90.71		70.76	-19.95		
Acquired 08/11/15 L		1	87.12	87.12		70.76	-16.36		
Acquired 09/29/15 L		1	70.05	70.05		70.76	0.71		
Total	0.05	6	\$88.31	\$529.88	70.7600	\$424.56	-\$105.32	\$9.90	2.33
MERCCK & CO INC NEW									
MRK									
Acquired 01/21/15 L		44	62.16	2,735.14		2,590.28	-144.86		
Acquired 01/21/15 L		63	62.23	3,920.49		3,708.81	-211.68		

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 08/25/15 L		4	53.88	215.52		235.48	19.96		
Total	0.77	111	\$61.90	\$6,871.15	58.8700	\$6,534.57	-\$336.58	\$208.68	3.19
MICROCHIP TECHNOLOGY INC									
MCHP									
Acquired 01/21/15 L		41	45.13	1,850.46		2,630.15	779.69		
Acquired 01/21/15 L		23	44.88	1,032.36		1,475.45	443.09		
Acquired 09/14/15 L		2	45.66	1,050.41		128.30	40.67		
Acquired 06/22/16 S		1	43.81	87.93		64.15	12.65		
Total	0.51	67	\$45.10	\$3,021.95	64.1500	\$4,298.05	\$1,276.10	\$96.61	2.25
MICROSOFT CORP									
MSFT									
Acquired 01/21/15 L		48	45.71	2,194.08		2,982.72	788.64		
Acquired 08/25/15 L		3	42.86	128.60		186.42	57.82		
Total	0.37	51	\$45.54	\$2,322.68	62.1400	\$3,169.14	\$846.46	\$79.56	2.51
MOHAWK INDS INC									
MHK									
Acquired 01/21/15 L	0.14	6	166.69	1,000.14	199.6800	1,198.08	197.94	N/A	N/A
MOODY'S CORP									
MCO									
Acquired 01/21/15 L		14	92.40	1,293.60		1,319.78	26.18		
Acquired 03/30/15 L		2	103.69	207.39		188.54	-18.85		
Acquired 08/11/15 L		2	110.59	221.19		188.54	-32.65		
Acquired 09/29/15 L		1	97.04	97.04		94.27	-2.77		
Acquired 05/25/16 S		1	98.31	98.32		94.27	-4.05		
Acquired 07/20/16 S		1	104.21	104.22		94.27	-9.95		
Acquired 09/29/16 S		1	108.17	108.17		94.27	-13.90		
Total	0.24	22	\$96.82	\$2,129.93	94.2700	\$2,073.94	-\$55.99	\$33.44	1.61
MOTOROLA SOLUTIONS INC									
COM NEW									
MSI									
Acquired 01/21/15 L	0.11	11	64.02	704.33	82.8900	911.79	207.46	20.68	2.26

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
MSCI INC									
MSCI	0.03	3	53.35	160.08	78.7800	236.34	76.26	3.36	1.42
Acquired 01/21/15 L									
NORTHROP GRUMMAN CORP									
NEW									
NOC									
Acquired 01/21/15 L		15	153.97	2,309.55		3,488.70	1,179.15		
Acquired 08/25/15 L		1	163.28	163.28		232.58	69.30		
Total	0.44	16	\$154.55	\$2,472.83	232.5800	\$3,721.28	\$1,248.45	\$57.60	1.55
NOVARTIS AG									
SPON ADR									
NVS									
Acquired 01/21/15 L		43	98.59	4,239.72		3,132.12	-1,107.60		
Acquired 01/21/15 L		29	98.64	2,860.85		2,112.36	-748.49		
Acquired 08/26/16 S		1	79.86	79.87		72.84	-7.03		
Total	0.63	73	\$98.36	\$7,180.44	72.8400	\$5,317.32	-\$1,863.12	\$168.11	3.16
NVIDIA CORP									
NVDA									
Acquired 04/25/16 S		20	36.44	728.98		2,134.80	1,405.82		
Acquired 04/26/16 S		34	36.63	1,245.47		3,629.16	2,383.69		
Total	0.68	54	\$36.56	\$1,974.45	106.7400	\$5,763.96	\$3,789.51	\$30.24	0.52
O'REILLY AUTOMOTIVE INC									
ORLY									
Acquired 01/21/15 L	0.23	7	184.50	1,291.50	278.4100	1,948.87	657.37	N/A	N/A
OMEGA HEALTHCARE									
REIT INVESTORS INC									
OHI									
Acquired 01/21/15 L	0.11	31	43.10	1,336.21	31.2600	969.06	-367.15	75.64	7.80
Acquired 01/21/15 L			44.14	1,368.65					
ONEOK INC NEW									
OKE									
Acquired 01/21/15 L		81	44.89	3,636.43		4,650.21	1,013.78		
Acquired 02/19/16 S		6	20.34	122.08		344.46	222.38		
Total	0.59	87	\$43.20	\$3,758.51	57.4100	\$4,994.67	\$1,236.16	\$214.02	4.28

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
PACWEST BANCORP DEL									
PACW	0.17	26	37.40	972.53	54.4400	1,415.44	442.91	52.00	3.67
PEMBINA PIPELINE CORP									
PBA	0.12	32	31.59	1,010.88	31.3200	1,002.24	-8.64	47.50	4.73
PFIZER INCORPORATED									
PFE		59	32.65	1,926.62		1,916.32	-10.30		
Acquired 01/21/15 L		40	29.78	1,191.36		1,299.20	107.84		
Acquired 03/28/16 S									
Total	0.38	99	\$31.49	\$3,117.98	32.4800	\$3,215.52	\$97.54	\$126.72	3.94
PINNACLE FOODS INC									
PF		61	36.13	2,204.46		3,260.45	1,055.99		
Acquired 01/21/15 L		3	43.98	131.94		160.35	28.41		
Acquired 08/25/15 L									
Total	0.40	64	\$36.51	\$2,336.40	53.4500	\$3,420.80	\$1,084.40	\$72.96	2.13
PLAINS GP HLDGS LP									
INT CL A NEW INT									
PAGP									
Acquired 07/20/16 S	0.38	92	30.28	2,786.27	34.6800	3,190.56	404.29	202.40	6.34
			30.83	2,836.86					
PROCTER & GAMBLE CO									
PG									
Acquired 06/18/15 L		2	80.92	161.85		168.16	6.31		
Acquired 06/19/15 L		5	80.89	404.50		420.40	15.90		
Acquired 09/10/15 L		18	68.41	1,231.49		1,513.44	281.95		
Total	0.25	25	\$71.91	\$1,797.84	84.0800	\$2,102.00	\$304.16	\$66.95	3.19
PROGRESSIVE CORP OHIO									
PGR									
Acquired 01/21/15 L		13	26.04	338.57		461.50	122.93		
Acquired 09/16/16 S		2	31.30	62.60		71.00	8.40		
Acquired 10/14/16 S		2	32.64	65.30		71.00	5.70		
Total	0.07	17	\$27.44	\$466.47	35.5000	\$603.50	\$137.03	\$15.09	2.50
PROLOGIS INC									
PLD									
Acquired 09/12/16 S	0.37	60	52.45	3,147.02	52.7900	3,167.40	20.38	100.80	3.18

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
PRUDENTIAL FINANCIAL INC									
PRU	0.43	35	87.26	3,054.20	104.0600	3,642.10	587.90	98.00	2.69
PRUDENTIAL PLC									
SPON ADR									
PUK									
Acquired 01/21/15 L		75	47.47	3,560.92		2,984.25	-576.67		
Acquired 08/16/16 S		13	37.07	481.94		517.27	35.33		
Total	0.41	88	\$45.94	\$4,042.86	39.7900	\$3,501.52	-\$541.34	\$122.58	3.50
QUALCOMM INC									
QCOM									
Acquired 02/18/16 S		43	49.09	2,111.07		2,803.60	692.53		
Acquired 02/24/16 S		20	51.13	1,022.74		1,304.00	281.26		
Total	0.49	63	\$49.74	\$3,133.81	65.2000	\$4,107.60	\$973.79	\$133.56	3.25
RED ELECTRICA									
CORPORACION SA									
RDEIV									
Acquired 01/21/15 L		81	27173	877.14		758.27	-118.87		
Acquired 03/20/15 L		52	72827	531.38		491.95	-39.43		
Total	0.15	134	\$10.51	\$1,408.52	9.3300	\$1,250.22	-\$158.30	\$42.74	3.42
RELX PLC ADR									
SPON									
1 RCPT=1 ORD SHRS									
RELX									
Acquired 09/30/15 L		53	17.24	913.83		952.41	38.58		
Acquired 12/15/15 L		38	17.70	672.72		682.86	10.14		
Total	0.19	91	\$17.43	\$1,586.55	17.9700	\$1,635.27	\$48.72	\$41.67	2.55
RESTAURANT BRANDS									
INTL INC									
QSR									
Acquired 01/21/15 L		31	38.18	1,183.58		1,477.46	293.88		
Acquired 03/14/16 S		3	39.00	117.00		142.98	25.98		
Acquired 10/19/16 S		1	45.99	46.00		47.66	1.66		
Acquired 11/08/16 S		5	45.97	229.85		238.30	8.45		
Total	0.23	40	\$39.41	\$1,576.43	47.6600	\$1,906.40	\$329.97	\$27.20	1.43

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ROBERT HALF INTL INC									
RHI									
Acquired 01/21/15 L		4	57.38	229.52		195.12	-34.40		
Acquired 07/20/16 S		2	40.42	80.86		97.56	16.70		
Total	0.03	6	\$51.73	\$310.38	48.7800	\$292.68	-\$17.70	\$5.28	1.80
ROPER TECHNOLOGIES INC									
ROP									
Acquired 01/28/15 L		1	155.59	155.59		183.08	27.49		
Acquired 02/03/15 L		1	159.24	159.25		183.08	23.83		
Acquired 02/17/15 L		2	168.17	336.36		366.16	29.80		
Acquired 03/09/15 L		1	168.42	168.43		183.08	14.65		
Acquired 06/10/15 L		1	176.96	176.97		183.08	6.11		
Acquired 07/08/15 L		1	171.15	171.16		183.08	11.92		
Acquired 08/11/15 L		1	168.84	168.85		183.08	14.23		
Acquired 07/07/16 S		1	166.76	166.77		183.08	16.31		
Total	0.19	9	\$167.04	\$1,503.38	183.0800	\$1,647.72	\$144.34	\$12.60	0.76
ROSS STORES INC (CALIF)									
ROST									
Acquired 01/21/15 L		13	45.70	594.10		852.80	258.70		
Acquired 07/23/15 L		3	53.14	159.45		196.80	37.35		
Acquired 09/14/15 L		2	50.01	100.04		131.20	31.16		
Acquired 11/02/15 L		2	50.74	101.50		131.20	29.70		
Acquired 11/19/15 L		4	46.29	185.18		262.40	77.22		
Acquired 01/27/16 S		2	53.67	107.35		131.20	23.85		
Acquired 05/04/16 S		1	57.64	57.64		65.60	7.96		
Acquired 05/25/16 S		2	53.58	107.17		131.20	24.03		
Total	0.22	29	\$48.70	\$1,412.43	65.6000	\$1,902.40	\$489.97	\$15.66	0.82
ROYAL DUTCH SHELL PLC									
ADR CL A									
RDSA									
Acquired 01/21/15 L		14	65.46	916.58		761.32	-155.26		
Acquired 07/31/15 L		8	57.43	459.46		435.04	-24.42		
Acquired 02/05/16 S		7	44.61	312.30		380.66	68.36		
Acquired 03/08/16 S		10	47.15	471.56		543.80	72.24		
Total	0.25	39	\$55.38	\$2,159.90	54.3800	\$2,120.82	-\$39.08	\$124.64	5.88

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ROYAL KPN N V									
SPN ADR									
KKPNY									
Acquired 01/21/15 L		79	3 15	248.85		237.00	-11.85		
Acquired 01/23/15 L		182	3 15	574.81		546.00	-28.81		
Total	0.09	261	\$3.16	\$823.66	3.0000	\$783.00	-\$40.66	\$115.62	14.77
RYANAIR HLDGS PLC									
SPONSORED ADR NEW									
RYAAY									
Acquired 01/21/15 L		12	70.28	843.44		999.12	155.68		
Acquired 05/31/16 S		5	87.31	436.59		416.30	-20.29		
Total	0.17	17	\$75.30	\$1,280.03	83.2600	\$1,415.42	\$135.39	N/A	N/A
RYOHIN KEIKAKU CO UNSP									
ADR									
RYKKY									
Acquired 01/21/15 L		28	23.49	657.85		1,102.43	444.58		
Acquired 07/07/16 S		8	45.61	364.88		314.98	-49.90		
Acquired 12/08/16 S		11	39.19	431.16		433.09	1.93		
Total	0.22	47	\$30.93	\$1,453.89	39.3725	\$1,850.50	\$396.61	\$18.14	0.98
S&P GLOBAL INC									
SPGI									
Acquired 01/07/16 S		3	91.26	273.80		322.62	48.82		
Acquired 01/12/16 S		5	88.24	441.23		537.70	96.47		
Acquired 01/26/16 S		3	84.64	253.94		322.62	68.68		
Acquired 01/27/16 S		3	83.29	249.88		322.62	72.74		
Acquired 03/23/16 S		1	97.66	97.66		107.54	9.88		
Total	0.19	15	\$87.77	\$1,316.51	107.5400	\$1,613.10	\$296.59	\$21.60	1.34
SAMPO OYJ-A SHS UNSP ADR									
SAXPY									
Acquired 01/21/15 L		73	23.63	1,725.35		1,634.47	-90.88		
Acquired 09/06/16 S		21	22.17	465.70		470.19	4.49		
Acquired 12/01/16 S		17	22.19	377.34		380.63	3.29		
Total	0.29	111	\$23.14	\$2,568.39	22.3900	\$2,485.29	-\$83.10	\$110.66	4.45
SAP SE-SPONSORED ADR									
SAP									
Acquired 07/22/16 S		12	84.67	1,016.10		1,037.16	21.06		
Acquired 09/23/16 S		7	92.46	647.24		605.01	-42.23		

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 11/22/16 S		8	85.21	681.70		691.44	9.74		
Total	0.28	27	\$86.85	\$2,345.04	86.4300	\$2,333.61	-\$11.43	\$25.13	1.08
SBA COMMUNICATIONS CORP									
SBAC									
Acquired 01/21/15 L		2	110.71	221.42		206.52	-14.90		
Acquired 03/09/15 L		1	122.62	122.62		103.26	-19.36		
Acquired 03/30/15 L		2	120.94	241.89		206.52	-35.37		
Acquired 05/14/15 L		1	116.24	116.25		103.26	-12.99		
Acquired 05/27/15 L		1	112.81	112.82		103.26	-9.56		
Acquired 06/10/15 L		2	117.43	234.87		206.52	-28.35		
Acquired 06/24/15 L		1	119.15	119.16		103.26	-15.90		
Acquired 08/25/15 L		1	116.75	116.76		103.26	-13.50		
Acquired 09/29/15 L		1	104.04	104.05		103.26	-0.79		
Acquired 10/14/15 L		1	108.96	108.96		103.26	-5.70		
Acquired 11/02/15 L		2	121.27	242.56		206.52	-36.04		
Acquired 12/10/15 L		1	104.59	104.60		103.26	-1.34		
Acquired 01/07/16 S		2	102.76	205.53		206.52	0.99		
Acquired 01/26/16 S		1	97.70	97.70		103.26	5.56		
Acquired 02/18/16 S		2	92.23	184.47		206.52	22.05		
Acquired 03/23/16 S		1	97.82	97.83		103.26	5.43		
Acquired 05/12/16 S		1	100.85	100.86		103.26	2.40		
Acquired 05/25/16 S		1	98.79	98.80		103.26	4.46		
Acquired 06/08/16 S		1	104.00	104.00		103.26	-0.74		
Acquired 06/15/16 S		1	100.90	100.90		103.26	2.36		
Acquired 07/20/16 S		1	112.05	112.06		103.26	-8.80		
Total	0.33	27	\$109.19	\$2,948.11	103.2600	\$2,788.02	-\$160.09	N/A	N/A
SENSATA TECHNOLOGIES									
HLDG BV ALMELO									
ST									
Acquired 01/21/15 L		13	50.24	653.25		506.35	-146.90		
Acquired 06/30/16 S		1	34.25	34.26		38.95	4.69		
Total	0.06	14	\$49.11	\$687.51	38.9500	\$545.30	-\$142.21	N/A	N/A
SEVEN & I HOLDINGS ADR									
SVNDY									
Acquired 01/21/15 L	0.18	80	17.91	1,433.19	18.9600	1,516.80	83.61	23.76	1.56
SHERWIN WILLIAMS CO									
SHW									
Acquired 08/31/16 S		1	285.29	285.29		268.74	-16.55		

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 09/16/16 S		1	273.68	273.69	268.7400	268.74	-4.95		
Total	0.06	2	\$279.49	\$558.98	268.7400	\$537.48	-\$21.50	\$6.72	1.25
SHIRE PLC									
SHPG									
Acquired 01/21/15 L		7	216.79	1,517.53		1,192.66	-324.87		
Acquired 10/21/15 L		3	211.16	633.50		511.14	-122.36		
Acquired 02/16/16 S		2	161.83	323.68		340.76	17.08		
Acquired 11/14/16 S		1	185.73	185.74		170.38	-15.36		
Total	0.26	13	\$204.65	\$2,660.45	170.3800	\$2,214.94	-\$445.51	\$10.45	0.47
SIGNET JEWELERS LTD									
SIG									
Acquired 05/14/15 L		1	132.65	132.65		94.26	-38.39		
Acquired 05/27/15 L		2	133.16	266.33		188.52	-77.81		
Acquired 06/10/15 L		3	131.53	394.61		282.78	-111.83		
Acquired 06/24/15 L		1	130.69	130.69		94.26	-36.43		
Acquired 08/11/15 L		1	119.89	119.89		94.26	-25.63		
Acquired 02/16/16 S		3	104.52	313.59		282.78	-30.81		
Total	0.12	11	\$123.43	\$1,357.76	94.2600	\$1,036.86	-\$320.90	\$11.44	1.10
SOFTBANK GROUP CORP									
UNSPON ADR									
SFTBY									
Acquired 01/21/15 L	0.22	56	29.12	1,630.72	33.0300	1,849.68	218.96	7.44	0.40
SONY CORP ADR NEW									
SNE									
Acquired 02/05/15 L		10	26.78	267.85		280.30	12.45		
Acquired 02/11/15 L		17	26.08	443.42		476.51	33.09		
Acquired 07/14/15 L		9	28.33	255.01		252.27	-2.74		
Acquired 12/16/15 L		10	24.93	249.37		280.30	30.93		
Total	0.15	46	\$26.43	\$1,215.65	28.0300	\$1,289.38	\$73.73	\$7.03	0.55
SPECTRA ENERGY CORP									
SE									
Acquired 01/21/15 L	0.43	89	33.60	2,990.84	41.0900	3,657.01	666.17	144.18	3.94
SPIRIT AEROSYSTEMS HLD									
CLA									
SPR									
Acquired 01/21/15 L	0.45	66	42.07	2,777.17	58.3500	3,851.10	1,073.93	26.40	0.68

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
STANLEY BLACK & DECKER INC.									
SWK	0.37	27	121.00	3,267.03	114.6900	3,096.63	-170.40	62.64	2.02
STATOIL ASA									
STO	0.15	68	16.80	1,142.79	18.2400	1,240.32	97.53	42.50	3.42
SUMITOMO MITSUI FINL GROUP INC SPON ADR									
SMFG	0.28	310	6.93	2,149.62	7.6400	2,368.40	218.78	73.78	3.11
SUNCOR ENERGY INC NEW									
SU	0.18	47	30.24	1,421.30	32.6900	1,536.43	115.13	42.14	2.74
SUNTRUST BANKS INC									
STI	0.50	77	42.59	3,279.78	54.8500	4,223.45	943.67	80.08	1.89
SWEDBANK AB-ADR									
SWDBY	0.20	69	22.89	1,579.75	24.1500	1,666.35	86.60	75.07	4.50
SYSCO CORPORATION									
SYI	0.42	64	48.65	3,113.72	55.3700	3,543.68	429.96	84.48	2.38
TELENOR ASA-ADS									
TELNY		51	22.70	1,157.79		760.66	-397.13		
Acquired 04/30/15 L		24	22.85	548.54		357.96	-190.58		
Acquired 06/09/15 L		12	16.95	203.51		178.98	-24.53		
Acquired 10/25/16 S									
Total	0.15	87	\$21.95	\$1,909.84	14.9150	\$1,297.60	-\$612.24	\$62.03	4.78
TEVA PHARMACEUTICAL ADR INDS LTD									
TEVA		26	58.40	1,518.48		942.50	-575.98		
Acquired 01/21/15 L		5	58.65	293.26		181.25	-112.01		
Acquired 01/22/15 L		8	55.89	447.19		290.00	-157.19		
Acquired 02/16/16 S									
Total	0.17	39	\$57.92	\$2,258.93	36.2500	\$1,413.75	-\$845.18	\$45.08	3.19

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
TJX COS INC NEW									
TJX									
Acquired 09/12/16 S	0.37	42	75.50	3,171.32	75.1300	3,155.46	-15.86	43.68	1.38
TORONTO DOMINION BK NEW									
TD									
Acquired 12/21/15 L		21	39.13	821.87		1,036.14	214.27		
Acquired 02/29/16 S		27	38.68	1,044.55		1,332.18	287.63		
Total	0.28	48	\$38.88	\$1,866.42	49.3400	\$2,368.32	\$501.90	\$81.64	3.45
TRANSDIGM GROUP INC									
TDG									
Acquired 01/21/15 L		3	204.37	613.11		746.88	133.77		
Acquired 08/11/15 L		1	237.88	237.89		248.96	11.07		
Acquired 08/27/15 L		1	235.70	235.70		248.96	13.26		
Acquired 11/02/15 L		1	226.76	226.77		248.96	22.19		
Acquired 01/12/16 S		1	230.24	230.25		248.96	18.71		
Acquired 02/18/16 S		1	209.05	209.06		248.96	39.90		
Acquired 03/23/16 S		1	221.80	221.80		248.96	27.16		
Acquired 12/06/16 S		1	248.85	248.85		248.96	0.11		
Total	0.29	10	\$222.34	\$2,223.43	248.9600	\$2,489.60	\$266.17	N/A	N/A
UNILEVER PLC SPONS ADR									
UL									
Acquired 01/21/15 L		26	41.89	1,089.14		1,058.20	-30.94		
Acquired 01/22/15 L		11	41.55	457.09		447.70	-9.39		
Acquired 10/01/15 L		9	41.13	370.23		366.30	-3.93		
Total	0.22	46	\$41.66	\$1,916.46	40.7000	\$1,872.20	-\$44.26	\$63.57	3.40
UNITED PARCEL SERVICE-B									
UPS									
Acquired 02/04/16 S	0.24	18	96.30	1,733.47	114.6400	2,063.52	330.05	56.16	2.72
UNITEDHEALTH GROUP INC									
UNH									
Acquired 02/24/15 L		21	115.85	2,432.87		3,360.84	927.97		
Acquired 05/14/15 L		1	117.94	117.95		160.04	42.09		
Acquired 05/11/16 S		1	132.42	132.42		160.04	27.62		
Total	0.43	23	\$116.66	\$2,683.24	160.0400	\$3,680.92	\$997.68	\$57.50	1.56

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
VALEO SPONSORED ADR									
VLEEY									
Acquired 01/21/15 L		67	22.72	1,525.83		1,916.20	390.37		
Acquired 11/21/16 S		17	27.66	471.28		486.20	14.92		
Acquired 12/09/16 S		3	29.41	88.43		85.80	-2.63		
Total	0.29	87	\$23.97	\$2,085.54	28.6000	\$2,488.20	\$402.66	\$40.54	1.63
VALERO ENERGY CORP NEW (VALERO REFINING & MKTING)									
VLO									
Acquired 01/21/15 L	0.38	47	46.92	2,205.24	68.3200	3,211.04	1,005.80	112.80	3.51
VENTAS INC									
VTR									
Acquired 01/21/15 L	0.25	34	68.09	2,315.23	62.5200	2,125.68	-189.55	105.40	4.95
VERISIGN INC									
VRSN									
Acquired 01/21/15 L		15	56.03	840.60		1,141.05	300.45		
Acquired 08/25/15 L		1	66.97	66.97		76.07	9.10		
Total	0.14	16	\$56.72	\$907.57	76.0700	\$1,217.12	\$309.55	N/A	N/A
VERISK ANALYTICS INC									
VRSK									
Acquired 01/21/15 L		8	63.36	506.88		649.36	142.48		
Acquired 07/08/15 L		2	72.08	144.17		162.34	18.17		
Acquired 08/25/15 L		1	72.10	72.11		81.17	9.06		
Acquired 10/14/15 L		1	79.04	79.04		81.17	2.13		
Acquired 11/19/15 L		3	73.27	219.82		243.51	23.69		
Acquired 02/10/16 S		1	67.58	67.59		81.17	13.58		
Acquired 05/25/16 S		1	79.06	79.06		81.17	2.11		
Total	0.16	17	\$68.75	\$1,168.67	81.1700	\$1,379.89	\$211.22	N/A	N/A
VERIZON COMMUNICATIONS									
COM									
VZ									
Acquired 01/21/15 L	0.16	26	48.33	1,256.58	53.3800	1,387.88	131.30	60.06	4.32
VINCI S A -ADR									
VCISY									
Acquired 02/18/15 L		65	14.86	967.89		1,103.05	135.16		
Acquired 03/04/15 L		22	14.57	321.21		373.34	52.13		

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 07/06/16 S		13	17.12	223.07		220.61	-2.46		
Acquired 11/30/16 S		17	16.16	275.30		288.49	13.19		
Total	0.23	117	\$15.28	\$1,787.47	16.9700	\$1,985.49	\$198.02	\$43.52	2.19
VODAFONE GROUP PLC NEW SPONSORED ADR NO PAR									
VOD									
Acquired 01/21/15 L		51	36.00	1,836.28		1,245.93	-590.35		
Acquired 09/21/16 S		59	29.80	1,758.48		1,441.37	-317.11		
Total	0.32	110	\$32.68	\$3,594.76	24.4300	\$2,687.30	-\$907.46	\$164.23	6.11
WAL-MART STORES INC									
WMT									
Acquired 10/26/16 S	0.37	45	69.63	3,133.60	69.1200	3,110.40	-23.20	90.00	2.89
WESTERN DIGITAL CORP									
WDC									
Acquired 07/11/16 S	0.35	43	49.30	2,119.98	67.9500	2,921.85	801.87	86.00	2.94
WEYERHAEUSER CO									
WY									
Acquired 01/21/15 L		51	35.75	1,823.59		1,534.59	-289.00		
Acquired 05/27/15 L		49	32.88	1,611.34		1,474.41	-136.93		
Acquired 02/19/16 S		5	23.37	116.90		150.45	33.55		
Total	0.37	105	\$33.83	\$3,551.83	30.0900	\$3,159.45	-\$392.38	\$130.20	4.12
WHITE MOUNTAIN INS GRP									
WTM									
Acquired 01/21/15 L	0.10	1	640.57	640.57	836.0500	836.05	195.48	1.00	0.11
WILLIS TOWERS WATSON PUB LTD CO									
WLTW									
Acquired 01/21/15 L		3,625.00	114.98	416.82		443.26	26.44		
Acquired 09/14/15 L		0,375.00	113.07	42.40		45.86	3.46		
Acquired 05/12/16 S		1	123.38	123.39		122.28	-1.11		
Acquired 07/27/16 S		1	123.80	123.80		122.28	-1.52		
Total	0.09	6	\$117.74	\$706.41	122.2800	\$733.68	\$27.27	\$11.52	1.57
WOLSELEY PLC JERSEY SPONSORED ADR 2013									
WOSYY									
Acquired 01/21/15 L		261	5.76	1,504.66		1,584.27	79.61		
Acquired 01/27/16 S		34	4.92	167.48		206.38	38.90		

Stocks, options & ETFs Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 02/17/16 S		57	5.13	292.54		345.99	53.45		
Total	0.25	352	\$5.58	\$1,964.68	6.0700	\$2,136.64	\$171.96	\$39.07	1.83
WOLTERS KLUWER N V									
SPONSORED ADR									
WTKWY									
Acquired 01/21/15 L	0.19	44	30.61	1,347.05	36.3000	1,597.20	250.15	32.34	2.02
WORLDPAY GRP PLC ADR									
UNSPON ADR									
WPYGY									
Acquired 04/11/16 S		57	12.06	687.45		564.58	-122.87		
Acquired 06/20/16 S		25	12.91	322.97		247.63	-75.34		
Acquired 09/21/16 S		17	12.07	205.26		168.39	-36.87		
Acquired 10/07/16 S		25	11.17	279.35		247.62	-31.73		
Total	0.15	124	\$12.06	\$1,495.03	9.9050	\$1,228.22	-\$266.81	\$2.60	0.21
ZOETIS INC									
CLASS A									
ZTS									
Acquired 01/21/15 L		3	44.14	132.42		160.59	28.17		
Acquired 01/28/15 L		3	43.70	131.13		160.59	29.46		
Acquired 02/03/15 L		4	43.51	174.06		214.12	40.06		
Acquired 02/05/15 L		4	43.39	173.57		214.12	40.55		
Acquired 02/17/15 L		6	46.18	277.12		321.18	44.06		
Acquired 03/09/15 L		3	46.19	138.58		160.59	22.01		
Acquired 05/14/15 L		1	46.30	46.30		53.53	7.23		
Acquired 07/23/15 L		2	49.95	99.90		107.06	7.16		
Acquired 09/29/15 L		2	40.40	80.82		107.06	26.24		
Acquired 10/14/15 L		3	42.48	127.45		160.59	33.14		
Acquired 11/02/15 L		3	44.38	133.15		160.59	27.44		
Acquired 02/10/16 S		2	39.70	79.41		107.06	27.65		
Acquired 02/24/16 S		1	41.82	41.82		53.53	11.71		
Acquired 03/14/16 S		7	41.25	288.80		374.71	85.91		
Acquired 03/23/16 S		2	42.32	84.65		107.06	22.41		
Total	0.29	46	\$43.68	\$2,009.18	53.5300	\$2,462.38	\$453.20	\$19.32	0.78
Total Stocks and ETFs									
	53.02			\$409,533.37		\$448,928.06	\$39,394.69	\$10,199.06	2.27
				\$409,792.54					
Total Stocks, options & ETFs									
	53.02			\$409,533.37		\$448,928.06	\$39,394.69	\$10,199.06	2.27

Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
AQR FDS									
MANAGED FUTURES STRATEGY									
FD CL I									
AQMIX									
On Reinvestment									
Acquired 06/22/16 S		3,253.15100	10.01	32,564.03		30,319.36	-2,244.67		
Reinvestments S		0.56500	9.39	5.31		5.27	-0.04		
Total	3.58	3,253.71600	\$10.01	\$32,569.34	9.3200	\$30,324.63	-\$2,244.71	\$5.20	0.02
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$32,564.03			
						-\$2,239.40			
BLACKROCK FDS II									
CORE BOND PORT									
INSTL CLASS									
BFMCX									
On Reinvestment									
Acquired 06/22/16 S		5,819.57000	9.79	56,973.57		55,576.89	-1,396.68		
Reinvestments S		59.43800	9.79	582.25		567.63	-14.62		
Total	6.63	5,879.00800	\$9.79	\$57,555.82	9.5500	\$56,144.52	-\$1,411.30	\$1,352.17	2.41
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$56,973.57			
						-\$829.05			
LOOMIS SAYLES INVT TR									
BOND CLASS I									
LSBDX									
On Reinvestment									
Acquired 06/22/16 S		4,186.71200	13.61	56,981.14		56,771.81	-209.33		
Reinvestments S		104.94000	13.64	1,431.50		1,422.99	-8.51		
Total	6.87	4,291.65200	\$13.61	\$58,412.64	13.5600	\$58,194.80	-\$217.84	\$1,227.41	2.11
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$56,981.14			
						\$1,213.66			

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
MFS SER TR X EMERGING MKTS DEBT FD CLASS I MEDIX On Reinvestment Acquired 06/22/16 S Reinvestments S		1,664 91300 37 48900	14 56 14 86	24,241 12 557 23		23,924 79 538 72	-316 33 -18 51		
Total	2.89	1,702.40200	\$14.57	\$24,798.35	14.3700	\$24,463.51	-\$334.84	\$1,186.57	4.85
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$24,241 12	\$222 39		
METROPOLITAN WEST FDS TOTAL RETURN BD FD CL I MWTIX On Reinvestment Acquired 06/22/16 S Reinvestments S		3,730.12900 84.16000	10 91 10 66	40,695 69 897 37		39,278 26 886 20	-1,417.43 -11 17		
Total	4.74	3,814.28900	\$10.90	\$41,593.06	10.5300	\$40,164.46	-\$1,428.60	\$770.48	1.92
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$40,695.69	-\$531 23		
PRINCIPAL FDS INC GLOBAL MULTI STRATEGY FD INSTL CL PSMIX On Reinvestment Acquired 07/21/16 S Reinvestments S		2,536 75900 3 83000	10 79 10 92	27,371 62 41 86		27,676 03 41 79	304 41 -0 07		
Total	3.27	2,540.58900	\$10.79	\$27,413.48	10.9100	\$27,717.82	\$304.34	\$41.91	0.15
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$27,371 62	\$346 20		
STERLING CAPITAL FDS STERLING CAP STRATTON SMLL CP VALUE FD INSTL STSCX On Reinvestment Acquired 01/21/15 L Acquired 08/25/15 L		527 03499 14 23401	71 81 66 74	37,846 33 950 00		43,928 37 1,186 40	6,082 04 236 40		

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments L		9,32500	68.70	640.71		777.23	136.52		
Reinvestments S		27,68100	84.46	2,338.21		2,307.22	-30.99		
Total	5.69	578,27500	\$72.24	\$41,775.25	83.3500	\$48,199.22	\$6,423.97	\$78.64	0.16
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$38,796.33			
						\$9,402.89			
NATIXIS FDS TR II									
ASG MANAGED FUTURES									
STRATEGY FD CL Y									
ASFYX									
On Reinvestment									
Acquired 06/22/16 S		3,750.55800	10.67	40,018.44		36,867.98	-3,150.46		
Acquired 07/21/16 S		1,056.98500	10.88	11,500.00		10,390.16	-1,109.84		
Reinvestments S		0.34000	9.91	3.37		3.34	-0.03		
Total	5.58	4,807.88300	\$10.72	\$51,521.81	9.8300	\$47,261.48	-\$4,260.33	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$51,518.44			
						-\$4,256.96			
VIRTUS OPPORTUNITIES									
TR EMERGING MKTS OPPTY S									
FD CL I									
HIEMX									
On Reinvestment									
Acquired 01/21/15 L		5,227.53900	10.26	53,634.52		47,309.22	-6,325.30		
Acquired 08/25/15 L		157.50300	8.73	1,375.00		1,425.40	50.40		
Acquired 06/22/16 S		514.16600	9.53	4,900.00		4,653.21	-246.79		
Reinvestments L		54.66200	8.93	488.13		494.69	6.56		
Reinvestments S		26.75800	8.90	238.15		242.16	4.01		
Total	6.39	5,980.82800	\$10.14	\$60,635.80	9.0500	\$54,124.68	-\$6,511.12	\$239.22	0.44
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$59,909.52			
						-\$5,784.84			
Total Open End Mutual Funds	45.66			\$396,275.55		\$386,595.12	-\$9,680.43	\$4,901.60	1.27
Total Mutual Funds	45.66			\$396,275.55		\$386,595.12	-\$9,680.43	\$4,901.60	1.27