Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
SAWYER & JEAN MARGLOUS FOUNDATION

A Employer identification number
43-6771713

Number and street (or P O box number if mail is not delivered to street address)
8000 MARYLAND AVENUE SUITE 860
Room/suite

B Telephone number (see instructions)
(314) 721-1104

City or town, state or province, country, and ZIP or foreign postal code
ST LOUIS, MO 63105

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 1,602,905

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	39,094			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	35,705	35,705		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	34,313			
	b	Gross sales price for all assets on line 6a 326,183				
	7	Capital gain net income (from Part IV, line 2)		34,313		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	938	0		
	12	**Total.** Add lines 1 through 11	110,050	70,018		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	6,160	6,160		0
	c	Other professional fees (attach schedule)	7,102	7,102		0
	17	Interest	166	166		0
	18	Taxes (attach schedule) (see instructions)	1,357	1,357		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	15	15		0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	14,800	14,800		0
	25	Contributions, gifts, grants paid	77,664			77,664
	26	**Total expenses and disbursements.** Add lines 24 and 25	92,464	14,800		77,664
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	17,586			
	b	**Net investment income** (if negative, enter -0-)		55,218		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	**(b)** Book Value	**(c)** Fair Market Value
Assets				
1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	66,520	58,594	58,594
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	1,300,324	1,325,552	1,542,565
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)	1,462	1,746	1,746
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,368,306	1,385,892	1,602,905
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	0	0	
28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29	Retained earnings, accumulated income, endowment, or other funds	1,368,306	1,385,892	
30	**Total net assets or fund balances** (see instructions)	1,368,306	1,385,892	
31	**Total liabilities and net assets/fund balances** (see instructions)	1,368,306	1,385,892	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,368,306
2	Enter amount from Part I, line 27a	2	17,586
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	1,385,892
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,385,892

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	34,313
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	70,675	1,592,360	0 044384
2014	61,606	1,510,063	0 040797
2013	51,365	1,285,640	0 039953
2012	53,162	1,070,826	0 049646
2011	32,961	989,014	0 033327

2 Total of line 1, column (d)	2	0 208107
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 041621
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	1,560,761
5 Multiply line 4 by line 3	5	64,960
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	552
7 Add lines 5 and 6	7	65,512
8 Enter qualifying distributions from Part XII, line 4	8	77,664

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter __________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	552
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	552
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	552
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c — 1,000		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	1,000
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached	8	16
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	432
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 432 **Refunded** ▶	11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶MO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶NONE

14 The books are in care of ▶JEAN MARGLOUS Telephone no ▶(314) 721-1104

Located at ▶8000 MARYLAND AVENUE SUITE 860 ST LOUIS MO ZIP+4 ▶63105

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?.	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions) .		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
SAWYER MARGLOUS 8000 MARYLAND AVENUE SUITE 860 ST LOUIS, MO 63105	TRUSTEE 2 00	0	0	0
JEAN MARGLOUS 12800 SHEFFIELD ESTATE COURT ST LOUIS, MO 63141	TRUSTEE 1 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 SUPPORT OF VARIOUS 501(C)(3) ORGANIZATIONS	0
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,512,599
b	Average of monthly cash balances.	1b	71,930
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	1,584,529
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). **1e** 0		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,584,529
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	23,768
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,560,761
6	**Minimum investment return.** Enter 5% of line 5.	6	78,038

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.	1	78,038
2a	Tax on investment income for 2016 from Part VI, line 5. **2a** 552		
b	Income tax for 2016 (This does not include the tax from Part VI). **2b**		
c	Add lines 2a and 2b.	2c	552
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	77,486
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	77,486
6	Deduction from distributable amount (see instructions).	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	77,486

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	77,664
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	77,664
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	552
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	77,112

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				77,486
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			77,618	
b Total for prior years 20___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 77,664				
a Applied to 2015, but not more than line 2a			77,618	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				46
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016	0			0
(If an amount appears in column (d), the same amount must be shown in column (a))				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				77,440
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2012. . . .				
b Excess from 2013. . . .				
c Excess from 2014. . . .				
d Excess from 2015. . . .				
e Excess from 2016. . . .				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test—enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				77,664
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	35,705	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	34,313	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a See Additional Data Table					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		51		70,905	0

13 Total. Add line 12, columns (b), (d), and (e). **13** 70,956

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-05-17	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
NANCY J MITCHELL CPA				P00047422
Firm's name ▶ KIEFER BONFANTI & CO LLP				Firm's EIN ▶43-1061959
Firm's address ▶ 701 EMERSON ROAD STE 201 ST LOUIS, MO 63141				Phone no (314) 812-1100

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FIDELITY INTL DISCOVERY, FIGRX, 315910208 -1 437 SHS		2015-12-04	2016-02-01
FIDELITY INTL DISCOVERY, FIGRX, 315910208 -9 863 SHS			2016-02-01
FIDELITY INTL DISCOVERY, FIGRX, 315910208 -128 53 SHS			2016-02-01
AMPLIFY SNACK BRANDSCOM, BETR, 03211L102 -200 SHS		2016-04-11	2016-10-21
AMPLIFY SNACK BRANDSCOM, BETR, 03211L102 -200 SHS		2016-04-11	2016-10-21
ANI PHARMACEUTICALS INC COM, ANIP, 00182C103 -100 SHS		2015-11-10	2016-07-05
ANI PHARMACEUTICALS INC COM, ANIP, 00182C103 -100 SHS		2016-04-11	2016-09-19
ANI PHARMACEUTICALS INC COM, ANIP, 00182C103 -100 SHS		2016-04-19	2016-09-19
BRISTOL MYERS SQUIBB, BMY, 110122108 -100 SHS		2015-04-30	2016-04-07
CALL ANIP $35 EXP 04/15/2016, ANIP16041, 2502999NH -1 SHS		2016-01-26	2016-01-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
53		53	0
363		361	2
4,731		4,325	406
3,448		2,899	549
3,192		2,899	293
3,806		4,276	-470
6,437		4,020	2,417
6,412		4,644	1,768
6,627		6,413	214
542			542

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			2
			406
			549
			293
			-470
			2,417
			1,768
			214
			542

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CALL ANIP $35 EXP 10/21/2016, ANIP16102, 2722069WW -1 SHS		2016-09-19	2016-09-20
CALL ANIP $40 EXP 10/21/2016, ANIP16102, 2722069LL -1 SHS		2016-09-19	2016-09-20
CALL BMY $57 5 EXP 01/20/2017, BMY170120, 2203129KK -1 SHS		2016-04-07	2016-04-08
CALL BMY $62 5 EXP 01/20/2017, BMY170120, 2203129MM -1 SHS		2016-09-02	2016-09-06
CALL BMY $62 5 EXP 03/18/2016, BMY160318, 2464299PL -1 SHS		2016-02-04	2016-02-05
CALL CAFD $15 EXP 09/16/2016, CAFD16091, 2682449PL -2 SHS		2016-09-16	2016-09-16
CALL CCOI $40 EXP 10/21/2016, CCOI16102, 2723219JD -1 SHS		2016-09-09	2016-09-12
CALL CCP $30 EXP 02/19/2016, CCP160219, 2500779AW -1 SHS		2016-02-19	2016-02-19
CALL CCP $30 EXP 11/18/2016, CCP161118, 2756399PL -3 SHS		2016-11-18	2016-11-18
CALL CELG $100 EXP 01/20/2017, CELG17012, 2136219HF -5 SHS		2016-06-27	2016-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		2,067	-2,067
		1,497	-1,497
		337	-337
344			344
261			261
190			190
185			185
291			291
215			215
2,549			2,549

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,067
			-1,497
			-337
			344
			261
			190
			185
			291
			215
			2,549

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CALL CELG $100 EXP 07/15/2016, CELG16071, 2615829RR -5 SHS		2016-02-02	2016-02-03
CALL CELG $105 EXP 01/20/2017, CELG17012, 2136219US -2 SHS		2016-05-19	2016-05-20
CALL CELG $105 EXP 01/20/2017, CELG17012, 2136219US -4 SHS		2016-05-19	2016-05-20
CALL CELG $105 EXP 07/15/2016, CELG16071, 2615829PP -6 SHS		2016-02-02	2016-02-03
CALL CELG $110 EXP 04/15/2016, CELG16041, 2504289SM -6 SHS		2016-01-11	2016-01-12
CALL CELG $110 EXP 07/15/2016 CELG16071, 2615829MM -5 SHS		2016-01-11	2016-01-12
CALL DLTR $65 EXP 05/20/2016, DLTR16052, 2542379DX -1000 SHS		2016-04-07	2016-04-08
CALL DOW $50 EXP 06/17/2016, DOW160617, 2577769WW -1 SHS		2016-01-26	2016-01-27
CALL HALO $12 EXP 06/17/2016, HALO16061,2579769LJ -10 SHS		2016-01-26	2016-01-27
CALL HALO $16 EXP 06/17/2016, HALO16061, 2579769GE -5 SHS		2016-01-11	2016-01-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,326			1,326
480			480
956			956
1,349			1,349
5,072			5,072
3,141			3,141
		821	-821
83			83
1,188			1,188
1,021			1,021

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,326
			480
			956
			1,349
			5,072
			3,141
			-821
			83
			1,188
			1,021

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CALL HALO $18 EXP 03/18/2016, HALO16031, 2468239AU -5 SHS		2016-01-11	2016-01-12
CALL LOCK $16 EXP 08/19/2016, LOCK16081, 2654189LH -2 SHS		2016-01-26	2016-01-27
CALL NK $17 5 EXP 04/15/2016, NK160415C, 2510669QQ -1 SHS		2016-02-04	2016-02-05
CALL NK $20 EXP 04/15/2016, NK160415C, 2510669JJ -1 SHS		2016-02-04	2016-02-05
CALL NK$22 5 EXP 07/15/2016, NK160715C, 2621009KG -1 SHS		2016-04-07	2016-04-03
CALL NK$22 5 EXP 07/15/2016, NK160715C, 2621009KG -2 SHS		2016-04-07	2016-04-08
CALL OMED $22 5 EXP 03/18/2016, OMED16031, 2471579DZ -5 SHS		2016-01-11	2016-01-12
CALL OMED $22 5 EXP 06/17/2016, OMED16061, 2583159FF -5 SHS		2016-05-24	2016-05-25
CALL OMED $25 EXP 03/18/2016, OMED16031, 2471579VT -2 SHS		2016-01-21	2016-01-22
CALL OMED $25 EXP 09/16/2016, OMED16091, 2689229MG -2 SHS		2016-07-18	2016-07-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
620			620
69			69
564			564
169			169
328			328
192			192
276			276
783			783
234			234
212			212

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			620
			69
			564
			169
			328
			192
			276
			783
			234
			212

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CALL PFE $32 EXP 06/17/2016, PFE160617, 2511459JJ -2 SHS		2016-01-26	2016-01-27
CALL SGEN $40 EXP 06/17/2016, SGEN16061, 2584869SM -2 SHS		2016-05-04	2016-05-05
CALL SGEN $41 EXP 01/15/2016, SGEN16011, 2376129JH -2 SHS		2016-01-11	2016-01-12
CALL SGEN $43 EXP 06/17/2016, SGEN16061, 2584869GE -2 SHS		2016-01-26	2016-01-27
CALL SGMO $10 EXP 05/20/2016, SGMO16052, 2549509UU -5 SHS		2016-01-21	2016-01-22
CALL SGMO $10 EXP 08/19/2016, SGMOI6081 2657329LJ -5 SHS		2016-04-26	2016-04-27
CALL SGMO $12 EXP 05/20/2016, SGMOI 6052, 2549509QQ -5 SHS		2016-01-19	2016-01-20
CALL SGMO $5 EXP 017/2017, SGMOI7021, 28681291E -5 SHS		2016-11-23	2016-11-25
CALL SGMO $6 EXP 02/17/2017, SGMO17021, 2868129HD -5 SHS		2016-11-01	2016-11-02
CALL SGMO $6 EXP 08/19/2016, SGMO16081, 2657319SM -5 SHS		2016-06-16	2016-06-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
226			226
420			420
1,184			1,184
607			607
320			320
105			105
455			455
190			190
188			188
555			555

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			226
			420
			1,184
			607
			320
			105
			455
			190
			188
			555

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CALL SGMO $7 EXP 08/19/2016, SGMO16081, 2657329BZ -5 SHS		2016-04-27	2016-04-28
CALL SGMO $7 EXP 11/18/2016, SGMOI6111, 2764229CC -4 SHS		2016-05-19	2016-05-20
CALL SGMO $8 EXP 08/19/2016, SGMOI 6081, 2657329HF -5 SHS		2016-05-19	2016-05-20
CALL SGMO $9 EXP 05/20/2016, SGMOI6052, 2549509EE -5 SHS		2016-01-21	2016-01-22
CALL SGMO $9 EXP 08/19/2016, SGMO16081, 2657329DZ -5 SHS		2016-04-11	2016-04-12
CALL SGMO $9 EXP 08/19/2016, SGMO16081, 2657329DZ -5 SHS		2016-04-11	2016-04-12
CALL SPWR $10 EXP 01/20/2017, SPWR17012, 2559779AU -3 SHS		2016-10-31	2016-11-01
CALL SPWR $18 EXP 01/20/2017, SPWR17012, 2204059SQ -3 SHS		2016-05-19	2016-05-20
CALL SPWR $20 EXP 01/20/2017, SPWR17012, 2204059NJ -3 SHS		2016-08-16	2016-08-17
CALL TLGT $10 EXP 07/15/2016, TLGT16071, 2623759FD -4 SHS		2016-04-13	2016-04-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
246			246
166			166
290			290
400			400
199			199
34			34
501			501
834			834
414			414
465			465

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			246
			166
			290
			400
			199
			34
			501
			834
			414
			465

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CALL TLGT $7 5 EXP 04/15/2016, TLGT16041, 2594169NL -4 SHS		2016-04-07	2016-04-08
CALL TLGT $7 5 EXP 10/21/2016, TLGT16102, 2732289VR -8 SHS		2016-10-21	2016-10-21
CALL VTR $55 EXP 05/20/2016, VTR160520, 2551929FD -2 SHS		2016-02-25	2016-02-26
CALL VTR $65 EXP 12/16/2016, VTR161216, 2701749FZ -2 SHS		2016-12-16	2016-12-16
CALL WWAV $37 5 EXP 04/15/2016, WWAV16041,2515589VR -2 SHS		2016-01-21	2016-01-22
CALL WWAV $40 EXP 01 /20/2017, WWAV17012, 2137039KE -1 SHS		2016-09-02	2016-09-06
CALL WWAV $40 EXP 01 /20/2017, WWAV17012, 2137039KE -1 SHS		2016-09-02	2016-09-06
CALL XON $25 EXP 04/15/2016, XON160415, 2515889CW -2 SHS		2016-04-07	2016-04-08
CALL XON $25 EXP 07/15/2016, XON160715, 2625259CY -1 SHS		2016-04-07	2016-04-08
CALL XON $30 EXP 04/15/2016, XON160415, 2515889RL -1 SHS		2016-04-07	2016-04-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
429			429
506			506
147			147
502			502
725			725
		1,289	-1,289
		1,251	-1,251
		1,149	-1,149
		449	-449
317			317

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			429
			506
			147
			502
			725
			-1,289
			-1,251
			-1,149
			-449
			317

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CALL XON $30 EXP 10/21/2016, XON161021, 2734109QK -3 SHS		2016-07-01	2016-07-05
CALL XON $35 EXP 01/15/2016, XON116011, 242295EBT -1 SHS		2016-01-15	2016-01-15
CALL XON $35 EXP 01 /20/2017, XON170120, 2423839LL -1 SHS		2016-10-27	2016-10-28
CALL XON $35 EXP 07/15/2016, XON160715, 2625259GC -1 SHS		2016-05-04	2016-05-05
CALL XON $40 EXP 01 /15/2016, XON116011, 2422969BZ -1 SHS		2016-01-15	2016-01-15
CALL XON $45 EXP 04/15/2016, XON160415, 2515889EY -1 SHS		2016-01-19	2016-01-20
CALL ZIOP $10 EXP 07/15/2016, ZIOP16071, 2625439UU -5 SHS		2016-01-19	2016-01-20
CALL ZIOP $11 EXP 07/15/2016, ZIOP16071, 2625439AA -5 SHS		2016-01-13	2016-01-14
CALL ZIOP $6 EXP 07/15/2016, ZIOP16071, 2625439JH -4 SHS		2016-07-01	2016-07-05
CALL ZIOP $6 EXP 07/15/2016, ZIOP16071, 2625439JH -6 SHS		2016-07-01	2016-07-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,806			1,806
1,285			1,285
268			268
208			208
1,032			1,032
889			889
895			895
720			720
443			443
513			513

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,806
			1,285
			268
			208
			1,032
			889
			895
			720
			443
			513

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CALL ZIOP $6 EXP 07/15/2016, ZIOP16071, 2625439JH -5 SHS		2016-07-01	2016-07-05
CALL ZIOP $6 EXP 07/15/2016, ZIOP16071, 2625439JH -5 SHS		2016-07-01	2016-07-05
CALL ZIOP $7 EXP 07/15/2016, ZIOP16071, 26254390M -4 SHS		2016-05-19	2016-05-20
CALL ZIOP $7 EXP 07/15/2016, ZIOP16071, 26254390M -5 SHS		2016-05-19	2016-05-20
CALLZIOP $7 EXP 10/21/2016, ZIOP16102, 2734309FZ -5 SHS		2016-07-18	2016-07-19
CALLZIOP $7 EXP 10/21/2016, ZIOP16102, 2734309FZ -4 SHS		2016-07-18	2016-07-19
CALL ZIOP $8 EXP 07/15/2016, ZIOP16071, 2625439QO -4 SHS		2016-01-20	2016-01-21
CALL ZIOP $8 EXP 07/15/2016, ZIOP16071, 2625439QO -5 SHS		2016-01-26	2016-01-27
CALL ZIOP $8 EXP 07/15/2016, ZIOP16071, 2625439QO -6 SHS		2016-02-04	2016-02-05
CALL ZIOP $8 EXP 07/15/2016, ZIOP16071, 2625439QO -2 SHS		2016-02-04	2016-02-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
441			441
461			461
62			62
560			560
1,443			1,443
741			741
947			947
1,121			1,121
1,351			1,351
449			449

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			441
			461
			62
			560
			1,443
			741
			947
			1,121
			1,351
			449

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CALL ZIOP $8 EXP 07/15/2016, ZIOP16071, 2625439QO -2 SHS		2016-02-04	2016-02-05
CALL ZIOP $9 EXP 01/20/2017, ZIOP17012, 2806899US -9 SHS		2016-07-20	2016-07-21
CALL ZIOP $9 EXP 07/15/2016, ZIOP16071, 2625439NN -5 SHS		2016-01-26	2016-01-27
CARE CAP PPTYS INC COM, CCP, 141624106 -50 SHS		2015-09-15	2016-08-19
COGENT COMMUNICATIONHLDGS INC COM NEW, CCOI, 19239V302 -200 SHS		2016-01-21	2016-05-17
COGENT COMMUNICATIONHLDGS INC COM NEW, CCOI, 19239V302 -200 SHS		2016-02-04	2016-07-15
COGENT COMMUNICATIONHLDGS 1NC COM NEW, CCOI, 19239V302 -100 SHS		2016-02-25	2016-10-21
DOLLAR TREE INC COM ISIN #US2567461080 S, DLTR, 256746108 -100 SHS		2015-11-19	2016-04-07
DOW CHEMICAL CO, DOW, 260543103 -100 SHS		2015-12-11	2016-01-26
DU PONT E 1 DE NEMOURS & CO, DD, 263534109 -100 SHS		2016-02-04	2016-11-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
453			453
922			922
906			906
1,330		1,534	-204
6,502		6,072	430
7,380		6,694	686
3,718		3,421	297
7,987		6,737	1,250
4,193		5,337	-1,144
6,311		5,937	374

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			453
			922
			906
			-204
			430
			686
			297
			1,250
			-1,144
			374

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
HALOZYME THERAPEUTICS INC COMISIN #US406, HALO, 40637H109 -500 SHS		2015-06-01	2016-01-26
HALOZYME THERAPEUTICS INC COMISIN #US406, HALO, 40637H109 -500 SHS		2015-07-28	2016-01-26
NANTKWEST INC COM, NK, 63016Q102 -100 SHS		2015-08-03	2016-02-04
NANTKWEST INC COM, NK, 63016Q102 -100 SHS		2015-08-03	2016-02-04
PFIZER INC, PFE, 717081103 -100 SHS		2015-12-11	2016-07-28
PFIZER INC, PFE, 717081103 -100 SHS		2015-12-11	2016-08-02
PFIZERINC, PFE, 717081103 -100 SHS		2016-01-21	2016-08-02
SEATTLE GENETICS INC SGEN, 812578102 -200 SHS		2016-04-26	2016-12-16
WHITEWAVE FOODS CO COM, WWAV,966244105 -100 SHS		2015-11-19	2016-07-15
WHITEWAVE FOODS CO COM, WWAV,966244105 -100 SHS		2015-11-19	2016-09-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
4,688		9,088	-4,400
4,688		11,579	-6,891
854		3,268	-2,414
854		2,958	-2,104
3,264		3,208	56
3,198		3,208	-10
3,198		3,087	111
8,782		7,842	940
3,873		4,058	-185
5,560		4,058	1,502

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-4,400
			-6,891
			-2,414
			-2,104
			56
			-10
			111
			940
			-185
			1,502

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
WHITEWAVE FOODS CO COM, WWAV,966244105 -100 SHS		2016-01-21	2016-09-02
8POINT3 ENERGY PARTNERS LP CL A RPTLTDPT, CAFD, 282539105 -200 SHS		2016-03-29	2016-09-16
CARE CAP PPTYS INC COM, CCP, 141624106 -25 SHS		2015-04-17	2016-08-19
CARE CAP PPTYS INC COM, CCP, 141624106 -25 SHS		2015-04-27	2016-08-19
LIFELOCK INC COM ISIN #US53224V1008 SEDO, LOCK, 53224V100 -200 SHS		2014-02-20	2016-01-26
SEATTLE GENETICS INC, SGEN, 812578102 -200 SHS		2015-05-08	2016-09-16
SEATTLE GENETICS INC, SGEN, 812578102 -10 SHS		2015-06-15	2016-12-16
SEATTLE GENETICS INC, SGEN, 812578102 -117 SHS		2015-06-15	2016-12-16
SEATTLE GENETICS INC, SGEN, 812578102 -73 SHS		2015-06-15	2016-12-16
ZIOPHARM ONCOLOGY INC COM ISIN #US98973P, ZIOP, 98973P101 -452 SHS		2015-01-26	2016-04-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,560		3,514	2,046
2,932		2,791	141
665		943	-278
665		951	-286
2,322		4,342	-2,020
8,576		8,004	572
451		466	-15
5,277		5,457	-180
3,292		3,403	-111
2,811		2,811	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,046
			141
			-278
			-286
			-2,020
			572
			-15
			-180
			-111
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ZIOPHARM ONCOLOGY INC COM ISIN #US98973P, ZIOP, 98973P101 -100 SHS		2015-02-03	2016-04-15
ZIOPHARM ONCOLOGY INC COM ISIN #US98973P, ZIOP, 98973P101 -100 SHS		2015-02-03	2016-04-15
ZIOPHARM ONCOLOGY INC COM ISIN #US98973P, ZIOP, 98973P101 -300 SHS		2015-02-03	2016-04-15
ZIOPHARM ONCOLOGY INC COM ISIN #US98973P, ZIOP, 98973P101 -300 SHS		2015-02-24	2016-04-15
ZIOPHARM ONCOLOGY INC COM ISIN #US98973P, ZIOP, 98973P101 -48 SHS		2015-02-24	2016-04-15
NIKE INC CLASS B - 1 SHS		2016-01-20	2016-03-07
NORDSTROM INC - 30 SHS		2016-04-20	2016-11-14
NORTHSTAR REALTY - 23 SHS		2015-11-02	2016-04-20
VERSUM MATERIALS INC - 0 21795 SHS		2016-04-20	2016-10-03
VERSUM MATERIALS INC - 8 28205 SHS		2016-04-20	2016-10-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
622		622	0
622		622	0
1,866		2,032	-166
1,866		3,020	-1,154
299		482	-183
59		58	1
1,829		1,608	221
274		262	12
5		6	-1
188		211	-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			-166
			-1,154
			-183
			1
			221
			12
			-1
			-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CHUBB LTD - 0 4551 SHS		2016-01-15	2016-01-15
AT & T INC - 2 SHS		2011-06-03	2016-03-07
ALLEGIANT TRAVEL CO - 14 SHS		2015-02-12	2016-04-20
ALLY FINANCIAL INC - 109 SHS		2014-11-19	2016-04-20
ALLY FINANCIAL INC - 10 SHS		2015-02-12	2016-04-20
ALPHABET INC VOTING CAP STK CL A - 1 SHS		2013-01-08	2016-04-20
ALPHABET INC VOTING CAP STK CL A - 1 SHS		2013-07-17	2016-04-20
ALPHABET INC VOTING CAP STK CL A - 3 SHS		2015-02-12	2016-04-20
AMERICAN AIRLINES GROUP - 9 SHS		2014-02-27	2016-04-20
AMERICAN AIRLINES GROUP - 30 SHS		2014-03-19	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
50		51	-1
76		61	15
2,469		2,547	-78
1,993		2,544	-551
183		215	-32
774		368	406
774		461	313
2,323		1,636	687
373		329	44
1,242		1,113	129

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			15
			-78
			-551
			-32
			406
			313
			687
			44
			129

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AMERICAN AIRLINES GROUP - 15 SHS		2014-10-13	2016-04-20
AMTRUST FINANCIAL - 32 SHS		2014-01-29	2016-04-20
SERVICES INC - 58 SHS		2014-03-19	2016-04-20
APOLLO COMMERCIAL REAL - 33 SHS		2014-09-16	2016-04-20
ESTATE FINANCE INC - 121 SHS		2015-02-12	2016-04-20
APPLEINC - 6 SHS		2013-09-27	2016-04-20
APPLEINC - 14 SHS		2013-10-23	2016-04-20
ARES CAPITAL CORP - 153 SHS		2015-02-12	2016-04-20
AVIS BUDGET GROUP INC - 13 SHS		2014-06-11	2016-04-20
AVIS BUDGET GROUP INC - 29 SHS		2014-10-17	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
621		463	158
802		514	288
1,453		1,106	347
529		544	-15
1,940		2,028	-88
647		413	234
1,509		1,048	461
2,308		2,572	-264
296		762	-466
660		1,452	-792

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			158
			288
			347
			-15
			-88
			234
			461
			-264
			-466
			-792

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BGC PARTNERS INC CL A - 281 SHS		2014-10-29	2016-04-20
BANC CALIF INC - 117 SHS		2014-09-15	2016-04-20
BANC CALIF INC - 117 SHS		2015-02-12	2016-04-20
BIOGEN INC - 7 SHS		2014-10-29	2016-04-20
BOEING CO - 17 SHS		2014-10-22	2016-04-20
BORG WARNER INC - 21 SHS		2014-01-23	2016-04-20
BORG WARNER INC - 18 SHS		2014-03-19	2016-04-20
BORG WARNER INC - 4 SHS		2015-02-12	2016-04-20
CBS CORP CL B - 45 SHS		2014-11-14	2016-04-20
CABOT OIL & GAS - 33 SHS		2014-02-20	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,608		2,166	442
2,270		1,396	874
2,270		1,307	963
1,847		2,210	-363
2,223		2,066	157
812		1,159	-347
696		1,109	-413
155		244	-89
2,471		2,388	83
782		1,291	-509

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			442
			874
			963
			-363
			157
			-347
			-413
			-89
			83
			-509

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CABOT OIL & GAS - 38 SHS		2014-03-19	2016-04-20
CABOT OIL & GAS - 14 SHS		2014-10-13	2016-04-20
CELGENE CORP - 4 SHS		2014-01-29	2016-04-20
CELGENE CORP - 18 SHS		2014-03-19	2016-04-20
CHEVRON CORPORATION - 1 SHS		2011-06-03	2016-03-07
COLGATE-PALMOLIVE CO - 5 SHS		2011-06-03	2016-03-07
CONOCOPHILLIPS - 28 SHS		2011-06-03	2016-01-26
CONOCOPHILLIPS - 17 SHS		2013-05-06	2016-01-26
DELTA AIR LINES INC NEW - 54 SHS		2015-02-03	2016-04-20
DELTA AIR LINES INC NEW - 4 SHS		2015-02-12	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
900		1,278	-378
332		410	-78
426		318	108
1,918		1,366	552
90		101	-11
338		211	127
969		1,558	-589
588		1,057	-469
2,533		2,483	50
188		178	10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-378
			-78
			108
			552
			-11
			127
			-589
			-469
			50
			10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
EATON VANCE CORP NON VTG - 2 SHS		2011-06-03	2016-03-07
EMERSON ELECTRIC CO - 1 SHS		2011-06-03	2016-03-07
EVERSOURCE ENERGY - 1 SHS		2011-06-03	2016-03-07
EXPRESS SCRIPTS HLDG CO - 10 SHS		2011-06-13	2016-04-20
EXPRESS SCRIPTS HLDG CO - 6 SHS		2013-01-08	2016-04-20
EXPRESS SCRIPTS HLDG CO - 4 SHS		2013-04-01	2016-04-20
EXPRESS SCRIPTS HLDG CO - 2 SHS		2013-07-17	2016-04-20
EXPRESS SCRIPTS HLDG CO - 8 SHS		2014-03-19	2016-04-20
EXXON MOBIL CORP - 2 SHS		2011-06-03	2016-03-07
FACEBOOK INC - 16 SHS		2014-03-27	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
63		60	3
52		51	1
56		34	22
731		566	165
439		327	112
293		230	63
146		132	14
585		622	-37
168		161	7
1,799		957	842

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			1
			22
			165
			112
			63
			14
			-37
			7
			842

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FACEBOOK INC - 18 SHS		2014-03-27	2016-04-20
FLY LEASING LTD SPON ADR - 185 SHS		2015-02-12	2016-04-20
GENERAL MILLS INC - 3 SHS		2011-06-03	2016-03-07
GILEAD SCIENCES INC - 1 SHS		2013-10-31	2016-04-20
GILEAD SCIENCES INC - 25 SHS		2014-03-19	2016-04-20
HARRIS CORP DEL - 1 SHS		2011-06-03	2016-03-07
HUANENGPWRINTLINC - 53 SHS		2015-02-12	2016-04-20
JOHNSONS JOHNSON - 1 SHS		2011-06-03	2016-03-07
KELLOGG COMPANY - 2 SHS		2012-03-02	2016-03-07
KINDRED HEALTHCARE INC - 128 SHS		2015-02-12	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,024		1,070	954
2,191		2,569	-378
179		114	65
100		72	28
2,502		1,932	570
79		47	32
1,748		2,580	-832
107		66	41
150		104	46
1,730		2,553	-823

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			954
			-378
			65
			28
			570
			32
			-832
			41
			46
			-823

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
KNOWLES CORP - 111 SHS		2015-02-12	2016-04-20
LOWES COMPANIES INC - 2 SHS		2013-02-07	2016-03-07
MCDONALDS CORP - 3 SHS		2011-06-03	2016-03-07
MERITOR INC - 178 SHS		2014-12-17	2016-04-20
MERITOR INC - 5 SHS		2015-02-12	2016-04-20
MICROSOFT CORP - 4 SHS		2012-02-08	2016-03-07
NATIONAL GENERAL HOLDINGS CORP - 78 SHS		2014-08-27	2016-04-20
NATIONAL GENERAL HOLDINGS CORP - 61 SHS		2014-08-28	2016-04-20
NEXTERA ENERGY INC - 3 SHS		2011-06-03	2016-03-07
NORDSTROM INC - 3 SHS		2011-06-03	2016-03-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,485		2,515	-1,030
141		77	64
351		242	109
1,520		2,456	-936
43		70	-27
204		122	82
1,749		1,454	295
1,368		1,139	229
343		167	176
164		133	31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,030
			64
			109
			-936
			-27
			82
			295
			229
			176
			31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
NORDSTROM INC - 42 SHS		2011-06-03	2016-11-14
NORTHSTAR REALTY FIN - 69 SHS		2015-02-12	2016-04-20
PRA GRP INC - 22 SHS		2014-01-29	2016-04-20
PRA GRP INC - 19 SHS		2014-03-19	2016-04-20
PRA GRP INC - 7 SHS		2015-02-12	2016-04-20
PAYCHEX INC - 2 SHS		2011-06-03	2016-03-07
POLARIS INDS INC - 1 SHS		2011-06-03	2016-03-07
PRAXAIR INC - 1 SHS		2011-06-03	2016-03-07
PRICELINE GROUPING - 1 SHS		2014-02-03	2016-04-20
COM NEW - 1 SHS		2014-03-19	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,560		1,860	700
920		2,094	-1,174
707		1,093	-386
610		1,105	-495
225		372	-147
105		61	44
94		52	42
108		101	7
1,357		1,125	232
1,357		1,289	68

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			700
			-1,174
			-386
			-495
			-147
			44
			42
			7
			232
			68

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PROCTER & GAMBLE CO - 1 SHS		2011-06-03	2016-03-07
SCANA CORP COM - 1 SHS		2011-06-03	2016-03-07
A O SMITH - 41 SHS		2014-05-29	2016-04-20
SOUTHERN COMPANY/THE - 5 SHS		2011-07-26	2016-03-07
SOUTHWEST AIRLINES CO - 15 SHS		2014-01-23	2016-04-20
SOUTHWEST AIRLINES CO - 45 SHS		2014-03-19	2016-04-20
SUMMIT HOTEL PROPERTIES - 63 SHS		2014-11-19	2016-04-20
SUMMIT HOTEL PROPERTIES - 136 SHS		2015-02-12	2016-04-20
SWIFT TRANSPORTATION CO - 98 SHS		2015-02-12	2016-04-20
SYNAPTICS INC - 33 SHS		2015-02-12	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
83		66	17
66		40	26
3,228		2,031	1,197
243		202	41
707		315	392
2,121		1,074	1,047
712		718	-6
1,538		1,750	-212
1,763		2,591	-828
2,861		2,561	300

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			17
			26
			1,197
			41
			392
			1,047
			-6
			-212
			-828
			300

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
TARGETCORP - 6 SHS		2011-06-03	2016-03-07
UNION PACIFIC CORP - 13 SHS		2013-07-17	2016-04-20
UNION PACIFIC CORP - 8 SHS		2014-03-19	2016-04-20
UNITED RENTALS INC - 13 SHS		2014-01-29	2016-04-20
UNITED RENTALS INC - 13 SHS		2014-03-19	2016-04-20
UNITED RENTALS INC - 2 SHS		2015-02-12	2016-04-20
UNITED THERAPEUTICS CORP - 18 SHS		2014-08-27	2016-04-20
V F CORPORATION - 1 SHS		2011-07-11	2016-03-07
VALEANT PHARMACEUTICALS INTL INC CDA - 9 SHS		2013-07-17	2016-04-20
VALEANT PHARMACEUTICALS INTL INC CDA - 1 SHS		2014-03-19	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
484		285	199
1,085		1,038	47
668		752	-84
790		1,043	-253
790		1,208	-418
121		183	-62
2,079		1,668	411
66		28	38
300		823	-523
33		141	-108

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			199
			47
			-84
			-253
			-418
			-62
			411
			38
			-523
			-108

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
VALEANT PHARMACEUTICALS INTL INC CDA - 12 SHS		2014-10-23	2016-04-20
VERSUM MATERIALS INC - 0 28205 SHS		2011-06-03	2016-10-03
VERSUM MATERIALS INC - 10 71795 SHS		2011-06-03	2016-10-13
WABASH NATL CORP - 185 SHS		2015-02-12	2016-04-20
ALLERGAN PLC - 9 SHS		2013-10-18	2016-04-20
ARRIS INTERNATIONAL PLC - 43 SHS		2014-01-23	2016-04-20
ARRIS INTERNATIONAL PLC - 39 SHS		2014-03-19	2016-04-20
ARRIS INTERNATIONAL PLC - 17 SHS		2015-02-12	2016-04-20
JAZZ PHARMACEUTICALS PLC - 16 SHS		2013-07-17	2016-04-20
MAIDEN HOLDINGS LTD - 150 SHS		2014-03-27	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
400		1,548	-1,148
6		4	2
243		166	77
2,391		2,524	-133
2,043		1,316	727
949		1,143	-194
861		1,134	-273
375		442	-67
2,360		1,144	1,216
1,913		1,912	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,148
			2
			77
			-133
			727
			-194
			-273
			-67
			1,216
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MAIDEN HOLDINGS LTD - 28 SHS		2014-05-28	2016-04-20
MAIDEN HOLDINGS LTD - 5 SHS		2014-10-29	2016-04-20
MEDTRONIC PLC - 5 SHS		2015-01-27	2016-03-07
ROYAL CARIBBEAN CRUISES LTD - 14 SHS		2014-02-20	2016-04-20
ROYAL CARIBBEAN CRUISES LTD - 20 SHS		2014-03-19	2016-04-20
BLACKSTONE GROUP LP/THE - 26 SHS		2014-01-23	2016-04-20
BLACKSTONE GROUP LP/THE - 35 SHS		2014-03-19	2016-04-20
BLACKSTONE GROUP LP/THE - 9 SHS		2014-09-04	2016-04-20
EOT MIDSTREAM PARTNER LP - 14 SHS		2014-02-03	2016-04-20
EOT MIDSTREAM PARTNER LP - 16 SHS		2014-03-19	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
357		346	11
64		61	3
379		380	-1
1,109		718	391
1,585		1,065	520
759		821	-62
1,022		1,151	-129
263		263	0
1,093		738	355
1,249		915	334

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11
			3
			-1
			391
			520
			-62
			-129
			0
			355
			334

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MEMORIAL PRODUCTION PARTNERS LP - 51 SHS		2014-12-01	2016-04-20
MEMORIAL PRODUCTION PARTNERS LP - 279 SHS		2014-12-16	2016-04-20
OCH-ZIFF CAPITAL MANAGEMENT GROUP - 29 SHS		2013-04-01	2016-04-20
OCH-ZIFF CAPITAL MANAGEMENT GROUP - 106 SHS		2013-07-17	2016-04-20
OCH-ZIFF CAPITAL MANAGEMENT GROUP - 40 SHS		2014-03-19	2016-04-20
OCH-ZIFF CAPITAL MANAGEMENT GROUP - 56 SHS		2014-11-06	2016-04-20
OCH-ZIFF CAPITAL MANAGEMENT GROUP - 153 SHS		2015-02-09	2016-04-20
OCH-ZIFF CAPITAL MANAGEMENT GROUP - 5 SHS		2015-02-12	2016-04-20
PJT PARTNERS INC - 0 3714 SHS		2014-01-23	2016-04-20
PJT PARTNERS INC - 0 5 SHS		2014-03-19	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
111		451	-340
605		2,343	-1,738
113		225	-112
413		1,024	-611
156		512	-356
218		599	-381
596		1,845	-1,249
19		56	-37
9		1	8
12		2	10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-340
			-1,738
			-112
			-611
			-356
			-381
			-1,249
			-37
			8
			10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PJT PARTNERS INC - 0 1286 SHS		2014-09-04	2016-04-20
SPDR GOLD TRUST ETF - 25 SHS		2014-06-02	2016-04-20
SPDR GOLD TRUST ETF - 10 SHS		2014-08-11	2016-04-20
BLACKSTONE GROUP LP			
BLACKSTONE GROUP LP			
MEMORIAL PRODUCTION PARTNERS LP			
OAKTREE CAPITAL GROUP LLC			
OAKTREE CAPITAL GROUP LLC			
UNDISTRIBUTED LTCG - FM 2439			
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3			3
2,994		2,982	12
1,197		1,258	-61
			8
			3
			-90
			53
			-56
			556
1,976			1,976

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			12
			-61
			8
			3
			-90
			53
			-56
			556
			1,976

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000).

SAWYER MARGLOUS
JEAN MARGLOUS

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AARP PO BOX 93207 LONG BEACH, CA 93207		501(C)(3)	ANNUAL GIFT	100
ALZHEIMER'S ASSOCIATION 9370 OLIVE BOULEVARD ST LOUIS, MO 63132		501(C)(3)	ANNUAL GIFT	100
CENTER OF CREATIVE ARTS 524 TRINITY AVENUE ST LOUIS, MO 63130		501(C)(3)	ANNUAL GIFT	1,320
CONGREGATION SHAARE EMETH 11645 LADUE ROAD ST LOUIS, MO 63141		501(C)(3)	ANNUAL GIFT	2,500
DEPAUW UNIVERSITY 313 SOUTH LOCUST STREET GREENCASTLE, IN 46135		501(C)(3)	ANNUAL GIFT	2,000
DISABLED AMERICAN VETERANS 9700 PAGE AVENUE ST LOUIS, MO 63132		501(C)(3)	ANNUAL GIFT	20
EDDIE COX SENIOR CITIZENS CENTER 622 S DEPOT STREET UNION CITY, TN 38261		501(C)(3)	ANNUAL GIFT	500
FLANCE EARLY LEARNING CENTER 1908 OFALLON STREET ST LOUIS, MO 63106		501(C)(3)	ANNUAL GIFT	26,846
FOREST PARK FOREVER 5595 GRAND DRIVE ST LOUIS, MO 63112		501(C)(3)	ANNUAL GIFT	1,000
HEIFER INTERNATIONAL 1 WORLD AVENUE LITTLE ROCK, AR 72202		501(C)(3)	ANNUAL GIFT	500
HELPING HAND-ME-DOWNS 8673 OLIVE BOULEVARD UNIVERSITY CITY, MO 63132		501(C)(3)	ANNUAL GIFT	100
HOSPICE OF EAST TEXAS FOUNDATION 4111 UNIVERSITY BOULEVARD TYLER, TX 75701		501(C)(3)	ANNUAL GIFT	1,000
INTERNATIONAL PEACE INITIATIVE PO BOX 17415 BOULDER, CO 80308		501(C)(3)	ANNUAL GIFT	5,000
LAUMEIER SCULPTURE PARK 12580 ROTT ROAD ST LOUIS, MO 63127		501(C)(3)	ANNUAL GIFT	125
MAGDALENE ST LOUIS PO BOX 1143 ST LOUIS, MO 63188		501(C)(3)	ANNUAL GIFT	2,000
Total . . . . ▶ **3a**				77,664

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MAGIC HOUSE 516 SOUTH KIRKWOOD ROAD ST LOUIS, MO 63122		501(C)(3)	ANNUAL GIFT	315
MISSOURI BOTANICAL GARDEN 4344 SHAW ST LOUIS, MO 63110		501(C)(3)	ANNUAL GIFT	2,000
MISSOURI HISTORY MUSEUM 5700 LINDELL BOULEVARD ST LOUIS, MO 63112		501(C)(3)	ANNUAL GIFT	1,000
NINE NETWORK OF PUBLIC MEDIA 3655 OLIVE STREET ST LOUIS, MO 63108		501(C)(3)	ANNUAL GIFT	1,000
OKLAHOMA CITY UNIVERSITY SCHOOL OF LAW 800 NORTH HARVEY OKLAHOMA CITY, OK 73102		501(C)(3)	ANNUAL GIFT	5,000
RADIO ARTS FOUNDATION 7711 CARONDELET AVENUE SUITE 302 CLAYTON, MO 63105		501(C)(3)	ANNUAL GIFT	1,000
SMILE TRAIN 41 MADISON AVENUE 28TH FLOOR NEW YORK, NY 10010		501(C)(3)	ANNUAL GIFT	1,000
ST JUDE CHILDRENS RESEARCH HOSPITAL 501 ST JUDE PLACE MEMPHIS, TN 38105		501(C)(3)	ANNUAL GIFT	5,000
ST LOUIS ART MUSEUM FOUNDATION ONE FINE ARTS DRIVE FOREST PARK, MO 63110		501(C)(3)	ANNUAL GIFT	2,800
ST LOUIS CHILDREN'S HOSPITAL FOUNDATION ONE CHILDRENS PLACE ST LOUIS, MO 63110		501(C)(3)	ANNUAL GIFT	2,470
ST LOUIS LIBRARY FOUNDATION 1415 OLIVE STREET ST LOUIS, MO 63103		501(C)(3)	ANNUAL GIFT	1,000
ST LOUIS PUBLIC RADIO (KWMU) 3651 OLIVE STREET ST LOUIS, MO 63108		501(C)(3)	ANNUAL GIFT	1,000
ST LOUIS SCIENCE CENTER FOUNDATION 5050 OAKLAND AVENUE ST LOUIS, MO 63110		501(C)(3)	ANNUAL GIFT	250
ST LOUIS ZOO ONE GOVERNMENT DRIVE ST LOUIS, MO 63110		501(C)(3)	ANNUAL GIFT	1,503
THE SALVATION ARMY 1130 HAMPTON AVENUE ST LOUIS, MO 63139		501(C)(3)	ANNUAL GIFT	8,000
Total . . . . ▶ **3a**				77,664

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TRANSPORTATION MUSEUM ASSOCIATION 2967 BARRETT STATION ROAD KIRKWOOD, MO 63122		501(C)(3)	ANNUAL GIFT	115
WEST COUNTY FAMILY YMCA 16464 BURKHARDT PLACE CHESTERFIELD, MO 63017		501(C)(3)	ANNUAL GIFT	100
WOMAN'S PLACE 8300 MORGANFORD ROAD ST LOUIS, MO 63123		501(C)(3)	ANNUAL GIFT	1,000
Total . . . . ▶ **3a**				77,664

Form 990PF Part XVI-A Line 11 - Other revenue:

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		**(e)** Related or exempt function income (See the instructions)
11 Other revenue	**(a)** Business code	**(b)** Amount	**(c)** Exclusion code	**(d)** Amount	
a THE BLACKSTONE GROUP		10			
b EQT MIDSTREAM PARTNERS, LP		4			
c MEMORIAL PRODUCTION PARTNERS, L P		38			
d OAKTREE CAPITAL GROUP LLC		15			
e OCH-ZIFF CAPITAL MANAGEMENT GROUP LLC		-16			
f NONDIVIDEND DISTRIBUTIONS			14	887	

TY 2016 Accounting Fees Schedule

Name: SAWYER & JEAN MARGLOUS FOUNDATION

EIN: 43-6771713

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	6,160	6,160		0

TY 2016 Investments Corporate Stock Schedule

Name: SAWYER & JEAN MARGLOUS FOUNDATION

EIN: 43-6771713

Name of Stock	End of Year Book Value	End of Year Fair Market Value
	1,325,552	1,542,565

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TY 2016 Other Assets Schedule

Name: SAWYER & JEAN MARGLOUS FOUNDATION

EIN: 43-6771713

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DIVIDENDS RECEIVABLES	1,462	1,746	1,746

TY 2016 Other Expenses Schedule

Name: SAWYER & JEAN MARGLOUS FOUNDATION

EIN: 43-6771713

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OAKTREE CAPITAL GROUP LLC	15	15		0

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TY 2016 Other Income Schedule

Name: SAWYER & JEAN MARGLOUS FOUNDATION

EIN: 43-6771713

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
THE BLACKSTONE GROUP	10	0	10
EQT MIDSTREAM PARTNERS, LP	4	0	4
MEMORIAL PRODUCTION PARTNERS, L P	38	0	38
OAKTREE CAPITAL GROUP LLC	15	0	15
OCH-ZIFF CAPITAL MANAGEMENT GROUP LLC	-16	0	-16
NONDIVIDEND DISTRIBUTIONS	887		887

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TY 2016 Other Professional Fees Schedule

Name: SAWYER & JEAN MARGLOUS FOUNDATION

EIN: 43-6771713

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BROKERAGE FEES	7,102	7,102		0

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TY 2016 Taxes Schedule

Name: SAWYER & JEAN MARGLOUS FOUNDATION

EIN: 43-6771713

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX PAID	66	66		0
TAXES PAID	1,291	1,291		0

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www irs gov/form990*.

OMB No 1545-0047

2016

Name of the organization	**Employer identification number**
SAWYER & JEAN MARGLOUS FOUNDATION	43-6771713

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
SAWYER & JEAN MARGLOUS FOUNDATION

Employer identification number
43-6771713

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MAKE MY DONATION 4285 SW MARTIN HIGHWAY PALM CITY, FL34990	$ 94	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	SAWYER MARGLOUS CHARITABLE TRUST 12-18-03 8000 MARYLAND AVENUE SUITE 860 ST LOUIS, MO63105	$ 24,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
3	SAWYER MARGLOUS CHARITABLE TRUST 6-15-04 8000 MARYLAND AVENUE SUITE 860 ST LOUIS, MO63105	$ 15,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization
SAWYER & JEAN MARGLOUS FOUNDATION

Employer identification number
43-6771713

Part II Noncash Property
(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
SAWYER & JEAN MARGLOUS FOUNDATION

Employer identification number
43-6771713

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns **(a)** through **(e)** and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $ ____________
Use duplicate copies of Part III if additional space is needed

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee