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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, 2016, and ending

, 20

Name of foundation

RALPH L SMITH FOUNDATION 139524

Number and street (or P O box number if mail is not delivered to street address)

UMB BANK N.A. P. O. BOX 415044 M/S 1020307

City or town, state or province, country, and ZIP or foreign postal code

KANSAS CITY, MO 64141-6692

G Check all that apply:

Initial return

Initial return of a former public charity

Final return

☒ Amended return

Address change

Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col (c), line

16) \$ 15,670,053.

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis.)

A Employer identification number

44-6008508

B Telephone number (see instructions)

816-860-7711

C If exemption application is
pending, check here. ☐D 1 Foreign organizations, check here. ☐2 Foreign organizations meeting the
85% test, check here and attach
computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here. ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here. ☐**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B.				
3 Interest on savings and temporary cash investments.				
4 Dividends and interest from securities	214,299.	214,473.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	696,443.			
b Gross sales price for all assets on line 6a 2,235,220.				
7 Capital gain net income (from Part IV, line 2) .		696,443.		
8 Net short-term capital gain.				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	910,742.	910,916.		
13 Compensation of officers, directors, trustees, etc . .	39,566.	23,740.		15,826.
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) STMT. 2 .	800.	NONE	NONE	800.
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions) STMT. 3 .	22,000.			
19 Depreciation (attach schedule) and depletion .				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule) STMT. 4 .	80,032.	80,032.		
24 Total operating and administrative expenses. Add lines 13 through 23.	142,398.	103,772.	NONE	16,626.
25 Contributions, gifts, grants paid	741,833.			741,833.
26 Total expenses and disbursements Add lines 24 and 25	884,231.	103,772.	NONE	758,459.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements . .	26,511.			
b Net investment income (if negative, enter -0-)		807,144.		
c Adjusted net income (if negative, enter -0-).				

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	335,276.	841,338.	841,338.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶	NONE		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule)			
	c Investments - corporate bonds (attach schedule)			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less accumulated depreciation ▶ (attach schedule)				
12 Investments - mortgage loans				
13 Investments - other (attach schedule)		9,474,336.	9,205,656.	14,828,715.
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶ (attach schedule)				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		9,809,612.	10,046,994.	15,670,053.
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable (attach schedule)				
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)		NONE		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	9,809,612.	10,046,994.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see instructions)	9,809,612.	10,046,994.		
31 Total liabilities and net assets/fund balances (see instructions)	9,809,612.	10,046,994.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,809,612.
2 Enter amount from Part I, line 27a	2	26,511.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5	3	210,871.
4 Add lines 1, 2, and 3	4	10,046,994.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,046,994.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)

(b) How acquired
P - Purchase
D - Donation(c) Date acquired
(mo., day, yr.)(d) Date sold
(mo., day, yr.)**1 a PUBLICLY TRADED SECURITIES**

b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,235,220.		1,538,777.	696,443.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			696,443.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	696,443.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{ }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	638,406.	15,407,393.	0.041435
2014	614,711.	15,183,873.	0.040484
2013	612,654.	14,050,101.	0.043605
2012	620,865.	12,539,709.	0.049512
2011	579,250.	12,049,173.	0.048074

2 Total of line 1, column (d)	2	0.223110
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.	3	0.044622
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	15,591,792.
5 Multiply line 4 by line 3.	5	695,737.
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	8,071.
7 Add lines 5 and 6.	7	703,808.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	758,459.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	8,071.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	8,071.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	8,071.
6	Credits/Payments:		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	31,160.
b	Exempt foreign organizations - tax withheld at source	6b	NONE
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	31,160.
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	23,089.
11	Enter the amount of line 10 to be: Credited to 2017 estimated tax <input checked="" type="checkbox"/> 8,072. Refunded <input type="checkbox"/>	11	15,017.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ _____ (2) On foundation managers <input checked="" type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> MO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	Yes	No
11			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).		X
12			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
13		X	
Website address <u>N/A</u>			
14	The books are in care of <u>UMB BANK, N.A.</u> Telephone no <u>(816) 860-7711</u>		
	Located at <u>1010 GRAND, KANSAS CITY, MO</u> ZIP+4 <u>64106</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/>		
15	and enter the amount of tax-exempt interest received or accrued during the year. <u>15</u>		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	Yes	No
16			X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country <u></u>			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		X
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 6		39,566.		

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ **NONE**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services	▶	NONE
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Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	15,019,334.
b	Average of monthly cash balances	1b	809,896.
c	Fair market value of all other assets (see instructions).	1c	NONE
d	Total (add lines 1a, b, and c)	1d	15,829,230.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	15,829,230.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	237,438.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	15,591,792.
6	Minimum investment return. Enter 5% of line 5	6	779,590.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	779,590.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	8,071.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	8,071.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	771,519.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4.	5	771,519.
6	Deduction from distributable amount (see instructions).	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	771,519.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	758,459.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	758,459.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	8,071.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	750,388.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				771,519.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			19,798.	
b Total for prior years 20 <u>14</u> , 20 <u> </u> , 20 <u> </u>		NONE		
3 Excess distributions carryover, if any, to 2016				
a From 2011	NONE			
b From 2012	NONE			
c From 2013	NONE			
d From 2014	NONE			
e From 2015	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2016 from Part XII, line 4 ► \$ <u>758,459.</u>				
a Applied to 2015, but not more than line 2a			19,798.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2016 distributable amount.				738,661.
e Remaining amount distributed out of corpus.	NONE			
5 Excess distributions carryover applied to 2016 . (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b.		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017.				32,858.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2012	NONE			
b Excess from 2013	NONE			
c Excess from 2014	NONE			
d Excess from 2015	NONE			
e Excess from 2016	NONE			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**NOT APPLICABLE**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 8

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE STATEMENT 29				741,833.
Total			▶ 3a	741,833.
b Approved for future payment				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	214,299.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property. .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	696,443.		
9 Net income or (loss) from special events . . .						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue: a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)				910,742.		
13 Total. Add line 12, columns (b), (d), and (e)						910,742.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|---|--------------|-----|----|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

By: Ausan B. Jean SVP | 06/06/2017

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

THERESA A. PORTIS

Preparer's signature

Theresa A. Fortis

Date

06/06/2017

7	Check <input type="checkbox"/> if self-employed
---	---

PTIN	
------	--

P00369246

Firm's name ▶ ERNST & YOUNG U.S. LLP

Firm's EIN ▶ 34-6565596

Firm's address ► 155 N. WACKER
CHICAGO, IL

60606

Phone no 312-879-5176

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
DIVIDENDS & INTEREST	214,299.	214,473.
	-----	-----
TOTAL	214,299.	214,473.
	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREP FEES	800.			800.
	-----	-----	-----	-----
TOTALS	800.	NONE	NONE	800.
	=====	=====	=====	=====

FORM 990PF, PART I - TAXES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----
FEDERAL ESTIMATES - PRINCIPAL	22,000.

TOTALS	22,000.
	=====

FORM 990PF, PART I - OTHER EXPENSES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
OTHER ALLOCABLE EXPENSE-PRINCI	80,032.	80,032.
TOTALS	----- 80,032. =====	----- 80,032. =====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES
=====DESCRIPTION
-----AMOUNT
-----TIMING DIFFERENCES
ROUNDING

210,867.

4.

TOTAL

210,871.
=====

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES
=====

OFFICER NAME:

UMB BANK, N.A.

ADDRESS:

P.O. BOX 415044 M/S 1020307

KANSAS CITY, MO 64141

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 20

COMPENSATION 39,566.

OFFICER NAME:

PAUL N. DOUTHAT; C/O UMB

ADDRESS:

P.O. BOX 415044 M/S 1020307

KANSAS CITY, MO 64141

TITLE:

MANAGER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

OFFICER NAME:

NEIL T. SMITH; C/O UMB

ADDRESS:

P.O. BOX 415044 M/S 1020307

KANSAS CITY, MO 64141

TITLE:

MANAGER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

OFFICER NAME:

ELIZABETH SMITH; C/O UMB

ADDRESS:

P.O. BOX 415044 M/S 1020307

KANSAS CITY, MO 64141

TITLE:

MANAGER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

NEIL T. DOUTHAT; C/O UMB

ADDRESS:

P.O. BOX 415044 M/S 1020307

KANSAS CITY, MO 64141

TITLE:

MANAGER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

OFFICER NAME:

SUZANNE D. BIRKANS; C/O UMB

ADDRESS:

P.O. BOX 415044 M/S 1020307

KANSAS CITY, MO 64141

TITLE:

MANAGER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

TOTAL COMPENSATION:

39,566.

=====

RALPH L SMITH FOUNDATION 139524
FORM 990PF, PART XV - LINES 2a - 2d
=====

44-6008508

RECIPIENT NAME:

JAN B. LEONARD - UMB, N.A.

ADDRESS:

P.O. BOX 415044 M/S 1020307

KANSAS CITY, MO 64141-6692

RECIPIENT'S PHONE NUMBER: 816-860-1933

FORM, INFORMATION AND MATERIALS:

LETTER OF NO MORE THAN 3 PAGES WITH APPROPRIATE ATTACHMENTS-

ATTACHMENTS TO BE DETERMINED UPON INITIAL TELEPHONE CALL

SUBMISSION DEADLINES:

NONE

RESTRICTIONS OR LIMITATIONS ON AWARDS:

RELIGIOUS, CHARITABLE, SCIENTIFIC, LITERARY, EDUCATIONAL, AND

ELEEMOSYNARY PURPOSES FOR THE BENEFIT OF THE PUBLIC

STATEMENT -- 8 --

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

CLARE FOUNDATION INC.

ADDRESS:

909 PICO BOULEVARD

SANTA MONICA, CA 90404

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:

BIG BROTHER / SISTER OF GR. LOS ANGELES

ADDRESS:

800 S. FIGUEROA STREET, SUITE 620

LOS ANGELES, CA 90017

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 40,000.

RECIPIENT NAME:

ALZHEIMER'S GREATER L.A.

ADDRESS:

4221 WILSHIRE BLVD #400

LOS ANGELES, CA 90010

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 25,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

TUTWILER CLINIC

ADDRESS:

205 ALMA STREET

TUTWILER, MS 38963

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:

BOYS & GIRLS CLUBS OF SANTA MONICA

ADDRESS:

1238 LINCOLN BLVD

SANTA MONICA, CA 90401

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 25,000.

RECIPIENT NAME:

STUDENTS RUN LA

ADDRESS:

5252 CREBS AVENUE

TARZANA, CA 91356

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 10,000.

=====

RECIPIENT NAME:

SAINT ANNE SCHOOL

ADDRESS:

2015 COLORADO AVENUE

SANTA MONICA, CA 90404

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 20,000.

RECIPIENT NAME:

SAINT RAPHAEL CATHOLIC SCHOOL

ADDRESS:

924 W 70TH STREET

LOS ANGELES, CA 90044

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:

UCLA UNICAMP

ADDRESS:

900 HILGARD AVENUE, SUITE 301

LOS ANGELES, CA 90024

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 10,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

THE SISTERS OF ST. LOUIS

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:

ORME SCHOOL

ADDRESS:

HC 63 BOX 3040

MAYER, AZ 8633

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 70,000.

RECIPIENT NAME:

CHRISTO REY KANSAS CITY

ADDRESS:

211 W LINWOOD BLVD

KANSAS CITY, MO 64111

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 5,000.

RALPH L SMITH FOUNDATION 139524

44-6008508

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

ALS ASSOCIATION

ROCKY MOUNTAIN CHAPTER EIN 04-3462712

ADDRESS:

8340 MISSION RD SUITE B-4

PRAIRIE VILLAGE, KS 66206

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 5,666.

RECIPIENT NAME:

GREATER KC COMMUNITY FOUNDATION

ADDRESS:

1055 BROADWAY STE 130

KANSAS CITY, MO 64105

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 116,667.

RECIPIENT NAME:

TRUMAN MEDICAL CENTER CHAR FDN

ADDRESS:

2310 HOLMES SUITE 735

KANSAS CITY, MO 64108

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,500.

STATEMENT - 13 -

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

BISHOP MIEGE HIGH SCHOOL

ADDRESS:

5041 REINHARDT DRIVE

ROELAND PARK, KS 66205

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIP

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:

CHILDREN'S MERCY HOSPITAL

ADDRESS:

2401 GILLHAM ROAD

KANSAS CITY, MO 64108

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 4,500.

RECIPIENT NAME:

FRONT PORCH ALLIANCE

ADDRESS:

3210 MICHIGAN AVENUE

KANSAS CITY, MO 64109

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,500.

=====

RECIPIENT NAME:

HABITAT FOR HUMANITY

ADDRESS:

1423 E. LINWOOD BLVD

KANSAS CITY, MO 64109

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:

NELSON GALLERY FOUNDATION

ADDRESS:

4525 OAK STREET

KANSAS CITY, MO 64111

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,500.

RECIPIENT NAME:

PEMBROKE HILL SCHOOL

ADDRESS:

STATE LINE ROAD

KANSAS CITY, MO 64112

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,500.

=====

RECIPIENT NAME:

POWELL GARDENS

ADDRESS:

1609 N.W. U.S. HIGHWAY 50

KINGSVILLE, MO 64061

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

THE PLAZA ACADEMY

ADDRESS:

4050 PENNSYLVANIA AVENUE

KANSAS CITY, MO 64111

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:

UNIVERSITY OF MISSOURI - COLUMBIA

COLLEGE OF BUSINESS

ADDRESS:

104 CORNELL HALL

COLUMBIA, MO 65211

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIP

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

=====

RECIPIENT NAME:

VILLAGE PRESBYTERIAN CHURCH

ADDRESS:

6641 MISSION ROAD

PRAIRIE VILLAGE, KS 66208

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 22,500.

RECIPIENT NAME:

VITAE FOUNDATION

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:

WEST HOLLYWOOD SHERIFFS BOOSTERS

CLUB

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 4,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

BOYS ABD GIRLS CLUB OF GREATER KC

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARTIABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,500.

RECIPIENT NAME:

OZNAM

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,000.

RECIPIENT NAME:

FIRST CALL

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,500.

RECIPIENT NAME:

KAUFFMAN CENTER FOR PERFORMING
ARTS

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

UNIVERSITY OF DENVER

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 52,500.

RECIPIENT NAME:

HUMANE SOCIETY OF SOUTHERN ARIZONA

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 26,000.

RECIPIENT NAME:

CATHOLIC CHARITIES FOUNDATION
OF NORTHEAST KANSAS

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:

CATO INSTITUTE

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

TMC BEHAVIORIAL HEALTH SERV.

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,000.

RECIPIENT NAME:

CHAIN OF HOPE

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

HOSPICE AND PALLIATIVE CARE

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

PICKETTS MILL ELEMENTARY

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

DURHAM MIDDLE SCHOOL

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,000.

RECIPIENT NAME:

EMERGE! CENTER AGAINST

DOMESTIC ABUSE

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF PUBLIC CHARITY

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 75,000.

RECIPIENT NAME:

ACHILLES INTERNATIONAL, INC

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:

SOL-LA MUSIC ACADEMY

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF PUBLIC CHARITY

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:

HOPE FARM

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF PUBLIC CHARITY

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:

THE FIRST TEE OF DENVER

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:

BREAKTHROUGH KENT DENVER

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:

CRONE'S AND COLITIS FOUNDATION

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:

BLUFORD HEALTHCARE
LEADERSHIP INSTITUTE

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,500.

RECIPIENT NAME:

BRIGHT FUTURES FUND

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,500.

RECIPIENT NAME:

CHILDRENS CENTER/VISUALLY IMPAIRED

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

DOMINICAN REPUBLIC RESEARCH PARTNERSHIP

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,500.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

ELLA'S HOPE

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

THE GROOMING PROJECT

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

INTERNATIONAL RESCUE COMMITTEE

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:

POLICE ATHLETIC LEAGUE OF KANSAS CITY

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

RECIPIENT NAME:

KC HEALTHY KIDS

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

OPERATION BREAKTHROUGH

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:

THE ROSE BOOKS CENTER

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,500.

RECIPIENT NAME:

THEATRE ASPEN

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,500.

RECIPIENT NAME:

TLC FOR CHILDREN AND FAMILIES

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARTIABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,500.

RECIPIENT NAME:

TOGETHER RISING

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PCR

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

UNBOUND

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:

MAYO CLINIC

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,500.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

EVANS SCHOLARSHIP FOUNDATION

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,500.

RECIPIENT NAME:

COLORADO NEUROLOGICAL INSTITUTE

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT PUBLIC CHARITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 20,000.

RECIPIENT NAME:

ST. ANNE CATHOLIC CHURCH

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 12,500.

RECIPIENT NAME:

GREAT PLAINS SPCA

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

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RECIPIENT NAME:

HARVESTER'S

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,500.

RECIPIENT NAME:

GREATER K.C. COMMUNITY FOUNDATION

HETERODOX ECONOMICS

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

ST. ANN SCHOOL

ADDRESS:

7231 MISSION ROAD

PRAIRIE VILLAGE, KS 66208

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RALPH L SMITH FOUNDATION 139524

44-6008508

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

ALS - TDI EIN# 04-3462712

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF PUBLIC CHARITY

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:

ALS-MID AMERICA - KEITH WORTHINGTON

EIN# 48-102611

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF CHARITABLE ACTIVITIES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

TOTAL GRANTS PAID:

741,833.

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Account Name: Ralph L Smith Foundation Smith Sh

Account Number: 139524.1

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Statement Period: Jan. 1 - Dec. 31, 2016

Statement of Investment Position

Units Description	Symbol Cusip	Cost Basis		Market Value		Unrealized Gain / (Loss)	Estimated Annual Income	Yield %
		Unit	Total	Unit	Total			
Equity Securities								
Common Stock								
2,300 Adobe Systems Inc	ADBE 00724F101	29.50	67,847.00	102.95	236,785.00	168,938.00	0	
700 Allegiant Travel Co	ALGT 01748X102	111.63	78,138.10	166.40	116,480.00	38,341.90	1,960	1.68
100 Alphabet Inc	GOOGL 02079K305	285.68	28,567.95	792.45	79,245.00	50,677.05	0	
100 Alphabet Inc	GOOG 02079K107	284.18	28,417.90	771.82	77,182.00	48,764.10	0	
1,550 Ansys Inc	ANSS 03662Q105	27.37	42,423.20	92.49	143,359.50	100,936.30	0	
1,750 Balchem Corporation	BCPC 057665200	24.19	42,338.78	83.92	146,860.00	104,521.22	665	0.45
4,000 BB&T Corp	BBT 054937107	28.21	112,841.03	47.02	188,080.00	75,238.97	4,800	2.55
2,000 Cerner Corp	CERN 156782104	20.01	40,015.00	47.37	94,740.00	54,725.00	0	
2,000 Church & Dwight Inc	CHD 171340102	27.56	55,129.80	44.19	88,380.00	33,250.20	1,420	1.61
2,100 Danaher Corp Del	DHR 235851102	20.56	43,183.46	77.84	163,464.00	120,280.54	1,050	0.64
3,000 Ebay Inc	EBAY 278642103	20.35	61,055.53	29.69	89,070.00	28,014.47	0	
1,350 Ecolab Inc	ECL 278865100	34.27	46,268.01	117.22	158,247.00	111,978.99	1,998	1.26
750 FactSet Research Systems Inc	FDS 303075105	106.38	79,784.03	163.43	122,572.50	42,788.47	1,500	1.22
1,800 Fastenal Co	FAST 311900104	38.48	69,269.00	46.98	84,564.00	15,295.00	2,160	2.55
1,966 Fiserv Inc	FISV 337738108	10.82	21,265.55	106.28	208,946.48	187,680.93	0	
500 FleetCor Technologies Inc	FLT 339041105	159.17	79,587.40	141.52	70,760.00	(8,827.40)	0	
1,050 Fortive Corp	FTV 34959J108	13.39	14,062.54	53.63	56,311.50	42,248.96	294	0.52
625 Halyard Health Inc	HYH 40650V100	0.39	243.80	36.98	23,112.50	22,868.70	0	
1,000 Idexx Labs Inc	IDXX 45168D104	48.51	48,510.50	117.27	117,270.00	68,759.50	0	



Account Name: Ralph L Smith Foundation Smith Sh

Account Number. 139524.1

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Statement Period: Jan. 1 - Dec. 31, 2016

Statement of Investment Position (continued)

Units Description	Symbol Cusip	Cost Basis		Market Value		Unrealized Gain / (Loss)	Estimated Annual Yield Income %
		Unit	Total	Unit	Total		
Equity Securities (continued)							
Common Stock(continued)							
1,500 Illinois Tool Works Inc	ITW 452308109	9 37	14,049 49	122 46	183,690 00	169,640 51	3,900 2.12
4,000 Kimberly Clark Corp	KMB 494368103	1 13	4,502 53	114 12	456,480 00	451,977 47	14,720 3.22
3,000 Lkq Corp	LKQ 501889208	27 03	81,082 71	30 65	91,950 00	10,867 29	0
3,266 Microsoft Corp	MSFT 594918104	14 02	45,804 23	62.14	202,949 24	157,145 01	5,095 2.51
250 Mohawk Inds Inc	MHK 608190104	190 86	47,715 30	199 68	49,920 00	2,204 70	0
3,500 Oracle Corp	ORCL 68389X105	27 05	94,675 00	38 45	134,575 00	39,900 00	2,100 1.56
3,000 Paypal Holdings Inc	PYPL 70450Y103	29 43	88,297 52	39 47	118,410.00	30,112 48	0
1,250 Polaris Industries Inc	PIL 731068102	109 91	137,386 90	82 39	102,987 50	(34,399 40)	2,750 2.67
2,000 Proto Labs Inc	PRLB 743713109	55 15	110,296 75	51 35	102,700 00	(7,596 75)	0
3,500 PTC Inc	PTC 69370C100	35 87	125,545 70	46 27	161,945 00	36,399 30	0
1,000 Qualcomm Inc	QCOM 747525103	59 19	59,194 67	65 20	65,200 00	6,005 33	2,120 3.25
2,500 Resmed Inc	RMD 761152107	22 30	55,741 51	62 05	155,125 00	99,383 49	3,300 2.13
500 Roper Technologies Inc	ROP 776696106	167 64	83,819 40	183 08	91,540 00	7,720 60	700 0.76
2,000 Smith A O	AOS 831865209	37 57	75,147 50	47 35	94,700 00	19,552 50	960 1.01
3,000 Starbucks Corp	SBUX 855244109	7 16	21,480 00	55 52	166,560 00	145,080 00	3,000 1.80
1,000 Stericycle Inc	SRCL 858912108	31 56	31,563 49	77 04	77,040 00	45,476 51	0
1,250 Stryker Corp	SYK 863667101	58 51	73,135 50	119 81	149,762 50	76,627 00	2,125 1.42
60 The Priceline Group Inc	PCLN 741503403	1,469 28	88,156 91	1,466 06	87,963 60	(193 31)	0
2,250 TJX Cos Inc	TJX 872540109	30 72	69,129 15	75.13	169,042.50	99,913 35	2,340 1.38



Account Name: Ralph L Smith Foundation Smith Sh

Account Number: 139524.1
Statement Period: Jan. 1 - Dec 31, 2016

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Statement of Investment Position (continued)

Units Description	Symbol Cusip	Cost Basis		Market Value		Unrealized Gain / (Loss)	Estimated Annual Yield Income %
		Unit	Total	Unit	Total		
Equity Securities (continued)							
Common Stock(continued)							
1,250 Tractor Supply CO	TSCO 892356106	67 76	84,701.88	75 81	94,762 50	10,060 62	1,200 1 27
1,200 Union Pacific Corp	UNP 907818108	74 78	89,732 10	103 68	124,416.00	34,683 90	2,904 2 33
6,500 Verifone Systems, Inc	PAY 92342Y109	25 27	164,248 85	17 73	115,245 00	(49,003.85)	0
1,400 Wabtec Corp	WAB 929740108	86 18	120,655 10	83.02	116,228 00	(4,427 10)	560 0 48
Total Common Stock			2,725,010.77		5,378,621.32	2,653,610.55	63,621
Preferred Stock							
4,000 Wells Fargo & Company 8% \$2 00 PFD		27 74	110,968 00	26 43	105,720.00	(5,248 00)	8,000 7 57
Total Preferred Stock			110,968.00		105,720.00	(5,248.00)	8,000
Total Equity Securities			2,835,978.77		5,484,341.32	2,648,362.55	71,621
Fixed Income Securities							
Government & Agency Bonds							
80,544 6575 Government National Mortgage Assn Pool DTD 5/1/2008 5 0000% 5/15/2023 Pool # GN 686884		1 18	94,983 89	105 47	84,949 44	(10,034 45)	4,027 4 74
Total Government & Agency Bonds			94,983.89		84,949.44	(10,034.45)	4,027
Total Fixed Income Securities			94,983.89		84,949.44	(10,034.45)	4,027
Fixed Income Funds							
1,000 iShares 1-3 Year Credit Bond	CSJ 464288646	104 47	104,470 00	104 94	104,940 00	470 00	1,526 1 45
12,309 iShares Floating Rate Note	FLOT 46429B655	50 70	624,100 61	50 73	624,435 57	334 96	6,081 0 97
10,000 Vanguard S/T Corporate Bond	VCSH 92206C409	79 22	792,204 00	79 37	793,700.00	1,496.00	16,690 2 10



Account Name: Ralph L Smith Foundation Smith Sh

Account Number: 139524.1
Statement Period: Jan. 1 - Dec. 31, 2016

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Statement of Investment Position (continued)

Units Description	Symbol Cusip	Cost Basis		Market Value		Unrealized		Estimated Annual Yield Income %
		Unit	Total	Unit	Total	Gain / (Loss)		
Fixed Income Funds(continued)								
2,000 Vanguard Total Bond Market ETF	BND 921937835	82 96	165,925 00	80 79	161,580 00	(4,345 00)		3,990 2 47
Total Fixed Income Funds			1,686,699.61		1,684,655.57	(2,044.04)		28,287
Total Fixed Income Funds			1,686,699.61		1,684,655.57	(2,044.04)		28,287

Money Markets & Cash

Money Market Funds								
614,863 15 Fidelity Treasury Fund #695	FISXX 316175504	1 00	614,863 15	1 00	614,863 15			2,102 0 34
Total Money Market Funds			614,863.15		614,863.15	0.00		2,102
Total Money Markets and Cash			614,863.15		614,863.15	0.00		2,102
Account Total			5,232,525.42		7,868,809.48	2,636,284.06		106,037



Account Name: Ralph L Smith Foundation Douthat Sh

Account Number: 139674.1
Statement Period: Jan. 1 - Dec. 31, 2016

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Statement of Investment Position

Statement of Investment Position							
Units Description	Symbol Cusip	Cost Basis		Market Value		Unrealized Gain / (Loss)	Estimated Annual Yield Income %
		Unit	Total	Unit	Total		
Equity Securities							
Common Stock							
2,750 Adobe Systems Inc	ADBE 00724F101	30.48	83,832.25	102.95	283,112.50	199,280.25	0
1,000 Allegiant Travel Co	ALGT 01748X102	110.83	110,828.20	166.40	166,400.00	55,571.80	2,800 1.68
200 Alphabet Inc	GOOGL 02079K305	262.70	52,539.87	792.45	158,490.00	105,950.13	0
200 Alphabet Inc	GOOG 02079K107	261.32	52,264.16	771.82	154,364.00	102,099.84	0
2,200 Ansys Inc	ANSS 03662Q105	23.51	51,717.62	92.49	203,478.00	151,760.38	0
2,466 Balchem Corporation	BCPC 057665200	25.64	63,230.56	83.92	206,946.72	143,716.16	937 0.45
4,000 BB&T Corp	BBT 054937107	27.75	110,996.90	47.02	188,080.00	77,083.10	4,800 2.55
3,000 Cerner Corp	CERN 156782104	20.01	60,022.50	47.37	142,110.00	82,087.50	0
2,000 Church & Dwight Inc	CHD 171340102	20.67	41,347.35	44.19	88,380.00	47,032.65	1,420 1.61
3,000 Danaher Corp Del	DHR 235851102	19.57	58,704.95	77.84	233,520.00	174,815.05	1,500 0.64
3,500 Ebay Inc	EBAY 278642103	23.84	83,430.00	29.69	103,915.00	20,485.00	0
1,750 Ecolab Inc	ECL 278865100	36.72	64,253.14	117.22	205,135.00	140,881.86	2,590 1.26
1,000 FactSet Research Systems Inc	FDS 303075105	106.38	106,378.70	163.43	163,430.00	57,051.30	2,000 1.22
3,000 Fastenal Co	FAST 311900104	36.77	110,323.00	46.98	140,940.00	30,617.00	3,600 2.55
2,468 Fiserv Inc	FISV 337738108	11.16	27,532.71	106.28	262,299.04	234,766.33	0
750 FleetCor Technologies Inc	FLT 339041105	159.17	119,381.10	141.52	106,140.00	(13,241.10)	0
1,500 Fortive Corp	FTV 34959J108	12.74	19,117.05	53.63	80,445.00	61,327.95	420 0.52
1,250 Idexx Labs Inc	IDXX 45168D104	48.51	60,638.12	117.27	146,587.50	85,949.38	0
2,000 Illinois Tool Works Inc	ITW 452308109	9.46	18,924.36	122.46	244,920.00	225,995.64	5,200 2.12



Account Name:

Ralph L Smith Foundation Douthat Sh

Account Number: 139674.1

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Statement Period Jan. 1 - Dec. 31, 2016

Statement of Investment Position (continued)

Equity Securities (continued)

Common Stock(continued)

Units Description	Symbol Cusip	Cost Basis		Market Value		Unrealized Gain / (Loss)	Estimated Annual Yield Income %
		Unit	Total	Unit	Total		
3,500 Lkq Corp	LKQ 501889208	27 03	94,596 50	30 65	107,275 00	12,678 50	0
4,166 Microsoft Corp	MSFT 594918104	18 03	75,129 74	62.14	258,875 24	183,745 50	6,499 2 51
500 Mohawk Inds Inc	MHK 608190104	190 86	95,430 60	199 68	99,840 00	4,409 40	0
4,000 Oracle Corp	ORCL 68389X105	21 34	85,360 00	38 45	153,800 00	68,440 00	2,400 1 56
2,500 Paypal Holdings Inc	PYPL 70450Y103	30 15	75,376 85	39 47	98,675 00	23,298 15	0
1,750 Polaris Industries Inc	PIL 731068102	118 73	207,779 30	82 39	144,182 50	(63,596 80)	3,850 2 67
2,000 Proto Labs Inc	PRLB 743713109	56 52	113,041 40	51 35	102,700 00	(10,341.40)	0
4,500 PTC Inc	PTC 69370C100	35 58	160,095 30	46 27	208,215 00	48,119 70	0
1,000 Qualcomm Inc	QCOM 747525103	44 40	44,396 00	65 20	65,200 00	20,804 00	2,120 3 25
3,000 Resmed Inc	RMD 761152107	20.54	61,606 68	62 05	186,150 00	124,543 32	3,960 2.13
750 Roper Technologies Inc	ROP 776696106	167 64	125,729 10	183 08	137,310 00	11,580 90	1,050 0.76
2,400 Smith A O	AOS 831865209	37.57	90,177 00	47 35	113,640 00	23,463.00	1,152 1 01
4,050 Starbucks Corp	SBUX 855244109	9 30	37,644 75	55 52	224,856 00	187,211 25	4,050 1 80
1,500 Stericycle Inc	SRCL 858912108	32 50	48,750 93	77 04	115,560.00	66,809 07	0
2,000 Stryker Corp	SYK 863667101	56 89	113,773 00	119 81	239,620.00	125,847 00	3,400 1.42
100 The Priceline Group Inc	PCLN 741503403	1,469 28	146,928 19	1,466 06	146,606 00	(322 19)	0
2,500 TJX Cos Inc	TJX 872540109	24 85	62,134 50	75 13	187,825 00	125,690 50	2,600 1 38
1,500 Tractor Supply CO	TSCO 892356106	67.76	101,642.25	75 81	113,715 00	12,072 75	1,440 1 27
1,400 Union Pacific Corp	UNP 907818108	74 78	104,687.45	103 68	145,152 00	40,464 55	3,388 2 33



Statement of Investment Position (continued)

Units Description	Symbol Cusip	Cost Basis		Market Value		Unrealized Gain / (Loss)	Estimated Annual Yield Income %
		Unit	Total	Unit	Total		
Equity Securities (continued)							
Common Stock(continued)							
8,000 Verifone Systems, Inc	PAY 92342Y109	27 26	218,075 30	17 73	141,840 00	(76,235 30)	0
1,500 Wabtec Corp	WAB 929740108	85 51	128,265 10	83 02	124,530 00	(3,735 10)	600 0 48
Total Common Stock			3,486,082.48		6,394,259.50	2,908,177.02	61,776
Preferred Stock							
4,000 Wells Fargo & Company 8% \$2 00 PFD		27 74	110,968 00	26 43	105,720 00	(5,248 00)	8,000 7 57
Total Preferred Stock			110,968.00		105,720.00	(5,248.00)	8,000
Total Equity Securities			3,597,050.48		6,499,979.50	2,902,929.02	69,776

Fixed Income Securities

Government & Agency Bonds						
80,544 6575 Government National Mortgage Assn Pool		0.00	0.00	105 47	84,949.44	0
DTD 5/1/2008 5.0000% 5/15/2023	36286CCV0					
Pool # GN 686884						
Total Government & Agency Bonds			0.00		84,949.44	0
Total Fixed Income Securities			0.00		84,949.44	0

Fixed Income Funds

1,000 iShares 1-3 Year Credit Bond	CSJ	104 47	104,470 00	104 94	104,940 00	1,526 1.45
	464288646					
8,000 iShares Floating Rate Note	FLOT	50.68	405,407 80	50 73	405,840 00	3,952 0.97
	464298655					
4,000 Vanguard S/T Corporate Bond	VCSH	78 79	315,140 00	79.37	317,480 00	6,676 2 10
	92206C409					
2,000 Vanguard Total Bond Market ETF	BND	82 96	165,925 00	80.79	161,580 00	3,990 2 47
	921937835					
Total Fixed Income Funds			990,942.80		989,840.00	16,144
Total Fixed Income Funds			990,942.80		989,840.00	16,144



Account Name: Ralph L Smith Foundation Douthat Sh

Account Number: 139674.1
Statement Period: Jan. 1 - Dec. 31, 2016

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Statement of Investment Position (continued)

Statement of Investment Position (Continued)							
Units Description	Symbol Cusip	Cost Basis		Market Value		Unrealized Gain / (Loss)	Estimated Annual Income %
		Unit	Total	Unit	Total		
Money Markets & Cash							
Money Market Funds							
226,475.75 Fidelity Treasury Fund #695	FISXX 316175504	1 00	226,475.75	1 00	226,475.75	774	0.34
Total Money Market Funds			226,475.75		226,475.75	774	
Total Money Markets and Cash			226,475.75		226,475.75	774	
Account Total			4,814,469.03		7,801,244.69	2,986,775.66	86,694