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For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation THE NEWELL DEVALPINE FOUNDATION		A Employer identification number 42-1540291	
Number and street (or P O box number if mail is not delivered to street address) 620 LIBERTY AVENUE 10TH FLOOR		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code PITTSBURGH, PA 15222705		B Telephone number (see instructions) (412) 762-8133	
G Check all that apply <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		C If exemption application is pending, check here <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 3,829,440		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
J Accounting method <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	81,107	81,107		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	30,160			
	b Gross sales price for all assets on line 6a 1,354,794				
	7 Capital gain net income (from Part IV, line 2) . . .		30,160		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	903			
	12 Total. Add lines 1 through 11	112,170	111,267		
	13 Compensation of officers, directors, trustees, etc	36,781	27,586		9,195
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions) . . .	1,825	373		0
	19 Depreciation (attach schedule) and depletion . . .	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	11,206			11,206
	24 Total operating and administrative expenses. Add lines 13 through 23	49,812	27,959	0	20,401
	25 Contributions, gifts, grants paid	60,000			60,000
	26 Total expenses and disbursements. Add lines 24 and 25	109,812	27,959	0	80,401
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	2,358			
	b Net investment income (if negative, enter -0-)		83,308		
c Adjusted net income (if negative, enter -0-)				0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing					
	2	Savings and temporary cash investments	271,999	105,150	105,150		
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0		
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments—U S and state government obligations (attach schedule)	42,631	32,133	34,859		
	b	Investments—corporate stock (attach schedule)	1,268,980	1,372,493	1,751,657		
	c	Investments—corporate bonds (attach schedule)					
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)	1,725,623	1,801,380	1,937,774		
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
15	Other assets (describe ▶ _____)						
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,309,233	3,311,156	3,829,440			
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)					
	23	Total liabilities (add lines 17 through 22)		0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds	3,253,759	3,234,709			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds	55,474	76,447			
30	Total net assets or fund balances (see instructions)	3,309,233	3,311,156				
31	Total liabilities and net assets/fund balances (see instructions) .	3,309,233	3,311,156				

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,309,233
2	Enter amount from Part I, line 27a	2	2,358
3	Other increases not included in line 2 (itemize) ▶ _____	3	27
4	Add lines 1, 2, and 3	4	3,311,618
5	Decreases not included in line 2 (itemize) ▶ _____	5	462
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	3,311,156

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) <div style="float: right; font-size: small;"> { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } </div>	2	30,160
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	45,526	3,716,840	0 012249
2014	79,512	3,568,203	0 022283
2013	79,966	3,259,668	0 024532
2012	85,142	2,999,402	0 028386
2011	131,127	2,781,357	0 047145

2 Total of line 1, column (d)	2	0 134595
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 026919
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	3,706,597
5 Multiply line 4 by line 3	5	99,778
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	833
7 Add lines 5 and 6	7	100,611
8 Enter qualifying distributions from Part XII, line 4	8	80,401

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	1,666
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	1,666
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,666
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	2,904
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	2,904
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . ▶	10	1,238
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 834 Refunded ▶	11	404

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ PA _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	Yes	
14	The books are in care of ► <u>PNC BANK NA</u> Telephone no ► <u>(412) 762-8133</u>			

Located at ► 620 LIBERTY AVENUE 10TH FLOOR PITTSBURGH PA ZIP+4 ► 152222705

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here.			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	5b		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>If "Yes" to 6b, file Form 8870</i>	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
BERNARD EIZEN 2101 MARKET ST 1803 PHILADELPHIA, PA 19103	CO-TRUSTEE 2	9,126		
PNC BANK NA 620 LIBERTY AVENUE P2-PTPP-10-2 PITTSBURGH, PA 152222705	CO-TRUSTEE 5	27,655		
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000. ▶				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	3,763,043
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	3,763,043
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	3,763,043
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	56,446
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	3,706,597
6	Minimum investment return. Enter 5% of line 5.	6	185,330

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	185,330
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	1,666
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,666
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	183,664
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	183,664
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	183,664

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	80,401
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	80,401
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	80,401

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				183,664
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 2014, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				0
b From 2012.				0
c From 2013.				0
d From 2014.				0
e From 2015.				0
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>80,401</u>				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				80,401
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				103,263
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2012.				0
b Excess from 2013.				0
c Excess from 2014.				0
d Excess from 2015.				0
e Excess from 2016.				0

Part XIV

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(i)(3) or ☐ 4942(j)(5)

		Prior 3 years				(e) Total
		(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV **Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- | | |
|--|--|
| <p>a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed</p> | <p>PNC CHARITABLE TRUST GRANT REVIEW C
ONE PNC PLAZA 249 5TH AVE20TH FLOO
PITTSBURGH, PA 15222
(412) 768-5097</p> |
| <p>b The form in which applications should be submitted and information and materials they should include</p> | <p>Please reference the name of the trust in all communications See Footnote</p> |
| <p>c Any submission deadlines</p> | <p>See website www.pncsites.com/pncfoundation/charitable_trusts.html for specific information</p> |
| <p>d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors</p> | <p>See website www.pncsites.com/pncfoundation/charitable_trusts.html for specific information</p> |

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	60,000
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

Form **990-PF** (2016)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
40 31 FEDERAL HOME LOAN MTG CORP GOLD POOL G03432		2011-08-23	2016-01-01
24 35 FEDERAL NATL MTG ASSN POOL 745275		2011-08-23	2016-01-01
17 1 FEDERAL NATL MTG ASSN POOL 889982		2011-08-23	2016-01-01
2 17 FEDERAL NATL MTG ASSN POOL 986761		2011-08-23	2016-01-01
1 33 FEDERAL NATL MTG ASSN POOL 995018		2011-08-23	2016-01-01
29 39 FEDERAL HOME LOAN MTG CORP GOLD POOL G03432		2011-08-23	2016-01-31
20 78 FEDERAL NATL MTG ASSN POOL 745275		2011-08-23	2016-01-31
15 79 FEDERAL NATL MTG ASSN POOL 889982		2011-08-23	2016-01-31
7 58 FEDERAL NATL MTG ASSN POOL 986761		2011-08-23	2016-01-31
1 21 FEDERAL NATL MTG ASSN POOL 995018		2011-08-23	2016-01-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
40		100	-60
24		40	-16
17		35	-18
2		4	-2
1		3	-2
29		73	-44
21		34	-13
16		33	-17
8		13	-5
1		2	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-60
			-16
			-18
			-2
			-2
			-44
			-13
			-17
			-5
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
28 02 FEDERAL HOME LOAN MTG CORP GOLD POOL G03432		2011-08-23	2016-02-29
19 3 FEDERAL NATL MTG ASSN POOL 745275		2011-08-23	2016-02-29
16 02 FEDERAL NATL MTG ASSN POOL 889982		2011-08-23	2016-02-29
5 68 FEDERAL NATL MTG ASSN POOL 986761		2011-08-23	2016-02-29
1 3 FEDERAL NATL MTG ASSN POOL 995018		2011-08-23	2016-02-29
36262 799 BLACKROCK TOTAL RETURN-I		2015-07-15	2016-03-28
854 345 EAGLE SMALL CAP GROWTH FUND CL I		2011-11-29	2016-03-28
39 15 EATON VANCE FLOATING RATE FUND CLASS I		2015-03-31	2016-03-28
11025 068 EATON VANCE FLOATING RATE FUND CLASS I		2011-10-20	2016-03-28
37 61 FEDERAL HOME LOAN MTG CORP GOLD POOL G03432		2011-08-23	2016-03-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
28		69	-41
19		32	-13
16		33	-17
6		10	-4
1		3	-2
423,187		425,000	-1,813
40,804		32,988	7,816
331		352	-21
93,272		98,040	-4,768
38		93	-55

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-41
			-13
			-17
			-4
			-2
			-1,813
			7,816
			-21
			-4,768
			-55

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
21 28 FEDERAL NATL MTG ASSN POOL 745275		2011-08-23	2016-03-31
15 59 FEDERAL NATL MTG ASSN POOL 889982		2011-08-23	2016-03-31
6 18 FEDERAL NATL MTG ASSN POOL 986761		2011-08-23	2016-03-31
1 27 FEDERAL NATL MTG ASSN POOL 995018		2011-08-23	2016-03-31
28 26 FEDERAL HOME LOAN MTG CORP GOLD POOL G03432		2011-08-23	2016-04-30
19 42 FEDERAL NATL MTG ASSN POOL 745275		2011-08-23	2016-04-30
14 71 FEDERAL NATL MTG ASSN POOL 889982		2011-08-23	2016-04-30
8 99 FEDERAL NATL MTG ASSN POOL 986761		2011-08-23	2016-04-30
1 13 FEDERAL NATL MTG ASSN POOL 995018		2011-08-23	2016-04-30
3412 715 GOLDMAN SACHS GRTH OPPOR INSTIT CLASS		2011-11-29	2016-05-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
21		35	-14
16		32	-16
6		10	-4
1		2	-1
28		70	-42
19		32	-13
15		30	-15
9		15	-6
1		2	-1
79,994		81,636	-1,642

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-14
			-16
			-4
			-1
			-42
			-13
			-15
			-6
			-1
			-1,642

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
110 AETNA INC NEW		2013-06-11	2016-05-10
330 ALLSTATE CORP		2014-12-17	2016-05-10
120 AMERIPRISE FINANCIAL INC		2015-02-23	2016-05-10
300 APPLE INC		2011-11-17	2016-05-10
130 BECTON DICKINSON & CO		2013-04-17	2016-05-10
150 BERKSHIRE HATHAWAY INC CLASS B		2015-02-23	2016-05-10
110 BOEING CO		2012-10-04	2016-05-10
100 CIGNA CORP		2014-08-25	2016-05-10
930 CISCO SYS INC COM		2011-11-15	2016-05-10
340 CONOCOPHILLIPS		2013-12-16	2016-05-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,570		6,645	5,925
22,364		22,291	73
11,234		16,239	-5,005
27,720		18,595	9,125
21,552		13,011	8,541
21,619		22,128	-509
14,718		8,323	6,395
13,187		9,362	3,825
24,705		23,363	1,342
14,253		25,110	-10,857

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,925
			73
			-5,005
			9,125
			8,541
			-509
			6,395
			3,825
			1,342
			-10,857

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
60 CONSTELLATION BRANDS INC CL A		2014-06-12	2016-05-10
80 DISNEY WALT CO		2014-03-05	2016-05-10
110 GOLDMAN SACHS GROUP INC COM		2015-06-09	2016-05-10
360 HCA HOLDINGS INC		2014-08-25	2016-05-10
830 HANESBRANDS INC - W/I		2014-05-27	2016-05-10
590 KROGER CO		2014-05-27	2016-05-10
220 L BRANDS INC		2014-12-17	2016-05-10
460 MAGNA INTERNATIONAL ISIN CA5592224011		2014-01-08	2016-05-10
130 MCKESSON HBOC INC COMMN		2013-12-16	2016-05-10
230 ST JUDE MEDICAL INC		2014-03-05	2016-05-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,694		5,124	4,570
8,476		6,642	1,834
17,534		23,103	-5,569
28,992		24,961	4,031
23,077		17,439	5,638
20,727		13,866	6,861
15,188		17,940	-2,752
18,919		19,554	-635
22,396		21,288	1,108
17,339		15,461	1,878

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,570
			1,834
			-5,569
			4,031
			5,638
			6,861
			-2,752
			-635
			1,108
			1,878

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
300 SKYWORKS SOLUTIONS INC COM		2014-05-27	2016-05-10
30 SNAP-ON INC COM		2015-06-09	2016-05-10
170 SNAP-ON INC COM		2014-09-25	2016-05-10
200 STANLEY BLACK & DECKER INC		2015-08-03	2016-05-10
390 VOYA FINL INC COM		2015-02-23	2016-05-10
20 WYNDHAM WORLDWIDE CORP		2013-04-17	2016-05-10
620 INVESCO LTD ISIN BMG491BT1088 SEDOL B28XP76		2013-12-16	2016-05-10
180 NXP SEMICONDUCTORS ISIN NL0009538784 SEDOL B505PN7		2013-12-16	2016-05-10
30 85 FEDERAL HOME LOAN MTG CORP GOLD POOL G03432		2011-08-23	2016-05-31
22 27 FEDERAL NATL MTG ASSN POOL 745275		2011-08-23	2016-05-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19,289		12,684	6,605
4,797		4,642	155
27,184		20,981	6,203
22,210		20,740	1,470
11,915		16,985	-5,070
1,402		1,257	145
18,454		22,633	-4,179
15,289		7,731	7,558
31		76	-45
22		36	-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,605
			155
			6,203
			1,470
			-5,070
			145
			-4,179
			7,558
			-45
			-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
13 59 FEDERAL NATL MTG ASSN POOL 889982		2011-08-23	2016-05-31
11 69 FEDERAL NATL MTG ASSN POOL 986761		2011-08-23	2016-05-31
1 2 FEDERAL NATL MTG ASSN POOL 995018		2011-08-23	2016-05-31
31 82 FEDERAL HOME LOAN MTG CORP GOLD POOL G03432		2011-08-23	2016-06-30
19 85 FEDERAL NATL MTG ASSN POOL 745275		2011-08-23	2016-06-30
14 5 FEDERAL NATL MTG ASSN POOL 889982		2011-08-23	2016-06-30
2 06 FEDERAL NATL MTG ASSN POOL 986761		2011-08-23	2016-06-30
1 09 FEDERAL NATL MTG ASSN POOL 995018		2011-08-23	2016-06-30
33 6 FEDERAL HOME LOAN MTG CORP GOLD POOL G03432		2011-08-23	2016-07-31
17 16 FEDERAL NATL MTG ASSN POOL 745275		2011-08-23	2016-07-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14		28	-14
12		20	-8
1		2	-1
32		78	-46
20		32	-12
15		30	-15
2		3	-1
1		2	-1
34		82	-48
17		28	-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-14
			-8
			-1
			-46
			-12
			-15
			-1
			-1
			-48
			-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 95 FEDERAL NATL MTG ASSN POOL 889982		2011-08-23	2016-07-31
6 27 FEDERAL NATL MTG ASSN POOL 986761		2011-08-23	2016-07-31
1 06 FEDERAL NATL MTG ASSN POOL 995018		2011-08-23	2016-07-31
8000 USA TREASURY NOTES 01 500% DUE 07/31/2016		2011-08-23	2016-07-31
210 ALASKA AIR GROUP INC		2016-05-10	2016-08-02
330 FOOT LOCKER INC		2014-05-27	2016-08-02
1647 266 GOLDMAN SACHS MID CAP VALUE FD CL I		2014-04-17	2016-08-02
390 HORMEL FOODS CORP		2016-05-10	2016-08-02
470 LINCOLN NATIONAL CORP		2013-12-16	2016-08-02
380 TE CONNECTIVITY LTD SEDOL B62B7C3 ISIN CH0102993182		2014-02-03	2016-08-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11		22	-11
6		11	-5
1		2	-1
8,000		8,000	
14,049		14,561	-512
19,466		16,105	3,361
57,984		75,000	-17,016
14,582		15,602	-1,020
20,470		24,286	-3,816
22,712		21,833	879

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-11
			-5
			-1
			-512
			3,361
			-17,016
			-1,020
			-3,816
			879

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
7403 741 FIDELITY ADVISOR FLOATING HIGH INCOME FUND I		2011-10-20	2016-08-18
37 4 FEDERAL HOME LOAN MTG CORP GOLD POOL G03432		2011-08-23	2016-08-31
21 77 FEDERAL NATL MTG ASSN POOL 745275		2011-08-23	2016-08-31
15 65 FEDERAL NATL MTG ASSN POOL 889982		2011-08-23	2016-08-31
7 15 FEDERAL NATL MTG ASSN POOL 986761		2011-08-23	2016-08-31
1 12 FEDERAL NATL MTG ASSN POOL 995018		2011-08-23	2016-08-31
29 92 FEDERAL HOME LOAN MTG CORP GOLD POOL G03432		2011-08-23	2016-09-30
19 05 FEDERAL NATL MTG ASSN POOL 745275		2011-08-23	2016-09-30
13 48 FEDERAL NATL MTG ASSN POOL 889982		2011-08-23	2016-09-30
4 39 FEDERAL NATL MTG ASSN POOL 986761		2011-08-23	2016-09-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
70,187		71,306	-1,119
37		91	-54
22		36	-14
16		32	-16
7		12	-5
1		2	-1
30		73	-43
19		31	-12
13		27	-14
4		7	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-1,119
			-54
			-14
			-16
			-5
			-1
			-43
			-12
			-14
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 06 FEDERAL NATL MTG ASSN POOL 995018		2011-08-23	2016-09-30
2 ADVANSIX INC - W/I		2015-02-23	2016-10-17
29 96 FEDERAL HOME LOAN MTG CORP GOLD POOL G03432		2011-08-23	2016-10-31
20 43 FEDERAL NATL MTG ASSN POOL 745275		2011-08-23	2016-10-31
11 72 FEDERAL NATL MTG ASSN POOL 889982		2011-08-23	2016-10-31
2 21 FEDERAL NATL MTG ASSN POOL 986761		2011-08-23	2016-10-31
1 01 FEDERAL NATL MTG ASSN POOL 995018		2011-08-23	2016-10-31
22 24 FEDERAL HOME LOAN MTG CORP GOLD POOL G03432		2011-08-23	2016-11-30
18 57 FEDERAL NATL MTG ASSN POOL 745275		2011-08-23	2016-11-30
13 09 FEDERAL NATL MTG ASSN POOL 889982		2011-08-23	2016-11-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1		2	-1
3		3	
30		73	-43
20		33	-13
12		24	-12
2		4	-2
1		2	-1
22		54	-32
19		30	-11
13		27	-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-1
			-43
			-13
			-12
			-2
			-1
			-32
			-11
			-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 95 FEDERAL NATL MTG ASSN POOL 986761		2011-08-23	2016-11-30
1 09 FEDERAL NATL MTG ASSN POOL 995018		2011-08-23	2016-11-30
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
3		5	-2
1		2	-1
			2,074

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-2
			-1

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THOMAS JEFFERSON UNIVERSITY 120 WALNUT ST PHILADELPHIA, PA 19107	NONE	PC	PANCREATIC CANCER RESEARCH	20,000
JEWISH FEDERATION OF NORTH AMERICA PO BOX 157 NEW YORK, NY 10268	NONE	PC	CENTERS FOR ISRAEL/OVERSEAS	7,500
BROOKLYN LAW SCHOOL 250 JORALEMON ST BROOKLYN, NY 11201	NONE	PC	ENDOWMENT SUPPORT FOR	20,000
ANIMAL CARE FUND P O BOX A E SMITHFIELD, PA 188171029	NONE	PC	CATTERY RENOVATION	2,500
BUCKS COUNTY SOCIETY FOR PREVENTION OF CURELTY TO ANIMALS PO BOX 277 LAHASKA, PA 18931	NONE	PC	SHELTER CLINIC EQUIPMENT	5,000
TEMPLE UNIVERSITY 100 SULLIVAN HALL 1330 POLETT WALK PHILADELPHIA, PA 19122	NONE	PC	ECZEMA SCHOOL	5,000
Total ► 3a				60,000

TY 2016 Investments Corporate Stock Schedule

Name: THE NEWELL DEVALPINE FOUNDATION

EIN: 42-1540291

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCKS	1,372,493	1,751,657

TY 2016 Investments Government Obligations Schedule**Name:** THE NEWELL DEVALPINE FOUNDATION**EIN:** 42-1540291**US Government Securities - End
of Year Book Value:**

32,133

**US Government Securities - End
of Year Fair Market Value:**

34,859

**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2016 Investments - Other Schedule

Name: THE NEWELL DEVALPINE FOUNDATION

EIN: 42-1540291

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS - FIXED INCOME	AT COST	1,161,671	1,155,857
MUTUAL FUNDS - EQUITY	AT COST	639,709	781,917

TY 2016 Other Decreases Schedule**Name:** THE NEWELL DEVALPINE FOUNDATION**EIN:** 42-1540291

Description	Amount
CY AMORITIZAITON CARRIED TO NY	46
ADJ FOR OID	416

TY 2016 Other Expenses Schedule**Name:** THE NEWELL DEVALPINE FOUNDATION**EIN:** 42-1540291**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GRANTMAKING FEES	11,206	0		11,206

TY 2016 Other Income Schedule

Name: THE NEWELL DEVALPINE FOUNDATION

EIN: 42-1540291

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL TAX REFUND FROM PRIOR YEAR	903	0	

TY 2016 Other Increases Schedule

Name: THE NEWELL DEVALPINE FOUNDATION

EIN: 42-1540291

Description	Amount
PY AMORITIZATION CARRIED TO CY	27

TY 2016 Taxes Schedule**Name:** THE NEWELL DEVALPINE FOUNDATION**EIN:** 42-1540291

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	307	307		0
FEDERAL ESTIMATES - PRINCIPAL	1,452	0		0
FOREIGN TAXES ON QUALIFIED FOR	65	65		0
FOREIGN TAXES ON NONQUALIFIED	1	1		0