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Form 990-PF

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Department of the Treasury  
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

|                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                 |                                                                                                                                                                              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>MERCY WORKS FOUNDATION</b>                                                                                                                                                                                                                                                             |                                                                                                                                                                 | A Employer identification number<br><b>43-1954871</b>                                                                                                                        |
| Number and street (or P O box number if mail is not delivered to street address)<br><b>PO BOX 7465</b>                                                                                                                                                                                                          | Room/suite                                                                                                                                                      | B Telephone number<br><b>920-954-9861</b>                                                                                                                                    |
| City or town, state or province, country, and ZIP or foreign postal code<br><b>APPLETON, WI 54912-7073</b>                                                                                                                                                                                                      |                                                                                                                                                                 | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                   |
| G Check all that apply:<br><input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return<br><input type="checkbox"/> Address change<br><input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Amended return<br><input type="checkbox"/> Name change |                                                                                                                                                                 | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                               |                                                                                                                                                                 | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16)<br><b>\$ 28,455,854.</b>                                                                                                                                                                                                      | J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input checked="" type="checkbox"/> Other (specify) <b>MODIFIED CASH</b> | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                             |

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) |                                                                                                | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|----------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| Revenue                                                                                                                                            | 1 Contributions, gifts, grants, etc., received                                                 | 399,022.                           |                           | N/A                     |                                                             |
|                                                                                                                                                    | 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 3 Interest on savings and temporary cash investments                                           |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 4 Dividends and interest from securities                                                       | 475,740.                           | 475,740.                  |                         | STATEMENT 1                                                 |
|                                                                                                                                                    | 5a Gross rents                                                                                 |                                    |                           |                         |                                                             |
|                                                                                                                                                    | b Net rental income or (loss)                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 6a Net gain or (loss) from sale of assets not on line 10                                       | 1,304,813.                         |                           |                         |                                                             |
|                                                                                                                                                    | b Gross sales price for all assets on line 6a                                                  | 16,113,593.                        |                           |                         |                                                             |
|                                                                                                                                                    | 7 Capital gain net income (from Part IV, line 2)                                               |                                    | 1,304,813.                |                         |                                                             |
|                                                                                                                                                    | 8 Net short-term capital gain                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 9 Income modifications                                                                         |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 10a Gross sales less returns and allowances                                                    |                                    |                           |                         |                                                             |
| b Less Cost of goods sold                                                                                                                          |                                                                                                |                                    |                           |                         |                                                             |
| c Gross profit or (loss)                                                                                                                           |                                                                                                |                                    |                           |                         |                                                             |
| 11 Other income                                                                                                                                    | 24,927.                                                                                        | 7,690.                             |                           | STATEMENT 2             |                                                             |
| 12 Total. Add lines 1 through 11                                                                                                                   | 2,204,502.                                                                                     | 1,788,243.                         |                           |                         |                                                             |
| Operating and Administrative Expenses                                                                                                              | 13 Compensation of officers, directors, trustees, etc                                          | 30,145.                            | 23,750.                   |                         | 6,395.                                                      |
|                                                                                                                                                    | 14 Other employee salaries and wages                                                           | 45,867.                            | 574.                      |                         | 45,293.                                                     |
|                                                                                                                                                    | 15 Pension plans, employee benefits                                                            | 5,941.                             | 1,901.                    |                         | 4,040.                                                      |
|                                                                                                                                                    | 16a Legal fees                                                                                 | 1,500.                             | 1,125.                    |                         | 375.                                                        |
|                                                                                                                                                    | b Accounting fees                                                                              | 13,916.                            | 9,741.                    |                         | 4,175.                                                      |
|                                                                                                                                                    | c Other professional fees                                                                      | 148,423.                           | 132,783.                  |                         | 15,640.                                                     |
|                                                                                                                                                    | 17 Interest                                                                                    |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 18 Taxes                                                                                       | 65.                                | 0.                        |                         | 65.                                                         |
|                                                                                                                                                    | 19 Depreciation and depletion                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 20 Occupancy                                                                                   | 24,900.                            | 0.                        |                         | 24,900.                                                     |
|                                                                                                                                                    | 21 Travel, conferences, and meetings                                                           | 15,486.                            | 2,781.                    |                         | 12,705.                                                     |
|                                                                                                                                                    | 22 Printing and publications                                                                   |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 23 Other expenses                                                                              | 144,975.                           | 0.                        |                         | 144,975.                                                    |
|                                                                                                                                                    | 24 Total operating and administrative expenses. Add lines 13 through 23                        | 431,218.                           | 172,655.                  |                         | 258,563.                                                    |
|                                                                                                                                                    | 25 Contributions, gifts, grants paid                                                           | 3,831,993.                         |                           |                         | 3,831,993.                                                  |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                           | 4,263,211.                                                                                     | 172,655.                           |                           | 4,090,556.              |                                                             |
| 27 Subtract line 26 from line 12:                                                                                                                  |                                                                                                |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                | -2,058,709.                                                                                    |                                    |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                   |                                                                                                | 1,615,588.                         |                           |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                     |                                                                                                |                                    | N/A                       |                         |                                                             |

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

|                                                                                                         |                                                                                                                                          | Beginning of year | End of year    |                       |
|---------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
|                                                                                                         |                                                                                                                                          | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| <b>Assets</b>                                                                                           | 1 Cash - non-interest-bearing                                                                                                            | 109.              | 2,136.         | 2,136.                |
|                                                                                                         | 2 Savings and temporary cash investments                                                                                                 | 268,191.          | 317,525.       | 317,525.              |
|                                                                                                         | 3 Accounts receivable ▶ 37,795.                                                                                                          |                   |                |                       |
|                                                                                                         | Less: allowance for doubtful accounts ▶                                                                                                  | 37,232.           | 37,795.        | 37,795.               |
|                                                                                                         | 4 Pledges receivable ▶                                                                                                                   |                   |                |                       |
|                                                                                                         | Less: allowance for doubtful accounts ▶                                                                                                  |                   |                |                       |
|                                                                                                         | 5 Grants receivable                                                                                                                      |                   |                |                       |
|                                                                                                         | 6 Receivables due from officers, directors, trustees, and other disqualified persons                                                     |                   |                |                       |
|                                                                                                         | 7 Other notes and loans receivable ▶                                                                                                     |                   |                |                       |
|                                                                                                         | Less: allowance for doubtful accounts ▶                                                                                                  |                   |                |                       |
|                                                                                                         | 8 Inventories for sale or use                                                                                                            |                   |                |                       |
|                                                                                                         | 9 Prepaid expenses and deferred charges                                                                                                  |                   |                |                       |
|                                                                                                         | 10a Investments - U.S. and state government obligations STMT 8                                                                           | 4,917,943.        | 4,474,002.     | 4,474,002.            |
|                                                                                                         | b Investments - corporate stock STMT 9                                                                                                   | 2,127,565.        | 1,989,362.     | 1,989,362.            |
|                                                                                                         | c Investments - corporate bonds STMT 10                                                                                                  | 1,521,850.        | 1,514,875.     | 1,514,875.            |
|                                                                                                         | 11 Investments - land, buildings, and equipment basis ▶                                                                                  |                   |                |                       |
| Less accumulated depreciation ▶                                                                         |                                                                                                                                          |                   |                |                       |
| 12 Investments - mortgage loans                                                                         |                                                                                                                                          |                   |                |                       |
| 13 Investments - other STMT 11                                                                          | 21,860,924.                                                                                                                              | 20,044,522.       | 20,044,522.    |                       |
| 14 Land, buildings, and equipment: basis ▶ 75,637.                                                      |                                                                                                                                          |                   |                |                       |
| Less accumulated depreciation ▶                                                                         | 75,637.                                                                                                                                  | 75,637.           | 75,637.        |                       |
| 15 Other assets (describe ▶ )                                                                           |                                                                                                                                          |                   |                |                       |
| 16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) | 30,809,451.                                                                                                                              | 28,455,854.       | 28,455,854.    |                       |
| <b>Liabilities</b>                                                                                      | 17 Accounts payable and accrued expenses                                                                                                 |                   |                |                       |
|                                                                                                         | 18 Grants payable                                                                                                                        |                   |                |                       |
|                                                                                                         | 19 Deferred revenue                                                                                                                      |                   |                |                       |
|                                                                                                         | 20 Loans from officers, directors, trustees, and other disqualified persons                                                              |                   |                |                       |
|                                                                                                         | 21 Mortgages and other notes payable                                                                                                     |                   |                |                       |
|                                                                                                         | 22 Other liabilities (describe ▶ )                                                                                                       |                   |                |                       |
| 23 <b>Total liabilities</b> (add lines 17 through 22)                                                   | 0.                                                                                                                                       | 0.                |                |                       |
| <b>Net Assets or Fund Balances</b>                                                                      | Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. |                   |                |                       |
|                                                                                                         | 24 Unrestricted                                                                                                                          |                   |                |                       |
|                                                                                                         | 25 Temporarily restricted                                                                                                                | 30,809,451.       | 28,455,854.    |                       |
|                                                                                                         | 26 Permanently restricted                                                                                                                |                   |                |                       |
|                                                                                                         | Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.                         |                   |                |                       |
|                                                                                                         | 27 Capital stock, trust principal, or current funds                                                                                      |                   |                |                       |
|                                                                                                         | 28 Paid-in or capital surplus, or land, bldg., and equipment fund                                                                        |                   |                |                       |
|                                                                                                         | 29 Retained earnings, accumulated income, endowment, or other funds                                                                      |                   |                |                       |
| 30 <b>Total net assets or fund balances</b>                                                             | 30,809,451.                                                                                                                              | 28,455,854.       |                |                       |
| 31 <b>Total liabilities and net assets/fund balances</b>                                                | 30,809,451.                                                                                                                              | 28,455,854.       |                |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|                                                                                                                                                              |   |             |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 30,809,451. |
| 2 Enter amount from Part I, line 27a                                                                                                                         | 2 | -2,058,709. |
| 3 Other increases not included in line 2 (itemize) ▶                                                                                                         | 3 | 0.          |
| 4 Add lines 1, 2, and 3                                                                                                                                      | 4 | 28,750,742. |
| 5 Decreases not included in line 2 (itemize) ▶ <b>UNREALIZED LOSS</b>                                                                                        | 5 | 294,888.    |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                      | 6 | 28,455,854. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| <b>1a</b>                                                                                                                          |                                                  |                                      |                                  |
| <b>b</b> SEE ATTACHED STATEMENT                                                                                                    |                                                  |                                      |                                  |
| <b>c</b>                                                                                                                           |                                                  |                                      |                                  |
| <b>d</b>                                                                                                                           |                                                  |                                      |                                  |
| <b>e</b>                                                                                                                           |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| <b>a</b>              |                                            |                                                 |                                              |
| <b>b</b>              |                                            |                                                 |                                              |
| <b>c</b>              |                                            |                                                 |                                              |
| <b>d</b>              |                                            |                                                 |                                              |
| <b>e</b> 16,113,593.  |                                            | 14,808,780.                                     | 1,304,813.                                   |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|---------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|
| <b>a</b>                  |                                      |                                                 |                                                                                                 |
| <b>b</b>                  |                                      |                                                 |                                                                                                 |
| <b>c</b>                  |                                      |                                                 |                                                                                                 |
| <b>d</b>                  |                                      |                                                 |                                                                                                 |
| <b>e</b>                  |                                      |                                                 | 1,304,813.                                                                                      |

|                                                                                                                                                                                        |                                                                                                                 |          |            |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|----------|------------|
| <b>2</b> Capital gain net income or (net capital loss)                                                                                                                                 | <div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div> | <b>2</b> | 1,304,813. |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 |                                                                                                                 | <b>3</b> | N/A        |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|----------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2015                                                                 | 3,741,631.                               | 32,283,162.                                  | .115900                                                     |
| 2014                                                                 | 3,047,259.                               | 32,933,090.                                  | .092529                                                     |
| 2013                                                                 | 2,581,551.                               | 31,971,960.                                  | .080744                                                     |
| 2012                                                                 | 2,853,065.                               | 29,963,965.                                  | .095217                                                     |
| 2011                                                                 | 2,493,838.                               | 28,270,009.                                  | .088215                                                     |

|                                                                                                                                                                                       |          |             |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-------------|
| <b>2</b> Total of line 1, column (d)                                                                                                                                                  | <b>2</b> | .472605     |
| <b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | <b>3</b> | .094521     |
| <b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5                                                                                                 | <b>4</b> | 29,167,967. |
| <b>5</b> Multiply line 4 by line 3                                                                                                                                                    | <b>5</b> | 2,756,985.  |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                   | <b>6</b> | 16,156.     |
| <b>7</b> Add lines 5 and 6                                                                                                                                                            | <b>7</b> | 2,773,141.  |
| <b>8</b> Enter qualifying distributions from Part XII, line 4                                                                                                                         | <b>8</b> | 4,090,556.  |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|    |                                                                                                                                                                                                                                     |    |         |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) |    |         |
| b  | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b                                                                          | 1  | 16,156. |
| c  | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).                                                                                                            |    |         |
| 2  | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                           | 2  | 0.      |
| 3  | Add lines 1 and 2                                                                                                                                                                                                                   | 3  | 16,156. |
| 4  | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                         | 4  | 0.      |
| 5  | <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                      | 5  | 16,156. |
| 6  | Credits/Payments:                                                                                                                                                                                                                   |    |         |
| a  | 2016 estimated tax payments and 2015 overpayment credited to 2016                                                                                                                                                                   | 6a | 15,000. |
| b  | Exempt foreign organizations - tax withheld at source                                                                                                                                                                               | 6b |         |
| c  | Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                 | 6c |         |
| d  | Backup withholding erroneously withheld                                                                                                                                                                                             | 6d |         |
| 7  | Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                 | 7  | 15,000. |
| 8  | Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached                                                                                                        | 8  |         |
| 9  | <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                | 9  | 1,156.  |
| 10 | <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                    | 10 |         |
| 11 | Enter the amount of line 10 to be: Credited to 2017 estimated tax <input type="checkbox"/> Refunded <input checked="" type="checkbox"/>                                                                                             | 11 |         |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                | Yes | No |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                        |     | X  |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| 1c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                        |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.                                                                                                                                                                         |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.                                                                                                                                                                                                  |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities.                                                                                                                                                                                |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                                   |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                 |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                             |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T.                                                                                                                                                                          |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?           | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV                                                                                                                                                                                                            | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>WI</u>                                                                                                                                                                                                                                |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                                  | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV                                                                                         |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                          |     | X  |

N/A

**Part VII-A** Statements Regarding Activities (continued)

|                                                                                                                                                                                                                                                                                                                                    | Yes | No |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)                                                                                                                                         |     | X  |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)                                                                                                                                        |     | X  |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► N/A                                                                                                                                                                                    | X   |    |
| 14 The books are in care of ► MARY DICKHUT Telephone no. ► 920-954-9861<br>Located at ► 5733 GRANDE MARKET DR., SUITE H, APPLETON, WI ZIP+4 ► 54913                                                                                                                                                                                |     |    |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here<br>and enter the amount of tax-exempt interest received or accrued during the year                                                                                                                                          |     |    |
| 16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► | Yes | No |
|                                                                                                                                                                                                                                                                                                                                    | 16  | X  |

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Yes | No |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the year did the foundation (either directly or indirectly):<br>(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | N/A |    |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |     | X  |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):<br>a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," list the years ►                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |     |    |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | N/A |    |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br>►                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |     |    |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |     |    |
| b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | N/A |    |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |     | X  |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |     | X  |

Form 990-PF (2016)

C



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |                                                                                                             |    |             |
|---|-------------------------------------------------------------------------------------------------------------|----|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |    |             |
| a | Average monthly fair market value of securities                                                             | 1a | 28,972,526. |
| b | Average of monthly cash balances                                                                            | 1b | 530,842.    |
| c | Fair market value of all other assets                                                                       | 1c | 108,781.    |
| d | <b>Total</b> (add lines 1a, b, and c)                                                                       | 1d | 29,612,149. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | 1e | 0.          |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                        | 2  | 0.          |
| 3 | Subtract line 2 from line 1d                                                                                | 3  | 29,612,149. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | 444,182.    |
| 5 | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5  | 29,167,967. |
| 6 | <b>Minimum investment return.</b> Enter 5% of line 5                                                        | 6  | 1,458,398.  |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |                                                                                                           |    |            |
|----|-----------------------------------------------------------------------------------------------------------|----|------------|
| 1  | Minimum investment return from Part X, line 6                                                             | 1  | 1,458,398. |
| 2a | Tax on investment income for 2016 from Part VI, line 5                                                    | 2a | 16,156.    |
| b  | Income tax for 2016. (This does not include the tax from Part VI.)                                        | 2b |            |
| c  | Add lines 2a and 2b                                                                                       | 2c | 16,156.    |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | 3  | 1,442,242. |
| 4  | Recoveries of amounts treated as qualifying distributions                                                 | 4  | 0.         |
| 5  | Add lines 3 and 4                                                                                         | 5  | 1,442,242. |
| 6  | Deduction from distributable amount (see instructions)                                                    | 6  | 0.         |
| 7  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 1,442,242. |

**Part XII Qualifying Distributions** (see instructions)

|   |                                                                                                                                   |    |            |
|---|-----------------------------------------------------------------------------------------------------------------------------------|----|------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                        |    |            |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | 1a | 4,090,556. |
| b | Program-related investments - total from Part IX-B                                                                                | 1b | 0.         |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |            |
| 3 | Amounts set aside for specific charitable projects that satisfy the:                                                              |    |            |
| a | Suitability test (prior IRS approval required)                                                                                    | 3a |            |
| b | Cash distribution test (attach the required schedule)                                                                             | 3b |            |
| 4 | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                 | 4  | 4,090,556. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 16,156.    |
| 6 | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                             | 6  | 4,074,400. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

|                                                                                                                                                                            | (a)<br>Corpus | (b)<br>Years prior to 2015 | (c)<br>2015 | (d)<br>2016 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2016 from Part XI, line 7                                                                                                                       |               |                            |             | 1,442,242.  |
| 2 Undistributed income, if any, as of the end of 2016                                                                                                                      |               |                            |             |             |
| a Enter amount for 2015 only                                                                                                                                               |               |                            | 0.          |             |
| b Total for prior years:                                                                                                                                                   |               | 0.                         |             |             |
| 3 Excess distributions carryover, if any, to 2016:                                                                                                                         |               |                            |             |             |
| a From 2011                                                                                                                                                                | 1,113,127.    |                            |             |             |
| b From 2012                                                                                                                                                                | 1,390,435.    |                            |             |             |
| c From 2013                                                                                                                                                                | 1,011,640.    |                            |             |             |
| d From 2014                                                                                                                                                                | 1,452,421.    |                            |             |             |
| e From 2015                                                                                                                                                                | 2,149,781.    |                            |             |             |
| f Total of lines 3a through e                                                                                                                                              | 7,117,404.    |                            |             |             |
| 4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$                                                                                                            | 4,090,556.    |                            |             |             |
| a Applied to 2015, but not more than line 2a                                                                                                                               |               |                            | 0.          |             |
| b Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| d Applied to 2016 distributable amount                                                                                                                                     |               |                            |             | 1,442,242.  |
| e Remaining amount distributed out of corpus                                                                                                                               | 2,648,314.    |                            |             |             |
| 5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))                                         | 0.            |                            |             | 0.          |
| 6 Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                        | 9,765,718.    |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |               | 0.                         |             |             |
| e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.                                                                                |               |                            | 0.          |             |
| f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017                                                              |               |                            |             | 0.          |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)       | 0.            |                            |             |             |
| 8 Excess distributions carryover from 2011 not applied on line 5 or line 7                                                                                                 | 1,113,127.    |                            |             |             |
| 9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a                                                                                              | 8,652,591.    |                            |             |             |
| 10 Analysis of line 9:                                                                                                                                                     |               |                            |             |             |
| a Excess from 2012                                                                                                                                                         | 1,390,435.    |                            |             |             |
| b Excess from 2013                                                                                                                                                         | 1,011,640.    |                            |             |             |
| c Excess from 2014                                                                                                                                                         | 1,452,421.    |                            |             |             |
| d Excess from 2015                                                                                                                                                         | 2,149,781.    |                            |             |             |
| e Excess from 2016                                                                                                                                                         | 2,648,314.    |                            |             |             |



**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount     |
|--------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|------------|
| <b>a Paid during the year</b>                    |                                                                                                                    |                                      |                                     |            |
| SEE ATTACHED SCHEDULE                            |                                                                                                                    |                                      |                                     | 3,831,993. |
|                                                  |                                                                                                                    |                                      |                                     |            |
|                                                  |                                                                                                                    |                                      |                                     |            |
|                                                  |                                                                                                                    |                                      |                                     |            |
|                                                  |                                                                                                                    |                                      |                                     |            |
| <b>Total</b>                                     |                                                                                                                    |                                      | <b>▶ 3a</b>                         | 3,831,993. |
| <b>b Approved for future payment</b>             |                                                                                                                    |                                      |                                     |            |
| NONE                                             |                                                                                                                    |                                      |                                     |            |
|                                                  |                                                                                                                    |                                      |                                     |            |
|                                                  |                                                                                                                    |                                      |                                     |            |
| <b>Total</b>                                     |                                                                                                                    |                                      | <b>▶ 3b</b>                         | 0.         |





MERCY WORKS FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. |                                         | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|-----------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a                                                                                                                                | BMO 71-L084-01-0 SEE ATTACHED STATEMENT | P                                                | VARIOUS                              | VARIOUS                          |
| b                                                                                                                                 | BMO 71-L084-02-0 SEE ATTACHED STATEMENT | P                                                | VARIOUS                              | VARIOUS                          |
| c                                                                                                                                 | BMO 71-L084-03-0 SEE ATTACHED STATEMENT | P                                                | VARIOUS                              | VARIOUS                          |
| d                                                                                                                                 | BMO 71-L084-04-0 SEE ATTACHED STATEMENT | P                                                | VARIOUS                              | VARIOUS                          |
| e                                                                                                                                 | BMO 71-L084-05-0 SEE ATTACHED STATEMENT | P                                                | VARIOUS                              | VARIOUS                          |
| f                                                                                                                                 | BMO 71-L084-06-0 SEE ATTACHED STATEMENT | P                                                | VARIOUS                              | VARIOUS                          |
| g                                                                                                                                 |                                         |                                                  |                                      |                                  |
| h                                                                                                                                 |                                         |                                                  |                                      |                                  |
| i                                                                                                                                 |                                         |                                                  |                                      |                                  |
| j                                                                                                                                 |                                         |                                                  |                                      |                                  |
| k                                                                                                                                 |                                         |                                                  |                                      |                                  |
| l                                                                                                                                 |                                         |                                                  |                                      |                                  |
| m                                                                                                                                 |                                         |                                                  |                                      |                                  |
| n                                                                                                                                 |                                         |                                                  |                                      |                                  |
| o                                                                                                                                 |                                         |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a 4,612,280.          |                                            | 3,091,569.                                      | 1,520,711.                                   |
| b 5,276.              |                                            | 5,140.                                          | 136.                                         |
| c 4,260,484.          |                                            | 4,495,182.                                      | -234,698.                                    |
| d 705,107.            |                                            | 700,102.                                        | 5,005.                                       |
| e 2,554,107.          |                                            | 2,540,448.                                      | 13,659.                                      |
| f 3,976,339.          |                                            | 3,976,339.                                      | 0.                                           |
| g                     |                                            |                                                 |                                              |
| h                     |                                            |                                                 |                                              |
| i                     |                                            |                                                 |                                              |
| j                     |                                            |                                                 |                                              |
| k                     |                                            |                                                 |                                              |
| l                     |                                            |                                                 |                                              |
| m                     |                                            |                                                 |                                              |
| n                     |                                            |                                                 |                                              |
| o                     |                                            |                                                 |                                              |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                                 | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69                                                                   | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any |                                                                                                         |
| a                                                                                           |                                      |                                                 | 1,520,711.                                                                                              |
| b                                                                                           |                                      |                                                 | 136.                                                                                                    |
| c                                                                                           |                                      |                                                 | -234,698.                                                                                               |
| d                                                                                           |                                      |                                                 | 5,005.                                                                                                  |
| e                                                                                           |                                      |                                                 | 13,659.                                                                                                 |
| f                                                                                           |                                      |                                                 | 0.                                                                                                      |
| g                                                                                           |                                      |                                                 |                                                                                                         |
| h                                                                                           |                                      |                                                 |                                                                                                         |
| i                                                                                           |                                      |                                                 |                                                                                                         |
| j                                                                                           |                                      |                                                 |                                                                                                         |
| k                                                                                           |                                      |                                                 |                                                                                                         |
| l                                                                                           |                                      |                                                 |                                                                                                         |
| m                                                                                           |                                      |                                                 |                                                                                                         |
| n                                                                                           |                                      |                                                 |                                                                                                         |
| o                                                                                           |                                      |                                                 |                                                                                                         |

|                                                                                                                                                                                     |   |            |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 }                                               | 2 | 1,304,813. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 } | 3 | N/A        |

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|             |                                        |           |   |
|-------------|----------------------------------------|-----------|---|
| FORM 990-PF | DIVIDENDS AND INTEREST FROM SECURITIES | STATEMENT | 1 |
|-------------|----------------------------------------|-----------|---|

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| SOURCE            | GROSS<br>AMOUNT | CAPITAL<br>GAINS<br>DIVIDENDS | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| BMO L084-01       | 276,617.        | 0.                            | 276,617.                    | 276,617.                          |                               |
| BMO L084-02       | 153.            | 0.                            | 153.                        | 153.                              |                               |
| BMO L084-03       | 9,758.          | 0.                            | 9,758.                      | 9,758.                            |                               |
| BMO L084-04       | 33,743.         | 0.                            | 33,743.                     | 33,743.                           |                               |
| BMO L084-05       | 155,352.        | 0.                            | 155,352.                    | 155,352.                          |                               |
| BMO L084-06       | 117.            | 0.                            | 117.                        | 117.                              |                               |
| TO PART I, LINE 4 | 475,740.        | 0.                            | 475,740.                    | 475,740.                          |                               |

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|             |              |           |   |
|-------------|--------------|-----------|---|
| FORM 990-PF | OTHER INCOME | STATEMENT | 2 |
|-------------|--------------|-----------|---|

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| DESCRIPTION                           | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| MISCELLANEOUS                         | 7,690.                      | 7,690.                            |                               |
| EXCISE TAX REFUND                     | 17,237.                     | 0.                                |                               |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 24,927.                     | 7,690.                            |                               |

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|             |            |           |   |
|-------------|------------|-----------|---|
| FORM 990-PF | LEGAL FEES | STATEMENT | 3 |
|-------------|------------|-----------|---|

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| DESCRIPTION                | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| LEGAL FEES                 | 1,500.                       | 1,125.                            |                               | 375.                          |
| TO FM 990-PF, PG 1, LN 16A | 1,500.                       | 1,125.                            |                               | 375.                          |

| FORM 990-PF                  | ACCOUNTING FEES              |                                   |                               | STATEMENT                     | 4 |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| ACCOUNTING FEES              | 13,916.                      | 9,741.                            |                               | 4,175.                        |   |
| TO FORM 990-PF, PG 1, LN 16B | 13,916.                      | 9,741.                            |                               | 4,175.                        |   |

| FORM 990-PF                  | OTHER PROFESSIONAL FEES      |                                   |                               | STATEMENT                     | 5 |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| OUTSIDE SERVICES             | 31,281.                      | 15,641.                           |                               | 15,640.                       |   |
| INVESTMENT FEES              | 117,142.                     | 117,142.                          |                               | 0.                            |   |
| TO FORM 990-PF, PG 1, LN 16C | 148,423.                     | 132,783.                          |                               | 15,640.                       |   |

| FORM 990-PF                 | TAXES                        |                                   |                               | STATEMENT                     | 6 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| PROPERTY TAXES              | 30.                          | 0.                                |                               | 30.                           |   |
| BUSINESS TAX                | 35.                          | 0.                                |                               | 35.                           |   |
| TO FORM 990-PF, PG 1, LN 18 | 65.                          | 0.                                |                               | 65.                           |   |

| FORM 990-PF                 | OTHER EXPENSES               |                                   |                               | STATEMENT                     | 7 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| OFFICE EXPENSE              | 20,542.                      | 0.                                |                               | 20,542.                       |   |
| INSURANCE                   | 120,782.                     | 0.                                |                               | 120,782.                      |   |
| DUES AND SUBSCRIPTIONS      | 2,328.                       | 0.                                |                               | 2,328.                        |   |
| POSTAGE                     | 234.                         | 0.                                |                               | 234.                          |   |
| CELL PHONE                  | 1,089.                       | 0.                                |                               | 1,089.                        |   |
| TO FORM 990-PF, PG 1, LN 23 | 144,975.                     | 0.                                |                               | 144,975.                      |   |

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|             |                                            |           |   |
|-------------|--------------------------------------------|-----------|---|
| FORM 990-PF | U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS | STATEMENT | 8 |
|-------------|--------------------------------------------|-----------|---|

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| DESCRIPTION                                      | U.S.<br>GOV'T | OTHER<br>GOV'T | BOOK VALUE | FAIR MARKET<br>VALUE |
|--------------------------------------------------|---------------|----------------|------------|----------------------|
| BMO TREASURY AND FEDERAL AGENCIES                | X             |                | 4,474,002. | 4,474,002.           |
| TOTAL U.S. GOVERNMENT OBLIGATIONS                |               |                | 4,474,002. | 4,474,002.           |
| TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS |               |                |            |                      |
| TOTAL TO FORM 990-PF, PART II, LINE 10A          |               |                | 4,474,002. | 4,474,002.           |

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|             |                 |           |   |
|-------------|-----------------|-----------|---|
| FORM 990-PF | CORPORATE STOCK | STATEMENT | 9 |
|-------------|-----------------|-----------|---|

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| DESCRIPTION                             | BOOK VALUE | FAIR MARKET<br>VALUE |
|-----------------------------------------|------------|----------------------|
| BMO COMMON STOCKS                       | 1,989,362. | 1,989,362.           |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 1,989,362. | 1,989,362.           |

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|             |                 |           |    |
|-------------|-----------------|-----------|----|
| FORM 990-PF | CORPORATE BONDS | STATEMENT | 10 |
|-------------|-----------------|-----------|----|

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| DESCRIPTION                             | BOOK VALUE | FAIR MARKET<br>VALUE |
|-----------------------------------------|------------|----------------------|
| BMO NON-GOVERNMENT OBLIGATIONS          | 1,514,875. | 1,514,875.           |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 1,514,875. | 1,514,875.           |

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|-------------|-------------------|-----------|----|
| FORM 990-PF | OTHER INVESTMENTS | STATEMENT | 11 |
|-------------|-------------------|-----------|----|

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| DESCRIPTION                            | VALUATION<br>METHOD | BOOK VALUE  | FAIR MARKET<br>VALUE |
|----------------------------------------|---------------------|-------------|----------------------|
| BMO EQUITY FUNDS                       | FMV                 | 15,806,259. | 15,806,259.          |
| BMO - FOREIGN OBLIGATIONS              | FMV                 | 0.          | 0.                   |
| BMO PREFERRED STOCK NONCONVERTIBLE     | FMV                 | 90,000.     | 90,000.              |
| BMO FIXED INCOME FUNDS                 | FMV                 | 1,062,747.  | 1,062,747.           |
| BMO LIMITED PARTNERSHIP                | FMV                 | 3,085,379.  | 3,085,379.           |
| BMO UNCLASSIFIED                       | COST                | 137.        | 137.                 |
| TOTAL TO FORM 990-PF, PART II, LINE 13 |                     | 20,044,522. | 20,044,522.          |

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 12

| NAME AND ADDRESS                                          | TITLE AND<br>AVRG HRS/WK            | COMPEN-<br>SATION | EMPLOYEE<br>BEN PLAN CONTRIB | EXPENSE<br>ACCOUNT |
|-----------------------------------------------------------|-------------------------------------|-------------------|------------------------------|--------------------|
| WILLIAM WALKER<br>PO BOX 7465<br>APPLETON, WI 54912-7073  | TREASURER<br>10.00                  | 25,000.           | 0.                           | 0.                 |
| SCOTT FOLLETT<br>PO BOX 7465<br>APPLETON, WI 54912-7073   | SECRETARY<br>1.00                   | 0.                | 0.                           | 0.                 |
| MARK FOLLETT<br>PO BOX 7465<br>APPLETON, WI 54912-7073    | CHAIR (JANUARY - OCTOBER)<br>1.00   | 0.                | 0.                           | 0.                 |
| ROBERT FOLLETT<br>PO BOX 7465<br>APPLETON, WI 54912-7073  | BOARD MEMBER<br>1.00                | 0.                | 0.                           | 0.                 |
| JOE MALONE<br>PO BOX 7465<br>APPLETON, WI 54912-7073      | BOARD MEMBER<br>1.00                | 0.                | 0.                           | 0.                 |
| BRIAN FOLLETT<br>PO BOX 7465<br>APPLETON, WI 54912-7073   | BOARD MEMBER<br>1.00                | 0.                | 0.                           | 0.                 |
| CHARLES JOHNSON<br>PO BOX 7465<br>APPLETON, WI 54912-7073 | CHAIR (OCTOBER - DECEMBER)<br>10.00 | 8,332.            | 0.                           | 0.                 |
| TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII              |                                     | 33,332.           | 0.                           | 0.                 |

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 13

ACTIVITY ONE

MERCY WORKS FOUNDATION INC IS ORGANIZED AND OPERATED TO MAKE CASH DONATIONS TO QUALIFYING PUBLIC CHARITIES. THE FOUNDATION DOES NOT ENGAGE IN ANY OTHER DIRECT CHARITABLE ACTIVITIES.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

0.

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID                    | Asset Description<br>Asset ID (CUSIP) / Ticker           | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr | % of Acct at Mkt | Accrued Income | Estimated Annual Income Rate | Market Yield |
|-------------------------------|----------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|--------------------------|------------------|----------------|------------------------------|--------------|
| <b>Equity</b>                 |                                                          |              |                            |                         |                           |                          |                  |                |                              |              |
| <b>Common Stocks</b>          |                                                          |              |                            |                         |                           |                          |                  |                |                              |              |
| <b>Consumer Discretionary</b> |                                                          |              |                            |                         |                           |                          |                  |                |                              |              |
| 03                            | - Belmond LTD Cl A<br>G1154H107 / BEL                    | 1,555.000    | 12                         | 19,907.53<br>12.802     | 20,759.25<br>13.350       | 851.72                   | .07              |                |                              |              |
| 03                            | - Big Lots Inc Com<br>089302103 / BIG                    | 502.000      | .14                        | 24,241.88<br>48.291     | 25,205.42<br>50.210       | 963.54                   | .09              |                | 421.00<br>0.84000            | 1.67%        |
| 03                            | - Bright Horizons Fam Sol In Del Com<br>109194100 / BFAM | 447.000      | 18                         | 29,550.18<br>66.108     | 31,298.94<br>70.020       | 1,748.76                 | 11               |                |                              |              |
| 03                            | - Burlington Stores Inc Com<br>122017106 / BURL          | 324.000      | 15                         | 19,656.64<br>60.669     | 27,459.00<br>84.750       | 7,802.36                 | 10               |                |                              |              |
| 03                            | - Callaway Golf Co Com<br>131193104 / ELY                | 2,093.000    | .13                        | 21,718.11<br>10.377     | 22,939.28<br>10.960       | 1,221.17                 | 08               |                | 83.00<br>0.04000             | 36%          |
| 03                            | - Cavco Inds Inc Del Com<br>149568107 / CVCO             | 216.000      | 12                         | 20,865.35<br>96.599     | 21,567.60<br>99.850       | 702.25                   | 08               |                |                              |              |
| 03                            | - Dave & Busters Entmt Inc Com<br>238337109 / PLAY       | 746.000      | .24                        | 34,674.36<br>46.480     | 41,999.80<br>56.300       | 7,325.44                 | .15              |                |                              |              |
| 03                            | - Duluth Hldgs Inc Com Cl B<br>26443V101 / DLTH          | 600.000      | .09                        | 19,480.52<br>32.468     | 15,240.00<br>25.400       | - 4,240.52               | .05              |                |                              |              |
| 03                            | - Irobot Corp Com<br>462726100 / IRBT                    | 476.000      | .16                        | 20,846.48<br>43.795     | 27,822.20<br>58.450       | 6,975.72                 | 10               |                |                              |              |
| 03                            | - Jack In The Box Inc Com<br>466367109 / JACK            | 281.000      | .18                        | 28,707.53<br>102.162    | 31,370.84<br>111.640      | 2,663.31                 | .11              |                | 449.00<br>1.60000            | 1.43%        |
| 03                            | - Planet Fitness Inc Cl A<br>72703H101 / PLNT            | 1,077.000    | 12                         | 20,887.21<br>19.394     | 21,647.70<br>20.100       | 760.49                   | 08               |                |                              |              |
| 03                            | - Shake Shack Inc Cl A<br>819047101 / SHAK               | 441.000      | 09                         | 16,822.64<br>38.147     | 15,783.39<br>35.790       | - 1,039.25               | 06               |                |                              |              |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID                          | Asset Description<br>Asset ID (CUSIP) / Ticker     | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | %           | Accrued Income | Estimated Annual Income Rate | Market Yield |
|-------------------------------------|----------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|-------------|----------------|------------------------------|--------------|
| 03                                  | - Vail Resorts Inc<br>91879Q109 / MTN              | 237,000      | .21                        | 23,751.76<br>100.218    | 38,230.47<br>161.310      | 14,478.71                       | 13          | 191.97         | 767.00<br>3.24000            | 2.01%        |
| 03                                  | - Winnebago Industries Com<br>974637100 / WGO      | 616,000      | .11                        | 20,361.37<br>33.054     | 19,496.40<br>31.650       | - 864.97                        | .07         |                | 246.00<br>0.40000            | 1.26%        |
| <b>Total Consumer Discretionary</b> |                                                    |              | <b>2.03</b>                | <b>321,471.56</b>       | <b>360,820.29</b>         | <b>39,348.73</b>                | <b>1.27</b> | <b>191.97</b>  | <b>1,966.00</b>              | <b>.55%</b>  |
| <b>Consumer Staples</b>             |                                                    |              |                            |                         |                           |                                 |             |                |                              |              |
| 02                                  | - Kroger Co Com<br>501044101 / KR                  | 340,000      | .07                        | 4,082.00<br>12.006      | 11,733.40<br>34.510       | 7,651.40                        | .04         |                | 163.00<br>0.48000            | 1.39%        |
| <b>Total Consumer Staples</b>       |                                                    |              | <b>.07</b>                 | <b>4,082.00</b>         | <b>11,733.40</b>          | <b>7,651.40</b>                 | <b>.04</b>  | <b>0.00</b>    | <b>163.00</b>                | <b>1.39%</b> |
| <b>Energy</b>                       |                                                    |              |                            |                         |                           |                                 |             |                |                              |              |
| 03                                  | - Callon Pete Co Del Com<br>13123X102 / CPE        | 1,802,000    | .16                        | 27,128.38<br>15.055     | 27,696.74<br>15.370       | 568.36                          | .10         |                |                              |              |
| <b>Total Energy</b>                 |                                                    |              | <b>.16</b>                 | <b>27,128.38</b>        | <b>27,696.74</b>          | <b>568.36</b>                   | <b>.10</b>  | <b>0.00</b>    | <b>0.00</b>                  | <b>.00%</b>  |
| <b>Financials</b>                   |                                                    |              |                            |                         |                           |                                 |             |                |                              |              |
| 03                                  | - Corestate Rlty Corp Com<br>21870Q105 / COR       | 424,000      | .19                        | 31,729.68<br>74.834     | 33,652.88<br>79.370       | 1,923.20                        | .12         | 339.20         | 1,356.00<br>3.20000          | 4.03%        |
| 03                                  | - Evercore Partners Inc Cl A<br>29977A105 / EVR    | 242,000      | .09                        | 16,345.12<br>67.542     | 16,625.40<br>68.700       | 280.28                          | .06         |                | 329.00<br>1.36000            | 1.98%        |
| 03                                  | - Four Corners Ppty Tr Inc Com<br>35086T109 / FCPT | 872,000      | .10                        | 16,839.30<br>19.311     | 17,893.44<br>20.520       | 1,054.14                        | .06         | 211.46         | 845.00<br>0.97000            | 4.73%        |
| 03                                  | - Home Bancshares Inc Com<br>436893200 / HOMB      | 1,147,000    | .18                        | 26,596.99<br>23.188     | 31,852.19<br>27.770       | 5,255.20                        | .11         |                | 412.00<br>0.36000            | 1.30%        |
| 03                                  | - MGM Growth Pptys LLC Cl A Com<br>55303A105 / MGP | 562,000      | .08                        | 13,865.35<br>24.671     | 14,224.22<br>25.310       | 358.87                          | .05         | 217.77         | 871.00<br>1.55000            | 6.12%        |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID              | Asset Description<br>Asset ID (CUSIP) / Ticker            | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % at Mkt   | Accrued Income | Estimated Annual Income Rate | Market Yield |
|-------------------------|-----------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------|----------------|------------------------------|--------------|
| 03                      | - Surgical Care Affiliates Inc Com<br>86881L106 / SCAI    | 200.000      | .05                        | 8,939.66<br>44.698      | 9,254.00<br>46.270        | 314.34                          | .03        |                |                              |              |
| 03                      | - Tile Shop Hldgs Inc Com<br>88677Q109 / TTS              | 1,520.000    | .17                        | 27,037.20<br>17.788     | 29,716.00<br>19.550       | 2,678.80                        | .10        |                |                              |              |
| <b>Total Financials</b> |                                                           |              | <b>.86</b>                 | <b>141,353.30</b>       | <b>153,218.13</b>         | <b>11,864.83</b>                | <b>.54</b> | <b>768.43</b>  | <b>3,813.00</b>              | <b>2.49%</b> |
| <b>Health Care</b>      |                                                           |              |                            |                         |                           |                                 |            |                |                              |              |
| 03                      | - Abiomed Inc Com<br>003654100 / ABMD                     | 230.000      | .15                        | 16,679.32<br>72.519     | 25,916.40<br>112.680      | 9,237.08                        | .09        |                |                              |              |
| 03                      | - Acceleron Pharma Inc Com<br>00434H108 / XLRN            | 290.000      | .04                        | 10,229.50<br>35.274     | 7,400.80<br>25.520        | -2,828.70                       | .03        |                |                              |              |
| 03                      | - Anad Pharmaceuticals Inc Com<br>04033A100 / ARIA        | 994.000      | .07                        | 11,826.91<br>11.898     | 12,365.36<br>12.440       | 538.45                          | .04        |                |                              |              |
| 03                      | - Bluebird Bio Inc Com<br>09609G100 / BLUE                | 220.000      | .08                        | 15,127.37<br>68.761     | 13,574.00<br>61.700       | -1,553.37                       | .05        |                |                              |              |
| 03                      | - Dermira Inc Com<br>24983L104 / DERM                     | 453.000      | .08                        | 15,120.24<br>33.378     | 13,739.49<br>30.330       | -1,380.75                       | .05        |                |                              |              |
| 03                      | - Five Prime Therapeutics Inc Com<br>33830X104 / FPRX     | 259.000      | .07                        | 11,621.80<br>44.872     | 12,978.49<br>50.110       | 1,356.69                        | .05        |                |                              |              |
| 03                      | - Glaukos Corp Com<br>377322102 / GKOS                    | 1,327.000    | .26                        | 47,337.74<br>35.673     | 45,516.10<br>34.300       | -1,821.64                       | .16        |                |                              |              |
| 03                      | - Inc Resh Hldgs Inc Cl A<br>45329R109 / INCR             | 861.000      | .25                        | 38,878.13<br>45.155     | 45,288.60<br>52.600       | 6,410.47                        | .16        |                |                              |              |
| 03                      | - K2m Group Hldgs Inc Com<br>48273J107 / K2WO             | 1,018.000    | .11                        | 18,541.51<br>18.214     | 20,400.72<br>20.040       | 1,859.21                        | .07        |                |                              |              |
| 03                      | - Lexicon Pharmaceuticals Inc Com New<br>528872302 / LXPX | 667.000      | .05                        | 11,678.93<br>17.510     | 9,224.61<br>13.830        | -2,454.32                       | .03        |                |                              |              |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID               | Asset Description<br>Asset ID (CUSIP) / Ticker       | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % of Acct at Mkt | Accrued Income | Estimated Annual Income Rate | Market Yield |
|--------------------------|------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------------|----------------|------------------------------|--------------|
| 03                       | - Nektar Therapeutics Com<br>640268108 / NKTR        | 812,000      | .06                        | 12,139.45<br>14,950     | 9,963.24<br>12,270        | -2,176.21                       | .04              |                |                              |              |
| 03                       | - Neurocrine Biosciences Inc Com<br>64125C109 / NBIX | 299,000      | .07                        | 15,072.88<br>50,411     | 11,571.30<br>38,700       | -3,501.58                       | .04              |                |                              |              |
| 03                       | - Nevro Corp Com<br>64157F103 / NVRO                 | 658,000      | .27                        | 41,273.52<br>62,726     | 47,810.28<br>72,660       | 6,536.76                        | .17              |                |                              |              |
| 03                       | - Nxstage Medical Inc Com<br>67072V103 / NXTM        | 1,049,000    | .15                        | 24,259.77<br>23,127     | 27,494.29<br>26,210       | 3,234.52                        | .10              |                |                              |              |
| 03                       | - Penumbra Inc Com<br>70975L107 / PEN                | 240,000      | .09                        | 14,765.92<br>61,525     | 15,312.00<br>63,800       | 546.08                          | .05              |                |                              |              |
| 03                       | - Tesaro Inc Com<br>881569107 / TSRO                 | 138,000      | .10                        | 15,597.70<br>113,027    | 18,558.24<br>134,480      | 2,960.54                        | .07              |                |                              |              |
| 03                       | - Zeltiq Aesthetics Inc Com<br>98933Q108 / ZLTQ      | 666,000      | .16                        | 24,598.16<br>36,934     | 28,984.32<br>43,520       | 4,386.16                        | .10              |                |                              |              |
| <b>Total Health Care</b> |                                                      |              |                            | <b>344,748.85</b>       | <b>366,098.24</b>         | <b>21,349.39</b>                | <b>1.29</b>      | <b>0.00</b>    | <b>0.00</b>                  | <b>.00%</b>  |
| <b>Industrials</b>       |                                                      |              |                            |                         |                           |                                 |                  |                |                              |              |
| 03                       | - Asfec Inds Inc Com<br>046224101 / ASTE             | 529,000      | .20                        | 32,838.78<br>62,077     | 35,686.34<br>67,460       | 2,847.56                        | .13              |                | 211.00<br>0.40000            | 59%          |
| 03                       | - Beacon Roofing Supply Inc Com<br>073685109 / BECN  | 673,000      | .17                        | 28,995.91<br>43,085     | 31,005.11<br>46,070       | 2,009.20                        | .11              |                |                              |              |
| 03                       | - Dycom Inds Inc Com<br>267475101 / DY               | 207,000      | .09                        | 18,144.21<br>87,653     | 16,620.03<br>80,290       | -1,524.18                       | .06              |                |                              |              |
| 03                       | - Gibraltar Inds Inc Com<br>374689107 / ROCK         | 452,000      | .11                        | 14,809.04<br>32,763     | 18,825.80<br>41,650       | 4,016.76                        | .07              |                |                              |              |
| 03                       | - Granite Constr Inc Com<br>387328107 / GVA          | 576,000      | .18                        | 25,585.90<br>44,420     | 31,680.00<br>55,000       | 6,094.10                        | .11              | 74.88          | 299.00<br>0.52000            | 95%          |

**Mercy Works Foundation**

**Asset and Liability Positions  
12/31/16**

| Account ID                    | Asset Description<br>Asset ID (CUSIP) / Ticker      | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % of Acct at Mkt | Accrued Income | Estimated Annual Income Rate | Market Yield |
|-------------------------------|-----------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------------|----------------|------------------------------|--------------|
| 03                            | - Proofpoint Inc Com<br>743424103 / PFPT            | 588 000      | .23                        | 38,387.25<br>65.284     | 41,542.20<br>70.650       | 3,154.95                        | .15              |                |                              |              |
| 03                            | - Tutor Permi Corp Com<br>901109108 / TPC           | 362.000      | .06                        | 10,298.70<br>28.449     | 10,136.00<br>28.000       | - 162.70                        | .04              |                |                              |              |
| 03                            | - II-VI Inc Com<br>902104108 / IIVI                 | 767.000      | .13                        | 17,000.72<br>22.165     | 22,741.55<br>29.650       | 5,740.83                        | .08              |                |                              |              |
| 03                            | - Woodward Inc Com<br>980745103 / WWD               | 223.000      | .09                        | 15,581.00<br>69.870     | 15,398.15<br>69.050       | - 182.85                        | .05              |                | 98.00<br>0.44000             | 64%          |
| 03                            | - Xpo Logistics Inc Com<br>983793100 / XPO          | 595.000      | .14                        | 18,747.95<br>31.509     | 25,680.20<br>43.160       | 6,932.25                        | .09              |                |                              |              |
| <b>Total Industrials</b>      |                                                     |              |                            | <b>220,389.46</b>       | <b>249,315.38</b>         | <b>28,925.92</b>                | <b>.88</b>       | <b>74.88</b>   | <b>608.00</b>                | <b>-.24%</b> |
| <b>Information Technology</b> |                                                     |              |                            |                         |                           |                                 |                  |                |                              |              |
| 03                            | - Cyberark Software LTD Shs<br>M2682V108 / CYBR     | 493.000      | .13                        | 24,434.48<br>49.563     | 22,431.50<br>45.500       | - 2,002.98                      | .08              |                |                              |              |
| 03                            | - Orbotech LTD<br>M75253100 / ORBK                  | 1,006.000    | .19                        | 25,309.02<br>25.158     | 33,610.46<br>33.410       | 8,301.44                        | .12              |                |                              |              |
| 03                            | - Advanced Micro Devices Inc Com<br>007903107 / AMD | 1,134.000    | .07                        | 12,044.63<br>10.621     | 12,859.56<br>11.340       | 814.93                          | .05              |                |                              |              |
| 03                            | - Advanced Energy Inds Inc Com<br>007973100 / AEIS  | 312.000      | .10                        | 9,731.38<br>31.190      | 17,082.00<br>54.750       | 7,350.62                        | .06              |                |                              |              |
| 03                            | - Belden Inc New Com<br>077454106 / BDC             | 405.000      | .17                        | 27,870.77<br>68.817     | 30,281.85<br>74.770       | 2,411.08                        | .11              | 20.25          | 81.00<br>0.20000             | 27%          |
| 03                            | - Cavium Inc Com<br>14964U108 / CAVM                | 822.000      | .29                        | 48,247.68<br>58.695     | 51,325.68<br>62.440       | 3,078.00                        | .18              |                |                              |              |
| 03                            | - Ceva Inc Com<br>157210105 / CEVA                  | 1,027.000    | .19                        | 34,350.00<br>33.447     | 34,455.85<br>33.550       | 105.85                          | .12              |                |                              |              |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID | Asset Description<br>Asset ID (CUSIP) / Ticker                 | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % Accrued Income | Estimated Annual Income Rate | Market Yield |
|------------|----------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------------|------------------------------|--------------|
| 03         | - CIRRUS Logic Inc Com<br>172755100 / CRUS                     | 323 000      | .10                        | 13,518.94<br>41 854     | 18,262.42<br>56,540       | 4,743.48                        | .06              |                              |              |
| 03         | - Coherent Inc Com<br>192479103 / COHR                         | 363,000      | .28                        | 37,906.55<br>104,426    | 49,870.76<br>137,385      | 11,964.21                       | .18              |                              |              |
| 03         | - Commvault Sys Inc Com<br>204166102 / CVLT                    | 187,000      | .05                        | 10,349.41<br>55,344     | 9,611.80<br>51,400        | - 737.61                        | .03              |                              |              |
| 03         | - Electronics for Imaging Inc Com<br>286082102 / EFIG          | 234,000      | .06                        | 11,423.13<br>48,817     | 10,263.24<br>43 860       | - 1,159.89                      | .04              |                              |              |
| 03         | - Ellie Mae Inc Com<br>28849P100 / ELLI                        | 177,000      | .08                        | 15,173.26<br>85 725     | 14,811.36<br>83 680       | - 361.90                        | .05              |                              |              |
| 03         | - Formfactor Inc Com<br>346375108 / FORM                       | 2,405 000    | .15                        | 25,839.14<br>10 744     | 26,936.00<br>11 200       | 1,096.86                        | .09              |                              |              |
| 03         | - Impinj Inc Com<br>453204109 / PI                             | 1,329 000    | .26                        | 44,488.71<br>33,475     | 46,966.86<br>35,340       | 2,478.15                        | .17              |                              |              |
| 03         | - Littelfuse Inc Com<br>537008104 / LFUS                       | 275,000      | .23                        | 33,182.31<br>120 663    | 41,736.75<br>151,770      | 8,554.44                        | .15              | 363.00<br>1.32000            | 87%          |
| 03         | - Logmein Inc Com<br>54142L109 / LOGM                          | 213 000      | .12                        | 14,130.25<br>66,339     | 20,565.15<br>96,550       | 6,434.90                        | .07              |                              |              |
| 03         | - Macom Technology Solutions Holdings, Inc<br>55405Y100 / MTSI | 981 000      | .26                        | 41,260.14<br>42,059     | 45,400.68<br>46,280       | 4,140.54                        | .16              |                              |              |
| 03         | - Maxlinear Inc Cl A<br>57776J100 / MXL                        | 1,047,000    | .13                        | 21,528.82<br>20 562     | 22,824.60<br>21 800       | 1,295.78                        | .08              |                              |              |
| 03         | - New Relic Inc Com<br>64829B100 / NEWR                        | 943 000      | .15                        | 29,462.45<br>31 243     | 26,639.75<br>28 250       | - 2,822.70                      | .09              |                              |              |
| 03         | - Oclaro Inc Com New<br>67555N206 / OCLR                       | 3,245 000    | .16                        | 29,094.48<br>8,966      | 29,042.75<br>8,950        | - 51.73                         | .10              |                              |              |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID                          | Asset Description<br>Asset ID (CUSIP) / Ticker        | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | %           | Accrued Income | Estimated Annual Income Rate | Market Yield |
|-------------------------------------|-------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|-------------|----------------|------------------------------|--------------|
| 03                                  | - Paycom Software Inc Com<br>70432V102 / PAYC         | 781.000      | 20                         | 27,064.26<br>34.653     | 35,527.69<br>45.490       | 8,463.43                        | .13         |                |                              |              |
| 03                                  | - 2u Inc Com<br>90214J101 / TWOU                      | 1,137.000    | 19                         | 36,363.98<br>31.982     | 34,280.55<br>30.150       | - 2,083.43                      | .12         |                |                              |              |
| 03                                  | - Tyler Technologies Inc Com<br>902252105 / TYL       | 110.000      | .09                        | 16,439.26<br>149.448    | 15,704.70<br>142.770      | - 734.56                        | 06          |                |                              |              |
| 03                                  | - Ultimate Software Group Inc Com<br>90385D107 / ULTI | 92.000       | 09                         | 13,058.48<br>141.940    | 16,776.20<br>182.350      | 3,717.72                        | .06         |                |                              |              |
| 03                                  | - Zendesk Inc Com<br>98936J101 / ZEN                  | 857.000      | 10                         | 23,521.98<br>27.447     | 18,168.40<br>21.200       | - 5,353.58                      | 06          |                |                              |              |
| <b>Total Information Technology</b> |                                                       |              |                            | <b>625,793.51</b>       | <b>685,436.56</b>         | <b>59,643.05</b>                | <b>2.42</b> | <b>20.25</b>   | <b>444.00</b>                | <b>.06%</b>  |
| <b>Materials</b>                    |                                                       |              |                            |                         |                           |                                 |             |                |                              |              |
| 03                                  | - Balchem Corp Com<br>057665200 / BCPG                | 215.000      | 10                         | 17,231.76<br>80.148     | 18,042.80<br>83.920       | 811.04                          | .06         | 81.70          | 81.00                        | 45%          |
| 03                                  | - Berry Plastics Group Inc Com<br>08579W103 / BERY    | 687.000      | .19                        | 24,338.56<br>35.427     | 33,477.51<br>48.730       | 9,138.95                        | .12         |                | 0.38000                      |              |
| 03                                  | - Summit Malls Inc Cl A<br>86614U100 / SUM            | 1,145.444    | .15                        | 24,130.06<br>21.066     | 27,250.11<br>23.790       | 3,120.05                        | .10         |                |                              |              |
| <b>Total Materials</b>              |                                                       |              |                            | <b>65,700.38</b>        | <b>78,770.42</b>          | <b>13,070.04</b>                | <b>.28</b>  | <b>81.70</b>   | <b>81.00</b>                 | <b>.10%</b>  |
| <b>Telecommunication Services</b>   |                                                       |              |                            |                         |                           |                                 |             |                |                              |              |
| 03                                  | - Hubshot Inc Com<br>443573100 / HUBS                 | 692.000      | .18                        | 32,448.35<br>46.891     | 32,524.00<br>47.000       | 75.65                           | 11          |                |                              |              |
| 03                                  | - Vonage Hldgs Corp Com<br>92886T201 / VG             | 3,467.000    | 13                         | 24,197.34<br>6.979      | 23,748.95<br>6.850        | - 448.39                        | 08          |                |                              |              |

**Mercy Works Foundation**

**Asset and Liability Positions  
12/31/16**

| Account ID                              | Asset Description<br>Asset ID (CUSIP) / Ticker                             | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % of Acct at Mkt | Accrued Income | Estimated Annual Income Rate | Market Yield |
|-----------------------------------------|----------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------------|----------------|------------------------------|--------------|
| <b>Total Telecommunication Services</b> |                                                                            |              |                            |                         |                           |                                 |                  |                |                              |              |
|                                         |                                                                            |              | .32                        | 56,645.69               | 56,272.95                 | - 372.74                        | .20              | 0.00           | 0.00                         | .00%         |
|                                         |                                                                            |              | 11.18                      | 1,807,313.13            | 1,989,362.11              | 182,048.98                      | 7.01             | 1,137.23       | 7,075.00                     | .36%         |
| <b>Total Common Stocks</b>              |                                                                            |              |                            |                         |                           |                                 |                  |                |                              |              |
| <b>Unclassified</b>                     |                                                                            |              |                            |                         |                           |                                 |                  |                |                              |              |
| 02                                      | - Safeway Casa Ley Cvr<br>786CVR209                                        | 128,000      | .00                        | 129.91<br>1.075         | 129.91                    | 0.00                            | 00               |                |                              |              |
| 02                                      | - Safeway Pdc, LLC Cvr<br>786CVR308                                        | 128,000      | 00                         | 6.25<br>0.049           | 6.25                      | 0.00                            | 00               |                |                              |              |
|                                         |                                                                            |              | .00                        | 136.16                  | 136.16                    | 0.00                            | .00              | 0.00           | 0.00                         | .00%         |
| <b>Total Unclassified</b>               |                                                                            |              |                            |                         |                           |                                 |                  |                |                              |              |
| <b>Equity Funds</b>                     |                                                                            |              |                            |                         |                           |                                 |                  |                |                              |              |
| <b>Small Cap</b>                        |                                                                            |              |                            |                         |                           |                                 |                  |                |                              |              |
| 01                                      | - Frontier Netols Small Cap Value Fund<br>Inst 35908Y302 / FNSVX           | 174,526 019  | 9.33                       | 1,803,448.66<br>10.333  | 1,659,742.44<br>9.510     | - 143,706.22                    | 5.85             |                | 666.00<br>0.00382            | 04%          |
|                                         |                                                                            |              | 9.33                       | 1,803,448.66            | 1,659,742.44              | - 143,706.22                    | 5.85             | 0.00           | 666.00                       | .04%         |
| <b>Total Small Cap</b>                  |                                                                            |              |                            |                         |                           |                                 |                  |                |                              |              |
| <b>Mid Cap</b>                          |                                                                            |              |                            |                         |                           |                                 |                  |                |                              |              |
| 01                                      | - Wells Fargo Special Mid Cap Value Fund - Inst #3138<br>949915482 / WFMIX | 44,657 607   | 9.04                       | 1,333,185.62<br>29.853  | 1,608,120.43<br>36.010    | 274,934.81                      | 5.67             |                | 14,603.00<br>0.32700         | 91%          |
|                                         |                                                                            |              | 9.04                       | 1,333,185.62            | 1,608,120.43              | 274,934.81                      | 5.67             | 0.00           | 14,603.00                    | .91%         |
| <b>Total Mid Cap</b>                    |                                                                            |              |                            |                         |                           |                                 |                  |                |                              |              |
| <b>Large Cap</b>                        |                                                                            |              |                            |                         |                           |                                 |                  |                |                              |              |
| 01                                      | - American Beacon Large Cap Value Fund - Inst #66<br>02368A208 / AADEX     | 50,256.507   | 7.78                       | 1,013,413.19<br>20.165  | 1,385,069.33<br>27.560    | 371,656.14                      | 4.88             |                | 30,204.00<br>0.60100         | 2.18%        |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID                 | Asset Description<br>Asset ID (CUSIP) / Ticker                           | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % of Acct at Mkt | Accrued Income | Estimated Annual Income Rate | Market Yield |
|----------------------------|--------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------------|----------------|------------------------------|--------------|
| 01                         | - Dodge & Cox Stock Fund #145<br>256219106 / DODGX                       | 8,965 531    | 9 29                       | 979,600 25<br>109.263   | 1,652,347 36<br>184.300   | 672,747 11                      | 5 82             |                | 27,165.00<br>3 03000         | 1.64%        |
| 01                         | - Primecap Odyssey Growth Fund #1650<br>74160Q103 / POGRX                | 58,199 289   | 9 37                       | 869,042.40<br>14.932    | 1,666,827.64<br>28 640    | 797,785.24                      | 5 87             |                | 7,973 00<br>0 13700          | .48%         |
| 01                         | - Vanguard 500 Index Fund Adm #540<br>922908710 / VFIAX                  | 7,435 012    | 8 63                       | 775,661.95<br>104 326   | 1,535,850.43<br>206.570   | 760,188.48                      | 5 41             |                | 30,996.00<br>4.16900         | 2.02%        |
| 01                         | - Wells Fargo Growth Fund - Admin<br>#3608<br>949915698 / SGRKX          | 39,984 667   | 8 20                       | 1,376,476 96<br>34.425  | 1,458,640 65<br>36 480    | 82,163 69                       | 5 14             |                |                              |              |
| <b>Total Large Cap</b>     |                                                                          |              | <b>43.26</b>               | <b>5,014,194.75</b>     | <b>7,698,735.41</b>       | <b>2,684,540.66</b>             | <b>27.13</b>     | <b>0.00</b>    | <b>96,338.00</b>             | <b>1.25%</b> |
| <b>International</b>       |                                                                          |              |                            |                         |                           |                                 |                  |                |                              |              |
| 01                         | - Dodge & Cox International Stock<br>Fund #1048<br>256206103 / DODFX     | 21,065 748   | 4 51                       | 827,138 52<br>39.265    | 802,605 00<br>38 100      | - 24,533.52                     | 2 83             |                | 17,926.00<br>0 85100         | 2.23%        |
| 01                         | - WCM Focused International Growth<br>Fund<br>461418444 / WCMIX          | 72,437 168   | 4 90                       | 790,254 73<br>10.910    | 872,867 87<br>12.050      | 82,613.14                       | 3 08             |                | 4,425 00<br>0 06109          | .51%         |
| 01                         | - Litman Gregory Masters International<br>Fund- Ins<br>537007207 / MSILX | 143,257 103  | 11 89                      | 1,593,144 51<br>11 121  | 2,115,907 41<br>14 770    | 522,762 90                      | 7 46             |                |                              |              |
| <b>Total International</b> |                                                                          |              | <b>21.30</b>               | <b>3,210,537.76</b>     | <b>3,791,380.28</b>       | <b>580,842.52</b>               | <b>13.36</b>     | <b>0.00</b>    | <b>22,351.00</b>             | <b>.59%</b>  |
| <b>Balanced</b>            |                                                                          |              |                            |                         |                           |                                 |                  |                |                              |              |
| 01                         | - Westwood Income Opportunity Fund<br>Class I #2690<br>0075W0775 / WHGIX | 71,069 861   | 5 89                       | 1,028,168 49<br>14.467  | 1,048,280 45<br>14 750    | 20,111 96                       | 3 69             |                | 15,208.00<br>0 21400         | 1.45%        |
| <b>Total Balanced</b>      |                                                                          |              | <b>5.89</b>                | <b>1,028,168.49</b>     | <b>1,048,280.45</b>       | <b>20,111.96</b>                | <b>3.69</b>      | <b>0.00</b>    | <b>15,208.00</b>             | <b>1.45%</b> |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID                                                                  | Asset Description<br>Asset ID (CUSIP) / Ticker                                                             | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % of Acct at Mkt | Accrued Income | Estimated Annual Income Rate | Market Yield |
|-----------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------------|----------------|------------------------------|--------------|
| <b>Total Equity Funds</b>                                                   |                                                                                                            |              |                            |                         |                           |                                 |                  |                |                              |              |
|                                                                             |                                                                                                            |              | 88.82                      | 12,389,535.28           | 15,806,259.01             | 3,416,723.73                    | 55.70            | 0.00           | 149,166.00                   | .94%         |
|                                                                             |                                                                                                            |              | 100.00                     | 14,196,984.57           | 17,795,757.28             | 3,598,772.71                    | 62.71            | 1,137.23       | 156,241.00                   | .88%         |
| <b>Total Equity</b>                                                         |                                                                                                            |              |                            |                         |                           |                                 |                  |                |                              |              |
| <b>Fixed Income Treasury and Federal Agencies Short (Less Than 5 Years)</b> |                                                                                                            |              |                            |                         |                           |                                 |                  |                |                              |              |
| 05                                                                          | - Federal Natl Mtg Assn Gtd Passthru CTF Pool #254833 4.500% Dtd 07/01/2003 Due 08/01/2018 IPD24 31371LBA6 | 2,158,820    | 03                         | 2,206.40<br>102.204     | 2,218.73<br>102.775       | 12.33                           | .01              | 8.09           | 97.00<br>0.04500             | 4.38%        |
| 05                                                                          | - Federal Natl Mtg Assn Gtd Passthru CTF Pool #745179 5.000% Dtd 12/01/05 Due 04/01/2019 IPD24 31403C2L4   | 1,339,470    | .02                        | 1,367.15<br>102.066     | 1,370.81<br>102.340       | 3.66                            | 00               | 5.58           | 66.00<br>0.05000             | 4.89%        |
| 05                                                                          | - Federal Natl Mtg Assn Gtd Passthru CTF Pool #MA0124 4.000% Dtd 06/01/2009 Due 07/01/2019 IPD24 31417YD61 | 12,785,440   | .18                        | 13,526.18<br>105.794    | 13,163.76<br>102.959      | - 362.42                        | 05               | 42.61          | 511.00<br>0.04000            | 3.89%        |
| 05                                                                          | - Federal Natl Mtg Assn Gtd Passthru CTF Pool #MA0803 3.000% Dtd 06/01/2011 Due 07/01/2021 IPD24 31417Y3M7 | 11,500,390   | .17                        | 11,931.65<br>103.750    | 11,811.82<br>102.708      | - 119.83                        | 04               | 28.75          | 345.00<br>0.03000            | 2.92%        |
| 05                                                                          | - Federal Natl Mtg Assn Gtd Passthru CTF Pool #MA0865 3.000% Dtd 09/01/2011 Due 10/01/2021 IPD24 31417Y6B8 | 14,826,890   | 21                         | 15,426.92<br>104.047    | 15,235.07<br>102.753      | - 191.85                        | 05               | 37.06          | 444.00<br>0.03000            | 2.92%        |
| 05                                                                          | - Federal Natl Mtg Assn Gtd Passthru CTF Pool #MA0921 3.000% Dtd 11/01/11 Due 12/01/2021 IPD24 31418AAX6   | 28,192,400   | .41                        | 29,637.26<br>105.125    | 28,991.65<br>102.835      | - 645.61                        | 10               | 70.48          | 845.00<br>0.03000            | 2.92%        |

**Mercy Works Foundation**

**Asset and Liability Positions  
12/31/16**

| Account ID | Asset Description<br>Asset ID (CUSIP) / Ticker                                                                       | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % of Acct at Mkt | Accrued Income | Estimated Annual Income Rate | Market Yield |
|------------|----------------------------------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------------|----------------|------------------------------|--------------|
| 05         | - US Treasury Note<br>2 00% Dtd 02/28/2014 Due 02/28/2021<br>912828B90                                               | 75,000.000   | 1 06                       | 73,842.77<br>98.457     | 75,609.75<br>100.813      | 1,766.98                        | 27               | 509.66         | 1,500.00<br>0.02000          | 1.98%        |
| 04         | - US Treasury Note<br>0 125% Dtd 04/15/2014 Due 04/15/2019<br>Treasury Inflation Protection<br>Security<br>912828C99 | 56,736.900   | .80                        | 57,746.06<br>101.779    | 57,460.86<br>101.276      | - 285.20                        | .20              | 15.16          | 70.00<br>0.00125             | .12%         |
| 04         | - US Treasury Note<br>1 625% Dtd 01/15/08 Due 01/15/2018<br>Treasury Inflation Protection<br>Security<br>912828HN3   | 23,076.200   | 33                         | 23,684.27<br>102.635    | 23,685.18<br>102.639      | 0.91                            | 08               | 172.58         | 374.00<br>0.01625            | 1.58%        |
| 04         | - US Treasury Note<br>1 375% Dtd 07/15/08 Due 07/15/2018<br>(Treasury Inflation Protection<br>Security)<br>912828JE1 | 16,814.100   | 24                         | 16,239.06<br>96.580     | 17,453.71<br>103.804      | 1,214.65                        | 06               | 106.40         | 231.00<br>0.01375            | 1.32%        |
| 04         | - US Treasury Note<br>2 125% Dtd 01/15/2009 Due 01/15/2019<br>Treasury Inflation Protection<br>Security<br>912828JX9 | 22,517.000   | 33                         | 24,004.90<br>106.608    | 23,799.57<br>105.696      | - 205.33                        | .08              | 220.21         | 478.00<br>0.02125            | 2.01%        |
| 04         | - US Treasury Note<br>0 125% Dtd 04/15/2015 Due 04/15/2020<br>Treasury Inflation Protection<br>Security<br>912828K33 | 46,448.550   | .66                        | 46,921.89<br>101.019    | 46,945.55<br>101.070      | 23.66                           | 17               | 12.41          | 58.00<br>0.00125             | 12%          |
| 04         | - US Treasury Note<br>1 875% Dtd 07/15/2009 Due 07/15/2019<br>Treasury Inflation Protection<br>Security<br>912828LA6 | 16,981.200   | 25                         | 17,640.42<br>103.882    | 18,088.88<br>106.523      | 448.46                          | 06               | 146.53         | 318.00<br>0.01875            | 1.76%        |

**Mercy Works Foundation**

**Asset and Liability Positions  
12/31/16**

| Account ID | Asset Description<br>Asset ID (CUSIP) / Ticker                                                                    | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % of Acct at Mkt | Accrued Income | Estimated Annual Income Rate | Market Yield |
|------------|-------------------------------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------------|----------------|------------------------------|--------------|
| 05         | - US Treasury Note<br>3 375% Dtd 11/15/2009 Due 11/15/2019<br>912828LY4                                           | 100,000 000  | 1.48                       | 97,359.40<br>97.359     | 105,526.00<br>105.526     | 8,166.60                        | .37              | 438.19         | 3,375.00<br>0.03375          | 3.20%        |
| 05         | - US Treasury Note<br>1 375% Dtd 08/31/2015 Due 08/31/2020<br>912828L32                                           | 100,000 000  | 1.39                       | 99,351.56<br>99.352     | 98,975.00<br>98.975       | - 376.56                        | .35              | 467.19         | 1,375.00<br>0.01375          | 1.39%        |
| 04         | - US Treasury Note<br>1 375% Dtd 01/15/2010 Due 01/15/2020<br>Treasury Inflation Protection Security<br>912828MF4 | 33,534 000   | .49                        | 34,729.43<br>103.565    | 35,283.13<br>105.216      | 553.70                          | 12               | 212.21         | 461.00<br>0.01375            | 1.31%        |
| 04         | - US Treasury Note<br>1.25% Dtd 07/15/2010 Due 07/15/2020<br>Treasury Inflation Protection Security<br>912828NM8  | 44,334,800   | .66                        | 47,301.62<br>106.692    | 46,844.15<br>105.660      | - 457.47                        | .17              | 255.05         | 554.00<br>0.01250            | 1.18%        |
| 04         | - US Treasury Note<br>1.125% Dtd 01/15/2011 Due 01/15/2021<br>Treasury Inflation Protection Security<br>912828PP9 | 44,199,600   | .65                        | 45,726.46<br>103.454    | 46,399.86<br>104.978      | 673.40                          | .16              | 228.85         | 497.00<br>0.01125            | 1.07%        |
| 05         | - US Treasury Note<br>2 875% Dtd 03/31/2011 Due 03/31/2018<br>912828QB9                                           | 150,000 000  | 2.15                       | 153,337.92<br>102.225   | 153,552.00<br>102.368     | 214.08                          | 54               | 1,101.82       | 4,312.00<br>0.02875          | 2.81%        |
| 05         | - US Treasury Note<br>3 125% Dtd 05/15/2011 Due 05/15/2021<br>912828QN3                                           | 100,000 000  | 1.48                       | 99,042.97<br>99.043     | 105,397.00<br>105.397     | 6,354.03                        | 37               | 405.73         | 3,125.00<br>0.03125          | 2.96%        |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID | Asset Description<br>Asset ID (CUSIP) / Ticker                                                                    | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % of Acct at Mkt | Accrued Income | Estimated Annual Income Rate | Market Yield |
|------------|-------------------------------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------------|----------------|------------------------------|--------------|
| 04         | - US Treasury Note<br>0 625% Dtd 07/15/2011 Due 07/15/2021<br>Treasury Inflation Protection Security<br>912828QV5 | 48,261,600   | .70                        | 50,627.18<br>104,902    | 49,835.89<br>103,262      | -791.29                         | .18              | 138.82         | 301.00<br>0.00625            | 61%          |
| 04         | - US Treasury Note<br>0 125% Dtd 04/15/2016 Due<br>Treasury Inflation Protection Security<br>912828Q60            | 30,596,400   | .43                        | 31,152.63<br>101,818    | 30,791.61<br>100,638      | -361.02                         | 11               | 8.17           | 38.00<br>0.00125             | 12%          |
| 05         | - US Treasury Note<br>2 125% Dtd 08/15/2011 Due 08/15/2021<br>912828RC6                                           | 100,000,000  | 1.41                       | 100,844.15<br>100,844   | 100,967.00<br>100,967     | 122.85                          | 36               | 802.64         | 2,125.00<br>0.02125          | 2 10%        |
| 05         | - US Treasury Note<br>1 00% Dtd 08/31/2012 Due 08/31/2019<br>912828TN0                                            | 150,000,000  | 2.08                       | 149,768.99<br>99,846    | 148,609.50<br>99,073      | -1,159.49                       | 52               | 509.66         | 1,500.00<br>0.01000          | 1 01%        |
| 05         | - US Treasury Note<br>0 75% Dtd 12/31/2012 Due 12/31/2017<br>912828UE8                                            | 100,000,000  | 1.40                       | 99,609.38<br>99,609     | 99,850.00<br>99,850       | 240.62                          | .35              | 2.07           | 750.00<br>0.00750            | .75%         |
| 04         | - US Treasury Note<br>0 125% Dtd 04/15/2013 Due 04/15/2018<br>Treasury Inflation Protection Security<br>912828UX6 | 57,512,400   | .81                        | 59,003.48<br>102,593    | 58,050.72<br>100,936      | -952.76                         | 20               | 15.36          | 71.00<br>0.00125             | 12%          |
| 05         | - US Treasury Note<br>1 875% Dtd 06/30/2013 Due 06/30/2020<br>912828VJ6                                           | 100,000,000  | 1.41                       | 99,867.19<br>99,867     | 100,983.00<br>100,983     | 1,115.81                        | 36               | 5.17           | 1,875.00<br>0.01875          | 1 86%        |
| 05         | - US Treasury Note<br>1 50% Dtd 05/31/2014 Due 05/31/2019<br>912828WL0                                            | 150,000,000  | 2.11                       | 148,830.08<br>99,220    | 150,715.50<br>100,477     | 1,885.42                        | 53               | 197.80         | 2,250.00<br>0.01500          | 1 49%        |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID                             | Asset Description<br>Asset ID (CUSIP) / Ticker                                                                    | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % of Acct at Mkt | Accrued Income  | Estimated Annual Income Rate | Market Yield |
|----------------------------------------|-------------------------------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------------|-----------------|------------------------------|--------------|
| 05                                     | - US Treasury Note<br>0 875% Dtd 09/15/2019 Due 09/15/2019<br>9128282G4                                           | 60,000 000   | .83                        | 60,039.84<br>100.066    | 59,217.00<br>98.695       | - 822.84                        | 21               | 156.63          | 525.00<br>0.00875            | 89%          |
| <b>Total Short (Less Than 5 Years)</b> |                                                                                                                   |              | <b>24.18</b>               | <b>1,710,767.21</b>     | <b>1,726,832.70</b>       | <b>16,065.49</b>                | <b>6.09</b>      | <b>6,320.88</b> | <b>28,471.00</b>             | <b>1.65%</b> |
| <b>Intermediate (5-10 Years)</b>       |                                                                                                                   |              |                            |                         |                           |                                 |                  |                 |                              |              |
| 05                                     | - Federal Home Ln Mtg Corp Partn Gold<br>Group #G12619 5.000% Dtd 03/01/2007<br>Due 04/01/2022 IPD14<br>3128MBDY0 | 38,226.830   | .57                        | 40,998.27<br>107.250    | 40,771.97<br>106.658      | - 226.30                        | .14              | 159.27          | 1,911.00<br>0.05000          | 4.69%        |
| 05                                     | - Federal Home Ln Mtg Corp Partn Gold<br>Group #G12978 5.500% Dtd 01/01/2008<br>Due 12/01/2022 IPD14<br>3128MBQ75 | 2,976.260    | .04                        | 3,042.46<br>102.224     | 3,191.38<br>107.228       | 148.92                          | 01               | 13.64           | 163.00<br>0.05500            | 5.13%        |
| 05                                     | - Federal Home Ln Mtg Corp Partn Gold<br>Group #G13022 5.500% Dtd 02/01/08<br>Due 02/01/2023 IPD14<br>3128MBSK4   | 109,278.720  | 1.65                       | 118,772.30<br>108.687   | 117,720.50<br>107.725     | - 1,051.80                      | 41               | 500.86          | 6,010.00<br>0.05500          | 5.11%        |
| 05                                     | - Federal Home Ln Mtg Corp Partn Gold<br>Group #G13201 4.500% Dtd 06/01/2008<br>Due 07/01/2023 IPD14<br>3128MBX69 | 1,330.970    | .02                        | 1,344.08<br>100.985     | 1,410.50<br>105.975       | 66.42                           | .00              | 4.99            | 59.00<br>0.04500             | 4.25%        |
| 05                                     | - Federal Home Ln Mtg Corp Partn Gold<br>Group #G13232 5.000% Dtd 07/01/2008<br>Due 06/01/2023 IPD14<br>3128MBY50 | 2,156.140    | .03                        | 2,201.62<br>102.109     | 2,310.26<br>107.148       | 108.64                          | 01               | 8.98            | 107.00<br>0.05000            | 4.67%        |
| 05                                     | - Federal Home Ln Mtg Corp Partn Gold<br>Group #G13767 4.000% Dtd 02/01/2010<br>Due 03/01/2025 IPD14<br>3128MCMQ5 | 11,868.420   | 18                         | 12,053.87<br>101.563    | 12,518.22<br>105.475      | 464.35                          | .04              | 39.56           | 474.00<br>0.04000            | 3.79%        |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID | Asset Description<br>Asset ID (CUSIP) / Ticker                                                                | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % of Acct at Mkt | Accrued Income | Estimated Annual Income Rate | Market Yield |
|------------|---------------------------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------------|----------------|------------------------------|--------------|
| 05         | - Federal Home Ln Mtg Corp Partn Gold Group #G18316 4.000% Dtd 07/01/2009 Due 07/01/2024 IPD14<br>3128MMK69   | 7,207 100    | 11                         | 7,409 79<br>102.812     | 7,600 39<br>105.457       | 190 60                          | 03               | 24 02          | 288 00<br>0 04000            | 3 79%        |
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #AH3431 3.500% Dtd 01/01/2011 Due 01/01/2026 IPD24<br>3138A4Y58 | 34,602 680   | .51                        | 36,581 50<br>105 719    | 36,098 90<br>104 324      | - 482 60                        | .13              | 100.92         | 1,211.00<br>0.03500          | 3.35%        |
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #AL7938 3 000% Dtd 01/01/2016 Due 04/01/2025 IPD24<br>3138EQZC9 | 75,944.180   | 1.10                       | 79,409 14<br>104.563    | 78,288 58<br>103.087      | - 1,120 56                      | 28               | 189 86         | 2,278 00<br>0 03000          | 2 91%        |
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #AJ7717 3 000% Dtd 12/01/2011 Due 12/01/2026 IPD24<br>3138E0SF7 | 35,546 910   | .51                        | 36,213 42<br>101 875    | 36,554.31<br>102 834      | 340 89                          | 13               | 88.86          | 1,066.00<br>0.03000          | 2.92%        |
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #983629 4 500% Dtd 05/01/2008 Due 05/01/2023 IPD24<br>31415LVW4 | 1,798.740    | .03                        | 1,828.48<br>101.653     | 1,908.63<br>106.709       | 80 15                           | .01              | 6.74           | 80.00<br>0.04500             | 4.24%        |
| 04         | - US Treasury Bond 2 375% Dtd 07/15/04 Due 01/15/2025 Treasury Inflation Protection Security<br>912810FR4     | 32,058.750   | .52                        | 32,438.26<br>101 184    | 36,835 50<br>114 900      | 4,397.24                        | .13              | 350.42         | 761.00<br>0.02375            | 2 07%        |
| 04         | - US Treasury Bond 2 00% Dtd 01/15/2006 Due 01/15/2026 Treasury Inflation Protection Security<br>912810FS2    | 30,446 750   | 48                         | 29,129 23<br>95.673     | 34,329 32<br>112 752      | 5,200 09                        | 12               | 280 25         | 608 00<br>0.02000            | 1.77%        |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID | Asset Description<br>Asset ID (CUSIP) / Ticker                                                                    | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | %   | Accrued Income | Estimated Annual Income Rate | Market Yield |
|------------|-------------------------------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|-----|----------------|------------------------------|--------------|
| 04         | - US Treasury Note<br>0 625% Dtd 01/15/2014 Due 01/15/2024<br>Treasury Inflation Protection Security<br>912828B25 | 56,977 250   | 81                         | 58,008.62<br>101 810    | 58,026.20<br>101 841      | 17 58                           | 20  | 163 89         | 356.00<br>0.00625            | 61%          |
| 05         | - US Treasury Note<br>2 75% Dtd 02/15/2014 Due 02/15/2024<br>912828B66                                            | 75,000,000   | 1.08                       | 76,833.46<br>102.445    | 77,475.75<br>103.301      | 642.29                          | .27 | 779.04         | 2,062.00<br>0.02750          | 2.66%        |
| 05         | - US Treasury Note<br>2.25% Dtd 11/15/2014 Due 11/15/2024<br>912828G38                                            | 50,000,000   | 70                         | 51,061 34<br>102 123    | 49,702.50<br>99 405       | - 1,358 84                      | 18  | 146.06         | 1,125 00<br>0 02250          | 2.26%        |
| 04         | - US Treasury Note<br>0 25% Dtd 01/15/2015 Due 01/15/2025<br>Treasury Inflation Protection Security<br>912828H45  | 40,821.600   | .56                        | 41,615 54<br>101 945    | 40,172 54<br>98.410       | - 1,443.00                      | .14 | 46 96          | 102 00<br>0 00250            | 25%          |
| 05         | - US Treasury Note<br>2 00% Dtd 02/15/2015 Due 02/15/2025<br>912828J27                                            | 50,000,000   | .68                        | 50,112 97<br>100 226    | 48,674.00<br>97.348       | - 1,438.97                      | .17 | 377.71         | 1,000 00<br>0 02000          | 2.05%        |
| 05         | - US Treasury Note<br>Zero Dtd 08/15/2015 Due 08/15/2025<br>912828K74                                             | 75,000 000   | 1.02                       | 74,930.66<br>99.908     | 72,665 25<br>96 887       | - 2,265 41                      | 26  | 566.57         | 1,500.00<br>0.02000          | 2 06%        |
| 05         | - US Treasury Note<br>1 875% Dtd 10/31/2015 Due 10/31/2022<br>912828M49                                           | 75,000 000   | 1.04                       | 74,050.78<br>98 734     | 74,016 00<br>98.688       | - 34 78                         | .26 | 240.85         | 1,406 00<br>0 01875          | 1 90%        |
| 04         | - US Treasury Note<br>0 625% Dtd 01/15/2016 Due 01/15/2026<br>Treasury Inflation Protection Security<br>912828N71 | 35,605 150   | .50                        | 36,425 77<br>102.305    | 35,938.06<br>100.935      | - 487 71                        | .13 | 102 42         | 222 00<br>0.00625            | 62%          |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID | Asset Description<br>Asset ID (CUSIP) / Ticker                                                                    | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | %   | Accrued Income | Estimated Annual Income Rate | Market Yield |
|------------|-------------------------------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|-----|----------------|------------------------------|--------------|
| 04         | - US Treasury Note<br>0 125% Dtd 01/15/2012 Due 01/15/2022<br>Treasury Inflation Protection Security<br>912828SA9 | 58,738 350   | .82                        | 61,629 17<br>104.922    | 58,885 20<br>100 250      | - 2,743.97                      | 21  | 33 79          | 73 00<br>0 00125             | 12%          |
| 04         | - US Treasury Note<br>0.125% Dtd 07/15/2016 Due<br>Treasury Inflation Protection Security<br>912828S50            | 15,126 300   | .20                        | 15,380 60<br>101.681    | 14,635.75<br>96.757       | - 744.85                        | .05 | 8.70           | 18.00<br>0.00125             | 13%          |
| 04         | - US Treasury Note<br>0 125% Dtd 07/15/2012 Due 07/15/2022<br>Treasury Inflation Protection Security<br>912828TE0 | 52,556.000   | .74                        | 57,147 00<br>108 735    | 52,731 54<br>100.334      | - 4,415 46                      | 19  | 30.23          | 65 00<br>0 00125             | 12%          |
| 04         | - US Treasury Note<br>0 125% Dtd 01/15/2013 Due 01/15/2023<br>Treasury Inflation Protection Security<br>912828UH1 | 52,360.500   | .73                        | 54,895.99<br>104 842    | 52,016 49<br>99.343       | - 2,879 50                      | 18  | 30 12          | 65 00<br>0 00125             | .13%         |
| 04         | - US Treasury Note<br>0.375% Dtd 07/15/2013 Due 07/15/2023<br>Treasury Inflation Protection Security<br>912828VM9 | 46,740.600   | .66                        | 46,236.80<br>98 922     | 47,198.19<br>100.979      | 961.39                          | .17 | 80.66          | 175 00<br>0.00375            | .37%         |
| 05         | - US Treasury Note<br>2 50% Dtd 08/15/2013 Due 08/15/2023<br>912828VS6                                            | 100,000 000  | 1 43                       | 104,712 24<br>104 712   | 101,791.00<br>101 791     | - 2,921.24                      | .36 | 944 29         | 2,500 00<br>0.02500          | 2 46%        |
| 04         | - US Treasury Note<br>0 125% Dtd 07/15/2014 Due 07/15/2024<br>Treasury Inflation Protection Security<br>912828WU0 | 45,810 000   | 63                         | 44,963.56<br>98 152     | 45,042.22<br>98 324       | 78.66                           | 16  | 26.35          | 57 00<br>0 00125             | 13%          |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID                             | Asset Description<br>Asset ID (CUSIP) / Ticker                                                                       | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % of Acct at Mkt | Accrued Income  | Estimated Annual Income Rate | Market Yield |
|----------------------------------------|----------------------------------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------------|-----------------|------------------------------|--------------|
| 04                                     | - US Treasury Note<br>0 375% Dtd 07/15/2015 Due 07/15/2025<br>Treasury Inflation Protection<br>Security<br>912828XL9 | 45,868 050   | .64                        | 46,177 75<br>100 675    | 45,644 67<br>99 513       | - 533 08                        | 16               | 79 16           | 172.00<br>0 00375            | 38%          |
| <b>Total Intermediate (5-10 Years)</b> |                                                                                                                      |              | <b>17.98</b>               | <b>1,295,604.67</b>     | <b>1,284,153.82</b>       | <b>- 11,450.85</b>              | <b>4.53</b>      | <b>5,425.17</b> | <b>25,914.00</b>             | <b>2.02%</b> |
| <b>Long (Over 10 Years)</b>            |                                                                                                                      |              |                            |                         |                           |                                 |                  |                 |                              |              |
| 05                                     | - Federal Home Ln Mtg Corp Parth Gold<br>Group #G02344 5.000% Dtd 09/01/2006<br>Due 10/01/2036 IPD14<br>3128LXS92    | 3,749 900    | 06                         | 3,862 44<br>103 001     | 4,103.85<br>109,439       | 241 41                          | .01              | 15 62           | 187 00<br>0 05000            | 4 57%        |
| 05                                     | - Federal Home Ln Mtg Corp Parth Gold<br>Group #G07849 3.500% Dtd 10/01/2014<br>Due 05/01/2044 IPD14<br>3128MABS7    | 77,328 150   | 1 11                       | 80,134.31<br>103.629    | 79,610.88<br>102 952      | - 523.43                        | 28               | 225 54          | 2,706 00<br>0 03500          | 3.40%        |
| 05                                     | - Federal Home Ln Mtg Corp Parth Gold<br>Group #G08079 5.000% Dtd 09/01/2005<br>Due 09/01/2035 IPD14<br>3128MJCR9    | 5,026.340    | .08                        | 5,105 29<br>101 571     | 5,475 39<br>108 934       | 370.10                          | .02              | 20.94           | 251.00<br>0,05000            | 4.59%        |
| 05                                     | - Federal Home Ln Mtg Corp Parth Gold<br>Group #G08200 6.000% Dtd 05/01/07<br>Due 05/01/2037 IPD14<br>3128MJGJ3      | 7,586.280    | .12                        | 7,807.97<br>102 922     | 8,605 80<br>113 439       | 797 83                          | .03              | 37.93           | 455.00<br>0 06000            | 5.29%        |
| 05                                     | - Federal Home Ln Mtg Corp Parth Gold<br>Group #G08255 5.000% Dtd 03/01/2008<br>Due 03/01/2038 IPD14<br>3128MJH94    | 4,240 940    | 06                         | 4,317 75<br>101 811     | 4,618.98<br>108 914       | 301 23                          | 02               | 17 67           | 212 00<br>0 05000            | 4.59%        |
| 05                                     | - Federal Home Ln Mtg Corp Parth Gold<br>Group #G08331 4.500% Dtd 02/01/2009<br>Due 02/01/2039 IPD14<br>3128MJLMO    | 6,617.100    | .10                        | 6,976.90<br>105.437     | 7,112.39<br>107 485       | 135 49                          | .03              | 24.81           | 297.00<br>0.04500            | 4 19%        |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID | Asset Description<br>Asset ID (CUSIP) / Ticker                                                                | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct at Mkt | Accrued Income | Estimated Annual Income Rate | Market Yield |
|------------|---------------------------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|-----------------------|----------------|------------------------------|--------------|
| 05         | - Federal Home Ln Mtg Corp Partn Gold Group #G08332 4.500% Dtd 03/01/2009 Due 03/01/2039 IPD14<br>3128MJLN8   | 20,332.530   | .31                        | 22,108.45<br>108.734    | 21,863.98<br>107.532      | 08                    | 76.24          | 914.00<br>0.04500            | 4.18%        |
| 05         | - Federal Home Ln Mtg Corp Partn Gold Group #G08500 3.500% Dtd 07/01/2012 Due 07/01/2042 IPD14<br>3128MJRW2   | 51,770.260   | .75                        | 55,086.79<br>106.406    | 53,323.89<br>103.001      | .19                   | 150.99         | 1,811.00<br>0.03500          | 3.40%        |
| 05         | - Federal Home Ln Mtg Corp Partn Gold Group #G08558 4.000% Dtd 11/01/2013 Due 11/01/2043 IPD14<br>3128MJTQ3   | 36,996.220   | .54                        | 38,950.09<br>105.281    | 38,858.98<br>105.035      | 14                    | 123.32         | 1,479.00<br>0.04000          | 3.81%        |
| 05         | - Federal Home Ln Mtg Corp Partn Gold Group #G03927 5.500% Dtd 02/01/2008 Due 01/01/2038 IPD14<br>3128M5VU1   | 2,667.170    | .04                        | 2,725.45<br>102.185     | 2,971.33<br>111.404       | 01                    | 12.22          | 146.00<br>0.05500            | 4.94%        |
| 05         | - Federal Home Ln Mtg Corp Partn Gold Group #G04804 4.500% Dtd 10/01/2008 Due 05/01/2037 IPD14<br>3128M6U55   | 19,846.140   | 30                         | 21,675.71<br>109.219    | 21,385.01<br>107.754      | .08                   | 74.42          | 893.00<br>0.04500            | 4.18%        |
| 05         | - Federal Home Ln Mtg Corp Partn Gold Group #C01844 4.500% Dtd 04/01/2004 Due 04/01/2034 IPD14<br>31292JBM1   | 10,822.070   | 16                         | 10,788.21<br>99.687     | 11,662.84<br>107.769      | .04                   | 40.58          | 486.00<br>0.04500            | 4.18%        |
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #255769 5.000% Dtd 06/01/2005 Due 07/01/2035 IPD24<br>31371MCE5 | 6,526.220    | 10                         | 6,667.67<br>102.167     | 7,127.02<br>109.206       | 03                    | 27.19          | 326.00<br>0.05000            | 4.58%        |
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #256022 5.500% Dtd 11/01/05 Due 12/01/2035 IPD24<br>31371MLB1   | 10,447.740   | 16                         | 10,790.14<br>103.277    | 11,664.38<br>111.645      | 04                    | 47.88          | 574.00<br>0.05500            | 4.93%        |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID | Asset Description<br>Asset ID (CUSIP) / Ticker                                                             | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % of Acct at Mkt | Accrued Income | Estimated Annual Income Rate | Market Yield |
|------------|------------------------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------------|----------------|------------------------------|--------------|
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #AL0065 4 500% Dtd 03/01/2011 Due 04/01/2041 IPD24 3138EGCB8 | 13,822 070   | .21                        | 14,666 51<br>106 109    | 14,901 85<br>107 812      | 235 34                          | 05               | 51 83          | 621 00<br>0 04500            | 4 17%        |
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #AL2118 4 000% Dtd 07/01/2012 Due 05/01/2042 IPD24 3138EJK80 | 73,207 610   | 1 08                       | 76,444 80<br>104 422    | 77,253 06<br>105 526      | 808 26                          | .27              | 244 02         | 2,928 00<br>0 04000          | 3 79%        |
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #AL2461 3 000% Dtd 09/01/2012 Due 10/01/2027 IPD24 3138EJWX2 | 39,854 080   | 57                         | 41,317 47<br>103 672    | 40,985 14<br>102 838      | - 332 33                        | 14               | 99 63          | 1,195 00<br>0 03000          | 2 92%        |
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #735022 5 500% Dtd 11/01/2004 Due 12/01/2034 IPD24 31402QSK8 | 4,831 750    | .08                        | 4,944 01<br>102 323     | 5,402 04<br>111 803       | 458 03                          | 02               | 22 14          | 265 00<br>0 05500            | 4 92%        |
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #735676 5 000% Dtd 06/01/2005 Due 07/01/2035 IPD24 31402RJV2 | 5,000 170    | 08                         | 5,061 75<br>101 232     | 5,486 59<br>109 728       | 424 84                          | .02              | 20 83          | 250 00<br>0 05000            | 4 56%        |
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #888276 5 500% Dtd 03/01/07 Due 11/01/2036 IPD24 31410F2H7   | 3,751 640    | .06                        | 3,841 24<br>102 388     | 4,205 29<br>112 092       | 364 05                          | .01              | 17 19          | 206 00<br>0 05500            | 4 91%        |
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #888570 4 500% Dtd 07/01/2007 Due 07/01/2037 IPD24 31410GFK4 | 35,207 300   | .53                        | 38,337 45<br>108 891    | 37,965 44<br>107 834      | - 372 01                        | 13               | 132 02         | 1,584 00<br>0 04500          | 4 17%        |
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #888638 5 500% Dtd 08/01/07 Due 09/01/2037 IPD24 31410GHP1   | 7,857 240    | 12                         | 8,077 23<br>102 800     | 8,759 02<br>111 477       | 681 79                          | .03              | 36 01          | 432 00<br>0 05500            | 4 93%        |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID | Asset Description<br>Asset ID (CUSIP) / Ticker                                                             | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct at Mkt | % of Acct at Mkt | Accrued Income | Estimated Annual Income Rate | Market Yield |
|------------|------------------------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|-----------------------|------------------|----------------|------------------------------|--------------|
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #888881 6.500% Dtd 11/01/2007 Due 12/01/2037 IPD24 31410GRA3 | 2,860 200    | .05                        | 2,913 57<br>101.866     | 3,248 41<br>113 573       | 334 84                | .01              | 15 49          | 185 00<br>0 06500            | 5 72%        |
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #889072 6.500% Dtd 01/01/2008 Due 12/01/2037 IPD24 31410GW90 | 2,955 580    | .05                        | 2,978 59<br>100.779     | 3,348 38<br>113 290       | 369.79                | .01              | 16.00          | 192.00<br>0 06500            | 5.74%        |
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #889643 6.000% Dtd 06/01/2008 Due 03/01/2038 IPD24 31410KLY8 | 10,744 780   | .17                        | 11,113 00<br>103 427    | 12,402 59<br>115 429      | 1,289 59              | .04              | 53 72          | 644 00<br>0 06000            | 5.20%        |
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #889954 4.500% Dtd 10/01/2008 Due 09/01/2038 IPD24 31410KWP5 | 7,725 040    | .12                        | 7,674 36<br>99 344      | 8,330 22<br>107 834       | 655.86                | .03              | 28.96          | 347 00<br>0 04500            | 4 17%        |
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #930924 4.500% Dtd 04/01/2009 Due 04/01/2039 IPD24 31412PG96 | 13,873 810   | .21                        | 13,941 40<br>100.487    | 14,921 98<br>107 555      | 980 58                | .05              | 52.02          | 624 00<br>0.04500            | 4.18%        |
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #995094 4.500% Dtd 11/01/2008 Due 11/01/2035 IPD24 31416BNK0 | 93,664 640   | 1.42                       | 102,541 27<br>109 477   | 101,275.83<br>108 126     | - 1,265.44            | .36              | 351.24         | 4,214 00<br>0 04500          | 4.16%        |
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #995566 4.000% Dtd 03/01/2009 Due 03/01/2039 IPD24 31416B6X1 | 3,868 600    | .06                        | 3,682.42<br>95 187      | 4,074 53<br>105 323       | 392 11                | .01              | 12.89          | 154 00<br>0.04000            | 3 80%        |
| 05         | - Federal Natl Mtg Assn Gld Passthru CTF Pool #995876 6.000% Dtd 06/01/2009 Due 11/01/2038 IPD24 31416CJV9 | 2,112 380    | .03                        | 2,130.49<br>100 857     | 2,395 04<br>113.381       | 264 55                | .01              | 10 56          | 126 00<br>0.06000            | 5 29%        |

Mercy Works Foundation

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

Asset and Liability Positions  
12/31/16

| Account ID | Asset Description<br>Asset ID (CUSIP) / Ticker                                                             | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % of Acct at Mkt | Accrued Income | Estimated Annual Income Rate | Market Yield |
|------------|------------------------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------------|----------------|------------------------------|--------------|
| 05         | - Federal Natl Mtg Assn Gtd Passthru CTF Pool #AB1251 5.000% Dtd 06/01/2010 Due 07/01/2040 IPD24 31416WL91 | 10,812 330   | .17                        | 11,364 55<br>105 107    | 11,801 33<br>109 147      | 436 78                          | .04              | 45 05          | 540 00<br>0.05000            | 4.58%        |
| 05         | - Federal Natl Mtg Assn Gtd Passthru CTF Pool #AE0828 3.500% Dtd 01/01/2011 Due 02/01/2041 IPD24 31419A4N4 | 22,118 890   | .32                        | 22,875 76<br>103 422    | 22,809 00<br>103 120      | - 66 76                         | .08              | 64 51          | 774 00<br>0.03500            | 3.39%        |
| 05         | - GNMA II Passthru CTF Pool #MA0462 3.50% Dtd 10/01/2012 Due 10/20/2042 IPD19 36179MQP8                    | 49,966 550   | .73                        | 54,526 00<br>109 125    | 52,181 07<br>104 432      | - 2,344 93                      | .18              | 145 73         | 1,748 00<br>0.03500          | 3.35%        |
| 05         | - GNMA II Passthru CTF Pool #004577 4.50% Dtd 11/01/2009 Due 11/20/2039 IPD19 36202FCN4                    | 17,096 450   | .26                        | 17,771 27<br>103 947    | 18,445 36<br>107 890      | 674 09                          | .06              | 84 11          | 769 00<br>0.04500            | 4.17%        |
| 05         | - GNMA II Passthru CTF Pool #004854 4.50% Dtd 11/01/2010 Due 11/20/2040 IPD19 36202FMB9                    | 14,728 680   | .22                        | 15,281 01<br>103 750    | 15,916 40<br>108 064      | 635 39                          | .06              | 55 23          | 662 00<br>0.04500            | 4.16%        |
| 05         | - GNMA II Passthru CTF Pool #005081 4.00% Dtd 06/01/2011 Due 06/20/2041 IPD19 36202FUE4                    | 25,277 010   | .38                        | 27,496 62<br>108 781    | 26,950 60<br>106 621      | - 546 02                        | .09              | 84 25          | 1,011 00<br>0.04000          | 3.75%        |
| 05         | - GNMA Gtd Passthru CTF Pool #782150 5.50% Dtd 04/01/2007 Due 04/15/2037 IPD14 36241KL71                   | 7,885 510    | .12                        | 8,152 78<br>103 389     | 8,871 28<br>112 501       | 718 50                          | .03              | 36 14          | 433 00<br>0.05500            | 4.89%        |
| 05         | - GNMA Gtd Passthru CTF Pool #697858 6.00% Dtd 02/01/2009 Due 02/15/2039 IPD14 36296QJB6                   | 3,055 320    | .05                        | 3,133 59<br>102 562     | 3,467 27<br>113 483       | 333 68                          | .01              | 15 27          | 183 00<br>0.06000            | 5.29%        |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID | Asset Description<br>Asset ID (CUSIP) / Ticker                                                         | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % of Acct at Mkt | Accrued Income | Estimated Annual Income Rate | Market Yield |
|------------|--------------------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------------|----------------|------------------------------|--------------|
| 05         | - GNMA Gtd Passthru CTF Pool #698336 4.50% Dtd 05/01/2009 Due 05/15/2039 IPD14 36296QY94               | 4,472.450    | .07                        | 4,529.75<br>101.281     | 4,847.82<br>108.393       | 318.07                          | .02              | 16.77          | 201.00<br>0.04500            | 4.15%        |
| 05         | - GNMA Gtd Passthru CTF Pool #701781 5.00% Dtd 03/01/2009 Due 03/15/2039 IPD14 36296UUE8               | 8,584.960    | .13                        | 8,781.61<br>102.291     | 9,446.29<br>110.033       | 664.68                          | .03              | 35.77          | 429.00<br>0.05000            | 4.54%        |
| 05         | - GNMA Gtd Passthru CTF Pool #706009 5.50% Dtd 01/01/2009 Due 01/15/2039 IPD14 36297AKW2               | 3,214.020    | .05                        | 3,349.62<br>104.219     | 3,585.40<br>111.555       | 235.78                          | .01              | 14.73          | 176.00<br>0.05500            | 4.93%        |
| 04         | - US Treasury Bond 3.625% Dtd 04/15/98 Due 04/15/2028 Treasury Inflation Protection Security 912810FD5 | 22,417.350   | .41                        | 30,748.88<br>137.166    | 29,507.73<br>131.629      | - 1,241.15                      | .10              | 173.73         | 812.00<br>0.03625            | 2.75%        |
| 05         | - US Treasury Bond 5.50% Dtd 08/15/1998 Due 08/15/2028 912810FE3                                       | 50,000.000   | .91                        | 66,090.42<br>132.181    | 64,750.00<br>129.500      | - 1,340.42                      | .23              | 1,038.72       | 2,750.00<br>0.05500          | 4.25%        |
| 04         | - US Treasury Bond 3.875% Dtd 04/15/99 Due 04/15/2029 Treasury Inflation Protection Security 912810FH6 | 29,407.400   | .56                        | 35,872.37<br>121.984    | 40,251.38<br>136.875      | 4,379.01                        | .14              | 243.62         | 1,139.00<br>0.03875          | 2.83%        |
| 04         | - US Treasury Bond 3.375% Dtd 10/15/01 Due 04/15/2032 Treasury Inflation Protection Security 912810FQ6 | 13,618.000   | .26                        | 15,889.76<br>116.682    | 18,847.99<br>138.405      | 2,958.23                        | .07              | 98.25          | 459.00<br>0.03375            | 2.44%        |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID | Asset Description<br>Asset ID (CUSIP) / Ticker                                                                       | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | %   | Accrued Income | Estimated Annual Income Rate | Market Yield |
|------------|----------------------------------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|-----|----------------|------------------------------|--------------|
| 05         | - US Treasury Bond<br>4 500% Dtd 02/15/06 Due 02/15/2036<br>912810FT0                                                | 50,000 000   | .89                        | 54,644 93<br>109 290    | 63,284 50<br>126.569      | 8,639.57                        | 22  | 849 86         | 2,250.00<br>0.04500          | 3.56%        |
| 04         | - US Treasury Bond<br>2.375% Dtd 01/15/2007 Due 01/15/2027<br>Treasury Inflation Protection<br>Security<br>912810PS1 | 23,972 400   | .39                        | 24,300 21<br>101.367    | 28,107.88<br>117.251      | 3,807 67                        | 10  | 262.03         | 569.00<br>0.02375            | 2.03%        |
| 04         | - US Treasury Bond<br>1 750% Dtd 01/31/08 Due 01/15/2028<br>Treasury Inflation Protection<br>Services<br>912810PV4   | 23,076 200   | 36                         | 21,230.74<br>92 003     | 25,789 96<br>111.760      | 4,559.22                        | 09  | 185 85         | 403 00<br>0 01750            | 1 57%        |
| 04         | - US Treasury Bond<br>2 50% Dtd 01/15/2009 Due 01/15/2029<br>Treasury Inflation Protection<br>Security<br>912810PZ5  | 22,517 000   | 38                         | 23,035 34<br>102 302    | 27,189 73<br>120 752      | 4,154 39                        | 10  | 259.07         | 562 00<br>0 02500            | 2 07%        |
| 05         | - US Treasury Bond<br>4.25% Dtd 05/15/2009 Due 05/15/2039<br>912810QB7                                               | 50,000 000   | .85                        | 49,445 30<br>98 891     | 60,723.50<br>121.447      | 11,278.20                       | .21 | 275 89         | 2,125 00<br>0.04250          | 3.50%        |
| 04         | - US Treasury Bond<br>2 125% Dtd 02/15/2010 Due 02/15/2040<br>Treasury Inflation Protection<br>Security<br>912810QF8 | 16,775.250   | 29                         | 18,695 58<br>111 447    | 20,809.03<br>124.046      | 2,113 45                        | .07 | 134 24         | 356 00<br>0 02125            | 1 71%        |
| 04         | - US Treasury Bond<br>2 125% Dtd 02/15/2011 Due 02/15/2041<br>Treasury Inflation Protection<br>Security<br>912810QP6 | 27,594.750   | 48                         | 32,331 81<br>117 167    | 34,406 24<br>124 684      | 2,074.43                        | .12 | 220 82         | 586.00<br>0 02125            | 1 70%        |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID | Asset Description<br>Asset ID (CUSIP) / Ticker                                                                       | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | %   | Accrued Income | Estimated Annual Income Rate | Market Yield |
|------------|----------------------------------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|-----|----------------|------------------------------|--------------|
| 05         | - US Treasury Bond<br>4 375% Dtd 05/15/2011 Due 05/15/2041<br>912810QQ4                                              | 50,000 000   | 87                         | 50,142.21<br>100.284    | 61,880 00<br>123 760      | 11,737 79                       | .22 | 284 01         | 2,187.00<br>0.04375          | 3 54%        |
| 04         | - US Treasury Bond<br>0 75% Dtd 02/15/2012 Due 02/15/2042<br>Treasury Inflation Protection<br>Security<br>912810QV3  | 26,743 500   | 36                         | 28,335 13<br>105.951    | 25,367 81<br>94.856       | - 2,967.32                      | .09 | 75.53          | 200.00<br>0.00750            | .79%         |
| 04         | - US Treasury Bond<br>0 625% Dtd 02/15/2013 Due 02/15/2043<br>Treasury Inflation Protection<br>Security<br>912810RA8 | 31,540.800   | 41                         | 28,238.42<br>89 530     | 28,935 53<br>91 740       | 697 11                          | 10  | 74 23          | 197 00<br>0 00625            | .68%         |
| 04         | - US Treasury Bond<br>1 375% Dtd 02/15/2014 Due 02/15/2044<br>Treasury Inflation Protection<br>Security<br>912810RF7 | 25,929 000   | 40                         | 28,122.20<br>108 458    | 28,341.95<br>109 306      | 219 75                          | .10 | 134 26         | 356 00<br>0 01375            | 1.26%        |
| 05         | - US Treasury Bond<br>2 50% Dtd 02/15/2015 Due 02/15/2045<br>912810RK6                                               | 25,000.000   | .31                        | 22,510.74<br>90.043     | 22,275.00<br>89 100       | - 235 74                        | .08 | 236 07         | 625.00<br>0 02500            | 2.81%        |
| 04         | - US Treasury Bond<br>0 75% Dtd 02/15/2015 Due 02/15/2045<br>Treasury Inflation Protection<br>Security<br>912810RL4  | 20,529 800   | .27                        | 19,537 88<br>95.168     | 19,352 83<br>94 267       | - 185 05                        | 07  | 57 98          | 153 00<br>0.00750            | 80%          |
| 04         | - US Treasury Bond<br>1 00% Dtd 02/15/2016 Due 02/15/2046<br>Treasury Inflation Protection<br>Security<br>912810RR1  | 10,201 500   | .14                        | 10,588.89<br>103 797    | 10,255.47<br>100.529      | - 333 42                        | 04  | 38.42          | 102 00<br>0.01000            | 99%          |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID                                                      | Asset Description<br>Asset ID (CUSIP) / Ticker                                                                     | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % of Acct at Mkt | Accrued Income   | Estimated Annual Income Rate | Market Yield |
|-----------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------------|------------------|------------------------------|--------------|
| 05                                                              | - US Treasury Bond<br>1.00% Dtd 02/15/2016 Due 02/15/2046<br>Treasury Inflation Protection Security<br>912810RR1   | 51,007 500   | 72                         | 51,385 68<br>100 741    | 51,277 33<br>100 529      | - 108.35                        | 18               | 192 46           | 510.00<br>0.01000            | .99%         |
| <b>Total Long (Over 10 Years)</b>                               |                                                                                                                    |              | <b>20.49</b>               | <b>1,405,071.78</b>     | <b>1,463,015.81</b>       | <b>57,944.03</b>                | <b>5.16</b>      | <b>7,472.50</b>  | <b>47,971.00</b>             | <b>3.28%</b> |
| <b>Total Treasury and Federal Agencies</b>                      |                                                                                                                    |              | <b>62.65</b>               | <b>4,411,443.66</b>     | <b>4,474,002.33</b>       | <b>62,558.67</b>                | <b>15.77</b>     | <b>19,218.55</b> | <b>102,356.00</b>            | <b>2.29%</b> |
| <b>Non-Government Obligations<br/>Short (Less Than 5 Years)</b> |                                                                                                                    |              |                            |                         |                           |                                 |                  |                  |                              |              |
| 05                                                              | - Blackrock Inc<br>5 00% Dtd 12/10/2009 Due 12/10/2019<br>Callable<br>09247XAE1                                    | 50,000,000   | .76                        | 49,975.00<br>99.950     | 54,302 50<br>108.605      | 4,327 50                        | 19               | 145.83           | 2,500 00<br>0 05000          | 4 60%        |
| 05                                                              | - CVS Caremark Corporation Sr NT<br>4 125% Dtd 05/12/2011 Due 05/15/2021<br>Callable<br>126650BW9                  | 65,000,000   | .96                        | 68,361.80<br>105.172    | 68,777.80<br>105.812      | 416.00                          | .24              | 342.60           | 2,681.00<br>0.04125          | 3 90%        |
| 05                                                              | - FPL Group Cap Inc Sr NT<br>6.00% Dtd 03/09/2009 Due 03/01/2019<br>Callable<br>302570BD7                          | 60,000 000   | .91                        | 61,956 60<br>103 261    | 64,884.00<br>108.140      | 2,927.40                        | .23              | 1,200.00         | 3,600.00<br>0.06000          | 5.55%        |
| 05                                                              | - General Elec Cap Corp Medium Term<br>Nls Tranche # Tr 00811 5 625% Dtd<br>04/21/2008 Due 05/01/2018<br>36962G3U6 | 25,000 000   | .37                        | 24,786 50<br>99 146     | 26,385 00<br>105.540      | 1,598.50                        | 09               | 234 37           | 1,406 00<br>0 05625          | 5 33%        |
| 05                                                              | - Home Depot Inc Sr NT<br>2 25% Dtd 09/10/2013 Due 09/10/2018<br>Callable<br>437076BB7                             | 60,000 000   | .85                        | 60,512.40<br>100.854    | 60,811 20<br>101.352      | 298 80                          | 21               | 416 25           | 1,350.00<br>0.02250          | 2 22%        |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID                             | Asset Description<br>Asset ID (CUSIP) / Ticker                                                     | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % of Acct at Mkt | Accrued Income  | Estimated Annual Income Rate | Market Yield |
|----------------------------------------|----------------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------------|-----------------|------------------------------|--------------|
| 05                                     | - State Str Corp Sr NT<br>4 375% Dtd 03/07/2011 Due 03/07/2021<br>Non-Callable<br>857477AG8        | 50,000 000   | 75                         | 49,695.75<br>99.392     | 53,670.50<br>107.341      | 3,974.75                        | 19               | 692.70          | 2,187.00<br>0.04375          | 4.08%        |
| 05                                     | - U S Bancorp Medium Term Nts<br>2 35% Dtd 01/29/2016 Due 01/29/2021<br>Callable<br>91159HHL7      | 60,000,000   | 84                         | 60,274.20<br>100.457    | 60,137.40<br>100.229      | - 136.80                        | .21              | 595.33          | 1,410.00<br>0.02350          | 2.34%        |
| 05                                     | - Verizon Communications Inc NT<br>4 50% Dtd 09/18/2013 Due 09/15/2020<br>92343VBQ6                | 50,000 000   | 75                         | 53,057.00<br>106.114    | 53,505.50<br>107.011      | 448.50                          | 19               | 662.50          | 2,250.00<br>0.04500          | 4.21%        |
| <b>Total Short (Less Than 5 Years)</b> |                                                                                                    |              |                            | <b>428,619.25</b>       | <b>442,473.90</b>         | <b>13,854.65</b>                | <b>1.56</b>      | <b>4,289.58</b> | <b>17,384.00</b>             | <b>3.93%</b> |
| <b>Intermediate (5-10 Years)</b>       |                                                                                                    |              |                            |                         |                           |                                 |                  |                 |                              |              |
| 05                                     | - Apple Inc NT<br>2.40% Dtd 05/03/2013 Due 05/03/2023<br>Callable<br>037833AK6                     | 50,000 000   | .68                        | 50,113.50<br>100.227    | 48,686.00<br>97.372       | - 1,427.50                      | .17              | 193.33          | 1,200.00<br>0.02400          | 2.46%        |
| 05                                     | - Bank Amer Corp Sr NT<br>3.875% Dtd 07/30/2015 Due 08/01/2025 Non-Callable<br>06051GFS3           | 75,000 000   | 1.07                       | 76,608.00<br>102.144    | 76,262.25<br>101.683      | - 345.75                        | .27              | 1,210.93        | 2,906.00<br>0.03875          | 3.81%        |
| 05                                     | - Bank New York Mellon Corp Sr NT<br>2.45% Dtd 08/16/2016 Due 08/17/2026<br>Callable<br>06406FAE3  | 60,000,000   | .78                        | 59,773.38<br>99.622     | 55,752.00<br>92.920       | - 4,021.38                      | 20               | 551.24          | 1,470.00<br>0.02450          | 2.64%        |
| 05                                     | - Berkshire Hathaway Inc Del Sr NT<br>3.00% Dtd 02/11/2013 Due 02/11/2023<br>Callable<br>084670BJ6 | 50,000 000   | .71                        | 49,764.50<br>99.529     | 50,589.50<br>101.179      | 825.00                          | .18              | 583.33          | 1,500.00<br>0.03000          | 2.97%        |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID | Asset Description<br>Asset ID (CUSIP) / Ticker                                                      | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % of Acct at Mkt | Accrued Income | Estimated Annual Income Rate | Market Yield |
|------------|-----------------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------------|----------------|------------------------------|--------------|
| 05         | - Comcast Corp New NT<br>3.125% Dtd 07/02/2012 Due 07/15/2022<br>Callable<br>20030NBD2              | 60,000,000   | .86                        | 56,511.00<br>94.185     | 61,254.60<br>102.091      | 4,743.60                        | .22              | 864.58         | 1,875.00<br>0.03125          | 3.06%        |
| 05         | - General Dynamics Corp Gtd Sr<br>2.25% Dtd 11/06/2012 Due 11/15/2022<br>Callable<br>369550AU2      | 50,000,000   | .69                        | 49,441.00<br>98.882     | 49,396.00<br>98.792       | - 45.00                         | .17              | 143.75         | 1,125.00<br>0.02250          | 2.28%        |
| 05         | - JPMorgan Chase & Co Sr NT<br>4.50% Dtd 01/23/2012 Due 01/24/2022<br>Non-Callable<br>46625HJD3     | 60,000,000   | .91                        | 64,023.60<br>106.706    | 64,705.80<br>107.843      | 682.20                          | .23              | 1,177.50       | 2,700.00<br>0.04500          | 4.17%        |
| 05         | - Kellogg Co Sr NT<br>2.65% Dtd 11/15/2016 Due 12/01/2023<br>Callable<br>487836BS6                  | 50,000,000   | .68                        | 49,955.00<br>99.910     | 48,369.50<br>96.739       | - 1,585.50                      | .17              | 169.30         | 1,325.00<br>0.02650          | 2.74%        |
| 05         | - Novartis Cap Corp Gtd NT<br>2.40% Dtd 09/21/2012 Due 09/21/2022<br>66989HAE8                      | 45,000,000   | .62                        | 42,075.45<br>93.501     | 44,449.20<br>98.776       | 2,373.75                        | .16              | 300.00         | 1,080.00<br>0.02400          | 2.43%        |
| 05         | - PNC Funding Corp Sr NT<br>3.30% Dtd 03/08/2012 Due 03/08/2022<br>Callable<br>693476BN2            | 55,000,000   | .79                        | 54,565.50<br>99.210     | 56,542.20<br>102.804      | 1,976.70                        | .20              | 569.70         | 1,815.00<br>0.03300          | 3.21%        |
| 05         | - PepsiCo Inc Sr NT<br>2.75% Dtd 03/05/2012 Due 03/05/2022<br>Callable<br>713448BY3                 | 40,000,000   | .57                        | 41,574.00<br>103.935    | 40,473.20<br>101.183      | - 1,100.80                      | .14              | 354.44         | 1,100.00<br>0.02750          | 2.72%        |
| 05         | - Schwab Charles Corp New Sr NT<br>3.00% Dtd 03/10/2015 Due 03/10/2025<br>Non-Callable<br>808513AL9 | 60,000,000   | .82                        | 59,335.80<br>98.893     | 58,870.20<br>98.117       | - 465.60                        | .21              | 555.00         | 1,800.00<br>0.03000          | 3.06%        |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID                             | Asset Description<br>Asset ID (CUSIP) / Ticker                                                 | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % of Acct at Mkt | Accrued Income  | Estimated Annual Income Rate | Market Yield |
|----------------------------------------|------------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------------|-----------------|------------------------------|--------------|
| 05                                     | - Wells Fargo Co MTN Sr NT<br>3.00% Dtd 02/19/2015 Due 02/19/2025<br>Non-Callable<br>94974BGH7 | 60,000,000   | .81                        | 58,173.24<br>96,955     | 57,638.40<br>96,064       | - 534.84                        | 20               | 660.00          | 1,800.00<br>0.03000          | 3.12%        |
| <b>Total Intermediate (5-10 Years)</b> |                                                                                                |              | <b>9.98</b>                | <b>711,913.97</b>       | <b>712,988.85</b>         | <b>1,074.88</b>                 | <b>2.51</b>      | <b>7,333.10</b> | <b>21,696.00</b>             | <b>3.04%</b> |
| <b>Long (Over 10 Years)</b>            |                                                                                                |              |                            |                         |                           |                                 |                  |                 |                              |              |
| 05                                     | - AT&T Inc<br>6.30% Dtd 12/06/2007 Due 01/15/2038<br>Callable<br>00206RAG7                     | 50,000,000   | .80                        | 49,230.00<br>98,460     | 56,827.00<br>113,654      | 7,597.00                        | 20               | 1,452.50        | 3,150.00<br>0.06300          | 5.54%        |
| 05                                     | - Cisco Sys Inc<br>5.50% Dtd 11/17/2009 Due 01/15/2040<br>Callable<br>17275RAFA9               | 60,000,000   | 1.02                       | 58,470.00<br>97,450     | 73,092.00<br>121,820      | 14,622.00                       | 26               | 1,521.66        | 3,300.00<br>0.05500          | 4.51%        |
| 05                                     | - Honeywell Intl Inc Sr NT<br>5.70% Dtd 03/15/2007 Due 03/15/2037<br>Callable<br>438516AT3     | 50,000,000   | .86                        | 52,927.50<br>105,855    | 61,599.00<br>123,198      | 8,671.50                        | 22               | 839.16          | 2,850.00<br>0.05700          | 4.63%        |
| 05                                     | - Johnson & Johnson Sr NT<br>4.375% Dtd 12/05/2013 Due 12/05/2033<br>Callable<br>478160BJ2     | 30,000,000   | .46                        | 35,527.80<br>118,426    | 32,983.80<br>109,946      | - 2,544.00                      | 12               | 94.79           | 1,312.00<br>0.04375          | 3.98%        |
| 05                                     | - Pfizer Inc Sr NT<br>7.20% Dtd 03/24/2009 Due 03/15/2039<br>Callable<br>717081CY7             | 25,000,000   | 51                         | 30,135.75<br>120,543    | 36,112.25<br>144,449      | 5,976.50                        | .13              | 530.00          | 1,800.00<br>0.07200          | 4.98%        |
| 05                                     | - United Parcel Svc Inc Sr NT<br>6.20% Dtd 01/15/2008 Due 01/15/2038<br>Callable<br>911312AJ5  | 25,000,000   | .46                        | 29,125.00<br>116,500    | 32,888.50<br>131,554      | 3,763.50                        | 12               | 714.72          | 1,550.00<br>0.06200          | 4.71%        |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID                                    | Asset Description<br>Asset ID (CUSIP) / Ticker                                                         | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market Appr/Depr     | % of Acct at Mkt | Accrued Income   | Estimated Annual Income Rate | Market Yield |
|-----------------------------------------------|--------------------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|----------------------|------------------|------------------|------------------------------|--------------|
| 05                                            | - Wal-Mart Stores Inc<br>6 20% Dtd 04/15/2008 Due 04/15/2038<br>931142CM3                              | 50,000 000   | 92                         | 53,404.50<br>106 809    | 65,909.50<br>131 819      | 12,505.00            | 23               | 654.44           | 3,100.00<br>0.06200          | 4.70%        |
| <b>Total Long (Over 10 Years)</b>             |                                                                                                        |              | <b>5.03</b>                | <b>308,820.55</b>       | <b>359,412.05</b>         | <b>50,591.50</b>     | <b>1.27</b>      | <b>5,807.27</b>  | <b>17,062.00</b>             | <b>4.75%</b> |
| <b>Total Non-Government Obligations</b>       |                                                                                                        |              |                            |                         |                           |                      |                  |                  |                              |              |
|                                               |                                                                                                        |              | <b>21.21</b>               | <b>1,449,353.77</b>     | <b>1,514,874.80</b>       | <b>65,521.03</b>     | <b>5.34</b>      | <b>17,429.95</b> | <b>56,142.00</b>             | <b>3.71%</b> |
| <b>Preferred Stocks: Nonconvertible</b>       |                                                                                                        |              |                            |                         |                           |                      |                  |                  |                              |              |
| 02                                            | - Gale Force I Clo LTD Pfd 3C7 144a<br>--- 3,000 Restricted ---<br>Last Priced 06/30/2009<br>363204207 | 3,000 000    | 1.26                       | 2,550,000.00<br>850 000 | 90,000.00<br>30 000       | -2,460,000.00        | 32               |                  |                              |              |
| <b>Total Preferred Stocks: Nonconvertible</b> |                                                                                                        |              | <b>1.26</b>                | <b>2,550,000.00</b>     | <b>90,000.00</b>          | <b>-2,460,000.00</b> | <b>.32</b>       | <b>0.00</b>      | <b>0.00</b>                  | <b>.00%</b>  |
| <b>Fixed Income Funds Taxable</b>             |                                                                                                        |              |                            |                         |                           |                      |                  |                  |                              |              |
| 01                                            | - Baird Ultra Short Bond Fund - Ins<br>#2384<br>057071722 / BUBIX                                      | 2,382.839    | .33                        | 23,891.43<br>10.026     | 23,899.88<br>10.030       | 8.45                 | .08              |                  | 250.00<br>0.10500            | 1.05%        |
| 01                                            | - PIMCO All Asset All Authority<br>Fund - Is #1860<br>72200Q182 / PAUIX                                | 123,819 709  | 14.55                      | 1,275,854.73<br>10 304  | 1,038,847.36<br>8.390     | -237,007.37          | 3.66             |                  | 37,641.00<br>0.30400         | 3.62%        |
| <b>Total Taxable</b>                          |                                                                                                        |              | <b>14.88</b>               | <b>1,299,746.16</b>     | <b>1,062,747.24</b>       | <b>-236,998.92</b>   | <b>3.74</b>      | <b>0.00</b>      | <b>37,891.00</b>             | <b>3.57%</b> |
| <b>Total Fixed Income Funds</b>               |                                                                                                        |              |                            |                         |                           |                      |                  |                  |                              |              |
|                                               |                                                                                                        |              | <b>14.88</b>               | <b>1,299,746.16</b>     | <b>1,062,747.24</b>       | <b>-236,998.92</b>   | <b>3.74</b>      | <b>0.00</b>      | <b>37,891.00</b>             | <b>3.57%</b> |
| <b>Total Fixed Income</b>                     |                                                                                                        |              | <b>100.00</b>              | <b>9,710,543.59</b>     | <b>7,141,624.37</b>       | <b>-2,568,919.22</b> | <b>25.17</b>     | <b>36,648.50</b> | <b>196,389.00</b>            | <b>2.75%</b> |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID                        | Asset Description<br>Asset ID (CUSIP) / Ticker                                                | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % of Acct at Mkt | Accrued Income | Estimated Annual Income Rate | Market Yield |
|-----------------------------------|-----------------------------------------------------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------------|----------------|------------------------------|--------------|
| <b>Other Assets</b>               |                                                                                               |              |                            |                         |                           |                                 |                  |                |                              |              |
| <b>Limited Partnerships</b>       |                                                                                               |              |                            |                         |                           |                                 |                  |                |                              |              |
| 01                                | - Hatteras Multi-Strategy Tei Institutional Fund , L P<br>Last Priced 11/01/2016<br>41903A943 | 28,381,741   | 100.00                     | 2,340,358.36<br>82 460  | 3,085,379.06<br>108,710   | 745,020.70                      | 10.87            |                |                              |              |
| <b>Total Limited Partnerships</b> |                                                                                               |              |                            | <b>100.00</b>           | <b>2,340,358.36</b>       | <b>745,020.70</b>               | <b>10.87</b>     | <b>0.00</b>    | <b>0.00</b>                  | <b>.00%</b>  |
| <b>Total Other Assets</b>         |                                                                                               |              |                            | <b>100.00</b>           | <b>2,340,358.36</b>       | <b>745,020.70</b>               | <b>10.87</b>     | <b>0.00</b>    | <b>0.00</b>                  | <b>.00%</b>  |
| <b>Cash Equivalent</b>            |                                                                                               |              |                            |                         |                           |                                 |                  |                |                              |              |
| 01                                | - Cash                                                                                        | 0.000        | .00                        | 0.00                    | 0.00                      | 0.00                            | .00              |                |                              |              |
| 02                                | - Cash                                                                                        | 0.000        | .00                        | 0.00                    | 0.00                      | 0.00                            | .00              |                |                              |              |
| 03                                | - Cash                                                                                        | 0.000        | .00                        | 0.00                    | 0.00                      | 0.00                            | .00              |                |                              |              |
| 04                                | - Cash                                                                                        | 0.000        | .00                        | 0.00                    | 0.00                      | 0.00                            | .00              |                |                              |              |
| 05                                | - Cash                                                                                        | 0.000        | .00                        | 0.00                    | 0.00                      | 0.00                            | .00              |                |                              |              |
| 06                                | - Cash                                                                                        | 0.000        | .00                        | 0.00                    | 0.00                      | 0.00                            | .00              |                |                              |              |
| 01                                | - BMO Govt Money Market Fd Y # 605<br>999993603                                               | 101,583 530  | 32.24                      | 101,583.53<br>1 000     | 101,583.53<br>1 000       | 0.00                            | 36               | 1.53           | 57.00<br>0 00056             | .06%         |
| 02                                | - BMO Govt Money Market Fd Y # 605<br>999993603                                               | 751 640      | 24                         | 751.64<br>1 000         | 751.64<br>1 000           | 0.00                            | .00              | 0.02           | 0 00056                      | .06%         |
| 03                                | - BMO Govt Money Market Fd Y # 605<br>999993603                                               | 68,053 090   | 21.60                      | 68,053.09<br>1,000      | 68,053.09<br>1,000        | 0.00                            | .24              | 1.59           | 38.00<br>0.00056             | .06%         |
| 04                                | - BMO Govt Money Market Fd Y # 605<br>999993603                                               | 3,727 010    | 1.18                       | 3,727.01<br>1,000       | 3,727.01<br>1,000         | 0.00                            | .01              | 0.09           | 2.00<br>0.00056              | .06%         |

**Mercy Works Foundation**

Account Number: 71-L084-00-2  
Statement Period: 01/01/16 through 12/31/16

**Asset and Liability Positions  
12/31/16**

| Account ID                                 | Asset Description<br>Asset ID (CUSIP) / Ticker  | Shares / Par | % of Asset Category at Mkt | Total Cost / Unit Price | Total Market / Unit Price | Market of Acct Appr/Depr at Mkt | % of Acct at Mkt | Accrued Income   | Estimated Annual Income Rate | Market Yield |
|--------------------------------------------|-------------------------------------------------|--------------|----------------------------|-------------------------|---------------------------|---------------------------------|------------------|------------------|------------------------------|--------------|
| 05                                         | - BMO Govt Money Market Fd Y # 605<br>999993603 | 56,544.290   | 17.95                      | 56,544.29<br>1,000      | 56,544.29<br>1,000        | 0.00                            | 20               | 1.06             | 31.00<br>0.00056             | 06%          |
| 06                                         | - BMO Govt Money Market Fd Y # 605<br>999993603 | 84,404.990   | 26.79                      | 84,404.99<br>1,000      | 84,404.99<br>1,000        | 0.00                            | 30               | 4.93             | 47.00<br>0.00056             | .06%         |
| <b>Total Cash Equivalent</b>               |                                                 |              | <b>100.00</b>              | <b>315,064.55</b>       | <b>315,064.55</b>         | <b>0.00</b>                     | <b>1.11</b>      | <b>9.22</b>      | <b>175.00</b>                | <b>.06%</b>  |
| <b>Cash Effect of Pending Trades</b>       |                                                 |              |                            |                         |                           |                                 |                  |                  |                              |              |
| 03                                         | - Cash Effect of Pending Sales                  | 0.000        | 187.90                     | 4,623.85                | 4,623.85                  | 0.00                            | 02               |                  |                              |              |
| 03                                         | - Cash Effect of Pending Purchases              | 0.000        | -87.90                     | -2,163.08               | -2,163.08                 | 0.00                            | -01              |                  |                              |              |
| <b>Total Cash Effect of Pending Trades</b> |                                                 |              | <b>100.00</b>              | <b>2,460.77</b>         | <b>2,460.77</b>           | <b>0.00</b>                     | <b>.01</b>       | <b>0.00</b>      | <b>0.00</b>                  | <b>.00%</b>  |
| <b>Total Assets</b>                        |                                                 |              |                            | <b>26,565,411.84</b>    | <b>28,340,286.03</b>      | <b>1,774,874.19</b>             | <b>99.87</b>     | <b>37,794.95</b> | <b>352,805.00</b>            | <b>1.25%</b> |
| <b>Accruals</b>                            |                                                 |              |                            |                         |                           |                                 |                  |                  |                              |              |
| <b>Dividends</b>                           |                                                 |              |                            | <b>1,137.23</b>         | <b>1,137.23</b>           | <b>0.00</b>                     | <b>.00</b>       |                  |                              |              |
| <b>Interest</b>                            |                                                 |              |                            | <b>36,657.72</b>        | <b>36,657.72</b>          | <b>0.00</b>                     | <b>.13</b>       |                  |                              |              |
| <b>Total Accrued Income</b>                |                                                 |              |                            | <b>37,794.95</b>        | <b>37,794.95</b>          | <b>0.00</b>                     | <b>.13</b>       |                  |                              |              |
| <b>Total Assets and Accruals</b>           |                                                 |              |                            | <b>26,603,206.79</b>    | <b>28,378,080.98</b>      | <b>1,774,874.19</b>             | <b>100.00</b>    | <b>37,794.95</b> | <b>352,805.00</b>            | <b>1.24%</b> |

**MERCY WORKS FOUNDATION  
EIN #43-1954871**

Attachment for Part IV – Capital Gains and Losses

**See the following investment statements for  
Investments sold at BMO Financial Group**

**Mercy Works FDN Mutual Fd, ETF, Cash**

Account Number: 71-L084-01-0  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                                                   | Equity                     | Commission    | Other Costs | Net Cash          | Cost                | Realized<br>Gain/Loss |
|----------|-----------------------------------------------------------------------------------------------------------------------------------|----------------------------|---------------|-------------|-------------------|---------------------|-----------------------|
|          |                                                                                                                                   | <b>Common Stocks</b>       |               |             |                   |                     |                       |
| 12/22/16 | - Nicolet Bankshares Inc Com<br>65406E102<br>Sold 5150 Shs 12/19/16<br>To M&I Brokerage Services<br>@ 45.0209                     |                            | 103.00        | 5.06        | 231,749.58        | - 199,021.75        | 32,727.83             |
|          |                                                                                                                                   | <b>Total Common Stocks</b> | <u>103.00</u> | <u>5.06</u> | <u>231,749.58</u> | <u>- 199,021.75</u> | <u>32,727.83</u>      |
|          |                                                                                                                                   | <b>Equity Funds</b>        |               |             |                   |                     |                       |
| 12/21/16 | - Westwood Income Opportunity Fund<br>Class I #2690<br>0075W0775<br>Long Term Capital Gains Dist<br>of \$.070 Per Sh on 70,593 Sh |                            | 0.00          | 0.00        | 4,969.78          | 0.00                | 4,969.78              |
| 11/08/16 | - American Beacon Large Cap Value<br>Fund - Inst #66<br>02368A208<br>Sold 5789 271 Shs 11/07/16<br>@ 25.91                        |                            | 0.00          | 0.00        | 150,000.00        | - 103,164.81        | 46,835.19             |
| 12/23/16 | Long Term Capital Gains Dist<br>of \$.217 Per Sh on 48,824 Sh                                                                     |                            | 0.00          | 0.00        | 10,619.25         | 0.00                | 10,619.25             |
|          |                                                                                                                                   |                            | <u>0.00</u>   | <u>0.00</u> | <u>160,619.25</u> | <u>- 103,164.81</u> | <u>57,454.44</u>      |
| 12/21/16 | - Dodge & Cox International Stock<br>Fund #1048<br>256206103<br>Long Term Capital Gains Dist<br>of \$.544 Per Sh on 20,322 Sh     |                            | 0.00          | 0.00        | 11,055.20         | 0.00                | 11,055.20             |
| 03/24/16 | - Dodge & Cox Stock Fund #145<br>256219106<br>Long Term Capital Gains Dist<br>of \$ 2.676 Per Sh on 8,937 Sh                      |                            | 0.00          | 0.00        | 23,916.73         | 0.00                | 23,916.73             |

**Mercy Works FDN Mutual Fd, ETF, Cash**

Account Number: 71-L084-01-0  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                             | Description<br>Asset ID (CUSIP)                                  | Commission  | Other Costs | Net Cash          | Cost              | Realized<br>Gain/Loss |
|------------------------------------------------------------------|------------------------------------------------------------------|-------------|-------------|-------------------|-------------------|-----------------------|
| 11/03/16                                                         | Sold 585,789 Shs 11/02/16<br>@ 170.71                            | 0.00        | 0.00        | 100,000.00        | -56,464.22        | 43,535.78             |
| 12/21/16                                                         | Long Term Capital Gains Dist<br>of \$ 6,223 Per Sh on 8,642 Sh   | 0.00        | 0.00        | 53,782.26         | 0.00              | 53,782.26             |
| 12/21/16                                                         | Short Term Capital Gains Dist<br>of \$ 153 Per Sh on 8,642 Sh    | 0.00        | 0.00        | 1,322.30          | 0.00              | 1,322.30              |
|                                                                  |                                                                  | <u>0.00</u> | <u>0.00</u> | <u>179,021.29</u> | <u>-56,464.22</u> | <u>122,557.07</u>     |
| - Frontier Netols Small Cap Value Fund<br>Inst<br>35908Y302      |                                                                  |             |             |                   |                   |                       |
| 12/21/16                                                         | Long Term Capital Gains Dist<br>of \$ 658 Per Sh on 163,240 Sh   | 0.00        | 0.00        | 107,472.53        | 0.00              | 107,472.53            |
| 12/21/16                                                         | Short Term Capital Gains Dist<br>of \$ .007 Per Sh on 163,240 Sh | 0.00        | 0.00        | 1,149.21          | 0.00              | 1,149.21              |
|                                                                  |                                                                  | <u>0.00</u> | <u>0.00</u> | <u>108,621.74</u> | <u>0.00</u>       | <u>108,621.74</u>     |
| - WCM Focused International Growth<br>Fund<br>461418444          |                                                                  |             |             |                   |                   |                       |
| 12/12/16                                                         | Long Term Capital Gains Dist<br>of \$ .190 Per Sh on 70,956 Sh   | 0.00        | 0.00        | 13,548.49         | 0.00              | 13,548.49             |
| - Litman Gregory Masters International<br>Fund- Ins<br>53700T207 |                                                                  |             |             |                   |                   |                       |
| 06/21/16                                                         | Sold 19880.716 Shs 06/20/16<br>@ 15.09                           | 0.00        | 0.00        | 300,000.00        | -213,717.70       | 86,282.30             |
| - Primecap Odyssey Growth Fund #1650<br>74160Q103                |                                                                  |             |             |                   |                   |                       |
| 11/03/16                                                         | Sold 3632.401 Shs 11/02/16<br>@ 27.53                            | 0.00        | 0.00        | 100,000.00        | -49,291.68        | 50,708.32             |
| 12/19/16                                                         | Long Term Capital Gains Dist<br>of \$ .669 Per Sh on 56,271 Sh   | 0.00        | 0.00        | 37,677.84         | 0.00              | 37,677.84             |
| 12/19/16                                                         | Short Term Capital Gains Dist<br>of \$ 192 Per Sh on 56,271 Sh   | 0.00        | 0.00        | 10,807.54         | 0.00              | 10,807.54             |
|                                                                  |                                                                  | <u>0.00</u> | <u>0.00</u> | <u>148,485.38</u> | <u>-49,291.68</u> | <u>99,193.70</u>      |

**Mercy Works FDN Mutual Fd, ETF, Cash**

Account Number: 71-L084-01-0  
Statement Period: 01/01/16 through 12/31/16

| Sales                                                 |                                                                 |               |             |                     |                       |                       |
|-------------------------------------------------------|-----------------------------------------------------------------|---------------|-------------|---------------------|-----------------------|-----------------------|
| Date                                                  | Description<br>Asset ID (CUSIP)                                 | Commission    | Other Costs | Net Cash            | Cost                  | Realized<br>Gain/Loss |
| - Vanguard 500 Index Fund Adm #540                    |                                                                 |               |             |                     |                       |                       |
| 02/12/16                                              | 922908710<br>Sold 2956.655 Shs 02/11/16<br>@ 169.11             | 0.00          | 0.00        | 500,000.00          | - 292,071.69          | 207,928.31            |
| 03/28/16                                              | Sold 2663 400 Shs 03/24/16<br>@ 187.73                          | 0.00          | 0.00        | 500,000.00          | - 277,860.76          | 222,139.24            |
| 11/08/16                                              | Sold 760 803 Shs 11/07/16<br>@ 197.16                           | 0.00          | 0.00        | 150,000.00          | - 79,371.22           | 70,628.78             |
|                                                       |                                                                 | <u>0.00</u>   | <u>0.00</u> | <u>1,150,000.00</u> | <u>- 649,303.67</u>   | <u>500,696.33</u>     |
| - Wells Fargo Special Mid Cap Value Fund - Inst #3138 |                                                                 |               |             |                     |                       |                       |
| 11/03/16                                              | 949915482<br>Sold 3014.772 Shs 11/02/16<br>@ 33.17              | 0.00          | 0.00        | 100,000.00          | - 89,719.61           | 10,280.39             |
| 12/12/16                                              | Long Term Capital Gains Dist<br>of \$ 129 Per Sh on 44,028 Sh   | 0.00          | 0.00        | 5,680.96            | 0.00                  | 5,680.96              |
| 12/12/16                                              | Short Term Capital Gains Dist<br>of \$ 062 Per Sh on 44,028 Sh  | 0.00          | 0.00        | 2,772.90            | 0.00                  | 2,772.90              |
|                                                       |                                                                 | <u>0.00</u>   | <u>0.00</u> | <u>108,453.86</u>   | <u>- 89,719.61</u>    | <u>18,734.25</u>      |
| - Wells Fargo Growth Fund - Admin #3608               |                                                                 |               |             |                     |                       |                       |
| 11/08/16                                              | 949915698<br>Sold 4621 072 Shs 11/07/16<br>@ 43.28              | 0.00          | 0.00        | 200,000.00          | - 129,944.54          | 70,055.46             |
| 12/12/16                                              | Long Term Capital Gains Dist<br>of \$ 7 202 Per Sh on 33,460 Sh | 0.00          | 0.00        | 241,003.27          | 0.00                  | 241,003.27            |
| 12/12/16                                              | Short Term Capital Gains Dist<br>of \$ .119 Per Sh on 33,460 Sh | 0.00          | 0.00        | 3,993.13            | 0.00                  | 3,993.13              |
|                                                       |                                                                 | <u>0.00</u>   | <u>0.00</u> | <u>444,996.40</u>   | <u>- 129,944.54</u>   | <u>315,051.86</u>     |
| Total Equity Funds                                    |                                                                 |               |             |                     |                       |                       |
|                                                       |                                                                 | <u>0.00</u>   | <u>0.00</u> | <u>2,629,771.39</u> | <u>- 1,291,606.23</u> | <u>1,338,165.16</u>   |
| Total Equity                                          |                                                                 |               |             |                     |                       |                       |
|                                                       |                                                                 | <u>103.00</u> | <u>5.06</u> | <u>2,861,520.97</u> | <u>- 1,490,627.98</u> | <u>1,370,892.99</u>   |

**Mercy Works FDN Mutual Fd, ETF, Cash**

Account Number: 71-L084-01-0  
Statement Period: 01/01/16 through 12/31/16

| Sales                           |                                                                        |            |             |                |                |                       |
|---------------------------------|------------------------------------------------------------------------|------------|-------------|----------------|----------------|-----------------------|
| Date                            | Description<br>Asset ID (CUSIP)                                        | Commission | Other Costs | Net Cash       | Cost           | Realized<br>Gain/Loss |
| <b>Fixed Income</b>             |                                                                        |            |             |                |                |                       |
| <b>Fixed Income Funds</b>       |                                                                        |            |             |                |                |                       |
| 01/08/16                        | - Baird Ultra Short Bond Fund - Ins<br>#2384                           | 0.00       | 0.00        | 250,000.00     | - 251,001.00   | - 1,001.00            |
|                                 | 057071722                                                              |            |             |                |                |                       |
| 02/02/16                        | Sold 25025 025 Shs 01/07/16<br>@ 9.99                                  | 0.00       | 0.00        | 175,000.00     | - 175,700.71   | - 700.71              |
|                                 | Sold 17517.518 Shs 02/01/16<br>@ 9.99                                  |            |             |                |                |                       |
|                                 |                                                                        | 0.00       | 0.00        | 425,000.00     | - 426,701.71   | - 1,701.71            |
|                                 |                                                                        | 0.00       | 0.00        | 425,000.00     | - 426,701.71   | - 1,701.71            |
|                                 |                                                                        | 0.00       | 0.00        | 425,000.00     | - 426,701.71   | - 1,701.71            |
| <b>Total Fixed Income Funds</b> |                                                                        |            |             |                |                |                       |
| <b>Other Assets</b>             |                                                                        |            |             |                |                |                       |
| <b>Limited Partnerships</b>     |                                                                        |            |             |                |                |                       |
| 02/01/16                        | - Daruma Ginkgo Fund LP<br>23748A908                                   | 0.00       | 0.00        | - 1,430,478.37 | 1,250,000.00   | - 180,478.37          |
|                                 | To Reverse Entry of 12/11/15<br>Sold 1 Shs 12/11/15                    |            |             |                |                |                       |
| 02/01/16                        | Sold 1 Shs 02/01/16                                                    | 0.00       | 0.00        | 1,505,766.71   | - 1,250,000.00 | 255,766.71            |
|                                 |                                                                        | 0.00       | 0.00        | 75,288.34      | 0.00           | 75,288.34             |
| 03/01/16                        | - Daruma Ginkgo Fund - Holdback<br>23748A916                           | 0.00       | 0.00        | 75,288.34      | - 75,288.34    | 0.00                  |
|                                 | Sold 75288.340 Shs 03/01/16                                            |            |             |                |                |                       |
| 08/11/16                        | - Hatteras Multi-Strategy Tei<br>Institutional Fund, L.P.<br>41903A943 | 0.00       | 0.00        | 185,880.10     | - 145,825.07   | 40,055.03             |
|                                 | Sold 1768.434 Shs 08/11/16<br>Distribution                             |            |             |                |                |                       |

**Mercy Works FDN Mutual Fd, ETF, Cash**

Account Number: 71-L084-01-0  
Statement Period: 01/01/16 through 12/31/16

| Sales    |                                                 |               |             |                     |                       |                       |
|----------|-------------------------------------------------|---------------|-------------|---------------------|-----------------------|-----------------------|
| Date     | Description<br>Asset ID (CUSIP)                 | Commission    | Other Costs | Net Cash            | Cost                  | Realized<br>Gain/Loss |
| 11/01/16 | Sold 1380 264 Shs 11/01/16                      | 0.00          | 0.00        | 149,993.29          | - 113,816.57          | 36,176.72             |
|          |                                                 | <u>0.00</u>   | <u>0.00</u> | <u>335,873.39</u>   | <u>- 259,641.64</u>   | <u>76,231.75</u>      |
|          | <b>Total Limited Partnerships</b>               | <u>0.00</u>   | <u>0.00</u> | <u>486,450.07</u>   | <u>- 334,929.98</u>   | <u>151,520.09</u>     |
|          | <b>Total Other Assets</b>                       | <u>0.00</u>   | <u>0.00</u> | <u>486,450.07</u>   | <u>- 334,929.98</u>   | <u>151,520.09</u>     |
|          | <b>Cash Equivalent</b>                          |               |             |                     |                       |                       |
|          | - BMO Prime Money Market Cl Y #200<br>999993116 |               |             |                     |                       |                       |
| 12/31/16 | Sales (5) 01/01/16 To 12/31/16                  | 0.00          | 0.00        | 379,978.45          | - 379,978.45          | 0.00                  |
|          | - BMO Govt Money Market Fd Y # 605<br>999993603 |               |             |                     |                       |                       |
| 12/31/16 | Sales (4) 01/01/16 To 12/31/16                  | 0.00          | 0.00        | 459,331.00          | - 459,331.00          | 0.00                  |
|          | <b>Total Cash Equivalent</b>                    | <u>0.00</u>   | <u>0.00</u> | <u>839,309.45</u>   | <u>- 839,309.45</u>   | <u>0.00</u>           |
|          | <b>Total Sales</b>                              | <b>103.00</b> | <b>5.06</b> | <b>4,612,280.49</b> | <b>- 3,091,569.12</b> | <b>1,520,711.37</b>   |

**Mercy Works FDN Special Holdings**

Account Number: 71-L084-02-8  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                 | Description<br>Asset ID (CUSIP)                                                                                                                                                                        | Equity | Commission  | Other Costs | Net Cash      | Cost        | Realized<br>Gain/Loss |
|----------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------|-------------|-------------|---------------|-------------|-----------------------|
| <b>Common Stocks</b> |                                                                                                                                                                                                        |        |             |             |               |             |                       |
| 01/26/16             | - Safeway Casa Ley Cvr<br>786CVR209                                                                                                                                                                    |        | 0.00        | 0.00        | 0.00          | 0.00        | 0.00                  |
|                      | To Reverse Entry of 02/02/15<br>Adj To Fully Taxable Exchange<br>Recd 128 Shs on<br>Mandatory Exchange of<br>Safeway Inc Com New<br>on Basis of 1 Shs<br>for Each 1 Sh Held                            |        |             |             |               |             |                       |
| 01/26/16             | - Safeway Pdc, LLC Cvr<br>786CVR308                                                                                                                                                                    |        | 0.00        | 0.00        | 0.00          | 0.00        | 0.00                  |
|                      | To Reverse Entry of 02/02/15<br>Adj To Fully Taxable Exchange<br>Recd 128 Shs on<br>Mandatory Exchange of<br>Safeway Inc Com New<br>on Basis of 1 Shs<br>for Each 1 Sh Held                            |        |             |             |               |             |                       |
| 01/26/16             | - Safeway Inc Com New<br>786514208                                                                                                                                                                     |        | 0.00        | 0.00        | - 4,469.76    | 4,031.00    | - 438.76              |
|                      | To Reverse Entry of 02/02/15<br>Adj To Fully Taxable Exchange<br>To Remove 128 Shs on<br>Cash Merger @ 34.92 Per Sh<br>To Remove 128 Shs for<br>Safeway Casa Ley Cvr, Safe Way Pdc<br>LLC Cvr and Cash |        |             |             |               |             |                       |
| 01/26/16             |                                                                                                                                                                                                        |        | 0.00        | 0.00        | 4,605.91      | - 4,031.00  | 574.91                |
|                      |                                                                                                                                                                                                        |        | <u>0.00</u> | <u>0.00</u> | <u>136.15</u> | <u>0.00</u> | <u>136.15</u>         |
|                      | <b>Total Common Stocks</b>                                                                                                                                                                             |        | <u>0.00</u> | <u>0.00</u> | <u>136.15</u> | <u>0.00</u> | <u>136.15</u>         |
|                      | <b>Total Equity</b>                                                                                                                                                                                    |        | <u>0.00</u> | <u>0.00</u> | <u>136.15</u> | <u>0.00</u> | <u>136.15</u>         |

**Mercy Works FDN Special Holdings**

Account Number: 71-L084-02-8  
Statement Period: 01/01/16 through 12/31/16

| Sales           |                                                 |             |             |                 |                   |                       |
|-----------------|-------------------------------------------------|-------------|-------------|-----------------|-------------------|-----------------------|
| Date            | Description<br>Asset ID (CUSIP)                 | Commission  | Other Costs | Net Cash        | Cost              | Realized<br>Gain/Loss |
| Cash Equivalent |                                                 |             |             |                 |                   |                       |
| 12/31/16        | - BMO Prime Money Market Cl Y #200<br>999993116 | 0.00        | 0.00        | 5,139.76        | - 5,139.76        | 0.00                  |
|                 | Sales (2) 01/01/16 To 12/31/16                  |             |             |                 |                   |                       |
|                 | <b>Total Cash Equivalent</b>                    | <b>0.00</b> | <b>0.00</b> | <b>5,139.76</b> | <b>- 5,139.76</b> | <b>0.00</b>           |
|                 | <b>Total Sales</b>                              | <b>0.00</b> | <b>0.00</b> | <b>5,275.91</b> | <b>- 5,139.76</b> | <b>136.15</b>         |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                 | Description<br>Asset ID (CUSIP)                                                                                    | Equity | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------------------|--------------------------------------------------------------------------------------------------------------------|--------|--------------|-------------|------------------|--------------------|-----------------------|
| <b>Common Stocks</b> |                                                                                                                    |        |              |             |                  |                    |                       |
| 02/09/16             | - Luxoft Hldg Inc Ord Shs Cl A<br>G57279104<br>Sold 95 Shs 02/04/16<br>To William Blair & Company LLC<br>@ 67 5846 |        | 3.80         | 0.12        | 6,416.62         | - 5,113.68         | 1,302.94              |
| 02/10/16             | Sold 40 Shs 02/05/16<br>To Barclays Capital Le<br>@ 59.4243                                                        |        | 1.60         | 0.05        | 2,375.32         | - 2,168.82         | 206.50                |
| 02/18/16             | Sold 20 Shs 02/12/16<br>To Dain Rauscher Incorporated<br>@ 52.6468                                                 |        | 0.15         | 0.03        | 1,052.76         | - 1,083.92         | - 31.16               |
| 02/22/16             | Sold 70 Shs 02/17/16<br>To William Blair & Company LLC<br>@ 49 9473                                                |        | 2.80         | 0.08        | 3,493.43         | - 3,708.75         | - 215.32              |
| 02/23/16             | Sold 80 Shs 02/18/16<br>To Jefferies & Co<br>@ 50 5931                                                             |        | 3.20         | 0.09        | 4,044.16         | - 4,140.53         | - 96.37               |
| 04/11/16             | Sold 60 Shs 04/06/16<br>To William Blair & Company LLC<br>@ 54.2703                                                |        | 2.40         | 0.08        | 3,253.74         | - 3,197.66         | 56.08                 |
| 04/12/16             | Sold 65 Shs 04/07/16<br>To William Blair & Company LLC<br>@ 52 3633                                                |        | 2.60         | 0.08        | 3,400.93         | - 3,804.50         | - 403.57              |
| 06/29/16             | Sold 110 Shs 06/24/16<br>To Jefferies & Co<br>@ 54 3102                                                            |        | 4.40         | 0.14        | 5,969.58         | - 7,267.30         | - 1,297.72            |
| 06/30/16             | Sold 37 Shs 06/27/16<br>To Piper Jaffray Inc<br>@ 52.018                                                           |        | 1.48         | 0.05        | 1,923.14         | - 2,694.16         | - 771.02              |
|                      |                                                                                                                    |        | <b>22.43</b> | <b>0.72</b> | <b>31,929.68</b> | <b>- 33,179.32</b> | <b>- 1,249.64</b>     |

- Nomad Hldgs LTD USD Ord Shs  
G6564A105  
Sold 125 Shs 11/14/16  
To Jefferies & Co  
@ 11 0135

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                       | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 11/29/16 | Sold 100 Shs 11/23/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 10 5898                              | 3.00         | 0.03        | 1,055.95         | - 1,215.02         | - 159.07              |
| 12/05/16 | Sold 484 Shs 11/30/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 9 3091                                   | 14.52        | 0.10        | 4,490.98         | - 5,933.74         | - 1,442.76            |
| 12/07/16 | Sold 97 Shs 12/02/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 9 1706                                | 2.91         | 0.02        | 886.62           | - 1,243.17         | - 356.55              |
| 12/07/16 | Sold 97 Shs 12/02/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 9 1507                                    | 2.91         | 0.02        | 884.69           | - 1,251.74         | - 367.05              |
| 12/08/16 | Sold 321 Shs 12/05/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 9 2302                               | 9.63         | 0.07        | 2,953.19         | - 3,997.62         | - 1,044.43            |
|          |                                                                                                       | <u>36.72</u> | <u>0.28</u> | <u>11,644.33</u> | <u>- 15,160.06</u> | <u>- 3,515.73</u>     |
| 06/24/16 | - Prothena Corp PLC Shs<br>G72800108<br>Sold 100 Shs 06/21/16<br>To Oppenheimer & Co Inc<br>@ 38 3568 | 4.00         | 0.09        | 3,831.59         | - 3,512.55         | 319.04                |
| 06/30/16 | Sold 145 Shs 06/27/16<br>To R W Baird & Co<br>@ 34 0226                                               | 5.80         | 0.11        | 4,927.37         | - 5,164.58         | - 237.21              |
| 08/26/16 | Sold 100 Shs 08/23/16<br>To William Blair & Company LLC<br>@ 54 1223                                  | 4.00         | 0.12        | 5,408.11         | - 4,401.85         | 1,006.26              |
| 08/30/16 | Sold 110 Shs 08/25/16<br>To Jefferies & Co<br>@ 48 6392                                               | 4.40         | 0.12        | 5,345.79         | - 5,239.50         | 106.29                |
| 10/17/16 | Sold 61 Shs 10/12/16<br>To Jefferies & Co<br>@ 55 4257                                                | 1.83         | 0.08        | 3,379.06         | - 2,968.59         | 410.47                |
| 11/08/16 | Sold 109 Shs 11/03/16<br>To Instinet<br>@ 41 7628                                                     | 0.55         | 0.10        | 4,551.50         | - 5,833.66         | - 1,282.16            |
|          |                                                                                                       | <u>20.58</u> | <u>0.62</u> | <u>27,443.42</u> | <u>- 27,120.73</u> | <u>322.69</u>         |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                        | Description<br>Asset ID (CUSIP)                                      | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|---------------------------------------------|----------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| - Theravance Biopharma Inc Com<br>G8807B106 |                                                                      |              |             |                  |                    |                       |
| 10/04/16                                    | Sold 50 Shs 09/29/16<br>To Oppenheimer & Co Inc<br>@ 35.2166         | 2.00         | 0.04        | 1,758.79         | - 1,307.54         | 451.25                |
| 10/05/16                                    | Sold 100 Shs 09/30/16<br>To Canaccord Genuity Inc.<br>@ 36.0416      | 4.00         | 0.08        | 3,600.08         | - 2,579.76         | 1,020.32              |
| 10/17/16                                    | Sold 75 Shs 10/12/16<br>To Citation Group/Bcc Clrg<br>@ 31.9839      | 1.50         | 0.06        | 2,397.23         | - 1,942.24         | 454.99                |
| 11/02/16                                    | Sold 195 Shs 10/28/16<br>To Instinet<br>@ 25.2021                    | 0.98         | 0.11        | 4,913.32         | - 5,308.65         | - 395.33              |
| 11/23/16                                    | Sold 201 Shs 11/18/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 31.8458 | 6.03         | 0.14        | 6,394.84         | - 5,639.57         | 755.27                |
| 11/25/16                                    | Sold 113 Shs 11/21/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 30.5632 | 3.39         | 0.08        | 3,450.17         | - 3,606.31         | - 156.14              |
|                                             |                                                                      | <u>17.90</u> | <u>0.51</u> | <u>22,514.43</u> | <u>- 20,384.07</u> | <u>2,130.36</u>       |
| - Cyberark Software LTD Shs<br>M2682V108    |                                                                      |              |             |                  |                    |                       |
| 01/14/16                                    | Sold 100 Shs 01/11/16<br>To Instinet<br>@ 37.2972                    | 1.50         | 0.07        | 3,728.15         | - 6,026.05         | - 2,297.90            |
| 01/15/16                                    | Sold 70 Shs 01/12/16<br>To Instinet<br>@ 37.3275                     | 1.05         | 0.05        | 2,611.83         | - 3,717.08         | - 1,105.25            |
| 02/02/16                                    | Sold 110 Shs 01/28/16<br>To Jefferies & Co<br>@ 39.6838              | 1.10         | 0.09        | 4,364.03         | - 5,907.42         | - 1,543.39            |
| 02/18/16                                    | Sold 95 Shs 02/12/16<br>To Instinet<br>@ 32.4729                     | 1.42         | 0.07        | 3,083.44         | - 6,309.53         | - 3,226.09            |
| 03/09/16                                    | Sold 50 Shs 03/04/16<br>To Oppenheimer & Co Inc<br>@ 39.355          | 2.00         | 0.05        | 1,965.70         | - 3,109.59         | - 1,143.89            |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                          | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|--------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 04/12/16 | Sold 70 Shs 04/07/16<br>To Instinet<br>@ 40 8009                         | 1.05         | 0.07        | 2,854.94         | - 4,373.46         | - 1,518.52            |
| 04/14/16 | Sold 85 Shs 04/11/16<br>To Instinet<br>@ 38 9246                         | 1.27         | 0.08        | 3,307.24         | - 5,317.95         | - 2,010.71            |
| 04/15/16 | Sold 80 Shs 04/12/16<br>To Instinet<br>@ 38 0332                         | 1.20         | 0.07        | 3,041.39         | - 4,191.66         | - 1,150.27            |
| 09/02/16 | Sold 40 Shs 08/30/16<br>To Jefferies & Co<br>@ 53.4395                   | 0.40         | 0.05        | 2,137.13         | - 1,998.25         | 138.88                |
| 09/06/16 | Sold 85 Shs 08/31/16<br>To Jefferies & Co<br>@ 52 8125                   | 0.85         | 0.10        | 4,488.11         | - 4,456.95         | 31.16                 |
| 10/17/16 | Sold 50 Shs 10/12/16<br>To R W Baird & Co<br>@ 48 1739                   | 1.50         | 0.06        | 2,407.14         | - 2,513.04         | - 105.90              |
| 10/25/16 | Sold 50 Shs 10/20/16<br>To Oppenheimer & Co Inc<br>@ 45 0426             | 1.50         | 0.05        | 2,250.58         | - 2,211.62         | 38.96                 |
| 11/30/16 | Sold 61 Shs 11/23/16<br>To Morgan Stanley & Co<br>@ 52 2229              | 1.83         | 0.07        | 3,183.70         | - 2,724.38         | 459.32                |
| 12/01/16 | Sold 66 Shs 11/28/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 50 639       | 1.98         | 0.08        | 3,340.11         | - 2,988.84         | 351.27                |
| 12/06/16 | Sold 119 Shs 12/01/16<br>To Jefferies & Co<br>@ 48 1914                  | 3.57         | 0.13        | 5,731.08         | - 5,335.55         | 395.53                |
| 12/12/16 | Sold 29 Shs 12/07/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 48 5848      | 0.87         | 0.04        | 1,408.05         | - 1,207.70         | 200.35                |
| 12/27/16 | Sold 105 Shs 12/21/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 45 6656 | 3.15         | 0.11        | 4,791.63         | - 4,375.39         | 416.24                |
|          |                                                                          | <b>26.24</b> | <b>1.24</b> | <b>54,694.25</b> | <b>- 66,764.46</b> | <b>- 12,070.21</b>    |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                          | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|----------------------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 05/04/16 | - Mellanox Technologies LTD<br>M51363113<br>Sold 70 Shs 04/29/16<br>To Oppenheimer & Co Inc<br>@ 43.5576 | 2.80         | 0.07        | 3,046.16         | - 3,622.21         | - 576.05              |
| 05/06/16 | Sold 30 Shs 05/03/16<br>To William Blair & Company LLC<br>@ 42.1691                                      | 1.20         | 0.03        | 1,263.84         | - 1,558.87         | - 295.03              |
| 07/29/16 | Sold 130 Shs 07/26/16<br>To Jefferies & Co<br>@ 46.0164                                                  | 5.20         | 0.14        | 5,976.79         | - 6,708.63         | - 731.84              |
| 08/01/16 | Sold 115 Shs 07/27/16<br>To Jefferies & Co<br>@ 45.7104                                                  | 4.60         | 0.12        | 5,251.98         | - 6,058.75         | - 806.77              |
| 08/02/16 | Sold 85 Shs 07/28/16<br>To R W Baird & Co<br>@ 45.0442                                                   | 3.40         | 0.09        | 3,825.27         | - 4,505.14         | - 679.87              |
| 08/03/16 | Sold 186 Shs 07/29/16<br>To Jefferies & Co<br>@ 43.8641                                                  | 7.44         | 0.18        | 8,151.10         | - 9,337.52         | - 1,186.42            |
|          |                                                                                                          | <u>24.64</u> | <u>0.63</u> | <u>27,515.14</u> | <u>- 31,791.12</u> | <u>- 4,275.98</u>     |
| 12/12/16 | - Orbotech LTD<br>M75253100<br>Sold 129 Shs 12/07/16<br>To Oppenheimer & Co Inc<br>@ 30.9049             | 3.87         | 0.09        | 3,982.77         | - 2,967.95         | 1,014.82              |
| 01/19/16 | - Tower Semiconductor LTD Shs New<br>M87915274<br>Sold 85 Shs 01/13/16<br>To Jefferies & Co<br>@ 12.6428 | 3.40         | 0.02        | 1,071.22         | - 1,510.37         | - 439.15              |
| 01/20/16 | Sold 115 Shs 01/14/16<br>To JonesTrading Institutional Svcs<br>@ 11.1182                                 | 4.60         | 0.02        | 1,273.97         | - 2,065.03         | - 791.06              |
| 01/21/16 | Sold 115 Shs 01/15/16<br>To JonesTrading Institutional Svcs<br>@ 11.4976                                 | 4.60         | 0.02        | 1,317.60         | - 2,017.61         | - 700.01              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                              | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|----------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 02/18/16 | Sold 45 Shs 02/12/16<br>To R W Baird & Co<br>@ 11 3543                                       | 1 80         | 0 02        | 509.12           | - 769.72           | - 260 60              |
| 03/08/16 | Sold 150 Shs 03/03/16<br>To Canaccord Genuity Inc<br>@ 13 1976                               | 6 00         | 0 05        | 1,973 59         | - 2,472 25         | - 498 66              |
| 03/28/16 | Sold 155 Shs 03/22/16<br>To William Blair & Company LLC<br>@ 11 9442                         | 6 20         | 0 05        | 1,845.10         | - 2,355 87         | - 510 77              |
| 03/29/16 | Sold 110 Shs 03/23/16<br>To Oppenheimer & Co Inc<br>@ 11 7362                                | 4.40         | 0 03        | 1,286 55         | - 1,532 72         | - 246.17              |
| 03/30/16 | Sold 75 Shs 03/24/16<br>To Barclays Capital Le<br>@ 11 5681                                  | 3.00         | 0 02        | 864 59           | - 1,045.03         | - 180.44              |
| 04/01/16 | Sold 360 Shs 03/29/16<br>To Instinet<br>@ 11 7414                                            | 9 00         | 0 10        | 4,217 80         | - 5,021 73         | - 803.93              |
| 04/05/16 | Sold 425 Shs 03/31/16<br>To Oppenheimer & Co Inc<br>@ 12 1212                                | 17 00        | 0 12        | 5,134 39         | - 6,425 05         | - 1,290 67            |
| 04/06/16 | Sold 160 Shs 04/01/16<br>To Instinet<br>@ 12 0221                                            | 4.00         | 0 05        | 1,919 49         | - 2,450 53         | - 531 04              |
|          |                                                                                              | <b>64.00</b> | <b>0.50</b> | <b>21,413.42</b> | <b>- 27,665.92</b> | <b>- 6,252.50</b>     |
| 01/25/16 | - Abiomed Inc Com<br>003654100<br>Sold 70 Shs 01/20/16<br>To Piper Jaffray Inc.<br>@ 82 3256 | 2.80         | 0.11        | 5,759.88         | - 2,445.56         | 3,314.32              |
| 02/11/16 | Sold 35 Shs 02/08/16<br>To Instinet<br>@ 70 4247                                             | 0 52         | 0 05        | 2,464.29         | - 1,529.34         | 934.95                |
| 04/29/16 | Sold 40 Shs 04/26/16<br>To Piper Jaffray Inc<br>@ 101 4871                                   | 1 60         | 0 09        | 4,057 79         | - 2,009.97         | 2,047.82              |
| 05/04/16 | Sold 50 Shs 04/29/16<br>To William Blair & Company LLC<br>@ 96 9365                          | 2 00         | 0 11        | 4,844 72         | - 2,512 47         | 2,332 25              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                      | Commission | Other Costs | Net Cash | Cost       | Realized<br>Gain/Loss |
|----------|----------------------------------------------------------------------|------------|-------------|----------|------------|-----------------------|
| 07/25/16 | Sold 27 Shs 07/20/16<br>To Piper Jaffray Inc<br>@ 118.3786           | 1.08       | 0.07        | 3,195.07 | - 1,356.73 | 1,838.34              |
| 07/26/16 | Sold 70 Shs 07/21/16<br>To William Blair & Company LLC<br>@ 116.075  | 2.80       | 0.18        | 8,122.27 | - 3,639.74 | 4,482.53              |
| 08/01/16 | Sold 30 Shs 07/27/16<br>To Canaccord Genuity Inc.<br>@ 114.9359      | 1.20       | 0.08        | 3,446.80 | - 1,606.96 | 1,839.84              |
| 08/10/16 | Sold 22 Shs 08/05/16<br>To William Blair & Company LLC<br>@ 121.4875 | 0.88       | 0.06        | 2,671.79 | - 1,217.06 | 1,454.73              |
| 08/18/16 | Sold 20 Shs 08/15/16<br>To Oppenheimer & Co Inc<br>@ 123.298         | 0.80       | 0.06        | 2,465.10 | - 1,226.36 | 1,238.74              |
| 10/05/16 | Sold 30 Shs 09/30/16<br>To Instinet<br>@ 128.8318                    | 0.45       | 0.09        | 3,864.41 | - 1,916.64 | 1,947.77              |
| 10/06/16 | Sold 51 Shs 10/03/16<br>To Oppenheimer & Co Inc<br>@ 127.1555        | 1.53       | 0.15        | 6,483.25 | - 3,248.26 | 3,234.99              |
| 10/28/16 | Sold 9 Shs 10/25/16<br>To Instinet<br>@ 121.9446                     | 0.05       | 0.03        | 1,097.42 | - 583.55   | 513.87                |
| 11/16/16 | Sold 50 Shs 11/10/16<br>To Canaccord Genuity Inc<br>@ 107.128        | 1.50       | 0.12        | 5,354.78 | - 3,250.65 | 2,104.13              |
| 11/16/16 | Sold 39 Shs 11/11/16<br>To Canaccord Genuity Inc.<br>@ 109.7803      | 1.17       | 0.10        | 4,280.16 | - 2,570.76 | 1,709.40              |
| 11/17/16 | Sold 59 Shs 11/14/16<br>To Citi Group/Boc Clrg<br>@ 110.1656         | 1.18       | 0.15        | 6,498.44 | - 3,905.87 | 2,592.57              |
| 11/22/16 | Sold 41 Shs 11/17/16<br>To Canaccord Genuity Inc<br>@ 112.661        | 1.23       | 0.11        | 4,617.76 | - 2,752.88 | 1,864.88              |
| 11/28/16 | Sold 30 Shs 11/22/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 110.94   | 0.90       | 0.08        | 3,327.22 | - 2,014.30 | 1,312.92              |
| 12/23/16 | Sold 37 Shs 12/20/16<br>To R W Baird & Co<br>@ 113.0206              | 1.11       | 0.10        | 4,180.55 | - 2,505.01 | 1,675.54              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                         | Commission   | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------|--------------|-------------|------------------|-------------------|-----------------------|
| 12/28/16 | Sold 20 Shs 12/22/16<br>To William Blair & Company LLC<br>@ 111.4163    | 0.60         | 0.05        | 2,227.68         | -1,356.67         | 871.01                |
|          |                                                                         | <u>23.40</u> | <u>1.79</u> | <u>78,959.38</u> | <u>-41,646.78</u> | <u>37,310.60</u>      |
|          | - Acadia Healthcare Company Inc Com<br>00404A109                        |              |             |                  |                   |                       |
| 01/21/16 | Sold 60 Shs 01/15/16<br>To William Blair & Company LLC<br>@ 57.3202     | 2.40         | 0.07        | 3,436.74         | -2,885.87         | 550.87                |
| 02/17/16 | Sold 65 Shs 02/11/16<br>To Oppenheimer & Co Inc<br>@ 53.1616            | 2.60         | 0.08        | 3,452.82         | -3,136.38         | 316.44                |
| 02/18/16 | Sold 25 Shs 02/12/16<br>To Instinet<br>@ 53.3401                        | 0.38         | 0.03        | 1,333.09         | -1,210.03         | 123.06                |
| 07/19/16 | Sold 20 Shs 07/14/16<br>To Jefferies & Co<br>@ 53.1317                  | 0.20         | 0.03        | 1,062.40         | -949.58           | 112.82                |
| 09/20/16 | Sold 170 Shs 09/15/16<br>To Jefferies & Co<br>@ 49.1361                 | 6.80         | 0.19        | 8,346.15         | -10,220.71        | -1,874.56             |
| 09/29/16 | Sold 70 Shs 09/26/16<br>To JonesTrading Institutional Svcs<br>@ 48.0372 | 2.80         | 0.07        | 3,359.73         | -4,907.54         | -1,547.81             |
| 09/30/16 | Sold 35 Shs 09/27/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 47.9733     | 1.40         | 0.04        | 1,677.63         | -2,608.12         | -930.49               |
| 10/03/16 | Sold 151 Shs 09/28/16<br>To Jefferies & Co<br>@ 47.5989                 | 6.04         | 0.16        | 7,181.23         | -9,398.01         | -2,216.78             |
|          |                                                                         | <u>22.62</u> | <u>0.67</u> | <u>29,849.79</u> | <u>-35,316.24</u> | <u>-5,466.45</u>      |
|          | - Acadia Pharmaceuticals Inc Com<br>004225108                           |              |             |                  |                   |                       |
| 01/11/16 | Sold 100 Shs 01/06/16<br>To Instinet<br>@ 29.7282                       | 1.50         | 0.06        | 2,971.26         | -3,819.70         | -848.44               |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                          | Commission   | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|----------|----------------------------------------------------------------------------------------------------------|--------------|-------------|------------------|-------------------|-----------------------|
| 04/29/16 | Sold 35 Shs 04/26/16<br>To William Blair & Company LLC<br>@ 32 778                                       | 1.40         | 0.03        | 1,145.80         | -854.51           | 291.29                |
| 05/05/16 | Sold 85 Shs 05/02/16<br>To Instinet<br>@ 32 4866                                                         | 1.27         | 0.07        | 2,760.02         | -2,075.23         | 684.79                |
| 05/10/16 | Sold 230 Shs 05/05/16<br>To Instinet<br>@ 27 2182                                                        | 3.45         | 0.14        | 6,256.60         | -5,615.33         | 641.27                |
| 06/24/16 | Sold 150 Shs 06/21/16<br>To Instinet<br>@ 34 7788                                                        | 2.25         | 0.12        | 5,214.45         | -3,458.31         | 1,756.14              |
| 08/15/16 | Sold 70 Shs 08/10/16<br>To Instinet<br>@ 31 2209                                                         | 1.05         | 0.05        | 2,184.36         | -1,587.83         | 596.53                |
| 08/30/16 | Sold 205 Shs 08/25/16<br>To Instinet<br>@ 32 1075                                                        | 5.13         | 0.15        | 6,576.76         | -5,631.99         | 944.77                |
| 09/01/16 | Sold 70 Shs 08/29/16<br>To Jefferies & Co<br>@ 32 6471                                                   | 2.80         | 0.05        | 2,282.45         | -2,555.93         | -273.48               |
| 09/09/16 | Sold 130 Shs 09/06/16<br>To Instinet<br>@ 31 3567                                                        | 1.95         | 0.09        | 4,074.33         | -4,756.55         | -682.22               |
|          |                                                                                                          | <u>20.80</u> | <u>0.76</u> | <u>33,466.03</u> | <u>-30,355.38</u> | <u>3,110.65</u>       |
| 02/05/16 | - Acceleron Pharma Inc Com<br>00434H108<br>Sold 100 Shs 02/02/16<br>To Oppenheimer & Co Inc<br>@ 28.8617 | 4.00         | 0.06        | 2,882.11         | -3,485.13         | -603.02               |
| 03/01/16 | Sold 70 Shs 02/25/16<br>To Piper Jaffray Inc.<br>@ 24 2378                                               | 2.80         | 0.04        | 1,693.81         | -2,454.31         | -760.50               |
| 03/22/16 | Sold 70 Shs 03/17/16<br>To Oppenheimer & Co Inc<br>@ 23 3322                                             | 2.80         | 0.04        | 1,630.41         | -2,542.58         | -912.17               |
| 06/24/16 | Sold 55 Shs 06/21/16<br>To Piper Jaffray Inc.<br>@ 33 3821                                               | 2.20         | 0.05        | 1,833.77         | -1,979.72         | -145.95               |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|------------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 06/30/16 | Sold 95 Shs 06/27/16<br>To Jefferies & Co<br>@ 31.9321                                         | 3.80         | 0.07        | 3,029.68         | - 2,957.85         | 71.83                 |
| 08/15/16 | Sold 60 Shs 08/10/16<br>To Instinet                                                            | 1.50         | 0.04        | 1,740.77         | - 1,783.17         | - 42.40               |
| 08/16/16 | @ 29.0385<br>Sold 45 Shs 08/11/16<br>To Canaccord Genuity Inc                                  | 1.80         | 0.03        | 1,303.15         | - 1,335.96         | - 32.81               |
| 10/17/16 | @ 28.9995<br>Sold 168 Shs 10/12/16<br>To Jefferies & Co                                        | 5.04         | 0.12        | 5,236.57         | - 5,146.37         | 90.20                 |
| 12/15/16 | @ 31.2008<br>Sold 58 Shs 12/12/16<br>To Oppenheimer & Co Inc                                   | 1.74         | 0.04        | 1,656.09         | - 1,845.70         | - 189.61              |
| 12/23/16 | @ 28.584<br>Sold 64 Shs 12/20/16<br>To William Blair & Company LLC<br>@ 28.1219                | 1.92         | 0.04        | 1,797.84         | - 2,087.34         | - 289.50              |
|          |                                                                                                | <u>27.60</u> | <u>0.53</u> | <u>22,804.20</u> | <u>- 25,618.13</u> | <u>- 2,813.93</u>     |
| 04/21/16 | - Advanced Energy Inds Inc Com<br>007973100<br>Sold 25 Shs 04/18/16<br>To Oppenheimer & Co Inc | 1.00         | 0.02        | 871.73           | - 747.57           | 124.16                |
| 06/06/16 | @ 34.9099<br>Sold 100 Shs 06/01/16<br>To Piper Jaffray Inc.                                    | 4.00         | 0.09        | 3,796.38         | - 2,990.26         | 806.12                |
| 06/29/16 | @ 38.0047<br>Sold 50 Shs 06/24/16<br>To Instinet                                               | 1.25         | 0.05        | 1,837.31         | - 1,490.01         | 347.30                |
| 12/15/16 | @ 36.7722<br>Sold 22 Shs 12/12/16<br>To Goldman Sachs & Co/Courtesy ACC                        | 0.66         | 0.03        | 1,158.21         | - 654.10           | 504.11                |
| 12/16/16 | @ 52.6774<br>Sold 80 Shs 12/13/16<br>To Oppenheimer & Co Inc<br>@ 52.5987                      | 2.40         | 0.10        | 4,205.40         | - 2,385.77         | 1,819.63              |
|          |                                                                                                | <u>9.31</u>  | <u>0.29</u> | <u>11,869.03</u> | <u>- 8,267.71</u>  | <u>3,601.32</u>       |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                          | Description<br>Asset ID (CUSIP)                                      | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|-----------------------------------------------|----------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| - Anacor Pharmaceuticals Inc Com<br>032420701 |                                                                      |              |             |                  |                    |                       |
| 01/12/16                                      | Sold 25 Shs 01/07/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 102 9201 | 1.00         | 0.05        | 2,571.95         | - 1,690.79         | 881.16                |
| 01/14/16                                      | Sold 40 Shs 01/11/16<br>To Piper Jaffray Inc.<br>@ 91 3454           | 1.60         | 0.07        | 3,652.15         | - 3,880.72         | - 228.57              |
| 02/01/16                                      | Sold 50 Shs 01/27/16<br>To Instinet<br>@ 76 8539                     | 0.75         | 0.08        | 3,841.87         | - 6,328.87         | - 2,487.00            |
| 03/22/16                                      | Sold 90 Shs 03/17/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 57 448   | 3.60         | 0.12        | 5,166.60         | - 10,028.97        | - 4,862.37            |
| 04/05/16                                      | Sold 95 Shs 03/31/16<br>To Jefferies & Co<br>@ 54 6853               | 0.95         | 0.12        | 5,194.03         | - 8,829.28         | - 3,635.25            |
| 04/22/16                                      | Sold 70 Shs 04/19/16<br>To Jefferies & Co<br>@ 59 59                 | 2.80         | 0.10        | 4,168.40         | - 5,003.23         | - 834.83              |
|                                               |                                                                      | <u>10.70</u> | <u>0.54</u> | <u>24,595.00</u> | <u>- 35,761.86</u> | <u>- 11,166.86</u>    |
| - Ariad Pharmaceuticals Inc Com<br>04033A100  |                                                                      |              |             |                  |                    |                       |
| 10/19/16                                      | Sold 149 Shs 10/14/16<br>To Jefferies & Co<br>@ 11.1686              | 4.47         | 0.04        | 1,659.61         | - 1,647.09         | 12.52                 |
| 10/27/16                                      | Sold 367 Shs 10/24/16<br>To Citigroup/Boc Clrg<br>@ 9 4598           | 7.34         | 0.08        | 3,464.33         | - 4,056.93         | - 592.60              |
| 12/12/16                                      | Sold 477 Shs 12/07/16<br>To Instinet<br>@ 12 7783                    | 2.39         | 0.14        | 6,092.72         | - 5,410.51         | 682.21                |
|                                               |                                                                      | <u>14.20</u> | <u>0.26</u> | <u>11,216.66</u> | <u>- 11,114.53</u> | <u>102.13</u>         |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                         | Description<br>Asset ID (CUSIP)                                     | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------------------------------------------|---------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| - Astec Inds Inc Com<br>046224101            |                                                                     |              |             |                  |                    |                       |
| 07/28/16                                     | Sold 90 Shs 07/25/16<br>To R W Baird & Co<br>@ 52.592               | 3.60         | 0.11        | 4,729.57         | - 4,464.78         | 264.79                |
| 08/08/16                                     | Sold 50 Shs 08/03/16<br>To William Blair & Company LLC<br>@ 59.5992 | 2.00         | 0.07        | 2,977.89         | - 2,501.59         | 476.30                |
| 08/11/16                                     | Sold 31 Shs 08/08/16<br>To R W Baird & Co<br>@ 59.7991              | 1.24         | 0.05        | 1,852.48         | - 1,550.99         | 301.49                |
| 08/12/16                                     | Sold 35 Shs 08/09/16<br>To R W Baird & Co<br>@ 58.6215              | 1.40         | 0.05        | 2,050.30         | - 1,768.76         | 281.54                |
|                                              |                                                                     | <u>8.24</u>  | <u>0.28</u> | <u>11,610.24</u> | <u>- 10,286.12</u> | <u>1,324.12</u>       |
| - Atara Biotherapeutics Inc Com<br>046513107 |                                                                     |              |             |                  |                    |                       |
| 01/14/16                                     | Sold 65 Shs 01/11/16<br>To Jefferies & Co<br>@ 19.3195              | 2.60         | 0.03        | 1,253.14         | - 2,843.05         | - 1,589.91            |
| 01/21/16                                     | Sold 45 Shs 01/15/16<br>To Canaccord Genuity Inc<br>@ 20.4672       | 1.80         | 0.02        | 919.20           | - 2,132.63         | - 1,213.43            |
| 02/18/16                                     | Sold 45 Shs 02/12/16<br>To R W Baird & Co<br>@ 15.1655              | 1.80         | 0.02        | 680.63           | - 2,111.68         | - 1,431.05            |
| 02/29/16                                     | Sold 70 Shs 02/24/16<br>To Piper Jaffray Inc.<br>@ 14.8926          | 2.80         | 0.03        | 1,039.65         | - 2,969.47         | - 1,929.82            |
| 03/09/16                                     | Sold 135 Shs 03/04/16<br>To Instinet<br>@ 16.5748                   | 3.37         | 0.05        | 2,234.18         | - 4,910.33         | - 2,676.15            |
|                                              |                                                                     | <u>12.37</u> | <u>0.15</u> | <u>6,126.80</u>  | <u>- 14,967.16</u> | <u>- 8,840.36</u>     |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                     | Commission   | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|----------|---------------------------------------------------------------------|--------------|-------------|------------------|-------------------|-----------------------|
|          | - Balchem Corp Com<br>057665200                                     |              |             |                  |                   |                       |
| 01/07/16 | Sold 50 Shs 01/04/16<br>To Piper Jaffray Inc<br>@ 57 9447           | 2.00         | 0.06        | 2,895.18         | - 3,392.38        | - 497.20              |
| 03/11/16 | Sold 95 Shs 03/08/16<br>To Piper Jaffray Inc<br>@ 58 9432           | 3.80         | 0.13        | 5,595.67         | - 6,538.71        | - 943.04              |
| 03/17/16 | Sold 25 Shs 03/14/16<br>To Piper Jaffray Inc.<br>@ 58.6627          | 1.00         | 0.04        | 1,465.53         | - 1,743.34        | - 277.81              |
| 03/21/16 | Sold 30 Shs 03/16/16<br>To Barclays Capital Le<br>@ 57 1123         | 1.20         | 0.04        | 1,712.13         | - 1,946.52        | - 234.39              |
| 03/28/16 | Sold 64 Shs 03/22/16<br>To BMO Capital Markets<br>@ 59 8033         | 2.56         | 0.09        | 3,824.76         | - 4,028.04        | - 203.28              |
|          |                                                                     | <b>10.56</b> | <b>0.36</b> | <b>15,493.27</b> | <b>-17,648.99</b> | <b>- 2,155.72</b>     |
|          | - Bank of The Ozarks Inc Com<br>063904106                           |              |             |                  |                   |                       |
| 01/26/16 | Sold 115 Shs 01/21/16<br>To Oppenheimer & Co Inc<br>@ 43 1936       | 4.60         | 0.10        | 4,962.56         | - 3,860.67        | 1,101.89              |
| 01/28/16 | Sold 80 Shs 01/25/16<br>To Piper Jaffray Inc.<br>@ 41 3149          | 3.20         | 0.07        | 3,301.92         | - 2,760.22        | 541.70                |
| 02/08/16 | Sold 145 Shs 02/03/16<br>To William Blair & Company LLC<br>@ 41 481 | 5.80         | 0.12        | 6,008.83         | - 5,141.02        | 867.81                |
| 04/12/16 | Sold 30 Shs 04/07/16<br>To Instinet<br>@ 40 5522                    | 0.75         | 0.03        | 1,215.79         | - 1,093.19        | 122.60                |
| 05/09/16 | Sold 29 Shs 05/04/16<br>To Instinet<br>@ 37 6664                    | 0.44         | 0.03        | 1,091.86         | - 1,061.06        | 30.80                 |
| 05/10/16 | Sold 95 Shs 05/05/16<br>To Instinet<br>@ 36 8391                    | 1.42         | 0.08        | 3,498.21         | - 3,557.99        | - 59.78               |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                       | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 06/14/16 | Sold 120 Shs 06/09/16<br>To Jefferies & Co<br>@ 38 51                                                 | 4.80         | 0.11        | 4,616.29         | - 4,453.16         | 163.13                |
| 06/17/16 | Sold 80 Shs 06/14/16<br>To William Blair & Company LLC<br>@ 36 9065                                   | 3.20         | 0.07        | 2,949.25         | - 2,960.59         | - 11.34               |
| 07/11/16 | Sold 195 Shs 07/06/16<br>To Instinet<br>@ 34 558                                                      | 4.88         | 0.15        | 6,733.78         | - 8,061.06         | - 1,327.28            |
| 08/02/16 | Sold 116 Shs 07/28/16<br>To Instinet<br>@ 36.3552                                                     | 1.74         | 0.10        | 4,215.36         | - 5,256.47         | - 1,041.11            |
|          |                                                                                                       | <u>30.83</u> | <u>0.86</u> | <u>38,593.85</u> | <u>- 38,205.43</u> | <u>388.42</u>         |
| 09/12/16 | - Beacon Roofing Supply Inc Com<br>073685109<br>Sold 75 Shs 09/07/16<br>To Jefferies & Co<br>@ 44 874 | 3.00         | 0.08        | 3,362.47         | - 3,230.92         | 131.55                |
| 09/14/16 | Sold 75 Shs 09/09/16<br>To Canaccord Genuity Inc<br>@ 43 6861                                         | 3.00         | 0.07        | 3,273.39         | - 3,112.67         | 160.72                |
| 09/16/16 | Sold 115 Shs 09/13/16<br>To Jefferies & Co<br>@ 41 4919                                               | 4.60         | 0.11        | 4,766.86         | - 4,656.01         | 110.85                |
| 09/19/16 | Sold 110 Shs 09/14/16<br>To Jefferies & Co<br>@ 40 6571                                               | 4.40         | 0.10        | 4,467.78         | - 4,494.78         | - 27.00               |
| 09/21/16 | Sold 105 Shs 09/16/16<br>To Jefferies & Co<br>@ 40 2598                                               | 4.20         | 0.10        | 4,222.98         | - 4,270.28         | - 47.30               |
|          |                                                                                                       | <u>19.20</u> | <u>0.46</u> | <u>20,093.48</u> | <u>- 19,764.66</u> | <u>328.82</u>         |
| 08/08/16 | - Belden Inc New Com<br>077454106<br>Sold 75 Shs 08/03/16<br>To RW Baird & Co<br>@ 70 4595            | 3.00         | 0.12        | 5,281.34         | - 3,839.98         | 1,441.36              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                              | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|--------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 08/09/16 | Sold 31 Shs 08/04/16<br>To R W Baird & Co<br>@ 71 2319       | 1.24         | 0.05        | 2,206.90         | - 1,607.75         | 599.15                |
| 09/13/16 | Sold 50 Shs 09/08/16<br>To R W Baird & Co<br>@ 68.2281       | 2.00         | 0.08        | 3,409.33         | - 2,607.17         | 802.16                |
| 09/14/16 | Sold 10 Shs 09/09/16<br>To Instinet<br>@ 64 5002             | 0.15         | 0.02        | 644.83           | - 527.39           | 117.44                |
| 11/04/16 | Sold 73 Shs 11/01/16<br>To R W Baird & Co<br>@ 63.9445       | 2.19         | 0.11        | 4,665.65         | - 4,001.98         | 663.67                |
|          |                                                              | <u>8.58</u>  | <u>0.38</u> | <u>16,208.05</u> | <u>- 12,584.27</u> | <u>3,623.78</u>       |
|          | - Berry Plastics Group Inc Com<br>08579W103                  |              |             |                  |                    |                       |
| 01/26/16 | Sold 120 Shs 01/21/16<br>To R W Baird & Co<br>@ 29 8712      | 4.80         | 0.07        | 3,579.67         | - 4,048.39         | - 468.72              |
| 02/11/16 | Sold 115 Shs 02/08/16<br>To Jefferies & Co<br>@ 28 3501      | 4.60         | 0.06        | 3,255.60         | - 3,910.68         | - 655.08              |
| 02/23/16 | Sold 95 Shs 02/18/16<br>To Jefferies & Co<br>@ 28 574        | 0.95         | 0.06        | 2,713.52         | - 3,230.91         | - 517.39              |
| 10/07/16 | Sold 84 Shs 10/04/16<br>To Oppenheimer & Co Inc<br>@ 42.6577 | 2.52         | 0.08        | 3,580.65         | - 2,917.69         | 662.96                |
|          |                                                              | <u>12.87</u> | <u>0.27</u> | <u>13,129.44</u> | <u>- 14,107.67</u> | <u>- 978.23</u>       |
|          | - Big Lots Inc Com<br>089302103                              |              |             |                  |                    |                       |
| 09/02/16 | Sold 80 Shs 08/30/16<br>To Instinet<br>@ 50 0196             | 1.20         | 0.09        | 4,000.28         | - 4,023.07         | - 22.79               |
| 09/16/16 | Sold 120 Shs 09/13/16<br>To Instinet<br>@ 47 4687            | 3.00         | 0.13        | 5,693.11         | - 6,224.50         | - 531.39              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                | Commission  | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|----------|------------------------------------------------------------------------------------------------|-------------|-------------|------------------|-------------------|-----------------------|
| 09/19/16 | Sold 35 Shs 09/14/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 47.4102                            | 1.40        | 0.04        | 1,657.92         | -1,838.29         | -180.37               |
| 09/28/16 | Sold 80 Shs 09/23/16<br>To Instinet<br>@ 46.5235                                               | 2.00        | 0.09        | 3,719.79         | -4,237.43         | -517.64               |
| 09/29/16 | Sold 45 Shs 09/26/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 46.1822                            | 1.80        | 0.05        | 2,076.35         | -2,403.91         | -327.56               |
|          |                                                                                                | <b>9.40</b> | <b>0.40</b> | <b>17,147.45</b> | <b>-18,727.20</b> | <b>-1,579.75</b>      |
| 03/08/16 | - Blackbaud Inc Com<br>09227Q100<br>Sold 65 Shs 03/03/16<br>To Piper Jaffray Inc.<br>@ 57.1485 | 2.60        | 0.09        | 3,711.96         | -4,094.11         | -382.15               |
| 03/09/16 | Sold 70 Shs 03/04/16<br>To R W Baird & Co<br>@ 57.4377                                         | 2.80        | 0.09        | 4,017.75         | -4,432.19         | -414.44               |
| 04/11/16 | Sold 40 Shs 04/06/16<br>To William Blair & Company LLC<br>@ 61.9693                            | 1.60        | 0.06        | 2,477.11         | -2,368.03         | 109.08                |
| 05/11/16 | Sold 60 Shs 05/06/16<br>To William Blair & Company LLC<br>@ 59.1473                            | 2.40        | 0.08        | 3,546.36         | -3,484.30         | 62.06                 |
| 08/11/16 | Sold 50 Shs 08/08/16<br>To William Blair & Company LLC<br>@ 67.0497                            | 2.00        | 0.08        | 3,350.41         | -2,808.56         | 541.85                |
| 08/12/16 | Sold 31 Shs 08/09/16<br>To R W Baird & Co<br>@ 67.3002                                         | 1.24        | 0.05        | 2,085.02         | -1,741.31         | 343.71                |
| 08/22/16 | Sold 30 Shs 08/17/16<br>To Oppenheimer & Co Inc<br>@ 65.9715                                   | 1.20        | 0.05        | 1,977.90         | -1,715.86         | 262.04                |
| 10/25/16 | Sold 93 Shs 10/20/16<br>To William Blair & Company LLC<br>@ 63.4484                            | 2.79        | 0.13        | 5,897.78         | -5,567.20         | 330.58                |
| 11/21/16 | Sold 33 Shs 11/16/16<br>To Oppenheimer & Co Inc<br>@ 64.6622                                   | 0.99        | 0.05        | 2,132.81         | -2,084.37         | 48.44                 |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                         | Commission   | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|----------|---------------------------------------------------------------------------------------------------------|--------------|-------------|------------------|-------------------|-----------------------|
| 11/22/16 | Sold 64 Shs 11/17/16<br>To Canaccord Genuity Inc<br>@ 65 0783                                           | 1.92         | 0.10        | 4,162.99         | -4,145.19         | 17.80                 |
| 11/28/16 | Sold 64 Shs 11/22/16<br>To William Blair & Company LLC<br>@ 67 0441                                     | 1.92         | 0.10        | 4,288.80         | -4,281.81         | 6.99                  |
|          |                                                                                                         | <u>21.46</u> | <u>0.88</u> | <u>37,648.89</u> | <u>-36,722.93</u> | <u>925.96</u>         |
| 10/19/16 | - Bluebird Bio Inc Com<br>09609G100<br>Sold 80 Shs 10/14/16<br>To Citation Group/Bcc Clrg<br>@ 58 2577  | 1.60         | 0.11        | 4,658.91         | -5,004.09         | -345.18               |
| 10/20/16 | Sold 74 Shs 10/17/16<br>To Jefferies & Co<br>@ 55 4829                                                  | 2.22         | 0.09        | 4,103.42         | -4,760.46         | -657.04               |
|          |                                                                                                         | <u>3.82</u>  | <u>0.20</u> | <u>8,762.33</u>  | <u>-9,764.55</u>  | <u>-1,002.22</u>      |
| 08/23/16 | - Body Cent Corp Com<br>09689U102<br>Recd Proceeds on Class Action                                      | 0.00         | 0.00        | 2.38             | 0.00              | 2.38                  |
| 05/04/16 | - Bottomline Technologies Del Inc Com<br>101388106<br>Sold 340 Shs 04/29/16<br>To Instinet<br>@ 23 4396 | 5.10         | 0.18        | 7,964.18         | -9,863.03         | -1,898.85             |
| 05/20/16 | Sold 85 Shs 05/17/16<br>To Oppenheimer & Co Inc<br>@ 24 8727                                            | 3.40         | 0.05        | 2,110.73         | -2,485.91         | -375.18               |
| 05/24/16 | Sold 70 Shs 05/19/16<br>To Canaccord Genuity Inc.<br>@ 24 0698                                          | 2.80         | 0.04        | 1,682.05         | -2,037.53         | -355.48               |
| 05/27/16 | Sold 20 Shs 05/24/16<br>To Siftel Nicolaus & Co<br>@ 24 6102                                            | 0.80         | 0.02        | 491.38           | -582.15           | -90.77                |
| 06/06/16 | Sold 60 Shs 06/01/16<br>To Canaccord Genuity Inc<br>@ 25 2171                                           | 2.40         | 0.03        | 1,510.60         | -1,746.45         | -235.85               |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                                          | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|--------------------------------------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 06/16/16 | Sold 45 Shs 06/13/16<br>To R W Baird & Co<br>@ 24 3351                                                                   | 1.80         | 0.03        | 1,093.25         | - 1,245.29         | - 152.04              |
| 06/20/16 | Sold 110 Shs 06/15/16<br>To Canaccord Genuity Inc<br>@ 23 8882                                                           | 4.40         | 0.06        | 2,623.24         | - 3,344.91         | - 721.67              |
| 06/21/16 | Sold 120 Shs 06/16/16<br>To Canaccord Genuity Inc<br>@ 23 3908                                                           | 4.80         | 0.06        | 2,802.04         | - 3,505.80         | - 703.76              |
| 06/22/16 | Sold 130 Shs 06/17/16<br>To Canaccord Genuity Inc.<br>@ 22 921                                                           | 5.20         | 0.06        | 2,974.47         | - 3,920.31         | - 945.84              |
|          |                                                                                                                          | <u>30.70</u> | <u>0.53</u> | <u>23,251.94</u> | <u>- 28,731.38</u> | <u>- 5,479.44</u>     |
| 08/09/16 | - Bright Horizons Fam Sol In Del Com<br>109194100<br>Sold 90 Shs 08/04/16<br>To William Blair & Company LLC<br>@ 64 0598 | 3.60         | 0.13        | 5,761.65         | - 5,927.88         | - 166.23              |
| 06/30/16 | - Builders Firstsource Inc Com<br>12008R107<br>Sold 135 Shs 06/27/16<br>To Instinet<br>@ 10 191                          | 2.03         | 0.03        | 1,373.73         | - 1,320.76         | 52.97                 |
| 09/21/16 | Sold 135 Shs 09/16/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 11.8084                                                 | 5.40         | 0.04        | 1,588.69         | - 1,332.39         | 256.30                |
| 09/23/16 | Sold 325 Shs 09/20/16<br>To Instinet<br>@ 11 6011                                                                        | 8.13         | 0.09        | 3,762.14         | - 3,198.26         | 563.88                |
| 09/26/16 | Sold 395 Shs 09/21/16<br>To Instinet<br>@ 11 6312                                                                        | 9.87         | 0.11        | 4,584.34         | - 3,995.13         | 589.21                |
| 10/06/16 | Sold 163 Shs 10/03/16<br>To Oppenheimer & Co Inc<br>@ 11 3388                                                            | 4.89         | 0.05        | 1,843.28         | - 1,686.66         | 156.62                |
| 10/20/16 | Sold 305 Shs 10/17/16<br>To Jefferies & Co<br>@ 10 633                                                                   | 9.15         | 0.08        | 3,233.84         | - 3,391.76         | - 157.92              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                                     | Commission   | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|----------|---------------------------------------------------------------------------------------------------------------------|--------------|-------------|------------------|-------------------|-----------------------|
| 10/21/16 | Sold 254 Shs 10/18/16<br>To Jefferies & Co<br>@ 10 4363                                                             | 7 62         | 0 06        | 2,643.14         | -2,961 93         | - 318.79              |
| 10/26/16 | Sold 204 Shs 10/21/16<br>To R W Baird & Co<br>@ 10 3284                                                             | 6 12         | 0 05        | 2,100.82         | -2,425 52         | - 324 70              |
| 10/28/16 | Sold 320 Shs 10/25/16<br>To Jefferies & Co<br>@ 9.8203                                                              | 9 60         | 0 07        | 3,132 83         | -4,066 44         | - 933.61              |
| 11/02/16 | Sold 309 Shs 10/28/16<br>To Oppenheimer & Co Inc<br>@ 9.5809                                                        | 9 27         | 0 07        | 2,951 16         | -3,974 16         | -1,023.00             |
|          |                                                                                                                     | <b>72.08</b> | <b>0.65</b> | <b>27,213.97</b> | <b>-28,353.01</b> | <b>-1,139.04</b>      |
| 05/16/16 | - Burlington Stores Inc Com<br>122017106<br>Sold 55 Shs 05/11/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 53 3329 | 2.20         | 0 07        | 2,931 04         | -2,439 62         | 491 42                |
| 05/17/16 | Sold 125 Shs 05/12/16<br>To William Blair & Company LLC<br>@ 53 2032                                                | 5 00         | 0 15        | 6,645 25         | -5,544 59         | 1,100.66              |
| 05/23/16 | Sold 25 Shs 05/18/16<br>To Oppenheimer & Co Inc<br>@ 51 5759                                                        | 1.00         | 0 03        | 1,288 37         | -1,108.92         | 179 45                |
| 08/05/16 | Sold 75 Shs 08/02/16<br>To Instinet<br>@ 73 8506                                                                    | 1 12         | 0 13        | 5,537 55         | -3,326.75         | 2,210 80              |
| 08/18/16 | Sold 15 Shs 08/15/16<br>To Stifel Nicolaus & Co<br>@ 76 6503                                                        | 0.60         | 0.03        | 1,149.12         | -723.15           | 425.97                |
| 08/23/16 | Sold 35 Shs 08/18/16<br>To Instinet<br>@ 78 1709                                                                    | 0.52         | 0.06        | 2,735.40         | -1,687.35         | 1,048.05              |
| 09/09/16 | Sold 81 Shs 09/06/16<br>To Instinet<br>@ 83 411                                                                     | 1 22         | 0 15        | 6,754 92         | -3,905 01         | 2,849 91              |
| 09/27/16 | Sold 75 Shs 09/22/16<br>To Instinet<br>@ 82 8237                                                                    | 1 12         | 0 14        | 6,210 52         | -3,735.30         | 2,475 22              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                         | Commission   | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------|--------------|-------------|------------------|-------------------|-----------------------|
| 10/06/16 | Sold 71 Shs 10/03/16<br>To William Blair & Company LLC<br>@ 79 5807     | 2.13         | 0.13        | 5,647.97         | -3,618.36         | 2,029.61              |
| 10/13/16 | Sold 71 Shs 10/10/16<br>To William Blair & Company LLC<br>@ 78 9259     | 2.13         | 0.13        | 5,601.48         | -3,529.97         | 2,071.51              |
|          |                                                                         | <u>17.04</u> | <u>1.02</u> | <u>44,501.62</u> | <u>-29,619.02</u> | <u>14,882.60</u>      |
|          | - Calatlantic Group Inc Com<br>128195104                                |              |             |                  |                   |                       |
| 01/07/16 | Sold 55 Shs 01/04/16<br>To R W Baird & Co<br>@ 36.4172                  | 2.20         | 0.04        | 2,000.71         | -2,527.39         | -526.68               |
| 01/11/16 | Sold 70 Shs 01/06/16<br>To Jonestrading Institutional Svcs<br>@ 35 6188 | 2.80         | 0.05        | 2,490.47         | -3,224.71         | -734.24               |
| 01/12/16 | Sold 45 Shs 01/07/16<br>To Jonestrading Institutional Svcs<br>@ 34.335  | 1.80         | 0.03        | 1,543.25         | -2,095.88         | -552.63               |
| 01/13/16 | Sold 1 Shs 01/08/16<br>To Jefferies & Co<br>@ 32.73                     | 0.01         | 0.01        | 32.71            | -46.58            | -13.87                |
|          |                                                                         | <u>6.81</u>  | <u>0.13</u> | <u>6,067.14</u>  | <u>-7,894.56</u>  | <u>-1,827.42</u>      |
|          | - Callaway Golf Co Com<br>131193104                                     |              |             |                  |                   |                       |
| 01/21/16 | Sold 105 Shs 01/15/16<br>To William Blair & Company LLC<br>@ 8.3827     | 4.20         | 0.02        | 875.96           | -1,017.50         | -141.54               |
| 01/28/16 | Sold 60 Shs 01/25/16<br>To Barclays Capital Le<br>@ 8.4452              | 2.40         | 0.01        | 504.30           | -581.43           | -77.13                |
| 01/29/16 | Sold 180 Shs 01/26/16<br>To Jonestrading Institutional Svcs<br>@ 8 5053 | 7.20         | 0.03        | 1,523.72         | -1,744.29         | -220.57               |
| 02/09/16 | Sold 170 Shs 02/04/16<br>To R W Baird & Co<br>@ 8 3774                  | 6.80         | 0.03        | 1,417.33         | -1,650.55         | -233.22               |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                     | Commission   | Other Costs | Net Cash        | Cost              | Realized<br>Gain/Loss |
|----------|---------------------------------------------------------------------|--------------|-------------|-----------------|-------------------|-----------------------|
| 02/17/16 | Sold 115 Shs 02/11/16<br>To R W Baird & Co<br>@ 8 1345              | 4.60         | 0.03        | 930.84          | - 1,131.36        | - 200.52              |
| 10/31/16 | Sold 254 Shs 10/26/16<br>To Canaccord Genuity Inc<br>@ 10 1282      | 7.62         | 0.06        | 2,564.88        | - 2,526.72        | 38.16                 |
|          |                                                                     | <u>32.82</u> | <u>0.18</u> | <u>7,817.03</u> | <u>- 8,651.85</u> | <u>- 834.82</u>       |
|          | - Callidus Software Inc Com<br>13123E500                            |              |             |                 |                   |                       |
| 01/08/16 | Sold 145 Shs 01/05/16<br>To Canaccord Genuity Inc.<br>@ 16 8362     | 5.80         | 0.05        | 2,435.40        | - 2,676.11        | - 240.71              |
| 02/10/16 | Sold 108 Shs 02/05/16<br>To Oppenheimer & Co Inc<br>@ 13 4164       | 4.32         | 0.03        | 1,444.62        | - 2,004.33        | - 559.71              |
| 02/11/16 | Sold 65 Shs 02/08/16<br>To William Blair & Company LLC<br>@ 12 7631 | 2.60         | 0.02        | 826.98          | - 1,122.86        | - 295.88              |
| 02/16/16 | Sold 65 Shs 02/10/16<br>To Jefferies & Co<br>@ 12 1201              | 0.65         | 0.02        | 787.14          | - 1,103.97        | - 316.83              |
| 03/09/16 | Sold 80 Shs 03/04/16<br>To Instinet<br>@ 13 934                     | 2.00         | 0.03        | 1,112.69        | - 1,358.72        | - 246.03              |
| 03/10/16 | Sold 125 Shs 03/07/16<br>To Piper Jaffray Inc.<br>@ 13 6366         | 5.00         | 0.04        | 1,699.54        | - 2,120.91        | - 421.37              |
| 03/10/16 | Sold 55 Shs 03/07/16<br>To Piper Jaffray Inc<br>@ 13 3841           | 2.20         | 0.02        | 733.91          | - 919.65          | - 185.74              |
| 03/14/16 | Sold 130 Shs 03/09/16<br>To Barclays Capital Le<br>@ 12 9995        | 5.20         | 0.04        | 1,684.70        | - 2,272.88        | - 588.18              |
| 03/15/16 | Sold 135 Shs 03/10/16<br>To Stifel Nicolaus & Co<br>@ 12 8562       | 5.40         | 0.04        | 1,730.15        | - 2,389.84        | - 659.69              |
| 04/07/16 | Sold 230 Shs 04/04/16<br>To Oppenheimer & Co Inc<br>@ 16 7636       | 9.20         | 0.09        | 3,846.34        | - 4,288.57        | - 442.23              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                 | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 04/11/16 | Sold 192 Shs 04/06/16<br>To Oppenheimer & Co Inc<br>@ 16 7935                                   | 7.68         | 0.08        | 3,216.59         | - 3,896.17         | - 679.58              |
|          |                                                                                                 | <u>50.05</u> | <u>0.46</u> | <u>19,518.06</u> | <u>- 24,154.01</u> | <u>- 4,635.95</u>     |
| 11/03/16 | - Callon Pete Co Del Com<br>13123X102<br>Sold 298 Shs 10/31/16<br>To Instinet<br>@ 12 9045      | 1.49         | 0.09        | 3,843.96         | - 4,076.43         | - 232.47              |
| 10/25/16 | - Cavco Inds Inc Del Com<br>149568107<br>Sold 49 Shs 10/20/16<br>To R W Baird & Co<br>@ 92.6963 | 1.47         | 0.10        | 4,540.55         | - 4,957.78         | - 417.23              |
| 01/12/16 | - Cavium Inc Com<br>14964U108<br>Sold 60 Shs 01/07/16<br>To Instinet<br>@ 59 2409               | 0.90         | 0.07        | 3,553.48         | - 2,720.25         | 833.23                |
| 01/21/16 | Sold 45 Shs 01/15/16<br>To Instinet<br>@ 54.5348                                                | 0.67         | 0.05        | 2,453.35         | - 2,107.90         | 345.45                |
| 01/29/16 | Sold 35 Shs 01/26/16<br>To R W Baird & Co<br>@ 55 5432                                          | 1.40         | 0.04        | 1,942.57         | - 1,726.31         | 216.26                |
| 02/01/16 | Sold 35 Shs 01/27/16<br>To Instinet<br>@ 54.6511                                                | 0.53         | 0.04        | 1,912.22         | - 1,737.41         | 174.81                |
| 02/11/16 | Sold 70 Shs 02/08/16<br>To R W Baird & Co<br>@ 47 6622                                          | 2.80         | 0.07        | 3,333.48         | - 3,536.74         | - 203.26              |
| 04/15/16 | Sold 75 Shs 04/12/16<br>To Instinet<br>@ 57 333                                                 | 1.88         | 0.10        | 4,298.00         | - 3,817.93         | 480.07                |
| 04/19/16 | Sold 35 Shs 04/14/16<br>To Oppenheimer & Co Inc<br>@ 57 1199                                    | 1.40         | 0.05        | 1,997.75         | - 1,739.68         | 258.07                |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                         | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 04/22/16 | Sold 80 Shs 04/19/16<br>To Instinet<br>@ 53 2286                        | 1.20         | 0.10        | 4,256.99         | - 3,765.76         | 491.23                |
| 05/05/16 | Sold 22 Shs 05/02/16<br>To Instinet<br>@ 49 3004                        | 0.33         | 0.03        | 1,084.25         | - 1,035.67         | 48.58                 |
| 05/06/16 | Sold 20 Shs 05/03/16<br>To Instinet<br>@ 47 8072                        | 0.30         | 0.03        | 955.81           | - 978.44           | - 22.63               |
| 05/11/16 | Sold 55 Shs 05/06/16<br>To Jefferies & Co<br>@ 46 3397                  | 0.55         | 0.06        | 2,548.07         | - 2,741.29         | - 193.22              |
| 05/17/16 | Sold 60 Shs 05/12/16<br>To Instinet<br>@ 46 2027                        | 0.90         | 0.07        | 2,771.19         | - 2,964.20         | - 193.01              |
| 05/18/16 | Sold 35 Shs 05/13/16<br>To Instinet<br>@ 45 9582                        | 0.52         | 0.04        | 1,607.98         | - 1,645.44         | - 37.46               |
| 06/14/16 | Sold 70 Shs 06/09/16<br>To Instinet<br>@ 46 6778                        | 1.05         | 0.08        | 3,266.32         | - 3,464.66         | - 198.34              |
| 06/21/16 | Sold 155 Shs 06/16/16<br>To Instinet<br>@ 39 9296                       | 2.32         | 0.14        | 6,186.63         | - 7,805.10         | - 1,618.47            |
| 06/22/16 | Sold 71 Shs 06/17/16<br>To Instinet<br>@ 39 1128                        | 1.07         | 0.07        | 2,775.87         | - 4,063.82         | - 1,287.95            |
| 06/29/16 | Sold 40 Shs 06/24/16<br>To R W Baird & Co<br>@ 37 6559                  | 1.60         | 0.04        | 1,504.60         | - 2,434.34         | - 929.74              |
| 10/17/16 | Sold 32 Shs 10/12/16<br>To Oppenheimer & Co Inc<br>@ 53 4779            | 0.96         | 0.04        | 1,710.29         | - 2,139.72         | - 429.43              |
| 11/22/16 | Sold 90 Shs 11/17/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 55 4564 | 2.70         | 0.11        | 4,988.27         | - 6,278.19         | - 1,289.92            |
|          |                                                                         | <b>23.08</b> | <b>1.23</b> | <b>53,147.12</b> | <b>- 56,702.85</b> | <b>- 3,555.73</b>     |



BMO Harris Bank N.A.

## Mercy Works FDN Advisory Research

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

### Sales

| Date     | Description<br>Asset ID (CUSIP)                                                                      | Commission | Other Costs | Net Cash | Cost       | Realized<br>Gain/Loss |
|----------|------------------------------------------------------------------------------------------------------|------------|-------------|----------|------------|-----------------------|
| 12/06/16 | - Ceva Inc Com<br>157210105<br>Sold 48 Shs 12/01/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 29 22 | 1.44       | 0 04        | 1,401 08 | - 1,537.78 | - 136 70              |
| 04/18/16 | - Chuys Hldgs Inc Com<br>171604101<br>Sold 120 Shs 04/13/16<br>To Jefferies & Co<br>@ 29 4113        | 4 80       | 0 08        | 3,524 48 | - 3,981 32 | - 456 84              |
| 04/21/16 | Sold 70 Shs 04/18/16<br>To Jefferies & Co<br>@ 29 3929                                               | 2 80       | 0 05        | 2,054 65 | - 2,324 06 | - 269 41              |
| 04/22/16 | Sold 80 Shs 04/19/16<br>To Jefferies & Co<br>@ 29 24                                                 | 3 20       | 0 06        | 2,335 94 | - 2,651 12 | - 315 18              |
| 07/29/16 | Sold 90 Shs 07/26/16<br>To Instinet<br>@ 33 0341                                                     | 1 35       | 0 07        | 2,971 65 | - 2,808 62 | 163 03                |
| 08/24/16 | Sold 90 Shs 08/19/16<br>To Barclays Capital Le<br>@ 31 6754                                          | 3 60       | 0 07        | 2,847 12 | - 2,974 41 | - 127 29              |
| 08/29/16 | Sold 55 Shs 08/24/16<br>To Barclays Capital Le<br>@ 31 0822                                          | 2 20       | 0 04        | 1,707 28 | - 1,855 46 | - 148 18              |
| 08/31/16 | Sold 95 Shs 08/26/16<br>To Jefferies & Co<br>@ 30 8583                                               | 3 80       | 0 07        | 2,927 67 | - 3,273 91 | - 346 24              |
| 09/02/16 | Sold 60 Shs 08/30/16<br>To Jefferies & Co<br>@ 30 1601                                               | 2 40       | 0 04        | 1,807 17 | - 2,074 12 | - 266 95              |
| 09/16/16 | Sold 80 Shs 09/13/16<br>To R W Baird & Co<br>@ 28 6341                                               | 3 20       | 0 05        | 2,287 48 | - 2,870 69 | - 583 21              |
| 09/19/16 | Sold 85 Shs 09/14/16<br>To R W Baird & Co<br>@ 28 2443                                               | 3 40       | 0 06        | 2,397 31 | - 2,987 15 | - 589 84              |
| 10/03/16 | Sold 60 Shs 09/28/16<br>To Jefferies & Co<br>@ 28 3993                                               | 2 40       | 0 04        | 1,701 52 | - 2,021 61 | - 320 09              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                          | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|--------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 10/07/16 | Sold 116 Shs 10/04/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 27 4601 | 3.48         | 0.07        | 3,181.82         | - 3,907.36         | - 725.54              |
| 10/12/16 | Sold 114 Shs 10/06/16<br>To R W Baird & Co<br>@ 27 1583                  | 3.42         | 0.07        | 3,092.56         | - 4,078.30         | - 985.74              |
|          |                                                                          | <u>40.05</u> | <u>0.77</u> | <u>32,836.65</u> | <u>- 37,808.13</u> | <u>- 4,971.48</u>     |
|          | - CIRRUS Logic Inc Com<br>172755100                                      |              |             |                  |                    |                       |
| 04/13/16 | Sold 145 Shs 04/08/16<br>To Jefferies & Co<br>@ 35 4082                  | 5.80         | 0.12        | 5,128.27         | - 5,148.09         | - 19.82               |
| 05/11/16 | Sold 70 Shs 05/06/16<br>To Jefferies & Co<br>@ 33 0543                   | 2.80         | 0.06        | 2,310.94         | - 2,512.58         | - 201.64              |
| 05/17/16 | Sold 115 Shs 05/12/16<br>To Jefferies & Co<br>@ 31 4003                  | 4.60         | 0.08        | 3,606.35         | - 4,301.60         | - 695.25              |
| 08/17/16 | Sold 20 Shs 08/12/16<br>To Jefferies & Co<br>@ 51 1006                   | 0.20         | 0.03        | 1,021.78         | - 750.29           | 271.49                |
| 09/14/16 | Sold 20 Shs 09/09/16<br>To Jefferies & Co<br>@ 48 8173                   | 0.20         | 0.03        | 976.12           | - 750.29           | 225.83                |
| 12/06/16 | Sold 193 Shs 12/01/16<br>To Instinet<br>@ 49 5078                        | 0.97         | 0.21        | 9,553.83         | - 7,256.70         | 2,297.13              |
|          |                                                                          | <u>14.57</u> | <u>0.53</u> | <u>22,597.29</u> | <u>- 20,719.55</u> | <u>1,877.74</u>       |
|          | - Clubcorp Hldgs Inc Com<br>18948M108                                    |              |             |                  |                    |                       |
| 01/13/16 | Sold 60 Shs 01/08/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 16 1692  | 2.40         | 0.02        | 967.73           | - 1,215.82         | - 248.09              |
| 01/15/16 | Sold 40 Shs 01/12/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 15 6355  | 1.60         | 0.02        | 623.80           | - 823.06           | - 199.26              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                          | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|--------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 01/19/16 | Sold 90 Shs 01/13/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 14 4397  | 3.60         | 0.03        | 1,295.94         | - 1,879.87         | - 583.93              |
| 01/20/16 | Sold 140 Shs 01/14/16<br>To Stifel Nicolaus & Co<br>@ 13 8543            | 5.60         | 0.04        | 1,933.96         | - 2,979.13         | - 1,045.17            |
| 01/21/16 | Sold 90 Shs 01/15/16<br>To Stifel Nicolaus & Co<br>@ 13 2003             | 3.60         | 0.03        | 1,184.40         | - 1,944.27         | - 759.87              |
| 01/25/16 | Sold 80 Shs 01/20/16<br>To Stifel Nicolaus & Co<br>@ 12 8823             | 3.20         | 0.02        | 1,027.36         | - 1,759.40         | - 732.04              |
| 01/28/16 | Sold 50 Shs 01/25/16<br>To Stifel Nicolaus & Co<br>@ 12 5115             | 2.00         | 0.02        | 623.56           | - 1,098.71         | - 475.15              |
| 01/29/16 | Sold 235 Shs 01/26/16<br>To JonesTrading Institutional Svcs<br>@ 12 0276 | 9.40         | 0.05        | 2,817.04         | - 5,054.18         | - 2,237.14            |
| 02/08/16 | Sold 90 Shs 02/03/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 11 3402  | 3.60         | 0.02        | 1,017.00         | - 2,096.58         | - 1,079.58            |
| 02/09/16 | Sold 100 Shs 02/04/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 11 2595 | 4.00         | 0.03        | 1,121.92         | - 2,424.69         | - 1,302.77            |
| 02/10/16 | Sold 55 Shs 02/05/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 10 7743  | 2.20         | 0.02        | 590.37           | - 1,333.17         | - 742.80              |
| 02/11/16 | Sold 60 Shs 02/08/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 10 1853  | 2.40         | 0.02        | 608.70           | - 1,476.05         | - 867.35              |
| 02/12/16 | Sold 70 Shs 02/09/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 9.8752   | 2.80         | 0.02        | 688.44           | - 1,650.64         | - 962.20              |
| 02/16/16 | Sold 146 Shs 02/10/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 9.956   | 5.84         | 0.04        | 1,447.70         | - 3,428.96         | - 1,981.26            |
|          |                                                                          | <u>52.24</u> | <u>0.38</u> | <u>15,947.92</u> | <u>- 29,164.53</u> | <u>- 13,216.61</u>    |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                      | Description<br>Asset ID (CUSIP)                                      | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|-----------------------------------------------------------|----------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| - Cogent Communications Hldgs Inc Com<br>New<br>19239V302 |                                                                      |              |             |                  |                    |                       |
| 08/10/16                                                  | Sold 100 Shs 08/05/16<br>To William Blair & Company LLC<br>@ 36 9608 | 4.00         | 0.09        | 3,691.99         | - 3,940.69         | - 248.70              |
| 08/12/16                                                  | Sold 80 Shs 08/09/16<br>To Oppenheimer & Co Inc<br>@ 36 7233         | 3.20         | 0.07        | 2,934.59         | - 3,155.28         | - 220.69              |
| 08/15/16                                                  | Sold 55 Shs 08/10/16<br>To Oppenheimer & Co Inc<br>@ 37 0392         | 2.20         | 0.05        | 2,034.91         | - 2,169.69         | - 134.78              |
| 08/18/16                                                  | Sold 35 Shs 08/15/16<br>To Stifel Nicolaus & Co<br>@ 38 4336         | 1.40         | 0.03        | 1,343.75         | - 1,382.06         | - 38.31               |
| 08/23/16                                                  | Sold 45 Shs 08/18/16<br>To William Blair & Company LLC<br>@ 35 8853  | 1.80         | 0.04        | 1,613.00         | - 1,776.94         | - 163.94              |
| 08/24/16                                                  | Sold 30 Shs 08/19/16<br>To Oppenheimer & Co Inc<br>@ 35 7075         | 1.20         | 0.03        | 1,070.00         | - 1,184.63         | - 114.63              |
| 08/25/16                                                  | Sold 30 Shs 08/22/16<br>To Oppenheimer & Co Inc<br>@ 35 9652         | 1.20         | 0.03        | 1,077.73         | - 1,221.68         | - 143.95              |
| 09/06/16                                                  | Sold 60 Shs 08/31/16<br>To Oppenheimer & Co Inc<br>@ 35 487          | 2.40         | 0.05        | 2,126.77         | - 2,455.06         | - 328.29              |
| 09/07/16                                                  | Sold 115 Shs 09/01/16<br>To R W Baird & Co<br>@ 35 1699              | 4.60         | 0.09        | 4,039.85         | - 4,739.60         | - 699.75              |
| 09/08/16                                                  | Sold 120 Shs 09/02/16<br>To Oppenheimer & Co Inc<br>@ 36 059         | 4.80         | 0.10        | 4,322.18         | - 5,133.80         | - 811.62              |
|                                                           |                                                                      | <b>26.80</b> | <b>0.58</b> | <b>24,254.77</b> | <b>- 27,159.43</b> | <b>- 2,904.66</b>     |
| - Coherent Inc Com<br>192479F03                           |                                                                      |              |             |                  |                    |                       |
| 05/03/16                                                  | Sold 60 Shs 04/28/16<br>To Instinet<br>@ 95 5659                     | 1.50         | 0.13        | 5,732.32         | - 5,090.30         | 642.02                |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                      | Description<br>Asset ID (CUSIP)                                         | Commission  | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|-------------------------------------------|-------------------------------------------------------------------------|-------------|-------------|------------------|--------------------|-----------------------|
| 05/13/16                                  | Sold 25 Shs 05/10/16<br>To Oppenheimer & Co Inc<br>@ 87 1139            | 1.00        | 0.05        | 2,176.80         | - 2,137.53         | 39.27                 |
| 05/16/16                                  | Sold 20 Shs 05/11/16<br>To Stifel Nicolaus & Co<br>@ 86 8629            | 0.80        | 0.04        | 1,736.42         | - 1,719.06         | 17.36                 |
| 06/29/16                                  | Sold 15 Shs 06/24/16<br>To Instinet<br>@ 89 7248                        | 0.37        | 0.03        | 1,345.47         | - 1,289.30         | 56.17                 |
| 12/06/16                                  | Sold 41 Shs 12/01/16<br>To R W Baird & Co<br>@ 125 7113                 | 1.23        | 0.12        | 5,152.81         | - 3,481.11         | 1,671.70              |
|                                           |                                                                         | <u>4.90</u> | <u>0.37</u> | <u>16,143.82</u> | <u>- 13,717.30</u> | <u>2,426.52</u>       |
| - Columbia Sportswear Co Com<br>198516106 |                                                                         |             |             |                  |                    |                       |
| 04/07/16                                  | Sold 45 Shs 04/04/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 60 1715 | 1.80        | 0.06        | 2,705.86         | - 2,383.69         | 322.17                |
| 04/13/16                                  | Sold 55 Shs 04/08/16<br>To R W Baird & Co<br>@ 58 4183                  | 2.20        | 0.08        | 3,210.73         | - 2,913.39         | 297.34                |
| 05/16/16                                  | Sold 100 Shs 05/11/16<br>To Jefferies & Co<br>@ 53 6604                 | 4.00        | 0.12        | 5,361.92         | - 5,328.61         | 33.31                 |
| 05/20/16                                  | Sold 60 Shs 05/17/16<br>To Canaccord Genuity Inc<br>@ 52 4149           | 2.40        | 0.07        | 3,142.42         | - 3,272.84         | - 130.42              |
| 09/14/16                                  | Sold 60 Shs 09/09/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 54 6494 | 2.40        | 0.08        | 3,276.48         | - 3,333.15         | - 56.67               |
| 09/19/16                                  | Sold 60 Shs 09/14/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 53 747  | 2.40        | 0.08        | 3,222.34         | - 3,417.62         | - 195.28              |
| 09/20/16                                  | Sold 55 Shs 09/15/16<br>To R W Baird & Co<br>@ 54 1794                  | 2.20        | 0.07        | 2,977.60         | - 3,297.30         | - 319.70              |
| 09/21/16                                  | Sold 60 Shs 09/16/16<br>To Canaccord Genuity Inc<br>@ 53 9088           | 2.40        | 0.07        | 3,232.06         | - 3,680.28         | - 448.22              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                     | Commission | Other Costs | Net Cash  | Cost        | Realized<br>Gain/Loss |
|----------|-----------------------------------------------------------------------------------------------------|------------|-------------|-----------|-------------|-----------------------|
|          | - Coresite Rlty Corp Com<br>21870Q105                                                               | 19.80      | 0.63        | 27,129.41 | - 27,626.88 | - 497.47              |
| 05/20/16 | Sold 40 Shs 05/17/16<br>To R W Baird & Co<br>@ 74 8841                                              | 1.60       | 0.07        | 2,993.69  | - 2,317.30  | 676.39                |
| 06/06/16 | Sold 35 Shs 06/01/16<br>To Instinet<br>@ 75.9479                                                    | 0.52       | 0.06        | 2,657.60  | - 2,027.64  | 629.96                |
| 07/14/16 | Sold 45 Shs 07/11/16<br>To Instinet<br>@ 87.714                                                     | 0.67       | 0.09        | 3,946.37  | - 2,625.28  | 1,321.09              |
| 07/20/16 | Sold 40 Shs 07/15/16<br>To Instinet<br>@ 84.3064                                                    | 0.60       | 0.08        | 3,371.58  | - 2,463.87  | 907.71                |
| 08/02/16 | Sold 35 Shs 07/28/16<br>To R W Baird & Co<br>@ 82.2803                                              | 1.40       | 0.07        | 2,878.34  | - 2,155.88  | 722.46                |
| 10/06/16 | Sold 104 Shs 10/03/16<br>To Oppenheimer & Co Inc<br>@ 73.9684                                       | 3.12       | 0.17        | 7,689.42  | - 6,406.06  | 1,283.36              |
| 11/01/16 | Sold 100 Shs 10/27/16<br>To Instinet<br>@ 71.9653                                                   | 0.50       | 0.16        | 7,195.87  | - 6,162.20  | 1,033.67              |
| 11/16/16 | Sold 83 Shs 11/10/16<br>To R W Baird & Co<br>@ 67.7252                                              | 2.49       | 0.13        | 5,618.57  | - 6,186.56  | - 567.99              |
| 11/16/16 | Sold 75 Shs 11/11/16<br>To William Blair & Company LLC<br>@ 66.8716                                 | 2.25       | 0.11        | 5,013.01  | - 5,743.84  | - 730.83              |
|          |                                                                                                     | 13.15      | 0.94        | 41,364.45 | - 36,088.63 | 5,275.82              |
| 01/20/16 | - Cvent Inc Com<br>23247G109<br>Sold 55 Shs 01/14/16<br>To William Blair & Company LLC<br>@ 30.1553 | 2.20       | 0.04        | 1,656.30  | - 1,740.13  | - 83.83               |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|------------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 01/22/16 | Sold 30 Shs 01/19/16<br>To Stifel Nicolaus & Co<br>@ 28.8012                                   | 1.20         | 0.02        | 862.82           | - 949.16           | - 86.34               |
| 01/28/16 | Sold 55 Shs 01/25/16<br>To Canaccord Genuity Inc<br>@ 27.5145                                  | 2.20         | 0.03        | 1,511.07         | - 1,795.51         | - 284.44              |
| 01/29/16 | Sold 90 Shs 01/26/16<br>To Stifel Nicolaus & Co<br>@ 26.6161                                   | 3.60         | 0.05        | 2,391.80         | - 2,960.74         | - 568.94              |
| 02/02/16 | Sold 95 Shs 01/28/16<br>To William Blair & Company LLC<br>@ 26.1153                            | 3.80         | 0.05        | 2,477.10         | - 3,064.98         | - 587.88              |
| 02/05/16 | Sold 90 Shs 02/02/16<br>To Canaccord Genuity Inc<br>@ 25.3081                                  | 3.60         | 0.05        | 2,274.08         | - 2,932.34         | - 658.26              |
| 02/09/16 | Sold 38 Shs 02/04/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 24.5835                            | 1.52         | 0.02        | 932.63           | - 1,254.10         | - 321.47              |
| 02/26/16 | Sold 95 Shs 02/23/16<br>To Stifel Nicolaus & Co<br>@ 19.4261                                   | 3.80         | 0.05        | 1,841.63         | - 3,129.52         | - 1,287.89            |
| 02/29/16 | Sold 135 Shs 02/24/16<br>To Canaccord Genuity Inc<br>@ 19.3121                                 | 5.40         | 0.06        | 2,601.67         | - 4,578.62         | - 1,976.95            |
| 03/01/16 | Sold 150 Shs 02/25/16<br>To Canaccord Genuity Inc<br>@ 18.8153                                 | 6.00         | 0.07        | 2,816.23         | - 5,150.98         | - 2,334.75            |
| 03/02/16 | Sold 172 Shs 02/26/16<br>To Stifel Nicolaus & Co<br>@ 18.3714                                  | 6.88         | 0.07        | 3,152.93         | - 6,011.92         | - 2,858.99            |
|          |                                                                                                | <b>40.20</b> | <b>0.51</b> | <b>22,518.26</b> | <b>- 33,568.00</b> | <b>- 11,049.74</b>    |
| 11/08/16 | - Dermira Inc Com<br>24983L704<br>Sold 50 Shs 11/03/16<br>To Oppenheimer & Co Inc<br>@ 28.8088 | 1.50         | 0.04        | 1,438.90         | - 1,605.61         | - 166.71              |
| 12/06/16 | Sold 58 Shs 12/01/16<br>To Canaccord Genuity Inc<br>@ 30.0502                                  | 1.74         | 0.04        | 1,741.13         | - 1,863.22         | - 122.09              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                         | Commission   | Other Costs | Net Cash        | Cost              | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------|--------------|-------------|-----------------|-------------------|-----------------------|
| 12/12/16 | Sold 61 Shs 12/07/16<br>To Canaccord Genuity Inc<br>@ 29 869            | 1 83         | 0 04        | 1,820.14        | - 1,973 29        | - 153 15              |
|          |                                                                         | <u>5.07</u>  | <u>0.12</u> | <u>5,000.17</u> | <u>- 5,442.12</u> | <u>- 441.95</u>       |
|          | - Depomed Inc Com<br>249908104                                          |              |             |                 |                   |                       |
| 03/23/16 | Sold 100 Shs 03/18/16<br>To William Blair & Company LLC<br>@ 13 1801    | 4 00         | 0 03        | 1,313 98        | - 1,768 96        | - 454.98              |
| 03/29/16 | Sold 130 Shs 03/23/16<br>To R W Baird & Co<br>@ 13 2005                 | 5.20         | 0.04        | 1,710 83        | - 2,302 16        | - 591 33              |
| 03/30/16 | Sold 209 Shs 03/24/16<br>To William Blair & Company LLC<br>@ 13.1867    | 8 36         | 0.07        | 2,747 59        | - 3,718.11        | - 970 52              |
|          |                                                                         | <u>17.56</u> | <u>0.14</u> | <u>5,772.40</u> | <u>- 7,789.23</u> | <u>- 2,016.83</u>     |
|          | - Diamond Resorts Intl Inc Com<br>252727104                             |              |             |                 |                   |                       |
| 01/08/16 | Sold 35 Shs 01/05/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 23 9871 | 1 40         | 0 02        | 838 13          | - 972.72          | - 134 59              |
| 01/13/16 | Sold 65 Shs 01/08/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 22 2938 | 2 60         | 0 03        | 1,446 47        | - 1,909 48        | - 463 01              |
| 01/20/16 | Sold 80 Shs 01/14/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 21.9567 | 3 20         | 0.04        | 1,753.30        | - 2,392.72        | - 639.42              |
| 01/21/16 | Sold 75 Shs 01/15/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 21.8195 | 3.00         | 0.04        | 1,633.42        | - 2,273 60        | - 640.18              |
| 01/27/16 | Sold 125 Shs 01/22/16<br>To William Blair & Company LLC<br>@ 22 1295    | 5.00         | 0 06        | 2,761 13        | - 4,142 18        | - 1,381.05            |
| 01/28/16 | Sold 345 Shs 01/25/16<br>To Instinet<br>@ 19 1127                       | 5 18         | 0 13        | 6,588 57        | - 9,700 29        | - 3,111.72            |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                          | Commission   | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|----------|--------------------------------------------------------------------------|--------------|-------------|------------------|-------------------|-----------------------|
| 01/29/16 | Sold 84 Shs 01/26/16<br>To Jonestrading Institutional Svcs<br>@ 19 0825  | 3.36         | 0.03        | 1,599.54         | -2,464.26         | -864.72               |
| 02/01/16 | Sold 105 Shs 01/27/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 18 7785 | 4.20         | 0.04        | 1,967.50         | -3,034.88         | -1,067.38             |
|          |                                                                          | <u>27.94</u> | <u>0.39</u> | <u>18,588.06</u> | <u>-26,890.13</u> | <u>-8,302.07</u>      |
| -        | Diplomat Pharmacy Inc Com<br>25456K101                                   |              |             |                  |                   |                       |
| 01/14/16 | Sold 75 Shs 01/11/16<br>To Barclays Capital Le<br>@ 31 6277              | 3.00         | 0.05        | 2,369.03         | -2,332.79         | 36.24                 |
| 01/19/16 | Sold 75 Shs 01/13/16<br>To Jonestrading Institutional Svcs<br>@ 29 7908  | 3.00         | 0.04        | 2,231.27         | -2,146.67         | 84.60                 |
| 01/20/16 | Sold 55 Shs 01/14/16<br>To R W Baird & Co<br>@ 30 3941                   | 2.20         | 0.04        | 1,669.44         | -1,603.50         | 65.94                 |
| 02/03/16 | Sold 120 Shs 01/29/16<br>To R W Baird & Co<br>@ 26 8097                  | 4.80         | 0.06        | 3,212.30         | -3,987.90         | -775.60               |
| 03/04/16 | Sold 265 Shs 03/01/16<br>To Jefferies & Co<br>@ 26 7543                  | 2.65         | 0.16        | 7,087.08         | -9,235.98         | -2,148.90             |
| 03/07/16 | Sold 170 Shs 03/02/16<br>To Jefferies & Co<br>@ 27 5156                  | 6.80         | 0.11        | 4,670.74         | -5,966.62         | -1,295.88             |
| 03/09/16 | Sold 125 Shs 03/04/16<br>To Jefferies & Co<br>@ 27 0893                  | 5.00         | 0.08        | 3,381.08         | -4,203.66         | -822.58               |
| 03/10/16 | Sold 25 Shs 03/07/16<br>To R W Baird & Co<br>@ 27 0991                   | 1.00         | 0.02        | 676.46           | -848.62           | -172.16               |
| 03/16/16 | Sold 95 Shs 03/11/16<br>To R W Baird & Co<br>@ 25 8987                   | 3.80         | 0.06        | 2,456.52         | -3,223.75         | -767.23               |
| 04/01/16 | Sold 65 Shs 03/29/16<br>To Piper Jaffray Inc.<br>@ 26 5502               | 2.60         | 0.04        | 1,723.12         | -2,019.40         | -296.28               |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                    | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|----------------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 08/30/16 | Sold 25 Shs 08/25/16<br>To R W Baird & Co<br>@ 32 1657                                             | 1.00         | 0.02        | 803.12           | - 719.24           | 83.88                 |
| 08/31/16 | Sold 55 Shs 08/26/16<br>To Penserra Securities<br>@ 31 8189                                        | 1.65         | 0.04        | 1,748.35         | - 1,582.33         | 166.02                |
| 09/02/16 | Sold 60 Shs 08/30/16<br>To Penserra Securities<br>@ 31 6078                                        | 1.80         | 0.04        | 1,894.63         | - 1,772.14         | 122.49                |
| 10/03/16 | Sold 185 Shs 09/28/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 27 765                                | 7.40         | 0.12        | 5,129.01         | - 6,080.53         | - 951.52              |
| 10/31/16 | Sold 154 Shs 10/26/16<br>To Citation Group/Bcc Clrg<br>@ 24 9896                                   | 3.08         | 0.09        | 3,845.23         | - 5,003.56         | - 1,158.33            |
|          |                                                                                                    | <u>49.78</u> | <u>0.97</u> | <u>42,897.38</u> | <u>- 50,726.69</u> | <u>- 7,829.31</u>     |
| 12/20/16 | - Duluth Hldgs Inc Com Cl B<br>26443V101<br>Sold 93 Shs 12/15/16<br>To Jefferies & Co<br>@ 26 4599 | 2.79         | 0.06        | 2,457.92         | - 2,597.04         | - 139.12              |
| 12/21/16 | Sold 127 Shs 12/16/16<br>To R W Baird & Co<br>@ 25 7654                                            | 3.81         | 0.08        | 3,268.32         | - 3,742.09         | - 473.77              |
|          |                                                                                                    | <u>6.60</u>  | <u>0.14</u> | <u>5,726.24</u>  | <u>- 6,339.13</u>  | <u>- 612.89</u>       |
| 01/25/16 | - Dyax Corp Com<br>26746E103<br>Sold 423 Shs 01/20/16<br>To Instinet<br>@ 38 3151                  | 10.57        | 0.30        | 16,196.42        | - 10,619.12        | 5,577.30              |
| 02/08/16 | - Dycor Inds Inc Com<br>267475101<br>Sold 60 Shs 02/03/16<br>To Stifel Nicolaus & Co<br>@ 58 8011  | 2.40         | 0.07        | 3,525.60         | - 4,378.49         | - 852.89              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                                 | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|-----------------------------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 06/06/16 | Sold 45 Shs 06/01/16<br>To Instinet<br>@ 84 859                                                                 | 0.67         | 0.09        | 3,817.90         | - 3,283.87         | 534.03                |
| 06/07/16 | Sold 45 Shs 06/02/16<br>To Oppenheimer & Co Inc<br>@ 84 8536                                                    | 1.80         | 0.09        | 3,816.52         | - 3,224.86         | 591.66                |
| 08/29/16 | Sold 45 Shs 08/24/16<br>To Instinet<br>@ 85 2411                                                                | 0.67         | 0.09        | 3,835.09         | - 3,076.41         | 758.68                |
| 08/30/16 | Sold 51 Shs 08/25/16<br>To William Blair & Company LLC<br>@ 83 2241                                             | 2.04         | 0.10        | 4,242.29         | - 3,356.81         | 885.48                |
| 08/31/16 | Sold 60 Shs 08/26/16<br>To Jefferies & Co<br>@ 82 5938                                                          | 0.60         | 0.11        | 4,954.92         | - 3,412.34         | 1,542.58              |
| 11/28/16 | Sold 95 Shs 11/22/16<br>To Instinet<br>@ 74 1964                                                                | 0.48         | 0.16        | 7,048.02         | - 6,034.11         | 1,013.91              |
| 12/01/16 | Sold 77 Shs 11/28/16<br>To Instinet<br>@ 72 5874                                                                | 0.39         | 0.13        | 5,588.71         | - 5,517.63         | 71.08                 |
| 12/07/16 | Sold 92 Shs 12/02/16<br>To R W Baird & Co<br>@ 71 4425                                                          | 2.76         | 0.15        | 6,569.80         | - 7,078.23         | - 508.43              |
|          |                                                                                                                 | <u>11.81</u> | <u>0.99</u> | <u>43,398.85</u> | <u>- 39,362.75</u> | <u>4,036.10</u>       |
| 11/16/16 | - Electronics for Imaging Inc Com<br>286082102<br>Sold 110 Shs 11/11/16<br>To Oppenheimer & Co Inc<br>@ 43 9758 | 3.30         | 0.11        | 4,833.93         | - 5,258.74         | - 424.81              |
| 11/21/16 | Sold 46 Shs 11/16/16<br>To Canaccord Genuity Inc.<br>@ 43 237                                                   | 1.38         | 0.05        | 1,987.47         | - 2,212.19         | - 224.72              |
|          |                                                                                                                 | <u>4.68</u>  | <u>0.16</u> | <u>6,821.40</u>  | <u>- 7,470.93</u>  | <u>- 649.53</u>       |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                         | Commission  | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|----------|---------------------------------------------------------------------------------------------------------|-------------|-------------|------------------|-------------------|-----------------------|
| 05/11/16 | - Ellie Mae Inc Com<br>28849P100<br>Sold 28 Shs 05/06/16<br>To William Blair & Company LLC<br>@ 75.1317 | 1 12        | 0 05        | 2,102 52         | - 2,028 36        | 74.16                 |
| 11/16/16 | Sold 42 Shs 11/10/16<br>To William Blair & Company LLC<br>@ 96.7648                                     | 1 26        | 0 09        | 4,062 77         | - 3,042 55        | 1,020 22              |
| 11/16/16 | Sold 18 Shs 11/11/16<br>To William Blair & Company LLC<br>@ 94.0756                                     | 0 54        | 0 04        | 1,692 78         | - 1,303 95        | 388 83                |
| 11/17/16 | Sold 49 Shs 11/14/16<br>To Instinet<br>@ 84.5976                                                        | 0 25        | 0 10        | 4,144 93         | - 3,810 74        | 334.19                |
| 12/02/16 | Sold 40 Shs 11/29/16<br>To Jefferies & Co<br>@ 84.5429                                                  | 1 20        | 0 08        | 3,380 44         | - 3,129 20        | 251.24                |
|          |                                                                                                         | <u>4.37</u> | <u>0.36</u> | <u>15,383.44</u> | <u>-13,314.80</u> | <u>2,068.64</u>       |
| 01/07/16 | - Envestnet Inc Com<br>29404K106<br>Sold 60 Shs 01/04/16<br>To Stifel Nicolaus & Co<br>@ 28.4695        | 2 40        | 0 04        | 1,705.73         | - 3,266 22        | - 1,560.49            |
| 01/11/16 | Sold 70 Shs 01/06/16<br>To Raymond James & Associates<br>@ 28.5414                                      | 2 80        | 0 04        | 1,995.06         | - 3,533 34        | - 1,538.28            |
| 01/13/16 | Sold 45 Shs 01/08/16<br>To William Blair & Company LLC<br>@ 25.8733                                     | 1 80        | 0 03        | 1,162 47         | - 2,288.47        | - 1,126.00            |
| 01/14/16 | Sold 115 Shs 01/11/16<br>To Stifel Nicolaus & Co<br>@ 24.9101                                           | 4 60        | 0 06        | 2,860.00         | - 6,008.75        | - 3,148.75            |
| 03/04/16 | Sold 70 Shs 03/01/16<br>To William Blair & Company LLC<br>@ 19.8937                                     | 2 80        | 0 04        | 1,389 72         | - 3,591 16        | - 2,201.44            |
| 03/07/16 | Sold 80 Shs 03/02/16<br>To William Blair & Company LLC<br>@ 19.5691                                     | 3 20        | 0 04        | 1,562 29         | - 3,673 98        | - 2,111 69            |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                               | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|-----------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 03/08/16 | Sold 100 Shs 03/03/16<br>To William Blair & Company LLC<br>@ 20 8677                          | 4 00         | 0 05        | 2,082.72         | - 3,668 82         | - 1,586 10            |
| 03/28/16 | Sold 45 Shs 03/22/16<br>To Oppenheimer & Co Inc<br>@ 25 5388                                  | 1 80         | 0 03        | 1,147 42         | - 1,472 74         | - 325 32              |
| 03/29/16 | Sold 102 Shs 03/23/16<br>To William Blair & Company LLC<br>@ 24 505                           | 4 08         | 0 06        | 2,495 37         | - 3,412 55         | - 917 18              |
|          |                                                                                               | <u>27.48</u> | <u>0.39</u> | <u>16,400.78</u> | <u>- 30,916.03</u> | <u>- 14,515.25</u>    |
| 02/16/16 | - Euronet Worldwide Inc Com<br>298736109<br>Sold 175 Shs 02/10/16<br>To Instinet<br>@ 56.1263 | 2 63         | 0.22        | 9,819.25         | - 11,628.15        | - 1,808.90            |
| 03/07/16 | Sold 85 Shs 03/02/16<br>To Piper Jaffray Inc.<br>@ 66 6649                                    | 3 40         | 0 13        | 5,662 99         | - 5,947 94         | - 284 95              |
| 03/16/16 | Sold 47 Shs 03/11/16<br>To William Blair & Company LLC<br>@ 69 8048                           | 1.88         | 0.08        | 3,278 87         | - 3,317 46         | - 38.59               |
| 06/06/16 | Sold 50 Shs 06/01/16<br>To Instinet<br>@ 80 073                                               | 0 75         | 0 09        | 4,002 81         | - 3,486.51         | 516 30                |
| 07/14/16 | Sold 65 Shs 07/11/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 71.3974                       | 2 60         | 0 11        | 4,638 12         | - 4,604 26         | 33 86                 |
| 07/15/16 | Sold 105 Shs 07/12/16<br>To Jefferies & Co<br>@ 72.0335                                       | 4 20         | 0 17        | 7,559.15         | - 7,558 05         | 1.10                  |
| 07/19/16 | Sold 40 Shs 07/14/16<br>To R W Baird & Co<br>@ 72 0983                                        | 1.60         | 0.07        | 2,882.26         | - 2,884.43         | - 2.17                |
| 07/21/16 | Sold 58 Shs 07/18/16<br>To William Blair & Company LLC<br>@ 71.3462                           | 2 32         | 0 10        | 4,135.66         | - 4,245 43         | - 109 77              |
|          |                                                                                               | <u>19.38</u> | <u>0.97</u> | <u>41,979.11</u> | <u>- 43,672.23</u> | <u>- 1,693.12</u>     |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                                   | Commission  | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------------------------------------------------|-------------|-------------|------------------|--------------------|-----------------------|
| 09/27/16 | - Fair Isaac Corporation Com<br>303250104<br>Sold 35 Shs 09/22/16<br>To Oppenheimer & Co Inc<br>@ 128.9499        | 1.40        | 0.10        | 4,511.75         | - 3,472.15         | 1,039.60              |
| 11/08/16 | Sold 52 Shs 11/03/16<br>To William Blair & Company LLC<br>@ 115.3291                                              | 1.56        | 0.14        | 5,995.41         | - 5,206.01         | 789.40                |
| 11/21/16 | Sold 61 Shs 11/16/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 112.3349                                          | 1.83        | 0.15        | 6,850.45         | - 6,277.18         | 573.27                |
| 11/22/16 | Sold 22 Shs 11/17/16<br>To Oppenheimer & Co Inc<br>@ 113.9235                                                     | 0.66        | 0.06        | 2,505.60         | - 2,407.75         | 97.85                 |
|          |                                                                                                                   | <u>5.45</u> | <u>0.45</u> | <u>19,863.21</u> | <u>- 17,363.09</u> | <u>2,500.12</u>       |
| 10/19/16 | - Five Prime Therapeutics Inc Com<br>33830X104<br>Sold 115 Shs 10/14/16<br>To Canaccord Genuity Inc.<br>@ 47.1105 | 3.45        | 0.12        | 5,414.14         | - 4,584.31         | 829.83                |
| 11/17/16 | Sold 86 Shs 11/14/16<br>To Canaccord Genuity Inc<br>@ 56.3414                                                     | 2.58        | 0.11        | 4,842.67         | - 3,510.12         | 1,332.55              |
|          |                                                                                                                   | <u>6.03</u> | <u>0.23</u> | <u>10,256.81</u> | <u>- 8,094.43</u>  | <u>2,162.38</u>       |
| 11/16/16 | - Four Corners Pty Tr Inc Com<br>350867109<br>Sold 387 Shs 11/10/16<br>To R W Baird & Co<br>@ 17.8061             | 11.61       | 0.16        | 6,879.19         | - 6,969.93         | - 90.74               |
| 03/18/16 | - Francescas Hldgs Corp Com<br>351793104<br>Sold 60 Shs 03/15/16<br>To Instinet<br>@ 17.6833                      | 0.90        | 0.03        | 1,060.07         | - 1,004.40         | 55.67                 |

**Mercy Works FDN Advisory Research**

Account Number: 71-084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|------------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 03/24/16 | Sold 45 Shs 03/21/16<br>To Piper Jaffray Inc<br>@ 17 3513                                      | 1.80         | 0.02        | 778.99           | - 753.31           | 25.68                 |
| 04/28/16 | Sold 90 Shs 04/25/16<br>To Instinet<br>@ 17 008                                                | 2.25         | 0.04        | 1,528.43         | - 1,497.30         | 31.13                 |
| 05/05/16 | Sold 90 Shs 05/02/16<br>To William Blair & Company LLC<br>@ 16 6265                            | 3.60         | 0.04        | 1,492.75         | - 1,493.38         | - 0.63                |
| 05/16/16 | Sold 60 Shs 05/11/16<br>To Instinet<br>@ 15 0599                                               | 0.90         | 0.02        | 902.67           | - 994.80           | - 92.13               |
| 05/17/16 | Sold 135 Shs 05/12/16<br>To Jefferies & Co<br>@ 15 0174                                        | 5.40         | 0.05        | 2,021.90         | - 2,237.25         | - 215.35              |
| 05/18/16 | Sold 60 Shs 05/13/16<br>To Instinet<br>@ 14 957                                                | 0.90         | 0.02        | 896.50           | - 996.33           | - 99.83               |
| 05/19/16 | Sold 45 Shs 05/16/16<br>To Instinet<br>@ 14 9448                                               | 0.67         | 0.02        | 671.83           | - 768.62           | - 96.79               |
| 05/20/16 | Sold 700 Shs 05/17/16<br>To Instinet<br>@ 10 4391                                              | 10.50        | 0.16        | 7,296.71         | - 12,251.72        | - 4,955.01            |
| 05/23/16 | Sold 175 Shs 05/18/16<br>To Instinet<br>@ 10 4989                                              | 2.62         | 0.05        | 1,834.64         | - 3,263.53         | - 1,428.89            |
|          |                                                                                                | <b>29.54</b> | <b>0.45</b> | <b>18,484.49</b> | <b>- 25,260.64</b> | <b>- 6,776.15</b>     |
| 03/28/16 | - G-III Apparel Group LTD Com<br>36237H101<br>Sold 45 Shs 03/22/16<br>To Instinet<br>@ 44 7297 | 0.68         | 0.05        | 2,012.11         | - 2,118.77         | - 106.66              |
| 04/05/16 | Sold 100 Shs 03/31/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 48 9327                       | 4.00         | 0.11        | 4,889.16         | - 4,814.08         | 75.08                 |
| 04/14/16 | Sold 85 Shs 04/11/16<br>To Jefferies & Co<br>@ 45 0437                                         | 3.40         | 0.09        | 3,825.22         | - 4,318.38         | - 493.16              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                           | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 04/15/16 | Sold 90 Shs 04/12/16<br>To R W Baird & Co<br>@ 44 5082                                    | 3.60         | 0.09        | 4,002.05         | - 4,383.69         | - 381.64              |
| 04/25/16 | Sold 45 Shs 04/20/16<br>To R W Baird & Co<br>@ 46 271                                     | 1.80         | 0.05        | 2,080.35         | - 2,104.51         | - 24.16               |
| 05/10/16 | Sold 40 Shs 05/05/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 43 1154                   | 1.60         | 0.04        | 1,722.98         | - 1,884.06         | - 161.08              |
| 05/11/16 | Sold 130 Shs 05/06/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 41:3877                  | 5.20         | 0.12        | 5,375.08         | - 6,551.99         | - 1,176.91            |
|          |                                                                                           | <b>20.28</b> | <b>0.55</b> | <b>23,906.95</b> | <b>- 26,175.48</b> | <b>- 2,268.53</b>     |
| 01/08/16 | - Gentherm Inc Com<br>37253A103<br>Sold 35 Shs 01/05/16<br>To R W Baird & Co<br>@ 44 1011 | 1.40         | 0.03        | 1,542.11         | - 1,476.25         | 65.86                 |
| 01/11/16 | Sold 90 Shs 01/06/16<br>To R W Baird & Co<br>@ 43 0576                                    | 3.60         | 0.08        | 3,871.50         | - 3,917.88         | - 46.38               |
| 01/12/16 | Sold 27 Shs 01/07/16<br>To R W Baird & Co<br>@ 42.1955                                    | 1.08         | 0.03        | 1,138.17         | - 1,221.64         | - 83.47               |
| 01/13/16 | Sold 45 Shs 01/08/16<br>To R W Baird & Co<br>@ 42.0733                                    | 1.80         | 0.04        | 1,891.46         | - 2,259.39         | - 367.93              |
| 01/14/16 | Sold 55 Shs 01/11/16<br>To R W Baird & Co<br>@ 41.9033                                    | 2.20         | 0.05        | 2,302.43         | - 2,888.63         | - 586.20              |
| 01/19/16 | Sold 65 Shs 01/13/16<br>To R W Baird & Co<br>@ 40 2577                                    | 2.60         | 0.05        | 2,614.10         | - 3,428.22         | - 814.12              |
| 01/22/16 | Sold 45 Shs 01/19/16<br>To R W Baird & Co<br>@ 37.1421                                    | 1.80         | 0.04        | 1,669.55         | - 2,127.47         | - 457.92              |
| 02/08/16 | Sold 100 Shs 02/03/16<br>To R W Baird & Co<br>@ 37 9318                                   | 4.00         | 0.07        | 3,789.11         | - 4,831.15         | - 1,042.04            |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                         | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|-----------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 02/11/16 | Sold 40 Shs 02/08/16<br>To R W Baird & Co<br>@ 35 97                                    | 1 60         | 0 03        | 1,437 17         | - 2,007 64         | - 570 47              |
| 03/15/16 | Sold 70 Shs 03/10/16<br>To R W Baird & Co<br>@ 37 8949                                  | 2 80         | 0 06        | 2,649 78         | - 3,513 36         | - 863 58              |
| 05/03/16 | Sold 155 Shs 04/28/16<br>To R W Baird & Co<br>@ 38 9671                                 | 6 20         | 0 14        | 6,033 56         | - 7,846 16         | - 1,812 60            |
| 05/04/16 | Sold 70 Shs 04/29/16<br>To William Blair & Company LLC<br>@ 37 2621                     | 2 80         | 0 06        | 2,605 49         | - 3,597 79         | - 992 30              |
| 05/17/16 | Sold 70 Shs 05/12/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 34 9464                 | 2 80         | 0 06        | 2,443 39         | - 3,019 68         | - 576 29              |
| 05/18/16 | Sold 55 Shs 05/13/16<br>To R W Baird & Co<br>@ 34 8187                                  | 2 20         | 0 05        | 1,912 78         | - 2,361 72         | - 448 94              |
| 05/20/16 | Sold 103 Shs 05/17/16<br>To William Blair & Company LLC<br>@ 34 9297                    | 4 12         | 0 08        | 3,593 56         | - 4,466 43         | - 872 87              |
|          |                                                                                         | <b>41.00</b> | <b>0.87</b> | <b>39,494.16</b> | <b>- 48,963.41</b> | <b>- 9,469.25</b>     |
| 08/08/16 | Gibraltar Inds Inc Com<br>374689107<br>Sold 50 Shs 08/03/16<br>To Instinet<br>@ 36 7713 | 1 25         | 0 05        | 1,837 27         | - 1,391 32         | 445 95                |
| 09/14/16 | Sold 50 Shs 09/09/16<br>To Instinet<br>@ 36 1122                                        | 0 75         | 0 04        | 1,804 82         | - 1,399 21         | 405 61                |
| 09/15/16 | Sold 60 Shs 09/12/16<br>To R W Baird & Co<br>@ 35 847                                   | 2 40         | 0 05        | 2,148 37         | - 1,677 05         | 471 32                |
| 10/25/16 | Sold 126 Shs 10/20/16<br>To Jefferies & Co<br>@ 35 6343                                 | 3 78         | 0 10        | 4,486 04         | - 3,496 29         | 989 75                |
| 12/02/16 | Sold 27 Shs 11/29/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 44 8626                     | 0 81         | 0 03        | 1,210 45         | - 765 59           | 444 86                |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                  | Commission   | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|----------|--------------------------------------------------------------------------------------------------|--------------|-------------|------------------|-------------------|-----------------------|
| 12/14/16 | Sold 85 Shs 12/09/16<br>To R W Baird & Co<br>@ 44 1919                                           | 2.55         | 0.09        | 3,753.67         | -2,403.60         | 1,350.07              |
|          |                                                                                                  | <u>11.54</u> | <u>0.36</u> | <u>15,240.62</u> | <u>-11,133.06</u> | <u>4,107.56</u>       |
| 12/01/16 | - Glaukos Corp Com<br>377322102<br>Sold 90 Shs 11/28/16<br>To Canaccord Genuity Inc<br>@ 31 5778 | 2.70         | 0.07        | 2,839.23         | -3,011.90         | -172.67               |
| 12/06/16 | Sold 59 Shs 12/01/16<br>To Canaccord Genuity Inc.<br>@ 30 4966                                   | 1.77         | 0.04        | 1,797.49         | -1,974.47         | -176.98               |
|          |                                                                                                  | <u>4.47</u>  | <u>0.11</u> | <u>4,636.72</u>  | <u>-4,986.37</u>  | <u>-349.65</u>        |
| 07/14/16 | - Godaddy Inc Cl A<br>380237107<br>Sold 92 Shs 07/11/16<br>To R W Baird & Co<br>@ 30 4624        | 3.68         | 0.07        | 2,798.79         | -2,487.49         | 311.30                |
| 07/19/16 | Sold 95 Shs 07/14/16<br>To Instinet<br>@ 29 3049                                                 | 1.42         | 0.07        | 2,782.48         | -2,572.72         | 209.76                |
| 07/20/16 | Sold 50 Shs 07/15/16<br>To R W Baird & Co<br>@ 29 2756                                           | 2.00         | 0.04        | 1,461.74         | -1,357.16         | 104.58                |
| 07/21/16 | Sold 75 Shs 07/18/16<br>To Oppenheimer & Co Inc<br>@ 29 398                                      | 3.00         | 0.05        | 2,201.80         | -2,053.24         | 148.56                |
| 07/27/16 | Sold 80 Shs 07/22/16<br>To Oppenheimer & Co Inc<br>@ 29 5071                                     | 3.20         | 0.06        | 2,357.31         | -2,219.48         | 137.83                |
| 07/28/16 | Sold 118 Shs 07/25/16<br>To Instinet<br>@ 29 2295                                                | 2.95         | 0.08        | 3,446.05         | -3,304.08         | 141.97                |
|          |                                                                                                  | <u>16.25</u> | <u>0.37</u> | <u>15,048.17</u> | <u>-13,994.17</u> | <u>1,054.00</u>       |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                         | Commission  | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------|-------------|-------------|------------------|--------------------|-----------------------|
| 10/14/16 | - Granite Constr Inc Com<br>387328107                                   |             |             |                  |                    |                       |
|          | Sold 136 Shs 10/11/16<br>To Jefferies & Co<br>@ 46.4676                 | 4.08        | 0.14        | 6,315.37         | - 5,585.82         | 729.55                |
|          |                                                                         |             |             |                  |                    |                       |
| 11/17/16 | Sold 116 Shs 11/14/16<br>To R W Baird & Co<br>@ 60.1894                 | 3.48        | 0.16        | 6,978.33         | - 4,448.97         | 2,529.36              |
| 12/22/16 | Sold 47 Shs 12/19/16<br>To R W Baird & Co<br>@ 57.1119                  | 1.41        | 0.06        | 2,682.79         | - 1,774.37         | 908.42                |
|          |                                                                         | <u>8.97</u> | <u>0.36</u> | <u>15,976.49</u> | <u>- 11,809.16</u> | <u>4,167.33</u>       |
| 01/07/16 | - Headwaters Inc Com<br>42210P102                                       |             |             |                  |                    |                       |
|          | Sold 175 Shs 01/04/16<br>To Instinet<br>@ 16.3308                       | 4.38        | 0.06        | 2,853.45         | - 3,066.35         | - 212.90              |
|          |                                                                         |             |             |                  |                    |                       |
| 01/11/16 | Sold 100 Shs 01/06/16<br>To Canaccord Genuity Inc.<br>@ 15.4559         | 4.00        | 0.03        | 1,541.56         | - 2,009.18         | - 467.62              |
| 01/13/16 | Sold 70 Shs 01/08/16<br>To Instinet<br>@ 15.2681                        | 1.75        | 0.02        | 1,067.00         | - 1,448.27         | - 381.27              |
| 02/01/16 | Sold 70 Shs 01/27/16<br>To R W Baird & Co<br>@ 14.1808                  | 2.80        | 0.02        | 989.84           | - 1,464.24         | - 474.40              |
| 04/25/16 | Sold 50 Shs 04/20/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 20.8182     | 2.00        | 0.03        | 1,038.88         | - 1,052.62         | - 13.74               |
| 05/06/16 | Sold 120 Shs 05/03/16<br>To Instinet<br>@ 18.0033                       | 3.00        | 0.05        | 2,157.35         | - 2,543.55         | - 386.20              |
| 05/09/16 | Sold 92 Shs 05/04/16<br>To Jonestrading Institutional Svcs<br>@ 17.9838 | 3.68        | 0.04        | 1,650.79         | - 1,952.86         | - 302.07              |
| 06/06/16 | Sold 90 Shs 06/01/16<br>To Instinet<br>@ 18.8956                        | 1.35        | 0.04        | 1,699.21         | - 1,857.96         | - 158.75              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                                 | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|-----------------------------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 06/07/16 | Sold 210 Shs 06/02/16<br>To Jefferies & Co<br>@ 18 4804                                                         | 8.40         | 0.09        | 3,872.39         | - 4,325.76         | - 453.37              |
| 06/16/16 | Sold 80 Shs 06/13/16<br>To Instinet<br>@ 17 5874                                                                | 2.00         | 0.04        | 1,404.95         | - 1,442.22         | - 37.27               |
| 06/17/16 | Sold 175 Shs 06/14/16<br>To Instinet<br>@ 17 404                                                                | 4.38         | 0.07        | 3,041.25         | - 2,849.17         | 192.08                |
| 06/21/16 | Sold 40 Shs 06/16/16<br>To JonesTrading Institutional Svcs<br>@ 17 2995                                         | 1.60         | 0.02        | 690.36           | - 665.51           | 24.85                 |
| 08/05/16 | Sold 75 Shs 08/02/16<br>To Instinet<br>@ 18 7253                                                                | 1.88         | 0.04        | 1,402.48         | - 1,251.12         | 151.36                |
| 08/22/16 | Sold 65 Shs 08/17/16<br>To Instinet<br>@ 19 1412                                                                | 1.62         | 0.03        | 1,242.53         | - 1,086.36         | 156.17                |
| 09/06/16 | Sold 68 Shs 08/31/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 18 1774                                             | 2.72         | 0.03        | 1,233.31         | - 1,146.51         | 86.80                 |
| 09/09/16 | Sold 55 Shs 09/06/16<br>To Instinet<br>@ 17 4183                                                                | 1.37         | 0.03        | 956.61           | - 958.58           | - 1.97                |
| 09/12/16 | Sold 185 Shs 09/07/16<br>To Instinet<br>@ 17 2767                                                               | 4.62         | 0.07        | 3,191.50         | - 3,356.10         | - 164.60              |
| 09/14/16 | Sold 186 Shs 09/09/16<br>To Instinet<br>@ 16 7934                                                               | 4.65         | 0.07        | 3,118.85         | - 3,656.29         | - 537.44              |
|          |                                                                                                                 | <u>56.20</u> | <u>0.78</u> | <u>33,152.31</u> | <u>- 36,132.65</u> | <u>- 2,980.34</u>     |
| 03/09/16 | - Heartland Pmt Sys Inc Com<br>42235W108<br>Sold 50 Shs 03/04/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 94 9809 | 2.00         | 0.11        | 4,746.94         | - 4,715.16         | 31.78                 |
| 03/10/16 | Sold 75 Shs 03/07/16<br>To Barclays Capital Le<br>@ 93 0641                                                     | 3.00         | 0.16        | 6,976.65         | - 7,110.10         | - 133.45              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                        | Commission   | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|----------|------------------------------------------------------------------------|--------------|-------------|------------------|-------------------|-----------------------|
| 03/16/16 | Sold 50 Shs 03/11/16<br>To Jefferies & Co<br>@ 93 037                  | 0.50         | 0.11        | 4,651.24         | -4,728.13         | -76.89                |
| 03/28/16 | Sold 50 Shs 03/22/16<br>To Canaccord Genuity Inc.<br>@ 92 4271         | 2.00         | 0.11        | 4,619.25         | -4,698.47         | -79.22                |
| 04/01/16 | Sold 25 Shs 03/29/16<br>To Piper Jaffray Inc.<br>@ 94 4856             | 1.00         | 0.06        | 2,361.08         | -2,239.45         | 121.63                |
| 04/05/16 | Sold 75 Shs 03/31/16<br>To Instinet<br>@ 96.587                        | 1.87         | 0.16        | 7,242.00         | -6,718.33         | 523.67                |
|          |                                                                        | <b>10.37</b> | <b>0.71</b> | <b>30,597.16</b> | <b>-30,209.64</b> | <b>387.52</b>         |
|          | - Home Bancshares Inc Com<br>436893200                                 |              |             |                  |                   |                       |
| 01/19/16 | Sold 75 Shs 01/13/16<br>To R W Baird & Co<br>@ 36 9071                 | 3.00         | 0.06        | 2,764.97         | -3,037.12         | -272.15               |
| 01/22/16 | Sold 44 Shs 01/19/16<br>To R W Baird & Co<br>@ 36 4703                 | 1.76         | 0.03        | 1,602.90         | -1,781.78         | -178.88               |
| 01/26/16 | Sold 105 Shs 01/21/16<br>To R W Baird & Co<br>@ 35 3552                | 4.20         | 0.07        | 3,708.03         | -4,255.23         | -547.20               |
| 04/12/16 | Sold 35 Shs 04/07/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 39.092 | 1.40         | 0.03        | 1,366.79         | -1,419.44         | -52.65                |
| 06/30/16 | Sold 160 Shs 06/27/16<br>To Jefferies & Co<br>@ 18.6349                | 6.40         | 0.07        | 2,975.11         | -3,237.67         | -262.56               |
| 10/04/16 | Sold 110 Shs 09/29/16<br>To R W Baird & Co<br>@ 20 7666                | 4.40         | 0.05        | 2,279.88         | -2,266.72         | 11.16                 |
| 10/06/16 | Sold 98 Shs 10/03/16<br>To William Blair & Company LLC<br>@ 20 5247    | 2.94         | 0.05        | 2,008.43         | -2,081.22         | -72.79                |
| 10/18/16 | Sold 141 Shs 10/13/16<br>To Jefferies & Co<br>@ 19 8951                | 4.23         | 0.07        | 2,800.91         | -3,146.20         | -345.29               |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                       | Commission | Other Costs | Net Cash  | Cost        | Realized<br>Gain/Loss |
|----------|-----------------------------------------------------------------------|------------|-------------|-----------|-------------|-----------------------|
|          | - Hortonworks Inc Com<br>440894103                                    | 28.33      | 0.43        | 19,507.02 | - 21,227.38 | - 1,720.36            |
| 01/13/16 | Sold 60 Shs 01/08/16<br>To Barclays Capital Le<br>@ 19.0271           | 2.40       | 0.03        | 1,139.20  | - 1,571.77  | - 432.57              |
| 01/14/16 | Sold 160 Shs 01/11/16<br>To Barclays Capital Le<br>@ 17.5604          | 6.40       | 0.06        | 2,803.20  | - 4,358.52  | - 1,555.32            |
| 01/15/16 | Sold 90 Shs 01/12/16<br>To Barclays Capital Le<br>@ 17.5206           | 3.60       | 0.03        | 1,573.22  | - 2,428.40  | - 855.18              |
| 01/19/16 | Sold 95 Shs 01/13/16<br>To Oppenheimer & Co Inc<br>@ 16.7563          | 3.80       | 0.03        | 1,588.02  | - 2,629.55  | - 1,041.53            |
| 01/21/16 | Sold 80 Shs 01/15/16<br>To Penserra Securities<br>@ 16.1836           | 2.40       | 0.02        | 1,292.27  | - 2,214.36  | - 922.09              |
| 01/28/16 | Sold 125 Shs 01/25/16<br>To Deutsche Bank Securities Inc<br>@ 10.9267 | 5.00       | 0.03        | 1,360.81  | - 3,459.94  | - 2,099.13            |
| 01/29/16 | Sold 275 Shs 01/26/16<br>To Piper Jaffray Inc<br>@ 10.183             | 11.00      | 0.06        | 2,789.27  | - 7,291.98  | - 4,502.71            |
| 02/01/16 | Sold 205 Shs 01/27/16<br>To Oppenheimer & Co Inc<br>@ 9.8092          | 8.20       | 0.04        | 2,002.65  | - 4,277.59  | - 2,274.94            |
| 02/02/16 | Sold 125 Shs 01/28/16<br>To Jefferies & Co<br>@ 9.5311                | 5.00       | 0.03        | 1,186.36  | - 2,615.09  | - 1,428.73            |
| 02/03/16 | Sold 74 Shs 01/29/16<br>To William Blair & Company LLC<br>@ 9.6657    | 2.96       | 0.02        | 712.28    | - 1,602.62  | - 890.34              |
|          |                                                                       | 50.76      | 0.35        | 16,447.28 | - 32,449.82 | - 16,002.54           |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                      | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|----------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
|          | - Hubshot Inc Com<br>443573100                                       |              |             |                  |                    |                       |
| 01/29/16 | Sold 105 Shs 01/26/16<br>To Canaccord Genuity Inc<br>@ 43 6427       | 4.20         | 0.09        | 4,578.19         | - 5,054.52         | - 476.33              |
| 03/17/16 | Sold 50 Shs 03/14/16<br>To Raymond James & Associates<br>@ 44 9753   | 2.00         | 0.05        | 2,246.72         | - 2,412.76         | - 166.04              |
| 04/15/16 | Sold 65 Shs 04/12/16<br>To Canaccord Genuity Inc<br>@ 39 6958        | 2.60         | 0.06        | 2,577.57         | - 3,276.65         | - 699.08              |
| 06/30/16 | Sold 130 Shs 06/27/16<br>To William Blair & Company LLC<br>@ 42 0038 | 5.20         | 0.12        | 5,459.62         | - 6,651.85         | - 1,192.23            |
| 12/06/16 | Sold 48 Shs 12/01/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 53 4333  | 1.44         | 0.06        | 2,563.30         | - 2,496.91         | 66.39                 |
| 12/14/16 | Sold 73 Shs 12/09/16<br>To Jefferies & Co<br>@ 49 9174               | 2.19         | 0.08        | 3,641.70         | - 4,109.90         | - 468.20              |
| 12/15/16 | Sold 88 Shs 12/12/16<br>To Jefferies & Co<br>@ 48 7325               | 2.64         | 0.10        | 4,285.72         | - 5,076.18         | - 790.46              |
| 12/19/16 | Sold 85 Shs 12/14/16<br>To Canaccord Genuity Inc.<br>@ 48 4282       | 2.55         | 0.09        | 4,113.76         | - 3,953.88         | 159.88                |
| 12/20/16 | Sold 73 Shs 12/15/16<br>To Jefferies & Co<br>@ 45 8332               | 2.19         | 0.08        | 3,343.47         | - 2,417.25         | 926.22                |
|          |                                                                      | <b>25.01</b> | <b>0.73</b> | <b>32,810.05</b> | <b>- 35,449.90</b> | <b>- 2,639.85</b>     |
|          | - Impinj Inc Com<br>453204109                                        |              |             |                  |                    |                       |
| 11/01/16 | Sold 52 Shs 10/27/16<br>To Canaccord Genuity Inc<br>@ 25 8006        | 1.56         | 0.03        | 1,340.04         | - 1,812.09         | - 472.05              |
| 11/02/16 | Sold 41 Shs 10/28/16<br>To Oppenheimer & Co Inc<br>@ 25 145          | 1.23         | 0.03        | 1,029.69         | - 1,428.76         | - 399.07              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                      | Commission   | Other Costs | Net Cash        | Cost              | Realized<br>Gain/Loss |
|----------|----------------------------------------------------------------------|--------------|-------------|-----------------|-------------------|-----------------------|
| 11/03/16 | Sold 75 Shs 10/31/16<br>To Oppenheimer & Co Inc<br>@ 24 6973         | 2.25         | 0.05        | 1,850.00        | -2,618.15         | -768.15               |
| 11/04/16 | Sold 70 Shs 11/01/16<br>To Oppenheimer & Co Inc<br>@ 24 3394         | 2.10         | 0.04        | 1,701.62        | -2,479.34         | -777.72               |
| 12/01/16 | Sold 103 Shs 11/28/16<br>To Oppenheimer & Co Inc<br>@ 26.5468        | 3.09         | 0.06        | 2,731.17        | -3,648.18         | -917.01               |
|          |                                                                      | <b>10.23</b> | <b>0.21</b> | <b>8,652.52</b> | <b>-11,986.52</b> | <b>-3,334.00</b>      |
|          | - Inc Resh Hldgs Inc Cl A<br>45329R109                               |              |             |                 |                   |                       |
| 01/19/16 | Sold 100 Shs 01/13/16<br>To William Blair & Company LLC<br>@ 42.2153 | 4.00         | 0.08        | 4,217.45        | -3,332.19         | 885.26                |
| 02/01/16 | Sold 75 Shs 01/27/16<br>To R W Baird & Co<br>@ 41 3208               | 3.00         | 0.06        | 3,096.00        | -2,509.62         | 586.38                |
| 02/04/16 | Sold 55 Shs 02/01/16<br>To Instinet<br>@ 40 8506                     | 0.82         | 0.05        | 2,245.91        | -1,851.21         | 394.70                |
| 04/22/16 | Sold 85 Shs 04/19/16<br>To Jefferies & Co<br>@ 48 9804               | 0.85         | 0.10        | 4,162.38        | -2,932.69         | 1,229.69              |
| 04/27/16 | Sold 25 Shs 04/22/16<br>To William Blair & Company LLC<br>@ 48.952   | 1.00         | 0.03        | 1,222.77        | -862.86           | 359.91                |
| 05/04/16 | Sold 75 Shs 04/29/16<br>To R W Baird & Co<br>@ 48.2283               | 3.00         | 0.08        | 3,614.04        | -2,572.44         | 1,041.60              |
| 06/15/16 | Sold 40 Shs 06/10/16<br>To Oppenheimer & Co Inc<br>@ 41 2187         | 1.60         | 0.04        | 1,647.11        | -1,442.77         | 204.34                |
| 06/16/16 | Sold 40 Shs 06/13/16<br>To William Blair & Company LLC<br>@ 40 3568  | 1.60         | 0.04        | 1,612.63        | -1,469.03         | 143.60                |
| 06/21/16 | Sold 25 Shs 06/16/16<br>To R W Baird & Co<br>@ 38.3676               | 1.00         | 0.03        | 958.16          | -918.15           | 40.01                 |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                         | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 06/22/16 | Sold 115 Shs 06/17/16<br>To Oppenheimer & Co Inc<br>@ 37 3427           | 4.60         | 0.10        | 4,289 71         | - 4,932 78         | - 643 07              |
| 11/22/16 | Sold 36 Shs 11/17/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 49 0069 | 1.08         | 0.04        | 1,763 13         | - 1,501 69         | 261.44                |
|          |                                                                         | <u>22.55</u> | <u>0.65</u> | <u>28,829.29</u> | <u>- 24,325.43</u> | <u>4,503.86</u>       |
|          | - Infinera Corp Com<br>45667G103                                        |              |             |                  |                    |                       |
| 01/07/16 | Sold 70 Shs 01/04/16<br>To Instinet<br>@ 17 5291                        | 1.05         | 0.03        | 1,225 96         | - 1,441.10         | - 215 14              |
| 01/08/16 | Sold 145 Shs 01/05/16<br>To R W Baird & Co<br>@ 17 1867                 | 5.80         | 0.05        | 2,486 22         | - 3,018.55         | - 532.33              |
| 01/11/16 | Sold 135 Shs 01/06/16<br>To Oppenheimer & Co Inc<br>@ 17 1267           | 5.40         | 0.05        | 2,306.65         | - 2,851.96         | - 545 31              |
| 01/12/16 | Sold 70 Shs 01/07/16<br>To Barclays Capital Le<br>@ 16 8315             | 2.80         | 0.03        | 1,175.38         | - 1,488.54         | - 313 16              |
| 01/13/16 | Sold 130 Shs 01/08/16<br>To Oppenheimer & Co Inc<br>@ 16 3455           | 5.20         | 0.04        | 2,119 68         | - 2,819 97         | - 700 29              |
| 01/19/16 | Sold 75 Shs 01/13/16<br>To Jefferies & Co<br>@ 15 4979                  | 0.75         | 0.03        | 1,161 56         | - 1,671.61         | - 510 05              |
| 01/20/16 | Sold 75 Shs 01/14/16<br>To Instinet<br>@ 15.6713                        | 1.13         | 0.03        | 1,174.19         | - 1,681.34         | - 507.15              |
| 01/21/16 | Sold 75 Shs 01/15/16<br>To Dain Rauscher Incorporated<br>@ 14 8138      | 0.56         | 0.03        | 1,110 45         | - 1,687 04         | - 576.59              |
| 03/07/16 | Sold 225 Shs 03/02/16<br>To R W Baird & Co<br>@ 15 2856                 | 9.00         | 0.08        | 3,430 18         | - 4,808.44         | - 1,378 26            |
| 03/08/16 | Sold 75 Shs 03/03/16<br>To Instinet<br>@ 14 6138                        | 1 13         | 0.03        | 1,094 88         | - 1,694 94         | - 600 06              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                | Description<br>Asset ID (CUSIP)                         | Commission   | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|-----------------------------------------------------|---------------------------------------------------------|--------------|-------------|------------------|-------------------|-----------------------|
| 03/28/16                                            | Sold 480 Shs 03/22/16<br>To Instinet<br>@ 15 6026       | 7.20         | 0.17        | 7,481.88         | -11,106.94        | -3,625.06             |
| 04/07/16                                            | Sold 55 Shs 04/04/16<br>To Jefferies & Co<br>@ 15 3414  | 2.20         | 0.02        | 841.56           | -1,222.56         | -381.00               |
| 04/08/16                                            | Sold 150 Shs 04/05/16<br>To Instinet<br>@ 15 1611       | 2.25         | 0.05        | 2,271.87         | -3,140.15         | -868.28               |
| 04/11/16                                            | Sold 150 Shs 04/06/16<br>To Jefferies & Co<br>@ 15 1119 | 1.50         | 0.05        | 2,265.24         | -3,099.45         | -834.21               |
| 04/22/16                                            | Sold 45 Shs 04/19/16<br>To Instinet<br>@ 14 5705        | 0.67         | 0.02        | 654.98           | -929.84           | -274.86               |
| 04/28/16                                            | Sold 55 Shs 04/25/16<br>To Jefferies & Co<br>@ 14 6887  | 0.55         | 0.02        | 807.31           | -1,136.46         | -329.15               |
| 05/03/16                                            | Sold 481 Shs 04/28/16<br>To Instinet<br>@ 11 9624       | 7.21         | 0.13        | 5,746.57         | -9,921.81         | -4,175.24             |
|                                                     |                                                         | <b>54.40</b> | <b>0.86</b> | <b>37,354.56</b> | <b>-53,720.70</b> | <b>-16,366.14</b>     |
| - Integrated Device Technology Inc Com<br>458118106 |                                                         |              |             |                  |                   |                       |
| 01/21/16                                            | Sold 95 Shs 01/15/16<br>To Instinet<br>@ 23 1314        | 1.42         | 0.05        | 2,196.01         | -1,809.34         | 386.67                |
| 02/05/16                                            | Sold 890 Shs 02/02/16<br>To Instinet<br>@ 18 8065       | 13.35        | 0.31        | 16,724.13        | -17,857.68        | -1,133.55             |
| 02/17/16                                            | Sold 420 Shs 02/11/16<br>To Jefferies & Co<br>@ 16 4884 | 4.20         | 0.16        | 6,920.77         | -8,216.54         | -1,295.77             |
| 03/01/16                                            | Sold 315 Shs 02/25/16<br>To Instinet<br>@ 19 0636       | 4.72         | 0.14        | 6,000.17         | -6,492.74         | -492.57               |
| 03/02/16                                            | Sold 240 Shs 02/26/16<br>To Instinet<br>@ 19 157        | 6.00         | 0.11        | 4,591.57         | -4,962.98         | -371.41               |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                             | Description<br>Asset ID (CUSIP)                                          | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|--------------------------------------------------|--------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 03/07/16                                         | Sold 270 Shs 03/02/16<br>To Instinet<br>@ 19 6583                        | 6.75         | 0.12        | 5,300.87         | - 6,007.49         | - 706.62              |
| 03/08/16                                         | Sold 442 Shs 03/03/16<br>To Jonestrading Institutional Svcs<br>@ 20 3935 | 17.68        | 0.20        | 8,996.05         | - 11,154.57        | - 2,158.52            |
|                                                  |                                                                          | <u>54.12</u> | <u>1.09</u> | <u>50,729.57</u> | <u>- 56,501.34</u> | <u>- 5,771.77</u>     |
| - Interactive Brokers Group Inc Com<br>45841N107 |                                                                          |              |             |                  |                    |                       |
| 01/11/16                                         | Sold 85 Shs 01/06/16<br>To Jefferies & Co<br>@ 39 7221                   | 3.40         | 0.07        | 3,372.91         | - 2,567.16         | 805.75                |
| 01/13/16                                         | Sold 70 Shs 01/08/16<br>To Jefferies & Co<br>@ 37 5315                   | 2.80         | 0.05        | 2,624.36         | - 2,156.23         | 468.13                |
| 01/14/16                                         | Sold 70 Shs 01/11/16<br>To Jefferies & Co<br>@ 36 4157                   | 2.80         | 0.05        | 2,546.25         | - 2,216.22         | 330.03                |
| 01/15/16                                         | Sold 165 Shs 01/12/16<br>To Jefferies & Co<br>@ 35 346                   | 6.60         | 0.11        | 5,825.38         | - 5,513.18         | 312.20                |
| 01/21/16                                         | Sold 75 Shs 01/15/16<br>To Jefferies & Co<br>@ 33 7288                   | 3.00         | 0.05        | 2,526.61         | - 2,569.29         | - 42.68               |
| 01/22/16                                         | Sold 55 Shs 01/19/16<br>To Jefferies & Co<br>@ 33 5193                   | 2.20         | 0.04        | 1,841.32         | - 1,890.66         | - 49.34               |
| 01/25/16                                         | Sold 75 Shs 01/20/16<br>To Jefferies & Co<br>@ 33 0852                   | 0.75         | 0.05        | 2,480.59         | - 2,578.17         | - 97.58               |
| 01/26/16                                         | Sold 75 Shs 01/21/16<br>To Jefferies & Co<br>@ 32 1828                   | 3.00         | 0.05        | 2,410.66         | - 2,578.17         | - 167.51              |
| 04/05/16                                         | Sold 80 Shs 03/31/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 39 4093      | 3.20         | 0.07        | 3,149.47         | - 3,332.25         | - 182.78              |
| 04/12/16                                         | Sold 120 Shs 04/07/16<br>To Jefferies & Co<br>@ 37 5872                  | 4.80         | 0.10        | 4,505.56         | - 5,147.27         | - 641.71              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                     | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|---------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 06/24/16 | Sold 90 Shs 06/21/16<br>To William Blair & Company LLC<br>@ 36.4263 | 3.60         | 0.08        | 3,274.69         | - 3,860.68         | - 585.99              |
| 08/04/16 | Sold 275 Shs 08/01/16<br>To Instinet<br>@ 35.0625                   | 6.88         | 0.22        | 9,635.09         | - 11,661.77        | - 2,026.68            |
|          |                                                                     | <u>43.03</u> | <u>0.94</u> | <u>44,192.89</u> | <u>- 46,071.05</u> | <u>- 1,878.16</u>     |
|          | - Intersect Ent Inc Com<br>46071F103                                |              |             |                  |                    |                       |
| 05/11/16 | Sold 95 Shs 05/06/16<br>To Instinet<br>@ 14.0469                    | 1.42         | 0.03        | 1,333.01         | - 1,907.50         | - 574.49              |
| 05/16/16 | Sold 140 Shs 05/11/16<br>To Piper Jaffray Inc<br>@ 13.7457          | 5.60         | 0.05        | 1,918.75         | - 2,842.71         | - 923.96              |
|          |                                                                     | <u>7.02</u>  | <u>0.08</u> | <u>3,251.76</u>  | <u>- 4,750.21</u>  | <u>- 1,498.45</u>     |
|          | - Intra Cellular Therapies Inc Com<br>46116X101                     |              |             |                  |                    |                       |
| 01/13/16 | Sold 35 Shs 01/08/16<br>To Barclays Capital Le<br>@ 46.8366         | 1.40         | 0.04        | 1,637.84         | - 1,634.94         | 2.90                  |
| 01/14/16 | Sold 65 Shs 01/11/16<br>To Piper Jaffray Inc<br>@ 42.9385           | 2.60         | 0.06        | 2,788.34         | - 3,068.15         | - 279.81              |
| 02/03/16 | Sold 70 Shs 01/29/16<br>To Piper Jaffray Inc.<br>@ 36.3009          | 2.80         | 0.05        | 2,538.21         | - 3,419.99         | - 881.78              |
| 02/04/16 | Sold 20 Shs 02/01/16<br>To Dain Rauscher Incorporated<br>@ 36.3277  | 0.15         | 0.02        | 726.38           | - 1,015.32         | - 288.94              |
| 03/18/16 | Sold 65 Shs 03/15/16<br>To Canaccord Genuity Inc<br>@ 25.6856       | 2.60         | 0.04        | 1,666.92         | - 3,487.36         | - 1,820.44            |
| 03/21/16 | Sold 75 Shs 03/16/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 25.2325 | 3.00         | 0.05        | 1,889.39         | - 4,171.91         | - 2,282.52            |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                       | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 03/22/16 | Sold 110 Shs 03/17/16<br>To Canaccord Genuity Inc<br>@ 23 9598                                        | 4.40         | 0.06        | 2,631.12         | - 4,552.54         | - 1,921.42            |
| 05/10/16 | Sold 120 Shs 05/05/16<br>To Piper Jaffray Inc.<br>@ 31 3                                              | 4.80         | 0.09        | 3,751.11         | - 3,691.72         | 59.39                 |
| 09/27/16 | Sold 120 Shs 09/22/16<br>To Jefferies & Co<br>@ 40 6115                                               | 4.80         | 0.11        | 4,868.47         | - 3,790.24         | 1,078.23              |
| 10/04/16 | Sold 377 Shs 09/29/16<br>To Instinet<br>@ 15 4581                                                     | 5.65         | 0.13        | 5,821.92         | - 13,093.85        | - 7,271.93            |
|          |                                                                                                       | <u>32.20</u> | <u>0.65</u> | <u>28,319.70</u> | <u>- 41,926.02</u> | <u>- 13,606.32</u>    |
| 12/06/16 | - Irobot Corp Com<br>462726100<br>Sold 96 Shs 12/01/16<br>To William Blair & Company LLC<br>@ 56 2232 | 2.88         | 0.12        | 5,394.43         | - 4,095.25         | 1,299.18              |
| 03/11/16 | - Jetblue Airways Corp Com<br>477143101<br>Sold 130 Shs 03/08/16<br>To Instinet<br>@ 20 0196          | 1.95         | 0.06        | 2,600.54         | - 1,426.10         | 1,174.44              |
| 03/16/16 | Sold 220 Shs 03/11/16<br>To Instinet<br>@ 20 1567                                                     | 3.30         | 0.10        | 4,431.07         | - 2,545.16         | 1,885.91              |
| 03/28/16 | Sold 135 Shs 03/22/16<br>To Jefferies & Co<br>@ 20 2165                                               | 1.35         | 0.06        | 2,727.82         | - 1,637.09         | 1,090.73              |
| 04/01/16 | Sold 65 Shs 03/29/16<br>To Instinet<br>@ 20 9451                                                      | 0.97         | 0.03        | 1,360.43         | - 844.63           | 515.80                |
| 04/04/16 | Sold 352 Shs 03/30/16<br>To Jefferies & Co<br>@ 21 2867                                               | 3.52         | 0.17        | 7,489.23         | - 6,750.09         | 739.14                |
|          |                                                                                                       | <u>11.09</u> | <u>0.42</u> | <u>18,609.09</u> | <u>- 13,203.07</u> | <u>5,406.02</u>       |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                               | Description<br>Asset ID (CUSIP)                              | Commission  | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|------------------------------------|--------------------------------------------------------------|-------------|-------------|------------------|--------------------|-----------------------|
| - Kite Pharma Inc Com<br>49803L709 |                                                              |             |             |                  |                    |                       |
| 02/02/16                           | Sold 100 Shs 01/28/16<br>To Penserra Securities<br>@ 45 5048 | 3 00        | 0 08        | 4,547 40         | - 7,734 47         | - 3,187 07            |
| 02/08/16                           | Sold 140 Shs 02/03/16<br>To Piper Jaffray Inc<br>@ 44 2334   | 5 60        | 0 12        | 6,186 96         | - 10,833 27        | - 4,646 31            |
|                                    |                                                              | <b>8.60</b> | <b>0.20</b> | <b>10,734.36</b> | <b>- 18,567.74</b> | <b>- 7,833.38</b>     |
| - Ldr Hldg Corp Com<br>50185U105   |                                                              |             |             |                  |                    |                       |
| 01/14/16                           | Sold 30 Shs 01/11/16<br>To Piper Jaffray Inc.<br>@ 22 5646   | 1 20        | 0 02        | 675.72           | - 1,135 50         | - 459.78              |
| 01/19/16                           | Sold 65 Shs 01/13/16<br>To Piper Jaffray Inc.<br>@ 20 4279   | 2 60        | 0 03        | 1,325.18         | - 2,457 43         | - 1,132.25            |
| 01/20/16                           | Sold 125 Shs 01/14/16<br>To Piper Jaffray Inc<br>@ 20 4814   | 5 00        | 0 05        | 2,555 13         | - 5,188.32         | - 2,633 19            |
| 01/21/16                           | Sold 45 Shs 01/15/16<br>To Piper Jaffray Inc<br>@ 20 5777    | 1 80        | 0 02        | 924 18           | - 1,928 30         | - 1,004 12            |
| 01/27/16                           | Sold 117 Shs 01/22/16<br>To R W Baird & Co<br>@ 19 5402      | 4 68        | 0 05        | 2,281 47         | - 5,059 91         | - 2,778 44            |
| 06/10/16                           | Sold 90 Shs 06/07/16<br>To Piper Jaffray Inc<br>@ 36 9919    | 3 60        | 0 08        | 3,325 59         | - 2,490 31         | 835 28                |
| 06/13/16                           | Sold 265 Shs 06/08/16<br>To Instinet<br>@ 36 9592            | 3 97        | 0 22        | 9,790.00         | - 7,214.40         | 2,575 60              |
| 06/20/16                           | Sold 30 Shs 06/15/16<br>To Instinet<br>@ 37 0285             | 0 45        | 0 03        | 1,110.38         | - 830 71           | 279.67                |
| 07/06/16                           | Sold 105 Shs 06/30/16<br>To Instinet<br>@ 36 9416            | 1 57        | 0 09        | 3,877 21         | - 2,955 48         | 921 73                |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                     | Commission | Other Costs | Net Cash  | Cost       | Realized<br>Gain/Loss |
|----------|---------------------------------------------------------------------|------------|-------------|-----------|------------|-----------------------|
|          | - Lendingtree Inc New Com<br>52603B107                              | 24.87      | 0.59        | 25,864.86 | -29,260.36 | -3,395.50             |
| 01/19/16 | Sold 30 Shs 01/13/16<br>To Instinet<br>@ 62 6741                    | 0.45       | 0.04        | 1,879.73  | -1,866.41  | 13.32                 |
| 02/05/16 | Sold 115 Shs 02/02/16<br>To Piper Jaffray Inc<br>@ 67.1603          | 4.60       | 0.15        | 7,718.68  | -12,528.82 | -4,810.14             |
| 02/08/16 | Sold 25 Shs 02/03/16<br>To Instinet<br>@ 62 9957                    | 0.37       | 0.03        | 1,574.49  | -3,348.25  | -1,773.76             |
| 03/15/16 | Sold 70 Shs 03/10/16<br>To Instinet<br>@ 81 3457                    | 1.05       | 0.13        | 5,693.02  | -9,202.23  | -3,509.21             |
| 04/15/16 | Sold 40 Shs 04/12/16<br>To William Blair & Company LLC<br>@ 93 4541 | 1.60       | 0.09        | 3,736.47  | -4,589.89  | -853.42               |
| 04/29/16 | Sold 35 Shs 04/26/16<br>To Instinet<br>@ 89 2225                    | 0.53       | 0.07        | 3,122.19  | -3,784.88  | -662.69               |
| 05/03/16 | Sold 25 Shs 04/28/16<br>To Instinet<br>@ 87 433                     | 0.62       | 0.05        | 2,185.16  | -2,399.97  | -214.81               |
| 05/09/16 | Sold 25 Shs 05/04/16<br>To Instinet<br>@ 82 1692                    | 0.38       | 0.05        | 2,053.80  | -2,784.80  | -731.00               |
| 05/11/16 | Sold 39 Shs 05/06/16<br>To William Blair & Company LLC<br>@ 75 5318 | 1.56       | 0.07        | 2,944.11  | -4,215.88  | -1,271.77             |
| 05/17/16 | Sold 40 Shs 05/12/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 67 1056 | 1.60       | 0.06        | 2,682.56  | -3,485.70  | -803.14               |
| 05/18/16 | Sold 38 Shs 05/13/16<br>To Instinet<br>@ 68 2215                    | 0.95       | 0.06        | 2,591.41  | -3,608.46  | -1,017.05             |
|          |                                                                     | 13.71      | 0.80        | 36,181.62 | -51,815.29 | -15,633.67            |

**Mercy Works FDN Advisory Research**

Account Number: 71-1084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                 | Commission   | Other Costs | Net Cash        | Cost              | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------------------------------|--------------|-------------|-----------------|-------------------|-----------------------|
| 10/04/16 | - Lexicon Pharmaceuticals Inc Com New<br>528872302                                              |              |             |                 |                   |                       |
|          | Sold 165 Shs 09/29/16<br>To Jefferies & Co<br>@ 17 8986                                         | 6.60         | 0.07        | 2,946.60        | - 2,605.39        | 341.21                |
|          | Sold 144 Shs 11/30/16<br>To Jefferies & Co<br>@ 15 3666                                         | 4.32         | 0.05        | 2,208.42        | - 2,301.35        | - 92.93               |
| 01/03/17 | Sold 186 Shs 12/28/16<br>To William Blair & Company LLC<br>@ 14.0755<br>Will Settle on 01/03/17 | 5.58         | 0.06        | 2,612.40        | - 3,064.76        | - 452.36              |
|          |                                                                                                 | <u>16.50</u> | <u>0.18</u> | <u>7,767.42</u> | <u>- 7,971.50</u> | <u>- 204.08</u>       |
| 06/07/16 | - Littlefuse Inc Com<br>537008104                                                               |              |             |                 |                   |                       |
|          | Sold 30 Shs 06/02/16<br>To Oppenheimer & Co Inc<br>@ 115 9665                                   | 1.20         | 0.08        | 3,477.72        | - 3,283.15        | 194.57                |
|          | Sold 45 Shs 06/27/16<br>To R W Baird & Co<br>@ 113.0891                                         | 1.80         | 0.12        | 5,087.09        | - 4,924.72        | 162.37                |
|          |                                                                                                 | <u>3.00</u>  | <u>0.20</u> | <u>8,564.81</u> | <u>- 8,207.87</u> | <u>356.94</u>         |
| 01/07/16 | - Logmein Inc Com<br>54142L109                                                                  |              |             |                 |                   |                       |
|          | Sold 60 Shs 01/04/16<br>To Oppenheimer & Co Inc<br>@ 61 988                                     | 2.40         | 0.07        | 3,716.81        | - 3,915.65        | - 198.84              |
|          | Sold 45 Shs 02/12/16<br>To Dain Rauscher Incorporated<br>@ 41 3257                              | 0.34         | 0.05        | 1,859.27        | - 2,966.36        | - 1,107.09            |
| 03/16/16 | Sold 65 Shs 03/11/16<br>To Piper Jaffray Inc.<br>@ 50 8684                                      | 2.60         | 0.08        | 3,303.77        | - 4,250.30        | - 946.53              |
| 03/31/16 | Sold 65 Shs 03/28/16<br>To Instinet<br>@ 49 1774                                                | 1.63         | 0.07        | 3,194.83        | - 4,228.75        | - 1,033.92            |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                                            | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|----------------------------------------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 04/08/16 | Sold 30 Shs 04/05/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 50 8202                                                        | 1 20         | 0 04        | 1,523 37         | - 1,979 89         | - 456 52              |
| 04/15/16 | Sold 65 Shs 04/12/16<br>To Piper Jaffray Inc.<br>@ 47 7725                                                                 | 2 60         | 0 07        | 3,102 54         | - 4,160 43         | - 1,057 89            |
| 12/06/16 | Sold 49 Shs 12/01/16<br>To Jefferies & Co<br>@ 96 761                                                                      | 1 47         | 0 11        | 4,739 71         | - 3,076 70         | 1,663 01              |
| 12/12/16 | Sold 44 Shs 12/07/16<br>To Jefferies & Co<br>@ 98 4236                                                                     | 1 32         | 0 10        | 4,329 22         | - 2,832 23         | 1,496 99              |
|          |                                                                                                                            | <u>13.56</u> | <u>0.59</u> | <u>25,769.52</u> | <u>- 27,410.31</u> | <u>- 1,640.79</u>     |
| 11/16/16 | - MGM Growth Pptys LLC Cl A Com<br>55303A105<br>Sold 223 Shs 11/10/16<br>To Oppenheimer & Co Inc<br>@ 24 458               | 6.69         | 0 12        | 5,447 32         | - 5,133 86         | 313.46                |
| 11/17/16 | Sold 143 Shs 11/14/16<br>To R W Baird & Co<br>@ 23 8814                                                                    | 4.29         | 0 08        | 3,410 67         | - 3,323.11         | 87.56                 |
|          |                                                                                                                            | <u>10.98</u> | <u>0.20</u> | <u>8,857.99</u>  | <u>- 8,456.97</u>  | <u>401.02</u>         |
| 02/11/16 | - Macom Technology Solutions Holdings,<br>Inc<br>55405Y100<br>Sold 110 Shs 02/08/16<br>To Barclays Capital Le<br>@ 34 2603 | 4 40         | 0 07        | 3,764.16         | - 3,883.83         | - 119.67              |
| 04/22/16 | Sold 60 Shs 04/19/16<br>To Jefferies & Co<br>@ 38 7963                                                                     | 2 40         | 0 06        | 2,325.32         | - 2,114.31         | 211 01                |
| 05/12/16 | Sold 35 Shs 05/09/16<br>To William Blair & Company LLC<br>@ 38 406                                                         | 1 40         | 0 03        | 1,342.78         | - 1,221 30         | 121.48                |
| 05/13/16 | Sold 25 Shs 05/10/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 38 1903                                                    | 1 00         | 0 03        | 953 73           | - 872.36           | 81 37                 |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                          | Commission | Other Costs | Net Cash | Cost      | Realized<br>Gain/Loss |
|----------|--------------------------------------------------------------------------|------------|-------------|----------|-----------|-----------------------|
| 05/17/16 | Sold 80 Shs 05/12/16<br>To Jefferies & Co<br>@ 36 9439                   | 3.20       | 0.07        | 2,952.24 | -2,829.75 | 122.49                |
| 05/24/16 | Sold 40 Shs 05/19/16<br>To Oppenheimer & Co Inc<br>@ 34 3618             | 1.60       | 0.03        | 1,372.84 | -1,425.57 | -52.73                |
| 06/07/16 | Sold 130 Shs 06/02/16<br>To Jefferies & Co<br>@ 36 6707                  | 5.20       | 0.11        | 4,761.88 | -4,766.93 | -5.05                 |
| 06/22/16 | Sold 75 Shs 06/17/16<br>To William Blair & Company LLC<br>@ 35 495       | 3.00       | 0.06        | 2,659.07 | -2,815.78 | -156.71               |
| 06/23/16 | Sold 90 Shs 06/20/16<br>To Oppenheimer & Co Inc<br>@ 35 043              | 3.60       | 0.07        | 3,150.20 | -3,256.32 | -106.12               |
| 06/24/16 | Sold 155 Shs 06/21/16<br>To Instinet<br>@ 34 3527                        | 3.87       | 0.12        | 5,320.68 | -5,530.38 | -209.70               |
| 06/27/16 | Sold 75 Shs 06/22/16<br>To Jefferies & Co<br>@ 33 7892                   | 3.00       | 0.06        | 2,531.13 | -2,802.67 | -271.54               |
| 06/29/16 | Sold 75 Shs 06/24/16<br>To Instinet<br>@ 32 8368                         | 1.87       | 0.06        | 2,460.83 | -2,806.94 | -346.11               |
| 06/30/16 | Sold 135 Shs 06/27/16<br>To Oppenheimer & Co Inc<br>@ 29 9843            | 5.40       | 0.09        | 4,042.39 | -5,167.87 | -1,125.48             |
| 09/26/16 | Sold 120 Shs 09/21/16<br>To R W Baird & Co<br>@ 40 1323                  | 4.80       | 0.11        | 4,810.97 | -4,689.06 | 121.91                |
| 09/27/16 | Sold 105 Shs 09/22/16<br>To R W Baird & Co<br>@ 40 9496                  | 4.20       | 0.10        | 4,295.41 | -4,305.20 | -9.79                 |
| 10/17/16 | Sold 98 Shs 10/12/16<br>To R W Baird & Co<br>@ 39 9141                   | 2.94       | 0.09        | 3,908.55 | -4,045.65 | -137.10               |
| 10/24/16 | Sold 104 Shs 10/19/16<br>To Oppenheimer & Co Inc<br>@ 38 2621            | 3.12       | 0.09        | 3,976.05 | -3,883.98 | 92.07                 |
| 11/01/16 | Sold 118 Shs 10/27/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 37 4614 | 3.54       | 0.10        | 4,416.81 | -4,571.14 | -154.33               |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                      | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|----------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 11/04/16 | Sold 35 Shs 11/01/16<br>To Jefferies & Co<br>@ 35 931                | 1.05         | 0.03        | 1,256.51         | - 1,364.41         | - 107.90              |
| 12/28/16 | Sold 160 Shs 12/22/16<br>To Jefferies & Co<br>@ 46 1938              | 4.80         | 0.17        | 7,386.04         | - 6,816.42         | 569.62                |
|          |                                                                      | <u>64.39</u> | <u>1.55</u> | <u>67,687.59</u> | <u>- 69,169.87</u> | <u>- 1,482.28</u>     |
|          | - Manhattan Assocs Inc Com<br>562750109                              |              |             |                  |                    |                       |
| 05/03/16 | Sold 70 Shs 04/28/16<br>To Jefferies & Co<br>@ 61 608                | 0.70         | 0.10        | 4,311.76         | - 4,736.82         | - 425.06              |
| 05/04/16 | Sold 45 Shs 04/29/16<br>To Instinet<br>@ 60.5326                     | 1.12         | 0.06        | 2,722.79         | - 3,035.14         | - 312.35              |
|          |                                                                      | <u>1.82</u>  | <u>0.16</u> | <u>7,034.55</u>  | <u>- 7,771.96</u>  | <u>- 737.41</u>       |
|          | - Matador Res Co Com<br>576485205                                    |              |             |                  |                    |                       |
| 01/08/16 | Sold 130 Shs 01/05/16<br>To William Blair & Company LLC<br>@ 18 6172 | 5.20         | 0.05        | 2,414.99         | - 3,496.66         | - 1,081.67            |
| 01/11/16 | Sold 115 Shs 01/06/16<br>To William Blair & Company LLC<br>@ 17 3577 | 4.60         | 0.04        | 1,991.50         | - 3,151.55         | - 1,160.05            |
| 01/12/16 | Sold 115 Shs 01/07/16<br>To Instinet<br>@ 16 5169                    | 1.72         | 0.04        | 1,897.68         | - 3,153.71         | - 1,256.03            |
|          |                                                                      | <u>11.52</u> | <u>0.13</u> | <u>6,304.17</u>  | <u>- 9,801.92</u>  | <u>- 3,497.75</u>     |
|          | - Maxlinear Inc Cl A<br>57776J100                                    |              |             |                  |                    |                       |
| 08/12/16 | Sold 125 Shs 08/09/16<br>To Instinet<br>@ 17 316                     | 1.87         | 0.05        | 2,162.58         | - 2,716.62         | - 554.04              |
| 08/17/16 | Sold 70 Shs 08/12/16<br>To Jefferies & Co<br>@ 17 9143               | 0.70         | 0.03        | 1,253.27         | - 1,548.47         | - 295.20              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                          | Commission | Other Costs | Net Cash  | Cost       | Realized<br>Gain/Loss |
|----------|--------------------------------------------------------------------------|------------|-------------|-----------|------------|-----------------------|
|          | - Medidata Solutions Inc Com<br>58471A105                                | 2.57       | 0.08        | 3,415.85  | -4,265.09  | -849.24               |
| 02/16/16 | Sold 230 Shs 02/10/16<br>To Dain Rauscher Incorporated<br>@ 32 1544      | 1.72       | 0.17        | 7,393.62  | -12,981.21 | -5,587.59             |
| 03/16/16 | Sold 85 Shs 03/11/16<br>To Oppenheimer & Co Inc<br>@ 36.3151             | 3.40       | 0.07        | 3,083.31  | -4,913.09  | -1,829.78             |
| 03/17/16 | Sold 50 Shs 03/14/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 36 525       | 2.00       | 0.04        | 1,824.21  | -2,815.89  | -991.68               |
| 04/05/16 | Sold 180 Shs 03/31/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 38 9114 | 7.20       | 0.16        | 6,996.69  | -9,060.79  | -2,064.10             |
| 10/24/16 | Sold 35 Shs 10/19/16<br>To R W Baird & Co<br>@ 49 5702                   | 1.05       | 0.04        | 1,733.87  | -1,897.82  | -163.95               |
| 10/25/16 | Sold 70 Shs 10/20/16<br>To Oppenheimer & Co Inc<br>@ 49 1028             | 2.10       | 0.08        | 3,435.02  | -3,795.45  | -360.43               |
| 10/31/16 | Sold 35 Shs 10/26/16<br>To Jefferies & Co<br>@ 48 0627                   | 1.05       | 0.04        | 1,681.10  | -1,899.19  | -218.09               |
| 11/08/16 | Sold 67 Shs 11/03/16<br>To R W Baird & Co<br>@ 46.6258                   | 2.01       | 0.07        | 3,121.85  | -3,652.15  | -530.30               |
| 11/16/16 | Sold 119 Shs 11/11/16<br>To Jefferies & Co<br>@ 53 2375                  | 3.57       | 0.14        | 6,331.55  | -6,463.56  | -132.01               |
| 11/22/16 | Sold 76 Shs 11/17/16<br>To Penseira Securities<br>@ 55 452               | 2.28       | 0.10        | 4,211.97  | -4,135.26  | 76.71                 |
| 12/12/16 | Sold 77 Shs 12/07/16<br>To Jefferies & Co<br>@ 52 8238                   | 2.31       | 0.09        | 4,065.03  | -4,300.74  | -235.71               |
|          |                                                                          | 28.69      | 1.00        | 43,878.22 | -55,915.15 | -12,036.93            |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                              | Commission   | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|----------|--------------------------------------------------------------------------------------------------------------|--------------|-------------|------------------|-------------------|-----------------------|
| 01/14/16 | - Nektar Therapeutics Com<br>640268108<br>Sold 240 Shs 01/11/16<br>To Insinet<br>@ 13 8344                   | 3.60         | 0.07        | 3,316.59         | -3,309.05         | 7.54                  |
| 02/05/16 | Sold 265 Shs 02/02/16<br>To William Blair & Company LLC<br>@ 12 3039                                         | 10.60        | 0.06        | 3,249.87         | -3,624.26         | -374.39               |
| 05/11/16 | Sold 80 Shs 05/06/16<br>To William Blair & Company LLC<br>@ 13 2561                                          | 3.20         | 0.03        | 1,057.26         | -1,076.81         | -19.55                |
| 06/30/16 | Sold 155 Shs 06/27/16<br>To William Blair & Company LLC<br>@ 13 4018                                         | 6.20         | 0.05        | 2,071.03         | -2,090.84         | -19.81                |
| 10/28/16 | Sold 141 Shs 10/25/16<br>To R W Baird & Co<br>@ 12 8259                                                      | 4.23         | 0.04        | 1,804.18         | -1,983.96         | -179.78               |
| 12/01/16 | Sold 68 Shs 11/28/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 12 8802                                          | 2.04         | 0.02        | 873.79           | -1,010.88         | -137.09               |
| 12/05/16 | Sold 170 Shs 11/30/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 12 2574                                         | 5.10         | 0.05        | 2,078.61         | -2,527.21         | -448.60               |
| 12/06/16 | Sold 68 Shs 12/01/16<br>To Canaccord Genuity Inc.<br>@ 11 9561                                               | 2.04         | 0.02        | 810.95           | -1,010.88         | -199.93               |
|          |                                                                                                              | <b>37.01</b> | <b>0.34</b> | <b>15,262.28</b> | <b>-16,633.89</b> | <b>-1,371.61</b>      |
| 01/29/16 | - Netsuite Inc Com<br>64118Q107<br>Sold 44 Shs 01/26/16<br>To Deutsche Bank Securities Inc.<br>@ 66 5529     | 1.76         | 0.05        | 2,926.52         | -1,437.11         | 1,489.41              |
| 01/14/16 | - Neurocrine Biosciences Inc Com<br>64125C109<br>Sold 110 Shs 01/11/16<br>To Piper Jaffray Inc.<br>@ 44 1749 | 4.40         | 0.09        | 4,854.75         | -5,629.35         | -774.60               |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                      | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|------------------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 02/05/16 | Sold 115 Shs 02/02/16<br>To Dain Rauscher Incorporated<br>@ 39 7713                                  | 0 86         | 0 09        | 4,572 75         | - 5,361 79         | - 789 04              |
| 03/09/16 | Sold 145 Shs 03/04/16<br>To Instinet<br>@ 35 5436                                                    | 3 62         | 0 12        | 5,150 08         | - 6,517 70         | - 1,367 62            |
| 03/14/16 | Sold 150 Shs 03/09/16<br>To Piper Jaffray Inc.<br>@ 33 3347                                          | 6 00         | 0 11        | 4,994 10         | - 7,080 22         | - 2,086 12            |
| 05/02/16 | Sold 90 Shs 04/27/16<br>To Instinet<br>@ 46 3976                                                     | 2 25         | 0 10        | 4,173 43         | - 3,254 20         | 919.23                |
| 06/01/16 | Sold 65 Shs 05/26/16<br>To Instinet<br>@ 45 4608                                                     | 0 97         | 0 07        | 2,953.91         | - 2,406.10         | 547 81                |
| 06/24/16 | Sold 85 Shs 06/21/16<br>To Instinet<br>@ 42 4019                                                     | 1.28         | 0.08        | 3,602.80         | - 3,215.69         | 387.11                |
| 10/12/16 | Sold 103 Shs 10/06/16<br>To William Blair & Company LLC<br>@ 47 7482                                 | 3 09         | 0 11        | 4,914 86         | - 3,870 39         | 1,044.47              |
| 10/13/16 | Sold 33 Shs 10/07/16<br>To Canaccord Genuity Inc<br>@ 46 8853                                        | 0 99         | 0 04        | 1,546.18         | - 1,239 26         | 306.92                |
| 10/14/16 | Sold 160 Shs 10/11/16<br>To Jefferies & Co<br>@ 45 0197                                              | 4.80         | 0 16        | 7,198 19         | - 6,831 78         | 366.41                |
|          |                                                                                                      | <b>28.26</b> | <b>0.97</b> | <b>43,961.05</b> | <b>- 45,406.48</b> | <b>- 1,445.43</b>     |
| 01/25/16 | - Neuro Corp Com<br>64157F103<br>Sold 40 Shs 01/20/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 61 2456 | 1.60         | 0.05        | 2,448.17         | - 1,972.82         | 475.35                |
| 02/01/16 | Sold 85 Shs 01/27/16<br>To Piper Jaffray Inc<br>@ 61 5896                                            | 3.40         | 0.10        | 5,231 62         | - 4,165 02         | 1,066 60              |
| 03/14/16 | Sold 55 Shs 03/09/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 54 2561                                  | 2.20         | 0.07        | 2,981.82         | - 2,932.17         | 49 65                 |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                      | Commission | Other Costs | Net Cash | Cost      | Realized<br>Gain/Loss |
|----------|----------------------------------------------------------------------|------------|-------------|----------|-----------|-----------------------|
| 04/27/16 | Sold 20 Shs 04/22/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 66 7962  | 0.80       | 0.03        | 1,335.09 | -1,065.01 | 270.08                |
| 06/15/16 | Sold 85 Shs 06/10/16<br>To William Blair & Company LLC<br>@ 73 3115  | 3.40       | 0.14        | 6,227.94 | -4,430.41 | 1,797.53              |
| 06/28/16 | Sold 30 Shs 06/23/16<br>To Instinet<br>@ 73 8843                     | 0.45       | 0.05        | 2,216.03 | -1,515.31 | 700.72                |
| 07/06/16 | Sold 20 Shs 06/30/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 73 1571  | 0.80       | 0.04        | 1,462.30 | -1,014.54 | 447.76                |
| 07/14/16 | Sold 55 Shs 07/11/16<br>To William Blair & Company LLC<br>@ 79 6724  | 2.20       | 0.10        | 4,379.68 | -2,806.96 | 1,572.72              |
| 07/15/16 | Sold 40 Shs 07/12/16<br>To William Blair & Company LLC<br>@ 77 788   | 1.60       | 0.07        | 3,109.85 | -2,046.96 | 1,062.89              |
| 07/26/16 | Sold 65 Shs 07/21/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 79 8427  | 2.60       | 0.12        | 5,187.06 | -3,345.00 | 1,842.06              |
| 08/01/16 | Sold 25 Shs 07/27/16<br>To William Blair & Company LLC<br>@ 80 7548  | 1.00       | 0.05        | 2,017.82 | -1,356.80 | 661.02                |
| 08/10/16 | Sold 45 Shs 08/05/16<br>To R W Baird & Co<br>@ 81 2793               | 1.80       | 0.08        | 3,655.69 | -2,478.66 | 1,177.03              |
| 08/22/16 | Sold 35 Shs 08/17/16<br>To Canaccord Genuity Inc.<br>@ 95 6921       | 1.40       | 0.07        | 3,347.75 | -1,930.13 | 1,417.62              |
| 08/29/16 | Sold 35 Shs 08/24/16<br>To Oppenheimer & Co Inc<br>@ 94 5755         | 1.40       | 0.08        | 3,308.66 | -1,845.58 | 1,463.08              |
| 10/04/16 | Sold 30 Shs 09/29/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 102 5024 | 1.20       | 0.07        | 3,076.80 | -1,499.39 | 1,577.41              |
| 10/05/16 | Sold 40 Shs 09/30/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 104 4743 | 1.60       | 0.10        | 4,177.27 | -1,859.34 | 2,317.93              |
| 10/06/16 | Sold 73 Shs 10/03/16<br>To William Blair & Company LLC<br>@ 101.6962 | 2.19       | 0.17        | 7,421.46 | -3,458.37 | 3,963.09              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                  | Commission   | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|----------|--------------------------------------------------------------------------------------------------|--------------|-------------|------------------|-------------------|-----------------------|
| 10/31/16 | Sold 28 Shs 10/26/16<br>To R W Baird & Co<br>@ 90 719                                            | 0.84         | 0.06        | 2,539.23         | -1,452.27         | 1,086.96              |
| 12/05/16 | Sold 43 Shs 11/30/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 75 7579                              | 1.29         | 0.08        | 3,256.22         | -2,208.49         | 1,047.73              |
| 12/12/16 | Sold 61 Shs 12/07/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 77 7166                              | 1.83         | 0.11        | 4,738.77         | -3,059.31         | 1,679.46              |
| 12/14/16 | Sold 50 Shs 12/09/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 76.858                               | 1.50         | 0.09        | 3,841.31         | -2,370.34         | 1,470.97              |
| 12/15/16 | Sold 33 Shs 12/12/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 76.0636                              | 0.99         | 0.06        | 2,509.05         | -1,592.19         | 916.86                |
| 12/27/16 | Sold 34 Shs 12/21/16<br>To William Blair & Company LLC<br>@ 70 6334                              | 1.02         | 0.06        | 2,400.46         | -1,713.54         | 686.92                |
|          |                                                                                                  | <b>37.11</b> | <b>1.85</b> | <b>80,870.05</b> | <b>-52,118.61</b> | <b>28,751.44</b>      |
| 01/14/16 | - New Relic Inc Com<br>64829B700<br>Sold 80 Shs 01/11/16<br>To Oppenheimer & Co Inc<br>@ 33 7555 | 3.20         | 0.05        | 2,697.19         | -2,859.35         | -162.16               |
| 01/21/16 | Sold 90 Shs 01/15/16<br>To William Blair & Company LLC<br>@ 30 5305                              | 3.60         | 0.06        | 2,744.09         | -3,265.52         | -521.43               |
| 01/26/16 | Sold 45 Shs 01/21/16<br>To Stifel Nicolaus & Co<br>@ 28 8414                                     | 1.80         | 0.03        | 1,296.03         | -1,599.94         | -303.91               |
| 01/28/16 | Sold 90 Shs 01/25/16<br>To Raymond James & Associates<br>@ 28 8042                               | 3.60         | 0.05        | 2,588.73         | -3,328.51         | -739.78               |
| 01/29/16 | Sold 90 Shs 01/26/16<br>To William Blair & Company LLC<br>@ 28 5643                              | 3.60         | 0.05        | 2,567.14         | -3,331.09         | -763.95               |
| 02/01/16 | Sold 100 Shs 01/27/16<br>To William Blair & Company LLC<br>@ 28 0613                             | 4.00         | 0.06        | 2,802.07         | -3,670.71         | -868.64               |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                         | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 02/10/16 | Sold 40 Shs 02/05/16<br>To Jefferies & Co<br>@ 23 5198                  | 0.40         | 0.02        | 940.37           | - 1,428.07         | - 487.70              |
| 03/16/16 | Sold 11 Shs 03/11/16<br>To William Blair & Company LLC<br>@ 26 5684     | 0.44         | 0.01        | 291.80           | - 392.72           | - 100.92              |
| 04/15/16 | Sold 70 Shs 04/12/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 24 3504 | 2.80         | 0.04        | 1,701.69         | - 2,643.32         | - 941.63              |
| 05/12/16 | Sold 95 Shs 05/09/16<br>To Oppenheimer & Co Inc<br>@ 25.1602            | 3.80         | 0.06        | 2,386.36         | - 3,587.36         | - 1,201.00            |
| 06/30/16 | Sold 135 Shs 06/27/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 27 5706    | 5.40         | 0.09        | 3,716.54         | - 5,077.12         | - 1,360.58            |
| 11/04/16 | Sold 69 Shs 11/01/16<br>To R W Baird & Co<br>@ 35 4592                  | 2.07         | 0.06        | 2,444.55         | - 2,393.93         | 50.62                 |
| 11/30/16 | Sold 125 Shs 11/25/16<br>To William Blair & Company LLC<br>@ 31 9782    | 3.75         | 0.09        | 3,993.44         | - 4,443.06         | - 449.62              |
| 11/30/16 | Sold 60 Shs 11/23/16<br>To Morgan Stanley & Co<br>@ 32 4411             | 1.80         | 0.05        | 1,944.62         | - 2,123.30         | - 178.68              |
| 12/01/16 | Sold 190 Shs 11/28/16<br>To Jefferies & Co<br>@ 31 0028                 | 5.70         | 0.13        | 5,884.70         | - 6,812.07         | - 927.37              |
| 12/06/16 | Sold 40 Shs 12/01/16<br>To Jefferies & Co<br>@ 29 4768                  | 1.20         | 0.03        | 1,177.84         | - 1,510.60         | - 332.76              |
| 12/22/16 | Sold 70 Shs 12/19/16<br>To Jefferies & Co<br>@ 28 7471                  | 2.10         | 0.05        | 2,010.15         | - 2,655.74         | - 645.59              |
| 12/23/16 | Sold 87 Shs 12/20/16<br>To William Blair & Company LLC<br>@ 28 1558     | 2.61         | 0.06        | 2,446.88         | - 3,404.69         | - 957.81              |
|          |                                                                         | <b>51.87</b> | <b>0.99</b> | <b>43,634.19</b> | <b>- 54,527.10</b> | <b>- 10,892.91</b>    |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                                  | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|------------------------------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 10/26/16 | - Nxstage Medical Inc Com<br>67072V103<br>Sold 50 Shs 10/21/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 23.415 | 1.50         | 0.03        | 1,169.22         | - 1,054.64         | 114.58                |
| 10/28/16 | Sold 198 Shs 10/25/16<br>To Canaccord Genuity Inc<br>@ 23.4297                                                   | 5.94         | 0.11        | 4,633.03         | - 4,150.34         | 482.69                |
| 11/17/16 | Sold 193 Shs 11/14/16<br>To Canaccord Genuity Inc.<br>@ 26.0181                                                  | 5.79         | 0.11        | 5,015.59         | - 4,083.65         | 931.94                |
| 12/06/16 | Sold 96 Shs 12/01/16<br>To Canaccord Genuity Inc.<br>@ 23.9251                                                   | 2.88         | 0.06        | 2,293.87         | - 1,863.93         | 429.94                |
| 12/07/16 | Sold 53 Shs 12/02/16<br>To Jefferies & Co<br>@ 23.8856                                                           | 1.59         | 0.03        | 1,264.32         | - 1,019.86         | 244.46                |
|          |                                                                                                                  | <u>17.70</u> | <u>0.34</u> | <u>14,376.03</u> | <u>- 12,172.42</u> | <u>2,203.61</u>       |
| 10/14/16 | - Oclaro Inc Com New<br>67555N206<br>Sold 509 Shs 10/11/16<br>To Citation Group/Bcc Clrg<br>@ 8.3485             | 10.18        | 0.10        | 4,239.11         | - 4,243.38         | - 4.27                |
| 11/01/16 | Sold 221 Shs 10/27/16<br>To Instinet<br>@ 7.6558                                                                 | 1.11         | 0.04        | 1,690.78         | - 1,842.41         | - 151.63              |
| 12/19/16 | Sold 869 Shs 12/14/16<br>To Instinet<br>@ 8.2241                                                                 | 4.35         | 0.16        | 7,142.23         | - 7,406.28         | - 264.05              |
|          |                                                                                                                  | <u>15.64</u> | <u>0.30</u> | <u>13,072.12</u> | <u>- 13,492.07</u> | <u>- 419.95</u>       |
| 03/14/16 | - Ophthotech Corp Com<br>683745103<br>Sold 100 Shs 03/09/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 41.3698   | 4.00         | 0.10        | 4,132.88         | - 7,403.75         | - 3,270.87            |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                              | Commission   | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|----------|----------------------------------------------------------------------------------------------|--------------|-------------|------------------|-------------------|-----------------------|
| 03/23/16 | Sold 30 Shs 03/18/16<br>To William Blair & Company LLC<br>@ 39 6268                          | 1 20         | 0 03        | 1,187 57         | -2,221 13         | -1,033.56             |
| 10/05/16 | Sold 80 Shs 09/30/16<br>To Penserra Securities<br>@ 46 5509                                  | 2.40         | 0 08        | 3,721.59         | -6,041.69         | -2,320.10             |
| 10/12/16 | Sold 33 Shs 10/06/16<br>To Canaccord Genuity Inc<br>@ 43 6561                                | 0 99         | 0 04        | 1,439 62         | -1,511 50         | -71.88                |
| 10/13/16 | Sold 53 Shs 10/07/16<br>To Penserra Securities<br>@ 43 1489                                  | 1 59         | 0 05        | 2,285 25         | -2,575 33         | -290 08               |
| 10/14/16 | Sold 49 Shs 10/11/16<br>To Canaccord Genuity Inc<br>@ 42.5904                                | 1 47         | 0 05        | 2,085.41         | -2,384 34         | -298.93               |
| 10/19/16 | Sold 145 Shs 10/14/16<br>To Jefferies & Co<br>@ 40 1269                                      | 4.35         | 0.13        | 5,813 92         | -7,693.19         | -1,879.27             |
|          |                                                                                              | <u>16.00</u> | <u>0.48</u> | <u>20,666.24</u> | <u>-29,830.93</u> | <u>-9,164.69</u>      |
| 10/28/16 | Orbcomm Inc Com<br>68555P100<br>Sold 49 Shs 10/25/16<br>To Canaccord Genuity Inc<br>@ 9 0105 | 1 47         | 0 01        | 440 03           | -535.38           | -95.35                |
| 11/04/16 | Sold 118 Shs 11/01/16<br>To Canaccord Genuity Inc.<br>@ 8.5422                               | 3 54         | 0 03        | 1,004.41         | -1,289.28         | -284 87               |
| 11/16/16 | Sold 238 Shs 11/10/16<br>To Oppenheimer & Co Inc<br>@ 8 1996                                 | 7 14         | 0 05        | 1,944.31         | -2,597 40         | -653.09               |
| 11/17/16 | Sold 178 Shs 11/14/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 8 4165                      | 5 34         | 0.04        | 1,492.76         | -1,929.80         | -437 04               |
| 11/22/16 | Sold 50 Shs 11/17/16<br>To Oppenheimer & Co Inc<br>@ 8 4442                                  | 1 50         | 0 01        | 420 70           | -542.49           | -121 79               |
| 11/25/16 | Sold 51 Shs 11/21/16<br>To Oppenheimer & Co Inc<br>@ 8 5237                                  | 1.53         | 0 01        | 433 17           | -553.35           | -120 18               |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                  | Commission   | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|----------|--------------------------------------------------------------------------------------------------|--------------|-------------|------------------|-------------------|-----------------------|
| 11/28/16 | Sold 50 Shs 11/22/16<br>To Oppenheimer & Co Inc<br>@ 8 6653                                      | 1.50         | 0.01        | 431.76           | -542.49           | -110.73               |
| 11/29/16 | Sold 50 Shs 11/23/16<br>To Oppenheimer & Co Inc<br>@ 8 7607                                      | 1.50         | 0.01        | 436.53           | -538.77           | -102.24               |
| 12/05/16 | Sold 144 Shs 11/30/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 8 4489                          | 4.32         | 0.03        | 1,212.29         | -1,502.65         | -290.36               |
| 12/06/16 | Sold 95 Shs 12/01/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 8 1884                           | 2.85         | 0.02        | 775.03           | -991.53           | -216.50               |
| 12/07/16 | Sold 73 Shs 12/02/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 8 3032                           | 2.19         | 0.02        | 603.92           | -761.98           | -158.06               |
| 12/08/16 | Sold 107 Shs 12/05/16<br>To Oppenheimer & Co Inc<br>@ 8 3514                                     | 3.21         | 0.02        | 890.37           | -1,121.93         | -231.56               |
|          |                                                                                                  | <b>36.09</b> | <b>0.26</b> | <b>10,085.28</b> | <b>-12,907.05</b> | <b>-2,821.77</b>      |
| 01/14/16 | - Oxford Inds Inc Com<br>691497309<br>Sold 20 Shs 01/11/16<br>To Piper Jaffray Inc.<br>@ 55 0852 | 0.80         | 0.03        | 1,100.87         | -1,772.30         | -671.43               |
| 01/21/16 | Sold 60 Shs 01/15/16<br>To Piper Jaffray Inc.<br>@ 59.7998                                       | 2.40         | 0.07        | 3,585.52         | -5,319.55         | -1,734.03             |
| 03/09/16 | Sold 50 Shs 03/04/16<br>To Piper Jaffray Inc.<br>@ 76 6899                                       | 2.00         | 0.09        | 3,832.41         | -4,375.19         | -542.78               |
| 03/14/16 | Sold 35 Shs 03/09/16<br>To William Blair & Company LLC<br>@ 74 3465                              | 1.40         | 0.06        | 2,600.67         | -2,337.38         | 263.29                |
| 03/15/16 | Sold 20 Shs 03/10/16<br>To Piper Jaffray Inc.<br>@ 73 8161                                       | 0.80         | 0.04        | 1,475.48         | -1,335.64         | 139.84                |
| 04/06/16 | Sold 35 Shs 04/01/16<br>To Piper Jaffray Inc.<br>@ 66 3647                                       | 1.40         | 0.06        | 2,321.30         | -2,391.87         | -70.57                |

## Mercy Works FDN Advisory Research

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

## Sales

| Date     | Description<br>Asset ID (CUSIP)                                                                  | Commission   | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|----------|--------------------------------------------------------------------------------------------------|--------------|-------------|------------------|-------------------|-----------------------|
| 04/07/16 | Sold 40 Shs 04/04/16<br>To Piper Jaffray Inc<br>@ 64 7359                                        | 1.60         | 0.06        | 2,587.78         | -2,711.46         | -123.68               |
| 04/08/16 | Sold 15 Shs 04/05/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 63 0031                              | 0.60         | 0.03        | 944.42           | -1,005.59         | -61.17                |
| 04/11/16 | Sold 60 Shs 04/06/16<br>To R W Baird & Co<br>@ 62 4218                                           | 2.40         | 0.09        | 3,742.82         | -4,167.72         | -424.90               |
| 04/14/16 | Sold 30 Shs 04/11/16<br>To Piper Jaffray Inc<br>@ 58 5157                                        | 1.20         | 0.04        | 1,754.23         | -2,179.14         | -424.91               |
| 04/15/16 | Sold 30 Shs 04/12/16<br>To Piper Jaffray Inc.<br>@ 59.5129                                       | 1.20         | 0.04        | 1,784.15         | -2,179.13         | -394.98               |
|          |                                                                                                  | <u>15.80</u> | <u>0.61</u> | <u>25,729.65</u> | <u>-29,774.97</u> | <u>-4,045.32</u>      |
| 01/14/16 | - Paycom Software Inc Com<br>70432V102<br>Sold 60 Shs 01/11/16<br>To Jefferies & Co<br>@ 32 6937 | 2.40         | 0.04        | 1,959.18         | -2,177.62         | -218.44               |
| 01/25/16 | Sold 95 Shs 01/20/16<br>To William Blair & Company LLC<br>@ 29.7622                              | 3.80         | 0.06        | 2,823.55         | -3,389.83         | -566.28               |
| 02/01/16 | Sold 30 Shs 01/27/16<br>To R W Baird & Co<br>@ 28 7572                                           | 1.20         | 0.02        | 861.50           | -1,065.61         | -204.11               |
| 02/02/16 | Sold 75 Shs 01/28/16<br>To Piper Jaffray Inc.<br>@ 28.9273                                       | 3.00         | 0.04        | 2,166.51         | -2,671.93         | -505.42               |
| 02/11/16 | Sold 55 Shs 02/08/16<br>To William Blair & Company LLC<br>@ 23 2831                              | 2.20         | 0.03        | 1,278.34         | -1,952.73         | -674.39               |
| 06/07/16 | Sold 55 Shs 06/02/16<br>To William Blair & Company LLC<br>@ 40 8825                              | 2.20         | 0.05        | 2,246.29         | -1,951.59         | 294.70                |
| 11/08/16 | Sold 103 Shs 11/03/16<br>To Citation Group/Bcc Clrg<br>@ 43 0511                                 | 2.06         | 0.10        | 4,432.10         | -3,694.21         | 737.89                |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                         | Commission   | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|----------|---------------------------------------------------------------------------------------------------------|--------------|-------------|------------------|-------------------|-----------------------|
| 11/15/16 | Sold 312 Shs 11/09/16<br>To Oppenheimer & Co Inc<br>@ 42 0019                                           | 9.36         | 0.29        | 13,094.94        | -12,037.14        | 1,057.80              |
| 11/16/16 | Sold 82 Shs 11/10/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 40 004                                  | 2.46         | 0.08        | 3,277.79         | -3,084.63         | 193.16                |
| 11/29/16 | Sold 57 Shs 11/23/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 42 9398                                     | 1.71         | 0.06        | 2,445.80         | -2,431.13         | 14.67                 |
| 12/07/16 | Sold 50 Shs 12/02/16<br>To William Blair & Company LLC<br>@ 43 1673                                     | 1.50         | 0.05        | 2,156.82         | -2,137.25         | 19.57                 |
|          |                                                                                                         | <b>31.89</b> | <b>0.82</b> | <b>36,742.82</b> | <b>-36,593.67</b> | <b>149.15</b>         |
| 08/17/16 | - Penumbra Inc Com<br>70975L107<br>Sold 20 Shs 08/12/16<br>To Instinet<br>@ 74 208                      | 0.50         | 0.04        | 1,483.62         | -960.20           | 523.42                |
| 08/23/16 | Sold 15 Shs 08/18/16<br>To Instinet<br>@ 73 7784                                                        | 0.23         | 0.03        | 1,106.42         | -757.34           | 349.08                |
| 11/08/16 | Sold 65 Shs 11/03/16<br>To Canaccord Genuity Inc<br>@ 60 3061                                           | 1.95         | 0.09        | 3,917.86         | -3,281.80         | 636.06                |
| 12/05/16 | Sold 72 Shs 11/30/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 62 121                                      | 2.16         | 0.10        | 4,470.45         | -3,365.43         | 1,105.02              |
| 12/23/16 | Sold 105 Shs 12/20/16<br>To Jefferies & Co<br>@ 63 4936                                                 | 3.15         | 0.15        | 6,663.53         | -4,744.04         | 1,919.49              |
|          |                                                                                                         | <b>7.99</b>  | <b>0.41</b> | <b>17,641.88</b> | <b>-13,108.81</b> | <b>4,533.07</b>       |
| 06/27/16 | - Planet Fitness Inc Cl A<br>72703H101<br>Sold 80 Shs 06/22/16<br>To Canaccord Genuity Inc<br>@ 17 0096 | 3.20         | 0.03        | 1,357.54         | -1,337.32         | 20.22                 |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                                        | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|------------------------------------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 09/12/16 | Sold 30 Shs 09/07/16<br>To R W Baird & Co<br>@ 21 2802                                                                 | 1 20         | 0.02        | 637 19           | - 501 50           | 135 69                |
|          |                                                                                                                        | <u>4.40</u>  | <u>0.05</u> | <u>1,994.73</u>  | <u>- 1,838.82</u>  | <u>155.91</u>         |
| 01/14/16 | - Portola Pharmaceuticals Inc Com<br>737010108<br>Sold 110 Shs 01/11/16<br>To William Blair & Company LLC<br>@ 39.1146 | 4 40         | 0.08        | 4,298 13         | - 5,205 75         | - 907 62              |
| 03/30/16 | Sold 460 Shs 03/24/16<br>To Instinet<br>@ 20 5036                                                                      | 6 90         | 0.21        | 9,424.55         | - 20,163 30        | - 10,738.75           |
|          |                                                                                                                        | <u>11.30</u> | <u>0.29</u> | <u>13,722.68</u> | <u>- 25,369.05</u> | <u>- 11,646.37</u>    |
| 02/03/16 | - Proofpoint Inc Com<br>743424103<br>Sold 40 Shs 01/29/16<br>To William Blair & Company LLC<br>@ 50.0357               | 1 60         | 0 04        | 1,999 79         | - 2,726 12         | - 726 33              |
| 04/15/16 | Sold 80 Shs 04/12/16<br>To William Blair & Company LLC<br>@ 47 7337                                                    | 3.20         | 0 09        | 3,815 41         | - 5,519 41         | - 1,704 00            |
| 11/17/16 | Sold 60 Shs 11/14/16<br>To William Blair & Company LLC<br>@ 82.0573                                                    | 1 80         | 0 11        | 4,921 53         | - 4,246 61         | 674.92                |
| 12/15/16 | Sold 41 Shs 12/12/16<br>To William Blair & Company LLC<br>@ 70.3705                                                    | 1 23         | 0 07        | 2,883.89         | - 2,906 90         | - 23.01               |
| 12/27/16 | Sold 102 Shs 12/21/16<br>To Jefferies & Co<br>@ 70.5415                                                                | 3.06         | 0 16        | 7,192.01         | - 7,259.49         | - 67 48               |
|          |                                                                                                                        | <u>10.89</u> | <u>0.47</u> | <u>20,812.63</u> | <u>- 22,658.53</u> | <u>- 1,845.90</u>     |
| 05/03/16 | - Proto Labs Inc Com<br>743713109<br>Sold 30 Shs 04/28/16<br>To Instinet<br>@ 63 7209                                  | 0 75         | 0 05        | 1,910 83         | - 2,338 86         | - 428 03              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                           | Commission  | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------------------------|-------------|-------------|------------------|--------------------|-----------------------|
| 06/29/16 | Sold 30 Shs 06/24/16<br>To Canaccord Genuity Inc<br>@ 56 8249                             | 1.20        | 0.04        | 1,703.51         | - 2,332.23         | - 628.72              |
| 08/01/16 | Sold 35 Shs 07/27/16<br>To R W Baird & Co<br>@ 61 0305                                    | 1.40        | 0.05        | 2,134.62         | - 2,727.39         | - 592.77              |
| 08/02/16 | Sold 135 Shs 07/28/16<br>To Instinet<br>@ 53 3027                                         | 2.02        | 0.16        | 7,193.68         | - 10,718.84        | - 3,525.16            |
|          |                                                                                           | <u>5.37</u> | <u>0.30</u> | <u>12,942.64</u> | <u>- 18,117.32</u> | <u>- 5,174.68</u>     |
| 05/17/16 | - Q2 Hldgs Inc Com<br>74736L109<br>Sold 75 Shs 05/12/16<br>To Jefferies & Co<br>@ 23 0708 | 3.00        | 0.04        | 1,727.27         | - 1,807.28         | - 80.01               |
| 07/14/16 | Sold 55 Shs 07/11/16<br>To William Blair & Company LLC<br>@ 28 0507                       | 2.20        | 0.04        | 1,540.55         | - 1,321.25         | 219.30                |
| 07/15/16 | Sold 135 Shs 07/12/16<br>To R W Baird & Co<br>@ 28 0492                                   | 5.40        | 0.09        | 3,781.15         | - 3,246.51         | 534.64                |
| 07/18/16 | Sold 65 Shs 07/13/16<br>To Jefferies & Co<br>@ 27 5016                                    | 2.60        | 0.04        | 1,784.96         | - 1,532.48         | 252.48                |
| 07/19/16 | Sold 55 Shs 07/14/16<br>To Piper Jaffray Inc.<br>@ 27 458                                 | 2.20        | 0.04        | 1,507.95         | - 1,330.65         | 177.30                |
| 07/21/16 | Sold 55 Shs 07/18/16<br>To R W Baird & Co<br>@ 27 4975                                    | 2.20        | 0.04        | 1,510.12         | - 1,359.98         | 150.14                |
| 07/26/16 | Sold 80 Shs 07/21/16<br>To Jefferies & Co<br>@ 28 1464                                    | 3.20        | 0.05        | 2,248.46         | - 1,982.67         | 265.79                |
| 07/28/16 | Sold 70 Shs 07/25/16<br>To Jefferies & Co<br>@ 28 1077                                    | 2.80        | 0.05        | 1,964.69         | - 1,734.84         | 229.85                |
| 07/29/16 | Sold 295 Shs 07/26/16<br>To Stifel Nicolaus & Co<br>@ 28 797                              | 11.80       | 0.19        | 8,483.13         | - 7,691.02         | 792.11                |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                          | Commission | Other Costs | Net Cash  | Cost       | Realized<br>Gain/Loss |
|----------|--------------------------------------------------------------------------|------------|-------------|-----------|------------|-----------------------|
|          | - Repligen Corp Com<br>759916109                                         | 35.40      | 0.58        | 24,548.28 | -22,006.68 | 2,541.60              |
| 03/22/16 | Sold 40 Shs 03/17/16<br>To Jonestrading Institutional Svcs<br>@ 24 7232  | 1.60       | 0.02        | 987.31    | -1,049.86  | -62.55                |
| 03/23/16 | Sold 110 Shs 03/18/16<br>To Jonestrading Institutional Svcs<br>@ 24.3784 | 4.40       | 0.06        | 2,677.16  | -2,852.44  | -175.28               |
| 06/22/16 | Sold 70 Shs 06/17/16<br>To R W Baird & Co<br>@ 21.4515                   | 2.80       | 0.04        | 1,498.77  | -1,839.73  | -340.96               |
| 09/27/16 | Sold 140 Shs 09/22/16<br>To Jonestrading Institutional Svcs<br>@ 32.4514 | 5.60       | 0.10        | 4,537.50  | -3,748.89  | 788.61                |
| 09/29/16 | Sold 90 Shs 09/26/16<br>To Canaccord Genuity Inc<br>@ 30 8558            | 3.60       | 0.06        | 2,773.36  | -2,421.18  | 352.18                |
| 10/03/16 | Sold 70 Shs 09/28/16<br>To R W Baird & Co<br>@ 31 319                    | 2.80       | 0.05        | 2,189.48  | -1,996.39  | 193.09                |
| 10/04/16 | Sold 70 Shs 09/29/16<br>To Canaccord Genuity Inc.<br>@ 29 9158           | 2.80       | 0.05        | 2,091.26  | -2,154.03  | -62.77                |
| 10/13/16 | Sold 70 Shs 10/10/16<br>To William Blair & Company LLC<br>@ 31 5213      | 2.10       | 0.05        | 2,204.34  | -2,195.99  | 8.35                  |
|          |                                                                          | 25.70      | 0.43        | 18,959.18 | -18,258.51 | 700.67                |
| 01/11/16 | Restoration Hardware Hldgs Inc Com<br>761283100                          | 2.20       | 0.08        | 4,078.97  | -4,808.06  | -729.09               |
| 01/25/16 | Sold 55 Shs 01/06/16<br>To Barclays Capital Le<br>@ 74 2045              | 0.60       | 0.05        | 2,392.01  | -3,475.04  | -1,083.03             |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                     | Commission   | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|----------|-----------------------------------------------------------------------------------------------------|--------------|-------------|------------------|-------------------|-----------------------|
| 02/05/16 | Sold 90 Shs 02/02/16<br>To Instinet<br>@ 56 3806                                                    | 1.35         | 0.10        | 5,072.80         | -7,945.71         | -2,872.91             |
| 02/17/16 | Sold 95 Shs 02/11/16<br>To William Blair & Company LLC<br>@ 47 3172                                 | 3.80         | 0.10        | 4,491.23         | -9,161.72         | -4,670.49             |
| 02/18/16 | Sold 25 Shs 02/12/16<br>To Dain Rauscher Incorporated<br>@ 46 709                                   | 0.19         | 0.03        | 1,167.51         | -2,404.74         | -1,237.23             |
| 03/01/16 | Sold 115 Shs 02/25/16<br>To Jefferies & Co<br>@ 38 1165                                             | 1.15         | 0.10        | 4,382.15         | -11,320.57        | -6,938.42             |
| 03/03/16 | Sold 50 Shs 02/29/16<br>To Instinet<br>@ 37 9747                                                    | 1.25         | 0.05        | 1,897.44         | -4,885.70         | -2,988.26             |
| 03/04/16 | Sold 143 Shs 03/01/16<br>To Jefferies & Co<br>@ 37 0994                                             | 5.72         | 0.12        | 5,299.37         | -14,346.76        | -9,047.39             |
|          |                                                                                                     | <b>16.26</b> | <b>0.63</b> | <b>28,781.48</b> | <b>-58,348.30</b> | <b>-29,566.82</b>     |
| 01/12/16 | - Shake Shack Inc Cl A<br>819047101<br>Sold 22 Shs 01/07/16<br>To Stifel Nicolaus & Co<br>@ 36 3659 | 0.88         | 0.02        | 799.15           | -1,025.61         | -226.46               |
| 01/13/16 | Sold 63 Shs 01/08/16<br>To Stifel Nicolaus & Co<br>@ 35.1017                                        | 2.52         | 0.05        | 2,208.84         | -3,025.86         | -817.02               |
| 08/23/16 | Sold 80 Shs 08/18/16<br>To Instinet<br>@ 35 7409                                                    | 2.00         | 0.07        | 2,857.20         | -3,381.99         | -524.79               |
| 08/24/16 | Sold 130 Shs 08/19/16<br>To Instinet<br>@ 35 6998                                                   | 3.25         | 0.11        | 4,637.61         | -5,120.76         | -483.15               |
| 10/13/16 | Sold 44 Shs 10/07/16<br>To R W Baird & Co<br>@ 32 9816                                              | 1.32         | 0.04        | 1,449.83         | -1,645.76         | -195.93               |
| 10/14/16 | Sold 95 Shs 10/11/16<br>To Jefferies & Co<br>@ 32.1677                                              | 2.85         | 0.07        | 3,053.01         | -3,614.87         | -561.86               |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                              | Commission   | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|----------|--------------------------------------------------------------------------------------------------------------|--------------|-------------|------------------|-------------------|-----------------------|
|          |                                                                                                              | <u>12.82</u> | <u>0.36</u> | <u>15,005.64</u> | <u>-17,814.85</u> | <u>-2,809.21</u>      |
| 04/25/16 | - Sovran Self Storage Inc Com<br>84610H108<br>Sold 25 Shs 04/20/16<br>To Canaccord Genuity Inc<br>@ 110.7292 | 1.00         | 0.06        | 2,767.17         | -2,664.19         | 102.98                |
| 05/23/16 | Sold 35 Shs 05/18/16<br>To Piper Jaffray Inc.<br>@ 111.0709                                                  | 1.40         | 0.09        | 3,885.99         | -3,729.86         | 156.13                |
| 06/09/16 | Sold 35 Shs 06/06/16<br>To Jefferies & Co<br>@ 107.1562                                                      | 1.40         | 0.09        | 3,748.98         | -3,754.07         | -5.09                 |
| 08/09/16 | Sold 70 Shs 08/04/16<br>To Instinet<br>@ 93.0611                                                             | 1.05         | 0.15        | 6,513.08         | -7,629.21         | -1,116.13             |
| 08/10/16 | Sold 20 Shs 08/05/16<br>To Oppenheimer & Co Inc<br>@ 92.3395                                                 | 0.80         | 0.05        | 1,845.94         | -2,263.70         | -417.76               |
| 08/12/16 | Sold 45 Shs 08/09/16<br>To Oppenheimer & Co Inc<br>@ 92.7788                                                 | 1.80         | 0.10        | 4,173.15         | -5,046.67         | -873.52               |
| 08/15/16 | Sold 45 Shs 08/10/16<br>To Oppenheimer & Co Inc<br>@ 92.5425                                                 | 1.80         | 0.10        | 4,162.51         | -4,673.82         | -511.31               |
|          |                                                                                                              | <u>9.25</u>  | <u>0.64</u> | <u>27,096.82</u> | <u>-29,761.52</u> | <u>-2,664.70</u>      |
| 04/08/16 | - Spirit Airls Inc Com<br>848577102<br>Sold 35 Shs 04/05/16<br>To Instinet<br>@ 46.6379                      | 0.88         | 0.04        | 1,631.41         | -1,363.15         | 268.26                |
| 04/29/16 | Sold 80 Shs 04/26/16<br>To Jefferies & Co<br>@ 47.371                                                        | 3.20         | 0.09        | 3,786.39         | -3,115.76         | 670.63                |
| 05/04/16 | Sold 125 Shs 04/29/16<br>To Instinet<br>@ 43.6612                                                            | 1.88         | 0.12        | 5,455.65         | -5,006.90         | 448.75                |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                         | Commission   | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------|--------------|-------------|------------------|-------------------|-----------------------|
| 05/17/16 | Sold 50 Shs 05/12/16<br>To Instinet<br>@ 39 3878                        | 0.75         | 0.05        | 1,968.59         | -2,033.75         | -65.16                |
| 08/04/16 | Sold 70 Shs 08/01/16<br>To R W Baird & Co<br>@ 41 5882                  | 2.80         | 0.07        | 2,908.30         | -2,847.25         | 61.05                 |
| 09/21/16 | Sold 115 Shs 09/16/16<br>To Instinet<br>@ 37 4556                       | 1.72         | 0.10        | 4,305.62         | -4,869.14         | -563.52               |
| 09/22/16 | Sold 140 Shs 09/19/16<br>To Jefferies & Co<br>@ 37.4344                 | 1.40         | 0.12        | 5,239.30         | -6,677.27         | -1,437.97             |
|          |                                                                         | <b>12.63</b> | <b>0.59</b> | <b>25,295.26</b> | <b>-25,913.22</b> | <b>-617.96</b>        |
| -        | Sportsmans Whse Hldgs Inc Com<br>84920Y106                              |              |             |                  |                   |                       |
| 05/20/16 | Sold 75 Shs 05/17/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 10 2616 | 3.00         | 0.02        | 766.60           | -946.50           | -179.90               |
| 05/23/16 | Sold 50 Shs 05/18/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 9 8755  | 2.00         | 0.02        | 491.76           | -630.12           | -138.36               |
| 05/24/16 | Sold 45 Shs 05/19/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 9 6443  | 1.80         | 0.01        | 432.18           | -575.71           | -143.53               |
| 05/27/16 | Sold 50 Shs 05/24/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 9 9     | 2.00         | 0.02        | 492.98           | -640.29           | -147.31               |
| 06/06/16 | Sold 80 Shs 06/01/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 8 3713  | 3.20         | 0.02        | 666.48           | -1,035.28         | -368.80               |
| 06/07/16 | Sold 100 Shs 06/02/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 8 183  | 4.00         | 0.02        | 814.28           | -1,303.15         | -488.87               |
| 06/08/16 | Sold 105 Shs 06/03/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 8 3335 | 4.20         | 0.02        | 870.80           | -1,383.90         | -513.10               |
| 06/09/16 | Sold 120 Shs 06/06/16<br>To William Blair & Company LLC<br>@ 8 0776     | 4.80         | 0.03        | 964.48           | -1,586.01         | -621.53               |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                        | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|----------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 06/10/16 | Sold 175 Shs 06/07/16<br>To William Blair & Company LLC<br>@ 8 1668                    | 7.00         | 0.04        | 1,422.15         | - 2,301.40         | - 879.25              |
| 06/13/16 | Sold 100 Shs 06/08/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 8 412                 | 4.00         | 0.02        | 837.18           | - 1,334.64         | - 497.46              |
| 06/16/16 | Sold 90 Shs 06/13/16<br>To William Blair & Company LLC<br>@ 8 0226                     | 3.60         | 0.02        | 718.41           | - 1,153.06         | - 434.65              |
| 06/17/16 | Sold 70 Shs 06/14/16<br>To William Blair & Company LLC<br>@ 7.8229                     | 2.80         | 0.02        | 544.78           | - 888.81           | - 344.03              |
| 06/21/16 | Sold 75 Shs 06/16/16<br>To William Blair & Company LLC<br>@ 7 9535                     | 3.00         | 0.02        | 593.49           | - 943.89           | - 350.40              |
| 06/22/16 | Sold 97 Shs 06/17/16<br>To William Blair & Company LLC<br>@ 8 0239                     | 3.88         | 0.02        | 774.42           | - 1,265.41         | - 490.99              |
|          |                                                                                        | <b>49.28</b> | <b>0.30</b> | <b>10,389.99</b> | <b>- 15,988.17</b> | <b>- 5,598.18</b>     |
| 07/01/16 | Summit Mats Inc Cl A<br>86614U100<br>Sold 150 Shs 06/28/16<br>To Instinet<br>@ 18 3403 | 3.75         | 0.06        | 2,747.24         | - 3,296.47         | - 549.23              |
| 08/09/16 | Sold 175 Shs 08/04/16<br>To Instinet<br>@ 20.3549                                      | 4.37         | 0.08        | 3,557.66         | - 3,813.02         | - 255.36              |
| 08/12/16 | Sold 185 Shs 08/09/16<br>To Instinet<br>@ 20 2749                                      | 4.63         | 0.09        | 3,746.14         | - 3,992.59         | - 246.45              |
| 08/23/16 | Sold 130 Shs 08/18/16<br>To Instinet<br>@ 19 6579                                      | 3.25         | 0.06        | 2,552.22         | - 2,770.19         | - 217.97              |
| 08/24/16 | Sold 65 Shs 08/19/16<br>To Instinet<br>@ 19 7768                                       | 1.62         | 0.03        | 1,283.84         | - 1,361.11         | - 77.27               |
| 09/14/16 | Sold 75 Shs 09/09/16<br>To Instinet<br>@ 17 9933                                       | 1.87         | 0.03        | 1,347.60         | - 1,573.15         | - 225.55              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                        | Description<br>Asset ID (CUSIP)                                          | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|---------------------------------------------|--------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 09/23/16                                    | Sold 115 Shs 09/20/16<br>To Jefferies & Co<br>@ 18 0902                  | 1 15         | 0.05        | 2,079 17         | - 2,413 66         | - 334 49              |
| 09/27/16                                    | Sold 180 Shs 09/22/16<br>To Instinet<br>@ 19 1958                        | 2 70         | 0 08        | 3,452 46         | - 3,909 32         | - 456 86              |
|                                             |                                                                          | <u>23.34</u> | <u>0.48</u> | <u>20,766.33</u> | <u>- 23,129.51</u> | <u>- 2,363.18</u>     |
| - Super Micro Computer Inc Com<br>86800U104 |                                                                          |              |             |                  |                    |                       |
| 04/25/16                                    | Sold 40 Shs 04/20/16<br>To Piper Jaffray Inc.<br>@ 28 4921               | 1 60         | 0.03        | 1,138 05         | - 1,304 07         | - 166 02              |
| 05/03/16                                    | Sold 65 Shs 04/28/16<br>To Oppenheimer & Co Inc<br>@ 28 4306             | 2 60         | 0.05        | 1,845 34         | - 2,119 12         | - 273.78              |
| 05/04/16                                    | Sold 145 Shs 04/29/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 26 8256 | 5 80         | 0.09        | 3,883 82         | - 4,709.09         | - 825 27              |
| 05/17/16                                    | Sold 75 Shs 05/12/16<br>To Oppenheimer & Co Inc<br>@ 25 513              | 3.00         | 0 05        | 1,910 43         | - 2,424 17         | - 513 74              |
| 05/18/16                                    | Sold 115 Shs 05/13/16<br>To Instinet<br>@ 24 6917                        | 2 88         | 0 07        | 2,836.60         | - 3,659 61         | - 823 01              |
| 06/09/16                                    | Sold 50 Shs 06/06/16<br>To Canaccord Genuity Inc.<br>@ 26 4885           | 2 00         | 0 03        | 1,322 40         | - 1,520 34         | - 197 94              |
| 06/10/16                                    | Sold 35 Shs 06/07/16<br>To Stifel Nicolaus & Co<br>@ 26 252              | 1.40         | 0.03        | 917.39           | - 1,064 24         | - 146.85              |
| 06/13/16                                    | Sold 180 Shs 06/08/16<br>To Instinet<br>@ 25 6642                        | 4.50         | 0.11        | 4,614.95         | - 5,646.30         | - 1,031 35            |
| 06/14/16                                    | Sold 120 Shs 06/09/16<br>To Instinet<br>@ 25 8504                        | 3 00         | 0.07        | 3,098 98         | - 3,995 62         | - 896 64              |
|                                             |                                                                          | <u>26.78</u> | <u>0.53</u> | <u>21,567.96</u> | <u>- 26,442.56</u> | <u>- 4,874.60</u>     |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                               | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|---------------------------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 04/22/16 | - Surgical Care Affiliates Inc Com<br>86881L106<br>Sold 15 Shs 04/19/16<br>To Piper Jaffray Inc.<br>@ 47 6172 | 0.60         | 0.02        | 713.64           | - 651.28           | 62.36                 |
| 08/12/16 | Sold 40 Shs 08/09/16<br>To Oppenheimer & Co Inc<br>@ 46 6488                                                  | 1.60         | 0.05        | 1,864.30         | - 1,736.74         | 127.56                |
| 08/15/16 | Sold 50 Shs 08/10/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 46 7248                                           | 2.00         | 0.06        | 2,334.18         | - 2,174.61         | 159.57                |
| 08/19/16 | Sold 30 Shs 08/16/16<br>To Oppenheimer & Co Inc<br>@ 45 6374                                                  | 1.20         | 0.03        | 1,367.89         | - 1,309.92         | 57.97                 |
| 08/24/16 | Sold 50 Shs 08/19/16<br>To William Blair & Company LLC<br>@ 43 3821                                           | 2.00         | 0.05        | 2,167.06         | - 2,159.39         | 7.67                  |
| 08/29/16 | Sold 55 Shs 08/24/16<br>To William Blair & Company LLC<br>@ 41 7852                                           | 2.20         | 0.06        | 2,295.93         | - 2,278.34         | 17.59                 |
| 08/30/16 | Sold 50 Shs 08/25/16<br>To Oppenheimer & Co Inc<br>@ 41 3339                                                  | 2.00         | 0.05        | 2,064.65         | - 2,077.14         | - 12.49               |
| 11/08/16 | Sold 44 Shs 11/03/16<br>To Oppenheimer & Co Inc<br>@ 39 9528                                                  | 1.32         | 0.04        | 1,756.56         | - 1,849.20         | - 92.64               |
| 11/09/16 | Sold 46 Shs 11/04/16<br>To Canaccord Genuity Inc.<br>@ 39 9283                                                | 1.38         | 0.05        | 1,835.27         | - 2,019.93         | - 184.66              |
| 11/15/16 | Sold 130 Shs 11/09/16<br>To Canaccord Genuity Inc.<br>@ 38 572                                                | 3.90         | 0.11        | 5,010.35         | - 5,568.08         | - 557.73              |
| 11/16/16 | Sold 56 Shs 11/11/16<br>To Canaccord Genuity Inc<br>@ 40 6195                                                 | 1.68         | 0.05        | 2,272.96         | - 2,380.35         | - 107.39              |
|          |                                                                                                               | <b>19.88</b> | <b>0.57</b> | <b>23,682.79</b> | <b>- 24,204.98</b> | <b>- 522.19</b>       |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                                       | Commission  | Other Costs | Net Cash         | Cost             | Realized<br>Gain/Loss |
|----------|-----------------------------------------------------------------------------------------------------------------------|-------------|-------------|------------------|------------------|-----------------------|
| 09/28/16 | - Tactile Sys Technology Inc Com<br>87357P100<br>Sold 155 Shs 09/23/16<br>To William Blair & Company LLC<br>@ 19 4893 | 6.20        | 0.07        | 3,014.57         | -2,684.56        | 330.01                |
| 11/09/16 | - Tesaro Inc Com<br>881569107<br>Sold 43 Shs 11/04/16<br>To Instinet<br>@ 118.3119                                    | 0.22        | 0.12        | 5,087.07         | -4,093.80        | 993.27                |
| 11/17/16 | Sold 26 Shs 11/14/16<br>To Citation Group/Bcc Clrg<br>@ 143.5466                                                      | 0.52        | 0.09        | 3,731.60         | -2,502.03        | 1,229.57              |
| 12/19/16 | Sold 24 Shs 12/14/16<br>To Citation Group/Bcc Clrg<br>@ 127.4742                                                      | 0.48        | 0.07        | 3,058.83         | -2,445.18        | 613.65                |
|          |                                                                                                                       | <u>1.22</u> | <u>0.28</u> | <u>11,877.50</u> | <u>-9,041.01</u> | <u>2,836.49</u>       |
| 04/26/16 | - Texas Roadhouse Inc Com Cl A<br>882681109<br>Sold 50 Shs 04/21/16<br>To R W Baird & Co<br>@ 41.8208                 | 2.00        | 0.05        | 2,088.99         | -1,571.79        | 517.20                |
| 05/03/16 | Sold 80 Shs 04/28/16<br>To Jefferies & Co<br>@ 41.064                                                                 | 3.20        | 0.08        | 3,281.84         | -2,551.34        | 730.50                |
| 05/27/16 | Sold 85 Shs 05/24/16<br>To Jefferies & Co<br>@ 44.2264                                                                | 3.40        | 0.09        | 3,755.75         | -2,779.60        | 976.15                |
| 07/29/16 | Sold 90 Shs 07/26/16<br>To Instinet<br>@ 45.8724                                                                      | 1.35        | 0.09        | 4,127.08         | -2,960.83        | 1,166.25              |
| 08/05/16 | Sold 190 Shs 08/02/16<br>To Instinet<br>@ 42.1456                                                                     | 2.85        | 0.18        | 8,004.63         | -6,700.13        | 1,304.50              |
| 08/23/16 | Sold 35 Shs 08/18/16<br>To Oppenheimer & Co Inc<br>@ 46.0815                                                          | 1.40        | 0.04        | 1,611.41         | -1,277.72        | 333.69                |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                             | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|---------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 09/14/16 | Sold 165 Shs 09/09/16<br>To R W Baird & Co<br>@ 42 9592                                     | 6.60         | 0.16        | 7,081.51         | - 6,081.09         | 1,000.42              |
| 09/29/16 | Sold 135 Shs 09/26/16<br>To Jefferies & Co<br>@ 41 5936                                     | 5.40         | 0.13        | 5,609.61         | - 5,292.39         | 317.22                |
| 10/03/16 | Sold 50 Shs 09/28/16<br>To Jefferies & Co<br>@ 39 8613                                      | 0.50         | 0.05        | 1,992.52         | - 2,005.84         | - 13.32               |
| 10/11/16 | Sold 109 Shs 10/05/16<br>To Canaccord Genuity Inc.<br>@ 38.1936                             | 3.27         | 0.10        | 4,159.73         | - 4,495.37         | - 335.64              |
|          |                                                                                             | <u>29.97</u> | <u>0.97</u> | <u>41,713.07</u> | <u>- 35,716.10</u> | <u>5,996.97</u>       |
| 03/03/16 | - Tile Shop Hldgs Inc Com<br>88677Q109<br>Sold 110 Shs 02/29/16<br>To Instinet<br>@ 12 7477 | 2.75         | 0.04        | 1,399.46         | - 1,368.71         | 30.75                 |
| 07/14/16 | Sold 115 Shs 07/11/16<br>To Piper Jaffray Inc<br>@ 20 6328                                  | 4.60         | 0.06        | 2,368.11         | - 1,459.05         | 909.06                |
| 08/03/16 | Sold 145 Shs 07/29/16<br>To R W Baird & Co<br>@ 16 9657                                     | 5.80         | 0.06        | 2,454.17         | - 1,843.63         | 610.54                |
| 08/08/16 | Sold 125 Shs 08/03/16<br>To Jefferies & Co<br>@ 17 0879                                     | 5.00         | 0.05        | 2,130.94         | - 1,603.21         | 527.73                |
| 08/29/16 | Sold 130 Shs 08/24/16<br>To Jefferies & Co<br>@ 16 6105                                     | 5.20         | 0.05        | 2,154.12         | - 1,872.45         | 281.67                |
| 08/30/16 | Sold 190 Shs 08/25/16<br>To Instinet<br>@ 16 6422                                           | 4.75         | 0.07        | 3,157.20         | - 2,795.07         | 362.13                |
| 08/31/16 | Sold 185 Shs 08/26/16<br>To Jefferies & Co<br>@ 16 3116                                     | 7.40         | 0.07        | 3,010.99         | - 2,734.08         | 276.91                |
| 09/02/16 | Sold 90 Shs 08/30/16<br>To R W Baird & Co<br>@ 15 5209                                      | 3.60         | 0.04        | 1,393.24         | - 1,252.65         | 140.59                |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                   | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|-----------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 09/23/16 | Sold 190 Shs 09/20/16<br>To R W Baird & Co<br>@ 15 2768                           | 7 60         | 0 07        | 2,894 92         | - 2,607 09         | 287.83                |
|          |                                                                                   | <u>46.70</u> | <u>0.51</u> | <u>20,963.15</u> | <u>- 17,535.94</u> | <u>3,427.21</u>       |
|          | - II-VI Inc Com<br>902104108                                                      |              |             |                  |                    |                       |
| 10/28/16 | Sold 151 Shs 10/25/16<br>To Oppenheimer & Co Inc<br>@ 25.975                      | 4 53         | 0 09        | 3,917 61         | - 3,117 34         | 800.27                |
| 12/28/16 | Sold 95 Shs 12/22/16<br>To William Blair & Company LLC<br>@ 29 3667               | 2.85         | 0.07        | 2,786.92         | - 1,998.95         | 787.97                |
| 12/29/16 | Sold 79 Shs 12/23/16<br>To William Blair & Company LLC<br>@ 29.532                | 2 37         | 0 06        | 2,330.60         | - 1,660.51         | 670 09                |
| 01/03/17 | Sold 68 Shs 12/28/16<br>To R W Baird & Co<br>@ 29 6109<br>Will Settle on 01/03/17 | 2.04         | 0 05        | 2,011 45         | - 1,462.92         | 548.53                |
|          |                                                                                   | <u>11.79</u> | <u>0.27</u> | <u>11,046.58</u> | <u>- 8,239.72</u>  | <u>2,806.86</u>       |
|          | - 2u Inc Com<br>90214101                                                          |              |             |                  |                    |                       |
| 12/05/16 | Sold 142 Shs 11/30/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 33.2145          | 4.26         | 0 11        | 4,712 09         | - 4,009 75         | 702 34                |
| 12/12/16 | Sold 93 Shs 12/07/16<br>To Canaccord Genuity Inc.<br>@ 32 9451                    | 2.79         | 0 07        | 3,061.03         | - 2,652 55         | 408.48                |
| 12/28/16 | Sold 193 Shs 12/22/16<br>To Canaccord Genuity Inc.<br>@ 30 8014                   | 5.79         | 0.13        | 5,938.75         | - 5,589.27         | 349.48                |
|          |                                                                                   | <u>12.84</u> | <u>0.31</u> | <u>13,711.87</u> | <u>- 12,251.57</u> | <u>1,460.30</u>       |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                           | Description<br>Asset ID (CUSIP)                                          | Commission  | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|------------------------------------------------|--------------------------------------------------------------------------|-------------|-------------|------------------|--------------------|-----------------------|
| - Tyler Technologies Inc Com<br>902252105      |                                                                          |             |             |                  |                    |                       |
| 02/23/16                                       | Sold 45 Shs 02/18/16<br>To Dain Rauscher Incorporated<br>@ 124.7933      | 0.34        | 0.13        | 5,615.23         | - 6,245.17         | - 629.94              |
| 11/16/16                                       | Sold 23 Shs 11/10/16<br>To Canaccord Genuity Inc.<br>@ 150.848           | 0.69        | 0.08        | 3,468.73         | - 3,227.73         | 241.00                |
| 11/16/16                                       | Sold 26 Shs 11/11/16<br>To William Blair & Company LLC<br>@ 150.3635     | 0.78        | 0.09        | 3,908.58         | - 3,668.87         | 239.71                |
| 11/17/16                                       | Sold 33 Shs 11/14/16<br>To William Blair & Company LLC<br>@ 147.5718     | 0.99        | 0.11        | 4,868.77         | - 4,645.47         | 223.30                |
| 11/28/16                                       | Sold 29 Shs 11/22/16<br>To William Blair & Company LLC<br>@ 152.504      | 0.87        | 0.10        | 4,421.65         | - 3,989.57         | 432.08                |
| 12/05/16                                       | Sold 17 Shs 11/30/16<br>To William Blair & Company LLC<br>@ 148.8903     | 0.51        | 0.06        | 2,530.57         | - 2,385.36         | 145.21                |
| 12/07/16                                       | Sold 18 Shs 12/02/16<br>To William Blair & Company LLC<br>@ 141.6644     | 0.54        | 0.06        | 2,549.36         | - 2,525.68         | 23.68                 |
| 12/13/16                                       | Sold 31 Shs 12/08/16<br>To William Blair & Company LLC<br>@ 145.7661     | 0.93        | 0.10        | 4,517.72         | - 4,305.92         | 211.80                |
| 12/21/16                                       | Sold 34 Shs 12/16/16<br>To William Blair & Company LLC<br>@ 141.2228     | 1.02        | 0.11        | 4,800.45         | - 4,716.56         | 83.89                 |
|                                                |                                                                          | <b>6.67</b> | <b>0.84</b> | <b>36,681.06</b> | <b>- 35,710.33</b> | <b>970.73</b>         |
| - Ultimate Software Group Inc Com<br>90385D107 |                                                                          |             |             |                  |                    |                       |
| 11/16/16                                       | Sold 28 Shs 11/10/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 196.2614 | 0.84        | 0.12        | 5,494.36         | - 3,624.21         | 1,870.15              |
| 11/17/16                                       | Sold 27 Shs 11/14/16<br>To Citation Group/Bcc Clrg<br>@ 190.8276         | 0.54        | 0.12        | 5,151.69         | - 3,708.05         | 1,443.64              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                      | Commission  | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|----------|----------------------------------------------------------------------|-------------|-------------|------------------|-------------------|-----------------------|
| 12/15/16 | Sold 17 Shs 12/12/16<br>To William Blair & Company LLC<br>@ 187.6648 | 0.51        | 0.07        | 3,189.72         | -2,373.16         | 816.56                |
|          |                                                                      | <u>1.89</u> | <u>0.31</u> | <u>13,835.77</u> | <u>-9,705.42</u>  | <u>4,130.35</u>       |
|          | - Ultragenyx Pharmaceutical Inc Com<br>90400D108                     |             |             |                  |                   |                       |
| 01/13/16 | Sold 30 Shs 01/08/16<br>To Canaccord Genuity Inc<br>@ 87.5995        | 1.20        | 0.05        | 2,626.74         | -3,556.31         | -929.57               |
| 06/15/16 | Sold 75 Shs 06/10/16<br>To Instinet<br>@ 61.8166                     | 1.12        | 0.11        | 4,635.02         | -7,762.36         | -3,127.34             |
| 06/22/16 | Sold 85 Shs 06/17/16<br>To Instinet<br>@ 55.6719                     | 1.27        | 0.11        | 4,730.73         | -8,598.96         | -3,868.23             |
| 07/21/16 | Sold 135 Shs 07/18/16<br>To Canaccord Genuity Inc.<br>@ 53.6757      | 5.40        | 0.16        | 7,240.66         | -9,122.59         | -1,881.93             |
|          |                                                                      | <u>8.99</u> | <u>0.43</u> | <u>19,233.15</u> | <u>-29,040.22</u> | <u>-9,807.07</u>      |
|          | - Universal Display Corp Com<br>91347P105                            |             |             |                  |                   |                       |
| 06/24/16 | Sold 85 Shs 06/21/16<br>To Oppenheimer & Co Inc<br>@ 67.2983         | 3.40        | 0.13        | 5,716.83         | -4,360.00         | 1,356.83              |
| 06/27/16 | Sold 75 Shs 06/22/16<br>To William Blair & Company LLC<br>@ 66.6765  | 3.00        | 0.11        | 4,997.63         | -3,883.34         | 1,114.29              |
| 08/17/16 | Sold 85 Shs 08/12/16<br>To Instinet<br>@ 59.5643                     | 1.27        | 0.12        | 5,061.58         | -4,400.14         | 661.44                |
| 09/06/16 | Sold 125 Shs 08/31/16<br>To Jefferies & Co<br>@ 57.4942              | 5.00        | 0.16        | 7,181.62         | -6,797.56         | 384.06                |
| 09/28/16 | Sold 55 Shs 09/23/16<br>To Oppenheimer & Co Inc<br>@ 58.9232         | 2.20        | 0.08        | 3,238.50         | -3,248.87         | -10.37                |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                                | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|----------------------------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 09/29/16 | Sold 55 Shs 09/26/16<br>To Oppenheimer & Co Inc<br>@ 57 8765                                                   | 2 20         | 0 07        | 3,180 94         | - 3,375 04         | - 194 10              |
| 10/04/16 | Sold 75 Shs 09/29/16<br>To William Blair & Company LLC<br>@ 55 4253                                            | 3 00         | 0 10        | 4,153 80         | - 4,229 67         | - 75 87               |
| 10/12/16 | Sold 122 Shs 10/06/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 52.4488                                       | 3 66         | 0 14        | 6,394 95         | - 7,805 88         | - 1,410.93            |
| 10/14/16 | Sold 63 Shs 10/11/16<br>To Citation Group/Bcc Clrg<br>@ 50 3191                                                | 1 26         | 0 07        | 3,168 77         | - 4,169 31         | - 1,000 54            |
| 11/29/16 | Sold 128 Shs 11/23/16<br>To Oppenheimer & Co Inc<br>@ 57 1673                                                  | 3 84         | 0 16        | 7,313 41         | - 8,547 15         | - 1,233.74            |
| 12/02/16 | Sold 56 Shs 11/29/16<br>To Oppenheimer & Co Inc<br>@ 54 0941                                                   | 1 68         | 0 07        | 3,027 52         | - 3,444.21         | - 416.69              |
| 12/05/16 | Sold 57 Shs 11/30/16<br>To Oppenheimer & Co Inc<br>@ 54 6084                                                   | 1 71         | 0 07        | 3,110 90         | - 3,499 53         | - 388 63              |
|          |                                                                                                                | <u>32.22</u> | <u>1.28</u> | <u>56,546.45</u> | <u>- 57,760.70</u> | <u>- 1,214.25</u>     |
| 05/04/16 | - Universal Forest Products Inc Com<br>973543704<br>Sold 45 Shs 04/29/16<br>To Piper Jaffray Inc.<br>@ 76.9973 | 1 80         | 0 08        | 3,463 00         | - 3,378 42         | 84.58                 |
| 07/20/16 | Sold 25 Shs 07/15/16<br>To Oppenheimer & Co Inc<br>@ 99.2581                                                   | 1 00         | 0 06        | 2,480 39         | - 1,908.18         | 572.21                |
| 07/21/16 | Sold 40 Shs 07/18/16<br>To R W Baird & Co<br>@ 100 9961                                                        | 1 60         | 0 09        | 4,038.15         | - 3,116.57         | 921.58                |
| 07/22/16 | Sold 30 Shs 07/19/16<br>To William Blair & Company LLC<br>@ 100 492                                            | 1 20         | 0 07        | 3,013 49         | - 2,366 01         | 647.48                |
|          |                                                                                                                | <u>5.60</u>  | <u>0.30</u> | <u>12,995.03</u> | <u>- 10,769.18</u> | <u>2,225.85</u>       |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                        | Description<br>Asset ID (CUSIP)                                          | Commission  | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|---------------------------------------------|--------------------------------------------------------------------------|-------------|-------------|------------------|--------------------|-----------------------|
| - Vail Resorts Inc<br>91879Q109             |                                                                          |             |             |                  |                    |                       |
| 03/09/16                                    | Sold 35 Shs 03/04/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 128.5243 | 1.40        | 0.10        | 4,496.85         | - 3,070.31         | 1,426.54              |
| 03/28/16                                    | Sold 20 Shs 03/22/16<br>To Stifel Nicolaus & Co<br>@ 127.6441            | 0.80        | 0.06        | 2,552.02         | - 1,735.08         | 816.94                |
| 04/06/16                                    | Sold 15 Shs 04/01/16<br>To Instinet<br>@ 132.3421                        | 0.22        | 0.05        | 1,984.86         | - 1,291.34         | 693.52                |
| 04/07/16                                    | Sold 15 Shs 04/04/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 129.5957     | 0.60        | 0.05        | 1,943.29         | - 1,288.55         | 654.74                |
| 05/20/16                                    | Sold 25 Shs 05/17/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 125.6665 | 1.00        | 0.07        | 3,140.59         | - 2,174.18         | 966.41                |
| 05/23/16                                    | Sold 15 Shs 05/18/16<br>To Canaccord Genuity Inc<br>@ 126.0419           | 0.60        | 0.04        | 1,889.99         | - 1,310.72         | 579.27                |
|                                             |                                                                          | <b>4.62</b> | <b>0.37</b> | <b>16,007.60</b> | <b>- 10,870.18</b> | <b>5,137.42</b>       |
| - Whs Hldgs LTD Sponsored ADIR<br>92932M101 |                                                                          |             |             |                  |                    |                       |
| 05/20/16                                    | Sold 40 Shs 05/17/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 28.8388  | 1.60        | 0.03        | 1,151.92         | - 1,184.43         | - 32.51               |
| 05/23/16                                    | Sold 80 Shs 05/18/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 28.8121  | 3.20        | 0.06        | 2,301.71         | - 2,421.24         | - 119.53              |
| 05/24/16                                    | Sold 35 Shs 05/19/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 28.6823  | 1.40        | 0.03        | 1,002.45         | - 1,073.04         | - 70.59               |
| 06/30/16                                    | Sold 80 Shs 06/27/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 26.6892      | 3.20        | 0.05        | 2,131.89         | - 2,452.66         | - 320.77              |
| 07/11/16                                    | Sold 50 Shs 07/06/16<br>To R W Baird & Co<br>@ 26.1555                   | 2.00        | 0.03        | 1,305.75         | - 1,532.91         | - 227.16              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                           | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 07/19/16 | Sold 45 Shs 07/14/16<br>To Oppenheimer & Co Inc<br>@ 26 7865                              | 1 80         | 0.03        | 1,203.56         | - 1,379.62         | - 176.06              |
| 07/20/16 | Sold 30 Shs 07/15/16<br>To R W Baird & Co<br>@ 26 4503                                    | 1 20         | 0.02        | 792.29           | - 925.94           | - 133.65              |
| 07/21/16 | Sold 90 Shs 07/18/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 26 5233                   | 3 60         | 0.06        | 2,383.44         | - 2,807.90         | - 424.46              |
| 07/22/16 | Sold 105 Shs 07/19/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 26 3621                  | 4 20         | 0.07        | 2,763.75         | - 3,241.63         | - 477.88              |
| 07/26/16 | Sold 65 Shs 07/21/16<br>To Goldman Sachs & Co/Courtesy ACC<br>@ 27 8305                   | 2.60         | 0.04        | 1,806.34         | - 1,978.63         | - 172.29              |
| 07/28/16 | Sold 75 Shs 07/25/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 28 0303                       | 3.00         | 0.05        | 2,099.22         | - 2,219.63         | - 120.41              |
| 07/29/16 | Sold 148 Shs 07/26/16<br>To Morgan Stanley & Co Inc/BNY<br>@ 28 1091                      | 5 92         | 0.10        | 4,154.13         | - 4,666.21         | - 512.08              |
|          |                                                                                           | <u>33.72</u> | <u>0.57</u> | <u>23,096.45</u> | <u>- 25,883.84</u> | <u>- 2,787.39</u>     |
| 01/07/16 | - Wayfair Inc Cl A<br>94419L101<br>Sold 55 Shs 01/04/16<br>To Jefferies & Co<br>@ 44 7896 | 0 55         | 0 05        | 2,462.83         | - 1,916.30         | 546.53                |
| 01/08/16 | Sold 30 Shs 01/05/16<br>To Oppenheimer & Co Inc<br>@ 43 2525                              | 1 20         | 0 03        | 1,296.35         | - 1,126.05         | 170.30                |
| 01/11/16 | Sold 80 Shs 01/06/16<br>To William Blair & Company LLC<br>@ 42 9554                       | 3 20         | 0.07        | 3,433.16         | - 3,007.95         | 425.21                |
| 01/12/16 | Sold 50 Shs 01/07/16<br>To Instinet<br>@ 41 2765                                          | 0.75         | 0 04        | 2,063.04         | - 1,902.73         | 160.31                |
| 01/14/16 | Sold 75 Shs 01/11/16<br>To R W Baird & Co<br>@ 41 2601                                    | 3 00         | 0.06        | 3,091.45         | - 2,906.78         | 184.67                |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                     | Commission | Other Costs | Net Cash | Cost      | Realized<br>Gain/Loss |
|----------|---------------------------------------------------------------------|------------|-------------|----------|-----------|-----------------------|
| 01/20/16 | Sold 50 Shs 01/14/16<br>To Jefferies & Co<br>@ 39 9517              | 2.00       | 0.04        | 1,995.55 | -1,882.24 | 113.31                |
| 01/21/16 | Sold 80 Shs 01/15/16<br>To Instinet<br>@ 37 7474                    | 1.20       | 0.06        | 3,018.53 | -3,027.34 | -8.81                 |
| 02/05/16 | Sold 65 Shs 02/02/16<br>To Penserra Securities<br>@ 40 8551         | 1.95       | 0.05        | 2,653.58 | -2,490.52 | 163.06                |
| 02/08/16 | Sold 35 Shs 02/03/16<br>To Instinet<br>@ 39 961                     | 0.52       | 0.03        | 1,398.09 | -1,350.54 | 47.55                 |
| 02/11/16 | Sold 80 Shs 02/08/16<br>To Instinet<br>@ 35 3094                    | 1.20       | 0.06        | 2,823.49 | -3,106.36 | -282.87               |
| 02/12/16 | Sold 105 Shs 02/09/16<br>To Instinet<br>@ 30 5599                   | 1.58       | 0.06        | 3,207.15 | -4,807.41 | -1,600.26             |
| 03/17/16 | Sold 60 Shs 03/14/16<br>To Piper Jaffray Inc.<br>@ 43 0815          | 2.40       | 0.06        | 2,582.43 | -3,270.92 | -688.49               |
| 03/18/16 | Sold 40 Shs 03/15/16<br>To Instinet<br>@ 42 2924                    | 0.60       | 0.04        | 1,691.06 | -2,180.61 | -489.55               |
| 03/24/16 | Sold 135 Shs 03/21/16<br>To Instinet<br>@ 38 1397                   | 2.02       | 0.12        | 5,146.72 | -5,256.26 | -109.54               |
| 04/29/16 | Sold 40 Shs 04/26/16<br>To R W Baird & Co<br>@ 38 1835              | 1.60       | 0.04        | 1,525.70 | -1,497.81 | 27.89                 |
| 05/04/16 | Sold 80 Shs 04/29/16<br>To William Blair & Company LLC<br>@ 37 9267 | 3.20       | 0.07        | 3,030.87 | -3,117.64 | -86.77                |
| 05/05/16 | Sold 50 Shs 05/02/16<br>To Instinet<br>@ 35 9755                    | 0.75       | 0.04        | 1,797.99 | -2,089.41 | -291.42               |
| 08/15/16 | Sold 215 Shs 08/10/16<br>To Instinet<br>@ 37 0796                   | 3.23       | 0.18        | 7,968.70 | -9,185.95 | -1,217.25             |
| 08/17/16 | Sold 15 Shs 08/12/16<br>To Instinet<br>@ 38 5983                    | 0.23       | 0.02        | 578.72   | -644.28   | -65.56                |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                 | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 10/14/16 | Sold 77 Shs 10/11/16<br>To R W Baird & Co<br>@ 36 1819                                          | 2.31         | 0.07        | 2,783.63         | - 3,369.12         | - 585.49              |
| 10/19/16 | Sold 142 Shs 10/14/16<br>To William Blair & Company LLC<br>@ 35 6973                            | 4.26         | 0.12        | 5,064.64         | - 6,668.11         | - 1,603.47            |
|          |                                                                                                 | <u>37.75</u> | <u>1.31</u> | <u>59,613.68</u> | <u>- 64,804.33</u> | <u>- 5,190.65</u>     |
| 12/28/16 | - Winnebago Industries Com<br>974637100<br>Sold 111 Shs 12/22/16<br>To Instinet<br>@ 32.4792    | 0.56         | 0.08        | 3,604.55         | - 3,467.73         | 136.82                |
| 01/12/16 | - Wisdomtree Invtls Inc Com<br>97717P104<br>Sold 135 Shs 01/07/16<br>To Instinet<br>@ 13 9084   | 2.03         | 0.04        | 1,875.56         | - 3,149.50         | - 1,273.94            |
| 01/13/16 | Sold 220 Shs 01/08/16<br>To Instinet<br>@ 13 5933                                               | 3.30         | 0.06        | 2,987.17         | - 4,551.58         | - 1,564.41            |
| 01/14/16 | Sold 145 Shs 01/11/16<br>To Instinet<br>@ 12.2869                                               | 2.17         | 0.04        | 1,779.39         | - 3,098.22         | - 1,318.83            |
|          |                                                                                                 | <u>7.50</u>  | <u>0.14</u> | <u>6,642.12</u>  | <u>- 10,799.30</u> | <u>- 4,157.18</u>     |
| 01/12/16 | - Xpo Logistics Inc Com<br>983793100<br>Sold 120 Shs 01/07/16<br>To R W Baird & Co<br>@ 23 6763 | 4.80         | 0.06        | 2,836.30         | - 5,789.26         | - 2,952.96            |
| 01/13/16 | Sold 70 Shs 01/08/16<br>To William Blair & Company LLC<br>@ 23 1002                             | 2.80         | 0.03        | 1,614.18         | - 3,443.42         | - 1,829.24            |
| 05/09/16 | Sold 35 Shs 05/04/16<br>To William Blair & Company LLC<br>@ 27 8124                             | 1.40         | 0.03        | 972.00           | - 1,733.88         | - 761.88              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                                  | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|------------------------------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 05/10/16 | Sold 125 Shs 05/05/16<br>To William Blair & Company LLC<br>@ 26 476                                              | 5.00         | 0 08        | 3,304 42         | - 6,270 26         | - 2,965 84            |
| 06/21/16 | Sold 65 Shs 06/16/16<br>To Oppenheimer & Co Inc<br>@ 26 7244                                                     | 2 60         | 0 04        | 1,734 45         | - 3,015 43         | - 1,280 98            |
| 06/29/16 | Sold 115 Shs 06/24/16<br>To Instinet<br>@ 24 4895                                                                | 2 87         | 0 07        | 2,813 35         | - 4,569 72         | - 1,756 37            |
| 06/30/16 | Sold 280 Shs 06/27/16<br>To Instinet<br>@ 22 8576                                                                | 4 20         | 0 14        | 6,395 79         | - 7,616 26         | - 1,220 47            |
| 11/02/16 | Sold 20 Shs 10/28/16<br>To Oppenheimer & Co Inc<br>@ 33 0619                                                     | 0.60         | 0 02        | 660.62           | - 563.53           | 97.09                 |
|          |                                                                                                                  | <u>24.27</u> | <u>0.47</u> | <u>20,331.11</u> | <u>- 33,001.76</u> | <u>- 12,670.65</u>    |
| 01/08/16 | - Zeltiq Aesthetics Inc Com<br>98933Q108<br>Sold 130 Shs 01/05/16<br>To William Blair & Company LLC<br>@ 26 8777 | 5.20         | 0 07        | 3,488 83         | - 2,996.17         | 492.66                |
| 01/11/16 | Sold 78 Shs 01/06/16<br>To William Blair & Company LLC<br>@ 26 7651                                              | 3 12         | 0 04        | 2,084 52         | - 1,895 20         | 189.32                |
| 01/14/16 | Sold 75 Shs 01/11/16<br>To William Blair & Company LLC<br>@ 24 8578                                              | 3 00         | 0 04        | 1,861.30         | - 1,915 36         | - 54 06               |
| 01/21/16 | Sold 90 Shs 01/15/16<br>To Piper Jaffray Inc<br>@ 25 5663                                                        | 3.60         | 0 05        | 2,297 32         | - 2,284.63         | 12 69                 |
| 01/22/16 | Sold 45 Shs 01/19/16<br>To William Blair & Company LLC<br>@ 24 1479                                              | 1 80         | 0 02        | 1,084 84         | - 1,197.88         | - 113 04              |
| 02/17/16 | Sold 35 Shs 02/11/16<br>To William Blair & Company LLC<br>@ 19 8859                                              | 1.40         | 0 02        | 694 59           | - 927.52           | - 232 93              |
| 02/18/16 | Sold 115 Shs 02/12/16<br>To William Blair & Company LLC<br>@ 19 4414                                             | 4.60         | 0 05        | 2,231 11         | - 3,215 96         | - 984 85              |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                       | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 02/19/16 | Sold 65 Shs 02/16/16<br>To Canaccord Genuity Inc<br>@ 19.074                                          | 2.60         | 0.03        | 1,237.18         | - 1,843.54         | - 606.36              |
| 03/04/16 | Sold 170 Shs 03/01/16<br>To Jefferies & Co<br>@ 20.803                                                | 1.70         | 0.08        | 3,534.73         | - 5,299.66         | - 1,764.93            |
| 05/12/16 | Sold 70 Shs 05/09/16<br>To William Blair & Company LLC<br>@ 28.4481                                   | 2.80         | 0.05        | 1,988.52         | - 2,369.47         | - 380.95              |
| 05/13/16 | Sold 130 Shs 05/10/16<br>To William Blair & Company LLC<br>@ 28.022                                   | 5.20         | 0.08        | 3,637.58         | - 4,333.04         | - 695.46              |
| 05/16/16 | Sold 125 Shs 05/11/16<br>To Instinet<br>@ 26.005                                                      | 1.87         | 0.08        | 3,248.68         | - 4,051.36         | - 802.68              |
| 06/24/16 | Sold 100 Shs 06/21/16<br>To Canaccord Genuity Inc.<br>@ 26.7078                                       | 4.00         | 0.06        | 2,666.72         | - 3,380.41         | - 713.69              |
| 06/29/16 | Sold 100 Shs 06/24/16<br>To Canaccord Genuity Inc<br>@ 26.4538                                        | 4.00         | 0.06        | 2,641.32         | - 3,510.34         | - 869.02              |
| 10/04/16 | Sold 45 Shs 09/29/16<br>To R W Baird & Co<br>@ 38.418                                                 | 1.80         | 0.04        | 1,726.97         | - 1,580.66         | 146.31                |
| 10/28/16 | Sold 100 Shs 10/25/16<br>To William Blair & Company LLC<br>@ 36.8259                                  | 3.00         | 0.09        | 3,679.50         | - 2,893.59         | 785.91                |
| 10/31/16 | Sold 86 Shs 10/26/16<br>To William Blair & Company LLC<br>@ 35.4405                                   | 2.58         | 0.07        | 3,045.23         | - 2,370.52         | 674.71                |
| 11/04/16 | Sold 101 Shs 11/01/16<br>To William Blair & Company LLC<br>@ 32.9273                                  | 3.03         | 0.08        | 3,322.55         | - 2,906.52         | 416.03                |
|          |                                                                                                       | <u>55.30</u> | <u>1.01</u> | <u>44,471.49</u> | <u>- 48,971.83</u> | <u>- 4,500.34</u>     |
| 10/14/16 | - Zendesk Inc Com<br>98936J101<br>Sold 71 Shs 10/11/16<br>To William Blair & Company LLC<br>@ 28.2122 | 2.13         | 0.05        | 2,000.89         | - 1,940.29         | 60.60                 |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                               | Commission   | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|-----------------------------------------------------------------------------------------------|--------------|-------------|------------------|--------------------|-----------------------|
| 10/17/16 | Sold 52 Shs 10/12/16<br>To William Blair & Company LLC<br>@ 27 8587                           | 1 56         | 0 04        | 1,447.05         | - 1,421 05         | 26.00                 |
| 10/21/16 | Sold 88 Shs 10/18/16<br>To William Blair & Company LLC<br>@ 26 2456                           | 2.64         | 0 06        | 2,306 91         | - 2,383 42         | - 76 51               |
| 11/16/16 | Sold 259 Shs 11/11/16<br>To Jefferies & Co<br>@ 24 5927                                       | 7 77         | 0 14        | 6,361.60         | - 6,969 73         | - 608 13              |
| 11/18/16 | Sold 70 Shs 11/15/16<br>To William Blair & Company LLC<br>@ 22 8994                           | 2 10         | 0 04        | 1,600 82         | - 1,775 30         | - 174 48              |
| 11/22/16 | Sold 175 Shs 11/17/16<br>To William Blair & Company LLC<br>@ 22 7542                          | 5.25         | 0 09        | 3,976.65         | - 3,691 95         | 284.70                |
| 11/23/16 | Sold 170 Shs 11/18/16<br>To Jefferies & Co<br>@ 22 3119                                       | 5 10         | 0 09        | 3,787.83         | - 3,064.17         | 723.66                |
| 11/25/16 | Sold 71 Shs 11/21/16<br>To William Blair & Company LLC<br>@ 22 5738                           | 2 13         | 0 04        | 1,600 57         | - 1,450 52         | 150 05                |
| 12/02/16 | Sold 84 Shs 11/29/16<br>To William Blair & Company LLC<br>@ 21 6888                           | 2 52         | 0 04        | 1,819.30         | - 1,918 26         | - 98.96               |
| 12/05/16 | Sold 152 Shs 11/30/16<br>To William Blair & Company LLC<br>@ 21 3521                          | 4.56         | 0 08        | 3,240 88         | - 3,551.40         | - 310 52              |
|          |                                                                                               | <b>35.76</b> | <b>0.67</b> | <b>28,142.50</b> | <b>- 28,166.09</b> | <b>- 23.59</b>        |
| 02/12/16 | - Zoes Kitchen Inc Com<br>98979J109<br>Sold 65 Shs 02/09/16<br>To R W Baird & Co<br>@ 24 0947 | 2.60         | 0 03        | 1,563.53         | - 2,408.17         | - 844 64              |
| 03/16/16 | Sold 50 Shs 03/11/16<br>To Canaccord Genuity Inc<br>@ 38 2696                                 | 2 00         | 0 05        | 1,911 43         | - 1,852 43         | 59 00                 |
| 03/23/16 | Sold 75 Shs 03/18/16<br>To Oppenheimer & Co Inc<br>@ 39 8355                                  | 3 00         | 0 07        | 2,984 59         | - 2,830 03         | 154 56                |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                      | Commission      | Other Costs  | Net Cash            | Cost                 | Realized<br>Gain/Loss |
|----------|----------------------------------------------------------------------|-----------------|--------------|---------------------|----------------------|-----------------------|
| 04/04/16 | Sold 70 Shs 03/30/16<br>To William Blair & Company LLC<br>@ 39.668   | 2.80            | 0.07         | 2,773.89            | -2,644.21            | 129.68                |
| 08/09/16 | Sold 120 Shs 08/04/16<br>To Jefferies & Co<br>@ 34.668               | 4.80            | 0.10         | 4,155.26            | -4,241.73            | -86.47                |
| 08/26/16 | Sold 55 Shs 08/23/16<br>To Instinet<br>@ 30.3918                     | 0.82            | 0.04         | 1,670.69            | -1,891.80            | -221.11               |
| 08/29/16 | Sold 50 Shs 08/24/16<br>To Instinet<br>@ 30.4181                     | 0.75            | 0.04         | 1,520.12            | -1,776.14            | -256.02               |
| 08/31/16 | Sold 135 Shs 08/26/16<br>To William Blair & Company LLC<br>@ 28.4949 | 5.40            | 0.09         | 3,841.32            | -5,251.42            | -1,410.10             |
|          |                                                                      | <u>22.17</u>    | <u>0.49</u>  | <u>20,420.83</u>    | <u>-22,895.93</u>    | <u>-2,475.10</u>      |
|          | <b>Total Common Stocks</b>                                           | <u>2,829.57</u> | <u>69.80</u> | <u>3,050,913.10</u> | <u>-3,285,481.02</u> | <u>-234,567.92</u>    |
|          | <b>Exchange Traded Funds</b>                                         |                 |              |                     |                      |                       |
|          | - iShares Russell 2000 Growth ETF<br>464287648                       |                 |              |                     |                      |                       |
| 02/22/16 | Sold 260 Shs 02/17/16<br>To Jefferies & Co<br>@ 120.8192             | 2.60            | 0.69         | 31,409.70           | -32,564.69           | -1,154.99             |
| 02/24/16 | Sold 110 Shs 02/19/16<br>To Jefferies & Co<br>@ 120.3916             | 1.10            | 0.29         | 13,241.69           | -13,777.37           | -535.68               |
| 02/25/16 | Sold 185 Shs 02/22/16<br>To Jefferies & Co<br>@ 122.0255             | 1.85            | 0.50         | 22,572.37           | -22,435.75           | 136.62                |
| 02/29/16 | Sold 70 Shs 02/24/16<br>To Dain Rauscher Incorporated<br>@ 122.2519  | 0.53            | 0.19         | 8,556.91            | -8,407.04            | 149.87                |
| 03/01/16 | Sold 150 Shs 02/25/16<br>To Jefferies & Co<br>@ 122.9069             | 1.50            | 0.41         | 18,434.13           | -18,182.33           | 251.80                |
|          |                                                                      | <u>7.58</u>     | <u>2.08</u>  | <u>94,214.80</u>    | <u>-95,367.18</u>    | <u>-1,152.38</u>      |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                     | Commission      | Other Costs  | Net Cash            | Cost                  | Realized<br>Gain/Loss |
|----------|---------------------------------------------------------------------|-----------------|--------------|---------------------|-----------------------|-----------------------|
| -        | Ishares Russell 2000 ETF<br>464287655                               |                 |              |                     |                       |                       |
| 02/22/16 | Sold 60 Shs 02/17/16<br>To Jefferies & Co<br>@ 100 6431             | 0.60            | 0.14         | 6,037.85            | - 5,979.27            | 58.58                 |
| 02/25/16 | Sold 45 Shs 02/22/16<br>To Dain Rauscher Incorporated<br>@ 101.5371 | 0.34            | 0.10         | 4,568.73            | - 4,484.45            | 84.28                 |
| 03/02/16 | Sold 85 Shs 02/26/16<br>To Jefferies & Co<br>@ 103.0747             | 0.85            | 0.20         | 8,760.30            | - 8,470.62            | 289.68                |
| 03/03/16 | Sold 135 Shs 02/29/16<br>To Instinet<br>@ 103 229                   | 2.02            | 0.31         | 13,933.59           | - 13,343.69           | 589.90                |
|          |                                                                     | <u>3.81</u>     | <u>0.75</u>  | <u>33,300.47</u>    | <u>- 32,278.03</u>    | <u>1,022.44</u>       |
|          | <b>Total Exchange Traded Funds</b>                                  | <u>11.39</u>    | <u>2.83</u>  | <u>127,515.27</u>   | <u>- 127,645.21</u>   | <u>- 129.94</u>       |
|          |                                                                     | <u>2,840.96</u> | <u>72.63</u> | <u>3,178,428.37</u> | <u>- 3,413,126.23</u> | <u>- 234,697.86</u>   |
|          | <b>Total Equity</b>                                                 |                 |              |                     |                       |                       |
|          | <b>Cash Equivalent</b>                                              |                 |              |                     |                       |                       |
| -        | BMO Prime Money Market Cl Y #200<br>999993716                       |                 |              |                     |                       |                       |
| 12/31/16 | Sales (91) 01/01/16 To 12/31/16                                     | 0.00            | 0.00         | 691,694.11          | - 691,694.11          | 0.00                  |
| -        | BMO Govt Money Market Fd Y # 605<br>999993603                       |                 |              |                     |                       |                       |
| 12/31/16 | Sales (42) 01/01/16 To 12/31/16                                     | 0.00            | 0.00         | 385,812.03          | - 385,812.03          | 0.00                  |
|          | <b>Total Cash Equivalent</b>                                        | <u>0.00</u>     | <u>0.00</u>  | <u>1,077,506.14</u> | <u>- 1,077,506.14</u> | <u>0.00</u>           |
|          | <b>Pending Trades</b>                                               |                 |              |                     |                       |                       |
| 12/31/16 | Cash Effect of Pending Purchases                                    | 0.00            | 0.00         | 2,163.08            | - 2,163.08            | 0.00                  |

**Mercy Works FDN Advisory Research**

Account Number: 71-L084-03-6  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)       | Commission  | Other Costs | Net Cash        | Cost              | Realized<br>Gain/Loss |
|----------|---------------------------------------|-------------|-------------|-----------------|-------------------|-----------------------|
| 12/31/16 | Cash Effect Prior Period Sttlid Sales | 0.00        | 0.00        | 2,386.08        | - 2,386.08        | 0.00                  |
|          | <b>Total Pending Trades</b>           | <u>0.00</u> | <u>0.00</u> | <u>4,549.16</u> | <u>- 4,549.16</u> | <u>0.00</u>           |
|          | <b>Total Sales</b>                    | 2,840.96    | 72.63       | 4,260,483.67    | - 4,495,181.53    | - 234,697.86          |

**Mercy Works FDN JB-TIPS**

Account Number: 71-L084-04-4  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                 | Description<br>Asset ID (CUSIP)                                                                                  | Commission | Other Costs | Net Cash  | Cost       | Realized<br>Gain/Loss |
|--------------------------------------|------------------------------------------------------------------------------------------------------------------|------------|-------------|-----------|------------|-----------------------|
| <b>Fixed Income</b>                  |                                                                                                                  |            |             |           |            |                       |
| <b>Treasury and Federal Agencies</b> |                                                                                                                  |            |             |           |            |                       |
| -                                    | US Treasury Bond<br>3.625% Dtd 04/15/98 Due 04/15/2028<br>Treasury Inflation Protection<br>Security<br>912810FD5 | 0.00       | 0.00        | 20,451.18 | -17,208.97 | 3,242.21              |
| 06/29/16                             | Sold 14788.300 06/27/16<br>To Banc of Amer Secs LLC Montgomer<br>Original Face Value 10,000<br>@ 138.293         |            |             |           |            |                       |
| -                                    | US Treasury Bond<br>3.875% Dtd 04/15/99 Due 04/15/2029<br>Treasury Inflation Protection<br>Security<br>912810FH6 | 0.00       | 0.00        | 20,212.83 | -17,365.48 | 2,847.35              |
| 02/16/16                             | Sold 14411.600 02/11/16<br>To Banc of Amer Secs LLC Montgomer<br>Original Face Value 10,000<br>@ 140.253906      |            |             |           |            |                       |
| -                                    | US Treasury Bond<br>2.375% Dtd 07/15/04 Due 01/15/2025<br>Treasury Inflation Protection<br>Security<br>912810FR4 | 0.00       | 0.00        | 22,691.68 | -19,055.01 | 3,636.67              |
| 06/29/16                             | Sold 19033.650 06/27/16<br>To Banc of Amer Secs LLC Montgomer<br>Original Face Value 15,000<br>@ 119.21875       |            |             |           |            |                       |

**Mercy Works FDN JB-TIPS**

Account Number: 71-L084-04-4  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                                                                                                                                                   | Commission | Other Costs | Net Cash  | Cost        | Realized<br>Gain/Loss |
|----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-------------|-----------|-------------|-----------------------|
| -        | US Treasury Bond<br>2 125% Dtd 02/15/2010 Due 02/15/2040<br>Treasury Inflation Protection<br>Security<br>912810QF8<br>Sold 10961 300 02/11/16<br>To Banc of Amer Secs LLC Montgomer<br>Original Face Value 10,000<br>@ 124.269531 | 0.00       | 0.00        | 13,621.56 | - 11,912.15 | 1,709.41              |
| 02/16/16 |                                                                                                                                                                                                                                   |            |             |           |             |                       |
| -        | US Treasury Bond<br>2:125% Dtd 02/15/2011 Due 02/15/2041<br>Treasury Inflation Protection<br>Security<br>912810QP6<br>Sold 10922.200 06/27/16<br>To Banc of Amer Secs LLC Montgomer<br>Original Face Value 10,000<br>@ 130.707031 | 0.00       | 0.00        | 14,276.08 | - 11,821.47 | 2,454.61              |
| 06/29/16 |                                                                                                                                                                                                                                   |            |             |           |             |                       |
| -        | US Treasury Bond<br>0 75% Dtd 02/15/2012 Due 02/15/2042<br>Treasury Inflation Protection<br>Security<br>912810QV3<br>Sold 10585 300 06/27/16<br>To Banc of Amer Secs LLC Montgomer<br>Original Face Value 10,000<br>@ 99.109375   | 0.00       | 0.00        | 10,491.02 | - 11,196.18 | - 705.16              |
| 06/29/16 |                                                                                                                                                                                                                                   |            |             |           |             |                       |
| -        | US Treasury Note<br>2 375% Dtd 01/15/07 Due 01/15/2017<br>Treasury Inflation Protection<br>Security<br>912828GD6<br>Sold 29418 750 01/29/16<br>To Banc of Amer Secs LLC Montgomer<br>Original Face Value 25,000<br>@ 102.476563   | 0.00       | 0.00        | 30,147.32 | - 31,532.85 | - 1,385.53            |
| 02/02/16 |                                                                                                                                                                                                                                   |            |             |           |             |                       |

**Mercy Works FDN JB-TIPS**

Account Number: 71-L084-04-4  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                                                                                                                                                     | Commission | Other Costs | Net Cash  | Cost        | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-------------|-----------|-------------|-----------------------|
| 08/02/16 | - US Treasury Note<br>2 625% Dtd 07/15/07 Due 07/15/2017<br>Treasury Inflation Protection<br>Services<br>912828GX2<br>Sold 23185 07/29/16<br>To First Tennessee Bank NA Bond Di<br>Original Face Value 20,000<br>@ 103.101562       | 0.00       | 0.00        | 23,904.10 | - 24,362.39 | - 458.29              |
| 03/02/16 | - US Treasury Note<br>1 625% Dtd 01/15/08 Due 01/15/2018<br>Treasury Inflation Protection<br>Security<br>912828HN3<br>Sold 5645,400 02/29/16<br>To Banc of Amer Secs LLC Montgomer<br>Original Face Value 5,000<br>@ 103.863281     | 0.00       | 0.00        | 5,863.50  | - 5,558.67  | 304.83                |
| 06/29/16 | - US Treasury Note<br>1 375% Dtd 07/15/08 Due 07/15/2018<br>(Treasury Inflation Protection<br>Security)<br>912828JE1<br>Sold 11091,900 06/27/16<br>To RBC Cap Mkts (Royal Bank of Can<br>Original Face Value 10,000<br>@ 104.753906 | 0.00       | 0.00        | 11,323.68 | - 10,708.64 | 615.04                |
| 06/29/16 | - US Treasury Note<br>1 875% Dtd 07/15/2009 Due 07/15/2019<br>Treasury Inflation Protection<br>Security<br>912828LA6<br>Sold 11202,100 06/27/16<br>To Banc of Amer Secs LLC Montgomer<br>Original Face Value 10,000<br>@ 108.011719 | 0.00       | 0.00        | 12,099.58 | - 11,641.46 | 458.12                |

**Mercy Works FDN JB-TIPS**

Account Number: 71-L084-04-4  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                                                                                                                                                     | Commission | Other Costs | Net Cash  | Cost        | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-------------|-----------|-------------|-----------------------|
| 02/16/16 | - US Treasury Note<br>1 125% Dtd 07/15/2010 Due 07/15/2020<br>Treasury Inflation Protection<br>Security<br>912828NM8<br>Sold 16295 250 02/11/16<br>To Banc of Amer Secs LLC Montgomer<br>Original Face Value 15,000<br>@ 105.46875  | 0.00       | 0.00        | 17,186.40 | - 17,044.92 | 141.48                |
| 06/16/16 | - US Treasury Note<br>1.125% Dtd 01/15/2011 Due 01/15/2021<br>Treasury Inflation Protection<br>Security<br>912828PP9<br>Sold 16401 150 06/27/16<br>To Banc of Amer Secs LLC Montgomer<br>Original Face Value 15,000<br>@ 106.738281 | 0.00       | 0.00        | 17,506.31 | - 16,586.39 | 919.92                |
| 02/16/16 | - US Treasury Note<br>0 625% Dtd 07/15/2011 Due 07/15/2021<br>Treasury Inflation Protection<br>Security<br>912828QV5<br>Sold 15767.550 02/11/16<br>To Banc of Amer Secs LLC Montgomer<br>Original Face Value 15,000<br>@ 102.578125 | 0.00       | 0.00        | 16,174.06 | - 16,150.62 | 23.44                 |
| 02/16/16 | - US Treasury Note<br>0 125% Dtd 01/15/2012 Due 01/15/2022<br>Treasury Inflation Protection<br>Security<br>912828SA9<br>Sold 15701.250 02/11/16<br>To Banc of Amer Secs LLC Montgomer<br>Original Face Value 15,000<br>@ 99.214844  | 0.00       | 0.00        | 15,577.97 | - 16,329.17 | - 751.20              |

**Mercy Works FDN JB-TIPS**

Account Number: 71-L084-04-4  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                                                                                                                                                     | Commission  | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------|------------------|--------------------|-----------------------|
| 02/16/16 | - US Treasury Note<br>0.125% Dtd 04/15/2012 Due 04/15/2017<br>Treasury Inflation Protection<br>Security<br>912828SQ4<br>Sold 10430.800 02/11/16<br>To Banc of Amer Secs LLC Montgomer<br>Original Face Value 10,000<br>@ 99.992188  | 0.00        | 0.00        | 10,429.99        | - 11,149.78        | - 719.79              |
| 05/03/16 | Sold 57432.650 04/29/16<br>To Banc of Amer Secs LLC Montgomer<br>Original Face Value 55,000<br>@ 100.960938                                                                                                                         | 0.00        | 0.00        | 57,984.54        | - 61,417.40        | - 3,432.86            |
|          |                                                                                                                                                                                                                                     | <u>0.00</u> | <u>0.00</u> | <u>68,414.53</u> | <u>- 72,567.18</u> | <u>- 4,152.65</u>     |
| 06/29/16 | - US Treasury Note<br>0.125% Dtd 07/15/2012 Due 07/15/2022<br>Treasury Inflation Protection<br>Security<br>912828TE0<br>Sold 20802.200 06/27/16<br>To RBC Cap Mkts (Royal Bank of Can<br>Original Face Value 20,000<br>@ 101.882812 | 0.00        | 0.00        | 21,193.87        | - 22,493.06        | - 1,299.19            |
| 06/29/16 | - US Treasury Note<br>0.125% Dtd 01/15/2013 Due 01/15/2023<br>Treasury Inflation Protection<br>Security<br>912828UH1<br>Sold 20724.600 06/27/16<br>To Banc of Amer Secs LLC Montgomer<br>Original Face Value 20,000<br>@ 101.195313 | 0.00        | 0.00        | 20,972.32        | - 22,131.31        | - 1,158.99            |

**Mercy Works FDN JB-TIPS**

Account Number: 71-L084-04-4  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                                                                                                                                                 | Commission  | Other Costs | Net Cash          | Cost                | Realized<br>Gain/Loss |
|----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------|-------------------|---------------------|-----------------------|
| 02/16/16 | - US Treasury Note<br>0 125% Dtd 04/15/2013 Due 04/15/2018<br>Treasury Inflation Protection<br>Security<br>912828UX6<br>Sold 10249 02/11/16<br>To Banc of Amer Secs LLC Montgomer<br>Original Face Value 10,000<br>@ 100.277344 | 0.00        | 0.00        | 10,277.43         | - 11,056.40         | - 778.97              |
| 06/29/16 | Sold 10347.200 06/27/16<br>To Banc of Amer Secs LLC Montgomer<br>Original Face Value 10,000<br>@ 101.441406                                                                                                                     | 0.00        | 0.00        | 10,496.35         | - 11,154.59         | - 658.24              |
|          |                                                                                                                                                                                                                                 | <u>0.00</u> | <u>0.00</u> | <u>20,773.78</u>  | <u>- 22,210.99</u>  | <u>- 1,437.21</u>     |
|          | <b>Total Treasury and Federal Agencies</b>                                                                                                                                                                                      | <u>0.00</u> | <u>0.00</u> | <u>382,881.77</u> | <u>- 377,876.91</u> | <u>5,004.86</u>       |
|          | <b>Total Fixed Income</b>                                                                                                                                                                                                       | <u>0.00</u> | <u>0.00</u> | <u>382,881.77</u> | <u>- 377,876.91</u> | <u>5,004.86</u>       |
|          | <b>Cash Equivalent</b>                                                                                                                                                                                                          |             |             |                   |                     |                       |
| 12/31/16 | - BMO Prime Money Market CI Y #200<br>999993116<br>Sales (5) 01/01/16 To 12/31/16                                                                                                                                               | 0.00        | 0.00        | 306,447.26        | - 306,447.26        | 0.00                  |
| 12/31/16 | - BMO Govt Money Market Fd Y # 605<br>999993603<br>Sales (2) 01/01/16 To 12/31/16                                                                                                                                               | 0.00        | 0.00        | 15,777.49         | - 15,777.49         | 0.00                  |
|          | <b>Total Cash Equivalent</b>                                                                                                                                                                                                    | <u>0.00</u> | <u>0.00</u> | <u>322,224.75</u> | <u>- 322,224.75</u> | <u>0.00</u>           |
|          | <b>Total Sales</b>                                                                                                                                                                                                              | <u>0.00</u> | <u>0.00</u> | <u>705,106.52</u> | <u>- 700,101.66</u> | <u>5,004.86</u>       |

## Mercy Works FDN JB-Core Fixed Income

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

## Sales

| Date                          | Description<br>Asset ID (CUSIP)                                                                                 | Commission | Other Costs | Net Cash  | Cost       | Realized<br>Gain/Loss |
|-------------------------------|-----------------------------------------------------------------------------------------------------------------|------------|-------------|-----------|------------|-----------------------|
| Fixed Income                  |                                                                                                                 |            |             |           |            |                       |
| Treasury and Federal Agencies |                                                                                                                 |            |             |           |            |                       |
| -                             | Federal Home Ln Mtg Corp Partn Gold<br>Group #G02344 5 000% Dtd 09/01/2006<br>Due 10/01/2036 IPD14<br>3128LXS92 |            |             |           |            |                       |
| 01/15/16                      | Prin Pmt for December 2015                                                                                      | 0.00       | 0.00        | 114.14    | -117.57    | -3.43                 |
| 02/16/16                      | Prin Pmt for January 2016                                                                                       | 0.00       | 0.00        | 75.79     | -78.06     | -2.27                 |
| 03/15/16                      | Prin Pmt for February 2016                                                                                      | 0.00       | 0.00        | 149.27    | -153.75    | -4.48                 |
| 04/15/16                      | Prin Pmt for March 2016                                                                                         | 0.00       | 0.00        | 131.28    | -135.22    | -3.94                 |
| 05/16/16                      | Prin Pmt for April 2016                                                                                         | 0.00       | 0.00        | 80.84     | -83.27     | -2.43                 |
| 06/15/16                      | Prin Pmt for May 2016                                                                                           | 0.00       | 0.00        | 82.75     | -85.23     | -2.48                 |
| 07/15/16                      | Prin Pmt for June 2016                                                                                          | 0.00       | 0.00        | 113.02    | -116.41    | -3.39                 |
| 08/15/16                      | Prin Pmt for July 2016                                                                                          | 0.00       | 0.00        | 103.85    | -106.97    | -3.12                 |
| 09/15/16                      | Prin Pmt for August 2016                                                                                        | 0.00       | 0.00        | 127.01    | -130.82    | -3.81                 |
| 10/17/16                      | Prin Pmt for September 2016                                                                                     | 0.00       | 0.00        | 97.91     | -100.85    | -2.94                 |
| 11/15/16                      | Prin Pmt for October 2016                                                                                       | 0.00       | 0.00        | 88.10     | -90.74     | -2.64                 |
| 12/15/16                      | Prin Pmt for November 2016                                                                                      | 0.00       | 0.00        | 67.52     | -69.55     | -2.03                 |
|                               |                                                                                                                 | 0.00       | 0.00        | 1,231.48  | -1,268.44  | -36.96                |
| -                             | Federal Home Ln Mtg Corp Partn Gold<br>Group #G07849 3 500% Dtd 10/01/2014<br>Due 05/01/2044 IPD14<br>3128MABS7 |            |             |           |            |                       |
| 01/15/16                      | Prin Pmt for December 2015                                                                                      | 0.00       | 0.00        | 876.99    | -908.82    | -31.83                |
| 02/16/16                      | Prin Pmt for January 2016                                                                                       | 0.00       | 0.00        | 671.96    | -696.34    | -24.38                |
| 03/15/16                      | Prin Pmt for February 2016                                                                                      | 0.00       | 0.00        | 665.72    | -689.88    | -24.16                |
| 04/15/16                      | Prin Pmt for March 2016                                                                                         | 0.00       | 0.00        | 778.84    | -807.10    | -28.26                |
| 05/16/16                      | Prin Pmt for April 2016                                                                                         | 0.00       | 0.00        | 1,071.80  | -1,110.69  | -38.89                |
| 06/15/16                      | Prin Pmt for May 2016                                                                                           | 0.00       | 0.00        | 932.93    | -966.79    | -33.86                |
| 07/15/16                      | Prin Pmt for June 2016                                                                                          | 0.00       | 0.00        | 1,204.10  | -1,247.80  | -43.70                |
| 08/15/16                      | Prin Pmt for July 2016                                                                                          | 0.00       | 0.00        | 987.63    | -1,023.47  | -35.84                |
| 09/15/16                      | Prin Pmt for August 2016                                                                                        | 0.00       | 0.00        | 932.43    | -966.27    | -33.84                |
| 10/17/16                      | Prin Pmt for September 2016                                                                                     | 0.00       | 0.00        | 926.14    | -959.75    | -33.61                |
| 11/15/16                      | Prin Pmt for October 2016                                                                                       | 0.00       | 0.00        | 1,026.36  | -1,063.61  | -37.25                |
| 12/15/16                      | Prin Pmt for November 2016                                                                                      | 0.00       | 0.00        | 1,011.72  | -1,048.43  | -36.71                |
|                               |                                                                                                                 | 0.00       | 0.00        | 11,086.62 | -11,486.95 | -402.33               |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                                              | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|-------------------------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|------------------|--------------------|-----------------------|
| - Federal Home Ln Mtg Corp Partn Gold<br>Group #G12619 5.000% Dtd 03/01/2007<br>Due 04/01/2022 IPD14<br>3128MBDY0 |                                 |             |             |                  |                    |                       |
| 02/16/16                                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 908.19           | - 974.03           | - 65.84               |
| 03/15/16                                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 1,712.41         | - 1,836.56         | - 124.15              |
| 04/15/16                                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 1,580.77         | - 1,695.38         | - 114.61              |
| 05/16/16                                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 959.10           | - 1,028.63         | - 69.53               |
| 06/15/16                                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 1,913.79         | - 2,052.54         | - 138.75              |
| 07/15/16                                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 1,432.39         | - 1,536.24         | - 103.85              |
| 08/15/16                                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 1,429.49         | - 1,533.13         | - 103.64              |
| 09/15/16                                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 1,456.70         | - 1,562.31         | - 105.61              |
| 10/17/16                                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 1,262.32         | - 1,353.84         | - 91.52               |
| 11/15/16                                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 1,568.80         | - 1,682.54         | - 113.74              |
| 12/15/16                                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 1,187.53         | - 1,273.63         | - 86.10               |
|                                                                                                                   |                                 | <b>0.00</b> | <b>0.00</b> | <b>15,411.49</b> | <b>- 16,528.83</b> | <b>- 1,117.34</b>     |
| - Federal Home Ln Mtg Corp Partn Gold<br>Group #G12978 5.500% Dtd 01/01/2008<br>Due 12/01/2022 IPD14<br>3128MBQ75 |                                 |             |             |                  |                    |                       |
| 01/15/16                                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 131.34           | - 134.26           | - 2.92                |
| 02/16/16                                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 85.26            | - 87.16            | - 1.90                |
| 03/15/16                                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 77.52            | - 79.24            | - 1.72                |
| 04/15/16                                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 115.06           | - 117.62           | - 2.56                |
| 05/16/16                                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 115.66           | - 118.23           | - 2.57                |
| 06/15/16                                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 97.73            | - 99.90            | - 2.17                |
| 07/15/16                                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 120.74           | - 123.43           | - 2.69                |
| 08/15/16                                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 88.01            | - 89.97            | - 1.96                |
| 09/15/16                                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 96.66            | - 98.81            | - 2.15                |
| 10/17/16                                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 80.68            | - 82.47            | - 1.79                |
| 11/15/16                                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 54.45            | - 55.66            | - 1.21                |
| 12/15/16                                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 73.76            | - 75.40            | - 1.64                |
|                                                                                                                   |                                 | <b>0.00</b> | <b>0.00</b> | <b>1,136.87</b>  | <b>- 1,162.15</b>  | <b>- 25.28</b>        |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                                               | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|--------------------------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|------------------|--------------------|-----------------------|
| - Federal Home Ln Mtg Corp Parthn Gold<br>Group #G13022 5 500% Dtd 02/01/08<br>Due 02/01/2023 IPD14<br>3128/BSK4   |                                 |             |             |                  |                    |                       |
| 02/16/16                                                                                                           | Prin Pmt for January 2016       | 0.00        | 0.00        | 2,856.09         | - 3,104.21         | - 248.12              |
| 03/15/16                                                                                                           | Prin Pmt for February 2016      | 0.00        | 0.00        | 2,379.33         | - 2,586.03         | - 206.70              |
| 04/15/16                                                                                                           | Prin Pmt for March 2016         | 0.00        | 0.00        | 3,815.13         | - 4,146.57         | - 331.44              |
| 05/16/16                                                                                                           | Prin Pmt for April 2016         | 0.00        | 0.00        | 2,401.23         | - 2,609.84         | - 208.61              |
| 06/15/16                                                                                                           | Prin Pmt for May 2016           | 0.00        | 0.00        | 2,584.59         | - 2,809.13         | - 224.54              |
| 07/15/16                                                                                                           | Prin Pmt for June 2016          | 0.00        | 0.00        | 3,113.04         | - 3,383.49         | - 270.45              |
| 08/15/16                                                                                                           | Prin Pmt for July 2016          | 0.00        | 0.00        | 2,851.17         | - 3,098.87         | - 247.70              |
| 09/15/16                                                                                                           | Prin Pmt for August 2016        | 0.00        | 0.00        | 2,165.55         | - 2,353.68         | - 188.13              |
| 10/17/16                                                                                                           | Prin Pmt for September 2016     | 0.00        | 0.00        | 2,701.71         | - 2,936.42         | - 234.71              |
| 11/15/16                                                                                                           | Prin Pmt for October 2016       | 0.00        | 0.00        | 2,414.82         | - 2,624.61         | - 209.79              |
| 12/15/16                                                                                                           | Prin Pmt for November 2016      | 0.00        | 0.00        | 2,293.62         | - 2,492.88         | - 199.26              |
|                                                                                                                    |                                 | <b>0.00</b> | <b>0.00</b> | <b>29,576.28</b> | <b>- 32,145.73</b> | <b>- 2,569.45</b>     |
| - Federal Home Ln Mtg Corp Parthn Gold<br>Group #G13201 4 500% Dtd 06/01/2008<br>Due 07/01/2023 IPD14<br>3128/BX69 |                                 |             |             |                  |                    |                       |
| 01/15/16                                                                                                           | Prin Pmt for December 2015      | 0.00        | 0.00        | 46.94            | - 47.40            | - 0.46                |
| 02/16/16                                                                                                           | Prin Pmt for January 2016       | 0.00        | 0.00        | 41.35            | - 41.76            | - 0.41                |
| 03/15/16                                                                                                           | Prin Pmt for February 2016      | 0.00        | 0.00        | 38.53            | - 38.91            | - 0.38                |
| 04/15/16                                                                                                           | Prin Pmt for March 2016         | 0.00        | 0.00        | 39.55            | - 39.94            | - 0.39                |
| 05/16/16                                                                                                           | Prin Pmt for April 2016         | 0.00        | 0.00        | 46.01            | - 46.46            | - 0.45                |
| 06/15/16                                                                                                           | Prin Pmt for May 2016           | 0.00        | 0.00        | 34.90            | - 35.24            | - 0.34                |
| 07/15/16                                                                                                           | Prin Pmt for June 2016          | 0.00        | 0.00        | 42.59            | - 43.01            | - 0.42                |
| 08/15/16                                                                                                           | Prin Pmt for July 2016          | 0.00        | 0.00        | 32.47            | - 32.79            | - 0.32                |
| 09/15/16                                                                                                           | Prin Pmt for August 2016        | 0.00        | 0.00        | 39.08            | - 39.47            | - 0.39                |
| 10/17/16                                                                                                           | Prin Pmt for September 2016     | 0.00        | 0.00        | 31.54            | - 31.85            | - 0.31                |
| 11/15/16                                                                                                           | Prin Pmt for October 2016       | 0.00        | 0.00        | 47.62            | - 48.09            | - 0.47                |
| 12/15/16                                                                                                           | Prin Pmt for November 2016      | 0.00        | 0.00        | 28.70            | - 28.98            | - 0.28                |
|                                                                                                                    |                                 | <b>0.00</b> | <b>0.00</b> | <b>469.28</b>    | <b>- 473.90</b>    | <b>- 4.62</b>         |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                                              | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash        | Cost             | Realized<br>Gain/Loss |
|-------------------------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|-----------------|------------------|-----------------------|
| - Federal Home Ln Mgt Corp Parth Gold<br>Group #G13232 5 000% Dtd 07/01/2008<br>Due 06/01/2023 IPD14<br>3128MBY50 |                                 |             |             |                 |                  |                       |
| 01/15/16                                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 93.15           | -95.11           | -1.96                 |
| 02/16/16                                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 71.54           | -73.05           | -1.51                 |
| 03/15/16                                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 72.37           | -73.90           | -1.53                 |
| 04/15/16                                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 52.17           | -53.27           | -1.10                 |
| 05/16/16                                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 73.15           | -74.69           | -1.54                 |
| 06/15/16                                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 81.77           | -83.49           | -1.72                 |
| 07/15/16                                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 78.25           | -79.90           | -1.65                 |
| 08/15/16                                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 66.62           | -68.03           | -1.41                 |
| 09/15/16                                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 54.48           | -55.63           | -1.15                 |
| 10/17/16                                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 65.80           | -67.19           | -1.39                 |
| 11/15/16                                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 84.88           | -86.67           | -1.79                 |
| 12/15/16                                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 65.00           | -66.37           | -1.37                 |
|                                                                                                                   |                                 | <b>0.00</b> | <b>0.00</b> | <b>859.18</b>   | <b>-877.30</b>   | <b>-18.12</b>         |
| - Federal Home Ln Mgt Corp Parth Gold<br>Group #G13767 4.000% Dtd 02/01/2010<br>Due 03/01/2025 IPD14<br>3128MCMQ5 |                                 |             |             |                 |                  |                       |
| 01/15/16                                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 359.04          | -364.65          | -5.61                 |
| 02/16/16                                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 244.32          | -248.14          | -3.82                 |
| 03/15/16                                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 365.41          | -371.12          | -5.71                 |
| 04/15/16                                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 391.64          | -397.76          | -6.12                 |
| 05/16/16                                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 261.69          | -265.78          | -4.09                 |
| 06/15/16                                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 327.35          | -332.46          | -5.11                 |
| 07/15/16                                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 349.50          | -354.96          | -5.46                 |
| 08/15/16                                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 356.54          | -362.11          | -5.57                 |
| 09/15/16                                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 352.44          | -357.95          | -5.51                 |
| 10/17/16                                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 387.12          | -393.17          | -6.05                 |
| 11/15/16                                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 232.55          | -236.18          | -3.63                 |
| 12/15/16                                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 272.01          | -276.26          | -4.25                 |
|                                                                                                                   |                                 | <b>0.00</b> | <b>0.00</b> | <b>3,899.61</b> | <b>-3,960.54</b> | <b>-60.93</b>         |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                                              | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash        | Cost             | Realized<br>Gain/Loss |
|-------------------------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|-----------------|------------------|-----------------------|
| - Federal Home Ln Mtg Corp Parth Gold<br>Group #G08079 5 000% Dtd 09/01/2005<br>Due 09/01/2035 IPD14<br>3128MJCR9 |                                 |             |             |                 |                  |                       |
| 01/15/16                                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 199.81          | -202.95          | -3.14                 |
| 02/16/16                                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 82.79           | -84.09           | -1.30                 |
| 03/15/16                                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 179.63          | -182.45          | -2.82                 |
| 04/15/16                                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 139.87          | -142.07          | -2.20                 |
| 05/16/16                                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 164.53          | -167.11          | -2.58                 |
| 06/15/16                                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 191.05          | -194.05          | -3.00                 |
| 07/15/16                                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 182.78          | -185.65          | -2.87                 |
| 08/15/16                                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 161.85          | -164.39          | -2.54                 |
| 09/15/16                                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 184.88          | -187.78          | -2.90                 |
| 10/17/16                                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 169.75          | -172.42          | -2.67                 |
| 11/15/16                                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 142.27          | -144.50          | -2.23                 |
| 12/15/16                                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 83.67           | -84.98           | -1.31                 |
|                                                                                                                   |                                 | <b>0.00</b> | <b>0.00</b> | <b>1,882.88</b> | <b>-1,912.44</b> | <b>-29.56</b>         |
| - Federal Home Ln Mtg Corp Parth Gold<br>Group #G08200 6 000% Dtd 05/01/07<br>Due 05/01/2037 IPD14<br>3128MJGJ3   |                                 |             |             |                 |                  |                       |
| 01/15/16                                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 129.26          | -133.04          | -3.78                 |
| 02/16/16                                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 141.22          | -145.35          | -4.13                 |
| 03/15/16                                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 468.22          | -481.90          | -13.68                |
| 04/15/16                                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 370.10          | -380.92          | -10.82                |
| 05/16/16                                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 228.21          | -234.88          | -6.67                 |
| 06/15/16                                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 330.06          | -339.71          | -9.65                 |
| 07/15/16                                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 292.14          | -300.68          | -8.54                 |
| 08/15/16                                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 177.62          | -182.81          | -5.19                 |
| 09/15/16                                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 76.20           | -78.43           | -2.23                 |
| 10/17/16                                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 211.30          | -217.47          | -6.17                 |
| 11/15/16                                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 115.24          | -118.61          | -3.37                 |
| 12/15/16                                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 173.44          | -178.51          | -5.07                 |
|                                                                                                                   |                                 | <b>0.00</b> | <b>0.00</b> | <b>2,713.01</b> | <b>-2,792.31</b> | <b>-79.30</b>         |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                                              | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash        | Cost             | Realized<br>Gain/Loss |
|-------------------------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|-----------------|------------------|-----------------------|
| - Federal Home Ln Mtg Corp Partn Gold<br>Group #G08255 5 000% Dtd 03/01/2008<br>Due 03/01/2038 IPD14<br>3128MJH94 |                                 |             |             |                 |                  |                       |
| 01/15/16                                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 171.38          | -174.48          | -3.10                 |
| 02/16/16                                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 116.79          | -118.91          | -2.12                 |
| 03/15/16                                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 98.22           | -100.00          | -1.78                 |
| 04/15/16                                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 148.37          | -151.06          | -2.69                 |
| 05/16/16                                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 121.56          | -123.76          | -2.20                 |
| 06/15/16                                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 161.77          | -164.70          | -2.93                 |
| 07/15/16                                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 220.75          | -224.75          | -4.00                 |
| 08/15/16                                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 166.62          | -169.64          | -3.02                 |
| 09/15/16                                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 192.07          | -195.55          | -3.48                 |
| 10/17/16                                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 77.65           | -79.06           | -1.41                 |
| 11/15/16                                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 103.73          | -105.61          | -1.88                 |
| 12/15/16                                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 103.37          | -105.24          | -1.87                 |
|                                                                                                                   |                                 | <u>0.00</u> | <u>0.00</u> | <u>1,682.28</u> | <u>-1,712.76</u> | <u>-30.48</u>         |
| - Federal Home Ln Mtg Corp Partn Gold<br>Group #G08331 4 500% Dtd 02/01/2009<br>Due 02/01/2039 IPD14<br>3128MJLM0 |                                 |             |             |                 |                  |                       |
| 01/15/16                                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 211.46          | -222.96          | -11.50                |
| 02/16/16                                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 189.30          | -199.59          | -10.29                |
| 03/15/16                                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 195.78          | -206.42          | -10.64                |
| 04/15/16                                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 258.48          | -272.53          | -14.05                |
| 05/16/16                                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 221.88          | -233.94          | -12.06                |
| 06/15/16                                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 202.27          | -213.27          | -11.00                |
| 07/15/16                                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 251.27          | -264.93          | -13.66                |
| 08/15/16                                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 200.20          | -211.09          | -10.89                |
| 09/15/16                                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 253.06          | -266.82          | -13.76                |
| 10/17/16                                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 221.89          | -233.95          | -12.06                |
| 11/15/16                                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 191.83          | -202.26          | -10.43                |
| 12/15/16                                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 230.15          | -242.66          | -12.51                |
|                                                                                                                   |                                 | <u>0.00</u> | <u>0.00</u> | <u>2,627.57</u> | <u>-2,770.42</u> | <u>-142.85</u>        |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                                              | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|-------------------------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|------------------|-------------------|-----------------------|
| - Federal Home Ln Mgt Corp Partn Gold<br>Group #G08332 4 500% Dtd 03/01/2009<br>Due 03/01/2039 IPD14<br>3128MJLN8 |                                 |             |             |                  |                   |                       |
| 01/15/16                                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 548.65           | -596.57           | -47.92                |
| 02/16/16                                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 476.57           | -518.20           | -41.63                |
| 03/15/16                                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 481.33           | -523.37           | -42.04                |
| 04/15/16                                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 676.00           | -735.04           | -59.04                |
| 05/16/16                                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 709.17           | -771.11           | -61.94                |
| 06/15/16                                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 622.84           | -677.24           | -54.40                |
| 07/15/16                                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 712.53           | -774.77           | -62.24                |
| 08/15/16                                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 570.38           | -620.20           | -49.82                |
| 09/15/16                                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 734.90           | -799.09           | -64.19                |
| 10/17/16                                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 835.77           | -908.77           | -73.00                |
| 11/15/16                                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 669.13           | -727.57           | -58.44                |
| 12/15/16                                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 622.23           | -676.58           | -54.35                |
|                                                                                                                   |                                 | <b>0.00</b> | <b>0.00</b> | <b>7,659.50</b>  | <b>-8,328.51</b>  | <b>-669.01</b>        |
| - Federal Home Ln Mgt Corp Partn Gold<br>Group #G08500 3.500% Dtd 07/01/2012<br>Due 07/01/2042 IPD14<br>3128MJRW2 |                                 |             |             |                  |                   |                       |
| 01/15/16                                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 567.21           | -603.55           | -36.34                |
| 02/16/16                                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 594.00           | -632.05           | -38.05                |
| 03/15/16                                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 630.42           | -670.81           | -40.39                |
| 04/15/16                                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 763.12           | -812.01           | -48.89                |
| 05/16/16                                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 902.74           | -960.57           | -57.83                |
| 06/15/16                                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 1,170.02         | -1,244.97         | -74.95                |
| 07/15/16                                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 1,298.26         | -1,381.43         | -83.17                |
| 08/15/16                                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 1,069.92         | -1,138.46         | -68.54                |
| 09/15/16                                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 1,463.83         | -1,557.61         | -93.78                |
| 10/17/16                                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 1,415.85         | -1,506.55         | -90.70                |
| 11/15/16                                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 1,600.39         | -1,702.91         | -102.52               |
| 12/15/16                                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 863.18           | -918.48           | -55.30                |
|                                                                                                                   |                                 | <b>0.00</b> | <b>0.00</b> | <b>12,338.94</b> | <b>-13,129.40</b> | <b>-790.46</b>        |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                                              | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|-------------------------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|------------------|--------------------|-----------------------|
| - Federal Home Ln Mtg Corp Partn Gold<br>Group #G08558 4 000% Dtd 11/01/2013<br>Due 11/01/2043 IPD14<br>3128MJTQ3 |                                 |             |             |                  |                    |                       |
| 01/15/16                                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 1,045.12         | - 1,100.32         | - 55.20               |
| 02/16/16                                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 828.18           | - 871.92           | - 43.74               |
| 03/15/16                                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 809.66           | - 852.42           | - 42.76               |
| 04/15/16                                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 1,328.23         | - 1,398.38         | - 70.15               |
| 05/16/16                                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 1,238.17         | - 1,303.56         | - 65.39               |
| 06/15/16                                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 1,140.16         | - 1,200.37         | - 60.21               |
| 07/15/16                                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 1,367.45         | - 1,439.67         | - 72.22               |
| 08/15/16                                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 1,094.31         | - 1,152.10         | - 57.79               |
| 09/15/16                                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 1,519.16         | - 1,599.39         | - 80.23               |
| 10/17/16                                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 1,486.86         | - 1,565.38         | - 78.52               |
| 11/15/16                                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 1,333.24         | - 1,403.65         | - 70.41               |
| 12/15/16                                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 1,275.56         | - 1,342.93         | - 67.37               |
|                                                                                                                   |                                 | <b>0.00</b> | <b>0.00</b> | <b>14,466.10</b> | <b>- 15,230.09</b> | <b>- 763.99</b>       |
| - Federal Home Ln Mtg Corp Partn Gold<br>Group #G18316 4 000% Dtd 07/01/2009<br>Due 07/01/2024 IPD14<br>3128MMK69 |                                 |             |             |                  |                    |                       |
| 01/15/16                                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 207.01           | - 212.83           | - 5.82                |
| 02/16/16                                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 222.27           | - 228.52           | - 6.25                |
| 03/15/16                                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 201.13           | - 206.79           | - 5.66                |
| 04/15/16                                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 170.86           | - 175.67           | - 4.81                |
| 05/16/16                                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 197.84           | - 203.40           | - 5.56                |
| 06/15/16                                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 204.17           | - 209.91           | - 5.74                |
| 07/15/16                                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 200.50           | - 206.14           | - 5.64                |
| 08/15/16                                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 175.84           | - 180.79           | - 4.95                |
| 09/15/16                                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 202.15           | - 207.84           | - 5.69                |
| 10/17/16                                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 216.14           | - 222.22           | - 6.08                |
| 11/15/16                                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 202.64           | - 208.34           | - 5.70                |
| 12/15/16                                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 203.35           | - 209.07           | - 5.72                |
|                                                                                                                   |                                 | <b>0.00</b> | <b>0.00</b> | <b>2,403.90</b>  | <b>- 2,471.52</b>  | <b>- 67.62</b>        |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                                              | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash        | Cost              | Realized<br>Gain/Loss |
|-------------------------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|-----------------|-------------------|-----------------------|
| - Federal Home Ln Mtg Corp Partn Gold<br>Group #G03927 5 500% Dtd 02/01/2008<br>Due 01/01/2038 IPD14<br>3128M5VU1 |                                 |             |             |                 |                   |                       |
| 01/15/16                                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 103.32          | - 105.58          | - 2.26                |
| 02/16/16                                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 95.90           | - 98.00           | - 2.10                |
| 03/15/16                                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 77.89           | - 79.59           | - 1.70                |
| 04/15/16                                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 86.60           | - 88.49           | - 1.89                |
| 05/16/16                                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 72.70           | - 74.29           | - 1.59                |
| 06/15/16                                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 77.79           | - 79.49           | - 1.70                |
| 07/15/16                                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 71.95           | - 73.52           | - 1.57                |
| 08/15/16                                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 68.29           | - 69.78           | - 1.49                |
| 09/15/16                                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 82.46           | - 84.26           | - 1.80                |
| 10/17/16                                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 71.68           | - 73.25           | - 1.57                |
| 11/15/16                                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 77.63           | - 79.33           | - 1.70                |
| 12/15/16                                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 64.29           | - 65.69           | - 1.40                |
|                                                                                                                   |                                 | <u>0.00</u> | <u>0.00</u> | <u>950.50</u>   | <u>- 971.27</u>   | <u>- 20.77</u>        |
| - Federal Home Ln Mtg Corp Partn Gold<br>Group #G04804 4 500% Dtd 10/01/2008<br>Due 05/01/2037 IPD14<br>3128M6U55 |                                 |             |             |                 |                   |                       |
| 01/15/16                                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 628.83          | - 686.80          | - 57.97               |
| 02/16/16                                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 266.24          | - 290.78          | - 24.54               |
| 03/15/16                                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 446.35          | - 487.50          | - 41.15               |
| 04/15/16                                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 471.27          | - 514.72          | - 43.45               |
| 05/16/16                                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 661.18          | - 722.13          | - 60.95               |
| 06/15/16                                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 577.39          | - 630.62          | - 53.23               |
| 07/15/16                                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 842.08          | - 919.71          | - 77.63               |
| 08/15/16                                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 418.44          | - 457.01          | - 38.57               |
| 09/15/16                                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 737.82          | - 805.84          | - 68.02               |
| 10/17/16                                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 389.47          | - 425.37          | - 35.90               |
| 11/15/16                                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 315.78          | - 344.89          | - 29.11               |
| 12/15/16                                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 535.58          | - 584.95          | - 49.37               |
|                                                                                                                   |                                 | <u>0.00</u> | <u>0.00</u> | <u>6,290.43</u> | <u>- 6,870.32</u> | <u>- 579.89</u>       |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                                                | Description<br>Asset ID (CUSIP)                                        | Commission  | Other Costs | Net Cash        | Cost             | Realized<br>Gain/Loss |
|---------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|-------------|-------------|-----------------|------------------|-----------------------|
| - Federal Home Ln Mtg Corp Parth Gold<br>Group #C01844 4 500% Dtd 04/01/2004<br>Due 04/01/2034 IPD14<br>31292JBM1   |                                                                        |             |             |                 |                  |                       |
| 01/15/16                                                                                                            | Prin Pmt for December 2015                                             | 0.00        | 0.00        | 349.25          | -348.16          | 1.09                  |
| 02/16/16                                                                                                            | Prin Pmt for January 2016                                              | 0.00        | 0.00        | 199.67          | -199.05          | 0.62                  |
| 03/15/16                                                                                                            | Prin Pmt for February 2016                                             | 0.00        | 0.00        | 61.38           | -61.19           | 0.19                  |
| 04/15/16                                                                                                            | Prin Pmt for March 2016                                                | 0.00        | 0.00        | 406.44          | -405.17          | 1.27                  |
| 05/16/16                                                                                                            | Prin Pmt for April 2016                                                | 0.00        | 0.00        | 44.97           | -44.83           | 0.14                  |
| 06/15/16                                                                                                            | Prin Pmt for May 2016                                                  | 0.00        | 0.00        | 45.07           | -44.93           | 0.14                  |
| 07/15/16                                                                                                            | Prin Pmt for June 2016                                                 | 0.00        | 0.00        | 251.94          | -251.15          | 0.79                  |
| 08/15/16                                                                                                            | Prin Pmt for July 2016                                                 | 0.00        | 0.00        | 64.08           | -63.88           | 0.20                  |
| 09/15/16                                                                                                            | Prin Pmt for August 2016                                               | 0.00        | 0.00        | 170.24          | -169.71          | 0.53                  |
| 10/17/16                                                                                                            | Prin Pmt for September 2016                                            | 0.00        | 0.00        | 154.46          | -153.98          | 0.48                  |
| 11/15/16                                                                                                            | Prin Pmt for October 2016                                              | 0.00        | 0.00        | 123.60          | -123.21          | 0.39                  |
| 12/15/16                                                                                                            | Prin Pmt for November 2016                                             | 0.00        | 0.00        | 307.08          | -306.12          | 0.96                  |
|                                                                                                                     |                                                                        | <b>0.00</b> | <b>0.00</b> | <b>2,178.18</b> | <b>-2,171.38</b> | <b>6.80</b>           |
| - Federal Home Loan Bks Cons Bds<br>5 00% Dtd 10/19/2007 Due 11/17/2017<br>3133XMG87                                |                                                                        |             |             |                 |                  |                       |
| 12/14/16                                                                                                            | Sold 50000 12/12/16<br>To RBC Cap Mkts (Royal Bank of Can<br>@ 103.675 | 0.00        | 0.00        | 51,837.50       | -50,581.11       | 1,256.39              |
| - Federal Natl Mtg Assn Gld Passthru<br>CTF Pool #254833 4.500% Dtd<br>07/01/2003 Due 08/01/2018 IPD24<br>31371LBA6 |                                                                        |             |             |                 |                  |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015                                             | 0.00        | 0.00        | 234.53          | -239.70          | -5.17                 |
| 02/25/16                                                                                                            | Prin Pmt for January 2016                                              | 0.00        | 0.00        | 217.97          | -222.77          | -4.80                 |
| 03/25/16                                                                                                            | Prin Pmt for February 2016                                             | 0.00        | 0.00        | 225.82          | -230.80          | -4.98                 |
| 04/25/16                                                                                                            | Prin Pmt for March 2016                                                | 0.00        | 0.00        | 232.00          | -237.11          | -5.11                 |
| 05/25/16                                                                                                            | Prin Pmt for April 2016                                                | 0.00        | 0.00        | 201.43          | -205.87          | -4.44                 |
| 06/27/16                                                                                                            | Prin Pmt for May 2016                                                  | 0.00        | 0.00        | 210.19          | -214.82          | -4.63                 |
| 07/25/16                                                                                                            | Prin Pmt for June 2016                                                 | 0.00        | 0.00        | 201.09          | -205.52          | -4.43                 |
| 08/25/16                                                                                                            | Prin Pmt for July 2016                                                 | 0.00        | 0.00        | 186.26          | -190.36          | -4.10                 |
| 09/26/16                                                                                                            | Prin Pmt for August 2016                                               | 0.00        | 0.00        | 190.14          | -194.33          | -4.19                 |
| 10/25/16                                                                                                            | Prin Pmt for September 2016                                            | 0.00        | 0.00        | 165.14          | -168.78          | -3.64                 |
| 11/25/16                                                                                                            | Prin Pmt for October 2016                                              | 0.00        | 0.00        | 181.50          | -185.50          | -4.00                 |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                                              | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash        | Cost             | Realized<br>Gain/Loss |
|-------------------------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|-----------------|------------------|-----------------------|
| 12/27/16                                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 157.96          | -161.44          | -3.48                 |
|                                                                                                                   |                                 | <u>0.00</u> | <u>0.00</u> | <u>2,404.03</u> | <u>-2,457.00</u> | <u>-52.97</u>         |
| Federal Natl Mtg Assn Gld Passthru<br>CTF Pool #255769 5 000% Dtd<br>06/01/2005 Due 07/01/2035 IPD24<br>31371MGE5 |                                 |             |             |                 |                  |                       |
| 01/25/16                                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 80.09           | -81.83           | -1.74                 |
| 02/25/16                                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 319.50          | -326.43          | -6.93                 |
| 03/25/16                                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 115.91          | -118.42          | -2.51                 |
| 04/25/16                                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 227.30          | -232.23          | -4.93                 |
| 05/25/16                                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 254.22          | -259.73          | -5.51                 |
| 06/27/16                                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 238.15          | -243.31          | -5.16                 |
| 07/25/16                                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 151.16          | -154.44          | -3.28                 |
| 08/25/16                                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 146.43          | -149.60          | -3.17                 |
| 09/26/16                                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 101.73          | -103.94          | -2.21                 |
| 10/25/16                                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 166.08          | -169.68          | -3.60                 |
| 11/25/16                                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 181.20          | -185.13          | -3.93                 |
| 12/27/16                                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 173.46          | -177.22          | -3.76                 |
|                                                                                                                   |                                 | <u>0.00</u> | <u>0.00</u> | <u>2,155.23</u> | <u>-2,201.96</u> | <u>-46.73</u>         |
| Federal Natl Mtg Assn Gld Passthru<br>CTF Pool #256022 5 500% Dtd<br>11/01/05 Due 12/01/2035 IPD24<br>31371MLB1   |                                 |             |             |                 |                  |                       |
| 01/25/16                                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 325.29          | -335.95          | -10.66                |
| 02/25/16                                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 319.96          | -330.45          | -10.49                |
| 03/25/16                                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 362.65          | -374.53          | -11.88                |
| 04/25/16                                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 269.50          | -278.33          | -8.83                 |
| 05/25/16                                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 295.87          | -305.57          | -9.70                 |
| 06/27/16                                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 293.72          | -303.35          | -9.63                 |
| 07/25/16                                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 160.35          | -165.60          | -5.25                 |
| 08/25/16                                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 298.12          | -307.89          | -9.77                 |
| 09/26/16                                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 195.09          | -201.48          | -6.39                 |
| 10/25/16                                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 399.33          | -412.42          | -13.09                |
| 11/25/16                                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 200.24          | -206.80          | -6.56                 |
| 12/27/16                                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 374.71          | -386.99          | -12.28                |
|                                                                                                                   |                                 | <u>0.00</u> | <u>0.00</u> | <u>3,494.83</u> | <u>-3,609.36</u> | <u>-114.53</u>        |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                                                | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash         | Cost               | Realized<br>Gain/Loss |
|---------------------------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|------------------|--------------------|-----------------------|
| - Federal Natl Mtg Assn Gld Passthru<br>CTF Pool #AH3431 3 500% Dtd<br>01/01/2011 Due 01/01/2026 IPD24<br>3138A4Y58 |                                 |             |             |                  |                    |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00        | 0.00        | 843.19           | - 891.41           | - 48.22               |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00        | 0.00        | 800.76           | - 846.55           | - 45.79               |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00        | 0.00        | 659.16           | - 696.86           | - 37.70               |
| 04/25/16                                                                                                            | Prin Pmt for March 2016         | 0.00        | 0.00        | 804.85           | - 850.88           | - 46.03               |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00        | 0.00        | 818.74           | - 865.56           | - 46.82               |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00        | 0.00        | 891.27           | - 942.24           | - 50.97               |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00        | 0.00        | 875.41           | - 925.47           | - 50.06               |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00        | 0.00        | 909.86           | - 961.89           | - 52.03               |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00        | 0.00        | 1,005.95         | - 1,063.48         | - 57.53               |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00        | 0.00        | 1,053.30         | - 1,113.53         | - 60.23               |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00        | 0.00        | 756.04           | - 799.28           | - 43.24               |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00        | 0.00        | 833.61           | - 881.28           | - 47.67               |
|                                                                                                                     |                                 | <b>0.00</b> | <b>0.00</b> | <b>10,252.14</b> | <b>- 10,838.43</b> | <b>- 586.29</b>       |
| - Federal Natl Mtg Assn Gld Passthru<br>CTF Pool #AL0065 4 500% Dtd<br>03/01/2011 Due 04/01/2041 IPD24<br>3138EGCB8 |                                 |             |             |                  |                    |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00        | 0.00        | 261.18           | - 277.14           | - 15.96               |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00        | 0.00        | 220.58           | - 234.06           | - 13.48               |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00        | 0.00        | 267.27           | - 283.60           | - 16.33               |
| 04/25/16                                                                                                            | Prin Pmt for March 2016         | 0.00        | 0.00        | 360.10           | - 382.10           | - 22.00               |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00        | 0.00        | 365.57           | - 387.90           | - 22.33               |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00        | 0.00        | 352.78           | - 374.33           | - 21.55               |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00        | 0.00        | 409.56           | - 434.58           | - 25.02               |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00        | 0.00        | 275.11           | - 291.92           | - 16.81               |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00        | 0.00        | 379.97           | - 403.18           | - 23.21               |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00        | 0.00        | 357.95           | - 379.82           | - 21.87               |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00        | 0.00        | 577.82           | - 613.12           | - 35.30               |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00        | 0.00        | 279.48           | - 296.55           | - 17.07               |
|                                                                                                                     |                                 | <b>0.00</b> | <b>0.00</b> | <b>4,107.37</b>  | <b>- 4,358.30</b>  | <b>- 250.93</b>       |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                                                | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|---------------------------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|------------------|-------------------|-----------------------|
| - Federal Natl Mtg Assn Gtd Passthru<br>CTF Pool #AL2118 4 000% Dtd<br>07/01/2012 Due 05/01/2042 IPD24<br>3138EJK80 |                                 |             |             |                  |                   |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00        | 0.00        | 1,473.84         | -1,539.01         | -65.17                |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00        | 0.00        | 1,307.57         | -1,365.39         | -57.82                |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00        | 0.00        | 1,282.48         | -1,339.19         | -56.71                |
| 04/25/16                                                                                                            | Prin Pmt for March 2016         | 0.00        | 0.00        | 1,577.32         | -1,647.07         | -69.75                |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00        | 0.00        | 1,720.40         | -1,796.47         | -76.07                |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00        | 0.00        | 1,441.11         | -1,504.83         | -63.72                |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00        | 0.00        | 1,812.66         | -1,892.81         | -80.15                |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00        | 0.00        | 1,668.07         | -1,741.83         | -73.76                |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00        | 0.00        | 1,796.25         | -1,875.68         | -79.43                |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00        | 0.00        | 1,883.23         | -1,966.51         | -83.28                |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00        | 0.00        | 1,772.03         | -1,850.39         | -78.36                |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00        | 0.00        | 1,881.41         | -1,964.60         | -83.19                |
|                                                                                                                     |                                 | <b>0.00</b> | <b>0.00</b> | <b>19,616.37</b> | <b>-20,483.78</b> | <b>-867.41</b>        |
| - Federal Natl Mtg Assn Gtd Passthru<br>CTF Pool #AL2461 3 000% Dtd<br>09/01/2012 Due 10/01/2027 IPD24<br>3138EJWX2 |                                 |             |             |                  |                   |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00        | 0.00        | 664.59           | -688.99           | -24.40                |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00        | 0.00        | 694.54           | -720.04           | -25.50                |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00        | 0.00        | 681.65           | -706.68           | -25.03                |
| 04/25/16                                                                                                            | Prin Pmt for March 2016         | 0.00        | 0.00        | 862.67           | -894.35           | -31.68                |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00        | 0.00        | 803.40           | -832.90           | -29.50                |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00        | 0.00        | 1,010.25         | -1,047.35         | -37.10                |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00        | 0.00        | 1,237.47         | -1,282.91         | -45.44                |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00        | 0.00        | 812.55           | -842.39           | -29.84                |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00        | 0.00        | 904.36           | -937.57           | -33.21                |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00        | 0.00        | 1,081.92         | -1,121.65         | -39.73                |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00        | 0.00        | 1,019.61         | -1,057.05         | -37.44                |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00        | 0.00        | 973.96           | -1,009.72         | -35.76                |
|                                                                                                                     |                                 | <b>0.00</b> | <b>0.00</b> | <b>10,746.97</b> | <b>-11,141.60</b> | <b>-394.63</b>        |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                                                | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|---------------------------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|------------------|-------------------|-----------------------|
| - Federal Natl Mtg Assn Gld Passthru<br>CTF Pool #AL7938 3 000% Dtd<br>01/01/2016 Due 04/01/2025 IPD24<br>3138EQZC9 |                                 |             |             |                  |                   |                       |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00        | 0.00        | 2,430.68         | -2,541.58         | -110.90               |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00        | 0.00        | 1,766.82         | -1,847.43         | -80.61                |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00        | 0.00        | 2,409.01         | -2,518.92         | -109.91               |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00        | 0.00        | 1,968.71         | -2,058.53         | -89.82                |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00        | 0.00        | 1,991.92         | -2,082.80         | -90.88                |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00        | 0.00        | 3,181.97         | -3,327.15         | -145.18               |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00        | 0.00        | 1,981.81         | -2,072.23         | -90.42                |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00        | 0.00        | 2,148.36         | -2,246.38         | -98.02                |
|                                                                                                                     |                                 | <b>0.00</b> | <b>0.00</b> | <b>17,879.28</b> | <b>-18,695.02</b> | <b>-815.74</b>        |
| - Federal Natl Mtg Assn Gld Passthru<br>CTF Pool #AJ7717 3 000% Dtd<br>12/01/2011 Due 12/01/2026 IPD24<br>3138E0SF7 |                                 |             |             |                  |                   |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00        | 0.00        | 842.50           | -858.30           | -15.80                |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00        | 0.00        | 592.76           | -603.87           | -11.11                |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00        | 0.00        | 625.04           | -636.76           | -11.72                |
| 04/25/16                                                                                                            | Prin Pmt for March 2016         | 0.00        | 0.00        | 650.78           | -662.98           | -12.20                |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00        | 0.00        | 692.82           | -705.81           | -12.99                |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00        | 0.00        | 729.57           | -743.25           | -13.68                |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00        | 0.00        | 793.57           | -808.45           | -14.88                |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00        | 0.00        | 740.08           | -753.96           | -13.88                |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00        | 0.00        | 910.18           | -927.25           | -17.07                |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00        | 0.00        | 954.26           | -972.15           | -17.89                |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00        | 0.00        | 790.82           | -805.65           | -14.83                |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00        | 0.00        | 885.87           | -902.48           | -16.61                |
|                                                                                                                     |                                 | <b>0.00</b> | <b>0.00</b> | <b>9,208.25</b>  | <b>-9,380.91</b>  | <b>-172.66</b>        |
| - Federal Natl Mtg Assn Gld Passthru<br>CTF Pool #735022 5 500% Dtd<br>11/01/2004 Due 12/01/2034 IPD24<br>31402QSK8 |                                 |             |             |                  |                   |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00        | 0.00        | 81.29            | -83.18            | -1.89                 |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00        | 0.00        | 72.29            | -73.97            | -1.68                 |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00        | 0.00        | 65.31            | -66.83            | -1.52                 |



BMO Harris Bank N.A.

## Mercy Works FDN JB-Core Fixed Income

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

### Sales

| Date                                                                                                              | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash        | Cost             | Realized<br>Gain/Loss |
|-------------------------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|-----------------|------------------|-----------------------|
| 04/25/16                                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 132.70          | -135.78          | -3.08                 |
| 05/25/16                                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 164.46          | -168.28          | -3.82                 |
| 06/27/16                                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 94.99           | -97.20           | -2.21                 |
| 07/25/16                                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 100.55          | -102.89          | -2.34                 |
| 08/25/16                                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 36.81           | -37.67           | -0.86                 |
| 09/26/16                                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 160.18          | -163.90          | -3.72                 |
| 10/25/16                                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 110.10          | -112.66          | -2.56                 |
| 11/25/16                                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 156.13          | -159.76          | -3.63                 |
| 12/27/16                                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 152.81          | -156.36          | -3.55                 |
|                                                                                                                   |                                 | <u>0.00</u> | <u>0.00</u> | <u>1,327.62</u> | <u>-1,358.48</u> | <u>-30.86</u>         |
| Federal Natl Mtg Assn Gld Passthru<br>CTF Pool #735676 5.000% Dtd<br>06/01/2005 Due 07/01/2035 IPD24<br>31402RJV2 |                                 |             |             |                 |                  |                       |
| 01/25/16                                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 149.18          | -151.02          | -1.84                 |
| 02/25/16                                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 111.16          | -112.53          | -1.37                 |
| 03/25/16                                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 119.68          | -121.15          | -1.47                 |
| 04/25/16                                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 137.14          | -138.83          | -1.69                 |
| 05/25/16                                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 130.62          | -132.23          | -1.61                 |
| 06/27/16                                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 120.49          | -121.97          | -1.48                 |
| 07/25/16                                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 133.64          | -135.29          | -1.65                 |
| 08/25/16                                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 111.47          | -112.84          | -1.37                 |
| 09/26/16                                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 128.63          | -130.21          | -1.58                 |
| 10/25/16                                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 127.47          | -129.04          | -1.57                 |
| 11/25/16                                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 119.94          | -121.42          | -1.48                 |
| 12/27/16                                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 113.39          | -114.79          | -1.40                 |
|                                                                                                                   |                                 | <u>0.00</u> | <u>0.00</u> | <u>1,502.81</u> | <u>-1,521.32</u> | <u>-18.51</u>         |
| Federal Natl Mtg Assn Gld Passthru<br>CTF Pool #745179 5.000% Dtd<br>12/01/05 Due 04/01/2019 IPD24<br>31403C2L4   |                                 |             |             |                 |                  |                       |
| 01/25/16                                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 216.15          | -220.62          | -4.47                 |
| 02/25/16                                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 210.42          | -214.77          | -4.35                 |
| 03/25/16                                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 185.71          | -189.55          | -3.84                 |
| 04/25/16                                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 175.21          | -178.83          | -3.62                 |
| 05/25/16                                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 181.98          | -185.74          | -3.76                 |
| 06/27/16                                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 178.75          | -182.45          | -3.70                 |
| 07/25/16                                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 165.74          | -169.17          | -3.43                 |



BMO Harris Bank N.A.

## Mercy Works FDN JB-Core Fixed Income

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

### Sales

| Date                                                                                                                | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash        | Cost             | Realized<br>Gain/Loss |
|---------------------------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|-----------------|------------------|-----------------------|
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00        | 0.00        | 146.24          | -149.26          | -3.02                 |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00        | 0.00        | 149.17          | -152.25          | -3.08                 |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00        | 0.00        | 144.12          | -147.10          | -2.98                 |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00        | 0.00        | 132.91          | -135.66          | -2.75                 |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00        | 0.00        | 131.90          | -134.63          | -2.73                 |
|                                                                                                                     |                                 | <u>0.00</u> | <u>0.00</u> | <u>2,018.30</u> | <u>-2,060.03</u> | <u>-41.73</u>         |
| - Federal Natl Mtg Assn Gld Passthru<br>CTF Pool #888276 5 500% Dtd<br>03/01/07 Due 11/01/2036 IPD24<br>31410F2H7   |                                 |             |             |                 |                  |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00        | 0.00        | 107.44          | -110.01          | -2.57                 |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00        | 0.00        | 95.03           | -97.30           | -2.27                 |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00        | 0.00        | 92.53           | -94.74           | -2.21                 |
| 04/25/16                                                                                                            | Prin Pmt for March 2016         | 0.00        | 0.00        | 91.97           | -94.17           | -2.20                 |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00        | 0.00        | 142.92          | -146.33          | -3.41                 |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00        | 0.00        | 101.75          | -104.18          | -2.43                 |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00        | 0.00        | 81.23           | -83.17           | -1.94                 |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00        | 0.00        | 90.15           | -92.30           | -2.15                 |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00        | 0.00        | 103.35          | -105.82          | -2.47                 |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00        | 0.00        | 72.93           | -74.67           | -1.74                 |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00        | 0.00        | 74.37           | -76.15           | -1.78                 |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00        | 0.00        | 55.02           | -56.33           | -1.31                 |
|                                                                                                                     |                                 | <u>0.00</u> | <u>0.00</u> | <u>1,108.69</u> | <u>-1,135.17</u> | <u>-26.48</u>         |
| - Federal Natl Mtg Assn Gld Passthru<br>CTF Pool #888570 4 500% Dtd<br>07/01/2007 Due 07/01/2037 IPD24<br>31410GFK4 |                                 |             |             |                 |                  |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00        | 0.00        | 591.24          | -643.80          | -52.56                |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00        | 0.00        | 767.41          | -835.64          | -68.23                |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00        | 0.00        | 872.95          | -950.56          | -77.61                |
| 04/25/16                                                                                                            | Prin Pmt for March 2016         | 0.00        | 0.00        | 635.42          | -691.91          | -56.49                |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00        | 0.00        | 675.51          | -735.57          | -60.06                |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00        | 0.00        | 877.31          | -955.31          | -78.00                |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00        | 0.00        | 804.25          | -875.75          | -71.50                |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00        | 0.00        | 1,060.71        | -1,155.01        | -94.30                |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00        | 0.00        | 509.54          | -554.84          | -45.30                |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00        | 0.00        | 501.78          | -546.39          | -44.61                |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00        | 0.00        | 1,318.54        | -1,435.77        | -117.23               |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                                                | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash        | Cost              | Realized<br>Gain/Loss |
|---------------------------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|-----------------|-------------------|-----------------------|
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00        | 0.00        | 956.39          | -1,041.42         | -85.03                |
|                                                                                                                     |                                 | <u>0.00</u> | <u>0.00</u> | <u>9,571.05</u> | <u>-10,421.97</u> | <u>-850.92</u>        |
| - Federal Natl Mtg Assn Gld Passthru<br>CTF Pool #888638 5 500% Dtd<br>08/01/07 Due 09/01/2037 IPD24<br>31410GHP1   |                                 |             |             |                 |                   |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00        | 0.00        | 282.70          | -290.61           | -7.91                 |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00        | 0.00        | 185.32          | -190.51           | -5.19                 |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00        | 0.00        | 238.68          | -245.36           | -6.68                 |
| 04/25/16                                                                                                            | Prin Pmt for March 2016         | 0.00        | 0.00        | 292.31          | -300.49           | -8.18                 |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00        | 0.00        | 299.39          | -307.77           | -8.38                 |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00        | 0.00        | 290.93          | -299.08           | -8.15                 |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00        | 0.00        | 200.27          | -205.88           | -5.61                 |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00        | 0.00        | 244.20          | -251.04           | -6.84                 |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00        | 0.00        | 268.36          | -275.87           | -7.51                 |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00        | 0.00        | 222.76          | -229.00           | -6.24                 |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00        | 0.00        | 167.55          | -172.24           | -4.69                 |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00        | 0.00        | 285.06          | -293.04           | -7.98                 |
|                                                                                                                     |                                 | <u>0.00</u> | <u>0.00</u> | <u>2,977.53</u> | <u>-3,060.89</u>  | <u>-83.36</u>         |
| - Federal Natl Mtg Assn Gld Passthru<br>CTF Pool #888881 6 500% Dtd<br>11/01/2007 Due 12/01/2037 IPD24<br>31410GRA3 |                                 |             |             |                 |                   |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00        | 0.00        | 78.56           | -80.03            | -1.47                 |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00        | 0.00        | 103.09          | -105.01           | -1.92                 |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00        | 0.00        | 81.78           | -83.31            | -1.53                 |
| 04/25/16                                                                                                            | Prin Pmt for March 2016         | 0.00        | 0.00        | 101.04          | -102.93           | -1.89                 |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00        | 0.00        | 93.39           | -95.13            | -1.74                 |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00        | 0.00        | 73.57           | -74.94            | -1.37                 |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00        | 0.00        | 103.53          | -105.46           | -1.93                 |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00        | 0.00        | 84.46           | -86.04            | -1.58                 |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00        | 0.00        | 65.95           | -67.18            | -1.23                 |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00        | 0.00        | 51.32           | -52.28            | -0.96                 |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00        | 0.00        | 64.60           | -65.81            | -1.21                 |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00        | 0.00        | 64.45           | -65.65            | -1.20                 |
|                                                                                                                     |                                 | <u>0.00</u> | <u>0.00</u> | <u>965.74</u>   | <u>-983.77</u>    | <u>-18.03</u>         |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                                                | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash        | Cost             | Realized<br>Gain/Loss |
|---------------------------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|-----------------|------------------|-----------------------|
| - Federal Natl Mtg Assn Gtd Passthru<br>CTF Pool #889072 6 500% Dtd<br>01/01/2008 Due 12/01/2037 IPD24<br>31410GW90 |                                 |             |             |                 |                  |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00        | 0.00        | 89.40           | -90.10           | -0.70                 |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00        | 0.00        | 95.53           | -96.27           | -0.74                 |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00        | 0.00        | 91.66           | -92.37           | -0.71                 |
| 04/25/16                                                                                                            | Prin Pmt for March 2016         | 0.00        | 0.00        | 104.84          | -105.66          | -0.82                 |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00        | 0.00        | 87.90           | -88.59           | -0.69                 |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00        | 0.00        | 67.48           | -68.01           | -0.53                 |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00        | 0.00        | 87.93           | -88.62           | -0.69                 |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00        | 0.00        | 79.19           | -79.81           | -0.62                 |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00        | 0.00        | 86.89           | -87.57           | -0.68                 |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00        | 0.00        | 64.85           | -65.36           | -0.51                 |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00        | 0.00        | 78.27           | -78.88           | -0.61                 |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00        | 0.00        | 64.95           | -65.46           | -0.51                 |
|                                                                                                                     |                                 | <b>0.00</b> | <b>0.00</b> | <b>998.89</b>   | <b>-1,006.70</b> | <b>-7.81</b>          |
| - Federal Natl Mtg Assn Gtd Passthru<br>CTF Pool #889643 6 000% Dtd<br>06/01/2008 Due 03/01/2038 IPD24<br>31410KLY8 |                                 |             |             |                 |                  |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00        | 0.00        | 257.75          | -266.58          | -8.83                 |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00        | 0.00        | 211.04          | -218.27          | -7.23                 |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00        | 0.00        | 217.25          | -224.69          | -7.44                 |
| 04/25/16                                                                                                            | Prin Pmt for March 2016         | 0.00        | 0.00        | 190.07          | -196.58          | -6.51                 |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00        | 0.00        | 288.19          | -298.07          | -9.88                 |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00        | 0.00        | 96.76           | -100.08          | -3.32                 |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00        | 0.00        | 242.60          | -250.91          | -8.31                 |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00        | 0.00        | 214.48          | -221.83          | -7.35                 |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00        | 0.00        | 259.89          | -268.80          | -8.91                 |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00        | 0.00        | 231.84          | -239.78          | -7.94                 |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00        | 0.00        | 354.98          | -367.14          | -12.16                |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00        | 0.00        | 113.48          | -117.37          | -3.89                 |
|                                                                                                                     |                                 | <b>0.00</b> | <b>0.00</b> | <b>2,678.33</b> | <b>-2,770.10</b> | <b>-91.77</b>         |

# Mercy Works FDN JB-Core Fixed Income

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

## Sales

| Date                                                                                                                | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash        | Cost              | Realized<br>Gain/Loss |
|---------------------------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|-----------------|-------------------|-----------------------|
| - Federal Natl Mtg Assn Gtd Passthru<br>CTF Pool #889954 4 500% Dtd<br>10/01/2008 Due 09/01/2038 IPD24<br>31410KWP5 |                                 |             |             |                 |                   |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00        | 0.00        | 694.68          | - 690.12          | 4.56                  |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00        | 0.00        | 460.10          | - 457.08          | 3.02                  |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00        | 0.00        | 144.08          | - 143.13          | 0.95                  |
| 04/25/16                                                                                                            | Prin Pmt for March 2016         | 0.00        | 0.00        | 113.98          | - 113.23          | 0.75                  |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00        | 0.00        | 130.42          | - 129.56          | 0.86                  |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00        | 0.00        | 199.52          | - 198.21          | 1.31                  |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00        | 0.00        | 302.09          | - 300.11          | 1.98                  |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00        | 0.00        | 199.79          | - 198.48          | 1.31                  |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00        | 0.00        | 355.30          | - 352.97          | 2.33                  |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00        | 0.00        | 95.85           | - 95.22           | 0.63                  |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00        | 0.00        | 39.87           | - 39.61           | 0.26                  |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00        | 0.00        | 433.32          | - 430.48          | 2.84                  |
|                                                                                                                     |                                 | <u>0.00</u> | <u>0.00</u> | <u>3,169.00</u> | <u>- 3,148.20</u> | <u>20.80</u>          |
| - Federal Natl Mtg Assn Gtd Passthru<br>CTF Pool #930924 4 500% Dtd<br>04/01/2009 Due 04/01/2039 IPD24<br>31412PG96 |                                 |             |             |                 |                   |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00        | 0.00        | 199.18          | - 200.15          | - 0.97                |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00        | 0.00        | 595.34          | - 598.24          | - 2.90                |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00        | 0.00        | 302.10          | - 303.57          | - 1.47                |
| 04/25/16                                                                                                            | Prin Pmt for March 2016         | 0.00        | 0.00        | 409.51          | - 411.50          | - 1.99                |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00        | 0.00        | 262.18          | - 263.46          | - 1.28                |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00        | 0.00        | 493.40          | - 495.80          | - 2.40                |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00        | 0.00        | 456.71          | - 458.93          | - 2.22                |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00        | 0.00        | 364.88          | - 366.66          | - 1.78                |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00        | 0.00        | 779.18          | - 782.98          | - 3.80                |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00        | 0.00        | 578.12          | - 580.94          | - 2.82                |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00        | 0.00        | 536.49          | - 539.10          | - 2.61                |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00        | 0.00        | 455.99          | - 458.21          | - 2.22                |
|                                                                                                                     |                                 | <u>0.00</u> | <u>0.00</u> | <u>5,433.08</u> | <u>- 5,459.54</u> | <u>- 26.46</u>        |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                                                | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|---------------------------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|------------------|-------------------|-----------------------|
| - Federal Natl Mtg Assn Gld Passthru<br>CTF Pool #983629 4.500% Dtd<br>05/01/2008 Due 05/01/2023 IPD24<br>31415LVW4 |                                 |             |             |                  |                   |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00        | 0.00        | 85.56            | -86.98            | -1.42                 |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00        | 0.00        | 73.00            | -74.21            | -1.21                 |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00        | 0.00        | 91.54            | -93.05            | -1.51                 |
| 04/25/16                                                                                                            | Prin Pmt for March 2016         | 0.00        | 0.00        | 66.26            | -67.36            | -1.10                 |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00        | 0.00        | 72.19            | -73.38            | -1.19                 |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00        | 0.00        | 53.66            | -54.55            | -0.89                 |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00        | 0.00        | 72.11            | -73.30            | -1.19                 |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00        | 0.00        | 58.69            | -59.66            | -0.97                 |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00        | 0.00        | 51.32            | -52.17            | -0.85                 |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00        | 0.00        | 56.23            | -57.16            | -0.93                 |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00        | 0.00        | 29.38            | -29.87            | -0.49                 |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00        | 0.00        | 37.50            | -38.12            | -0.62                 |
|                                                                                                                     |                                 | <u>0.00</u> | <u>0.00</u> | <u>747.44</u>    | <u>-759.81</u>    | <u>-12.37</u>         |
| - Federal Natl Mtg Assn Gld Passthru<br>CTF Pool #995094 4.500% Dtd<br>11/01/2008 Due 11/01/2035 IPD24<br>31416BNK0 |                                 |             |             |                  |                   |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00        | 0.00        | 2,927.48         | -3,204.92         | -277.44               |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00        | 0.00        | 1,175.13         | -1,286.50         | -111.37               |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00        | 0.00        | 2,137.57         | -2,340.15         | -202.58               |
| 04/25/16                                                                                                            | Prin Pmt for March 2016         | 0.00        | 0.00        | 3,708.35         | -4,059.79         | -351.44               |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00        | 0.00        | 2,169.17         | -2,374.74         | -205.57               |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00        | 0.00        | 2,795.50         | -3,060.43         | -264.93               |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00        | 0.00        | 1,839.42         | -2,013.74         | -174.32               |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00        | 0.00        | 1,883.68         | -2,062.20         | -178.52               |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00        | 0.00        | 2,795.36         | -3,060.27         | -264.91               |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00        | 0.00        | 2,005.34         | -2,195.39         | -190.05               |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00        | 0.00        | 1,506.96         | -1,649.78         | -142.82               |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00        | 0.00        | 2,547.12         | -2,788.51         | -241.39               |
|                                                                                                                     |                                 | <u>0.00</u> | <u>0.00</u> | <u>27,491.08</u> | <u>-30,096.42</u> | <u>-2,605.34</u>      |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                                                | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash        | Cost             | Realized<br>Gain/Loss |
|---------------------------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|-----------------|------------------|-----------------------|
| - Federal Natl Mtg Assn Gtd Passthru<br>CTF Pool #995586 4 000% Dtd<br>03/01/2009 Due 03/01/2039 IPD24<br>31416B6X1 |                                 |             |             |                 |                  |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00        | 0.00        | 93.80           | -89.29           | 4.51                  |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00        | 0.00        | 54.28           | -51.67           | 2.61                  |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00        | 0.00        | 189.99          | -180.85          | 9.14                  |
| 04/25/16                                                                                                            | Prin Pmt for March 2016         | 0.00        | 0.00        | 138.31          | -131.65          | 6.66                  |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00        | 0.00        | 111.65          | -106.28          | 5.37                  |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00        | 0.00        | 118.46          | -112.76          | 5.70                  |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00        | 0.00        | 173.62          | -165.26          | 8.36                  |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00        | 0.00        | 82.69           | -78.71           | 3.98                  |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00        | 0.00        | 149.49          | -142.30          | 7.19                  |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00        | 0.00        | 130.23          | -123.96          | 6.27                  |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00        | 0.00        | 137.20          | -130.60          | 6.60                  |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00        | 0.00        | 120.68          | -114.87          | 5.81                  |
|                                                                                                                     |                                 | <b>0.00</b> | <b>0.00</b> | <b>1,500.40</b> | <b>-1,428.20</b> | <b>72.20</b>          |
| - Federal Natl Mtg Assn Gtd Passthru<br>CTF Pool #995876 6 000% Dtd<br>06/01/2009 Due 11/01/2038 IPD24<br>31416CJV9 |                                 |             |             |                 |                  |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00        | 0.00        | 66.26           | -66.83           | -0.57                 |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00        | 0.00        | 69.72           | -70.32           | -0.60                 |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00        | 0.00        | 73.36           | -73.99           | -0.63                 |
| 04/25/16                                                                                                            | Prin Pmt for March 2016         | 0.00        | 0.00        | 60.80           | -61.32           | -0.52                 |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00        | 0.00        | 64.11           | -64.66           | -0.55                 |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00        | 0.00        | 53.87           | -54.33           | -0.46                 |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00        | 0.00        | 52.69           | -53.14           | -0.45                 |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00        | 0.00        | 50.66           | -51.09           | -0.43                 |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00        | 0.00        | 82.24           | -82.95           | -0.71                 |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00        | 0.00        | 80.81           | -81.50           | -0.69                 |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00        | 0.00        | 49.93           | -50.36           | -0.43                 |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00        | 0.00        | 29.37           | -29.62           | -0.25                 |
|                                                                                                                     |                                 | <b>0.00</b> | <b>0.00</b> | <b>733.82</b>   | <b>-740.11</b>   | <b>-6.29</b>          |

## Mercy Works FDN JB-Core Fixed Income

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

## Sales

| Date                                                                                                                | Description<br>Asset ID (CUSIP) | Commission | Other Costs | Net Cash  | Cost       | Realized<br>Gain/Loss |
|---------------------------------------------------------------------------------------------------------------------|---------------------------------|------------|-------------|-----------|------------|-----------------------|
| - Federal Natl Mtg Assn Gld Passthru<br>CTF Pool #AB1251 5.000% Dtd<br>06/01/2010 Due 07/01/2040 IPD24<br>31416WL91 |                                 |            |             |           |            |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00       | 0.00        | 310.31    | -326.16    | -15.85                |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00       | 0.00        | 395.41    | -415.60    | -20.19                |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00       | 0.00        | 273.95    | -287.94    | -13.99                |
| 04/25/16                                                                                                            | Prin Pmt for March 2016         | 0.00       | 0.00        | 287.20    | -301.87    | -14.67                |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00       | 0.00        | 121.45    | -127.65    | -6.20                 |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00       | 0.00        | 301.50    | -316.90    | -15.40                |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00       | 0.00        | 371.69    | -390.67    | -18.98                |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00       | 0.00        | 475.70    | -500.00    | -24.30                |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00       | 0.00        | 399.76    | -420.18    | -20.42                |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00       | 0.00        | 267.87    | -281.55    | -13.68                |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00       | 0.00        | 351.81    | -369.78    | -17.97                |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00       | 0.00        | 249.67    | -262.42    | -12.75                |
|                                                                                                                     |                                 | 0.00       | 0.00        | 3,806.32  | -4,000.72  | -194.40               |
| - Federal Natl Mtg Assn Gld Passthru<br>CTF Pool #MA0124 4.000% Dtd<br>06/01/2009 Due 07/01/2019 IPD24<br>31417YD61 |                                 |            |             |           |            |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00       | 0.00        | 853.20    | -902.64    | -49.44                |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00       | 0.00        | 1,059.49  | -1,120.88  | -61.39                |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00       | 0.00        | 970.58    | -1,026.81  | -56.23                |
| 04/25/16                                                                                                            | Prin Pmt for March 2016         | 0.00       | 0.00        | 894.29    | -946.11    | -51.82                |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00       | 0.00        | 914.66    | -967.65    | -52.99                |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00       | 0.00        | 915.95    | -969.02    | -53.07                |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00       | 0.00        | 867.90    | -918.19    | -50.29                |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00       | 0.00        | 769.74    | -814.34    | -44.60                |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00       | 0.00        | 730.55    | -772.88    | -42.33                |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00       | 0.00        | 824.00    | -871.74    | -47.74                |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00       | 0.00        | 759.14    | -803.13    | -43.99                |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00       | 0.00        | 747.44    | -790.74    | -43.30                |
|                                                                                                                     |                                 | 0.00       | 0.00        | 10,306.94 | -10,904.13 | -597.19               |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                                                | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash        | Cost             | Realized<br>Gain/Loss |
|---------------------------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|-----------------|------------------|-----------------------|
| - Federal Natl Mtg Assn Gtd Passthru<br>CTF Pool #MA0803 3 000% Dtd<br>06/01/2011 Due 07/01/2021 IPD24<br>31417Y3M7 |                                 |             |             |                 |                  |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00        | 0.00        | 450.57          | -467.47          | -16.90                |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00        | 0.00        | 378.33          | -392.52          | -14.19                |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00        | 0.00        | 470.63          | -488.28          | -17.65                |
| 04/25/16                                                                                                            | Prin Pmt for March 2016         | 0.00        | 0.00        | 482.58          | -500.68          | -18.10                |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00        | 0.00        | 484.64          | -502.81          | -18.17                |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00        | 0.00        | 469.88          | -487.50          | -17.62                |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00        | 0.00        | 583.75          | -605.64          | -21.89                |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00        | 0.00        | 472.34          | -490.05          | -17.71                |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00        | 0.00        | 463.79          | -481.18          | -17.39                |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00        | 0.00        | 548.62          | -569.19          | -20.57                |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00        | 0.00        | 399.25          | -414.22          | -14.97                |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00        | 0.00        | 327.17          | -339.44          | -12.27                |
|                                                                                                                     |                                 | <b>0.00</b> | <b>0.00</b> | <b>5,531.55</b> | <b>-5,738.98</b> | <b>-207.43</b>        |
| - Federal Natl Mtg Assn Gtd Passthru<br>CTF Pool #MA0865 3 000% Dtd<br>09/01/2011 Due 10/01/2021 IPD24<br>31417Y6B8 |                                 |             |             |                 |                  |                       |
| 01/25/16                                                                                                            | Prin Pmt for December 2015      | 0.00        | 0.00        | 581.69          | -605.23          | -23.54                |
| 02/25/16                                                                                                            | Prin Pmt for January 2016       | 0.00        | 0.00        | 512.81          | -533.56          | -20.75                |
| 03/25/16                                                                                                            | Prin Pmt for February 2016      | 0.00        | 0.00        | 546.87          | -569.00          | -22.13                |
| 04/25/16                                                                                                            | Prin Pmt for March 2016         | 0.00        | 0.00        | 577.73          | -601.11          | -23.38                |
| 05/25/16                                                                                                            | Prin Pmt for April 2016         | 0.00        | 0.00        | 606.89          | -631.45          | -24.56                |
| 06/27/16                                                                                                            | Prin Pmt for May 2016           | 0.00        | 0.00        | 520.77          | -541.84          | -21.07                |
| 07/25/16                                                                                                            | Prin Pmt for June 2016          | 0.00        | 0.00        | 607.01          | -631.58          | -24.57                |
| 08/25/16                                                                                                            | Prin Pmt for July 2016          | 0.00        | 0.00        | 548.92          | -571.13          | -22.21                |
| 09/26/16                                                                                                            | Prin Pmt for August 2016        | 0.00        | 0.00        | 519.11          | -540.12          | -21.01                |
| 10/25/16                                                                                                            | Prin Pmt for September 2016     | 0.00        | 0.00        | 503.09          | -523.45          | -20.36                |
| 11/25/16                                                                                                            | Prin Pmt for October 2016       | 0.00        | 0.00        | 538.54          | -560.33          | -21.79                |
| 12/27/16                                                                                                            | Prin Pmt for November 2016      | 0.00        | 0.00        | 498.87          | -519.06          | -20.19                |
|                                                                                                                     |                                 | <b>0.00</b> | <b>0.00</b> | <b>6,562.30</b> | <b>-6,827.86</b> | <b>-265.56</b>        |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                                              | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash         | Cost              | Realized<br>Gain/Loss |
|-------------------------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|------------------|-------------------|-----------------------|
| Federal Natl Mtg Assn Gtd Passthru<br>CTF Pool #MA0921 3 000% Dtd<br>11/01/11 Due 12/01/2021 IPD24<br>31418AAX6   |                                 |             |             |                  |                   |                       |
| 01/25/16                                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 1,051.68         | -1,105.58         | -53.90                |
| 02/25/16                                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 1,097.62         | -1,153.87         | -56.25                |
| 03/25/16                                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 1,078.46         | -1,133.73         | -55.27                |
| 04/25/16                                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 1,045.28         | -1,098.85         | -53.57                |
| 05/25/16                                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 1,116.51         | -1,173.73         | -57.22                |
| 06/27/16                                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 957.50           | -1,006.57         | -49.07                |
| 07/25/16                                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 1,043.10         | -1,096.56         | -53.46                |
| 08/25/16                                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 1,073.64         | -1,128.66         | -55.02                |
| 09/26/16                                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 1,023.01         | -1,075.44         | -52.43                |
| 10/25/16                                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 884.59           | -929.93           | -45.34                |
| 11/25/16                                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 883.07           | -928.33           | -45.26                |
| 12/27/16                                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 897.64           | -943.64           | -46.00                |
|                                                                                                                   |                                 | <u>0.00</u> | <u>0.00</u> | <u>12,152.10</u> | <u>-12,774.89</u> | <u>-622.79</u>        |
| Federal Natl Mtg Assn Gtd Passthru<br>CTF Pool #AE0828 3 500% Dtd<br>01/01/2011 Due 02/01/2041 IPD24<br>31419A4N4 |                                 |             |             |                  |                   |                       |
| 01/25/16                                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 346.60           | -358.46           | -11.86                |
| 02/25/16                                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 250.46           | -259.03           | -8.57                 |
| 03/25/16                                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 272.31           | -281.63           | -9.32                 |
| 04/25/16                                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 381.28           | -394.33           | -13.05                |
| 05/25/16                                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 404.03           | -417.85           | -13.82                |
| 06/27/16                                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 462.69           | -478.52           | -15.83                |
| 07/25/16                                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 462.36           | -478.18           | -15.82                |
| 08/25/16                                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 424.38           | -438.90           | -14.52                |
| 09/26/16                                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 554.99           | -573.98           | -18.99                |
| 10/25/16                                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 565.16           | -584.50           | -19.34                |
| 11/25/16                                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 576.74           | -596.47           | -19.73                |
| 12/27/16                                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 473.09           | -489.28           | -16.19                |
|                                                                                                                   |                                 | <u>0.00</u> | <u>0.00</u> | <u>5,174.09</u>  | <u>-5,351.13</u>  | <u>-177.04</u>        |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                | Description<br>Asset ID (CUSIP) | Commission | Other Costs | Net Cash  | Cost       | Realized<br>Gain/Loss |
|-------------------------------------|---------------------------------|------------|-------------|-----------|------------|-----------------------|
| - GNMA II Passthru CTF Pool #MA0462 |                                 |            |             |           |            |                       |
| 3 50% Dtd 10/01/2012 Due 10/20/2042 |                                 |            |             |           |            |                       |
| IPD19                               |                                 |            |             |           |            |                       |
| 36179MQP8                           |                                 |            |             |           |            |                       |
| 01/20/16                            | Prin Pmt for December 2015      | 0.00       | 0.00        | 915.96    | -999.54    | -83.58                |
| 02/22/16                            | Prin Pmt for January 2016       | 0.00       | 0.00        | 734.38    | -801.39    | -67.01                |
| 03/21/16                            | Prin Pmt for February 2016      | 0.00       | 0.00        | 746.48    | -814.60    | -68.12                |
| 04/20/16                            | Prin Pmt for March 2016         | 0.00       | 0.00        | 1,038.81  | -1,133.60  | -94.79                |
| 05/20/16                            | Prin Pmt for April 2016         | 0.00       | 0.00        | 1,036.17  | -1,130.72  | -94.55                |
| 06/20/16                            | Prin Pmt for May 2016           | 0.00       | 0.00        | 1,088.70  | -1,188.04  | -99.34                |
| 07/20/16                            | Prin Pmt for June 2016          | 0.00       | 0.00        | 1,279.08  | -1,395.80  | -116.72               |
| 08/22/16                            | Prin Pmt for July 2016          | 0.00       | 0.00        | 1,145.56  | -1,250.09  | -104.53               |
| 09/20/16                            | Prin Pmt for August 2016        | 0.00       | 0.00        | 1,332.47  | -1,454.06  | -121.59               |
| 10/20/16                            | Prin Pmt for September 2016     | 0.00       | 0.00        | 1,184.71  | -1,292.81  | -108.10               |
| 11/21/16                            | Prin Pmt for October 2016       | 0.00       | 0.00        | 1,083.61  | -1,182.49  | -98.88                |
| 12/20/16                            | Prin Pmt for November 2016      | 0.00       | 0.00        | 1,111.34  | -1,212.75  | -101.41               |
|                                     |                                 | 0.00       | 0.00        | 12,697.27 | -13,855.89 | -1,158.62             |
| - GNMA II Passthru CTF Pool #004577 |                                 |            |             |           |            |                       |
| 4 50% Dtd 11/01/2009 Due 11/20/2039 |                                 |            |             |           |            |                       |
| IPD19                               |                                 |            |             |           |            |                       |
| 36202FCN4                           |                                 |            |             |           |            |                       |
| 01/20/16                            | Prin Pmt for December 2015      | 0.00       | 0.00        | 539.77    | -561.08    | -21.31                |
| 02/22/16                            | Prin Pmt for January 2016       | 0.00       | 0.00        | 400.01    | -415.80    | -15.79                |
| 03/21/16                            | Prin Pmt for February 2016      | 0.00       | 0.00        | 429.40    | -446.35    | -16.95                |
| 04/20/16                            | Prin Pmt for March 2016         | 0.00       | 0.00        | 577.51    | -600.31    | -22.80                |
| 05/20/16                            | Prin Pmt for April 2016         | 0.00       | 0.00        | 553.15    | -574.98    | -21.83                |
| 06/20/16                            | Prin Pmt for May 2016           | 0.00       | 0.00        | 536.23    | -557.40    | -21.17                |
| 07/20/16                            | Prin Pmt for June 2016          | 0.00       | 0.00        | 477.00    | -495.83    | -18.83                |
| 08/22/16                            | Prin Pmt for July 2016          | 0.00       | 0.00        | 450.45    | -468.23    | -17.78                |
| 09/20/16                            | Prin Pmt for August 2016        | 0.00       | 0.00        | 473.13    | -491.81    | -18.68                |
| 10/20/16                            | Prin Pmt for September 2016     | 0.00       | 0.00        | 446.27    | -463.88    | -17.61                |
| 11/21/16                            | Prin Pmt for October 2016       | 0.00       | 0.00        | 430.39    | -447.38    | -16.99                |
| 12/20/16                            | Prin Pmt for November 2016      | 0.00       | 0.00        | 535.16    | -556.28    | -21.12                |
|                                     |                                 | 0.00       | 0.00        | 5,848.47  | -6,079.33  | -230.86               |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                | Description<br>Asset ID (CUSIP) | Commission | Other Costs | Net Cash | Cost      | Realized<br>Gain/Loss |
|-------------------------------------------------------------------------------------|---------------------------------|------------|-------------|----------|-----------|-----------------------|
| - GNMA II Passthru CTF Pool #004854<br>4.50% Dtd 11/01/2010 Due 11/20/2040<br>IPD19 |                                 |            |             |          |           |                       |
|                                                                                     | 36202FMB9                       |            |             |          |           |                       |
| 01/20/16                                                                            | Prin Pmt for December 2015      | 0.00       | 0.00        | 347.64   | -360.68   | -13.04                |
| 02/22/16                                                                            | Prin Pmt for January 2016       | 0.00       | 0.00        | 330.48   | -342.87   | -12.39                |
| 03/21/16                                                                            | Prin Pmt for February 2016      | 0.00       | 0.00        | 357.59   | -371.00   | -13.41                |
| 04/20/16                                                                            | Prin Pmt for March 2016         | 0.00       | 0.00        | 417.74   | -433.40   | -15.66                |
| 05/20/16                                                                            | Prin Pmt for April 2016         | 0.00       | 0.00        | 349.38   | -362.48   | -13.10                |
| 06/20/16                                                                            | Prin Pmt for May 2016           | 0.00       | 0.00        | 378.99   | -393.20   | -14.21                |
| 07/20/16                                                                            | Prin Pmt for June 2016          | 0.00       | 0.00        | 464.77   | -482.20   | -17.43                |
| 08/22/16                                                                            | Prin Pmt for July 2016          | 0.00       | 0.00        | 304.60   | -316.02   | -11.42                |
| 09/20/16                                                                            | Prin Pmt for August 2016        | 0.00       | 0.00        | 393.60   | -408.36   | -14.76                |
| 10/20/16                                                                            | Prin Pmt for September 2016     | 0.00       | 0.00        | 336.04   | -348.64   | -12.60                |
| 11/21/16                                                                            | Prin Pmt for October 2016       | 0.00       | 0.00        | 382.98   | -397.34   | -14.36                |
| 12/20/16                                                                            | Prin Pmt for November 2016      | 0.00       | 0.00        | 376.85   | -390.98   | -14.13                |
|                                                                                     |                                 | 0.00       | 0.00        | 4,440.66 | -4,607.17 | -166.51               |
| - GNMA II Passthru CTF Pool #005081<br>4.00% Dtd 06/01/2011 Due 06/20/2041<br>IPD19 |                                 |            |             |          |           |                       |
|                                                                                     | 36202FUE4                       |            |             |          |           |                       |
| 01/20/16                                                                            | Prin Pmt for December 2015      | 0.00       | 0.00        | 715.96   | -778.83   | -62.87                |
| 02/22/16                                                                            | Prin Pmt for January 2016       | 0.00       | 0.00        | 556.59   | -605.47   | -48.88                |
| 03/21/16                                                                            | Prin Pmt for February 2016      | 0.00       | 0.00        | 634.56   | -690.28   | -55.72                |
| 04/20/16                                                                            | Prin Pmt for March 2016         | 0.00       | 0.00        | 687.67   | -748.06   | -60.39                |
| 05/20/16                                                                            | Prin Pmt for April 2016         | 0.00       | 0.00        | 664.32   | -722.66   | -58.34                |
| 06/20/16                                                                            | Prin Pmt for May 2016           | 0.00       | 0.00        | 831.95   | -905.01   | -73.06                |
| 07/20/16                                                                            | Prin Pmt for June 2016          | 0.00       | 0.00        | 787.35   | -856.49   | -69.14                |
| 08/22/16                                                                            | Prin Pmt for July 2016          | 0.00       | 0.00        | 820.48   | -892.53   | -72.05                |
| 09/20/16                                                                            | Prin Pmt for August 2016        | 0.00       | 0.00        | 933.42   | -1,015.39 | -81.97                |
| 10/20/16                                                                            | Prin Pmt for September 2016     | 0.00       | 0.00        | 650.68   | -707.82   | -57.14                |
| 11/21/16                                                                            | Prin Pmt for October 2016       | 0.00       | 0.00        | 743.71   | -809.02   | -65.31                |
| 12/20/16                                                                            | Prin Pmt for November 2016      | 0.00       | 0.00        | 678.55   | -738.13   | -59.58                |
|                                                                                     |                                 | 0.00       | 0.00        | 8,705.24 | -9,469.69 | -764.45               |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                              | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash        | Cost             | Realized<br>Gain/Loss |
|---------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|-----------------|------------------|-----------------------|
| - GNMA Gtd Passthru CTF Pool #782150<br>5.50% Dtd 04/01/2007 Due 04/15/2037<br>IPD14<br>36241KL71 |                                 |             |             |                 |                  |                       |
| 01/15/16                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 204.08          | -211.00          | -6.92                 |
| 02/16/16                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 162.30          | -167.80          | -5.50                 |
| 03/15/16                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 136.26          | -140.88          | -4.62                 |
| 04/15/16                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 186.47          | -192.79          | -6.32                 |
| 05/16/16                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 144.83          | -149.74          | -4.91                 |
| 06/15/16                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 180.69          | -186.81          | -6.12                 |
| 07/15/16                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 234.83          | -242.79          | -7.96                 |
| 08/15/16                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 229.14          | -236.91          | -7.77                 |
| 09/15/16                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 152.71          | -157.89          | -5.18                 |
| 10/17/16                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 211.79          | -218.97          | -7.18                 |
| 11/15/16                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 146.82          | -151.80          | -4.98                 |
| 12/15/16                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 154.89          | -160.14          | -5.25                 |
|                                                                                                   |                                 | <u>0.00</u> | <u>0.00</u> | <u>2,144.81</u> | <u>-2,217.52</u> | <u>-72.71</u>         |
| - GNMA Gtd Passthru CTF Pool #697858<br>6.00% Dtd 02/01/2009 Due 02/15/2039<br>IPD14<br>36296QJB6 |                                 |             |             |                 |                  |                       |
| 01/15/16                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 37.57           | -38.53           | -0.96                 |
| 02/16/16                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 6.98            | -7.16            | -0.18                 |
| 03/15/16                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 103.15          | -105.79          | -2.64                 |
| 04/15/16                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 170.57          | -174.94          | -4.37                 |
| 05/16/16                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 140.05          | -143.64          | -3.59                 |
| 06/15/16                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 187.77          | -192.58          | -4.81                 |
| 07/15/16                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 85.57           | -87.76           | -2.19                 |
| 08/15/16                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 55.69           | -57.12           | -1.43                 |
| 09/15/16                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 79.03           | -81.05           | -2.02                 |
| 10/17/16                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 6.29            | -6.45            | -0.16                 |
| 11/15/16                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 5.93            | -6.08            | -0.15                 |
| 12/15/16                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 90.42           | -92.74           | -2.32                 |
|                                                                                                   |                                 | <u>0.00</u> | <u>0.00</u> | <u>969.02</u>   | <u>-993.84</u>   | <u>-24.82</u>         |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                                                                              | Description<br>Asset ID (CUSIP) | Commission  | Other Costs | Net Cash        | Cost             | Realized<br>Gain/Loss |
|---------------------------------------------------------------------------------------------------|---------------------------------|-------------|-------------|-----------------|------------------|-----------------------|
| - GNMA Gtd Passthru CTF Pool #698336<br>4 50% Dtd 05/01/2009 Due 05/15/2039<br>IPD14<br>36296QY94 |                                 |             |             |                 |                  |                       |
| 01/15/16                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 92.53           | -93.72           | -1.19                 |
| 02/16/16                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 123.77          | -125.36          | -1.59                 |
| 03/15/16                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 135.61          | -137.35          | -1.74                 |
| 04/15/16                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 113.17          | -114.62          | -1.45                 |
| 05/16/16                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 194.85          | -197.35          | -2.50                 |
| 06/15/16                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 129.52          | -131.18          | -1.66                 |
| 07/15/16                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 145.24          | -147.10          | -1.86                 |
| 08/15/16                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 127.65          | -129.29          | -1.64                 |
| 09/15/16                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 231.35          | -234.31          | -2.96                 |
| 10/17/16                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 143.87          | -145.71          | -1.84                 |
| 11/15/16                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 166.29          | -168.42          | -2.13                 |
| 12/15/16                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 140.34          | -142.14          | -1.80                 |
|                                                                                                   |                                 | <u>0.00</u> | <u>0.00</u> | <u>1,744.19</u> | <u>-1,766.55</u> | <u>-22.36</u>         |
| - GNMA Gtd Passthru CTF Pool #701781<br>5 00% Dtd 03/01/2009 Due 03/15/2039<br>IPD14<br>36296UJE8 |                                 |             |             |                 |                  |                       |
| 01/15/16                                                                                          | Prin Pmt for December 2015      | 0.00        | 0.00        | 405.87          | -415.17          | -9.30                 |
| 02/16/16                                                                                          | Prin Pmt for January 2016       | 0.00        | 0.00        | 173.60          | -177.58          | -3.98                 |
| 03/15/16                                                                                          | Prin Pmt for February 2016      | 0.00        | 0.00        | 425.52          | -435.27          | -9.75                 |
| 04/15/16                                                                                          | Prin Pmt for March 2016         | 0.00        | 0.00        | 160.63          | -164.31          | -3.68                 |
| 05/16/16                                                                                          | Prin Pmt for April 2016         | 0.00        | 0.00        | 84.49           | -86.43           | -1.94                 |
| 06/15/16                                                                                          | Prin Pmt for May 2016           | 0.00        | 0.00        | 131.95          | -134.97          | -3.02                 |
| 07/15/16                                                                                          | Prin Pmt for June 2016          | 0.00        | 0.00        | 191.96          | -196.36          | -4.40                 |
| 08/15/16                                                                                          | Prin Pmt for July 2016          | 0.00        | 0.00        | 285.46          | -292.00          | -6.54                 |
| 09/15/16                                                                                          | Prin Pmt for August 2016        | 0.00        | 0.00        | 190.36          | -194.72          | -4.36                 |
| 10/17/16                                                                                          | Prin Pmt for September 2016     | 0.00        | 0.00        | 209.92          | -214.73          | -4.81                 |
| 11/15/16                                                                                          | Prin Pmt for October 2016       | 0.00        | 0.00        | 320.92          | -328.27          | -7.35                 |
| 12/15/16                                                                                          | Prin Pmt for November 2016      | 0.00        | 0.00        | 158.10          | -161.72          | -3.62                 |
|                                                                                                   |                                 | <u>0.00</u> | <u>0.00</u> | <u>2,738.76</u> | <u>-2,801.53</u> | <u>-62.75</u>         |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                                                              | Commission | Other Costs | Net Cash   | Cost         | Realized<br>Gain/Loss |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------|------------|-------------|------------|--------------|-----------------------|
| -        | GNMA Gld Passthr CTF Pool #706009<br>5.50% Dtd 01/01/2009 Due 01/15/2039<br>IPD14<br>36297AKW2                                               | 0.00       | 0.00        | 8.21       | - 8.56       | - 0.35                |
| 01/15/16 | Prin Pmt for December 2015                                                                                                                   | 0.00       | 0.00        | 390.96     | - 407.45     | - 16.49               |
| 02/16/16 | Prin Pmt for January 2016                                                                                                                    | 0.00       | 0.00        | 7.36       | - 7.67       | - 0.31                |
| 03/15/16 | Prin Pmt for February 2016                                                                                                                   | 0.00       | 0.00        | 111.64     | - 116.35     | - 4.71                |
| 04/15/16 | Prin Pmt for March 2016                                                                                                                      | 0.00       | 0.00        | 127.26     | - 132.63     | - 5.37                |
| 05/16/16 | Prin Pmt for April 2016                                                                                                                      | 0.00       | 0.00        | 144.81     | - 150.92     | - 6.11                |
| 06/15/16 | Prin Pmt for May 2016                                                                                                                        | 0.00       | 0.00        | 193.27     | - 201.42     | - 8.15                |
| 07/15/16 | Prin Pmt for June 2016                                                                                                                       | 0.00       | 0.00        | 126.82     | - 132.17     | - 5.35                |
| 08/15/16 | Prin Pmt for July 2016                                                                                                                       | 0.00       | 0.00        | 54.56      | - 56.86      | - 2.30                |
| 09/15/16 | Prin Pmt for August 2016                                                                                                                     | 0.00       | 0.00        | 65.45      | - 68.21      | - 2.76                |
| 10/17/16 | Prin Pmt for September 2016                                                                                                                  | 0.00       | 0.00        | 6.81       | - 7.10       | - 0.29                |
| 11/15/16 | Prin Pmt for October 2016                                                                                                                    | 0.00       | 0.00        | 53.93      | - 56.21      | - 2.28                |
| 12/15/16 | Prin Pmt for November 2016                                                                                                                   | 0.00       | 0.00        | 1,291.08   | - 1,345.55   | - 54.47               |
| 02/16/16 | US Treasury Note<br>1.375% Dtd 08/31/2015 Due<br>08/31/2020<br>912828L32<br>Sold 50000 02/11/16<br>To Mellon Bank/Mellon Fin<br>@ 101 453125 | 0.00       | 0.00        | 50,726.56  | - 49,675.78  | 1,050.78              |
| 02/16/16 | US Treasury Note<br>2.00% Dtd 11/15/2011 Due 11/15/2021<br>912828RR3<br>Sold 125000 02/11/16<br>To Bank of Amer Secs LLC<br>@ 104 101563     | 0.00       | 0.00        | 128,644.88 | - 120,080.08 | 8,564.80              |
| 01/11/16 | US Treasury Note<br>0.875% Dtd 12/31/2011 Due 12/31/2016<br>912828RX0<br>Sold 150000 01/07/16<br>To Pershing LLC<br>@ 100 09765              | 0.00       | 0.00        | 150,146.48 | - 150,379.40 | - 232.92              |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                                       | Description<br>Asset ID (CUSIP)                                                                                                                                             | Commission  | Other Costs | Net Cash          | Cost                | Realized<br>Gain/Loss |
|--------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------|-------------------|---------------------|-----------------------|
| 08/19/16                                   | - US Treasury Note<br>1 375% Dtd 05/31/2013 Due 05/31/2020<br>912828VF4<br>Sold 150000 08/17/16<br>To Morgan Stanley & Co<br>@ 101 304685                                   | 0.00        | 0 00        | 151,957.03        | - 148,394.53        | 3,562.50              |
| 02/22/16                                   | - US Treasury Note<br>2 50% Dtd 05/15/2014 Due 05/15/2024<br>912828WJ5<br>Sold 50000 02/18/16<br>To Mellon Bank/Mellon Fin<br>@ 106 367188                                  | 0.00        | 0 00        | 53,183.59         | - 49,710.94         | 3,472.65              |
| <b>Total Treasury and Federal Agencies</b> |                                                                                                                                                                             | <u>0.00</u> | <u>0.00</u> | <u>931,561.21</u> | <u>- 932,939.95</u> | <u>- 1,378.74</u>     |
| <b>Non-Government Obligations</b>          |                                                                                                                                                                             |             |             |                   |                     |                       |
| 02/23/16                                   | - Bank Amer Fdg Corp Sr NT L<br>7 625% Dtd 06/02/2009 Due 06/01/2019<br>Callable<br>06051GDZ9<br>Sold 50000 02/18/16<br>To BNY Capital Markets Inc<br>@ 115 475             | 0.00        | 0 00        | 57,737.50         | - 53,237.61         | 4,499.89              |
| 08/22/16                                   | - Bank New York MTN Bk Ent Fr<br>5.45% Dtd 05/12/2009 Due 05/15/2019<br>Non-Callable<br>06406HBM0<br>Sold 55000 08/17/16<br>To BNY Mellon/Toronto Dominion SEC<br>@ 110 441 | 0.00        | 0 00        | 60,742.55         | - 59,590.94         | 1,151.61              |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date     | Description<br>Asset ID (CUSIP)                                                                                                                                                              | Commission  | Other Costs | Net Cash          | Cost                | Realized<br>Gain/Loss |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------|-------------------|---------------------|-----------------------|
| 02/02/16 | - Chevron Corp NT<br>4 95% Dtd 03/03/2009 Due 03/03/2019<br>Callable<br>166751AJ6<br>Sold 30000 01/28/16<br>To R W Baird & Co<br>@ 108 447                                                   | 0 00        | 0.00        | 32,534 10         | - 30,624 83         | 1,909.27              |
| 02/17/16 | - General Elec Cap Corp Medium Term<br>Nts Tranche # Tr 00811 5.625% Dtd<br>04/21/2008 Due 05/01/2018<br>36962G3U6<br>Sold 60000 02/11/16<br>To Wachovia Securities Capital Mar<br>@ 109.168 | 0 00        | 0.00        | 64,149.56         | - 58,194 60         | 5,954 96              |
| 11/10/16 | - Kellogg Co Sr NT<br>4 00% Dtd 12/13/2010 Due 12/15/2020<br>Non-Callable<br>487836BD9<br>Sold 50000 11/07/16<br>To US Bancorp Investments Inc<br>@ 108 132                                  | 0.00        | 0 00        | 54,066.00         | - 53,340 11         | 725.89                |
| 03/09/16 | - U S Bancorp Medium Term Nts<br>Tranche # Tr 00172 1 65% Dtd<br>05/08/2012 Due 05/15/2017 Callable<br>91159HHD5<br>Sold 60000 03/04/16<br>To BNY Capital Markets Inc<br>@ 100 545           | 0.00        | 0.00        | 60,327 00         | - 60,206.41         | 120.59                |
|          | <b>Total Non-Government Obligations</b>                                                                                                                                                      | <b>0.00</b> | <b>0.00</b> | <b>329,556.71</b> | <b>- 315,194.50</b> | <b>14,362.21</b>      |
|          | <b>Foreign Obligations</b>                                                                                                                                                                   |             |             |                   |                     |                       |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
Statement Period: 01/01/16 through 12/31/16

**Sales**

| Date                             | Description<br>Asset ID (CUSIP)                                                                                                                                                 | Commission  | Other Costs | Net Cash            | Cost                  | Realized<br>Gain/Loss |
|----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------|---------------------|-----------------------|-----------------------|
| 06/29/16                         | - British Columbia Prov Cda Sr NT<br>1 20% Dtd 04/25/2012 Due 04/25/2017<br>Non-Callable<br>110707AA6<br>Sold 50000 06/27/16<br>To RBC Cap Mkts (Royal Bank of Can<br>@ 100 429 | 0.00        | 0.00        | 50,214.50           | - 49,963.50           | 251.00                |
| 02/17/16                         | - Hydro Quebec Gtd Gbl NT<br>2 00% Dtd 06/30/2011 Due 06/30/2016<br>Non-Callable<br>448814JB0<br>Sold 50000 02/11/16<br>To RBC Cap Mkts (Royal Bank of Can<br>@ 100.422         | 0.00        | 0.00        | 50,211.00           | - 50,143.15           | 67.85                 |
| 02/17/16                         | - Manitoba Prov Cda USD Gbl<br>1.30% Dtd 03/07/2012 Due 04/03/2017<br>Non-Callable<br>563469TU9<br>Sold 50000 02/11/16<br>To RBC Cap Mkts (Royal Bank of Can<br>@ 100 506       | 0.00        | 0.00        | 50,253.00           | - 49,896.50           | 356.50                |
| <b>Total Foreign Obligations</b> |                                                                                                                                                                                 | <u>0.00</u> | <u>0.00</u> | <u>150,678.50</u>   | <u>- 150,003.15</u>   | <u>675.35</u>         |
| <b>Total Fixed Income</b>        |                                                                                                                                                                                 | <u>0.00</u> | <u>0.00</u> | <u>1,411,796.42</u> | <u>- 1,398,137.60</u> | <u>13,658.82</u>      |
| <b>Cash Equivalent</b>           |                                                                                                                                                                                 |             |             |                     |                       |                       |
| 12/31/16                         | - BMO Prime Money Market Cl Y #200<br>999993716<br>Sales (9) 01/01/16 To 12/31/16                                                                                               | 0.00        | 0.00        | 963,276.07          | - 963,276.07          | 0.00                  |
| 12/31/16                         | - BMO Govt Money Market Fd Y # 605<br>999993603<br>Sales (5) 01/01/16 To 12/31/16                                                                                               | 0.00        | 0.00        | 179,035.00          | - 179,035.00          | 0.00                  |

**Mercy Works FDN JB-Core Fixed Income**

Account Number: 71-L084-05-1  
 Statement Period: 01/01/16 through 12/31/16

| Sales |                                 |            |             |              |                |
|-------|---------------------------------|------------|-------------|--------------|----------------|
| Date  | Description<br>Asset ID (CUSIP) | Commission | Other Costs | Net Cash     | Cost           |
|       | Total Cash Equivalent           | 0.00       | 0.00        | 1,142,311.07 | - 1,142,311.07 |
|       | Total Sales                     | 0.00       | 0.00        | 2,554,107.49 | - 2,540,448.67 |
|       |                                 |            |             |              | 13,658.82      |
|       |                                 |            |             |              | 0.00           |

**Mercy Works FDN Cash Disbursement**

Account Number: 71-L084-06-9  
Statement Period: 01/01/16 through 12/31/16

| Sales                 |                                                 |            |             |              |               |                       |
|-----------------------|-------------------------------------------------|------------|-------------|--------------|---------------|-----------------------|
| Date                  | Description<br>Asset ID (CUSIP)                 | Commission | Other Costs | Net Cash     | Cost          | Realized<br>Gain/Loss |
| Cash Equivalent       |                                                 |            |             |              |               |                       |
| 12/31/16              | - BMO Prime Money Market Cl Y #200<br>999993116 | 0.00       | 0.00        | 2,493,219.76 | -2,493,219.76 | 0.00                  |
|                       | Sales (85) 01/01/16 To 12/31/16                 |            |             |              |               |                       |
| 12/31/16              | - BMO Govt Money Market Fd Y # 605<br>999993603 | 0.00       | 0.00        | 1,483,119.11 | -1,483,119.11 | 0.00                  |
|                       | Sales (40) 01/01/16 To 12/31/16                 |            |             |              |               |                       |
| Total Cash Equivalent |                                                 | 0.00       | 0.00        | 3,976,338.87 | -3,976,338.87 | 0.00                  |
| Total Sales           |                                                 | 0.00       | 0.00        | 3,976,338.87 | -3,976,338.87 | 0.00                  |

**MERCY WORKS FOUNDATION**  
**EIN #43-1954871**

Attachment for Part XV – Supplementary Information

**See the following list of grants and contributions  
paid during the year**

## Grant Payment Summary - by Payee

Date Paid is any date between 1/1/2016 and 12/31/2016

4/5/2017

| Payee Organization<br>Project Title                                                                                                                                              | Request ID<br>Ref. No. | Granted<br>Amount | # of<br>Pmts | Payments<br>Paid | Payments<br>Remaining | Next Pmt<br>Sch. Date | Next Pmt<br>Amount |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|-------------------|--------------|------------------|-----------------------|-----------------------|--------------------|
| A & A Alexandrina Center Ltd.<br><i>Insurance Coverage. The Center, Mom's<br/>Home, Ultrasounds</i>                                                                              | 2138                   | \$4,000.00        | 1            | \$4,000.00       | \$0.00                |                       |                    |
| Animarum Salus<br><i>Divine Mercy Ministry for the Dying</i>                                                                                                                     | 2174                   | \$9,300.00        | 1            | \$9,300.00       | \$0.00                |                       |                    |
| Apostleship of Prayer<br><i>Hearts on Fire Retreats for Young Adults</i>                                                                                                         | 2101                   | \$12,000.00       | 2            | \$5,000.00       | \$0.00                |                       |                    |
| Apostolate for Family Consecration, Inc<br><i>Matching Grant Challenge for Holy Family<br/>Fests, Family Hour Guide Production,<br/>Catholic Familyland Capital Improvements</i> | 2140                   | \$100,000.00      | 1            | \$100,000.00     | \$0.00                |                       |                    |
| Apostolate for Family Consecration, Inc<br><i>Matching Grant for 2016 Holy Family<br/>Fests</i>                                                                                  | 2169                   | \$25,000.00       | 1            | \$25,000.00      | \$0.00                |                       |                    |
| Apostolate for Family Consecration, Inc<br><i>Matching Grant. 2017 Duc in Altum &amp;<br/>Alone with God Retreats</i>                                                            | 2230                   | \$20,000.00       | 1            | \$20,000.00      | \$0.00                |                       |                    |
| Archdiocese of Milwaukee - UW Milw<br>Newman Center<br><i>Evangelical Catholic Summer Camp 15<br/>Students &amp; 3 Adults</i>                                                    | 2159                   | \$7,550.00        | 1            | \$7,550.00       | \$0.00                |                       |                    |
| Ascend<br><i>Provide SRAS Trainings</i>                                                                                                                                          | 2185                   | \$10,000.00       | 1            | \$10,000.00      | \$0.00                |                       |                    |

| Payee Organization<br>Project Title                                                                              | Request ID<br>Ref. No. | Granted<br>Amount | # of<br>Pmts | Payments<br>Paid | Payments<br>Remaining | Next Pmt<br>Sch. Date | Next Pmt<br>Amount |
|------------------------------------------------------------------------------------------------------------------|------------------------|-------------------|--------------|------------------|-----------------------|-----------------------|--------------------|
| Association Of St Lawrence Comunita<br>Cenacolo America Inc<br><i>Spring Parent Retreat</i>                      | 2184                   | \$15,000.00       | 1            | \$15,000.00      | \$0.00                |                       |                    |
| Augustine Institute<br><i>FORMED Content and Functionality and<br/>Develop Forgiven Program</i>                  | 2149                   | \$30,000.00       | 1            | \$30,000.00      | \$0.00                |                       |                    |
| Camp Wojtyla, Inc<br><i>Servant Leadership Program</i>                                                           | 2212                   | \$10,000.00       | 1            | \$10,000.00      | \$0.00                |                       |                    |
| The Cardinal Newman Society<br><i>Partnership for Excellence in Catholic<br/>Education</i>                       | 1850                   | \$105,000.00      | 1            | \$35,000.00      | \$0.00                |                       |                    |
| Care Net Pregnancy Center of Dane Cty<br><i>Feeding Moms and Babies at The Elizabeth<br/>House</i>               | 2146                   | \$6,000.00        | 1            | \$6,000.00       | \$0.00                |                       |                    |
| Catholic Answers<br><i>Catholic Answers Video Frontier</i>                                                       | 2151                   | \$17,000.00       | 1            | \$17,000.00      | \$0.00                |                       |                    |
| Catholic Charities of the Diocese of Baton<br>Rouge<br><i>Disaster Relief in Diocese of Baton Rouge</i>          | 2213                   | \$25,000.00       | 1            | \$25,000.00      | \$0.00                |                       |                    |
| Catholic Foundation for the Diocese of<br>Green Bay<br><i>Seminarian Support Over 4 Yrs: \$45,000<br/>per Yr</i> | 1587<br>1587           | \$180,000.00      | 1            | \$45,000.00      | \$0.00                |                       |                    |
| Catholic Foundation for the Diocese of<br>Green Bay<br><i>St Francis Xavier Cathedral Campaign</i>               | 2012                   | \$100,000.00      | 1            | \$50,000.00      | \$0.00                |                       |                    |

| Payee Organization<br>Project Title                                                                            | Request ID<br>Ref. No. | Granted<br>Amount | # of<br>Pmts | Payments<br>Paid | Payments<br>Remaining | Next Pmt<br>Sch. Date | Next Pmt<br>Amount |
|----------------------------------------------------------------------------------------------------------------|------------------------|-------------------|--------------|------------------|-----------------------|-----------------------|--------------------|
| Catholic Foundation for the Diocese of<br>Green Bay<br><i>Seminarian Fund</i>                                  | 2260                   | \$20,000.00       | 1            | \$20,000.00      | \$0.00                |                       |                    |
| Catholic Foundation for the Diocese of<br>Green Bay<br><i>Missionaries of the Word. General<br/>Operations</i> | 2261                   | \$5,000.00        | 1            | \$5,000.00       | \$0.00                |                       |                    |
| Catholic Youth Expeditions<br><i>Debt Reduction for Renovations to<br/>Formation Center</i>                    | 2163                   | \$25,000.00       | 1            | \$25,000.00      | \$0.00                |                       |                    |
| Catholic Youth Expeditions<br><i>Retire Bethany House Mortgage</i>                                             | 2224                   | \$12,000.00       | 1            | \$12,000.00      | \$0.00                |                       |                    |
| Susan B. Anthony List Ed Fund d/b/a<br>Charlotte Lozier Institute<br><i>Obamacare Abortion Year 3</i>          | 2205                   | \$10,000.00       | 1            | \$10,000.00      | \$0.00                |                       |                    |
| Chiaroscuro Institute Inc<br><i>Women Speak For Themselves</i>                                                 | 2208                   | \$10,000.00       | 1            | \$10,000.00      | \$0.00                |                       |                    |
| Community Clothes Closet, Inc.<br><i>Support Team</i>                                                          | 2115                   | \$15,000.00       | 1            | \$15,000.00      | \$0.00                |                       |                    |
| Community Clothes Closet, Inc.<br><i>General Operations</i>                                                    | 2265                   | \$4,000.00        | 1            | \$4,000.00       | \$0.00                |                       |                    |
| COTS<br><i>Campus of Change</i>                                                                                | 2152                   | \$7,500.00        | 3            | \$7,500.00       | \$0.00                |                       |                    |
| Dead Theologians Society<br><i>The St. Patrick Project -- Phase Two<br/>Over Three Yrs</i>                     | 1867                   | \$25,500.00       | 1            | \$8,500.00       | \$0.00                |                       |                    |

| Payee Organization<br>Project Title                                                                     | Request ID<br>Ref. No. | Granted<br>Amount | # of<br>Pmts | Payments<br>Paid | Payments<br>Remaining | Next Pmt<br>Sch. Date | Next Pmt<br>Amount |
|---------------------------------------------------------------------------------------------------------|------------------------|-------------------|--------------|------------------|-----------------------|-----------------------|--------------------|
| Diocese of Austin<br><i>Capital Campaign Restore, Renew,<br/>Rejoice</i>                                | 2042                   | \$100,000.00      | 1            | \$50,000.00      | \$0.00                |                       |                    |
| Diocese of Austin<br><i>Diocesan Catholic Youth Conference -<br/>Diocese of Austin</i>                  | 2194                   | \$13,500.00       | 1            | \$13,500.00      | \$0.00                |                       |                    |
| Diocese of La Crosse<br><i>5 Yr Pastoral Plan for Hmong Ministry<br/>\$3600 per yr for 5 years</i>      | 1566<br>1566           | \$18,000 00       | 1            | \$3,600 00       | \$0.00                |                       |                    |
| Dismas Ministry, Inc.<br><i>Pastoral Outreach to Inmates</i>                                            | 2133                   | \$15,000 00       | 1            | \$15,000.00      | \$0.00                |                       |                    |
| Dominican Sisters of Mary, Mother of the<br>Eucharist<br><i>Austin Priory</i>                           | 2274                   | \$5,000.00        | 1            | \$5,000.00       | \$0.00                |                       |                    |
| Elizabeth Ministry International<br><i>RECLAIM and Church Field Hospital Kits</i>                       | 2136                   | \$50,000.00       | 1            | \$50,000.00      | \$0.00                |                       |                    |
| Endow<br><i>Program Growth</i>                                                                          | 2110                   | \$20,000 00       | 1            | \$20,000 00      | \$0 00                |                       |                    |
| Esperanza<br><i>Clean Water for Santa Ana-El Diamante</i>                                               | 2187                   | \$16,265 00       | 1            | \$16,265 00      | \$0.00                |                       |                    |
| Esperanza<br><i>Latin American Surgical Missions</i>                                                    | 2189                   | \$18,750 00       | 1            | \$18,750 00      | \$0.00                |                       |                    |
| Evangelical Catholic<br><i>Scalable Missionary Disciple Catholic<br/>Evangelization Training System</i> | 2211                   | \$25,300.00       | 1            | \$25,300 00      | \$0.00                |                       |                    |

| Payee Organization<br>Project Title                                                                                                                                   | Request ID<br>Ref. No. | Granted<br>Amount | # of<br>Pmts | Payments<br>Paid | Payments<br>Remaining | Next Pmt<br>Sch. Date | Next Pmt<br>Amount |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|-------------------|--------------|------------------|-----------------------|-----------------------|--------------------|
| EWTN<br><i>Support for EWTN production and programming in 2016-17</i>                                                                                                 | 2200                   | \$25,000.00       | 1            | \$25,000.00      | \$0.00                |                       |                    |
| EWTN News, Inc.<br><i>The Pro Life Values in Journalism Project 2016</i>                                                                                              | 2150                   | \$12,500 00       | 1            | \$12,500.00      | \$0.00                |                       |                    |
| Family of the Americas<br><i>Develop of NFP App - \$20,000<br/>Ecofilros for Rural Schools - Dio<br/>Escumla, Guatemala \$30,000</i>                                  | 2119                   | \$50,000.00       | 2            | \$50,000.00      | \$0.00                |                       |                    |
| Farm of the Child<br><i>Family Life &amp; Clinical Team Part-time<br/>Psychologist/counselor \$6,200 Part-time<br/>Physician \$8,300 &amp; Vehicle Repair \$3,000</i> | 2171                   | \$17,500.00       | 1            | \$17,500 00      | \$0.00                |                       |                    |
| Father Carr's Place 2B<br><i>General Operations</i>                                                                                                                   | 2269                   | \$4,000.00        | 1            | \$4,000.00       | \$0.00                |                       |                    |
| FOCUS<br><i>Campus Expansion, Leadership<br/>Development Initiative, and Missions<br/>Expansion</i>                                                                   | 2106                   | \$165,000.00      | 1            | \$165,000 00     | \$0.00                |                       |                    |
| FOCUS<br><i>Focus at UW-Oshkosh Matching Grant for<br/>Personal Mission &amp; Apostolate missionary<br/>ID number on the line 44465</i>                               | 2215                   | \$10,000.00       | 1            | \$10,000 00      | \$0.00                |                       |                    |
| Fox Valley Mother and Unborn Baby Care,<br>Inc.<br><i>Salaries for Nurses for WomanKind<br/>Medical Clinic</i>                                                        | 2182                   | \$30,000.00       | 1            | \$30,000 00      | \$0.00                |                       |                    |

| Payee Organization<br>Project Title                                                                                              | Request ID<br>Ref. No. | Granted<br>Amount | # of<br>Pmts | Payments<br>Paid | Payments<br>Remaining | Next Pmt<br>Sch. Date | Next Pmt<br>Amount |
|----------------------------------------------------------------------------------------------------------------------------------|------------------------|-------------------|--------------|------------------|-----------------------|-----------------------|--------------------|
| <b>Franciscan Brothers of Peace</b><br><i>Care of Torture Victims Activities</i>                                                 | 2183                   | \$12,500.00       | 1            | \$12,500.00      | \$0.00                |                       |                    |
| <b>Good Counsel Homes, Inc.</b><br><i>Matching Grant Relocation of Good<br/>Counsel's Daystar Home</i>                           | 2147                   | \$20,000.00       | 1            | \$20,000.00      | \$0.00                |                       |                    |
| <b>Handmaids of the Divine Redeemer</b><br><i>Car Purchase for Sister Angela, Ghana</i>                                          | 2130                   | \$2,000.00        | 1            | \$2,000.00       | \$0.00                |                       |                    |
| <b>Healing the Culture</b><br><i>Philosophical Foundations. Training and<br/>Equipping High School Pro-Life Clubs</i>            | 2207                   | \$17,500.00       | 1            | \$17,500.00      | \$0.00                |                       |                    |
| <b>Heartbeat International</b><br><i>Project #1 Ministry Services, Project #2:<br/>Option Line, Project #3 Maternity Housing</i> | 2105                   | \$85,000.00       | 1            | \$85,000.00      | \$0.00                |                       |                    |
| <b>Help the Helpless</b><br><i>Fish pond for Missionary Group Home of<br/>the Mother in Chone, Ecuador</i>                       | 2161.                  | \$25,000.00       | 1            | \$25,000.00      | \$0.00                |                       |                    |
| <b>Help the Helpless</b><br><i>Emergency Disaster Relief - Missionary<br/>Group Home of the Mother - Ecuador</i>                 | 2170                   | \$25,000.00       | 1            | \$25,000.00      | \$0.00                |                       |                    |
| <b>Majella Cares</b><br><i>General Operations excluding Debt<br/>Repayment</i>                                                   | 2168                   | \$50,000.00       | 2            | \$50,000.00      | \$0.00                |                       |                    |
| <b>Holy Apostles College and Seminary</b><br><i>Seminarian Tuition Assistance</i>                                                | 2122                   | \$25,000.00       | 1            | \$25,000.00      | \$0.00                |                       |                    |
| <b>Homeless Connections</b><br><i>Shelter Program</i>                                                                            | 2193                   | \$10,000.00       | 1            | \$10,000.00      | \$0.00                |                       |                    |

| Payee Organization<br>Project Title                                                                                                                                                                                                | Request ID<br>Ref. No. | Granted<br>Amount | # of<br>Pmts | Payments<br>Paid | Payments<br>Remaining | Next Pmt<br>Sch. Date | Next Pmt<br>Amount |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|-------------------|--------------|------------------|-----------------------|-----------------------|--------------------|
| House of Hope Green Bay, Inc.<br><i>Key to Hope Advancement Campaign</i>                                                                                                                                                           | 2145                   | \$12,000.00       | 1            | \$12,000.00      | \$0.00                |                       |                    |
| Human Life Alliance<br><i>Awareness Project. Euthanasia and Dr<br/>Assisted Suicide</i>                                                                                                                                            | 2107                   | \$15,000.00       | 1            | \$15,000.00      | \$0.00                |                       |                    |
| Human Life International<br><i>Regional Directors &amp; General Mission<br/>Operations</i>                                                                                                                                         | 2113                   | \$25,000.00       | 1            | \$25,000.00      | \$0.00                |                       |                    |
| Institute for Priestly Formation<br><i>Summer Formation Program for Diocesan<br/>Seminarians</i>                                                                                                                                   | 2142                   | \$30,000.00       | 1            | \$30,000.00      | \$0.00                |                       |                    |
| Institute of Catholic Culture<br><i>Magdala Apostolate</i>                                                                                                                                                                         | 1922                   | \$60,000.00       | 1            | \$20,000.00      | \$0.00                |                       |                    |
| Institute on Religious Life<br><i>Intern Hidden with Christ - Contemplative<br/>Life Project</i>                                                                                                                                   | 2143                   | \$10,000.00       | 1            | \$10,000.00      | \$0.00                |                       |                    |
| John Paul II Adv Institute - Outdoor Lab<br><i>Camp<br/>Funds to assist youth in low income<br/>families to attend JP II Outdoor Lab<br/>(JP IIOL) and to launch Theology of the<br/>Body to camp attendees and their families</i> | 2192                   | \$10,000.00       | 1            | \$10,000.00      | \$0.00                |                       |                    |
| John Paul II Medical Research Institute<br><i>Rare Genetic Disease Research to Help<br/>Children with Lysosomal Storage Diseases</i>                                                                                               | 2172                   | \$20,000.00       | 1            | \$20,000.00      | \$0.00                |                       |                    |

| Payee Organization<br>Project Title                                                                                                                                             | Request ID<br>Ref. No. | Granted<br>Amount | # of<br>Pmts | Payments<br>Paid | Payments<br>Remaining | Next Pmt<br>Sch. Date | Next Pmt<br>Amount |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|-------------------|--------------|------------------|-----------------------|-----------------------|--------------------|
| Labor of Love d/b/a Bella Pregnancy<br>Medical Clinic<br><i>Nurse Manager Pregnancy Resources and<br/>Women's Health Initiatives</i>                                            | 2188                   | \$12,500.00       | 1            | \$12,500.00      | \$0.00                |                       |                    |
| Leaven, Inc.<br><i>Capacity Building Client Services Director</i>                                                                                                               | 2100                   | \$15,000.00       | 1            | \$15,000.00      | \$0.00                |                       |                    |
| Leaven, Inc.<br><i>General Operations</i>                                                                                                                                       | 2264                   | \$4,000.00        | 1            | \$4,000.00       | \$0.00                |                       |                    |
| Life Site News<br><i>Pro-life Content of Life Site News Website</i>                                                                                                             | 2166                   | \$15,000.00       | 1            | \$15,000.00      | \$0.00                |                       |                    |
| Life's Connection<br><i>Ultrasound, Care Program, and<br/>Miscarriage Program</i>                                                                                               | 2191                   | \$12,500.00       | 1            | \$12,500.00      | \$0.00                |                       |                    |
| Little Sisters of the Poor<br><i>Eldercare</i>                                                                                                                                  | 2186                   | \$15,000.00       | 1            | \$15,000.00      | \$0.00                |                       |                    |
| Little Sisters of the Poor<br><i>General Operations</i>                                                                                                                         | 2273                   | \$4,000.00        | 1            | \$4,000.00       | \$0.00                |                       |                    |
| Live Action<br><i>Transforming Public Opinion The<br/>"Abortion Procedures" Campaign</i>                                                                                        | 2179                   | \$22,500.00       | 1            | \$22,500.00      | \$0.00                |                       |                    |
| Magis Institute<br><i>Companion to the Catechism of the<br/>Catholic Church</i>                                                                                                 | 2206                   | \$12,500.00       | 1            | \$12,500.00      | \$0.00                |                       |                    |
| Marian Fathers of the Immaculate<br>Conception<br><i>Publication of Selected Writings of Blessed<br/>Stanislaus Papczynski in English, with a<br/>dedication to Benefactors</i> | 2121                   | \$35,000.00       | 1            | \$35,000.00      | \$0.00                |                       |                    |

| Payee Organization<br>Project Title                                                                                         | Request ID<br>Ref. No. | Granted<br>Amount | # of<br>Pmts | Payments<br>Paid | Payments<br>Remaining | Next Pmt<br>Sch. Date | Next Pmt<br>Amount |
|-----------------------------------------------------------------------------------------------------------------------------|------------------------|-------------------|--------------|------------------|-----------------------|-----------------------|--------------------|
| Mary Mother of God Mission Society<br><i>Works of Mercy in Vladivostok, Russia</i>                                          | 2197                   | \$28,310.00       | 1            | \$28,310.00      | \$0.00                |                       |                    |
| Miles Christi<br><i>Miles Christi Religious &amp; Family Center</i>                                                         | 1914                   | \$60,000.00       | 1            | \$20,000.00      | \$0.00                |                       |                    |
| Miles Jesu<br><i>Care of Girls in the HOPE Girls' Home in<br/>Birky, Ukraine</i>                                            | 2181                   | \$24,500.00       | 1            | \$24,500.00      | \$0.00                |                       |                    |
| Missionaries of Charity, Inc<br><i>Sisters of Charity in Kenya</i>                                                          | 2252                   | \$3,000.00        | 1            | \$3,000.00       | \$0.00                |                       |                    |
| Missionaries of Charity, Inc<br><i>Missionaries of Charity in Nairobi</i>                                                   | 2253                   | \$5,000.00        | 1            | \$5,000.00       | \$0.00                |                       |                    |
| Missionaries of Charity, Inc<br><i>General Operations USA</i>                                                               | 2272                   | \$10,000.00       | 1            | \$10,000.00      | \$0.00                |                       |                    |
| Missionaries of the Poor<br><i>3 Projects Santo, Haiti-\$74K,<br/>Jamaica-\$21K, Naga City,<br/>Philippines-\$100K</i>      | 2124                   | \$195,000.00      | 1            | \$195,000.00     | \$0.00                |                       |                    |
| Missionaries of the Poor<br><i>General Operations</i>                                                                       | 2266                   | \$10,000.00       | 1            | \$10,000.00      | \$0.00                |                       |                    |
| Mobile Loaves and Fishes<br><i>Community First! Village Sanctuary and<br/>Community Center</i>                              | 1956                   | \$100,000.00      | 1            | \$33,000.00      | \$0.00                |                       |                    |
| Monastery of the Holy Name of Jesus<br><i>Replacement or Repair of Monastery<br/>Windows -<br/>Change to grant: 3/22/16</i> | 2066                   | \$35,000.00       | 1            | \$35,000.00      | \$0.00                |                       |                    |

| Payee Organization<br>Project Title                                                                                                                                                            | Request ID<br>Ref. No. | Granted<br>Amount | # of<br>Pmts | Payments<br>Paid | Payments<br>Remaining | Next Pmt<br>Sch. Date | Next Pmt<br>Amount |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|-------------------|--------------|------------------|-----------------------|-----------------------|--------------------|
| Monastery of the Holy Name of Jesus<br><i>General Operations</i>                                                                                                                               | 2268                   | \$4,000.00        | 1            | \$4,000.00       | \$0.00                |                       |                    |
| The Missionaries of the Company of Mary<br>d/b/a Montfort Missionaries<br><i>Montfort Village. Build 3 Homes</i>                                                                               | 2165                   | \$28,380.00       | 1            | \$28,380.00      | \$0.00                |                       |                    |
| The Missionaries of the Company of Mary<br>d/b/a Montfort Missionaries<br><i>Disaster Relief in Haiti</i>                                                                                      | 2233                   | \$25,000.00       | 1            | \$25,000.00      | \$0.00                |                       |                    |
| Mount St. Mary's Seminary<br><i>Holy Land Pilgrimage</i>                                                                                                                                       | 2196                   | \$18,500.00       | 1            | \$18,500.00      | \$0.00                |                       |                    |
| National Institute of Family and Life<br>Advocates<br><i>National Legal and Medical Summit &amp;<br/>\$16K for 2015<br/>Legal Counsel for Litigation \$15K in each<br/>of 2016, 2017, 2018</i> | 2081                   | \$61,000.00       | 1            | \$15,000.00      | \$0.00                |                       |                    |
| NCDVD<br><i>NCDVD Institute for New Vocation<br/>Personnel</i>                                                                                                                                 | 2114                   | \$20,000.00       | 1            | \$20,000.00      | \$0.00                |                       |                    |
| NET Ministries of Canada<br><i>Two Regional Mission Houses Western<br/>Canada and Quebec</i>                                                                                                   | 2173                   | \$16,000.00       | 1            | \$16,000.00      | \$0.00                |                       |                    |
| NET Ministries of Ireland<br><i>Full-time Recruitment Officer</i>                                                                                                                              | 1968                   | \$78,000.00       | 1            | \$26,000.00      | \$0.00                |                       |                    |
| Order Of Malta Worldwide Relief Malteser<br>International Americas<br><i>Emergency Disaster Relief Caribbean<br/>Hurricane Matthew</i>                                                         | 2227                   | \$25,000.00       | 1            | \$25,000.00      | \$0.00                |                       |                    |

| Payee Organization<br>Project Title                                                                                                                                           | Request ID<br>Ref. No. | Granted<br>Amount | # of<br>Pmts | Payments<br>Paid | Payments<br>Remaining | Next Pmt<br>Sch. Date | Next Pmt<br>Amount |
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| Order Of Malta Worldwide Relief Malteser<br>International Americas<br><i>Christian Refugee Relief in the Middle East</i>                                                      | 2275                   | \$25,000.00       | 1            | \$25,000.00      | \$0.00                |                       |                    |
| Our Lady of Hope Clinic<br><i>Salary Support - \$25,000 and Medical<br/>Supplies -\$5,000</i>                                                                                 | 2132                   | \$30,000.00       | 1            | \$30,000.00      | \$0.00                |                       |                    |
| Family Living Council d/b/a Patients Rights<br>Council<br><i>Stand Firm, Protect Ourselves and Our<br/>Loved Ones</i>                                                         | 2102                   | \$25,000.00       | 1            | \$25,000.00      | \$0.00                |                       |                    |
| Pontifical University of the Holy Cross<br>Foundation, Inc.<br><i>\$50,000 per year for 5 years. 10<br/>Scholarships of \$10,000 each in 2013,<br/>2014, 2015, 2016, 2017</i> | 1714                   | \$250,000.00      | 1            | \$50,000.00      | \$0.00                |                       |                    |
| Pope Paul VI Institute<br><i>Support for New Building Project</i>                                                                                                             | 2190                   | \$25,000.00       | 1            | \$25,000.00      | \$0.00                |                       |                    |
| Prenatal Partners for Life<br><i>Funeral/Marker and Family Grant<br/>Program to Families in Financial Need and<br/>lamby blanket purchase</i>                                 | 2108                   | \$10,000.00       | 1            | \$10,000.00      | \$0.00                |                       |                    |
| Pro-Life Wisconsin Education Task Force<br><i>True to Life Media Campaign 2016 -<br/>Internet Videos</i>                                                                      | 2118                   | \$15,000.00       | 1            | \$15,000.00      | \$0.00                |                       |                    |
| Riverview Gardens, Inc<br><i>Riverview Gardens Program Build-out</i>                                                                                                          | 1825<br>1825           | \$75,000.00       | 1            | \$25,000.00      | \$0.00                |                       |                    |

| Payee Organization<br>Project Title                                                                                     | Request ID<br>Ref. No. | Granted<br>Amount | # of<br>Pmts | Payments<br>Paid | Payments<br>Remaining | Next Pmt<br>Sch. Date | Next Pmt<br>Amount |
|-------------------------------------------------------------------------------------------------------------------------|------------------------|-------------------|--------------|------------------|-----------------------|-----------------------|--------------------|
| Saint William Catholic Church<br><i>Maintenance to Mission, Continuing Our<br/>Vision, A Campaign for Saint William</i> | 1901                   | \$45,000 00       | 1            | \$15,000.00      | \$0.00                |                       |                    |
| Salvatorian Mission Warehouse<br><i>Rice to African Missions</i>                                                        | 2210                   | \$50,000.00       | 1            | \$50,000.00      | \$0.00                |                       |                    |
| Salvatorian Mission Warehouse<br><i>Rice Shipment</i>                                                                   | 2262                   | \$15,000.00       | 1            | \$15,000.00      | \$0.00                |                       |                    |
| Several Sources Shelters, Inc.<br><i>Several Sources Shelters - Our Gift of Hope<br/>Sonogram Center</i>                | 2195                   | \$15,000.00       | 1            | \$15,000.00      | \$0.00                |                       |                    |
| Several Sources Shelters, Inc.<br><i>Several Sources Shelters - Education Fund</i>                                      | 2198                   | \$5,000 00        | 1            | \$5,000.00       | \$0.00                |                       |                    |
| Several Sources Shelters, Inc.<br><i>General Operations</i>                                                             | 2225                   | \$100,000.00      | 1            | \$100,000.00     | \$0.00                |                       |                    |
| Shrine of Our Lady of Good Help<br><i>Marketing of the Shrine</i>                                                       | 2175                   | \$25,000.00       | 1            | \$25,000.00      | \$0.00                |                       |                    |
| Shrine of Our Lady of Good Help<br><i>Shrine Awareness and Promotion</i>                                                | 2219                   | \$25,000.00       | 1            | \$25,000.00      | \$0.00                |                       |                    |
| Shrine of Our Lady of Good Help<br><i>Capital Campaign. Renovation Plan</i>                                             | 2229                   | \$1,000,000 00    | 1            | \$250,000 00     | \$0 00                |                       |                    |
| Shrine of Our Lady of Good Help<br><i>Capital Campaign</i>                                                              | 2256                   | \$20,000.00       | 1            | \$20,000.00      | \$0.00                |                       |                    |
| Shrine of Our Lady of Guadalupe of the<br>Diocese of La Crosse<br><i>Capital Campaign</i>                               | 2257                   | \$20,000 00       | 1            | \$20,000 00      | \$0.00                |                       |                    |

| Payee Organization<br>Project Title                                                                                                                                                                                  | Request ID<br>Ref. No. | Granted<br>Amount | # of<br>Pmts | Payments<br>Paid | Payments<br>Remaining | Next Pmt<br>Sch. Date | Next Pmt<br>Amount |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|-------------------|--------------|------------------|-----------------------|-----------------------|--------------------|
| St. Anthony Shelter for Renewal<br><i>Homeless Mens Program - 2016</i>                                                                                                                                               | 2104                   | \$20,000.00       | 1            | \$20,000.00      | \$0.00                |                       |                    |
| St. Gerard Campus<br><i>St. Gerard Campus Programs</i>                                                                                                                                                               | 2134                   | \$18,500.00       | 2            | \$18,500.00      | \$0.00                |                       |                    |
| St. Gianna Molla Clinic<br><i>St. Gianna Clinic</i>                                                                                                                                                                  | 2180                   | \$50,000.00       | 1            | \$50,000.00      | \$0.00                |                       |                    |
| St. Gianna Molla Clinic<br><i>General Operations</i>                                                                                                                                                                 | 2267                   | \$4,000.00        | 1            | \$4,000.00       | \$0.00                |                       |                    |
| St. Joseph Food Program, Inc.<br><i>Salary Operations Manager</i>                                                                                                                                                    | 2103                   | \$15,000.00       | 1            | \$15,000.00      | \$0.00                |                       |                    |
| St. Joseph Food Program, Inc.<br><i>General Operations</i>                                                                                                                                                           | 2263                   | \$4,000.00        | 1            | \$4,000.00       | \$0.00                |                       |                    |
| St. Paul University Catholic Foundation,<br>Inc.<br><i>2016-2017 FOCUS Missionaries at<br/>UW-Madison</i>                                                                                                            | 2144                   | \$22,500.00       | 1            | \$22,500.00      | \$0.00                |                       |                    |
| St. Paul University Catholic Foundation,<br>Inc.<br><i>Capital Campaign construction of a<br/>Residential College and Student Center,<br/>renovation of Chapel, and establishment of<br/>an operations endowment</i> | 298                    | \$604,554.79      | 2            | \$439,604.65     | \$0.00                |                       |                    |
| St. Pius X Congregation<br><i>Renovation to Sacristy</i>                                                                                                                                                             | 2164                   | \$10,000.00       | 1            | \$10,000.00      | \$0.00                |                       |                    |
| St. Pius X Congregation<br><i>St. Pius X Foundation</i>                                                                                                                                                              | 2270                   | \$5,000.00        | 1            | \$5,000.00       | \$0.00                |                       |                    |

| Payee Organization<br>Project Title                                                                                                                                               | Request ID<br>Ref. No. | Granted<br>Amount | # of<br>Pmts | Payments<br>Paid | Payments<br>Remaining | Next Pmt<br>Sch. Date | Next Pmt<br>Amount |
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| St. Pius X Congregation<br><i>St Pius X Unrestricted/ General Fund</i>                                                                                                            | 2271                   | \$5,000.00        | 1            | \$5,000.00       | \$0.00                |                       |                    |
| Starboard Media Foundation, Inc.<br><i>FOCUS SEEK 2017 Conference</i>                                                                                                             | 2199                   | \$10,000.00       | 1            | \$10,000.00      | \$0.00                |                       |                    |
| Starboard Media Foundation, Inc.<br><i>Capital Campaign</i>                                                                                                                       | 2255                   | \$30,000.00       | 1            | \$30,000.00      | \$0.00                |                       |                    |
| Students for Life of America Inc<br><i>Faith Foundations: Forming Christian<br/>Leaders by Activating Their Pro-Life Views</i>                                                    | 2202                   | \$10,000.00       | 1            | \$10,000.00      | \$0.00                |                       |                    |
| Students for Life of America Inc<br><i>Pregnant on Campus Initiative for High<br/>Schools. Building a Better Future</i>                                                           | 2203                   | \$10,000.00       | 1            | \$10,000.00      | \$0.00                |                       |                    |
| The Alexander House Apostolate<br><i>Strong Catholic Marriage Initiative Train<br/>More Marriage Disciples and Develop<br/>Online Marriage Education and<br/>Enrichment Tools</i> | 2148                   | \$25,000.00       | 1            | \$25,000.00      | \$0.00                |                       |                    |
| The Alexander House Apostolate<br><i>General Operations</i>                                                                                                                       | 2254                   | \$10,000.00       | 1            | \$10,000.00      | \$0.00                |                       |                    |
| The Culture Project International<br><i>Missionary Formation and Community<br/>Living</i>                                                                                         | 2123                   | \$15,000.00       | 1            | \$15,000.00      | \$0.00                |                       |                    |
| Theology Of The Body Institute<br><i>Together as One: Theology of the Body and<br/>Married Life</i>                                                                               | 2204                   | \$15,000.00       | 1            | \$15,000.00      | \$0.00                |                       |                    |

| Payee Organization<br>Project Title                                                                                        | Request ID<br>Ref. No. | Granted<br>Amount | # of<br>Pmts | Payments<br>Paid | Payments<br>Remaining | Next Pmt<br>Sch. Date | Next Pmt<br>Amount |
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| Thomas More Law Center<br><i>Fight Religious Discrimination of<br/>Christians in 2016</i>                                  | 2112                   | \$70,000.00       | 1            | \$70,000.00      | \$0.00                |                       |                    |
| Ukrainian Catholic Education Foundation<br><i>L'Arche Community \$18,500 and Emmaus<br/>House \$5,000 in Lviv, Ukraine</i> | 2135                   | \$23,500.00       | 2            | \$23,500.00      | \$0.00                |                       |                    |
| VINE Foundation d/b/a The Amazing Parish<br><i>Expand Amazing Parish Infrastructure</i>                                    | 2109                   | \$15,000.00       | 1            | \$15,000.00      | \$0.00                |                       |                    |
| Widows of Prayer<br><i>Discipleship and Growth</i>                                                                         | 2120                   | \$4,000.00        | 1            | \$4,000.00       | \$0.00                |                       |                    |
| Wisconsin Right to Life Education Fund<br>/Veritas Society<br><i>Wisconsin Right to Life-Veritas Society</i>               | 1967                   | \$80,000.00       | 1            | \$17,516.62      | \$0.00                |                       |                    |
| Wisconsin Right to Life Education Fund<br>/Veritas Society<br><i>Veritas Society Matching Gift Campaign</i>                | 2111                   | \$60,000.00       | 3            | \$43,416.38      | \$0.00                |                       |                    |
| The Witherspoon Institute<br><i>Defending Marriage through Ideas and the<br/>Arts</i>                                      | 2162                   | \$15,000.00       | 1            | \$15,000.00      | \$0.00                |                       |                    |
| Women's Care Centers Madison, Inc.<br><i>Crib Club Spanish Library and Ultrasound<br/>Maintenance</i>                      | 2137                   | \$14,000.00       | 1            | \$14,000.00      | \$0.00                |                       |                    |
| <b>Grand Total</b><br>(138 items)                                                                                          |                        |                   |              | \$3,831,992.65   | \$0.00                |                       | \$0.00             |