



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation The Stein Family Charitable Foundation		A Employer identification number 45-2732010	
% Foundation Source			
Number and street (or P O box number if mail is not delivered to street address) Foundation Source 501 Silverside Rd		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code Wilmington, DE 198091377		B Telephone number (see instructions) (800) 839-1754	
G Check all that apply <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 5,633,242		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis )	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	3,048,738			
	2 Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments . . . . .	601	601		
	4 Dividends and interest from securities . . . . .	86,503	86,503		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-35,716			
	b Gross sales price for all assets on line 6a _____ 1,981,980				
	7 Capital gain net income (from Part IV, line 2) . . . . .		0		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 Total. Add lines 1 through 11 . . . . .	3,100,126	87,104		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .				
	c Other professional fees (attach schedule) . . . . .	17,516	17,516		
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) . . . . .	307	7		
	19 Depreciation (attach schedule) and depletion . . . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	16,193	153		16,040
	24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	34,016	17,676		16,040
	25 Contributions, gifts, grants paid . . . . .	707,120			707,120
	26 Total expenses and disbursements. Add lines 24 and 25	741,136	17,676		723,160
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	2,358,990			
	b Net investment income (if negative, enter -0-)		69,428		
c Adjusted net income(if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing . . . . .					
	2	Savings and temporary cash investments . . . . .	327,539	289,088		289,088	
	3	Accounts receivable ▶ <u>1,379</u>					
		Less allowance for doubtful accounts ▶ _____		1,379		1,379	
	4	Pledges receivable ▶ _____					
		Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable . . . . .					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .					
	7	Other notes and loans receivable (attach schedule) ▶ _____					
		Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use . . . . .					
	9	Prepaid expenses and deferred charges . . . . .					
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule) . . . . .	1,543,342	3,776,367		4,205,242	
	c	Investments—corporate bonds (attach schedule) . . . . .	971,353	1,134,390		1,137,533	
	11	Investments—land, buildings, and equipment basis ▶ _____					
	Less accumulated depreciation (attach schedule) ▶ _____						
12	Investments—mortgage loans . . . . .						
13	Investments—other (attach schedule) . . . . .						
14	Land, buildings, and equipment basis ▶ _____						
	Less accumulated depreciation (attach schedule) ▶ _____						
15	Other assets (describe ▶ _____)						
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	2,842,234	5,201,224		5,633,242		
Liabilities	17	Accounts payable and accrued expenses . . . . .					
	18	Grants payable. . . . .					
	19	Deferred revenue . . . . .					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule). . . . .					
	22	Other liabilities (describe ▶ _____)					
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	0	0			
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.</b>						
	24	Unrestricted . . . . .					
	25	Temporarily restricted . . . . .					
	26	Permanently restricted . . . . .					
	<b>Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.</b>						
	27	Capital stock, trust principal, or current funds . . . . .					
	28	Paid-in or capital surplus, or land, bldg, and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds	2,842,234	5,201,224			
	30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	2,842,234	5,201,224			
	31	<b>Total liabilities and net assets/fund balances</b> (see instructions) .	2,842,234	5,201,224			

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,842,234
2	Enter amount from Part I, line 27a . . . . .	2	2,358,990
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	5,201,224
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	5,201,224

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1 a</b> Publicly-traded Securities			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 1,981,980		2,017,696	-35,716
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b>			-35,716
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	2	-35,716
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	4,401,959	2,471,766	1 780896
2014	248,468	1,603,456	0 154958
2013	316,734	790,818	0 400514
2012	284,560	819,636	0 347179
2011	115,169	122,459	0 94047

<b>2</b> Total of line 1, column (d)	2	3 624017
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 724803
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	3,851,439
<b>5</b> Multiply line 4 by line 3	5	2,791,535
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	6	694
<b>7</b> Add lines 5 and 6	7	2,792,229
<b>8</b> Enter qualifying distributions from Part XII, line 4	8	723,160

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	1,389
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	1,389
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	1,389
<b>6</b>	Credits/Payments		
<b>a</b>	2016 estimated tax payments and 2015 overpayment credited to 2016	<b>6a</b>	749
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	569
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	1,318
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	71
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2017 estimated tax</b> <b>Refunded</b>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation  \$ _____ (2) On foundation managers  \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers  \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T </i>	<b>5</b>	Yes
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) DE _____		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of ► Foundation Source Telephone no ► (800) 839-1754			

Located at ► 501 Silverside Road Suite 123 Wilmington DE ZIP+4 ► 198091377

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . .	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year . . . . .	<b>15</b>		
<b>16</b>	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ►	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	<input type="checkbox"/>	<b>1b</b>	
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		<b>1c</b>	<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions).		<b>2b</b>	
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).		<b>3b</b>	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		<b>4a</b>	<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		<b>4b</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

<b>5a</b> During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>5b</b>		<b>No</b>
Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>			
<b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>6b</b>		<b>No</b>
If "Yes" to 6b, file Form 8870				
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>7b</b>		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).</b>				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Matthew Stein Foundation Source 501 Silverside Rd Wilmington, DE 198091377	VP 1 0	0	0	0
Sara Stein Foundation Source 501 Silverside Rd Wilmington, DE 198091377	VP 1 0	0	0	0
Sharon Stein Foundation Source 501 Silverside Rd Wilmington, DE 198091377	VP 1 0	0	0	0
William J Stein Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Pres / Dir / Sec 1 0	0	0	0
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
<b>Total number of other employees paid over \$50,000.</b>				

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ►		

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>Total.</b> Add lines 1 through 3 . . . . . ►	



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	3,656,610
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	253,480
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	3,910,090
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	3,910,090
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	58,651
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	3,851,439
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	192,572

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	192,572
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5.	<b>2a</b>	1,389
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	1,389
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	191,183
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	
<b>5</b>	Add lines 3 and 4.	<b>5</b>	191,183
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	191,183

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	723,160
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	723,160
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	723,160

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				191,183
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only. . . . .				
<b>b</b> Total for prior years 2014, 2013, 2012				
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011. . . . .	112,296			
<b>b</b> From 2012. . . . .	244,210			
<b>c</b> From 2013. . . . .	277,704			
<b>d</b> From 2014. . . . .	170,097			
<b>e</b> From 2015. . . . .	4,279,673			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	5,083,980			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 723,160				
<b>a</b> Applied to 2015, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2016 distributable amount. . . . .				191,183
<b>e</b> Remaining amount distributed out of corpus	531,977			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,615,957			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions). . . . .	112,296			
<b>9</b> <b>Excess distributions carryover to 2017.</b> Subtract lines 7 and 8 from line 6a . . . . .	5,503,661			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012. . . . .	244,210			
<b>b</b> Excess from 2013. . . . .	277,704			
<b>c</b> Excess from 2014. . . . .	170,097			
<b>d</b> Excess from 2015. . . . .	4,279,673			
<b>e</b> Excess from 2016. . . . .	531,977			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶					
<b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

<b>1 Information Regarding Foundation Managers:</b>	
<b>a</b> List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) ) See Additional Data Table	
<b>b</b> List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
<b>2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:</b>	
Check here <input checked="" type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d	
<b>a</b> The name, address, and telephone number or email address of the person to whom applications should be addressed	
<b>b</b> The form in which applications should be submitted and information and materials they should include	
<b>c</b> Any submission deadlines	
<b>d</b> Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors	

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			<b>3a</b>	707,120
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			<b>3b</b>	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .			14	601	
<b>4</b> Dividends and interest from securities. . . . .			14	86,503	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	-35,716	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue <b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal Add columns (b), (d), and (e). . . . .				51,388	
<b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . .			<b>13</b>		51,388

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

## Part XVII

- |       | Yes | No |
|-------|-----|----|
| 1a(1) |     | No |
| 1a(2) |     | No |
| 1b(1) |     | No |
| 1b(2) |     | No |
| 1b(3) |     | No |
| 1b(4) |     | No |
| 1b(5) |     | No |
| 1b(6) |     | No |
| 1c    |     | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

May the IRS discuss this return with the preparer shown below (see instr )? ☒ Yes ☐ No


Print/Type preparer's name  Jeffrey D Haskell	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN  P01345770
Firm's name ▶ Foundation Source				Firm's EIN ▶
Firm's address ▶ One Hollow Ln Ste 212  Lake Success, NY 11042				Phone no (800) 839-1754

**Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).**

Sharon Stein
William J Stein

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AVON WALK FOR BREAST CANCER ONE AVON PLZ RYE, NY 10580	N/A	PC	Charitable Event	1,000
BACKYARD SPORTS CARES INC 75 S BROADWAY WHITE PLAINS, NY 10601	N/A	PC	Charitable Event	2,500
CHALLENGED ATHLETES INC 9591 WAPLES ST SAN DIEGO, CA 92121	N/A	PC	Charitable Event	5,000
CHILDRENS HOPE CHEST INC PO BOX 460 PURCHASE, NY 10577	N/A	PC	Mother's Day without mother's -Pleasantville Campus Program	6,000
CITY OF HOPE 1500 E DUARTE RD DUARTE, CA 91010	N/A	PC	Charitable Event	5,000
<b>Total . . . . . ▶</b> <b>3a</b>				707,120




Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
COMMUNITY FUNDS INC 909 3RD AVE NEW YORK, NY 10022	N/A	PC	Dapper McDonald Memorial Fund	25,000
CYSTIC FIBROSIS FOUNDATION 424 MADISON AVE 6TH FL NEW YORK, NY 10017	N/A	PC	Charitable Event	2,500
DAPPER MCDONALD CHARITABLE FOUNDATION 11 VIRGINIA RD WHITE PLAINS, NY 10603	N/A	PC	To maintain the Chelsea Jewish Greenhouse Dapper mcdonald residence	300,000
FOUNDATION FOR THE DEVELOPMENTALLY DISABLED INC 868 99TH AVE N NAPLES, FL 34108	N/A	PC	Trailblazer Academy at FDD Program	25,000
LEUKEMIA & LYMPHOMA SOCIETY INC 3 INTERNATIONAL DR STE 200 RYE BROOK, NY 10573	N/A	PC	Charitable Event	5,000
<b>Total</b> . . . . . 				707,120
<b>3a</b>				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
LISA B FISHMAN FOUNDATION INC 11 WEDGEWOOD DR MONTVILLE, NJ 07045	N/A	PC	Annual Fundraiser Scholarship fund	2,500
LITTLE LEAGUE BASEBALL INC - PETER STUYVESANT LITT 201 E 36TH ST APT 15A NEW YORK, NY 10016	N/A	PC	Peter Stuyvesant Little League Program	5,000
LUPUS RESEARCH ALLIANCE INC 28 W 44TH ST STE 501 NEW YORK, NY 10036	N/A	PC	Charitable Event	2,500
MARRIOTT FOUNDATION FOR PEOPLE WITH DISABILITIES I 10400 FERNWOOD RD BETHESDA, MD 20817	N/A	PC	Charitable Event	2,500
MELANOMA RESEARCH FOUNDATION 1411 K ST NW STE 800 WASHINGTON, DC 20005	N/A	PC	Charitable Event	2,500
<b>Total . . . . .</b> ► <b>3a</b>				707,120

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MY SISTERS PLACE INC ONE WATER ST WHITE PLAINS, NY 10601	N/A	PC	Charitable Event	5,000
N Y POLICE AND FIRE WIDOWS & CHILDRENS BENEFIT FUN 767 5TH AVE NEW YORK, NY 10153	N/A	PC	Charitable Event	2,500
NATIONAL MULTIPLE SCLEROSIS SOCIETY 900 S BROADWAY 2ND FL DENVER, CO 80209	N/A	PC	Charitable Event	6,250
PAN MASSACHUSETTS CHALLENGE TRUST 77 4TH AVE NEEDHAM HGTS, MA 02494	N/A	PC	Charitable Event	2,500
PHIPPS NEIGHBORHOODS INC 902 BROADWAY 13TH FL NEW YORK, NY 10010	N/A	PC	Charitable Event	2,500
<b>Total . . . . .</b> ► <b>3a</b>				707,120

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
PREP FOR PREP 328 W 71ST ST NEW YORK, NY 10023	N/A	PC	Charitable Event	2,500
REGENTS OF THE UNIVERSITY OF MICHIGAN  WOLVERINE TOWER 3003 S STATE ST S ANN ARBOR, MI 48109	N/A	PC	Mott Children's Hospital pediatric brain cancer research and innovation fund	200,000
SAINTS BRIGADE LTD 219 WESTCHESTER AVE PORT CHESTER, NY 10573	N/A	POF	expenditure responsibility grant	25,000
SAINTS BRIGADE LTD 219 WESTCHESTER AVE PORT CHESTER, NY 10573	N/A	POF	expenditure responsibility grant	50,000
UNITED WAY OF NEW YORK CITY 205 E 42ND ST NEW YORK, NY 10017	N/A	PC	Blackstone 2015 United Way Campaign	5,000
<b>Total</b> . . . . . 				707,120
<b>3a</b>				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
YOUNG MENS CHRISTIAN ASSOCIATION OF GREATER NEW YO 5 W 63RD ST 6TH FL NEW YORK, NY 10023	N/A	PC	Strong Kids Summer Camp Program	13,870
<b>Total . . . . .</b> ▶ <b>3a</b>				707,120

**Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.**

## **TY 2016 Depreciation Schedule**

**Name:** The Stein Family Charitable Foundation

**EIN:** 45-2732010

**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

# **TY 2016 Expenditure Responsibility Statement**

**Name:** The Stein Family Charitable Foundation

**EIN:** 45-2732010

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
SAINTS BRIGADE	219 WESTCHESTER AVENUE PORT CHESTER, NY 10573	2014-06-10	25,000	TO FUND THE SAINTS BRIGADE SCHOLARSHIP PROGRAM	10,000	NO	2/04/15, 9/23/16, 5/4/17		NONE NECESSARY
SAINTS BRIGADE	219 WESTCHESTER AVENUE PORT CHESTER, NY 10573	2015-02-23	25,000	TO PURCHASE MUSICAL EQUIPMENT FOR THE EDUCATION PROGRAM	25,000	NO	9/23/16, 5/4/17		NONE NECESSARY
SAINTS BRIGADE	219 WESTCHESTER AVENUE PORT CHESTER, NY 10573	2016-01-19	25,000	to support the music educational program	25,000	NO	5/4/17		none necessary
SAINTS BRIGADE	219 WESTCHESTER AVE PORT CHESTER, NY 10573	2016-10-14	50,000	to support the music education program	25,000	NO	5/4/17		none necessary

## TY 2016 Investments Corporate Bonds Schedule

**Name:** The Stein Family Charitable Foundation

**EIN:** 45-2732010

Name of Bond	End of Year Book Value	End of Year Fair Market Value
AMERICAN TOWER CORP - 0.000% -	3,085	3,009
AMERICAN TOWER CORP - 3.400% -	15,338	15,329
AMERICAN TOWER CORP - 4.700% -	3,200	3,204
AMERICAN TOWER CORP - 5.050% -	14,066	13,951
ARROW ELECTRONICS INC - 6.000%	11,988	11,919
ARROW ELECTRONICS INC NOTE - 3	23,322	23,262
ARROW ELECTRONICS INC NOTE - 4	3,202	3,057
AT&T, INC - 3.950% - 01/15/202	38,355	39,067
BLOCK FINANCIAL - 4.125% - 10/	33,150	33,691
CDK GLOBAL INC - 0.033% - 10/1	23,000	23,173
CDK GLOBAL INC NTS - 4.500% -	4,878	4,850
CDW LLC - 0.055% - 12/01/2024	11,435	11,248
CDW LLC / CDW FIN CORP - 6.000	16,819	16,920
CENTURYLINK INC - 5.800% - 03/	23,838	25,500
DAVITA HEALTHCARE NT - 5.000%	3,870	3,935
DAVITA INC - 5.750% - 08/15/20	23,394	22,990
DISCOVERY COMMUNICATIONS LLC B	34,427	35,803
DISH DBS CORP NTS - 6.750% - 0	4,360	4,340
DISH DBS CORP SR NT - 7.875% -	20,805	21,090
DUN & BRADSTREET - 4.000% - 06	3,097	3,079



Name of Bond	End of Year Book Value	End of Year Fair Market Value
DUN & BRADSTREET CORP - 4.375%	31,694	32,425
EXPEDIA INC - 4.500% - 08/15/2	2,780	3,049
EXPEDIA INC DEL - 5.950% - 08/	29,963	29,513
FIDELITY NATL INFO - 5.000% -	29,123	28,773
FIDELITY NATL INFOMATION SVCS	10,712	10,638
FISERV INC - 3.500% - 10/01/20	35,525	35,644
GAP INC - 5.950% - 04/12/2021	35,179	33,675
HCA INC SR SEC NT - 6.500% - 0	35,132	35,008
INTL GAME TECHNOLOGY - 5.000%	29,155	28,980
JUNIPER NETWORKS - 0.044% - 06	10,088	10,084
JUNIPER NETWORKS INC NOTE - 3.	28,573	28,447
KLA-TENCOR CORP SR GLBL NT - 4	3,137	3,128
KLA-TENCOR SR GLBL NT - 4.650%	31,716	33,876
L-3 COMMUNICATIONS CORP - 5.20	39,874	39,796
LABORATORY CORP - 3.750% - 08/	34,813	34,890
MASCO CORP - 4.450% - 04/01/20	3,034	3,045
MASCO CORP NT - 5.950% - 03/15	33,255	33,150
MOTOROLA SOLUTIONS INC - 0.040	35,791	36,042
QVC INC - 0.045% - 02/15/2025	31,484	30,759
QVC INC - 4.850% - 04/01/2024	8,872	9,047

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
RPM INTL - 3.450% - 11/15/2022	2,897	2,975
RPM INTL - 6.125% - 10/15/2019	29,046	28,552
RR DONELLEY & SONS - 7.875% -	29,672	28,840
STEEL DYNAMICS - 5.250% - 04/1	3,083	3,143
STEEL DYNAMICS INC - 0.064% -	14,770	14,595
TOTAL SYS SVCS - 0.000% - 06/0	16,565	16,884
TOTAL SYS SVCS - 0.038% - 04/0	15,964	16,514
TRANSDIGM INC - 0.000% - 07/15	28,980	29,120
TRINITY ACQUISITION PLC - 0.04	37,610	36,441
UNITED RENTALS - 5.750% - 11/1	5,113	5,250
URS CORP - 5.000% - 04/01/2022	32,386	34,170
VALMONT INDUSTRIES INC - 6.625	34,217	33,224
VERISK ANALYTICS - 5.800% - 05	32,580	32,268
VERISK ANALYTICS INC - 4.875%	3,143	3,145
VIACOM INC NOTE - 4.250% - 09/	32,835	33,026

**TY 2016 Investments Corporate Stock Schedule**

**Name:** The Stein Family Charitable Foundation  
**EIN:** 45-2732010

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABERDEEN EMERGING MARKETS INST	86,983	87,527
ACCENTURE PLC	12,674	12,416
ADOBE SYSTEMS, INC	6,419	6,486
AGREE REALTY CORP	853	875
ALASKA AIR GROUP INC	1,647	1,775
ALBEMARLE CP	1,777	1,808
ALEXANDRIA REAL ESTATE EQUITIE	1,460	1,445
ALLETE INC	1,667	1,669
ALLIANT CORP	1,965	2,008
ALLIED WORLD ASSURANCE CO HLDG	849	967
ALPHABET INC CL A	6,213	6,340
AMERIPRISE FINANCIAL INC	6,389	6,213
AMERISOURCEBERGEN CORP	6,459	6,333
AMTRUST FINANCIAL SERVICES, IN	3,222	3,395
APPLIED IDNS TECH	1,365	1,366
ARES CAPITAL CORPORATION - CLO	2,480	2,556
AUTOLIV INC	1,444	1,584
AVERY DENNISON CORP	1,375	1,334
AVNET INC	2,078	2,142
B&G FOODS INC	2,422	2,497
BANCO LATINOAMERICANO DE COMER	2,154	2,208
BANK OF THE OZARKS	3,104	3,524
BECTON DICKINSON & CO	6,411	6,456
BGC PARTNERS INC	1,424	1,494
BLACKROCK INC	6,258	6,089
BOK FINANCIAL CORP	1,362	1,412
BRITISH AMERICAN TOBACCO PLC	6,262	6,422
BROADRIDGE FINANCIAL	1,187	1,193
CHUBB LIMITED	6,332	6,342
COLGATE-PALMOLIVE COMPANY	12,663	12,695

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COLONY FINANCIAL INC	3,160	3,078
COMCAST CORP	6,398	6,422
CONSOLIDATED COMMUNICATIONS HO	830	752
CRANE CO	8,588	8,077
CULLEN FROST BANKERS INC	2,520	2,735
CVS CAREMARK CORP	12,843	12,862
CYS INVESTMENTS INC	171	162
DANAHER CORP	6,393	6,461
DIAGEO PLC ADS	6,292	6,340
DONALDSON CO. INC	762	800
DOUBLELINE TOTAL RETURN BOND	533,168	528,329
EAST WEST BANCORP, INC	1,733	1,881
EASTGROUP PROPERTIES INC	1,227	1,329
ECOLAB INC	6,416	6,330
ENLINK MIDSTREAM LLC	2,291	2,477
EPR PROPERTIES	2,497	2,584
EXXON MOBIL CORP	6,139	6,318
FACEBOOK INC	6,455	6,328
FIDELITY NATIONAL FINANCIAL IN	2,324	2,343
FLOWSERVE CP	1,213	1,249
FORTIS INC	677	679
GALLAGHER ARTHUR J & CO	1,283	1,299
GARMIN LTD	1,006	921
GRAMERCY PROPERTY TRUST INC	2,507	2,570
GREAT PLAINS ENERGY	1,773	1,778
HARMAN INTERNATIONAL INDUSTRIE	2,083	2,112
HARRIS CORP DEL	1,562	1,537
HASBRO INC	2,046	1,867
HERCULES TECHNOLOGY GROWTH	1,515	1,552
HILTON HOTELS CORP	1,576,505	1,986,607

Name of Stock	End of Year Book Value	End of Year Fair Market Value
HNI CORP	2,571	2,628
HOLLYFRONTIER CORP	2,472	2,883
HOME DEPOT INC	12,832	13,140
HONEYWELL INTL	6,433	6,603
HUBBELL INCORPORATED	1,115	1,167
HUNTSMAN CORP	1,921	1,965
IDEX CP	1,307	1,261
INGREDION INC	1,079	1,125
INTEL CORP	6,331	6,456
INTERCONTINENTAL EXCHANGE, INC	6,448	6,319
INVESCO PLC	12,904	12,136
ISHARES CORE MSCI EAFE ETF	79,934	81,303
ISHARES S&P MIDCAP 400 GROWTH	87,198	85,998
ISHARES S&P SMALLCAP 600 GROWT	48,161	47,400
J2 GLOBAL INC	2,520	2,699
JOHNSON CONTROLS INTERNATIONAL	6,421	6,055
KAR AUCTION SERVICES INC	639	639
LASALLE HOTEL PROP	1,322	1,493
LEIDOS HOLDINGS INC	1,716	1,739
LINCOLN ELECTRIC HOLDING INC	1,311	1,303
LITTELFUSE, INC	1,659	1,669
LOCKHEED MARTIN CORP	6,549	6,249
LOWES COMPANIES INC	6,455	6,330
MARSH AND MCLENNAN COMPANIES I	6,373	6,218
MAXIM INTEGRATED PRODS INC	996	964
MCDONALD'S CORP	6,255	6,329
MEDICAL PROPERTIES TRUST, INC	3,040	3,063
MEDTRONIC PLC	12,569	12,465
MICROSOFT CORP	6,332	6,400
MINE SAFETY APPL	1,887	2,080

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MYLAN NV	6,418	6,905
NEXTERA ENERGY, INC	6,320	6,451
NORDSON CP	1,627	1,681
NORDSTROM INC	7,736	6,231
NOVARTIS AG ADR	6,199	6,701
O'REILLY AUTOMOTIVE INC	6,367	6,403
OAKMARK INTERNATIONAL FUND CL	199,930	189,728
OMEGA HEALTHCARE INV	3,237	3,470
PACKAGING CORP AMER	1,367	1,357
PACWEST BANCORP	3,074	3,266
PAREXEL INTERNATIONAL CORPORAT	6,419	7,098
PEBBLEBROOK HOTEL TR COM	1,984	2,112
PEPSICO INC	6,252	6,382
PJT PARTNERS INC	472,234	496,767
PLAINS GP HOLDINGS LP	1,110	1,144
PNM RESOURCES INC	867	892
PRAXAIR INC	6,351	6,094
RED HAT, INC	6,433	5,785
ROCKWELL AUTOMATION INC	12,834	12,634
ROCKWELL COLLINS INC	6,433	6,308
RPM INTERNATIONAL INC	955	969
SINCLARI BROADCAST GROUP INC	3,400	3,535
SNAP ON INC	1,520	1,541
STARBUCKS CORP COM	6,400	6,163
STERIS PLC	2,753	2,763
TARGA RESOURCES CORP	1,859	2,075
TELEFLEX INC	1,507	1,612
TEMPLETON GLOBAL BD	178,351	185,954
TEXAS INSTRUMENTS INC	6,442	6,494
THE COCA-COLA CO	6,247	6,302

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
THERMO FISHER SCIENTIFIC INC	6,381	6,350
TIME WARNER INC	6,456	6,661
TJX COMPANIES INC	6,413	6,236
TRAVELPORT WORLDWIDE LIMITED	2,502	2,524
TUPPERWARE CORP	849	789
UNION PACIFIC	6,407	6,324
UNITED TECHNOLOGIES CORP	12,743	12,935
V F CORP	6,547	6,135
VALIDUS HLDS LTD	2,307	2,310
VECTREN CORP	1,361	1,408
VERMILION ENERGY	1,553	1,687
VISA INC	6,336	6,398
WALT DISNEY HOLDINGS CO	6,413	6,670
WASHINGTON TRUST BANCORP INC	1,448	1,569

**TY 2016 LiquidationExplanationStmt**

**Name:** The Stein Family Charitable Foundation

**EIN:** 45-2732010

**Statement:** As explained below, the Foundation has no plans for dissolution. This statement is submitted to report the distribution of certain assets during the year. The distributions resulted in a substantial contraction of assets. The following information is submitted in accordance with Treasury Regulation Section 1.6043-3(a)(1) and the Form 990-PF instructions: During the taxable year ending December 31, 2016, the Foundation made distributions from assets from sources other than current income. Collectively, the distributions in excess of current income totaled \$707,120. This amount represents over 25% of the Foundation's net assets of \$2,724,559 (as measured by fair market value) at the beginning of the Foundation's taxable year ending December 31, 2016. Although the Foundation technically experienced a "substantial contraction," it will continue in existence and has no plans for dissolution. The Foundation made distributions of cash to the grantees listed in the attachment to Part XV, Line 3a; each such grant was made solely for the charitable purpose specified therein.



**TY 2016 Other Expenses Schedule****Name:** The Stein Family Charitable Foundation**EIN:** 45-2732010**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	16,015			16,015
Bank Charges	153	153		
State or Local Filing Fees	25			25

**TY 2016 Other Professional Fees Schedule****Name:** The Stein Family Charitable Foundation**EIN:** 45-2732010

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
Investment Management Services	17,516	17,516		

**TY 2016 Taxes Schedule****Name:** The Stein Family Charitable Foundation**EIN:** 45-2732010

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2016	300			
Foreign Tax Paid	7	7		

<b>Schedule B</b> (Form 990, 990-EZ, or 990-PF)  Department of the Treasury Internal Revenue Service	<b>Schedule of Contributors</b>  ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <a href="http://www.irs.gov/form990">www.irs.gov/form990</a>	OMB No 1545-0047  <b>2016</b>

<b>Name of the organization</b> The Stein Family Charitable Foundation	<b>Employer identification number</b> 45-2732010
---	---

Organization type (check one)

<b>Filers of:</b>	<b>Section:</b>
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)( ) (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Name of organization</b> The Stein Family Charitable Foundation	<b>Employer identification number</b> 45-2732010
---	---

<b>Part I</b> <b>Contributors</b> (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Stein William J 4 Rolling Hills Lane  Harrison, NY10528	\$ 3,048,738	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>

<b>Name of organization</b> The Stein Family Charitable Foundation	<b>Employer identification number</b> 45-2732010
---	---

<b>Part II</b> <b>Noncash Property</b>			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	PJT PARTNERS INC PJT, 16087 sh	\$ 472 234	2016-12-02
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	HILTON HOTELS CORP HLT, 73037 sh	\$ 1,576 504	2016-06-15
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

<b>Name of organization</b> The Stein Family Charitable Foundation	<b>Employer identification number</b> 45-2732010
---	---

<b>Part III</b>	<b>Exclusively</b> religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of <b>exclusively</b> religious, charitable, etc., contributions of <b>\$1,000 or less</b> for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
-----------------	--

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	