



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2016 or tax year beginning

, and ending

Name of foundation

JOHANSEN, T H FAMILY FDN

Number and street (or P O box number if mail is not delivered to street address)

Wells Fargo Bank N A Trust Tax Dept - 100 N Main St MAC D4001-117

City or town, state or province, country, and ZIP or foreign postal code

Winston Salem

NC

27101

Foreign country name

Foreign province/state/country

Foreign postal code

A Employer identification number

41-6014249

B Telephone number (see instructions)

(888) 730-4933

C If exemption application is pending, check here. ☐G Check all that apply ☐ Initial return ☐ Initial return of a former public charity☐ Final return ☐ Amended return☒ Address change ☐ Name changeH Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col. (c),

line 16) **\$ 853,979**☒ Accounting method ☒ Cash ☐ Accrual☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	20,681	19,793		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-4,655			
b Gross sales price for all assets on line 6a	121,091			
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	16,026	19,793	0	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	8,659	6,494		2,165
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	1,086			1,086
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions)	688	688		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	28	3		25
24 Total operating and administrative expenses. Add lines 13 through 23	10,461	7,185	0	3,276
25 Contributions, gifts, grants paid	30,000			30,000
26 Total expenses and disbursements. Add lines 24 and 25	40,461	7,185	0	33,276
27 Subtract line 26 from line 12	-24,435			
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative, enter -0-)		12,608		
c Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2016)

HTA

SCANNED MAY 17 2017

✓ → p 174

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash—non-interest-bearing				
	2	Savings and temporary cash investments	25,859	23,603	23,603	
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U.S. and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)				
	c	Investments—corporate bonds (attach schedule)				
	Liabilities	11	Investments—land, buildings, and equipment basis ▶			
		Less: accumulated depreciation (attach schedule) ▶				
12		Investments—mortgage loans				
13		Investments—other (attach schedule)	692,406	669,370	830,376	
14		Land, buildings, and equipment basis ▶				
		Less: accumulated depreciation (attach schedule) ▶				
15		Other assets (describe ▶)				
16		Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	718,265	692,973	853,979	
17		Accounts payable and accrued expenses				
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)	0	0		
		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
27	Capital stock, trust principal, or current funds	718,265	692,973			
28	Paid-in or capital surplus, or land, bldg, and equipment fund					
29	Retained earnings, accumulated income, endowment, or other funds					
30	Total net assets or fund balances (see instructions)	718,265	692,973			
31	Total liabilities and net assets/fund balances (see instructions)	718,265	692,973			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	718,265
2	Enter amount from Part I, line 27a	2	-24,435
3	Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	1,120
4	Add lines 1, 2, and 3	4	694,950
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	1,977
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	692,973

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	-4,655
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }			3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries				
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))	
2015	52,679	888,732	0.059274	
2014	42,888	920,098	0.046612	
2013	52,488	897,539	0.058480	
2012	41,587	847,644	0.049062	
2011	34,618	840,135	0.041205	
2 Total of line 1, column (d)			2	0.254633
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3	0.050927
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4	830,059
5 Multiply line 4 by line 3			5	42,272
6 Enter 1% of net investment income (1% of Part I, line 27b)			6	126
7 Add lines 5 and 6			7	42,398
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8	33,276

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter. (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		0
3	Add lines 1 and 2		252
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		252
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	133
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	133
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	119
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0
11	Enter the amount of line 10 to be: Credited to 2017 estimated tax Refunded	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) MN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► WELLS FARGO BANK N A Telephone no ► 888-730-4933 Located at ► 100 N Main St MAC D4001-117 Winston Salem NC ZIP+4 ► 27101			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6 and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ► 20, 20, 20, 20	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20, 20, 20, 20	2c		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d) N/A
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b	N/A	
6b		X
7b	N/A	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N.A. 100 N Main St MAC D4001-117 Winston Salem, NC 27	Trustee SEE ATTACHED	8,659		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	813,252
b	Average of monthly cash balances	1b	29,448
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	842,700
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	842,700
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	12,641
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	830,059
6	Minimum investment return. Enter 5% of line 5	6	41,503

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	41,503
2a	Tax on investment income for 2016 from Part VI, line 5	2a	252
b	Income tax for 2016 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	252
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	41,251
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	41,251
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	41,251

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	33,276
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	33,276
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	33,276

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				41,251
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			24,844	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2016 from Part XII, line 4. \blacktriangleright \$ 33,276				
a Applied to 2015, but not more than line 2a			24,844	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				8,432
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				32,819
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Attached Statement				
Total			▶ 3a	30,000
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	20,681	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	-4,655	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0		16,026	0
13	Total. Add line 12, columns (b), (d), and (e)				13	16,026

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

ARTS AT LARGE, INC

Street

908 S 5TH STREET

City

MILWAUKEE

State

WI

Zip Code

53204

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

2,500

Name

CARSON LONG MILITARY ACADEMY

Street

200 N CARLISLE ST

City

NEW BLOOMFIELD

State

PA

Zip Code

17068

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

1,000

Name

CHEHALEM YOUTH AND FAMILY SERVICES

Street

501 E 1ST STREET

City

NEWBERG

State

OR

Zip Code

97132

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

500

Name

DELTA GAMMA FOUNDATION

Street

3250 RIVERSIDE DRIVE

City

COLUMBUS

State

OH

Zip Code

43221

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

1,000

Name

ELIJAH KELLOGG CONGREGATIONAL CHURCH

Street

917 HARPSWELL NECK RD

City

HARPSWELL

State

ME

Zip Code

04079

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

2,500

Name

GEORGE FOX UNIVERSITY

Street

414 N MERIDIAN ST

City

NEWBERG

State

OR

Zip Code

97132

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

3,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

NEWBERG AREA HABITAT FOR HUMANITY

Street

PO BOX 118

City

NEWBERG

State

OR

Zip Code

97132

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

1,000

Name

LEWY BODY DEMENTIA ASSOCIATION INC

Street

912 KILLIAN HILL ROAD S W

City

LILBURN

State

GA

Zip Code

30047

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

500

Name

LUTHERAN COMMUNITY SERVICES NORTHWEST

Street

4040 S 188TH STREET, SUITE 300

City

SEATAC

State

WA

Zip Code

98188

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

1,000

Name

MASONIC-EASTERN STAR HOME FOR CHILDREN

Street

2415 N MAIN STREET

City

FREMONT

State

NE

Zip Code

68025

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

1,000

Name

MAYO CLINIC

Street

13400 E SHEA BLVD

City

SCOTTSDALE

State

AZ

Zip Code

85259

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

1,000

Name

MILWAUKEE HOMELESS VETERANS INITIATIVE, INC

Street

7222 W FOND DU LAC AVE

City

MILWAUKEE

State

WI

Zip Code

53218

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

500

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

CONGREGATIONAL CHRISTIAN CHURCHES

Street

PO BOX 288

City

OAK CREEK

State

WI

Zip Code

53154

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

4,000

Name

NEWBERG EARLY BIRDS ROTARY FOUNDATION

Street

415 E SHERIDAN STREET

City

NEWBERG

State

OR

Zip Code

97132

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

2,000

Name

NORTH SHORE CONGREGATIONAL CHURCH

Street

7330 N SANTA MONICA BLVD

City

MILWAUKEE

State

WI

Zip Code

53217

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

1,250

Name

OREGON RIGHT TO LIFE EDUCATION FOUNDATION

Street

4335 RIVER RD

City

KEIZER

State

OR

Zip Code

97303

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

500

Name

PARTNERS ADVANCING VALUES IN EDUCATION, INC

Street

301 W WISCONSIN AVE, SUITE 300

City

MILWAUKEE

State

WI

Zip Code

53203

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

2,000

Name

PROVIDENCE NEWBERG HEALTH FOUNDATION

Street

1001 PROVIDENCE DRIVE

City

NEWBERG

State

OR

Zip Code

97132

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

500

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

SHRINERS HOSPITALS FOR CHILDREN

Street

950 WEST FARIS ROAD

City

GREENVILLE

State

SC

Zip Code

29605

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

500

Name

TEDFORD HOUSING

Street

14 MIDDLE STREET

City

BRUNSWICK

State

ME

Zip Code

04011

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

1,000

Name

WATER ORG, INC

Street

117 WEST 20TH STREET, SUITE 203

City

KANSAS CITY

State

MO

Zip Code

64108

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

250

Name

WIDOWS MIGHT

Street

PO BOX 1738

City

MAPLE GROVE

State

MN

Zip Code

55311

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

500

Name

YAMHILL COUNTY HISTORICAL SOCIETY

Street

605 MARKET STREET

City

LAFAYETTE

State

OR

Zip Code

97127

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

500

Name

YOUNG LIFE

Street

PO BOX 520

City

COLORADO SPRINGS

State

CO

Zip Code

80901

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

500

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

ORONOCO PRESBYTERIAN CHURCH

Street

20 3RD STREET SW

City

ORONOCO

State

MN

Zip Code

55960

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANTS

Amount

1,000

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount	Totals													Gross Sales		Cost of Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss			
Short Term CG Distributions		572	Capital Gains/Losses													Other sales		121,091		125,748		-4,655	
		0																					
			Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss								
1	AFFILIATED MANAGERS GROUP	008252108	X				8/21/2014	9/19/2016	1,465	2,005				0	-540								
2	AFFILIATED MANAGERS GROUP	008252108	X				5/6/2016	9/19/2016	1,465				1,642	0	-177								
3	AMERIPRISE FINL INC	030763106	X				7/30/2015	9/19/2016	3,013	3,800				0	-787								
4	BOEING CO	097023105	X				8/4/2015	9/19/2016	1,281	1,436				0	-155								
5	BOEING CO	097023105	X				10/28/2015	9/19/2016	2,562	2,929				0	-367								
6	BOEING CO	097023105	X				12/21/2015	9/19/2016	2,562	2,810				0	-248								
7	CELANESE CORP	150870103	X				7/22/2015	9/19/2016	3,113	3,662				0	-569								
8	CUMMINS INC	231021106	X				11/15/2013	9/19/2016	2,395	2,639				0	-244								
9	CUMMINS INC	231021106	X				5/6/2016	12/8/2016	1,436	1,149				0	287								
10	DIAGEO PLC - ADR	25243Q205	X				3/7/2008	3/10/2016	212	162				0	50								
11	DIAGEO PLC - ADR	25243Q205	X				8/27/2008	3/10/2016	1,907				1,307	0	600								
12	GOLDMAN SACHS GROUP INC	38141G104	X				10/6/2011	7/7/2016	1,027	665				0	362								
13	HSBC - ADR	40428Q406	X				3/6/2013	3/10/2016	1,282	2,160				0	-878								
14	HSBC - ADR	40428Q406	X				6/30/2014	3/10/2016	1,282	2,035				0	-753								
15	WALT DISNEY CO	254687106	X				5/14/2009	3/10/2016	1,940	478				0	1,462								
16	GOLDMAN SACHS GROUP INC	38141G104	X				4/28/2011	7/7/2016	1,320	1,355				0	-35								
17	GOLDMAN SACHS GROUP INC	38141G104	X				6/22/2011	7/7/2016	733	686				0	47								
18	HAIN CELESTIAL GROUP INC	405317100	X				12/21/2015	9/19/2016	2,636	3,091				0	-455								
19	JOHNSON CONTROLS INC	479366107	X				2/22/2013	5/6/2016	1,017	782				0	235								
20	JOHNSON CONTROLS INC	479366107	X				8/23/2013	5/6/2016	2,440	2,497				0	-57								
21	MANULIFE FINANCIAL CORP	56501R106	X				12/31/2015	9/19/2016	3,105	3,358				0	-253								
22	MERGER FUND-INST #301	589509207	X				4/19/2012	12/20/2016	34,931	35,000				0	-69								
23	MONSANTO CO NEW	61166W101	X				3/10/2016	12/8/2016	1,572	1,328				0	244								
24	MONSANTO CO NEW	61166W101	X				7/7/2016	12/8/2016	3,145	3,016				0	129								
25	MONSANTO CO NEW	61166W101	X				9/19/2016	12/8/2016	1,048	1,026				0	22								
26	ASG GLOBAL ALTERNATIVES	638721985	X				11/29/2013	12/20/2016	34,555	40,000				0	-5,445								
27	NESTLE SA REGISTERED S	262008	X				5/6/2008	5/6/2016	3,655	2,150				0	1,505								
28	ORACLE CORPORATION	68398X105	X				11/3/2010	5/6/2016	3,420	2,558				0	862								

Part I, Line 16b (990-PF) - Accounting Fees

		1,086	0	0	1,086
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	1,086			1,086

Part I, Line 18 (990-PF) - Taxes

		688	688	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAXES WITHHELD	688	688		

Part I, Line 23 (990-PF) - Other Expenses

		28	3	0	25
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	ADR FEES	3	3		
2	STATE AG FEES	25	0		25

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1				0	
2	TARGET CORP		0	1,210	4,262
3	UNITEDHEALTH GROUP INC		0	3,237	9,602
4	HAIN CELESTIAL GROUP INC		0	1,181	1,171
5	BLACKROCK INC		0	3,088	3,805
6	JPMORGAN CHASE & CO		0	7,664	13,548
7	SUNCOR ENERGY INC NEW F		0	5,974	7,192
8	MERCK & CO INC NEW		0	1,687	1,766
9	3M CO		0	1,714	2,679
10	BERSHIRE HATHAWAY INC		0	3,616	9,453
11	ALPHABET INC/CA		0	1,022	4,631
12	ALPHABET INC/CA		0	1,029	4,755
13	COMCAST CORP CLASS A		0	4,807	7,941
14	LAS VEGAS SANDS CORP		0	4,259	4,807
15	CELANESE CORP		0	952	1,575
16	CITIGROUP INC		0	6,883	8,617
17	AMERIPRISE FINL INC		0	2,365	2,219
18	FORTUNE BRANDS HOME & SECURITY		0	3,973	5,079
19	EATON CORP PLC		0	2,403	3,422
20	CME GROUP INC		0	3,603	8,074
21	VISA INC-CLASS A SHRS		0	1,817	8,894
22	TWEEDY BROWNE FD GLOBAL VALUE 1		0	21,650	21,590
23	ISHARES TR SMALLCAP 600 INDEX FD		0	24,002	47,582
24	CEF ISHARES S&P 500 GROWTH INDEX		0	24,872	25,576
25	AFFILIATED MANAGERS GROUP INC		0	2,164	2,180
26	AMAZON COM INC		0	3,354	7,499
27	APPLE COMPUTER INC COM		0	5,322	12,972
28	BAYER A G SPONSORED ADR		0	2,967	3,128
29	BOEING COMPANY		0	1,321	1,557
30	CVS/CAREMARK CORPORATION		0	4,821	5,129
31	CISCO SYSTEMS INC		0	5,042	7,102
32	COGNIZANT TECH SOLUTIONS CRP COM		0	4,834	4,763
33	DIAGEO PLC - ADR		0	2,054	3,118
34	WALT DISNEY CO		0	1,076	4,690
35	GILEAD SCIENCES INC		0	2,383	2,864
36	HOME DEPOT INC		0	3,984	6,704
37	MICROSOFT CORP		0	8,594	10,253
38	PNC FINANCIAL SERVICES GROUP		0	5,878	7,602
39	PROCTER & GAMBLE CO		0	3,401	4,961
40	ROCHE HOLDINGS LTD - ADR		0	2,923	2,568
41	SCHLUMBERGER LTD		0	3,896	5,289
42	TJX COS INC NEW		0	1,875	1,878
43	THERMO FISHER SCIENTIFIC INC		0	2,939	2,822
44	TOTAL FINA ELF S A ADR		0	4,465	4,587
45	UNION PACIFIC CORP		0	2,854	8,087
46	MCKESSON CORP		0	3,340	2,809

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		Basis of Valuation		Book Value Beg. of Year	Book Value End of Year	FMV End of Year
47	MANULIFE FINANCIAL CORP			0	1,924	2,228
48	UNITED PARCEL SERVICE-CL B			0	1,612	1,720
49	EXXON MOBIL CORPORATION			0	1,876	6,408
50	ISHARES S&P MIDCAP 400 VALUE			0	17,170	31,946
51	EATON VANCE GLOBAL MACRO - I			0	17,503	16,655
52	ISHARES MSCI EAFE GROWTH			0	7,802	7,324
53	JOHN HANCOCK II-CURR STR-I 3643			0	34,887	34,744
54	ASHMORE EMERG MKTS CR DB-INS			0	27,100	27,655
55	SPDR DJ WILSHIRE INTERNATIONAL REA			0	32,018	32,472
56	ROBECO BP LNG/SHRT RES-INS			0	37,500	41,032
57	WFA SHRT TRM HI YLD-INS #3170			0	40,746	41,155
58	ISHARES S&P MIDCAP 400 GROWTH			0	22,020	39,173
59	ISHARES MSCI EAFE			0	48,511	47,685
60	ISHARES IBOX \$ INV GR CORP BD FD			0	45,396	43,825
61	VANGUARD S/T CORP BOND ETF			0	42,310	41,669
62	ACADIAN EMERGING MARKETS PTF-1 126			0	49,009	53,031
63	VANGUARD REIT VIPER			0	29,491	40,852

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	COST BASIS ADJUSTMENT	1	212
2	MF & CTF INCOME ADDITIONS	2	694
3	FEDERAL EXCISE TAX REFUND	3	214
4	Total	4	1,120

Line 5 - Decreases not included in Part III, Line 2

1	MF & CTF INCOME SUBTRACTION	1	1,393
2	PY RETURN OF CAPITAL ADJUSTMENT	2	584
3	Total	3	1,977

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions															572	Short Term CG Distributions														
Amount															0	Amount														
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses																	
1 AFFILIATED MANAGERS GRC	008252108		8/21/2014	9/19/2016	1,465		0	2,005	-540		0	0	-540	-5,227																
2 AFFILIATED MANAGERS GRC	008252108		9/6/2016	9/19/2016	1,465		0	1,842	-177		0	0	-177																	
3 AMERIPRISE FINL INC	03076C106		7/30/2015	9/19/2016	3,013		0	3,800	-787		0	0	-787																	
4 BOEING CO	097023105		8/4/2015	9/19/2016	1,281		0	1,436	-155		0	0	-155																	
5 BOEING CO	097023105		10/28/2015	9/19/2016	2,562		0	2,829	-267		0	0	-267																	
6 BOEING CO	097023105		12/21/2015	9/19/2016	2,562		0	2,810	-248		0	0	-248																	
7 CELANESE CORP	150870103		7/2/2015	9/19/2016	3,113		0	3,682	-569		0	0	-569																	
8 CUMMINS INC	231021106		11/15/2013	9/19/2016	2,395		0	2,639	-244		0	0	-244																	
9 CUMMINS INC	231021106		5/6/2016	12/8/2016	1,436		0	1,149	287		0	0	287																	
10 DIAGEO PLC - ADR	252430205		3/7/2008	3/7/2016	212		0	162	50		0	0	50																	
11 DIAGEO PLC - ADR	252430205		8/27/2008	3/10/2016	1,907		0	1,307	600		0	0	600																	
12 GOLDMAN SACHS GROUP INC	38141G104		10/6/2011	7/7/2016	1,027		0	665	362		0	0	362																	
13 HSBC - ADR	404280406		3/6/2013	3/10/2016	1,282		0	2,160	-878		0	0	-878																	
14 HSBC - ADR	404280406		6/30/2014	3/10/2016	1,282		0	2,035	-753		0	0	-753																	
15 WALT DISNEY CO	254687106		5/14/2009	3/10/2016	1,940		0	478	1,462		0	0	1,462																	
16 GOLDMAN SACHS GROUP INC	38141G104		6/28/2011	7/7/2016	1,320		0	1,355	-35		0	0	-35																	
17 GOLDMAN SACHS GROUP INC	38141G104		4/22/2011	7/7/2016	733		0	686	47		0	0	47																	
18 HAIN CELESTIAL GROUP INC	405217100		12/21/2015	9/19/2016	2,636		0	3,091	-455		0	0	-455																	
19 JOHNSON CONTROLS INC	478366107		2/22/2013	5/6/2016	1,017		0	782	235		0	0	235																	
20 JOHNSON CONTROLS INC	478366107		8/23/2013	5/6/2016	2,440		0	2,497	-57		0	0	-57																	
21 MANULIFE FINANCIAL CORP	56501R106		12/21/2015	9/19/2016	3,105		0	3,358	-253		0	0	-253																	
22 MERGER FUND-INST #301	599509207		4/19/2012	12/20/2016	34,931		0	35,000	-69		0	0	-69																	
23 MONSANTO CO NEW	61166W101		3/10/2016	12/8/2016	1,572		0	1,328	244		0	0	244																	
24 MONSANTO CO NEW	61166W101		7/7/2016	12/8/2016	3,145		0	3,016	129		0	0	129																	
25 MONSANTO CO NEW	61166W101		9/19/2016	12/8/2016	1,048		0	1,026	22		0	0	22																	
26 ASG GLOBAL ALTERNATIVES	638727885		11/26/2013	12/20/2016	34,555		0	40,000	-5,445		0	0	-5,445																	
27 NESTLE'S A REGISTERED S	841069406		2/6/2008	5/6/2016	3,656		0	2,150	1,505		0	0	1,505																	
28 ORACLE CORPORATION	86389X105		11/3/2010	5/6/2016	3,420		0	2,558	862		0	0	862																	

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

Name		Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	Wells Fargo Bank N A Trust Tax D	X	100 N Main St MAC D4001-117	Winston Salem	NC	27101		TRUSTEE	SEE ATTAC	8,659		
										8,659	0	0

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	Amount
1 Credit from prior year return	1	133
2 First quarter estimated tax payment	2	
3 Second quarter estimated tax payment	3	
4 Third quarter estimated tax payment	4	
5 Fourth quarter estimated tax payment	5	
6 Other payments	6	
7 Total	7	133

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2015 that remained undistributed at the beginning of the 2016 tax year	1	24,844
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	24,844

Supplemental Information:

Part VIII: Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors.

Wells Fargo Bank N.A
100 N Main Street Mac 4001-117
Winston-Salem, NC 27101-3818

The compensation reported in column (c) is calculated based on periodic market values and/or the applicable fee agreement. It is not determined solely on an hourly basis. Corporate Trustee services include, but are not limited to, administrative services such as fiduciary accounting, custody of assets, complying with tax filing requirements, complying with distribution provisions, and complying with federal and state laws applicable to private foundations, plus asset management services such as creating asset allocation strategies, investment reporting and reallocating and rebalancing of portfolios as necessary.