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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation
GRIFFIN FAMILY FOUNDATION INC

A Employer identification number
43-1822804

Number and street (or P O box number if mail is not delivered to street address)
100 NORTH JEFFERSON STREET

Room/suite

B Telephone number (see instructions)
(573) 581-5280

City or town, state or province, country, and ZIP or foreign postal code
MEXICO, MO 65265

C If exemption application is pending, check here

G Check all that apply
Initial return
Final return
Address change

Initial return of a former public charity
Amended return
Name change

D 1. Foreign organizations, check here
2. Foreign organizations meeting the 85% test, check here and attach computation

H Check type of organization
Section 501(c)(3) exempt private foundation
Section 4947(a)(1) nonexempt charitable trust
Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here

I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 5,617,438

J Accounting method
Cash
Accrual
Other (specify)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a)

Revenue and expenses per books

(b)

Net investment income

(c)

Adjusted net income

(d)

Disbursements for charitable purposes (cash basis only)

Revenue

1

Contributions, gifts, grants, etc , received (attach schedule)

2

Check if the foundation is not required to attach Sch B

3

Interest on savings and temporary cash investments

4

Dividends and interest from securities

68,762

68,762

5a

Gross rents

162,214

162,214

b

Net rental income or (loss)

147,714

6a

Net gain or (loss) from sale of assets not on line 10

53,741

b

Gross sales price for all assets on line 6a

1,189,082

7

Capital gain net income (from Part IV, line 2)

72,804

8

Net short-term capital gain

9

Income modifications

10a

Gross sales less returns and allowances

b

Less Cost of goods sold

c

Gross profit or (loss) (attach schedule)

11

Other income (attach schedule)

5,333

2,569

2,764

12

Total. Add lines 1 through 11

290,050

306,349

2,764

Operating and Administrative Expenses

13

Compensation of officers, directors, trustees, etc

23,494

1,721

21,773

14

Other employee salaries and wages

15

Pension plans, employee benefits

16a

Legal fees (attach schedule)

b

Accounting fees (attach schedule)

6,100

3,050

3,050

c

Other professional fees (attach schedule)

17

Interest

18

Taxes (attach schedule) (see instructions)

5,750

87

19

Depreciation (attach schedule) and depletion

20

Occupancy

21

Travel, conferences, and meetings

22

Printing and publications

23

Other expenses (attach schedule)

16,685

16,685

24

Total operating and administrative expenses.
Add lines 13 through 23

52,029

21,543

24,823

25

Contributions, gifts, grants paid

254,489

254,489

26

Total expenses and disbursements. Add lines 24 and 25

306,518

21,543

279,312

27

Subtract line 26 from line 12

a

Excess of revenue over expenses and disbursements

-16,468

b

Net investment income (if negative, enter -0-)

284,806

c

Adjusted net income (if negative, enter -0-)

2,764

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2016)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	61,303	2,111	2,115
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	2,819,969	2,862,693	3,007,323
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ 1,680,000 Less accumulated depreciation (attach schedule) ▶ _____	1,680,000	1,680,000	2,608,000
15	Other assets (describe ▶ _____)	78	78		
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	4,561,350	4,544,882	5,617,438	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable.			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	4,561,350	4,544,882	
	30	Total net assets or fund balances (see instructions)	4,561,350	4,544,882	
31	Total liabilities and net assets/fund balances (see instructions) .	4,561,350	4,544,882		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,561,350
2	Enter amount from Part I, line 27a	2	-16,468
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	4,544,882
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	4,544,882

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	72,804
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 { }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015			
2014			
2013			
2012			
2011			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4 ,	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	5,696
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	5,696
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	5,696
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	5,675
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	25
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	5,700
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	4
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► DAN K ERDEL Telephone no ► (573) 581-5280			

Located at **►** 100 NORTH JEFFERSON STREET MEXICO MO ZIP+4 **►** 65265

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ► ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

5a	During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ☐ c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	5b		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No <i>If "Yes" to 6b, file Form 8870</i>	6b	No	
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	7b		

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

[illegible]

Total number of other employees paid over \$50,000. ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	2,898,407
b	Average of monthly cash balances.	1b	63,045
c	Fair market value of all other assets (see instructions).	1c	2,608,000
d	Total (add lines 1a, b, and c).	1d	5,569,452
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	5,569,452
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	83,542
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	5,485,910
6	Minimum investment return. Enter 5% of line 5.	6	274,296

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	274,296
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	5,696
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	5,696
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	268,600
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	268,600
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	268,600

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	279,312
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	279,312
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	279,312

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				268,600
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			273,924	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>279,312</u>				
a Applied to 2015, but not more than line 2a			273,924	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				5,388
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				263,212
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.	
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed DAN K ERDEL 100 NORTH JEFFERSON MEXICO, MO 65265 (573) 581-5280	
b The form in which applications should be submitted and information and materials they should include CONTACT ABOVE INDIVIDUAL FOR ADDITIONAL INFORMATION	
c Any submission deadlines CONTACT ABOVE INDIVIDUAL FOR ADDITIONAL INFORMATION	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors CONTACT ABOVE INDIVIDUAL FOR ADDITIONAL INFORMATION	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	254,489
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|--|--------------|------------|-----------|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| (1) Cash. | 1a(1) | | No |
| (2) Other assets. | 1a(2) | | No |
| b Other transactions | | | |
| (1) Sales of assets to a noncharitable exempt organization. | 1b(1) | | No |
| (2) Purchases of assets from a noncharitable exempt organization. | 1b(2) | | No |
| (3) Rental of facilities, equipment, or other assets. | 1b(3) | | No |
| (4) Reimbursement arrangements. | 1b(4) | | No |
| (5) Loans or loan guarantees. | 1b(5) | | No |
| (6) Performance of services or membership or fundraising solicitations. | 1b(6) | | No |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | | No |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | | |

[illegible]

- | b If "Yes," complete the following schedule | | |
|---|--------------------------|---------------------------------|
| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
| | | |
| | | |
| | | |
| | | |

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	*****	2017-08-04	*****
	Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ **Yes** ☐ **No**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
	ROBERT COX		2017-08-04		P00438990
	Firm's name ▶ ROBERT COX CPA PC				Firm's EIN ▶ 45-2520559
	Firm's address ▶ 111 E MONROE ST MEXICO, MO 652652811				Phone no (573) 581-5510

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
DAN K ERDEL	DIRECTOR 3 00	17,209	0	0
100 NORTH JEFFERSON STREET MEXICO, MO 65265				
BEN STEINMAN	DIRECTOR 1 00	1,715	0	0
112 LUTHER DRIVE MEXICO, MO 65265				
MELODY FARNEN	DIRECTOR 1 00	1,140	0	0
909 S JEFFERSON STREET MEXICO, MO 65265				
RUTH KENT	DIRECTOR 1 00	1,715	0	0
202 W BOULEVARD MEXICO, MO 65265				
VIRGINIA PEHLE	DIRECTOR 1 00	1,715	0	0
25813 HWY KK MEXICO, MO 65265				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALTRUSA 11235 AUDRAIN ROAD 625 MEXICO, MO 65265	NONE	EXEMPT	FOR DICTIONARIES	2,000
ARTHUR CENTER 321 W PROMENADE ST MEXICO, MO 65265	NONE	EXEMPT	FOR EYE EXAMS/GLASSES	5,000
AUDRAIN COUNTY GENEALOGICAL SOCIETY 305 W JACKSON ST MEXICO, MO 65265	NONE	EXEMPT	FOR EQUIPMENT	16,000
CAMP BARNABAS 901 TEAS TRI PURDY, MO 65734	NONE	EXEMPT	FOR ATTENDANCE FEE	500
ST MARY'S FOUNDATION 620 EAST MONROE MEXICO, MO 65265	NONE	EXEMPT	FOR HEART TO HEART LUNCHEON	1,000
Total 				254,489
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHILDREN'S THERAPY & EARLY ED SCH 408 S MISSOURI MEXICO, MO 65265	NONE	EXEMPT	FOR PLAYGROUND RENOVATION	10,000
MEXICO UNITED METHODIST CHURCH 122 E PROMENADE MEXICO, MO 65265	NONE	EXEMPT	FOR HEALTH MINISTRY TEAM	3,958
DREAM FACTORY OF AUDRAIN COUNTY PO BOX 622 MEXICO, MO 65265	NONE	EXEMPT	GRANT WISHES FOR AUDRAIN CO CHILDREN	1,000
FRIENDS OF VANDALIA LIBRARY 309 S MAIN ST VANDALIA, MO 63382	NONE	EXEMPT	FOR NEW BUILDING	50,000
HELP CENTER 716 EAST BRECKENRIDGE MEXICO, MO 65265	NONE	EXEMPT	FOR GREENHOUSE	5,000
Total ▶ 3a				254,489

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KING'S DAUGHTERS 620 WEST BOULEVARD MEXICO, MO 65265	NONE	EXEMPT	FOR CNA/CMT SCHOLARSHIPS	2,000
MEXICO MIDDLE SCHOOL 1200 W BOULEVARD MEXICO, MO 65265	NONE	EXEMPT	FOR TUTORING PROGRAM	8,989
MEXICO SAFETY TOWN PO BOX 116 MEXICO, MO 65265	NONE	EXEMPT	FOR GENERAL PROGRAMS	1,500
MEXICO SENIOR CENTER 606 E PARK MEXICO, MO 65265	NONE	EXEMPT	FOR UDATES/REMODEL TO CENTER	10,000
MEXICO VETERAN HOME ASSISTANCE LEAG 1 VETERANS WAY MEXICO, MO 65265	NONE	EXEMPT	FOR GIFTS TO VETERANS	1,000
Total ▶ 3a				254,489

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PERRY CHRISTIAN ACADEMY PO BOX 7 PERRY, MO 63462	NONE	EXEMPT	FOR SMART BOARDS	3,000
PRESSER PERFORMING ARTS CENTER PO BOX 845 MEXICO, MO 65265	NONE	EXEMPT	FOR CAPITAL CAMPAIGN	42,000
RED RIBBON WEEK 1100 LITTLEBY ROAD MEXICO, MO 65265	NONE	EXEMPT	FOR GENERAL PROGRAMS	451
SHOES FROM THE HEART PO BOX 171 MACON, MO 63352	NONE	EXEMPT	FOR SHOES FOR MEXICO, MO CHILDREN	1,457
ST LUKE UNITED METHODIST CHURCH 604 JOHNSON STREET MEXICO, MO 65265	NONE	EXEMPT	FOR JAZZ ASSEMBLY	500
Total ▶ 3a				254,489

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YMCA MEXICO 1127 ADAMS STREET MEXICO, MO 65265	NONE	EXEMPT	FOR PAGING SYSTEM AND GEN PROGRAMS	10,500
MOBERLY AREA COMMUNITY COLLEGE 101 COLLEGE AVENUE MOBERLY, MO 65270	NONE	EXEMPT	FOR SCHOLARSHIPS - NURSING	24,200
MISSOURI MILITARY ACADEMY 204 N GRAND STREET MEXICO, MO 65265	NONE	EXEMPT	FOR SCHOLARSHIP	3,434
CENTRAL MISSOURI UNIVERSITY 411 CENTRAL METHODIST SQ FAYETTE, MO 65248	NONE	EXEMPT	FOR SCHOLARSHIPS	2,000
SAINT LOUIS UNIVERSITY ONE GRAND BOULEVARD DUBOURG HALL ROOM 121 ST LOUIS, MO 63103	NONE	EXEMPT	FOR SCHOLARSHIPS	4,000
Total ► 3a				254,489

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MISSOURI STATE UNIVERSITY CARRINGTON HALL ROOM 101 901 S NATIONAL AVENUE SPRINGFIELD, MO 65897	NONE	EXEMPT	FOR SCHOLARSHIPS	6,000
UNIVERSITY OF CENTRAL MISSOURI 108 W SOUTH STREET WARRENSBURG, MO 64093	NONE	EXEMPT	FOR SCHOLARSHIPS	8,000
HANNIBAL LAGRANGE UNIVERSITY 2800 PALMYRA ROAD HANNIBAL, MO 63401	NONE	EXEMPT	FOR SCHOLARSHIPS	4,000
TRUMAN STATE UNIVERSITY MCCLAIN HALL 103 100 E NORMAL STREET KIRKSVILLE, MO 63501	NONE	EXEMPT	FOR SCHOLARSHIPS	4,000
COLUMBIA COLLEGE 1001 ROGERS STREET COLUMBIA, MO 65201	NONE	EXEMPT	FOR SCHOLARSHIPS	10,000
Total ► 3a				254,489

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AVILA UNIVERSITY 11901 WORNALL ROAD KANSAS CITY, MO 64145	NONE	EXEMPT	FOR SCHOLARSHIP	2,000
ST CHARLES COMMUNITY COLLEGE 4601 MID RIVERS MALL DR COTTLEVILLE, MO 63376	NONE	EXEMPT	FOR SCHOLARSHIP	2,000
WESTMINSTER COLLEGE 501 WESTMINSTER AVENUE FULTON, MO 65251	NONE	EXEMPT	FOR SCHOLARSHIP	2,000
MO STATE UNIVERSITY-WEST PLAINS MSU-WP 128 GARFIELD WEST PLAINS, MO 65775	NONE	EXEMPT	FOR SCHOLARSHIP	2,000
MOBERLY AREA COMMUNITY COLLEGE 2900 DORELI LANE MEXICO, MO 65265	NONE	EXEMPT	FOR SCHOLARSHIP	1,000
Total ▶ 3a				254,489

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF MISSOURI-COLUMBIA 15 JESSE HALL COLUMBIA, MO 65211	NONE	EXEMPT	FOR SCHOLARSHIP	2,000
WILLIAM WOODS UNIVERSITY ONE UNIVERSITY AVENUE FULTON, MO 65251	NONE	EXEMPT	FOR SCHOLARSHIP	2,000
Total 				254,489
3a				

TY 2016 Accounting Fees Schedule**Name:** GRIFFIN FAMILY FOUNDATION INC**EIN:** 43-1822804

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
	6,100	3,050		3,050

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Gain/Loss from Sale of Other Assets Schedule

Name: GRIFFIN FAMILY FOUNDATION INC

EIN: 43-1822804

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
FRANKLIN EQUITY INCOME FUND A		PURCHASE	2016-06		8,555	8,435			120	
FRANKLIN EQUITY INCOME FUND A		PURCHASE	2016-06		147,877	145,807			2,070	
FRANKLIN MUTUAL SHARES FUND A		PURCHASE	2016-06		300,000	301,627			-1,627	
FRANKLIN MUT GLBL DSCV FD CL A		PURCHASE	2016-06		200,000	220,613			-20,613	
FRANKLIN MUT GLBL DSCV FD CL A		PURCHASE	2016-06		100,000	110,306			-10,306	
FRANKLIN MUT GLBL DSCV FD CL A		PURCHASE	2016-07		27,500	30,261			-2,761	
FRANKLIN MUT GLBL DSCV FD CL A		PURCHASE	2016-12		4,879	5,067			-188	
FRANKLIN MUT GLBL DSCV FD CL A		PURCHASE	2016-12		6,121	6,121				
CAPITAL WORLD GRW & INC FUND A		PURCHASE	2016-04		10,993	10,426			567	
CAPITAL INCOME BUILDER CL A		PURCHASE	2016-10		30,000	30,674			-674	
CAPITAL INCOME BUILDER CL A		PURCHASE	2016-12		11,000	11,083			-83	
CAPITAL WORLD GRW & INC FUND A		PURCHASE	2016-04		268,776	254,921			13,855	
BASIS ADJUSTMENT		PURCHASE			577				577	

TY 2016 Investments Corporate Stock Schedule**Name:** GRIFFIN FAMILY FOUNDATION INC**EIN:** 43-1822804

Name of Stock	End of Year Book Value	End of Year Fair Market Value
INVESTMENTS-SECURITIES	2,862,693	3,007,323

**TY 2016 Land, Etc.
Schedule****Name:** GRIFFIN FAMILY FOUNDATION INC**EIN:** 43-1822804

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
FARMLAND	1,680,000		1,680,000	2,608,000

TY 2016 Other Assets Schedule**Name:** GRIFFIN FAMILY FOUNDATION INC**EIN:** 43-1822804**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PARTONAGE DIVIDEND EQUITY	78	78	

TY 2016 Other Expenses Schedule**Name:** GRIFFIN FAMILY FOUNDATION INC**EIN:** 43-1822804**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FARM				
CONSERVATION EXPENSE	11,395	11,395		
CONTRACT LABOR	142	142		
FARM RENTAL INSURANCE	144	144		
MISCELLANEOUS FARM EXPENSE	185	185		
REAL ESTATE TAXES	2,634	2,634		
EXPENSES				
BANK FEES	71	71		
MISCELLANEOUS	288	288		
OFFICE EXPENSE	1,058	1,058		

Other Expenses Schedule				
Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	768	768		

TY 2016 Other Income Schedule**Name:** GRIFFIN FAMILY FOUNDATION INC**EIN:** 43-1822804**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
U S DEPT OF AGRICULTURE	2,569	2,569	
SCHOLARSHIPS RETURNED	2,764		2,764

TY 2016 Taxes Schedule**Name:** GRIFFIN FAMILY FOUNDATION INC**EIN:** 43-1822804

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	87	87		
2015 FEDERAL TAX	570			
2016 ESTIMATES	5,093			