Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
THOMAS DUNN TRUST

A Employer identification number
43-6019371

Number and street (or P O box number if mail is not delivered to street address)
PO BOX 0634

Room/suite

B Telephone number (see instructions)
(314) 418-2643

City or town, state or province, country, and ZIP or foreign postal code
MILWAUKEE, WI 532010634

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☐ Section 501(c)(3) exempt private foundation
☑ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 5,537,181

J Accounting method ☐ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	148,616	146,219		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	62,525			
	b	Gross sales price for all assets on line 6a 633,068				
	7	Capital gain net income (from Part IV, line 2)		62,525		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)		10,492		
	12	**Total.** Add lines 1 through 11	211,141	219,236		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	62,622	56,360		6,262
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)	10,400	0	0	10,400
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	2,314	1,654		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	367	1,132		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	75,703	59,146	0	16,662
	25	Contributions, gifts, grants paid	252,000			252,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	327,703	59,146	0	268,662
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-116,562			
	b	**Net investment income** (if negative, enter -0-)		160,090		
	c	**Adjusted net income**(if negative, enter -0-)			0	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	2,042	2,261	2,261
	2	Savings and temporary cash investments	454,910	299,744	299,744
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶		0	0
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	185,129	181,090	219,706
	b	Investments—corporate stock (attach schedule)	2,719,876	2,400,968	3,918,940
	c	Investments—corporate bonds (attach schedule)	193,736	981,901	947,202
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	505,719	79,231	146,737
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	11	2,591	2,591
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	4,061,423	3,947,786	5,537,181
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	4,061,423	3,947,786	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	4,061,423	3,947,786	
	31	**Total liabilities and net assets/fund balances** (see instructions)	4,061,423	3,947,786	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,061,423
2	Enter amount from Part I, line 27a	2	-116,562
3	Other increases not included in line 2 (itemize) ▶	3	2,925
4	Add lines 1, 2, and 3	4	3,947,786
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,947,786

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	62,525
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	328,013	5,534,483	0 059267
2014	276,185	5,700,186	0 048452
2013			
2012			
2011			

2	**Total** of line 1, column (d)	2	0 107719
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 05386
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	5,361,315
5	Multiply line 4 by line 3	5	288,760
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,601
7	Add lines 5 and 6	7	290,361
8	Enter qualifying distributions from Part XII, line 4 , ...	8	268,662

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ (attach copy of letter if necessary–see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	3,202
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2.		3	3,202
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	3,202
6	Credits/Payments			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a 3,715		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 0		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d.		7	3,715
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	513
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 513	**Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?.	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶MO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶US BANK NA Telephone no ▶(314) 418-2643

Located at ▶PO BOX 387 ST LOUIS MO ZIP+4 ▶63166

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☑
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | Yes | No

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No

			Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No

If "Yes," list the years ▶ 20____, 20____, 20____, 20____

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here
▶ 20____, 20____, 20____, 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No

			Yes	No
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
US BANK N A PO BOX 387 ST LOUIS, MO 63166	TRUSTEE 1	62,622		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶	0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	5,186,525
b	Average of monthly cash balances.		1b	256,434
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	5,442,959
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	0
3	Subtract line 2 from line 1d.		3	5,442,959
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	81,644
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	5,361,315
6	**Minimum investment return.** Enter 5% of line 5.		6	268,066

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.		1	268,066
2a	Tax on investment income for 2016 from Part VI, line 5.	2a 3,202		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	3,202
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	264,864
4	Recoveries of amounts treated as qualifying distributions.		4	0
5	Add lines 3 and 4.		5	264,864
6	Deduction from distributable amount (see instructions).		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	264,864

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	268,662
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	268,662
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	268,662

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					264,864
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only.				0	
b Total for prior years 2014 , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2016					
a From 2011.	2,127				
b From 2012.	66,756				
c From 2013.	9,849				
d From 2014.	203				
e From 2015.	58,719				
f **Total** of lines 3a through e.		137,654			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 268,662					
a Applied to 2015, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2016 distributable amount.					264,864
e Remaining amount distributed out of corpus		3,798			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		141,452			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .		2,127			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		139,325			
10 Analysis of line 9					
a Excess from 2012. . . .	66,756				
b Excess from 2013. . . .	9,849				
c Excess from 2014. . . .	203				
d Excess from 2015. . . .	58,719				
e Excess from 2016. . . .	3,798				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THOMAS DUNN MEMORIALS 3113 GASCONADE STREET ST LOUIS, MO 631184346	NONE	EOF	GENERAL OPERATING	252,000
Total . . . . ▶ **3a**				252,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	148,616	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	62,525	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				211,141	

13 **Total.** Add line 12, columns (b), (d), and (e). **13** 211,141

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	**1a(1)**		**No**
	(2) Other assets.	**1a(2)**		**No**
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
	(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
	(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
	(4) Reimbursement arrangements.	**1b(4)**		**No**
	(5) Loans or loan guarantees.	**1b(5)**		**No**
	(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-10-03	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☑	PTIN
JOSEPH J CASTRIANO		2017-10-03		P01251603
Firm's name ▶ PRICEWATERHOUSECOOPERS LLP				Firm's EIN ▶13-4008324
Firm's address ▶ 600 GRANT STREET PITTSBURGH, PA 15219				Phone no (412) 355-6000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
700 SPDR GOLD TRUST		2015-01-20	2016-01-06
700 SPDR GOLD TRUST		2015-01-20	2016-02-05
2 51 G N M A #347016 6 500% 1/15/24		1995-12-01	2016-02-15
2 67 G N M A #417074 7 500% 10/15/25		1995-10-12	2016-02-15
3 36 G N M A #429349 7 000% 3/15/26		1997-10-15	2016-02-15
103 2 G N M A #465299 7 000% 3/15/28		1999-07-01	2016-02-15
25 58 G N M A #780459 7 000% 11/15/26		1997-11-25	2016-02-15
700 SPDR GOLD TRUST		2015-01-20	2016-03-04
315 AMERICAN EXPRESS CO		2002-05-23	2016-03-08
2000 APPLIED MATERIALS INC COM W/RIGHTS ATTACHED EXP 7/6/2009			2016-03-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
24		29	-5
24		27	-3
3		2	1
3		3	
3		3	
103		102	1
26		26	
23		23	
18,638		11,685	6,953
37,881		33,063	4,818

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-5
			-3
			1
			1
			6,953
			4,818

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1000 BAXALTA INC W I			2016-03-08
1075 THE GAP INC		2015-01-20	2016-03-08
2 5 G N M A #347016 6 500% 1/15/24		1995-12-01	2016-03-15
2 69 G N M A #417074 7 500% 10/15/25		1995-10-12	2016-03-15
3 41 G N M A #429349 7 000% 3/15/26		1997-10-15	2016-03-15
193 08 G N M A #465299 7 000% 3/15/28		1999-07-01	2016-03-15
30 21 G N M A #780459 7 000% 11/15/26		1997-11-25	2016-03-15
700 SPDR GOLD TRUST		2015-01-20	2016-04-05
2 51 G N M A #347016 6 500% 1/15/24		1995-12-01	2016-04-15
2 7 G N M A #417074 7 500% 10/15/25		1995-10-12	2016-04-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
39,461		14,510	24,951
32,232		43,278	-11,046
3		2	1
3		3	
3		3	
193		191	2
30		30	
28		30	-2
3		2	1
3		3	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			24,951
			-11,046
			1
			2
			-2
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 4 G N M A #429349 7 000% 3/15/26		1997-10-15	2016-04-15
115 39 G N M A #465299 7 000% 3/15/28		1999-07-01	2016-04-15
21 54 G N M A #780459 7 000% 11/15/26		1997-11-25	2016-04-15
700 SPDR GOLD TRUST		2015-01-20	2016-05-05
37 64 G N M A #347016 6 500% 1/15/24		1995-12-01	2016-05-15
2 72 G N M A #417074 7 500% 10/15/25		1995-10-12	2016-05-15
3 46 G N M A #429349 7 000% 3/15/26		1997-10-15	2016-05-15
107 62 G N M A #465299 7 000% 3/15/28		1999-07-01	2016-05-15
19 G N M A #780459 7 000% 11/15/26		1997-11-25	2016-05-15
700 SPDR GOLD TRUST		2015-01-20	2016-06-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3		3	
115		114	1
22		22	
27		27	
38		37	1
3		3	
3		3	
108		106	2
19		19	
27		29	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			1
			2
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 23 G N M A #347016 6 500% 1/15/24		1995-12-01	2016-06-15
2 74 G N M A #417074 7 500% 10/15/25		1995-10-12	2016-06-15
3 42 G N M A #429349 7 000% 3/15/26		1997-10-15	2016-06-15
107 93 G N M A #465299 7 000% 3/15/28		1999-07-01	2016-06-15
20 33 G N M A #780459 7 000% 11/15/26		1997-11-25	2016-06-15
700 SPDR GOLD TRUST		2015-01-20	2016-07-06
2 24 G N M A #347016 6 500% 1/15/24		1995-12-01	2016-07-15
2 76 G N M A #417074 7 500% 10/15/25		1995-10-12	2016-07-15
3 38 G N M A #429349 7 000% 3/15/26		1997-10-15	2016-07-15
203 76 G N M A #465299 7 000% 3/15/28		1999-07-01	2016-07-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2		2	
3		3	
3		3	
108		107	1
20		20	
26		24	2
2		2	
3		3	
3		3	
204		201	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			2
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
16 43 G N M A #780459 7 000% 11/15/26		1997-11-25	2016-07-15
700 SPDR GOLD TRUST		2015-01-20	2016-08-03
300 APPLE COMPUTER INC COM		2012-11-16	2016-08-10
150 CR BARD INC		1997-02-13	2016-08-10
403 DUKE ENERGY HOLDING CORP		1995-11-13	2016-08-10
600 EMERSON ELEC CO COM		2005-09-27	2016-08-10
250 KIMBERLY CLARK CORP		2002-04-18	2016-08-10
800 MURPHY OIL CORP			2016-08-10
450 POWERSHARES QQQ ETF		2014-04-04	2016-08-10
9969 711 SCOUT INTERNATIONAL FUND			2016-08-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16		16	
30		29	1
32,369		22,451	9,918
33,215		2,114	31,101
33,638		12,806	20,832
31,998		21,015	10,983
32,542		15,323	17,219
22,160		8,359	13,801
52,442		39,542	12,900
242,962		342,976	-100,014

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			9,918
			31,101
			20,832
			10,983
			17,219
			13,801
			12,900
			-100,014

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 25 G N M A #347016 6 500% 1/15/24		1995-12-01	2016-08-15
2 78 G N M A #417074 7 500% 10/15/25		1995-10-12	2016-08-15
3 47 G N M A #429349 7 000% 3/15/26		1997-10-15	2016-08-15
211 75 G N M A #465299 7 000% 3/15/28		1999-07-01	2016-08-15
17 1 G N M A #780459 7 000% 11/15/26		1997-11-25	2016-08-15
700 SPDR GOLD TRUST		2015-01-20	2016-09-07
2 27 G N M A #347016 6 500% 1/15/24		1995-12-01	2016-09-15
2 8 G N M A #417074 7 500% 10/15/25		1995-10-12	2016-09-15
3 49 G N M A #429349 7 000% 3/15/26		1997-10-15	2016-09-15
117 3 G N M A #465299 7 000% 3/15/28		1999-07-01	2016-09-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2		2	
3		3	
3		3	
212		209	3
17		17	
31		30	1
2		2	
3		3	
3		3	
117		116	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			1
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
43 49 G N M A #780459 7 000% 11/15/26		1997-11-25	2016-09-15
700 SPDR GOLD TRUST		2015-01-20	2016-10-05
2 28 G N M A #347016 6 500% 1/15/24		1995-12-01	2016-10-15
2 81 G N M A #417074 7 500% 10/15/25		1995-10-12	2016-10-15
3 45 G N M A #429349 7 000% 3/15/26		1997-10-15	2016-10-15
204 29 G N M A #465299 7 000% 3/15/28		1999-07-01	2016-10-15
38 79 G N M A #780459 7 000% 11/15/26		1997-11-25	2016-10-15
700 SPDR GOLD TRUST		2015-01-20	2016-11-03
2 29 G N M A #347016 6 500% 1/15/24		1995-12-01	2016-11-15
2 83 G N M A #417074 7 500% 10/15/25		1995-10-12	2016-11-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
43		44	-1
29		30	-1
2		2	
3		3	
3		3	
204		202	2
39		39	
29		29	
2		2	
3		3	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			-1
			2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 56 G N M A #429349 7 000% 3/15/26		1997-10-15	2016-11-15
1364 78 G N M A #465299 7 000% 3/15/28		1999-07-01	2016-11-15
13 88 G N M A #780459 7 000% 11/15/26		1997-11-25	2016-11-15
700 SPDR GOLD TRUST		2015-01-20	2016-12-05
2 3 G N M A #347016 6 500% 1/15/24		1995-12-01	2016-12-15
2 85 G N M A #417074 7 500% 10/15/25		1995-10-12	2016-12-15
3 69 G N M A #429349 7 000% 3/15/26		1997-10-15	2016-12-15
13 05 G N M A #780459 7 000% 11/15/26		1997-11-25	2016-12-15
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4		4	
1,365		1,349	16
14		14	
29		32	-3
2		2	
3		3	
4		4	
13		13	
			20,086

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			16
			-3

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TY 2016 Accounting Fees Schedule

Name: THOMAS DUNN TRUST

EIN: 43-6019371

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	10,400			10,400

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TY 2016 Investments Corporate Bonds Schedule

Name: THOMAS DUNN TRUST

EIN: 43-6019371

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BEAR STEARNS 5.550% 1/22/17		
670678390 NUVEEN STRATEGIC INC	630,000	580,365
073902PN2 BEAR STEARNS CO	193,736	200,392
63872T620 LOOMIS SAYLES STRATE	158,165	166,445

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TY 2016 Investments Corporate Stock Schedule

Name: THOMAS DUNN TRUST

EIN: 43-6019371

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MARTIN MARIETTA MATLS INC		
PRAXAIR INC		
DEERE & CO		
EATON CORP		
EMERSON ELEC CO		
GENERAL DYNAMICS CORP		
GENERAL ELEC CO		
ILLINOIS TOOL WKS INC		
UNION PACIFIC CORP		
WASTE MGMT INC DEL		
CHEVRON CORPORATION		
CONOCOPHILLIPS		
DEVON ENERGY CORPORATION		
EXXON MOBIL CORP		
MARATHON OIL CORPORATION		
MURPHY OIL CORP		
LOWE'S COS INC		
MCDONALD'S CORP		
SHERWIN WILLIAMS CO		
YUM BRANDS INC		
COLGATE PALMOLIVE		
KIMBERLY CLARK CORP		
PEPSICO INC		
PROCTER & GAMBLE CO		
SYSCO CORP		
WAL MART STORES INC		
AMGEN INC		
BAXTER INT INC		
BECTON DICKINSON & CO		
JOHNSON & JOHNSON		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MERCK & CO INC		
TEVA PHARMACEUTICAL INDS LTD		
JP MORGAN CHASE & CO		
WELLS FARGO & CO		
XCEL ENERGY INC		
VERIZON COMMUNICATIONS		
ACCENTURE LTD		
APPLIED MATLS INC		
AUTOMATIC DATA PROCESSING INC		
CISCO SYS INC		
FISERV INC		
INTEL CORP		
MICROSOFT CORP		
T ROWE PRICE INTL GR & INC ADV		
PARKER HANNIFIN CORP		
DUKE ENERGY CORP		
I SHARES MSCI EAFE INDEX		
POWERSHARES QQQ NASDAQ 100		
APPLE INC		
NUVEEN REAL ESTATE SECS		
CR BARD INC		
DIAGEO PLC SPONS ADR		
TOYOTA MTR CORP ADR		
ISHARES MSCI EMERGING MKTS ETF		
SCOUT INTERNATIONAL FUND		
CELGENE CORP		
NUVEEN STRATEGIC INCOME I		
ZIMMER HOLDINGS		
580135101 MCDONALDS CORP	7,893	33,473
79471L602 SALIENT MLP ENERGY I	70,000	79,027

Name of Stock	End of Year Book Value	End of Year Fair Market Value
74925K581 ROBECO BOSTON PARTNE	75,000	80,805
907818108 UNION PACIFIC CORP	6,858	46,656
713448108 PEPSICO INC	18,032	41,852
166764100 CHEVRON CORPORATION	19,278	35,310
464287234 ISHARES MSCI EMERGIN	189,241	160,171
494368103 KIMBERLY CLARK CORP	12,259	22,824
053015103 AUTOMATIC DATA PROCE	9,965	30,834
949746101 WELLS FARGO CO	17,610	33,066
565849106 MARATHON OIL CORPORA	13,408	28,562
458140100 INTEL CORP	30,868	36,270
594918104 MICROSOFT CORP	1,803	37,284
988498101 YUM BRANDS INC	2,224	32,172
151020104 CELGENE CORPORATION	46,088	75,238
78463V107 SPDR GOLD TRUST	87,140	76,727
194162103 COLGATE PALMOLIVE CO	17,804	52,352
25243Q205 DIAGEO PLC SPONSORED	53,950	45,526
369604103 GENERAL ELECTRIC CO	14,881	47,400
369550108 GENERAL DYNAMICS COR	10,556	69,064
742718109 PROCTER GAMBLE CO	24,862	56,922
670678507 NUVEEN REAL ESTATE S	229,169	241,562
77956H849 T ROWE PRICE INTL GR	286,272	277,578
881624209 TEVA PHARMACEUTICAL	9,909	10,875
74005P104 PRAXAIR INC	8,025	29,298
031162100 AMGEN INC	19,814	51,174
25179M103 DEVON ENERGY CORPORA	16,540	52,795
025816109 AMERICAN EXPRESS CO	32,829	65,561
G5960L103 MEDTRONIC PLC	46,170	42,738
337738108 FISERV INC	15,460	85,024
G1151C101 ACCENTURE PLC CL A	16,568	93,704
30231G102 EXXON MOBIL CORP	5,310	34,750

Name of Stock	End of Year Book Value	End of Year Fair Market Value
98956P102 ZIMMER BIOMET HOLDIN	18,206	30,960
98310W108 WYNDHAM WORLDWIDE CO	42,211	38,185
701094104 PARKER HANNIFIN CORP	24,246	63,000
64128R608 NEUBERGER BERMAN LON	75,000	79,102
573284106 MARTIN MARIETTA MATL	14,115	71,997
20825C104 CONOCOPHILLIPS	15,944	15,042
067383109 CR BARD INC	2,114	33,699
92343V104 VERIZON COMMUNICATIO	26,627	34,163
931142103 WAL MART STORES INC	867	38,016
824348106 SHERWIN WILLIAMS CO	13,170	80,622
77957Q103 ROWE T PRICE SMALL-C	100,000	100,267
464287465 ISHARES MSCI EAFE ET	152,542	155,871
46625H100 J P MORGAN CHASE CO	21,001	73,347
452308109 ILLINOIS TOOL WORKSI	22,363	73,476
73935A104 POWERSHARES QQQ ETF	115,604	219,188
94106L109 WASTE MGMT INC	14,850	42,546
98850P109 YUM CHINA HOLDINGS I	960	13,269
478160104 JOHNSON JOHNSON	19,029	34,563
871829107 SYSCO CORP	3,194	35,991
037833100 APPLE INC	20,580	31,851
075887109 BECTON DICKINSON AND	13,290	78,636
892331307 TOYOTA MTR CORP A D	55,985	52,740
548661107 LOWES CO INC	10,328	64,008
17275R102 CISCO SYSTEMS INC	40,478	60,440
071813109 BAXTER INTERNATIONAL	17,879	44,340
244199105 DEERE CO.	16,557	61,824
98389B100 X CEL ENERGY INC	40,455	78,999
G29183103 EATON CORP PLC	29,615	40,254
654106103 NIKE INC	44,118	48,289
58933Y105 MERCK AND CO INC	13,854	17,661

TY 2016 Investments Government Obligations Schedule

Name:	THOMAS DUNN TRUST
EIN:	43-6019371
US Government Securities - End of Year Book Value:	181,090
US Government Securities - End of Year Fair Market Value:	219,706
State & Local Government Securities - End of Year Book Value:	
State & Local Government Securities - End of Year Fair Market Value:	

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TY 2016 Investments - Other Schedule

Name: THOMAS DUNN TRUST

EIN: 43-6019371

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
IDR CORE EQUITY RE STRATEGY			
AMERICAN EXPRESS CO			
BAXALTA INC W I			
MEDTRONIC PLC			
NIKE INC			
SPDR GOLD SHARES ETF			
T ROWE PRICE SM CAP VAL			
THE GAP INC			
WYNDHAM WORLDWIDE CORP			
98MSCFFU1 IDR CORE EQUITY RE S	AT COST	79,231	146,737

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TY 2016 Other Assets Schedule

Name: THOMAS DUNN TRUST

EIN: 43-6019371

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INCOME	11	2,591	2,591

TY 2016 Other Expenses Schedule

Name: THOMAS DUNN TRUST

EIN: 43-6019371

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADR FEES	23	23		0
INVESTMENT FEES	344	344		0
2016 IDR CORE EQUITY PSHIP EXP		765		0

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TY 2016 Other Income Schedule

Name: THOMAS DUNN TRUST

EIN: 43-6019371

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
2016 IDR CORE EQUITY PSHIP INCOME		10,492	

TY 2016 Other Increases Schedule

Name: THOMAS DUNN TRUST

EIN: 43-6019371

Description	Amount
PY MUTUAL FUND TIMING ADJUSTMENT	2,582
COST BASIS ADJUSTMENT	343

TY 2016 Taxes Schedule

Name: THOMAS DUNN TRUST

EIN: 43-6019371

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,485	1,485		0
FEDERAL ESTIMATES - PRINCIPAL	660	0		0
FOREIGN TAXES ON NONQUALIFIED	169	169		0