efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491123001457

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
RIKLI FAMILY FOUNDATION TRUST
% CENTRAL TRUST & INVESTMENT CO

A Employer identification number
43-6830735

Number and street (or P O box number if mail is not delivered to street address) PO BOX 678
Room/suite

B Telephone number (see instructions)
(573) 874-8100

City or town, state or province, country, and ZIP or foreign postal code
COLUMBIA, MO 65205

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 917,528

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	77,088			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	139	139		
	4	Dividends and interest from securities	21,044	21,044		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	20,273			
	b	Gross sales price for all assets on line 6a 423,311				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	118,544	21,183		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	10,404			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	1,125			1,125
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	24	24		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	6,048			6,048
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	17,601	24		7,173
	25	Contributions, gifts, grants paid	42,227			42,227
	26	**Total expenses and disbursements.** Add lines 24 and 25	59,828	24		49,400
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	58,716			
	b	**Net investment income** (if negative, enter -0-)		21,159		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	226	27	23
	2	Savings and temporary cash investments	20,945	12,616	12,616
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	684,466	678,223	766,238
	c	Investments—corporate bonds (attach schedule)	68,816	106,179	105,697
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	430	36,103	32,954
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	774,883	833,148	917,528
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	774,883	833,148	
	30	**Total net assets or fund balances** (see instructions)	774,883	833,148	
	31	**Total liabilities and net assets/fund balances** (see instructions)	774,883	833,148	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	774,883
2	Enter amount from Part I, line 27a	2	58,716
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	833,599
5	Decreases not included in line 2 (itemize) ▶	5	451
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	833,148

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	38,197	753,237	0 050710
2014	35,089	681,999	0 051450
2013	35,738	621,076	0 057542
2012	35,872	508,925	0 070486
2011	29,357	456,759	0 064272

2	**Total** of line 1, column (d)	2	0 294460
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 058892
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	867,818
5	Multiply line 4 by line 3	5	51,108
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	212
7	Add lines 5 and 6	7	51,320
8	Enter qualifying distributions from Part XII, line 4	8	49,400

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	423
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	423
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	423
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d.	7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	423
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶MO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b		
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	Yes	

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶CENTRAL TRUST & INVESTMENT COMPANY Telephone no ▶(573) 874-8787

Located at ▶8TH AND BROADWAY COLUMBIA MO ZIP+4 ▶652050678

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No

b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No

If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No

b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No**			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . ☐ **Yes** ☑ **No**			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No**			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). . . . . ☐ **Yes** ☑ **No**			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. . . . . ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. . . . . ☐ **Yes** ☐ **No**			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. ☐ **Yes** ☑ **No**			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?. . . .	**6b**		**No**
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No**			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
CENTRAL TRUST & INVESTMENT COMPANY AMY HENDERSON PO BOX 678 COLUMBIA, MO 65205	TRUSTEE AS N 10 00	10,404	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. . . . . ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	864,129
b	Average of monthly cash balances.	1b	16,904
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	881,033
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). **1e**		
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	881,033
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	13,215
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	867,818
6	**Minimum investment return.** Enter 5% of line 5.	6	43,391

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.			1	43,391
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	423		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	423
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	42,968
4	Recoveries of amounts treated as qualifying distributions.			4	
5	Add lines 3 and 4.			5	42,968
6	Deduction from distributable amount (see instructions).			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	42,968

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	49,400
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	49,400
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	49,400

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				42,968
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20____ , 20____ , 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011. 7,187				
b From 2012. 10,758				
c From 2013. 5,026				
d From 2014. 1,419				
e From 2015. 986				
f **Total** of lines 3a through e.	25,376			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 49,400				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				42,968
e Remaining amount distributed out of corpus	6,432			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	31,808			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .	7,187			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	24,621			
10 Analysis of line 9				
a Excess from 2012. . . . 10,758				
b Excess from 2013. . . . 5,026				
c Excess from 2014. . . . 1,419				
d Excess from 2015. . . . 986				
e Excess from 2016. . . . 6,432				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				42,227
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	139	
4 Dividends and interest from securities. . . .			14	21,044	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory					20,273
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				21,183	20,273

13 Total. Add line 12, columns (b), (d), and (e). 13 41,456

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** | 2017-05-03 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶	PTIN
GARRY D WEISS		2017-05-03	☑	P01312221
Firm's name ▶ WEISS FINANCIAL INNOVATIONS LLC				Firm's EIN ▶46-2763353
Firm's address ▶ 3610 BUTTONWOOD DR STE 200 COLUMBIA, MO 652013721				Phone no (573) 442-8226

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NORTH CENTRAL COLLEGE PO BOX 3063 NAPERVILLE, IL 60566			SCHOLARSHIP FUND	1,677
SON SHINE MINISTRY PO BOX 456 AZLE, TX 76098			RELIGIOUS	500
WEST LIBERTY STATE COLLEGE 122 CAMPUS SERVICE CENTER PO BOX 295 WEST LIBERTY, WV 26074			EDUCATION	500
ALAMO HEIGHTS SCHOOL FOUNDATION 7101 BROADWAY SAN ANTONIO, TX 78209			EDUCATION	1,500
UNIVERSITY OF CALIFORNIA BERKELEY 2080 ADDISON STREET 4200 BERKELEY, CA 94720			EDUCATION	500
ARNOT OGDEN MEDICAL CENTER 600 ROE AVE ELMIRA, NY 14905			COMMUNITY MEDICAL	4,000
THE PARK CHURCH 208 W GRAY ST ELMIRA, NY 14901			RELIGIOUS	3,000
TANGLEWOOD COMMUNITY NATURE CENTER 443 COLEMAN AVE ELMIRA, NY 14903			CONSERVATION	1,000
CHEMUNG COUNTY PERFORMING ARTS 207 CLEMENS CENTER PARKWA ELMIRA, NY 14902			ARTS	1,000
ARNOT ART MUSEUM 235 LAKE ST ELMIRA, NY 14901			ART	1,000
TEXAS CAVALIERS CHARITABLE FOUNDATI PO BOX 6927 SAN ANTONIO, TX 78209			CHARITY	1,000
DAVIDSON COLLEGE PO BOX 7162 DAVIDSON, NC 28035			EDUCATION	500
LIVING ON THE EDGE 140 SATELLITE BLVD STE D SWANEE, GA 30024			CHARITABLE	500
OAK MEADOW METHODIAT CHURCH 2740 HUNTERS GREEN ST SAN ANTONIO, TX 78231			RELIGIOUS	5,000
BSF INTERNATIONAL 19001 HUEBNER RD SAN ANTONIO, TX 782584019			CHARITABLE	500
Total . . . . ▶ **3a**				42,227

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TIME FOR CHRIST PO BOX 780484 SAN ANTONIO, TX 782870484			CHARITABLE	500
CALIFORNIA FILM INSTITUTE 1001 LOOTENS PL STE 220 SAN RAFAEL, CA 94941			CHARITABLE	1,700
HAKONE FOUNDATION PO BOX 2324 SARATOGA, CA 950700324			CHARITABLE	1,700
WSKG PUBLIC BROADCASTING INC 601 GATES RD VESTAL, NY 13850			CHARITABLE	700
LELAND STANFORD JUNIOR UNIV 3145 PORTER DR PALO ALTO, CA 94304			CHARITABLE	500
HAMILTON COLLEGE 198 COLLEGE HILL RD CLINTON, NY 13323			EDUCATIONAL	500
ZACHERY SCOTT THEATRE CENTER 1510 TOOMEY RD AUSTIN, TX 78704			PUBLIC ARTS	1,000
ANTONIO ZOOLOGICAL SOCIETY 3903 N ST MARYS ST SAN ANTONIO, TX 78212			CHARITABLE	850
SOUTHWEST SCHOOL OF ART 300 AUGUSTA ST SAN ANTONIO, TX 78205			EDUCATIONAL	700
WAYSIDE CHAPEL EFCA 1705 NW LOOP 410 SAN ANTONIO, TX 78213			RELIGIOUS	500
UNIVERSITY OF TEXAS FOUNDATION PO BO X 250 AUSTIN, TX 787670250			EDUCATIONAL	500
KANSAS 4-H FOUNDATION INC 116 UMBERGER HALL KSU MANHATTAN, KS 66506			EDUCATIONAL	4,000
IT CLEAR MINISTRIES 621 FIELD CLUB CIR CASSELBERRY, FL 32707			RELIGIOUS	1,000
BARSHOP JEWISH COMM CENTER 12500 NW MILITARY HWY 275 SAN ANTONIO, TX 78231			RELIGIOUS	500
BROWN EARLY LEARNING CTR ADELPHI UN 1 SOUTH AVE GARDEN CITY, NY 11530			EDUCATIONAL	400
Total 3a . . . . ▶				42,227

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MISSOURI SYMPHONY SOCIETY 203 S NINTH COLUMBIA, MO 65201			CIVIC MUSIC	5,000
Total . . . . ▶ 3a				42,227

TY 2016 Accounting Fees Schedule

Name: RIKLI FAMILY FOUNDATION TRUST

% CENTRAL TRUST & INVESTMENT CO

EIN: 43-6830735

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	1,125			1,125

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Gain/Loss from Sale of Other Assets Schedule

Name: RIKLI FAMILY FOUNDATION TRUST
% CENTRAL TRUST & INVESTMENT CO

EIN: 43-6830735

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
ADT CORP	2015-07	PURCHASE	2016-04		10,475	8,072			2,403	
AQR MULTI STRATEGY ALT FD	2015-12	PURCHASE	2016-11		3,935	4,065			-130	
AQR MANAGED FUTURES STRAT	2015-12	PURCHASE	2016-12		4,986	5,300			-314	
ALEXION PHARMACEUTICALS INC	2015-09	PURCHASE	2016-04		6,082	6,328			-246	
AMERICAN CENTURY INFLATION ADJ BOND	2016-02	PURCHASE	2016-12		7,417	7,294			123	
DFA EMERGING MARKETS SMALL CAP	2015-06	PURCHASE	2016-02		1,988	2,486			-498	
DIGITAL REALTY	2016-08	PURCHASE	2016-11		4,554	4,996			-442	
DODGE & COX INTL STOCK FD	2015-06	PURCHASE	2006-02		19,287	25,828			-6,541	
EMC CORP MASS	2015-08	PURCHASE	2016-02		6,147	6,032			115	
EATON VANCE GLOBAL MACRO ABSOL RET	2015-12	PURCHASE	2016-12		3,942	4,000			-58	
FEDERATED HIGH YIELD BOND FD	2015-06	PURCHASE	2016-02		2,038	2,251			-213	
FEDEX CORP	2015-09	PURCHASE	2016-06		811	720			91	
HESS CORP	2016-07	PURCHASE	2016-11		4,735	5,880			-1,145	
ISHARES 1-3 YR CREDIT BOND ETF	2016-03	PURCHASE	2016-07		2,643	2,622			21	
KIMBERLY CLARK CORP	2016-02	PURCHASE	2016-09		9,520	9,655			-135	
NORTHROP GRUMMAN CORP	2016-04	PURCHASE	2016-09		10,570	10,291			279	
QUALCOMM INC	2015-09	PURCHASE	2016-06		2,666	2,525			141	
BOSTON PARTNERS LONG/SHORT	2015-06	PURCHASE	2016-04		5,317	5,720			-403	
VANGUARD HIGH YIELD CORP	2015-07	PURCHASE	2016-06		3,140	3,364			-224	
VOYA GLOBAL REAL ESTATE	2015-06	PURCHASE	2016-03		8,167	8,537			-370	
WELLTOWER INC	2015-10	PURCHASE	2016-06		3,718	3,427			291	
MEDTRONIC PLC	2015-07	PURCHASE	2016-07		2,225	1,916			309	
AQR MULTI STRATEGY ALT	2015-01	PURCHASE	2016-12		1,970	2,052			-82	
AQR SMALL CAP MOMENTUM STYLE	2014-02	PURCHASE	2016-09		14,378	14,925			-547	
AUTOZONE INC	2012-05	PURCHASE	2016-09		11,254	5,686			5,568	
CHURCH & DWIGHT CO INC	2012-01	PURCHASE	2016-03		9,092	4,501			4,591	
COHEN & STEERS QUAL INC REALTY FD	2014-12	PURCHASE	2016-11		12,787	11,519			1,268	
DFA EMERGING MARKETS SMALL CAP	2015-01	PURCHASE	2016-02		12,125	15,000			-2,875	
DELTA AIR LINES	2014-02	PURCHASE	2016-04		8,748	6,152			2,596	
DISCOVER FINANCIAL SERVICES	2012-05	PURCHASE	2016-04		8,611	5,518			3,093	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
DODGE & COX INTL STOCK FD	2014-02	PURCHASE	2016-02		18,428	23,943			-5,515	
DOLLAR TREE INC	2014-12	PURCHASE	2016-07		12,262	10,107			2,155	
EASTMAN CHEMICAL CO	2015-06	PURCHASE	2016-07		5,338	6,202			-864	
EATON VANCE GLOBA MACRO ABS RET	2015-09	PURCHASE	2016-12		1,814	1,844			-30	
FEDERATED HIGH YIELD BOND FD	2012-02	PURCHASE	2016-02		7,208	7,957			-749	
FEDEX CORP	2015-06	PURCHASE	2016-06		7,297	8,100			-803	
FIRST REPUBLIC BANK CA	2013-07	PURCHASE	2016-02		1,682	977			705	
HALLIBURTON CO	2012-06	PURCHASE	2016-02		4,668	4,695			-27	
HOME DEPOT INC	2015-06	PURCHASE	2016-09		10,679	9,451			1,228	
JOHNSON & JOHNSON	2015-06	PURCHASE	2016-08		11,908	9,907			2,001	
MATTHEWS PACIFIC TIGER FD	2012-04	PURCHASE	2016-02		18,449	20,147			-1,698	
PALO ALTO NETWORKS	2013-11	PURCHASE	2016-08		7,112	2,066			5,046	
HODGES SMALL CAP INSTL	2015-01	PURCHASE	2016-09		13,472	14,280			-808	
QUALCOMM INC	2014-02	PURCHASE	2016-06		5,332	7,295			-1,963	
REGENERON PHARMACEUTICALS	2013-07	PURCHASE	2016-07		7,952	4,577			3,375	
THERMO FISHER SCIENTIFIC INC	2014-07	PURCHASE	2016-07		1,559	1,192			367	
TORONTO DOMINION BANK	2014-02	PURCHASE	2016-02		7,442	8,788			-1,346	
TORTOISE ENERGY INFRASTRUCTURE	2012-05	PURCHASE	2016-02		6,891	12,443			-5,552	
VOYA GLOBAL REAL ESTATE	2013-07	PURCHASE	2016-04		16,332	15,961			371	
WYNDHAM WORLDWIDE CORP	2013-06	PURCHASE	2016-11		8,169	7,746			423	
ALLERGAN PLC	2015-01	PURCHASE	2016-12		7,577	11,108			-3,531	
ATMOS ENERGY CORP	2015-05	PURCHASE	2016-08		4,791	1			4,790	
CALAMOS CONV OPPORT & INC FD	2010-07	PURCHASE	2016-02		3,572	4,998			-1,426	
COHEN & STEERS QUAL INC REALTYT FD	2010-12	PURCHASE	2016-11		2,337	1,794			543	
CORNING INC	2011-05	PURCHASE	2016-08		4,142	1			4,141	
EATON VANCE FLOATING RATE HI INC	2010-11	PURCHASE	2016-02		9,445	10,092			-647	
UNITEDHEALTH GROUP INC	2000-02	PURCHASE	2016-08		14,125	704			13,421	

TY 2016 Investments Corporate Bonds Schedule

Name: RIKLI FAMILY FOUNDATION TRUST

% CENTRAL TRUST & INVESTMENT CO

EIN: 43-6830735

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	106,179	105,697

TY 2016 Investments Corporate Stock Schedule

Name: RIKLI FAMILY FOUNDATION TRUST

% CENTRAL TRUST & INVESTMENT CO

EIN: 43-6830735

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCK	678,223	766,238

TY 2016 Other Assets Schedule

Name: RIKLI FAMILY FOUNDATION TRUST
% CENTRAL TRUST & INVESTMENT CO

EIN: 43-6830735

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ESTIMATED EXCISE TAX PAYMENTS	430		
REAL ASSETS & ALTERNATIVES		36,103	32,954

TY 2016 Other Decreases Schedule

Name: RIKLI FAMILY FOUNDATION TRUST
% CENTRAL TRUST & INVESTMENT CO

EIN: 43-6830735

Description	Amount
EXCISE TAX PAID	451

TY 2016 Substantial Contributors Schedule

Name: RIKLI FAMILY FOUNDATION TRUST
% CENTRAL TRUST & INVESTMENT CO

EIN: 43-6830735

Name	Address
ARTHUR E RIKLI DECEASED	C/O ANNE RIKLI BISHOP 208 HILLCREST RD ELMIRA, NY 149037972

TY 2016 Taxes Schedule

Name: RIKLI FAMILY FOUNDATION TRUST
% CENTRAL TRUST & INVESTMENT CO

EIN: 43-6830735

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX & FOREIGN INC TAX	24	24		

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www irs gov/form990*

OMB No 1545-0047

2016

Name of the organization	Employer identification number
RIKLI FAMILY FOUNDATION TRUST % CENTRAL TRUST & INVESTMENT CO	43-6830735

Organization type (check one)

Filers of: **Section:**

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☑ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
RIKLI FAMILY FOUNDATION TRUST % CENTRAL TRUST & INVESTMENT CO	43-6830735

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ARTHUR E RIKLI CO ANN RIKLI BISHOP 208 HILLCREST RD ELMIRA, NY149037972	$ 76,788	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	**Employer identification number**
RIKLI FAMILY FOUNDATION TRUST % CENTRAL TRUST & INVESTMENT CO	43-6830735

Part II **Noncash Property**

(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization	**Employer identification number**
RIKLI FAMILY FOUNDATION TRUST % CENTRAL TRUST & INVESTMENT CO	43-6830735

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $ ____________**

Use duplicate copies of Part III if additional space is needed

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee