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For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation Alvin Goldfarb Foundation		A Employer identification number 43-1621937	
Number and street (or P O box number if mail is not delivered to street address) 6349 Clayton Rd		Room/suite	B Telephone number (see instructions) (314) 725-7765
City or town, state or province, country, and ZIP or foreign postal code Saint Louis, MO 631171808		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 212,845,812	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	50,400	50,400		
	4 Dividends and interest from securities	2,228,774	2,228,774		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 	16,544,681			
	b Gross sales price for all assets on line 6a _____ 44,461,830				
	7 Capital gain net income (from Part IV, line 2)		16,544,681		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) 	4,701	4,484		
	12 Total. Add lines 1 through 11	18,828,556	18,828,339		
	13 Compensation of officers, directors, trustees, etc	248,750	4,231		244,519
	14 Other employee salaries and wages	184,679			184,679
	15 Pension plans, employee benefits	33,567			33,567
	16a Legal fees (attach schedule) 	76,976			76,976
	b Accounting fees (attach schedule) 	61,389	2,888		58,501
	c Other professional fees (attach schedule) 	555,501	550,627		4,874
	17 Interest				
	18 Taxes (attach schedule) (see instructions) 	86,197	21,085		
	19 Depreciation (attach schedule) and depletion 	2,033			
	20 Occupancy	26,576			26,576
	21 Travel, conferences, and meetings	881			881
	22 Printing and publications	110			110
	23 Other expenses (attach schedule) 	2,427			2,427
	24 Total operating and administrative expenses. Add lines 13 through 23	1,279,086	578,831		633,110
	25 Contributions, gifts, grants paid	13,324,220			13,324,220
	26 Total expenses and disbursements. Add lines 24 and 25	14,603,306	578,831		13,957,330
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	4,225,250			
	b Net investment income (if negative, enter -0-)		18,249,508		
c Adjusted net income(if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing	115,794	108,661	108,661		
	2	Savings and temporary cash investments	26,659,770	55,640,406	55,638,079		
	3	Accounts receivable ▶ <u>154,150</u>					
		Less allowance for doubtful accounts ▶ _____	47,505	154,150	154,150		
	4	Pledges receivable ▶ _____					
		Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____					
		Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges	250,000	300,000	300,000		
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)	107,704,522	82,785,696	156,484,823		
	c	Investments—corporate bonds (attach schedule)					
	Liabilities	11	Investments—land, buildings, and equipment basis ▶ _____				
		Less accumulated depreciation (attach schedule) ▶ _____					
12		Investments—mortgage loans					
13		Investments—other (attach schedule)					
14		Land, buildings, and equipment basis ▶ <u>23,665</u>					
		Less accumulated depreciation (attach schedule) ▶ <u>12,355</u>	13,345	11,310	11,310		
15		Other assets (describe ▶ _____)	132,725	148,789	148,789		
16		Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	134,923,661	139,149,012	212,845,812		
17		Accounts payable and accrued expenses	9,492	2,318			
18		Grants payable	3,310,233	4,807,315			
Net Assets or Fund Balances	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)					
	23	Total liabilities (add lines 17 through 22)	3,319,725	4,809,633			
		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted					
25	Temporarily restricted						
26	Permanently restricted						
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.						
27	Capital stock, trust principal, or current funds	131,603,936	134,339,379				
28	Paid-in or capital surplus, or land, bldg , and equipment fund						
29	Retained earnings, accumulated income, endowment, or other funds						
30	Total net assets or fund balances (see instructions)	131,603,936	134,339,379				
31	Total liabilities and net assets/fund balances (see instructions) .	134,923,661	139,149,012				

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	131,603,936
2	Enter amount from Part I, line 27a	2	4,225,250
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	135,829,186
5	Decreases not included in line 2 (itemize) ▶ _____	5	1,489,807
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	134,339,379

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a PUBLICLY TRADED SECURITIES	P		
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 44,461,830	0	27,917,149	16,544,681
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a 0	0	0	16,544,681
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	16,544,681
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	13,350,981	286,573,006	0 046588
2014	11,767,339	267,600,849	0 043973
2013	8,647,769	237,267,343	0 036447
2012	5,939,797	166,527,971	0 035668
2011	5,272,470	121,435,900	0 043418
2 Total of line 1, column (d)			0 206094
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0 041219
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			216,839,248
5 Multiply line 4 by line 3			8,937,897
6 Enter 1% of net investment income (1% of Part I, line 27b)			182,495
7 Add lines 5 and 6			9,120,392
8 Enter qualifying distributions from Part XII, line 4			13,957,330

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	182,495
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	182,495
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	182,495
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	126,585
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	126,585
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	55,910
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> 0 Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> MO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► Jean M Cody CPA Telephone no ► (314) 725-7765			

Located at **►** 6349 Clayton Rd St Louis MO ZIP+4 **►** 63117

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? <input type="checkbox"/>	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/>	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). <input type="checkbox"/>	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

<p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i></p> <p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><i>If "Yes" to 6b, file Form 8870</i></p> <p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	5b		
	6b		No
	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ROBERT GOLDFARB 6349 Clayton Rd Saint Louis, MO 63117	Trustee 1 00	0	0	0
JEAN M CODY CPA 6349 Clayton Road St Louis, MO 63117	Manager 40 00	248,750	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ROBERT M GIOIA 15 Vouga Lane Saint Louis, MO 63131	Grant Manager 40 00	165,346	0	0

Total number of other employees paid over \$50,000. ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Jean M Cody CPA PC 6349 Clayton Road St Louis, MO 63117	Grant Management,Accounting and TaxServices	61,389
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	0
2 _____	0
3 _____	0
4 _____	0

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 _____ _____	
2 _____ _____	
All other program-related investments. See instructions.	
3 _____ _____	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	192,400,056
b	Average of monthly cash balances.	1b	27,741,313
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	220,141,369
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	220,141,369
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	3,302,121
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	216,839,248
6	Minimum investment return. Enter 5% of line 5.	6	10,841,962

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	10,841,962
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	182,495
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	182,495
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	10,659,467
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	10,659,467
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	10,659,467

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	13,957,330
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	13,957,330
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	182,495
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	13,774,835

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				10,659,467
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			13,668,782	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>13,957,330</u>				
a Applied to 2015, but not more than line 2a			13,668,782	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				288,548
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				10,370,919
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
NA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
NA

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	13,324,220
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

[illegible]

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

Phone no

Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Beyond Housing 6506 Wright Way Saint Louis, MO 63121		PC	Pagedale Family Support CtrCommunity BuildingFamily Liaison	1,597,702
United 4 Children 4236 Lindell Blvd Suite 300 St Louis, MO 63108		PC	Childhood ProgramsU4C Early	647,576
241 Community Land Trust 6506 Wright Way Saint Louis, MO 63121		SO III FI	Community Building24 1Initiative	9,097,742
Big Brothers Big Sisters 501 North Grand Suite 100 St Louis, MO 63103		PC	Childhood Programs	261,615
Nurses for Newborns 7259 Lansdowne Ave Suite 100 St Louis, MO 63119		PC	Program forHome VisitationNew Mothers	137,400
Normandy School District 3855 Lucas and Hunt Road St Louis, MO 63121		PC	GrantWashers and Dryers	12,873
Beyond Housing 6506 Wright Way Saint Louis, MO 63121		PC	ContributionsMOST 529 Plan	144,500
Wyman Center Inc 600 Kiwanis Drive Eureka, MO 63025		PC	Outreach ProgramWyman Teen	307,245
Beyond Housing 6506 Wright Way Saint Louis, MO 63121		PC	Management, AccountingAcquisition Rehab,& Development Support	839,131
Prosperity Connection 3651 Forest Park Ave Saint Louis, MO 63108		PC	Excel Staff	90,723
Prosperity Connection 3651 Forest Park Ave Saint Louis, MO 63108		PC	RedDough Money	162,713
North Side Community School 3033 North Euclid Ave Saint Louis, MO 63115		PC	SupportTransportation	25,000
Total ►				13,324,220
3a				

TY 2016 Accounting Fees Schedule**Name:** Alvin Goldfarb Foundation**EIN:** 43-1621937

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Jean M Cody, CPA PC Investment Accounting	2,888	2,888		
Jean M Cody, CPA PC Bookkeeping and Accounting	11,226			11,226
Jean M Cody, CPA PC Income Tax Preparation	1,600			1,600
Jean M Cody, CPA PC Grant Management	45,675			45,675

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: Alvin Goldfarb Foundation

EIN: 43-1621937

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
Computer	2010-07-08	892	892	200DB	5 00				
4 Drawer File Cabinet	2012-01-11	678	467	200DB	7 00	60			
Vertical 2 Drawer File	2013-09-16	989	556	200DB	7 00	124			
Vertical 4 Drawer File	2013-09-16	1,066	600	200DB	7 00	133			
Lateral 3 Drawer File	2013-09-16	1,163	654	200DB	7 00	145			
Cabinets	2013-07-25	1,646	926	200DB	7 00	206			
Countertop	2013-09-12	723	407	200DB	7 00	90			
Dell Latitude E5530	2013-07-29	1,131	805	200DB	5 00	130			
Rugs	2013-08-21	748	421	200DB	7 00	93			
Table	2013-08-10	299	168	200DB	7 00	37			
Office Chair	2013-07-17	802	451	200DB	7 00	100			
Cabinet Shelves	2013-09-25	218	122	200DB	7 00	27			
MP301SPF Lanier Copier	2013-07-31	3,253	2,316	200DB	5 00	375			
Phone system	2013-10-01	800	450	200DB	7 00	100			
IsBullseyer Rug	2013-12-12	302	170	200DB	7 00	38			
Leasehold Improvements	2013-10-10	8,078	457	SL	39 00	207			
Microsoft Tablet Intel 13 Silver	2014-09-09	877	456	200DB	5 00	168			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Gain/Loss from Sale of Other Assets Schedule

Name: Alvin Goldfarb Foundation

EIN: 43-1621937

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
PUBLICLY TRADED SECURITIES		Purchased			44,461,830	27,917,149			16,544,681	

TY 2016 Investments Corporate Stock Schedule

Name: Alvin Goldfarb Foundation
EIN: 43-1621937

Name of Stock	End of Year Book Value	End of Year Fair Market Value
18,513 shs Allergan PLC	5,238,346	3,887,915
5,376 shs Alphabet Class A	1,357,855	4,260,211
5,390 shs Alphabet Class C	1,353,297	4,160,110
68,952 shs Altria Group	1,393,520	4,662,534
57,361 shs American Express Co	1,855,631	4,249,303
108 shs Berkshire Hathaway A	10,890,060	26,365,068
36,950 shs Cabelas Inc	2,368,959	2,163,423
26,224 shs CIE Financiere Richemont	2,275,670	1,741,039
20,918 shs Corby Distilleries	259,154	338,453
8,500 shs Costco Wholesale Corp	348,968	1,360,935
1,348 shs Crimson Wine Group	13,778	12,631
53186 shs Croda Intl	1,401,990	2,098,604
63,497 shr Dentsply Sirona	1,659,983	3,665,682
14,551 shs Disney Walt	438,931	1,516,505
28,241 shs Emcor Group Inc	764,052	1,998,333
15,248 shs Exor SPA	505,800	659,512
177412 shs Fastenal	3,051,270	8,334,816
104,067 shs Fifth Third Bancorp	1,512,614	2,806,687
5,595 shs General Electric	52,907	176,802
100,904 shs Heartland Express	1,542,822	2,054,406
181,900 shs Hiscox Ltd	1,326,908	2,283,912
39 shs Homefed Corp	634	1,755
94,174 shs Idexx Labs	1,707,544	11,043,785
88,620 shs IMI PLC Ord	1,489,372	1,137,867
1,325 shs International Speedway	23,737	49,356
66,423 shs Jacobs Engineering Group Inc	2,927,840	3,786,111
15,904 shs Kraft Foods	497,767	1,388,737
13,489 shs Leucadia Nat'l	467,482	313,619
32,068 shs McDonalds Corp	2,891,737	3,903,317
21,006 shs Mohawk Industries	692,464	4,194,478

Name of Stock	End of Year Book Value	End of Year Fair Market Value
47,716 shs Mondelez International	966,577	2,115,250
2,386 shs Monsanto	274,327	251,031
8 shs MSGI Security Solutions	1	
21,064 shs O'Reilly Automotive	1,410,172	5,864,428
18,594 shs Perrigo Company	2,888,385	1,547,579
68,952 shs Philip Morris	3,532,756	6,308,418
24,343 shs Praxair	2,136,329	2,852,756
385 shs Remy Cointreau SA	40,693	32,922
569,951 shs Rolls Royce Group	6,979,688	4,700,459
30,906 shs Suntrust Banks Inc	738,081	1,695,194
27,151 shs Tiffany & Co	1,625,940	2,102,302
150,000 shs TJX Companies	2,189,307	11,269,500
1,809 shs US Bancorp-Del	57,707	92,928
350,000 shs Valeant Pharmaceuticals	6,669,253	5,082,000
67,500 shs Visa	1,491,454	5,266,350
20,000 shs Waters Corp	1,473,934	2,687,800

TY 2016 Land, Etc. Schedule

Name: Alvin Goldfarb Foundation

EIN: 43-1621937

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Computer	892	892		
4 Drawer File Cabinet	678	527	151	
Vertical 2 Drawer File	989	680	309	
Vertical 4 Drawer File	1,066	733	333	
Lateral 3 Drawer File	1,163	800	363	
Cabinets	1,646	1,131	515	
Countertop	723	497	226	
Dell Latitude E5530	1,131	935	196	
Rugs	748	515	233	
Table	299	206	93	
Office Chair	802	551	251	
Cabinet Shelves	218	150	68	
MP301SPF Lanier Copier	3,253	2,691	562	
Phone System	800	550	250	
IsBullseyer Rug	302	208	94	
Leasehold Improvements	8,078	665	7,413	
Microsoft Tablet Intel 13 Silver	877	624	253	

TY 2016 Legal Fees Schedule**Name:** Alvin Goldfarb Foundation**EIN:** 43-1621937

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Shulamith Simon Legal	76,976			76,976

TY 2016 Other Assets Schedule**Name:** Alvin Goldfarb Foundation**EIN:** 43-1621937**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Prepaid Federal Excise Tax	113,697	126,585	126,585
Prepaid Expense	19,028	22,204	22,204

TY 2016 Other Assets Schedule**Name:** Alvin Goldfarb Foundation**EIN:** 43-1621937**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Prepaid Federal Excise Tax	113,697	126,585	126,585
Prepaid Expense	19,028	22,204	22,204

TY 2016 Other Assets Schedule**Name:** Alvin Goldfarb Foundation**EIN:** 43-1621937**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Prepaid Federal Excise Tax	113,697	126,585	126,585
Prepaid Expense	19,028	22,204	22,204

TY 2016 Other Decreases Schedule

Name: Alvin Goldfarb Foundation

EIN: 43-1621937

Description	Amount
Grants Authorized and Payable in Future Years	1,489,807

TY 2016 Other Expenses Schedule**Name:** Alvin Goldfarb Foundation**EIN:** 43-1621937**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Bank Charges	6			6
Supplies	2,354			2,354
Payroll Fees	67			67

TY 2016 Other Income Schedule**Name:** Alvin Goldfarb Foundation**EIN:** 43-1621937**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Missouri Withholding Discount	217		
Foreign Tax Refund	4,484	4,484	

TY 2016 Other Professional Fees Schedule**Name:** Alvin Goldfarb Foundation**EIN:** 43-1621937

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Ruane, Cunniff & Goldfarb Investment Advisory	545,847	545,847		
UMB Trust Investment Fees	3,655	3,655		
The Riven Company, LLC Consulting	4,874			4,874
The Clayton Family Investment Advisory	550	550		
The Ochoco Investment Investment Fees	2	2		
Ruane, Cunniff & Goldfarb Foreign Custody Fees	573	573		

TY 2016 Taxes Schedule**Name:** Alvin Goldfarb Foundation**EIN:** 43-1621937

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Federal Excise Tax	65,112			
Foreign Taxes	21,085	21,085		