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Form **990-PF**

**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2016**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2016 or tax year beginning , and ending

Name of foundation  
**WILDLIFE SUPPORT CHARITABLE TRUST**

Number and street (or P O box number if mail is not delivered to street address) Room/suite  
**P O BOX 1501, NJ2-130-03-31**

City or town, state or province, country, and ZIP or foreign postal code  
**PENNINGTON NJ 08534-1501**

Foreign country name Foreign province/state/county Foreign postal code  
**Foreign postal code 609-274-6834**

**A Employer identification number**  
41-2029664

**B Telephone number (see instructions)**  
609-274-6834

**C If exemption application is pending, check here**

**G Check all that apply**  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

**D 1. Foreign organizations, check here**   
**2. Foreign organizations meeting the 85% test, check here and attach computation**

**H Check type of organization.**  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**E If private foundation status was terminated under section 507(b)(1)(A), check here**

**I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$** 4,078,478 **J Accounting method.**  Cash  Accrual  Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis)

**F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here**

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	87,210	75,628		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	315,593			
	b Gross sales price for all assets on line 6a 3,932,781				
	7 Capital gain net income (from Part IV, line 2)		315,593		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less. Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	83,245	83,245			
12 <b>Total.</b> Add lines 1 through 11	486,048	474,466	0		
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc.	45,572	18,229		27,343
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	893			893
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	5,772	762		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	13,179	13,084		95
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23	65,416	32,075	0	28,331
	25 Contributions, gifts, grants paid	132,000			132,000
26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	197,416	32,075	0	160,331	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	288,632				
b <b>Net investment income</b> (if negative, enter -0-)		442,391			
c <b>Adjusted net income</b> (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2016)

HTA

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	2,587	7	7
	2	Savings and temporary cash investments	288,397	498,424	498,424
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	106,012		
	b	Investments—corporate stock (attach schedule)	1,130,529	2,532,738	2,626,464
	c	Investments—corporate bonds (attach schedule)	146,031	534,966	534,262
	11	Investments—land, buildings, and equipment: basis ▶			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	1,605,433		419,321	
14	Land, buildings, and equipment: basis ▶				
	Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	3,278,989	3,566,135	4,078,478	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	<b>Total liabilities</b> (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds		3,566,135	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	3,278,989			
30	<b>Total net assets or fund balances</b> (see instructions)	3,278,989	3,566,135		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	3,278,989	3,566,135		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,278,989
2	Enter amount from Part I, line 27a	2	288,632
3	Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	4,702
4	Add lines 1, 2, and 3	4	3,572,323
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	6,188
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5)—Part II, column (b), line 30	6	3,566,135

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2 315,593
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{ }		3 0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	204,603	4,336,250	0.047184
2014	208,165	4,540,134	0.045850
2013	112,678	3,723,942	0.030258
2012	333,693	3,221,147	0.103594
2011	167,347	3,218,854	0.051990
2	Total of line 1, column (d)		2 0.278876
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3 0.055775
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5		4 3,936,304
5	Multiply line 4 by line 3		5 219,547
6	Enter 1% of net investment income (1% of Part I, line 27b)		6 4,424
7	Add lines 5 and 6		7 223,971
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions		8 160,331

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	8,848	
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>2</b>	0	
<b>3</b>	Add lines 1 and 2	<b>3</b>	8,848	
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>4</b>		
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	8,848	
<b>6</b>	<b>Credits/Payments:</b>			
<b>a</b>	2016 estimated tax payments and 2015 overpayment credited to 2016	<b>6a</b>	5,010	
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments Add lines 6a through 6d	<b>7</b>	5,010	
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	3,838	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	0	
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2017 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	0	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>1b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
<b>1c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation ▶ \$ _____ <b>(2)</b> On foundation managers. ▶ \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>4b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	N/A	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ <u>WA</u>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ MLTC, A DIVISION OF BANK OF AMERICA, N.A Telephone no ▶ 609-274-6834 Located at ▶ 1300 MERRILL LYNCH DRIVE PENNINGTON NJ ZIP+4 ▶ 08534-1501			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶	16	Yes	No
				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A**  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
UST-MLTC P.O. Box 1501, NJ2-130-03-31 Pennington, NJ 08534-	TRUSTEE 2.00	45,572		
MYRON G PHILLIPS, DVM 18805 86TH PLACE WEST EDMONDS, WA 98026	CO-TRUSTEE 1.50	0		
MARK PHILLIPS 19606 SE COTTONWOOD ST PORTLAND, OR 97267	CO-TRUSTEE 2.00	0		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total number of other employees paid over \$50,000** ▶ 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
<b>Total.</b> Add lines 1 through 3	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	3,578,771
<b>b</b>	Average of monthly cash balances	<b>1b</b>	417,477
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	3,996,248
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	3,996,248
<b>4</b>	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	<b>4</b>	59,944
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	3,936,304
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	196,815

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6		<b>1</b>	196,815
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5	<b>2a</b>	8,848	
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI)	<b>2b</b>		
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	8,848	
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	187,967	
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>		
<b>5</b>	Add lines 3 and 4	<b>5</b>	187,967	
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>		
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	187,967	

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	160,331
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	160,331
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	160,331

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1	Distributable amount for 2016 from Part XI, line 7				187,967
2	Undistributed income, if any, as of the end of 2016				
a	Enter amount for 2015 only			0	
b	Total for prior years 20____, 20____, 20____				
3	Excess distributions carryover, if any, to 2016				
a	From 2011	14,221			
b	From 2012	176,126			
c	From 2013				
d	From 2014				
e	From 2015				
f	Total of lines 3a through e	190,347			
4	Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 160,331				
a	Applied to 2015, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2016 distributable amount				160,331
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	27,636			27,636
6	Enter the net total of each column as indicated below:				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	162,711			
b	Prior years' undistributed income Subtract line 4b from line 2b			0	
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount—see instructions				
e	Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f	Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8	Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9	Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	162,711			
10	Analysis of line 9:				
a	Excess from 2012	162,711			
b	Excess from 2013				
c	Excess from 2014				
d	Excess from 2015				
e	Excess from 2016				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>b</b> 85% of line 2a					0
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					0
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					0
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets					0
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					0
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
<b>(3)</b> Largest amount of support from an exempt organization					0
<b>(4)</b> Gross investment income					0

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2) )

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

---

**b** The form in which applications should be submitted and information and materials they should include

---

**c** Any submission deadlines.

---

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

---

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p><b>a</b> <i>Paid during the year</i> See Attached Statement</p>				
<p><b>Total</b></p>				<p>▶ <b>3a</b> 132,000</p>
<p><b>b</b> <i>Approved for future payment</i> NONE</p>				
<p><b>Total</b></p>				<p>▶ <b>3b</b> 0</p>





**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

Rowena Wildlife Clinic

**Street**

6900 Hwy 30

**City**

The Dalles

**State**

OR

**Zip Code**

97058-0000

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Name**

Turtle Ridge Wild Life Center

**Street**

PO Box 768

**City**

Salem

**State**

OR

**Zip Code**

97308-0769

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Name**

Mystic Farm Wildlife Rescue Inc

**Street**

471 Wagon Bridge Ln

**City**

Sagle

**State**

ID

**Zip Code**

83860-8131

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Name**

Treasure Valley Raptor Rescue Inc

**Street**

1599 S Oriole Way

**City**

Boise

**State**

ID

**Zip Code**

83709-1326

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Name**

Snowdon Wildlife Sanctuary Inc

**Street**

PO Box 2004

**City**

Mccall

**State**

ID

**Zip Code**

83638-2004

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Name**

Badger Run Wildlife Rehab

**Street**

15933 Homestead Lane

**City**

Klamath Falls

**State**

OR

**Zip Code**

97601

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

American Heritage Wildlife Foundation Inc

**Street**

59895 Highway 200

**City**

Clark Fork

**State**

ID

**Zip Code**

83811-9720

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Name**

Idaho Black Bear Rehab Inc

**Street**

6097 Arney Ln

**City**

Garden City

**State**

ID

**Zip Code**

83714-8310

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Name**

Whatcom Humane Society

**Street**

3710 Williamson Way

**City**

Bellingham

**State**

WA

**Zip Code**

98226-9156

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Name**

Wolf Hollow Wildlife Rehabilitation Centre

**Street**

PO Box 391

**City**

Friday Harbor

**State**

WA

**Zip Code**

98250-0391

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Name**

Sarvey Wildlife Care Center

**Street**

13106 148Th St Ne

**City**

Arlington

**State**

WA

**Zip Code**

98223-7931

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

40,000

**Name**

Northwest Raptor Center

**Street**

1051 W Oak Ct

**City**

Sequim

**State**

WA

**Zip Code**

98382-3028

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

WILDLIFE SUPPORT CHARITABLE TRUST

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**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

West Sound Wildlife Shelter

**Street**

7501 Ne Dolphin Dr

**City**

Bainbridge Is

**State**

WA

**Zip Code**

98110-3001

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Name**

Juneau Raptor Centor

**Street**

PO Box 34713

**City**

Juneau

**State**

AK

**Zip Code**

99803-4713

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Name**

Cascades Raptor Center

**Street**

PO Box 5386

**City**

Eugene

**State**

OR

**Zip Code**

97405-0386

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

6,000

**Name**

Blue Mountain Wildlife

**Street**

71046 Appaloosa Ln

**City**

Pendleton

**State**

OR

**Zip Code**

97801-9308

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Name**

Umpqua Wildlife Rescue

**Street**

PO Box 851

**City**

Roseburg

**State**

OR

**Zip Code**

97470

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Name**

Chimtimini Wildlife Center

**Street**

311 NW Lewisburg Ave

**City**

Corvallis

**State**

OR

**Zip Code**

97330

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

WILDLIFE SUPPORT CHARITABLE TRUST

41-2029664 Page 4 of 4

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

Guide Dogs of America

**Street**

13445 Glenoaks Blvd

**City**

Sylmar

**State**

CA

**Zip Code**

91342

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

1,000

**Name**

American Wildlife Foundation

**Street**

PO Box 1246

**City**

Molalla

**State**

OR

**Zip Code**

97038-1246

**Foreign Country**

**Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL OPERATING

**Amount**

5,000

**Name**

**Street**

**City**

**State**

**Zip Code**

**Foreign Country**

**Relationship**

**Foundation Status**

**Purpose of grant/contribution**

**Amount**

**Name**

**Street**

**City**

**State**

**Zip Code**

**Foreign Country**

**Relationship**

**Foundation Status**

**Purpose of grant/contribution**

**Amount**

**Name**

**Street**

**City**

**State**

**Zip Code**

**Foreign Country**

**Relationship**

**Foundation Status**

**Purpose of grant/contribution**

**Amount**

**Name**

**Street**

**City**

**State**

**Zip Code**

**Foreign Country**

**Relationship**

**Foundation Status**

**Purpose of grant/contribution**

**Amount**



Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Description	CUSIP #	Amount	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Gross Sales/Losses Other sales	Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss
											Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	
73 DISNEY (WALT) CO COM STK	254687106	638	X			9/30/2014	10/24/2016	837	787	0	3,932,781	3,617,188	0	0	315,593
74 DISNEY (WALT) CO COM STK	254687106		X			9/30/2014	10/24/2016	3,907	3,755	0			0	0	152
75 DISNEY (WALT) CO COM STK	254687106		X			8/11/2011	10/24/2016	18,607	6,555	0			0	0	12,052
76 DISNEY (WALT) CO COM STK	254687106		X			2/3/2015	10/24/2016	279	279	0			0	0	0
77 DISNEY (WALT) CO COM STK	254687106		X			2/18/2011	10/24/2016	12,001	5,630	0			0	0	6,371
78 DISNEY (WALT) CO COM STK	254687106		X			10/21/2014	10/24/2016	1,861	1,746	0			0	0	115
79 DISNEY (WALT) CO COM STK	254687106		X			10/22/2015	10/24/2016	1,875	1,841	0			0	0	34
80 DOMINION RES INC NEW VA	257481109		X			2/7/2014	10/24/2016	4,231	3,864	0			0	0	367
81 DOMINION RES INC NEW VA	257481109		X			10/1/2014	10/24/2016	385	347	0			0	0	38
82 DOMINION RES INC NEW VA	257481109		X			10/21/2014	10/24/2016	438	421	0			0	0	17
83 DOMINION RES INC NEW VA	257481109		X			10/23/2015	10/24/2016	948	896	0			0	0	52
84 DOMINION RES INC NEW VA	257481109		X			8/27/2013	10/24/2016	4,085	3,283	0			0	0	792
85 DOMINION RES INC NEW VA	257481109		X			4/1/2015	10/24/2016	2,262	2,213	0			0	0	49
86 DOMINION RES INC NEW VA	257481109		X			4/21/2014	10/24/2016	7,222	7,007	0			0	0	215
87 DOMINION RES INC NEW VA	257481109		X			5/14/2015	10/24/2016	511	500	0			0	0	11
88 DOMINION RES INC NEW VA	257481109		X			9/6/2016	10/24/2016	92,722	95,784	0			0	0	-3,062
89 EATON VANCE ENH EQ INC	278274105		X			10/22/2015	10/24/2016	1,312	1,176	0			0	0	136
90 EATON VANCE ENH EQ INC	278274105		X			7/6/2015	10/24/2016	929	926	0			0	0	3
91 DOMINION RES INC NEW VA	257481109		X			7/6/2015	10/24/2016	1,240	1,150	0			0	0	90
92 EATON VANCE ENH INCOME	278277108		X			7/6/2015	10/24/2016	2,043	2,043	0			0	0	0
93 EATON VANCE ENH INCOME	278277108		X			12/30/2014	10/24/2016	53,588	50,795	0			0	0	2,773
94 ECOLAR INC	278865100		X			9/11/2015	10/24/2016	7,802	7,328	0			0	0	476
95 EXPRESS SCRIPTS INC	302182477		X			10/22/2015	10/24/2016	349	339	0			0	0	10
96 FEDERAL NATL MTG ASSOC	31359MGG3		X			10/22/2014	10/20/2016	4,021	4,021	0			0	0	0
97 FIRST EAGLE	32008F606		X			10/22/2014	10/20/2016	13,507	12,663	0			0	0	844
98 EATON VANCE ENH EQ INC	278274105		X			7/6/2015	10/28/2016	2,038	1,921	0			0	0	117
99 EATON VANCE ENH INCOME	278277108		X			5/14/2015	10/24/2016	23,760	24,921	0			0	0	-1,161
100 EATON VANCE ENH INCOME	278277108		X			10/2/2015	10/24/2016	3,065	2,676	0			0	0	389
101 EATON VANCE ENH INCOME	278277108		X			5/14/2015	10/24/2016	556	553	0			0	0	3
102 EATON VANCE ENH INCOME	278277108		X			2/3/2015	10/24/2016	1,356	1,258	0			0	0	98
103 J HANCOCK PREF INCOME 3	41021P103		X			4/1/2015	10/24/2016	41	40	0			0	0	1
104 J HANCOCK PREF INCOME 3	41021P103		X			7/6/2015	10/28/2016	6,124	5,442	0			0	0	682
105 J HANCOCK PREF INCOME 3	41021P103		X			7/6/2015	10/17/2016	4,731	4,212	0			0	0	519
106 J HANCOCK PREF INCOME 3	41021P103		X			7/6/2015	10/10/2016	24,637	22,156	0			0	0	2,481
107 J HANCOCK PREF INCOME 3	41021P103		X			7/6/2015	10/11/2016	3,943	3,943	0			0	0	0
108 J HANCOCK PREF INCOME 3	41021P103		X			7/6/2015	10/12/2016	3,976	3,976	0			0	0	0
109 J HANCOCK PREF INCOME 3	41021P103		X			7/6/2015	10/13/2016	1,348	1,348	0			0	0	0
110 J HANCOCK PREF INCOME 3	41021P103		X			7/6/2015	10/14/2016	3,387	3,387	0			0	0	0
111 J HANCOCK PREF INCOME 3	41021P103		X			7/6/2015	10/17/2016	1,247	1,247	0			0	0	0
112 J HANCOCK PREF INCOME 3	41021P103		X			10/2/2015	10/19/2016	5,500	5,017	0			0	0	483
113 J HANCOCK PREF INCOME 3	41021P103		X			7/6/2015	10/19/2016	7,581	7,060	0			0	0	521
114 THE OAKMARK INTL SMALL	413838509		X			10/1/2014	10/28/2016	436	489	0			0	0	-53
115 THE OAKMARK INTL SMALL	413838509		X			2/20/2015	10/28/2016	16,874	16,834	0			0	0	40
116 THE OAKMARK INTL SMALL	413838509		X			10/21/2014	10/28/2016	15	15	0			0	0	0
117 THE OAKMARK INTL SMALL	413838509		X			10/21/2014	10/28/2016	2,474	2,681	0			0	0	-207
118 THE OAKMARK INTL SMALL	413838509		X			2/3/2015	10/28/2016	2,212	2,313	0			0	0	-101
119 THE OAKMARK INTL SMALL	413838509		X			10/2/2015	10/28/2016	1,135	1,165	0			0	0	-30
120 THE OAKMARK INTL SMALL	413838509		X			10/2/2015	10/28/2016	14	15	0			0	0	-1
121 HORMEL FOODS CORP	440452100		X			10/1/2014	10/24/2016	908	613	0			0	0	295
122 HORMEL FOODS CORP	440452100		X			2/3/2015	10/24/2016	9,885	2,369	0			0	0	4,496
123 HORMEL FOODS CORP	440452100		X			9/25/2014	10/24/2016	454	312	0			0	0	142
124 HORMEL FOODS CORP	440452100		X			10/2/2015	10/24/2016	19,746	13,040	0			0	0	6,708
125 HORMEL FOODS CORP	440452100		X			10/2/2015	10/24/2016	1,816	1,519	0			0	0	297
126 HORMEL FOODS CORP	440452100		X			4/7/2011	10/24/2016	16,268	5,995	0			0	0	10,273
127 INGREDION INC	457187102		X			5/8/2014	3/3/2016	2,711	1,912	0			0	0	799
128 ORACLE CORP \$0 01 DEL	68389X105		X			4/21/2015	10/24/2016	30,371	17,949	0			0	0	12,422
129 ORACLE CORP \$0 01 DEL	68389X105		X			2/17/2014	10/24/2016	3,783	3,687	0			0	0	610
130 ORACLE CORP \$0 01 DEL	68389X105		X			4/21/2014	10/24/2016	2,560	2,700	0			0	0	-140
131 ORACLE CORP \$0 01 DEL	68389X105		X			10/1/2014	10/24/2016	298	297	0			0	0	1
132 ORACLE CORP \$0 01 DEL	68389X105		X			10/21/2014	10/24/2016	841	844	0			0	0	-3
133 ORACLE CORP \$0 01 DEL	68389X105		X			4/1/2015	10/24/2016	344	388	0			0	0	-44
134 ORACLE CORP \$0 01 DEL	68389X105		X			5/14/2015	10/24/2016	36	44	0			0	0	-8
135 ORACLE CORP \$0 01 DEL	68389X105		X			7/6/2015	10/24/2016	1,338	1,409	0			0	0	-71
136 PG&E CORP	69331C108		X			10/2/2015	10/24/2016	661	661	0			0	0	0
137 PG&E CORP	69331C108		X			2/16/2011	10/24/2016	3,017	2,296	0			0	0	721
138 PG&E CORP	69331C108		X			4/7/2011	10/24/2016	8,024	5,967	0			0	0	2,057
139 PG&E CORP	69331C108		X			4/21/2014	10/24/2016	1,448	1,074	0			0	0	374
140 PG&E CORP	69331C108		X			10/1/2014	10/24/2016	362	272	0			0	0	90
141 MATTHEWS ASIA DIVIDEND	57125107		X			2/16/2011	10/29/2016	40,875	34,694	0			0	0	6,181
142 MATTHEWS ASIA DIVIDEND	57125107		X			4/21/2014	10/29/2016	17	14	0			0	0	3
143 MATTHEWS ASIA DIVIDEND	57125107		X			4/21/2014	10/29/2016	7,101	6,503	0			0	0	598

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Capital Gains/Losses		Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
								Totals	Other sales							
Long Term CG Distributions																
Short Term CG Distributions																
Amount																
638																
0																
Totals																
3,932,781																
0																
3,932,781																
0																
315,593																
0																
3,617,188																
0																
315,593																
0																
145 MATTHEWS ASIA DIVIDEND	571125107	X				10/28/2016	10/28/2016	1,134	1,084	1,134	1,084					0
146 BRITISH AMN TOBACO SPAD	110481107	X				2/3/2015	10/24/2016	1,028	1,028	1,028	1,028					0
147 BUNGE LIMITED FINANCE CC	120588A14	X				10/22/2014	3/15/2016	2,000	2,000	2,000	2,000					0
148 CBRE CLARION GLOBAL	12504G100	X				10/22/2015	10/24/2016	1,475	1,388	1,475	1,388					0
149 CBRE CLARION GLOBAL	12504G100	X				9/23/2015	10/24/2016	15,346	8,200	8,200	8,200					0
150 CBRE CLARION GLOBAL	12504G100	X				10/21/2014	10/24/2016	83	68	68	68					0
151 CBRE CLARION GLOBAL	12504G100	X				4/21/2014	10/24/2016	2,451	2,580	2,451	2,580					0
152 CBRE CLARION GLOBAL	12504G100	X				4/1/2015	10/24/2016	841	956	841	956					0
153 CBRE CLARION GLOBAL	12504G100	X				7/6/2015	10/24/2016	1,174	1,191	1,174	1,191					0
154 CBRE CLARION GLOBAL	12504G100	X				5/14/2015	10/24/2016	761	840	761	840					0
155 CBRE CLARION GLOBAL	12504G100	X				10/1/2014	10/24/2016	282	276	282	276					0
156 CBRE CLARION GLOBAL	12504G100	X				3/2/2012	6/27/2016	1,842	2,649	1,842	2,649					0
157 CF INDS HLDGS INC	125269100	X				4/1/2015	6/27/2016	117	233	117	233					0
158 CF INDS HLDGS INC	125269100	X				10/22/2015	6/27/2016	117	233	117	233					0
159 CF INDS HLDGS INC	125269100	X				10/1/2014	6/27/2016	117	270	117	270					0
160 CF INDS HLDGS INC	125269100	X				10/22/2015	6/27/2016	117	270	117	270					0
161 CVS HEALTH CORP	126850100	X				3/2/2012	10/24/2016	796	878	796	878					0
162 CVS HEALTH CORP	126850100	X				10/24/2016	10/24/2016	3,449	1,783	3,449	1,783					0
163 CVS HEALTH CORP	126850100	X				5/14/2015	10/24/2016	531	611	531	611					0
164 CVS HEALTH CORP	126850100	X				10/1/2014	10/24/2016	354	317	354	317					0
165 CVS HEALTH CORP	126850100	X				2/7/2014	10/24/2016	12,028	9,050	12,028	9,050					0
166 CVS HEALTH CORP	126850100	X				4/1/2015	10/24/2016	88	102	88	102					0
167 CAL MAINE FDS INC COM NV	126030202	X				3/7/2016	6/9/2016	14,750	17,826	14,750	17,826					0
168 CANADIAN NATL RAILWAY C	136375102	X				4/1/2015	6/27/2016	508	521	508	521					0
169 CANADIAN NATL RAILWAY C	136375102	X				7/6/2015	6/27/2016	6,153	3,915	6,153	3,915					0
170 CANADIAN NATL RAILWAY C	136375102	X				2/16/2011	6/27/2016	113	139	113	139					0
171 CANADIAN NATL RAILWAY C	136375102	X				10/2/2014	6/27/2016	386	408	386	408					0
172 CANADIAN NATL RAILWAY C	136375102	X				10/2/2015	6/27/2016	790	863	790	863					0
173 CANADIAN NATL RAILWAY C	136375102	X				5/14/2015	6/27/2016	10,476	8,684	10,476	8,684					0
174 CANADIAN NATL RAILWAY C	136375102	X				6/27/2016	6/27/2016	2,000	2,000	2,000	2,000					0
175 CAPITAL ONE FINANCIAL CO	14040H338	X				10/22/2014	9/1/2016	10,928	8,402	10,928	8,402					0
176 COLUMBIA SELIGMAN	19768H338	X				10/2/2014	10/28/2016	402	406	402	406					0
177 MFS EMERGING MARKETS	55273E640	X				2/3/2015	10/28/2016	15	15	15	15					0
178 MFS EMERGING MARKETS	55273E640	X				2/3/2015	10/28/2016	4,694	4,590	4,694	4,590					0
179 MFS EMERGING MARKETS	55273E640	X				4/1/2015	10/28/2016	15	15	15	15					0
180 MFS EMERGING MARKETS	55273E640	X				4/1/2015	10/28/2016	1,368	1,371	1,368	1,371					0
181 MFS EMERGING MARKETS	55273E640	X				5/14/2015	10/28/2016	1,013	1,010	1,013	1,010					0
182 MFS EMERGING MARKETS	55273E640	X				7/6/2015	10/28/2016	1,460	1,423	1,460	1,423					0
183 MFS EMERGING MARKETS	55273E640	X				7/6/2015	10/28/2016	15	15	15	15					0
184 MFS EMERGING MARKETS	55273E640	X				10/2/2015	10/28/2016	2,697	2,521	2,697	2,521					0
185 MFS EMERGING MARKETS	55273E640	X				10/2/2015	10/28/2016	5	4	5	4					0
186 MFS EMERGING MARKETS	55273E640	X				7/6/2015	10/28/2016	67	67	67	67					0
187 MATTHEWS ASIA DIVIDEND	571125107	X				8/27/2015	10/28/2016	38,957	34,087	38,957	34,087					0
188 MATTHEWS ASIA DIVIDEND	571125107	X				10/2/2015	10/28/2016	4,288	4,288	4,288	4,288					0
189 MATTHEWS ASIA DIVIDEND	571125107	X				10/2/2015	10/28/2016	17	15	17	15					0
190 MATTHEWS ASIA DIVIDEND	571125107	X				6/27/2016	10/28/2016	84,648	60,452	84,648	60,452					0
191 MATTHEWS ASIA DIVIDEND	571125107	X				6/27/2016	10/28/2016	3	3	3	3					0
192 MATTHEWS ASIA DIVIDEND	571125107	X				6/27/2016	10/28/2016	17	15	17	15					0
193 MATTHEWS ASIA DIVIDEND	571125107	X				10/5/2016	10/28/2016	7,418	7,620	7,418	7,620					0
194 FLAHERTY & CRUMRINE	338479108	X				10/6/2016	10/28/2016	6,310	6,459	6,310	6,459					0
195 FLAHERTY & CRUMRINE	338479108	X				10/11/2016	10/28/2016	4,492	4,604	4,492	4,604					0
196 FLAHERTY & CRUMRINE	338479108	X				10/13/2016	10/28/2016	1,504	1,504	1,504	1,504					0
197 FLAHERTY & CRUMRINE	338479108	X				10/14/2016	10/28/2016	3,761	3,888	3,761	3,888					0
198 FLAHERTY & CRUMRINE	338479108	X				10/17/2016	10/28/2016	1,295	1,320	1,295	1,320					0
199 FLAHERTY & CRUMRINE	338479108	X				10/18/2016	10/28/2016	13,394	13,798	13,394	13,798					0
200 FLAHERTY & CRUMRINE	338479108	X				10/19/2016	10/28/2016	4,492	4,659	4,492	4,659					0
201 FLAHERTY & CRUMRINE	338479108	X				10/12/2016	10/28/2016	4,409	4,503	4,409	4,503					0
202 FLAHERTY & CRUMRINE	338479108	X				10/6/2016	10/24/2016	18,326	19,141	18,326	19,141					0
203 GENERAC HLDGS INC	368550108	X				10/2/2015	10/24/2016	1,063	967	1,063	967					0
204 GENL DYNAMICS CORP CO	368550108	X				2/18/2011	10/24/2016	7,138	3,616	7,138	3,616					0
205 GENL DYNAMICS CORP CO	368550108	X				4/21/2014	10/24/2016	3,941	2,405	3,941	2,405					0
206 GENL DYNAMICS CORP CO	368550108	X				10/1/2014	10/24/2016	304	249	304	249					0
207 GENL DYNAMICS CORP CO	368550108	X				4/1/2015	10/24/2016	607	536	607	536					0
208 GENL DYNAMICS CORP CO	368550108	X				4/1/2015	10/24/2016	10,023	8,800	10,023	8,800					0
209 GENL DYNAMICS CORP CO	368550108	X				2/16/2011	3/7/2016	6,488	3,920	6,488	3,920					0
210 GENERAL MILLS	370334104	X				4/7/2011	3/7/2016	9,836	5,947	9,836	5,947					0
211 GENERAL MILLS	370334104	X				10/1/2014	3/7/2016	358	300	358	300					0
212 GENERAL MILLS	370334104	X				10/21/2014	3/7/2016	954	808	954	808					0
213 GENERAL MILLS	370334104	X				9/15/2015	2/9/2016	41,724	48,825	41,724	48,825					0
214 GRIFOLS S A	398436408	X				10/2/2015	2/9/2016	2,140	2,328	2,140	2,328					0
215 GRIFOLS S A	398436408	X				10/2/2015	2/9/2016	2,140	2,328	2,140	2,328					0
216 HDFC BANK LTD	40415F101	X				5/14/2015	10/24/2016	436	344	436	344					0

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Totals		Gross Sales Price	Cost or Other Basis	Valuation Method	Cost or Other Basis, Expenses, Depreciation and Adjustments			Net Gain or Loss
								Capital Gains/Losses	Other sales				Gross Sales	3,932,781	0	
217 HDFC BANK LTD	4041SF101	X			10/2/2015	10/2/2016	290	249	290	249					41	
218 HDFC BANK LTD	4041SF101	X			10/2/2015	10/2/2016	5,880	4,856	5,880	4,856					1,024	
219 J HANCOCK PREF INCOME 3	41021P103	X			7/6/2015	6/27/2016	29,905	25,939	29,905	25,939					3,966	
220 J HANCOCK PREF INCOME 3	41021P103	X			7/6/2015	10/3/2016	7,785	6,807	7,785	6,807					978	
221 J HANCOCK PREF INCOME 3	41021P103	X			7/6/2015	10/4/2016	1,433	1,264	1,433	1,264					169	
222 J HANCOCK PREF INCOME 3	41021P103	X			7/6/2015	10/5/2016	7,220	6,419	7,220	6,419					801	
223 FIRST EAGLE	32008F606	X			10/1/2014	10/28/2016	1,169	1,154	1,169	1,154					35	
224 FIRST EAGLE	32008F606	X			7/6/2015	10/28/2016	57	54	57	54					3	
225 FIRST EAGLE	32008F606	X			5/14/2015	10/28/2016	340	333	340	333					7	
226 FIRST EAGLE	32008F606	X			4/23/2014	10/28/2016	50,901	49,625	50,901	49,625					1,276	
227 FIRST EAGLE	32008F606	X			10/1/2014	10/28/2016	57	55	57	55					2	
228 FIRST EAGLE	32008F606	X			10/21/2014	10/28/2016	57	55	57	55					2	
229 FIRST EAGLE	32008F606	X			10/21/2014	10/28/2016	2,265	2,171	2,265	2,171					94	
230 FIRST EAGLE	32008F606	X			2/3/2015	10/29/2016	4,133	3,917	4,133	3,917					216	
231 FIRST EAGLE	32008F606	X			4/1/2015	10/29/2016	1,699	1,620	1,699	1,620					79	
232 FIRST EAGLE	32008F606	X			10/2/2015	10/29/2016	4	4	4	4					0	
233 FIRST EAGLE	32008F606	X			10/2/2015	10/29/2016	57	52	57	52					5	
234 FIRST EAGLE	32008F606	X			10/2/2015	10/29/2016	3,171	2,843	3,171	2,843					328	
235 FIRST EAGLE GOLD FUND	32008F770	X			11/24/2015	10/29/2016	20,232	13,182	20,232	13,182					7,050	
236 FIRST EAGLE GOLD FUND	32008F770	X			11/24/2015	10/29/2016	15	10	15	10					5	
237 FLAHERTY & CRUMRINE	338479108	X			10/10/2016	10/29/2016	25,199	26,154	25,199	26,154					-955	
238 FLAHERTY & CRUMRINE	338479108	X			10/7/2016	10/29/2016	4,868	4,989	4,868	4,989					-121	
239 FLAHERTY & CRUMRINE	338479108	X			10/3/2016	10/29/2016	7,668	7,875	7,668	7,875					-207	
240 FLAHERTY & CRUMRINE	338479108	X			10/4/2016	10/29/2016	1,504	1,524	1,504	1,524					-20	
241 SPECTRA ENERGY CORP	847560109	X			2/3/2015	9/6/2016	4,984	4,225	4,984	4,225					759	
242 SPECTRA ENERGY CORP	847560109	X			4/1/2015	9/6/2016	206	181	206	181					25	
243 SPECTRA ENERGY CORP	847560109	X			5/14/2015	9/6/2016	618	548	618	548					70	
244 SPECTRA ENERGY CORP	847560109	X			7/6/2015	9/6/2016	5,725	4,345	5,725	4,345					1,380	
245 SPECTRA ENERGY CORP	847560109	X			10/2/2015	9/6/2016	2,059	1,381	2,059	1,381					678	
246 SPECTRA ENERGY CORP	847560109	X			11/24/2015	9/6/2016	73,234	48,073	73,234	48,073					24,561	
247 STATOIL ASA	8571PAJ1	X			10/22/2014	4/5/2016	964	994	964	994					0	
248 TEMPLETON GBLB BOND FD	880208400	X			5/5/2011	6/27/2016	5	6	5	6					-1	
249 TEMPLETON GBLB BOND FD	880208400	X			5/5/2011	6/27/2016	37,627	46,737	37,627	46,737					-9,110	
250 SPECTRA ENERGY CORP	847560109	X			10/2/2015	9/6/2016	865	816	865	816					49	
251 TEMPLETON GBLB BOND FD	880208400	X			10/2/2015	6/27/2016	0	0	0	0					0	
252 TEMPLETON GBLB BOND FD	880208400	X			5/5/2011	10/29/2016	6	8	6	8					-2	
253 NOVO NORDISK A S ADR	670100205	X			2/16/2011	2/9/2016	7,606	4,014	7,606	4,014					3,592	
254 NOVO NORDISK A S ADR	670100205	X			10/1/2014	2/9/2016	139	143	139	143					-4	
255 NOVO NORDISK A S ADR	670100205	X			10/21/2014	2/9/2016	46	45	46	45					1	
256 NOVO NORDISK A S ADR	670100205	X			2/3/2015	2/9/2016	903	581	903	581					322	
257 NOVO NORDISK A S ADR	670100205	X			10/2/2015	2/9/2016	371	439	371	439					-68	
258 NUVEEN ENHANCED MUN V	67074M101	X			6/27/2016	10/29/2016	74,956	84,051	74,956	84,051					-9,085	
259 OPPENHEIMER INTL SMALL	68380U506	X			8/20/2015	10/29/2016	6,829	6,610	6,829	6,610					219	
260 OPPENHEIMER INTL SMALL	68380U506	X			9/23/2015	10/29/2016	38	36	38	36					2	
261 OPPENHEIMER INTL SMALL	68380U506	X			9/23/2015	10/29/2016	22,525	21,008	22,525	21,008					1,517	
262 OPPENHEIMER INTL SMALL	68380U506	X			10/2/2015	10/29/2016	38	35	38	35					3	
263 OPPENHEIMER INTL SMALL	68380U506	X			10/2/2015	10/29/2016	3	3	3	3					0	
264 OPPENHEIMER INTL SMALL	68380U506	X			9/17/2015	10/29/2016	1,434	1,354	1,434	1,354					80	
265 U S TREASURY NOTE	912828L32	X			5/2/2016	10/20/2016	3,960	3,914	3,960	3,914					46	
266 U S TREASURY NOTE	912828P46	X			11/2/2016	10/20/2016	3,246	3,195	3,246	3,195					51	
267 U S TREASURY NOTE	912828QN3	X			12/6/2015	10/20/2016	15,148	14,810	15,148	14,810					338	
268 U S TREASURY NOTE	912828R38	X			8/3/2016	10/20/2016	2,966	3,021	2,966	3,021					-55	
269 U S TREASURY NOTE	912828R77	X			6/16/2016	10/20/2016	5,028	5,065	5,028	5,065					-37	
270 U S TREASURY NOTE	912828R93	X			7/12/2016	10/20/2016	4,984	4,993	4,984	4,993					-9	
271 U S TREASURY NOTE	912828T34	X			10/7/2016	10/20/2016	1,988	1,988	1,988	1,988					0	
272 U S TREASURY NOTE	912828T46	X			10/22/2014	10/20/2016	4,037	3,873	4,037	3,873					164	
273 U S TREASURY NOTE	912828WE6	X			10/22/2014	8/17/2016	1,093	1,040	1,093	1,040					53	
274 U S TREASURY NOTE	912828WE6	X			5/8/2014	10/24/2016	7,527	4,191	7,527	4,191					3,336	
275 INGRIDION INC	457187102	X			10/21/2014	10/24/2016	264	149	264	149					115	
276 INGRIDION INC	457187102	X			4/1/2015	10/24/2016	1,320	783	1,320	783					537	
277 INGRIDION INC	457187102	X			10/2/2015	10/24/2016	2,113	1,401	2,113	1,401					712	
278 INGRIDION INC	457187102	X			10/2/2015	10/24/2016	7,791	4,478	7,791	4,478					3,313	
279 INGRIDION INC	457187102	X			9/25/2014	10/24/2016	15,714	14,666	15,714	14,666					1,048	
280 INGRIDION INC	457187102	X			6/9/2016	10/24/2016	396	225	396	225					171	
281 INGRIDION INC	457187102	X			6/27/2016	10/24/2016	14,407	12,923	14,407	12,923					1,484	
282 INTEL CORP	458140100	X			8/1/2016	10/24/2016	7,482	7,369	7,482	7,369					123	
283 INTEL CORP	458140100	X			11/17/2015	4/5/2016	1,038	913	1,038	913					125	
284 IBM CORP	459200HF1	X			10/2/2015	10/24/2016	537	471	537	471					66	
285 ISHARES MSCI AUSTRALIA	464286103	X			2/16/2011	10/24/2016	32,969	34,557	32,969	34,557					-1,588	
286 SPDR Citi INTERNATIONAL	78464A490	X			4/21/2014	10/29/2016	9,433	8,536	9,433	8,536					897	
287 SPDR Citi INTERNATIONAL	78464A490	X			10/1/2014	10/29/2016	991	1,061	991	1,061					-70	
288 SPDR Citi INTERNATIONAL	78464A490	X			10/1/2014	10/29/2016	0	0	0	0					0	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Description	CUSIP #	Amount	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
288 U.S. TREASURY BOND	91281001B	638	X			10/22/2014	10/20/2016	7,896	7,201						695
290 U.S. TREASURY BOND	91281001W		X			10/22/2014	10/20/2016	15,461	14,043						1,418
291 U.S. TREASURY BOND	912810R1J		X			12/17/2014	10/20/2016	5,517	5,258						259
292 U.S. TREASURY BOND	912810R1M		X			04/20/2015	10/20/2016	6,621	5,955						666
293 U.S. TREASURY BOND	912810R1T		X			10/6/2016	10/20/2016	1,853	1,913						-20
294 U.S. TREASURY NOTE	912828Z27		X			10/3/2016	10/20/2016	2,931	2,967						-36
295 U.S. TREASURY NOTE	912828Z27		X			09/1/2016	10/20/2016	1,954	1,987						-33
296 U.S. TREASURY NOTE	912828Z27		X			10/6/2016	10/20/2016	3,908	3,914						-6
297 U.S. TREASURY NOTE	912828A34		X			10/22/2014	10/20/2016	5,035	5,000						35
298 U.S. TREASURY NOTE	912828C46		X			12/17/2014	11/30/2016	19,000	18,987						13
299 U.S. TREASURY NOTE	912828C46		X			1/12/2016	11/30/2016	1,000	968						32
300 U.S. TREASURY NOTE	912828K74		X			9/17/2015	4/6/2016	1,025	981						44
301 U.S. TREASURY NOTE	912828K74		X			9/17/2015	10/20/2016	2,046	1,961						85
302 U.S. TREASURY NOTE	912828L32		X			9/17/2015	1/6/2016	1,984	1,979						5
303 U.S. TREASURY NOTE	912828L32		X			9/17/2015	1/12/2016	995	989						6
304 SPDR Citi INTERNATIONAL	784644A90		X			10/21/2014	10/29/2016	1,542	1,673						-131
305 SPDR Citi INTERNATIONAL	784644A90		X			5/14/2015	10/29/2016	110	113						-3
306 SPDR Citi INTERNATIONAL	784644A90		X			2/3/2015	10/29/2016	5,507	5,674						-167
307 SPDR Citi INTERNATIONAL	784644A90		X			7/6/2015	10/29/2016	2,313	2,293						20
308 SPDR Citi INTERNATIONAL	784644A90		X			4/1/2015	10/29/2016	3,635	3,828						-7
309 SPDR Citi INTERNATIONAL	784644A90		X			10/2/2015	10/29/2016	2,754	2,634						120
310 SHIRE PLC-ADR	82481R106		X			10/12/2015	10/24/2016	15,954	16,548						-594
311 SIMON PROPERTY GROUP D	828806109		X			2/16/2011	10/24/2016	7,488	3,854						3,634
312 SIMON PROPERTY GROUP D	828806109		X			10/1/2014	10/24/2016	887	801						86
313 SIMON PROPERTY GROUP D	828806109		X			10/1/2014	10/24/2016	197	184						13
314 SIMON PROPERTY GROUP D	828806109		X			10/21/2014	10/24/2016	172	172						0
315 SIMON PROPERTY GROUP D	828806109		X			5/14/2015	10/24/2016	1,184	1,104						80
316 SIMON PROPERTY GROUP D	828806109		X			7/6/2015	10/24/2016	592	526						66
317 SIMON PROPERTY GROUP D	828806109		X			10/2/2015	10/24/2016	592	559						33
318 SPECTRA ENERGY CORP	847560109		X			2/16/2011	9/6/2016	25,290	16,190						9,100
319 SPECTRA ENERGY CORP	847560109		X			10/1/2014	9/6/2016	577	547						30
320 U.S. TREASURY NOTE	912828W65		X			1/12/2016	8/22/2016	1,093	1,053						40
321 U.S. TREASURY NOTE	912828W65		X			1/12/2016	10/20/2016	6,482	6,313						169
322 U.S. TREASURY NOTE	912828W65		X			7/30/2015	10/20/2016	5,000	4,989						11
323 UNITED TECHS CORP COM	913017109		X			2/16/2011	3/3/2016	7,825	6,993						842
324 UNITED TECHS CORP COM	913017109		X			10/1/2014	3/3/2016	191	208						-17
325 UNITED TECHS CORP COM	913017109		X			10/21/2014	3/3/2016	1,336	1,447						-111
326 UNITED TECHS CORP COM	913017109		X			3/3/2016	3/3/2016	392	466						-84
327 UNITED TECHS CORP COM	913017109		X			5/14/2015	3/3/2016	95	119						-24
328 UNITED TECHS CORP COM	913017109		X			7/6/2015	3/3/2016	954	1,096						-142
329 UNITED TECHS CORP COM	913017109		X			10/2/2015	3/3/2016	573	537						36
330 NETEASE COM INC ADR	64110W102		X			10/2/2015	10/24/2016	798	373						425
331 NEWMONT MINING CORP	651639A10		X			10/22/2014	3/29/2016	2,071	2,156						-85
332 NEXTERA ENERGY INC SHS	65339F101		X			2/16/2011	10/24/2016	5,823	2,553						3,270
333 NEXTERA ENERGY INC SHS	65339F101		X			4/7/2011	10/24/2016	13,628	6,173						7,456
334 NEXTERA ENERGY INC SHS	65339F101		X			10/1/2014	10/24/2016	486	376						118
335 NEXTERA ENERGY INC SHS	65339F101		X			10/21/2014	10/24/2016	372	287						85
336 NEXTERA ENERGY INC SHS	65339F101		X			4/1/2015	10/24/2016	1,611	1,384						247
337 NEXTERA ENERGY INC SHS	65339F101		X			4/21/2015	10/24/2016	34,073	28,523						5,550
338 NEXTERA ENERGY INC SHS	65339F101		X			5/14/2015	10/24/2016	620	504						116
339 NEXTERA ENERGY INC SHS	65339F101		X			7/6/2015	10/24/2016	867	699						168
340 NEXTERA ENERGY INC SHS	65339F101		X			10/2/2015	10/24/2016	2,728	2,151						575
341 NIKE INC CL B	654105103		X			2/16/2011	10/24/2016	7,905	3,293						4,642
342 NIKE INC CL B	654105103		X			10/1/2014	10/24/2016	208	175						33
343 U.S. TREASURY NOTE	912828W65		X			10/22/2014	8/22/2016	1,093	1,040						53
344 NIKE INC CL B	654105103		X			10/2/2015	10/24/2016	312	372						-60
345 NOVARTIS ADR	66987V109		X			2/16/2011	10/24/2016	5,112	3,843						1,269
346 NOVARTIS ADR	66987V109		X			10/1/2014	10/24/2016	150	186						-36
347 NOVARTIS ADR	66987V109		X			7/6/2015	10/24/2016	226	296						-70
348 NOVARTIS ADR	66987V109		X			10/2/2015	10/24/2016	301	370						-69
349 TEMPLETON GLBL BOND FD	880208400		X			9/5/2011	10/29/2016	14,747	17,291						-2,544
350 TEMPLETON GLBL BOND FD	880208400		X			2/5/2013	10/29/2016	12	13						-1
351 TEMPLETON GLBL BOND FD	880208400		X			4/21/2014	10/29/2016	18,921	20,987						-2,066
352 TEMPLETON GLBL BOND FD	880208400		X			10/1/2014	10/29/2016	2,583	2,892						-309
353 TEMPLETON GLBL BOND FD	880208400		X			10/1/2014	10/29/2016	12	13						-1
354 TEMPLETON GLBL BOND FD	880208400		X			10/21/2014	10/29/2016	1,914	2,130						-216
355 TEMPLETON GLBL BOND FD	880208400		X			2/3/2015	10/29/2016	12	13						-1
356 TEMPLETON GLBL BOND FD	880208400		X			2/3/2015	10/29/2016	14,724	15,514						-790
357 TEMPLETON GLBL BOND FD	880208400		X			4/1/2015	10/29/2016	12	12						0
358 TEMPLETON GLBL BOND FD	880208400		X			4/1/2015	10/29/2016	5,429	5,429						-264
359 TEMPLETON GLBL BOND FD	880208400		X			4/6/2015	10/29/2016	1,015	1,080						-65
360 TEMPLETON GLBL BOND FD	880208400		X			5/14/2015	10/29/2016	3,090	3,251						-161

Totals  
Capital Gains/Losses Other sales 0  
Gross Sales 3,932,781  
Cost or Other Basis, Expenses, Depreciation and Adjustments 3,617,188  
Net Gain or Loss 315,593

Part 1, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Capital Gains/Losses		Gross Sales	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
								Gross Sales Price	Other sales						
361 TEMPLETON GLBL BOND FD	860208400	X			7/6/2015	10/29/2016	1,753	1,813	3,932,781	0					315,593
362 TEMPLETON GLBL BOND FD	860208400	X			10/2/2015	10/29/2016	7,137	6,889							248
363 TEMPLETON GLBL BOND FD	860208400	X			10/2/2015	10/29/2016	12	12							0
364 TEVA PHARMACTCL INDS AD	881624209	X			2/16/2011	10/24/2016	3,276	3,886							-610
365 TEVA PHARMACTCL INDS AD	881624209	X			10/1/2014	10/24/2016	87	106							-19
366 TEVA PHARMACTCL INDS AD	881624209	X			10/2/2015	10/24/2016	175	237							-62
367 THERMO FISHER SCIENTIFIC	863556102	X			7/6/2015	10/24/2016	458	391							67
368 THERMO FISHER SCIENTIFIC	863556102	X			10/2/2015	10/24/2016	2,139	1,725							414
369 THERMO FISHER SCIENTIFIC	863556102	X			2/16/2011	10/24/2016	14,818	5,561							9,257
370 THERMO FISHER SCIENTIFIC	863556102	X			5/14/2015	10/24/2016	1,069	919							150
371 TEMPLETON GLBL BOND FD	860208400	X			2/5/2013	10/29/2016	34,813	39,412							-4,799
372 THERMO FISHER SCIENTIFIC	863556102	X			7/15/2013	10/24/2016	9,777	5,652							4,125
373 THERMO FISHER SCIENTIFIC	863556102	X			10/1/2014	10/24/2016	611	479							132
374 THERMO FISHER SCIENTIFIC	863556102	X			10/2/2014	10/24/2016	15,562	11,968							3,594
376 TOYOTA MOTOR CORP ADR	892331307	X			11/1/2015	10/24/2016	8,075	6,673							-598
378 TRACTOR SUPPLY CO	892335106	X			2/16/2011	10/24/2016	6,282	2,585							3,677
377 TRACTOR SUPPLY CO	892335106	X			10/1/2014	10/24/2016	194	181							13
378 TRACTOR SUPPLY CO	892335106	X			10/2/2014	10/24/2016	804	858							-46
379 TRACTOR SUPPLY CO	892335106	X			10/2/2015	10/24/2016	323	429							-106
380 TRACTOR SUPPLY CO	892335106	X			9/12/2016	10/24/2016	6,843	7,354							-491
381 21ST CENTY FOX AMER INC	90131HA08	X			1/6/2016	10/7/2016	1,106	1,074							32
382 21ST CENTY FOX AMER INC	90131HA08	X			12/7/2015	10/7/2016	2,211	2,142							69
383 PG&E CORP	69331C108	X			10/2/2014	10/24/2016	60	45							15
384 PG&E CORP	69331C108	X			4/1/2015	10/24/2016	1,870	1,653							217
385 PG&E CORP	69331C108	X			5/14/2015	10/24/2016	1,026	875							151
386 PG&E CORP	69331C108	X			7/6/2015	10/24/2016	603	498							106
387 PG&E CORP	69331C108	X			10/2/2015	10/24/2016	724	630							94
388 PACKAGING CORP AMERICA	695156109	X			8/20/2014	10/24/2016	17,516	14,061							3,455
389 PACKAGING CORP AMERICA	695156109	X			10/1/2014	10/24/2016	413	314							99
390 PACKAGING CORP AMERICA	695156109	X			4/1/2015	10/24/2016	413	382							31
391 PACKAGING CORP AMERICA	695156109	X			4/8/2015	10/24/2016	12,808	12,120							686
392 PACKAGING CORP AMERICA	695156109	X			5/14/2015	10/24/2016	3,635	3,111							524
393 PACKAGING CORP AMERICA	695156109	X			7/6/2015	10/24/2016	4,214	3,237							977
394 PACKAGING CORP AMERICA	695156109	X			10/2/2015	10/24/2016	1,900	1,432							468
395 PARKER HANNIFIN CORP	701094104	X			4/2/2014	10/24/2016	5,810	6,006							-186
396 PARKER HANNIFIN CORP	701094104	X			10/1/2014	10/24/2016	121	112							9
397 PARKER HANNIFIN CORP	701094104	X			10/2/2014	10/24/2016	847	777							70
398 PARKER HANNIFIN CORP	701094104	X			4/1/2015	10/24/2016	363	358							5
399 PARKER HANNIFIN CORP	701094104	X			7/6/2015	10/24/2016	363	346							17
400 PARKER HANNIFIN CORP	701094104	X			10/2/2015	10/24/2016	484	390							94
401 PIONEER NATURAL RES CO	723787107	X			4/8/2015	10/24/2016	183	171							12
402 PIONEER NATURAL RES CO	723787107	X			5/14/2015	10/24/2016	915	768							147
403 PIONEER NATURAL RES CO	723787107	X			7/6/2015	10/24/2016	1,464	1,073							385
404 PIONEER NATURAL RES CO	723787107	X			8/28/2015	10/24/2016	1,098	719							379
405 PIONEER NATURAL RES CO	723787107	X			10/2/2015	10/24/2016	549	382							167
406 PIONEER FLOATING RATE	72387S308	X			6/27/2016	10/28/2016	2	2							0
407 PIONEER FLOATING RATE	72387S308	X			9/4/2015	10/28/2016	94,693	93,303							1,390
408 POLARIS INDUSTRIES COM	731068102	X			2/5/2015	10/6/2016	2,519	5,012							-2,493
409 POLARIS INDUSTRIES COM	731068102	X			10/2/2015	10/6/2016	148	235							-87
410 POLARIS INDUSTRIES COM	731068102	X			7/2/2016	10/6/2016	11,929	15,637							-3,708
411 PHILLIPS 66 SHS	719546104	X			1/1/2015	9/9/2016	11,950	12,966							-1,016
412 POWERSHARES FTSE RAFI	73935X567	X			11/17/2015	5/2/2016	17,842	11,761							6,081
413 POWERSHARES FTSE RAFI	73935X567	X			2/16/2011	10/24/2016	57,122	37,829							19,293
414 POWERSHARES FTSE RAFI	73935X567	X			10/1/2014	10/24/2016	1,869	1,670							193
415 POWERSHARES FTSE RAFI	73935X567	X			10/2/2014	10/24/2016	3,298	3,298							0
416 POWERSHARES FTSE RAFI	73935X567	X			2/3/2015	10/24/2016	835	900							-65
417 POWERSHARES FTSE RAFI	73935X567	X			5/14/2015	10/24/2016	1,350	1,364							-14
418 PIMCO 1-5 YEAR US TIPS	72501R205	X			6/2/2016	10/28/2016	57,034	56,663							351
419 POWERSHARES FTSE RAFI	73935X567	X			7/6/2015	10/24/2016	2,077	2,055							22
420 POWERSHARES FTSE RAFI	73935X567	X			10/2/2015	10/24/2016	4,570	4,011							559
421 POWERSHARES FTSE RAFI	73935X567	X			6/27/2016	10/24/2016	27,730	24,465							3,265
422 RANGE RESOURCES CORP	75281A109	X			11/24/2015	6/27/2016	1,313	956							357
423 PIONEER NATURAL RES CO	723787107	X			9/23/2014	10/24/2016	21,189	18,165							3,023
424 PIONEER NATURAL RES CO	723787107	X			10/1/2014	10/24/2016	7,135	7,652							-517
425 REPUBLIC SERVICES INC	760759100	X			2/16/2011	10/24/2016	6,887	4,088							2,779
426 REPUBLIC SERVICES INC	760759100	X			10/1/2014	10/24/2016	303	207							96
427 REPUBLIC SERVICES INC	760759100	X			10/1/2014	10/24/2016	151	114							37
428 REPUBLIC SERVICES INC	760759100	X			4/1/2015	10/24/2016	303	242							61
429 REPUBLIC SERVICES INC	760759100	X			5/14/2015	10/24/2016	151	122							29
430 PIONEER NATURAL RES CO	723787107	X			2/3/2015	10/24/2016	1,281	1,134							147
431 PIONEER NATURAL RES CO	723787107	X			7/6/2015	10/24/2016	252	198							54



Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Capital Gains/Losses		Gross Sales	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
								Gross Sales Price	Other sales						
605 BAYER AG SP ADR	072730302	X			4/1/2015	10/24/2016	198	303	3,932,781						315,593
606 BAYER AG SP ADR	072730302	X			7/6/2015	10/24/2016	1,863	2,323							-105
607 BAYER AG SP ADR	072730302	X			10/2/2014	10/24/2016	991	1,155							-840
608 BAYER AG SP ADR	072730302	X			10/21/2014	10/24/2016	3,367	4,522							-264
609 BAYER AG SP ADR	072730302	X			2/16/2011	10/24/2016	4,555	3,527							-1,155
610 BAYER AG SP ADR	072730302	X			9/23/2014	10/24/2016	6,634	9,592							-1,028
611 BAYER AG SP ADR	072730302	X			10/1/2014	10/24/2016	297	418							-2,959
612 BAYER AG SP ADR	072730302	X			5/14/2015	10/24/2016	594	886							-121
613 BECTON DICKINSON CO	075887109	X			10/1/2014	10/24/2016	346	227							-304
614 BECTON DICKINSON CO	075887109	X			10/16/2011	10/24/2016	7,604	3,535							-4,069
615 BANCO SANTANDER SA ADR	05984H105	X			4/21/2014	5/16/2016	6,654	14,316							-7,662
616 BANCO SANTANDER SA ADR	05984H105	X			11/19/2015	5/16/2016	101	124							-23
617 BECTON DICKINSON CO	075887109	X			4/21/2014	10/24/2016	6,740	4,449							-2,291
618 BECTON DICKINSON CO	075887109	X			10/2/2015	10/24/2016	864	670							194
619 BECTON DICKINSON CO	075887109	X			2/9/2016	10/24/2016	57,032	43,946							13,088
620 BECTON DICKINSON CO	075887109	X			5/14/2015	10/24/2016	346	282							64
621 BECTON DICKINSON CO	075887109	X			3/3/2016	10/24/2016	7,604	6,544							1,060
622 BECTON DICKINSON CO	075887109	X			4/1/2015	10/24/2016	348	283							63
623 BRITISH AMN TOBACO SPAD	110448107	X			8/11/2011	10/24/2016	9,116	6,974							2,142
624 ISHARES S&P 500	464287309	X			10/21/2014	10/12/2016	43,227	37,775							5,452
625 ISHARES S&P 500	464287309	X			7/6/2015	10/12/2016	1,201	1,144							57
626 ISHARES S&P 500	464287309	X			2/3/2015	10/12/2016	360	334							26
627 ISHARES S&P 500	464287309	X			4/1/2015	10/12/2016	961	906							55
628 ISHARES S&P 500	464287309	X			10/2/2015	10/12/2016	2,281	2,067							214
629 IVY SCIENCE	466001807	X			7/6/2015	1/12/2016	51	60							9
630 IVY SCIENCE	466001807	X			2/16/2011	1/12/2016	9,897	7,249							2,608
631 IVY SCIENCE	466001807	X			10/1/2014	1/12/2016	51	47							4
632 IVY SCIENCE	466001807	X			10/1/2014	1/12/2016	202	227							-25
633 IVY SCIENCE	466001807	X			10/21/2014	1/12/2016	354	389							-35
634 IVY SCIENCE	466001807	X			10/2/2015	1/12/2016	6	6							0
635 IVY SCIENCE	466001807	X			10/2/2015	1/12/2016	51	56							-5
636 IVY SCIENCE	466001807	X			2/3/2015	1/12/2016	51	57							-6
637 BRITISH AMN TOBACO SPAD	110448107	X			10/1/2014	10/24/2016	342	396							4
638 BRITISH AMN TOBACO SPAD	110448107	X			10/2/2015	10/24/2016	1,028	1,001							25
639 BRITISH AMN TOBACO SPAD	110448107	X			4/21/2014	10/24/2016	1,254	1,285							-11
640 BRITISH AMN TOBACO SPAD	110448107	X			2/16/2011	10/24/2016	6,381	4,388							1,993
641 BRITISH AMN TOBACO SPAD	110448107	X			4/1/2015	10/24/2016	2,393	2,209							184
642 BRITISH AMN TOBACO SPAD	110448107	X			10/21/2014	10/24/2016	684	676							8
643 ISHARES IBOXX \$	464287242	X			4/1/2015	10/28/2016	2,760	2,808							-26
644 ISHARES IBOXX \$	464287242	X			5/14/2015	10/28/2016	4,352	4,243							109
645 ISHARES IBOXX \$	464287242	X			7/6/2015	10/28/2016	1,572	1,506							68
646 ISHARES IBOXX \$	464287242	X			10/2/2015	10/28/2016	3,385	3,259							126
647 ISHARES S&P 500	464287309	X			10/21/2014	10/12/2016	1,441	1,259							182
648 JOHNSON AND JOHNSON C	478180104	X			2/3/2015	5/10/2016	803	714							89
649 JOHNSON AND JOHNSON C	478180104	X			4/1/2015	5/10/2016	1,032	882							140
650 JOHNSON AND JOHNSON C	478180104	X			5/14/2015	5/10/2016	115	102							13
651 JOHNSON AND JOHNSON C	478180104	X			7/6/2015	5/10/2016	688	589							99
652 JOHNSON AND JOHNSON C	478180104	X			12/30/2008	5/10/2016	918	747							171
653 JOHNSON AND JOHNSON C	478180104	X			5/22/2014	5/10/2016	7,227	3,711							3,516
654 JOHNSON AND JOHNSON C	478180104	X			2/16/2011	5/10/2016	7,112	6,254							858
655 JOHNSON CONTROLS INC	478366107	X			10/21/2014	3/3/2016	754	842							-88
656 JOHNSON CONTROLS INC	478366107	X			10/21/2014	3/3/2016	1,959	1,637							-322
657 JOHNSON CONTROLS INC	478366107	X			5/14/2015	3/3/2016	228	303							-77
658 JOHNSON CONTROLS INC	478366107	X			7/6/2015	3/3/2016	38	50							-12
659 JOHNSON CONTROLS INC	478366107	X			10/2/2015	3/3/2016	566	630							-64
660 KIMCO REALTY CORP MD CC	49446R109	X			2/16/2011	10/24/2016	688	3,642							2,954
661 KIMCO REALTY CORP MD CC	49446R109	X			4/21/2014	10/24/2016	335	268							67
662 KIMCO REALTY CORP MD CC	49446R109	X			10/1/2014	10/24/2016	168	130							38
663 KIMCO REALTY CORP MD CC	49446R109	X			8/22/2012	3/3/2016	7,543	5,402							2,141
664 KIMCO REALTY CORP MD CC	49446R109	X			5/14/2015	10/24/2016	615	680							35
665 KIMCO REALTY CORP MD CC	49446R109	X			10/2/2015	10/24/2016	782	680							102
666 KRAFT FOODS INC	50075N899	X			10/22/2014	2/9/2016	4,000	4,000							0
667 KIMCO REALTY CORP MD CC	49446R109	X			7/6/2015	10/24/2016	447	370							77
668 KIMCO REALTY CORP MD CC	49446R109	X			10/2/2015	10/24/2016	363	320							43
669 KROGER CO	501044101	X			10/1/2014	10/24/2016	374	310							64
670 KROGER CO	501044101	X			10/21/2014	10/24/2016	27,855	23,653							3,822
671 KROGER CO	501044101	X			5/14/2015	10/24/2016	1,587	1,370							-217
672 ISHARES TIPS	464287176	X			4/21/2014	10/28/2016	23,816	23,309							507
673 ISHARES TIPS	464287176	X			10/1/2014	10/28/2016	2,372	2,372							0
674 ISHARES TIPS	464287176	X			10/21/2014	10/28/2016	1,139	1,139							0
675 ISHARES TIPS	464287176	X			2/3/2015	10/28/2016	8,127	6,098							-2,029
676 ISHARES TIPS	464287176	X			4/1/2015	10/28/2016	4,924	4,580							44

Part 1, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Capital Gains/Losses		Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
								Amount	Totals							
Long Term CG Distributions	638	0						Gross Sales	3,932,781	0	3,932,781	0	3,617,188	0	315,593	
Short Term CG Distributions	0	0							0	0	0	0	0	0	0	0
577 ISHARES TIPS	464287176	X				5/14/2015	10/28/2016	5,896	5,731	165						0
578 ISHARES TIPS	464287176	X				7/6/2015	10/28/2016	5,588	5,63	15						0
580 ISHARES BOX \$	464287242	X				4/21/2014	10/28/2016	57,904	5,588	193						0
581 ISHARES BOX \$	464287242	X				10/1/2014	10/28/2016	1,330	1,305	1,559						0
582 ISHARES BOX \$	464287242	X				10/21/2014	10/28/2016	121	120	25						0
583 ISHARES BOX \$	464287242	X				2/3/2015	10/28/2016	2,901	2,945	1						0
584 JPMORGAN CHASE & CO	46625HHX1	X				10/22/2014	3/1/2016	5,000	5,000	-44						0
585 JOHNSON AND JOHNSON C	478160104	X				10/1/2014	5/10/2016	344	312	32						0
586 JOHNSON AND JOHNSON C	478160104	X				10/21/2014	5/10/2016	229	200	29						0
587 KROGER CO	501044101	X				10/2/2015	10/24/2016	1,619	1,912	-283						0
588 KROGER CO	501044101	X				9/23/2014	10/24/2016	4,983	4,183	800						0
589 LKQ CORP	501889208	X				2/16/2011	10/24/2016	10,195	3,977	6,218						0
590 LKQ CORP	501889208	X				10/1/2014	10/24/2016	430	347	83						0
591 LKQ CORP	501889208	X				10/21/2014	10/24/2016	563	460	103						0
592 LKQ CORP	501889208	X				10/2/2015	10/24/2016	960	827	133						0
593 LKQ CORP	501889208	X				2/3/2015	10/24/2016	1,423	1,152	271						0
594 MATTHEWS ASIA DIVIDEND	571125107	X				10/21/2014	10/28/2016	400	373	27						0
595 LKQ CORP	501889208	X				4/1/2015	10/24/2016	1,920	1,475	445						0
596 UNITEDHEALTH GROUP INC	91324P102	X				10/24/2016	10/24/2016	722	587	135						0
597 UNITEDHEALTH GROUP INC	91324P102	X				10/22/2014	3/15/2016	3,000	3,000	0						0
598 V F CORPORATION	918204108	X				2/16/2011	10/24/2016	6,186	2,506	3,680						0
599 V F CORPORATION	918204108	X				3/2/2012	10/24/2016	5,333	3,682	1,651						0
600 V F CORPORATION	918204108	X				10/1/2014	10/24/2016	267	328	-61						0
601 V F CORPORATION	918204108	X				5/14/2015	10/24/2016	900	1,062	-162						0
602 V F CORPORATION	918204108	X				7/6/2015	10/24/2016	53	71	-18						0
603 V F CORPORATION	918204108	X				10/2/2015	10/24/2016	830	830	0						0
604 VALERO ENERGY CORP NEW	91913Y100	X				5/3/2016	10/24/2016	10,920	11,277	-357						0
605 VANGUARD LONG TERM BO	921937793	X				10/1/2014	10/28/2016	1,137	1,090	47						0
606 WSTN DIGITAL CORP DEL	958102105	X				12/30/2008	6/27/2016	1,339	358	981						0
607 WSTN DIGITAL CORP DEL	958102105	X				11/2/2009	6/27/2016	1,046	863	183						0
608 WSTN DIGITAL CORP DEL	958102105	X				5/12/2014	6/27/2016	2,134	4,163	-2,049						0
609 WSTN DIGITAL CORP DEL	958102105	X				10/1/2014	6/27/2016	84	190	-106						0
610 WSTN DIGITAL CORP DEL	958102105	X				4/1/2015	6/27/2016	586	1,267	-701						0
611 WSTN DIGITAL CORP DEL	958102105	X				7/6/2015	6/27/2016	1,172	2,217	-1,045						0
612 WSTN DIGITAL CORP DEL	958102105	X				10/2/2015	6/27/2016	335	827	-492						0
613 WISDOMTREE LC DIVID FD	97117M307	X				10/28/2014	10/24/2016	27,566	26,036	1,530						0
614 WISDOMTREE LC DIVID FD	97117M307	X				2/3/2015	10/24/2016	303	294	9						0
615 WISDOMTREE LC DIVID FD	97117M307	X				4/1/2015	10/24/2016	1,212	1,168	44						0
616 WISDOMTREE LC DIVID FD	97117M307	X				7/6/2015	10/24/2016	1,212	1,164	48						0
617 WISDOMTREE LC DIVID FD	97117M307	X				10/2/2015	10/24/2016	1,515	1,351	164						0
618 ALLERGAN PLC	G0171J108	X				5/10/2016	10/24/2016	8,237	8,028	208						0
619 ACCENTURE PLC SHS	G1151C101	X				11/11/2015	10/24/2016	8,083	7,394	689						0
620 VANGUARD LONG TERM BO	921937793	X				10/21/2014	10/28/2016	48,627	47,684	933						0
621 VANGUARD LONG TERM BO	921937793	X				2/3/2015	10/28/2016	378	386	-16						0
622 VANGUARD LONG TERM BO	921937793	X				4/1/2015	10/28/2016	2,749	2,820	-71						0
623 VANGUARD LONG TERM BO	921937793	X				5/14/2015	10/28/2016	6,067	5,748	319						0
624 VANGUARD LONG TERM BO	921937793	X				7/6/2015	10/28/2016	1,811	1,496	315						0
625 VANGUARD LONG TERM BO	921937793	X				10/2/2015	10/28/2016	2,844	2,702	142						0
626 VENTAS INC	92276F100	X				2/16/2011	10/24/2016	4,042	2,879	1,163						0
627 VENTAS INC	92276F100	X				1/8/2014	10/24/2016	1,233	910	323						0
628 VENTAS INC	92276F100	X				4/21/2014	10/24/2016	6,714	5,552	1,162						0
629 VENTAS INC	92276F100	X				10/1/2014	10/24/2016	274	217	57						0
630 VENTAS INC	92276F100	X				4/1/2015	10/24/2016	1,439	1,332	107						0
631 VENTAS INC	92276F100	X				5/14/2015	10/24/2016	1,576	1,356	220						0
632 VENTAS INC	92276F100	X				7/6/2015	10/24/2016	1,370	1,091	279						0
633 VENTAS INC	92276F100	X				10/2/2015	10/24/2016	891	724	167						0
634 USD VODAFONE GROUP	92857NAX8	X				10/22/2014	6/1/2016	2,006	2,005	1						0
635 WAL-MART STORES	931142CB7	X				10/22/2014	4/5/2016	1,238	1,187	51						0
636 WAL-MART STORES	931142CB7	X				7/12/2016	10/24/2016	4,014	3,557	457						0
637 WELLS FARGO & CO NEW DE	949746101	X				4/21/2015	10/24/2016	18,322	21,974	-3,652						0
638 WELLS FARGO & CO NEW DE	949746101	X				10/2/2015	10/24/2016	909	1,013	-104						0
639 MATTHEWS CHINA FUND	571130701	X				4/1/2015	6/27/2016	92	139	-47						0
640 MATTHEWS CHINA FUND	571130701	X				10/2/2015	6/27/2016	15	22	-7						0
641 MATTHEWS CHINA FUND	571130701	X				10/2/2015	6/27/2016	1,760	2,384	-624						0
642 MATTHEWS CHINA FUND	571130701	X				10/2/2015	6/27/2016	3	4	-1						0
643 METLIFE INC COM	59156R108	X				2/16/2011	10/24/2016	3,401	3,478	-77						0
644 METLIFE INC COM	59156R108	X				10/1/2014	10/24/2016	93	105	-12						0
645 MATTHEWS CHINA FUND	571130701	X				2/3/2015	6/27/2016	1,086	1,589	-503						0
646 METLIFE INC COM	59156R108	X				10/21/2014	10/24/2016	93	101	-8						0
647 METLIFE INC COM	59156R108	X				2/3/2015	10/24/2016	326	341	-15						0
648 METLIFE INC COM	59156R108	X				4/1/2015	10/24/2016	47	50	-3						0

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expenses of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss	Totals	
															Gross Sales	Net Gain or Loss
649 MATTHEWS ASIA DIVIDEND	577125107	X				10/28/2016	10/28/2016	17	15					2	3,932,781	315,593
650 MATTHEWS ASIA DIVIDEND	577125107	X				2/3/2015	10/28/2016	2,667	2,516					151	0	0
651 MATTHEWS ASIA DIVIDEND	577125107	X				2/3/2015	10/28/2016	17	16					1	0	0
652 MATTHEWS CHINA FUND	577130701	X				2/5/2013	6/27/2016	22,491	35,338					-12,847	0	0
653 MATTHEWS CHINA FUND	577130701	X				10/1/2014	6/27/2016	7,375	10,233					-2,858	0	0
654 MATTHEWS CHINA FUND	577130701	X				10/1/2014	6/27/2016	719	956					-276	0	0
655 MATTHEWS CHINA FUND	577130701	X				10/1/2014	6/27/2016	15	22					-7	0	0
656 MATTHEWS CHINA FUND	577130701	X				10/21/2014	6/27/2016	189	281					-82	0	0
657 METLIFE INC COM	59156R108	X				10/2/2015	10/24/2016	513	513					0	0	0
658 METLIFE INC COM	59156R108	X				10/2/2015	10/24/2016	5,498	5,500					-2	0	0
659 MONSANTO CO NEW DEL C	61166W101	X				2/16/2011	3/3/2016	5,107	4,390					717	0	0
660 MONSANTO CO NEW DEL C	61166W101	X				4/21/2014	3/3/2016	85	113					-28	0	0
661 MONSANTO CO NEW DEL C	61166W101	X				10/1/2014	3/3/2016	170	21					-154	0	0
662 MONSANTO CO NEW DEL C	61166W101	X				2/3/2015	3/3/2016	65	120					-55	0	0
663 MONSANTO CO NEW DEL C	61166W101	X				4/1/2015	3/3/2016	428	580					-154	0	0
664 MONSANTO CO NEW DEL C	61166W101	X				7/6/2015	3/3/2016	681	862					-181	0	0
665 MORGAN STANLEY	6174467U7	X				10/22/2014	5/9/2016	6,061	6,024					37	0	0
666 NABORS INDUSTRIES INC	629568A09	X				10/22/2014	7/12/2016	4,185	4,284					-99	0	0
667 NETEASE COM INC ADR	64110W102	X				4/21/2015	10/24/2016	18,614	8,148					10,466	0	0
668 LIG CORP	501889208	X				3/2/2012	10/24/2016	7,282	3,575					3,707	0	0
669 LABORATORY CP AMER HLD	50540R409	X				2/16/2011	3/3/2016	4,959	3,974					1,025	0	0
670 LABORATORY CP AMER HLD	50540R409	X				4/21/2014	3/3/2016	333	303					30	0	0
671 LABORATORY CP AMER HLD	50540R409	X				10/1/2014	3/3/2016	111	102					9	0	0
672 LABORATORY CP AMER HLD	50540R409	X				10/21/2014	3/3/2016	222	202					20	0	0
673 LABORATORY CP AMER HLD	50540R409	X				5/14/2015	3/3/2016	222	234					-12	0	0
674 LABORATORY CP AMER HLD	50540R409	X				10/2/2015	3/3/2016	333	334					-1	0	0
675 LITHIA MOTORS INC CL A	536797103	X				3/3/2016	10/24/2016	12,881	14,025					-1,144	0	0
676 LOCKHEED MARTIN CORP	539830109	X				2/6/2014	10/24/2016	18,317	11,985					6,352	0	0
677 LOCKHEED MARTIN CORP	539830109	X				10/1/2014	10/24/2016	464	356					108	0	0
678 LOCKHEED MARTIN CORP	539830109	X				5/14/2015	10/24/2016	696	579					117	0	0
679 LOCKHEED MARTIN CORP	539830109	X				7/6/2015	10/24/2016	696	563					133	0	0
680 LOCKHEED MARTIN CORP	539830109	X				10/2/2015	10/24/2016	927	619					308	0	0
681 LOOMIS SAYLES SECURITIZ	543495741	X				10/22/2014	10/28/2016	181,219	186,397					-5,178	0	0
682 LOOMIS SAYLES SECURITIZ	543495741	X				10/28/2014	10/28/2016	651	665					-14	0	0
683 LOOMIS SAYLES SECURITIZ	543495741	X				9/8/2015	10/28/2016	4,904	4,936					-32	0	0
684 LOOMIS SAYLES SECURITIZ	543495741	X				3/16/2016	10/28/2016	3,959	3,943					16	0	0
685 LOOMIS SAYLES HI INCOME	543495758	X				10/22/2014	6/16/2016	6,740	7,239					-499	0	0
686 LOOMIS SAYLES HI INCOME	543495758	X				10/22/2014	10/28/2016	7,703	7,870					-167	0	0
687 LOOMIS SAYLES HI INCOME	543495758	X				12/12/2014	10/28/2016	20,974	20,499					475	0	0
688 LOOMIS SAYLES HI INCOME	543495758	X				12/18/2014	10/28/2016	234	233					1	0	0
689 LOOMIS SAYLES HI INCOME	543495758	X				9/8/2015	10/28/2016	926	906					20	0	0
690 LOOMIS SAYLES HI INCOME	543495758	X				10/15/2015	10/28/2016	5,607	5,412					195	0	0
691 LORD ABBETT VALUE	54400A605	X				2/16/2011	6/27/2016	8,226	8,299					-73	0	0
692 LORD ABBETT VALUE	54400A605	X				10/1/2014	6/27/2016	215	250					-35	0	0
693 LORD ABBETT VALUE	54400A605	X				10/21/2014	6/27/2016	538	630					-92	0	0
694 LORD ABBETT VALUE	54400A605	X				2/3/2015	6/27/2016	18	21					-3	0	0
695 LORD ABBETT VALUE	54400A605	X				2/3/2015	6/27/2016	1,202	1,330					-128	0	0
696 LORD ABBETT VALUE	54400A605	X				5/14/2015	6/27/2016	179	208					-29	0	0
697 LORD ABBETT VALUE	54400A605	X				7/7/2015	6/27/2016	18	21					-3	0	0
698 LORD ABBETT VALUE	54400A605	X				10/2/2015	6/27/2016	18	20					-2	0	0
699 LORD ABBETT VALUE	54400A605	X				10/2/2015	6/27/2016	556	589					-33	0	0
700 UNITEDHEALTH GROUP INC	91324P102	X				1/9/2011	10/24/2016	15,020	3,850					11,170	0	0
701 UNITEDHEALTH GROUP INC	91324P102	X				10/1/2014	10/24/2016	433	254					179	0	0
702 CREDITCORP LTD COM PV \$5	G2519Y108	X				10/1/2014	10/24/2016	8,575	8,680					-105	0	0
703 CREDITCORP LTD COM PV \$5	G2519Y108	X				10/1/2014	10/24/2016	301	304					-3	0	0
704 CREDITCORP LTD COM PV \$5	G2519Y108	X				10/21/2014	10/24/2016	752	764					-12	0	0
705 CREDITCORP LTD COM PV \$5	G2519Y108	X				2/3/2015	10/24/2016	752	767					-15	0	0
706 CREDITCORP LTD COM PV \$5	G2519Y108	X				4/1/2015	10/24/2016	903	876					27	0	0
707 CREDITCORP LTD COM PV \$5	G2519Y108	X				7/6/2015	10/24/2016	1,053	964					89	0	0
708 CREDITCORP LTD COM PV \$5	G2519Y108	X				8/28/2015	10/24/2016	2,558	1,903					655	0	0
709 CREDITCORP LTD COM PV \$5	G2519Y108	X				10/2/2015	10/24/2016	903	626					277	0	0
710 EATON CORP PLC	G29183103	X				5/1/2015	10/24/2016	7,938	8,384					-446	0	0
711 EATON CORP PLC	G29183103	X				7/6/2015	10/24/2016	315	320					-5	0	0
712 EATON CORP PLC	G29183103	X				10/2/2015	10/24/2016	504	388					116	0	0
713 ENDURANCE SPECIALTY HL	G30397106	X				5/13/2015	10/4/2016	22,639	15,837					6,802	0	0
714 ENDURANCE SPECIALTY HL	G30397106	X				10/2/2015	10/4/2016	1,045	732					313	0	0
715 GOLAR LNG LIMITED	G9456A100	X				10/19/2013	10/24/2016	4,463	6,885					-2,422	0	0
716 GOLAR LNG LIMITED	G9456A100	X				10/1/2014	10/24/2016	72	191					-119	0	0
717 GOLAR LNG LIMITED	G9456A100	X				2/3/2015	10/24/2016	2,243	3,045					-802	0	0
718 GOLAR LNG LIMITED	G9456A100	X				4/1/2015	10/24/2016	72	101					-29	0	0
719 GOLAR LNG LIMITED	G9456A100	X				10/2/2015	10/24/2016	382	460					-78	0	0
720 CHUBB LTD	H1467J104	X				10/4/2016	10/24/2016	17,460	17,569					-109	0	0

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Totals		Gross Sales	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
								Capital Gains/Losses	Other Sales						
Long Term CG Distributions	638									3,532,781					315,593
Short Term CG Distributions	0									0					0
721 LYONDELLBASELL INDUSTRI	N53745100	X				4/23/2015	10/24/2016	7,742	9,330						-1,588
722 LYONDELLBASELL INDUSTRI	N53745100	X				10/2/2015	10/24/2016	407	485						-78
723 LYONDELLBASELL INDUSTRI	N53745100	X				6/27/2016	10/24/2016	8,883	7,770						1,113
724 NXP SEMICONDUCTORS N V	N6596X109	X				2/7/2014	8/1/2016	6,382	3,829						2,553
725 NXP SEMICONDUCTORS N V	N6596X109	X				10/1/2014	8/1/2016	168	132						36
726 NXP SEMICONDUCTORS N V	N6596X109	X				10/2/2015	8/1/2016	336	337						-1
727 ACCRUED MARKET DISCOUN		X				12/31/2016	12/31/2016	-52	0						-52

**Part I, Line 11 (990-PF) - Other Income**

		83,245	83,245	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	ROYALTY	83,245	83,245	

**Part I, Line 16a (990-PF) - Legal Fees**

		893	0	0	893
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	LEGAL FEES	893			893

**Part I, Line 18 (990-PF) - Taxes**

		5,772	762	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	762	762		
2	ESTIMATED EXCISE PAYMENTS	5,010			

**Part I, Line 23 (990-PF) - Other Expenses**

		13,179	13,084	0	95
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	INVESTMENT FEES	46	46		
2	STATE AG FEES	25	0		25
3	ROYALTY EXPENSES	13,038	13,038		
4	MISC CHARITABLE EXPENSES	70	0		70

**Part II, Line 10a (990-PF) - Investments - U.S. and State Government Obligations**

	Description	Num Shares/ Face Value		Book Value		FMV		State/Local Obligation
		Federal	State/Local	End of Year	Beg. of Year	Beg. of Year	End of Year	
		106,012	0	0	0	0	0	0
1	FEDERAL NATL MTG ASSN M/T/N			12,830	0			
2	U.S. TREASURY BOND			7,205				
3	U.S. TREASURY BOND			14,044				
4	U.S. TREASURY BOND			5,262				
5	U.S. TREASURY BOND			5,955				
6	U.S. TREASURY NOTE			5,000				
7	U.S. TREASURY NOTE			18,967				
8	U.S. TREASURY NOTE			2,942				
9	U.S. TREASURY NOTE			7,914				
10	U.S. TREASURY NOTE			14,945				
11	U.S. TREASURY NOTE			3,873				
12	U.S. TREASURY NOTE			2,066				
13	U.S. TREASURY NOTE			4,989				

**Part II, Line 10b (990-PF) - Investments - Corporate Stock**

	Description	Num Shares/ Face Value	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
1			1,130,529	2,532,738	0	2,626,464
2	MEDTRONIC PLC SHS	0		106,442		92,457
3	AIR PRODUCTS AND CHEMICALS INC CO	0		104,759		110,022
4	ALTRIA GROUP INC	0		112,208		118,403
5	AMGEN INC	0		110,341		104,394
6	AUTOMATIC DATA PROCESSING INC COM	0		101,910		121,383
7	CSX CORP COM	0		99,280		112,425
8	CVS CORP	0		128,179		130,202
9	COCA-COLA CO USD	0		110,342		108,915
10	EI DU PONT DE NEMOURS & CO COMM	0		107,863		112,082
11	EXXON MOBIL CORP	0		106,278		110,839
12	GENERAL ELECTRIC CO	0		103,660		110,821
13	HOME DEPOT INC USD 0 05	0		107,386		111,823
14	HONEYWELL INTL INC	0		103,850		110,058
15	JOHNSON & JOHNSON COM	0		102,774		103,574
16	KIMBERLY-CLARK CORP COM	0		106,674		108,528
17	ELI LILLY & CO COM	0		93,190		87,451
18	MICROSOFT CORP COM	0		110,359		113,778
19	PROCTER & GAMBLE CO COM	0		109,201		109,220
20	RAYTHEON CO	0		95,778		102,950
21	SANOFI-SYNTHELABO	0		98,518		103,688
22	TARGET CORP	0		105,289		108,706
23	3M CO	0		107,184		111,071
24	UNION PACIFIC CORP	0		99,539		113,944
25	UNITED TECHNOLOGIES CORP	0		101,734		109,730

## Part II, Line 10c (990-PF) - Investments - Corporate Bonds

	Description	Interest Rate	Maturity Date	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
1				146,031	534,966	0	534,262
2	AFLAC INC			0	5,075		5,146
3	AT&T INC			0	4,176		4,130
4	ALCOA INC			0	2,088		2,085
5	ALTRIA GROUP INC			0	1,973		2,002
6	ANHEUSER-BUSCH INBEV FIN			0	4,221		4,071
7	ANHEUSER-BUSCH INBEV FIN			0	34,319		34,516
8	APPLE INC			0	4,858		4,859
9	BP CAPITAL MARKETS PLC			0	4,163		4,085
10	BANK OF NEW YORK MELLON			0	5,186		5,145
11	BERKSHIRE HATHAWAY INC			0	12,674		12,760
12	BURLINGTN NORTH SANTA FE			0	4,275		4,302
13	CCO HLDGS LLC/CAP CORP			0	2,111		2,072
14	CATERPILLAR INC			0	2,992		2,959
15	CITIGROUP INC			0	2,032		2,046
16	CITIGROUP INC			0	3,000		3,101
17	COMCAST CORP			0	18,167		18,222
18	CONOCOPHILLIPS COMPANY			0	2,137		2,124
19	DEERE & CO			0	36,659		36,401
20	JOHN DEERE CAPITAL CORP			0	5,094		5,000
21	DEUTSCHE BANK AG			0	2,991		2,950
22	DOMINION RESOURCES INC			0	3,244		3,225
23	EBAY INC			0	3,063		3,099
24	ENERGY TRANSFER PARTNERS			0	2,133		2,125
25	EXPRESS SCRIPTS HOLDING			0	3,051		2,904
26	GENERAL ELEC CAP CORP			0	5,455		5,640
27	GENERAL ELEC CAP CORP			0	26,781		26,494
28	GEORGIA PAC CORP			0	2,759		2,692
29	GOLDMAN SACHS GROUP INC			0	4,202		4,208
30	HSBC HOLDINGS PLC			0	3,296		3,242
31	IBM CORP DEB 6 22% 8/01/27			0	34,703		35,003
32	IBM CORP			0	4,567		5,001
33	JPMORGAN CHASE & CO			0	41,435		41,271
34	MCDONALD'S CORP			0	2,131		2,124
35	MORGAN STANLEY			0	36,864		36,191
36	MORGAN STANLEY			0	5,085		5,024
37	NEWMONT MINING CORP			0	2,992		3,049
38	NISOURCE FINANCE CORP			0	2,295		2,307
39	ORACLE CORP			0	12,632		12,584
40	OWENS CORNING INC			0	2,426		2,371
41	PACIFIC GAS & ELECTRIC			0	4,946		5,114
42	PROV ST JOSEPH HLTH OBL			0	6,329		6,459
43	ROYAL BANK OF CANADA			0	2,996		2,983
44	SHELL INTERNATIONAL FIN			0	2,649		2,579
45	STATOIL ASA			0	2,982		2,982
46	TIME WARNER INC			0	2,475		2,446

**Part II, Line 10c (990-PF) - Investments - Corporate Bonds**

		146,031	534,966	0	534,262
		Book Value	Book Value	FMV	FMV
		End of Year	End of Year	End of Year	End of Year
		0	0	0	0
		3,044	21,355	21,480	21,480
		0	2,144	2,138	2,138
		0	2,549	2,389	2,389
		0	43,730	43,719	43,719
		0	30,805	30,860	30,860
		0	4,961	5,036	5,036
		0	2,977	2,909	2,909
		0	4,451	4,066	4,066
		0	4,304	4,298	4,298
		0	34,964	35,221	35,221
47	TIME WARNER CABLE INC				
48	TOYOTA MOTOR CREDIT CORP				
49	21ST CENTY FOX AMER INC				
50	21ST CENTY FOX AMER INC				
51	UBS AG STAMFORD CT				
52	UNITED TECH CORP DEB 8.875% 11/15/19				
53	VERIZON COMMUNICATIONS				
54	VODAFONE GROUP PLC				
55	WAL-MART STORES INC				
56	WELLS FARGO & CO				
57	WELLS FARGO				

**Part II, Line 13 (990-PF) - Investments - Other**

Asset Description		Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1			1,605,433	0	419,321
2			0	0	419,321

**Part III (990-PF) - Changes in Net Assets or Fund Balances**

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**Line 3 - Other increases not included in Part III, Line 2**

1	Purchase of Accrued Interest c/o from PY	1	172
2	Federal Excise Tax Refund	2	2,076
3	Cost Basis Adjustment	3	2,358
4	Mutual Fund and CTF Income Addition	4	96
5	Total	5	4,702

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**Line 5 - Decreases not included in Part III, Line 2**

1	Bond Amortization	1	224
2	Purchase of Accrued Interest c/o to Next Year	2	5,964
3	Total	3	6,188

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Short Term CG Distributions		Amount		3,932,143		3,617,188		314,955		314,955	
Description of Property Sold	CUSIP #	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/16	Adjusted Basis as of 12/31/16	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses	
1	INVESCO FLOATING RATE	2/17/2015	6/27/2016	78,758			82,059	-5,301					
2	INVESCO FLOATING RATE	7/6/2015	8/27/2016	1,607			1,709	-102					
3	INVESCO FLOATING RATE	10/2/2015	6/27/2016	4,315			4,410	-95					
4	INVESCO FLOATING RATE	5/14/2015	6/27/2016	2,172			2,340	-168					
5	INVESCO FLOATING RATE	10/2/2015	6/27/2016	5,799			6,216	-417					
6	INVESCO FLOATING RATE	2/16/2011	3/23/2016	1			22,387	1,429					
7	INVESCO FLOATING RATE	3/22/2016	10/6/2016	4,456			4,389	87					
8	AT&T INC	2/16/2011	3/23/2016	9,522			8,718	804					
9	AT&T INC	2/16/2011	3/23/2016	16,632			16,617	15					
10	AMGEN YACKTMAN FOCUSED	10/12/2016	10/24/2016	31			27	4					
11	AMGEN YACKTMAN FOCUSED	4/21/2015	10/11/2016	16			13	3					
12	AMGEN YACKTMAN FOCUSED	10/2/2015	10/11/2016	63			57	6					
13	ADVANSIX INC	3/3/2016	10/11/2016	8			8	0					
14	ADVANSIX INC	10/28/2014	10/24/2016	7,084			5,042	2,042					
15	ADVANSIX INC	10/28/2014	10/24/2016	860			1,075	-115					
16	ALCOA INC	10/22/2014	4/5/2016	4,162			4,395	-233					
17	ALCOA INC	10/22/2014	4/5/2016	609			608	1					
18	ALLEXION PHARMS INC	02/0002101	10/24/2016	203			182	21					
19	ALLEXION PHARMS INC	02/0002101	10/24/2016	7,174			6,193	981					
20	ALLEXION PHARMS INC	02/0002101	10/24/2016	880			762	118					
21	ALLSTATE CORP DEL COM	02/0002101	10/24/2016	203			215	-12					
22	ALLSTATE CORP DEL COM	02/0002101	10/24/2016	7,648			8,035	-387					
23	ALLSTATE CORP DEL COM	02/0002101	10/24/2016	487			378	89					
24	ALLSTATE CORP DEL COM	03/027X100	10/24/2016	233			186	47					
25	ALLSTATE CORP DEL COM	03/027X100	10/24/2016	487			830	-337					
26	AMERICAN TOWER REIT INC	4/1/2015	10/24/2016	487			386	81					
27	AMERICAN TOWER REIT INC	4/1/2015	10/24/2016	1,167			4,012	4,389					
28	AMERICAN TOWER REIT INC	3/027X100	10/24/2016	8,401			4,45	138					
29	AMERICAN TOWER REIT INC	03/027X100	10/24/2016	583			2,154	942					
30	AMERICAN TOWER REIT INC	03/027X100	10/24/2016	3,066			3	0					
31	AMERICAN TOWER REIT INC	03/027X100	10/24/2016	33,644			36,262	-2,618					
32	AMERICAN TOWER REIT INC	55273E640	10/29/2016	10,056			10,051	5					
33	AMERICAN TOWER REIT INC	55273E640	10/29/2016	15			16	-1					
34	AMERICAN TOWER REIT INC	55273E640	10/29/2016	1,043			1,049	-6					
35	AMERICAN TOWER REIT INC	55273E640	10/29/2016	1,181			1,15	0					
36	AMERICAN TOWER REIT INC	02/206R102	10/29/2016	527			472	55					
37	AMERICAN TOWER REIT INC	19766H4338	10/29/2016	5			4	1					
38	AMERICAN TOWER REIT INC	19766H4338	10/29/2016	66			58	8					
39	AMERICAN TOWER REIT INC	19766H4338	10/29/2016	132			120	12					
40	AMERICAN TOWER REIT INC	19766H4338	10/29/2016	66			59	7					
41	AMERICAN TOWER REIT INC	19766H4338	10/29/2016	13,758			11,326	2,432					
42	AMERICAN TOWER REIT INC	19766H4338	10/29/2016	66			59	7					
43	AMERICAN TOWER REIT INC	20805P101	10/29/2016	3,576			3,219	357					
44	AMERICAN TOWER REIT INC	20805P101	10/29/2016	197			183	14					
45	AMERICAN TOWER REIT INC	20805P101	10/29/2016	231			230	1					
46	AMERICAN TOWER REIT INC	20805P101	10/29/2016	115			121	-6					
47	AMERICAN TOWER REIT INC	20805P101	10/29/2016	348			299	47					
48	AMERICAN TOWER REIT INC	20805P101	10/29/2016	346			326	20					
49	AMERICAN TOWER REIT INC	20805P101	10/29/2016	115			119	-4					
50	AMERICAN TOWER REIT INC	21036P108	10/29/2016	10,528			7,846	2,682					
51	AMERICAN TOWER REIT INC	21036P108	10/29/2016	509			384	125					
52	AMERICAN TOWER REIT INC	231021106	10/29/2016	252			289	-17					
53	AMERICAN TOWER REIT INC	231021106	10/29/2016	808			767	41					
54	AMERICAN TOWER REIT INC	231021106	10/29/2016	4,534			4,015	519					
55	AMERICAN TOWER REIT INC	231021106	10/29/2016	126			131	-5					
56	AMERICAN TOWER REIT INC	231021106	10/29/2016	504			522	-18					
57	AMERICAN TOWER REIT INC	231021106	10/29/2016	504			550	-46					
58	AMERICAN TOWER REIT INC	231021106	10/29/2016	378			324	54					
59	AMERICAN TOWER REIT INC	231021106	10/29/2016	5,794			4,823	971					
60	AMERICAN TOWER REIT INC	231021106	10/29/2016	7,306			7,318	-12					
61	AMERICAN TOWER REIT INC	24419B105	8/19/2016	348			293	55					
62	AMERICAN TOWER REIT INC	24419B105	8/19/2016	522			559	-37					
63	AMERICAN TOWER REIT INC	24419B105	8/19/2016	174			163	11					
64	AMERICAN TOWER REIT INC	24419B105	8/19/2016	522			574	-52					

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Short Term CG Distributions		Amount		638		0		3,932,143		0		3,617,188		314,955		0		314,955		Gains Minus Excess FMV Over Adj Bases or Losses	
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/16/9	Adjusted Basis as of 12/31/16/9	Excess of FMV Over Adjusted Basis	Excess FMV Over Adj Bases or Losses										
70 DEERE CO	244198105		2/16/2011	8/19/2016	4,003			4,425	-422														
71 DIRECTV HLDGFIN INC	25459HBA2		10/22/2014	3/21/2016	3,329			3,284	45														
72 DIRECTV HLDGFIN INC	25459HBA2		12/17/2014	3/21/2016	1,110			1,078	32														
73 DISNEY (WALT) CO COM STK	254687106		10/1/2014	10/24/2016	837			787	50														
74 DISNEY (WALT) CO COM STK	254687106		9/30/2014	10/24/2016	3,907			3,755	152														
75 DISNEY (WALT) CO COM STK	254687106		8/11/2011	10/24/2016	18,607			6,555	12,052														
76 DISNEY (WALT) CO COM STK	254687106		2/3/2015	10/24/2016	279			279	0														
77 DISNEY (WALT) CO COM STK	254687106		2/16/2011	10/24/2016	12,001			5,630	6,371														
78 DISNEY (WALT) CO COM STK	254687106		10/21/2014	10/24/2016	1,881			1,746	115														
79 DISNEY (WALT) CO COM STK	254687106		10/2/2015	10/24/2016	1,675			1,641	166														
80 DOMINION RES INC NEWVA	25746U109		2/7/2014	10/24/2016	4,231			3,864	367														
81 DOMINION RES INC NEWVA	25746U109		10/1/2014	10/24/2016	365			347	18														
82 DOMINION RES INC NEWVA	25746U109		10/21/2014	10/24/2016	438			421	17														
83 DOMINION RES INC NEWVA	25746U109		10/2/2015	10/24/2016	948			896	52														
84 DOMINION RES INC NEWVA	25746U109		8/27/2013	10/24/2016	4,085			3,293	792														
85 DOMINION RES INC NEWVA	25746U109		4/1/2015	10/24/2016	2,262			2,213	49														
86 DOMINION RES INC NEWVA	25746U109		4/21/2014	10/24/2016	7,222			7,007	215														
87 DOMINION RES INC NEWVA	25746U109		5/14/2015	10/24/2016	511			500	11														
88 DOMINION RES INC NEWVA	25746U109		9/6/2016	10/24/2016	92,722			95,764	-3,042														
89 EATON VANCE ENH EQ INC	2782774105		10/2/2015	10/24/2016	1,312			1,176	136														
90 EATON VANCE ENH EQ INC	2782774105		7/6/2015	10/24/2016	929			926	3														
91 DOMINION RES INC NEWVA	25746U109		7/6/2015	10/24/2016	1,240			1,150	90														
92 EATON VANCE ENH INCOME	278277108		7/6/2015	10/24/2016	2,116			2,043	73														
93 EATON VANCE ENH INCOME	278277108		12/30/2014	10/24/2016	53,588			50,795	2,773														
94 ECOLAB INC	278865100		9/11/2015	10/24/2016	7,802			7,326	476														
95 ECOLAB INC	278865100		10/2/2015	10/24/2016	349			339	10														
96 EXPRESS SCRIPTS INC	302182AF7		10/2/2014	3/2/2016	4,021			4,021	0														
97 FEDERAL NATL MTG ASSOC	31359MGK3		10/22/2014	10/20/2016	13,508			12,663	844														
98 FIRST EAGLE	32008F606		7/6/2015	10/28/2016	2,038			1,921	117														
99 EATON VANCE ENH INCOME	2782774105		5/14/2015	10/24/2016	23,760			24,921	-1,161														
100 EATON VANCE ENH INCOME	278277108		10/2/2015	10/24/2016	3,065			2,876	389														
101 EATON VANCE ENH INCOME	278277108		5/14/2015	10/24/2016	556			553	3														
102 EATON VANCE ENH INCOME	278277108		2/3/2015	10/24/2016	1,356			1,258	98														
103 EATON VANCE ENH INCOME	278277108		4/16/2015	10/24/2016	41			40	1														
104 J HANCOCK PREF INCOME 3	41021P103		7/6/2015	10/6/2016	6,124			5,442	682														
105 J HANCOCK PREF INCOME 3	41021P103		7/6/2015	10/7/2016	4,731			4,212	519														
106 J HANCOCK PREF INCOME 3	41021P103		7/6/2015	10/10/2016	24,837			22,156	2,681														
107 J HANCOCK PREF INCOME 3	41021P103		7/6/2015	10/11/2016	4,311			3,943	368														
108 J HANCOCK PREF INCOME 3	41021P103		7/6/2015	10/12/2016	4,315			3,978	339														
109 J HANCOCK PREF INCOME 3	41021P103		7/6/2015	10/13/2016	1,446			1,348	98														
110 J HANCOCK PREF INCOME 3	41021P103		7/6/2015	10/14/2016	3,638			3,387	251														
111 J HANCOCK PREF INCOME 3	41021P103		7/6/2015	10/17/2016	1,328			1,247	82														
112 J HANCOCK PREF INCOME 3	41021P103		10/2/2015	10/18/2016	5,500			5,017	483														
113 J HANCOCK PREF INCOME 3	41021P103		7/6/2015	10/18/2016	7,581			7,060	521														
114 THE OAKMARK INTL SMALL	413838509		10/1/2014	10/28/2016	436			489	-53														
115 THE OAKMARK INTL SMALL	413838509		2/20/2013	10/28/2016	16,674			16,634	160														
116 THE OAKMARK INTL SMALL	413838509		10/21/2014	10/28/2016	15			15	0														
117 THE OAKMARK INTL SMALL	413838509		2/3/2015	10/28/2016	2,474			2,681	-207														
118 THE OAKMARK INTL SMALL	413838509		2/3/2015	10/28/2016	2,212			2,313	-101														
119 THE OAKMARK INTL SMALL	413838509		10/2/2015	10/28/2016	1,135			1,165	-30														
120 THE OAKMARK INTL SMALL	413838509		10/1/2014	10/28/2016	14			15	-1														
121 HORMEL FOODS CORP	440452100		10/1/2014	10/24/2016	908			613	295														
122 HORMEL FOODS CORP	440452100		2/16/2011	10/24/2016	6,895			2,389	4,496														
123 HORMEL FOODS CORP	440452100		2/3/2015	10/24/2016	454			312	142														
124 HORMEL FOODS CORP	440452100		9/25/2014	10/24/2016	19,748			13,040	6,708														
125 HORMEL FOODS CORP	440452100		10/2/2015	10/24/2016	1,816			1,519	297														
126 HORMEL FOODS CORP	440452100		4/7/2011	10/24/2016	16,268			5,895	10,273														
127 HORMEL FOODS CORP	440452100		5/8/2014	10/24/2016	2,711			1,912	799														
128 INGRESSION INC	457187102		4/21/2015	10/24/2016	30,371			17,949	12,422														
129 ORACLE CORP \$0.01 DEL	68389X105		2/16/2011	10/24/2016	4,547			3,937	610														
130 ORACLE CORP \$0.01 DEL	68389X105		2/7/2014	10/24/2016	3,783			3,687	96														
131 ORACLE CORP \$0.01 DEL	68389X105		4/21/2014	10/24/2016	2,560			2,700	-140														
132 ORACLE CORP \$0.01 DEL	68389X105		10/21/2014	10/24/2016	288			267	1														
133 ORACLE CORP \$0.01 DEL	68389X105		10/21/2014	10/24/2016	841			844	-3														
134 ORACLE CORP \$0.01 DEL	68389X105		4/1/2015	10/24/2016	344			388	-44														
135 ORACLE CORP \$0.01 DEL	68389X105		5/14/2015	10/24/2016	38			44	-6														
136 ORACLE CORP \$0.01 DEL	68389X105		7/6/2015	10/24/2016	1,338			1,409	-71														
137 ORACLE CORP \$0.01 DEL	68389X105		10/2/2015	10/24/2016	688			661	27														
138 PG&E CORP	69331C108		2/16/2011	10/24/2016	3,017			2,296	721														



Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Short Term CG Distributions		Amount	Date		Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F MV as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses
0	638	0	3,932,143	0	3,617,188	314,955												
208	GENL DYNAMICS CORP CO	GENL DYNAMICS CORP CO	368550108	607	10/24/2016	4/1/2015			607	0	0	0	538	71	0	0	0	71
209	GENL DYNAMICS CORP CO	GENL DYNAMICS CORP CO	389550108	10,023	10/24/2016	4/1/2015			10,023	0	0	0	8,800	1,223	0	0	0	1,223
210	GENERAL MILLS	GENERAL MILLS	370334104	6,498	3/7/2016	2/16/2011			6,498	0	0	0	3,920	2,578	0	0	0	2,578
211	GENERAL MILLS	GENERAL MILLS	370334104	9,896	3/7/2016	4/7/2011			9,896	0	0	0	5,947	3,889	0	0	0	3,889
212	GENERAL MILLS	GENERAL MILLS	370334104	358	3/7/2016	10/1/2014			358	0	0	0	300	58	0	0	0	58
213	GENERAL MILLS	GENERAL MILLS	370334104	954	3/7/2016	10/21/2014			954	0	0	0	808	146	0	0	0	146
214	GRIFOLS S A	GRIFOLS S A	38843408	41,724	2/9/2016	8/15/2015			41,724	0	0	0	46,825	-5,101	0	0	0	-5,101
215	GRIFOLS S A	GRIFOLS S A	38843408	2,140	2/9/2016	10/2/2015			2,140	0	0	0	2,328	-188	0	0	0	-188
216	HDFC BANK LTD ADR	HDFC BANK LTD ADR	40415F101	436	10/24/2016	5/19/2015			436	0	0	0	344	92	0	0	0	92
217	HDFC BANK LTD ADR	HDFC BANK LTD ADR	40415F101	290	10/24/2016	10/24/2016			290	0	0	0	249	41	0	0	0	41
218	HDFC BANK LTD ADR	HDFC BANK LTD ADR	40415F101	5,880	10/24/2016	4/8/2015			5,880	0	0	0	4,856	1,024	0	0	0	1,024
219	J HANCOCK PREF INCOME 3	J HANCOCK PREF INCOME 3	41021P103	28,905	6/27/2016	7/6/2015			28,905	0	0	0	25,839	3,066	0	0	0	3,066
220	J HANCOCK PREF INCOME 3	J HANCOCK PREF INCOME 3	41021P103	7,785	10/3/2016	7/6/2015			7,785	0	0	0	6,807	978	0	0	0	978
221	J HANCOCK PREF INCOME 3	J HANCOCK PREF INCOME 3	41021P103	1,433	10/4/2016	7/6/2015			1,433	0	0	0	1,264	169	0	0	0	169
222	J HANCOCK PREF INCOME 3	J HANCOCK PREF INCOME 3	41021P103	7,220	10/5/2016	7/6/2015			7,220	0	0	0	6,419	801	0	0	0	801
223	FIRST EAGLE	FIRST EAGLE	32008F606	1,189	10/28/2016	10/1/2014			1,189	0	0	0	1,154	35	0	0	0	35
224	FIRST EAGLE	FIRST EAGLE	32008F606	57	10/28/2016	7/8/2015			57	0	0	0	54	3	0	0	0	3
225	FIRST EAGLE	FIRST EAGLE	32008F606	340	10/28/2016	5/14/2015			340	0	0	0	333	7	0	0	0	7
226	FIRST EAGLE	FIRST EAGLE	32008F606	50,901	10/28/2016	4/23/2014			50,901	0	0	0	49,825	1,276	0	0	0	1,276
227	FIRST EAGLE	FIRST EAGLE	32008F606	57	10/28/2016	10/1/2014			57	0	0	0	55	2	0	0	0	2
228	FIRST EAGLE	FIRST EAGLE	32008F606	57	10/28/2016	10/21/2014			57	0	0	0	55	2	0	0	0	2
229	FIRST EAGLE	FIRST EAGLE	32008F606	2,265	10/28/2016	10/21/2014			2,265	0	0	0	2,171	94	0	0	0	94
230	FIRST EAGLE	FIRST EAGLE	32008F606	4,133	10/28/2016	2/3/2015			4,133	0	0	0	3,917	216	0	0	0	216
231	FIRST EAGLE	FIRST EAGLE	32008F606	1,698	10/28/2016	4/1/2015			1,698	0	0	0	1,620	78	0	0	0	78
232	FIRST EAGLE	FIRST EAGLE	32008F606	4	10/28/2016	10/2/2015			4	0	0	0	4	0	0	0	0	0
233	FIRST EAGLE	FIRST EAGLE	32008F606	57	10/28/2016	10/2/2015			57	0	0	0	52	5	0	0	0	5
234	FIRST EAGLE	FIRST EAGLE	32008F606	3,171	10/28/2016	11/24/2015			3,171	0	0	0	2,843	328	0	0	0	328
235	FIRST EAGLE GOLD FUND	FIRST EAGLE GOLD FUND	32008F770	20,232	10/28/2016	11/24/2015			20,232	0	0	0	13,182	7,050	0	0	0	7,050
238	FIRST EAGLE GOLD FUND	FIRST EAGLE GOLD FUND	32008F770	15	10/28/2016	11/24/2015			15	0	0	0	10	5	0	0	0	5
237	FLAHERTY & CRUMRINE	FLAHERTY & CRUMRINE	338479108	25,199	10/28/2016	10/10/2016			25,199	0	0	0	28,154	-955	0	0	0	-955
238	FLAHERTY & CRUMRINE	FLAHERTY & CRUMRINE	338479108	4,868	10/28/2016	10/7/2016			4,868	0	0	0	4,989	-121	0	0	0	-121
239	FLAHERTY & CRUMRINE	FLAHERTY & CRUMRINE	338479108	7,668	10/28/2016	10/4/2016			7,668	0	0	0	7,875	-207	0	0	0	-207
240	FLAHERTY & CRUMRINE	FLAHERTY & CRUMRINE	338479108	1,504	10/28/2016	10/4/2016			1,504	0	0	0	1,524	-20	0	0	0	-20
241	SPECTRA ENERGY CORP	SPECTRA ENERGY CORP	847560109	4,984	9/6/2016	2/3/2015			4,984	0	0	0	4,225	759	0	0	0	759
242	SPECTRA ENERGY CORP	SPECTRA ENERGY CORP	847560109	206	9/6/2016	4/1/2015			206	0	0	0	181	25	0	0	0	25
243	SPECTRA ENERGY CORP	SPECTRA ENERGY CORP	847560109	618	9/6/2016	5/14/2015			618	0	0	0	548	70	0	0	0	70
244	SPECTRA ENERGY CORP	SPECTRA ENERGY CORP	847560109	5,725	9/6/2016	7/6/2015			5,725	0	0	0	4,345	1,380	0	0	0	1,380
245	SPECTRA ENERGY CORP	SPECTRA ENERGY CORP	847560109	2,059	9/6/2016	10/2/2015			2,059	0	0	0	1,381	678	0	0	0	678
246	SPECTRA ENERGY CORP	SPECTRA ENERGY CORP	847560109	73,234	9/6/2016	11/24/2015			73,234	0	0	0	48,673	24,561	0	0	0	24,561
247	STATOIL ASA	STATOIL ASA	85771PAJ1	994	4/5/2016	10/22/2014			994	0	0	0	994	0	0	0	0	0
248	TEMPLETON GBL BOND FD	TEMPLETON GBL BOND FD	880208400	5	6/27/2016	5/5/2011			5	0	0	0	6	-1	0	0	0	-1
249	TEMPLETON GBL BOND FD	TEMPLETON GBL BOND FD	880208400	37,627	6/27/2016	5/5/2011			37,627	0	0	0	46,737	-9,110	0	0	0	-9,110
250	SPECTRA ENERGY CORP	SPECTRA ENERGY CORP	847560109	885	9/6/2016	10/21/2014			885	0	0	0	818	49	0	0	0	49
251	TEMPLETON GBL BOND FD	TEMPLETON GBL BOND FD	880208400	6	6/27/2016	5/5/2011			6	0	0	0	6	-2	0	0	0	-2
252	TEMPLETON GBL BOND FD	TEMPLETON GBL BOND FD	880208400	7,606	2/9/2016	2/16/2011			7,606	0	0	0	4,014	3,592	0	0	0	3,592
253	NOVO NORDISK A S ADR	NOVO NORDISK A S ADR	670100205	139	2/9/2016	10/11/2014			139	0	0	0	143	-4	0	0	0	-4
254	NOVO NORDISK A S ADR	NOVO NORDISK A S ADR	670100205	46	2/9/2016	10/21/2014			46	0	0	0	45	1	0	0	0	1
255	NOVO NORDISK A S ADR	NOVO NORDISK A S ADR	670100205	603	2/9/2016	2/3/2015			603	0	0	0	581	22	0	0	0	22
256	NOVO NORDISK A S ADR	NOVO NORDISK A S ADR	670100205	371	2/9/2016	10/2/2015			371	0	0	0	439	-68	0	0	0	-68
257	NOVO NORDISK A S ADR	NOVO NORDISK A S ADR	670100205	74,966	10/28/2016	6/27/2016			74,966	0	0	0	84,051	-9,085	0	0	0	-9,085
258	NOVEN ENHANCED MUN VA	NOVEN ENHANCED MUN VA	67074M101	6,829	10/28/2016	9/23/2015			6,829	0	0	0	6,610	219	0	0	0	219
259	OPPENHEIMER INTL SMALL	OPPENHEIMER INTL SMALL	68380U506	38	10/28/2016	9/23/2015			38	0	0	0	36	2	0	0	0	2
260	OPPENHEIMER INTL SMALL	OPPENHEIMER INTL SMALL	68380U506	22,525	10/28/2016	9/23/2015			22,525	0	0	0	21,008	1,517	0	0	0	1,517
261	OPPENHEIMER INTL SMALL	OPPENHEIMER INTL SMALL	68380U506	38	10/28/2016	10/2/2015			38	0	0	0	35	3	0	0	0	3
262	OPPENHEIMER INTL SMALL	OPPENHEIMER INTL SMALL	68380U506	3	10/28/2016	10/2/2015			3	0	0	0	3	0	0	0	0	0
263	OPPENHEIMER INTL SMALL	OPPENHEIMER INTL SMALL	68380U506	1,434	10/28/2016	9/17/2015			1,434	0	0	0	1,354	80	0	0	0	80
264	OPPENHEIMER INTL SMALL	OPPENHEIMER INTL SMALL	68380U506	5,042	10/20/2016	9/17/2015			5,042	0	0	0	4,947	95	0	0	0	95
265	U S TREASURY NOTE	U S TREASURY NOTE	912828L32	3,960	10/20/2016	5/2/2016			3,960	0	0	0	3,914	46	0	0	0	46
266	U S TREASURY NOTE	U S TREASURY NOTE	912828P46	3,246	10/20/2016	1/2/2016			3,246	0	0	0	3,195	51	0	0	0	51
267	U S TREASURY NOTE	U S TREASURY NOTE	912828Q93	15,148	10/20/2016	12/9/2015			15,148	0	0	0	14,810	338	0	0	0	338
268	U S TREASURY NOTE	U S TREASURY NOTE	912828R36	2,966	10/20/2016	8/3/2016			2,966	0	0	0	3,021	-55	0	0	0	-55
269	U S TREASURY NOTE	U S TREASURY NOTE	912828R77	5,028	10/20/2016	6/16/2016			5,028	0	0	0	5,085	-37	0	0	0	-37
270	U S TREASURY NOTE	U S TREASURY NOTE	912828R93	4,984	10/20/2016	7/12/2016			4,984	0	0	0	4,993	-9	0	0	0	-9
271	U S TREASURY NOTE	U S TREASURY NOTE	912828R93	1,986	10/20/2016	10/7/2016			1,986	0	0	0	1,986	0	0	0	0	

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F.M.V. as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj. Basis or Losses
277 INGREDION INC	457187102		4/1/2015	10/24/2016	1,320			783	537	537	0	0	537
278 INGREDION INC	457187102		10/2/2015	10/24/2016	2,113			1,401	712	712	0	0	712
279 INGREDION INC	457187102		9/25/2014	10/24/2016	7,781			4,478	3,313	3,313	0	0	3,313
280 INGREDION INC	457187102		9/9/2016	10/24/2016	15,714			14,668	1,048	1,048	0	0	1,048
281 INGREDION INC	457187102		10/1/2014	10/24/2016	396			225	171	171	0	0	171
282 INTEL CORP	458140100		6/27/2016	7/21/2016	14,407			12,923	1,484	1,484	0	0	1,484
283 INTEL CORP	458140100		8/1/2016	10/24/2016	7,492			7,369	123	123	0	0	123
284 IBM CORP	459200HF1		11/7/2015	4/5/2016	1,038			913	125	125	0	0	125
285 ISHARES MSCI AUSTRALIA	464296103		10/2/2015	10/24/2016	537			471	66	66	0	0	66
286 SPDR CITI INTERNATIONAL	78464A480		2/16/2011	10/28/2016	32,988			34,557	-1,568		0	0	-1,568
287 SPDR CITI INTERNATIONAL	78464A480		4/21/2014	10/28/2016	8,536			9,433	-897		0	0	-897
288 SPDR CITI INTERNATIONAL	78464A480		10/1/2014	10/28/2016	981			1,061	-70		0	0	-70
289 U.S. TREASURY BOND	912810Q18		10/22/2014	10/20/2016	7,896			7,201	695	695	0	0	695
290 U.S. TREASURY BOND	912810QW1		10/22/2014	10/20/2016	15,461			14,043	1,418	1,418	0	0	1,418
291 U.S. TREASURY BOND	912810R19		12/17/2014	10/20/2016	5,517			5,258	259	259	0	0	259
292 U.S. TREASURY BOND	912810RM2		6/4/2015	10/20/2016	6,621			5,955	666	666	0	0	666
293 U.S. TREASURY BOND	912810R17		10/6/2016	10/20/2016	1,893			1,913	-20		0	0	-20
294 U.S. TREASURY NOTE	9128282A7		10/3/2016	10/20/2016	2,931			2,967	-36		0	0	-36
295 U.S. TREASURY NOTE	9128282A7		9/1/2016	10/20/2016	1,954			1,987	-33		0	0	-33
296 U.S. TREASURY NOTE	9128282A7		10/6/2016	10/20/2016	3,908			3,914	-6		0	0	-6
297 U.S. TREASURY NOTE	912828A34		10/22/2014	10/20/2016	5,035			5,000	35	35	0	0	35
298 U.S. TREASURY NOTE	912828G46		12/17/2014	11/30/2016	19,000			18,967	33	33	0	0	33
299 U.S. TREASURY NOTE	912828G46		11/2/2016	11/30/2016	1,000			998	2		0	0	2
300 U.S. TREASURY NOTE	912828K74		9/17/2015	4/5/2016	1,025			881	144		0	0	144
301 U.S. TREASURY NOTE	912828K74		9/17/2015	10/20/2016	2,046			1,981	65	65	0	0	65
302 U.S. TREASURY NOTE	912828L32		9/17/2015	1/8/2016	1,984			1,979	5		0	0	5
303 U.S. TREASURY NOTE	912828L32		9/17/2015	11/2/2016	895			889	6		0	0	6
304 SPDR CITI INTERNATIONAL	78464A480		10/21/2014	10/28/2016	1,542			1,873	-131		0	0	-131
305 SPDR CITI INTERNATIONAL	78464A480		5/14/2015	10/28/2016	1,110			1,113	-3		0	0	-3
306 SPDR CITI INTERNATIONAL	78464A480		2/3/2015	10/28/2016	5,507			5,674	-167		0	0	-167
307 SPDR CITI INTERNATIONAL	78464A480		7/6/2015	10/28/2016	2,313			2,263	20		0	0	20
308 SPDR CITI INTERNATIONAL	78464A480		4/1/2015	10/28/2016	3,635			3,628	7		0	0	7
309 SPDR CITI INTERNATIONAL	78464A480		10/2/2015	10/28/2016	2,754			2,634	120		0	0	120
310 SHIRE PLC-ADR	82481R106		10/12/2016	10/24/2016	15,954			16,548	-594		0	0	-594
311 SIMON PROPERTY GROUP D	828806109		2/16/2011	10/24/2016	7,488			3,854	3,644		0	0	3,644
312 SIMON PROPERTY GROUP D	828806109		4/21/2014	10/24/2016	987			801	186		0	0	186
313 SIMON PROPERTY GROUP D	828806109		10/1/2014	10/24/2016	197			184	13		0	0	13
314 SIMON PROPERTY GROUP D	828806109		10/21/2014	10/24/2016	197			172	25		0	0	25
315 SIMON PROPERTY GROUP D	828806109		5/14/2015	10/24/2016	1,184			1,104	80		0	0	80
316 SIMON PROPERTY GROUP D	828806109		7/6/2015	10/24/2016	592			526	66		0	0	66
317 SIMON PROPERTY GROUP D	828806109		10/2/2015	10/24/2016	592			559	33		0	0	33
318 SPECTRA ENERGY CORP	847560109		2/16/2011	9/6/2016	25,290			16,160	9,130		0	0	9,130
319 SPECTRA ENERGY CORP	847560109		10/1/2014	9/6/2016	577			547	30		0	0	30
320 U.S. TREASURY NOTE	912828W66		11/2/2016	8/22/2016	1,093			1,053	40		0	0	40
321 U.S. TREASURY NOTE	912828W66		11/2/2016	10/20/2016	6,482			6,313	169		0	0	169
322 U.S. TREASURY NOTE	912828XP0		7/30/2015	10/20/2016	5,000			4,989	11		0	0	11
323 UNITED TECHS CORP COM	913017109		2/16/2011	3/3/2016	7,823			6,983	842		0	0	842
324 UNITED TECHS CORP COM	913017109		10/1/2014	3/3/2016	191			208	-17		0	0	-17
325 UNITED TECHS CORP COM	913017109		10/21/2014	3/3/2016	1,336			1,447	-111		0	0	-111
326 UNITED TECHS CORP COM	913017109		4/1/2015	3/3/2016	382			466	-84		0	0	-84
327 UNITED TECHS CORP COM	913017109		5/14/2015	3/3/2016	85			119	-24		0	0	-24
328 UNITED TECHS CORP COM	913017109		7/6/2015	3/3/2016	954			1,096	-142		0	0	-142
329 NETEASE COM INC ADR	64110W102		10/2/2015	3/3/2016	573			537	36		0	0	36
330 NETMONT MINING CORP	651639AL0		10/2/2015	10/24/2016	788			373	425		0	0	425
331 NETMONT MINING CORP	651639AL0		10/22/2014	3/29/2016	2,071			2,156	-85		0	0	-85
332 NEXTERA ENERGY INC SHS	65339F101		2/16/2011	10/24/2016	5,823			5,823	3,270		0	0	3,270
333 NEXTERA ENERGY INC SHS	65339F101		4/7/2011	10/24/2016	13,629			6,173	7,456		0	0	7,456
334 NEXTERA ENERGY INC SHS	65339F101		10/1/2014	10/24/2016	498			378	118		0	0	118
335 NEXTERA ENERGY INC SHS	65339F101		10/21/2014	10/24/2016	372			287	85		0	0	85
336 NEXTERA ENERGY INC SHS	65339F101		4/1/2015	10/24/2016	1,611			1,364	247		0	0	247
337 NEXTERA ENERGY INC SHS	65339F101		4/21/2015	10/24/2016	34,073			28,523	5,550		0	0	5,550
338 NEXTERA ENERGY INC SHS	65339F101		5/14/2015	10/24/2016	620			504	116		0	0	116
339 NEXTERA ENERGY INC SHS	65339F101		7/6/2015	10/24/2016	887			699	188		0	0	188
340 NEXTERA ENERGY INC SHS	65339F101		10/2/2015	10/24/2016	2,726			2,151	575		0	0	575
341 NIKE INC CL B	654106103		2/16/2011	10/24/2016	7,905			3,283	4,642		0	0	4,642
342 NIKE INC CL B	654106103		10/1/2014	10/24/2016	208			175	33		0	0	33
343 U.S. TREASURY NOTE	912828W66		10/22/2014	8/22/2016	1,093			1,040	53		0	0	53
344 NIKE INC CL B	654106103		10/2/2015	10/24/2016	312			372	-60		0	0	-60
345 NOVARTIS ADR	66987V109		2/16/2011	10/24/2016	5,112			3,843	1,269		0	0	1,269



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Amount		638	0		3,932,143		0		3,617,188		314,955		0		314,955	
Long Term CG Distributions		Short Term CG Distributions		Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Expense of Sale	Gain or Loss	FMV as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Excess FMV Over Adj Basis or Losses	
416	POWERSHARES FTSE RAFI I	73935X587			10/21/2014	10/24/2016	3,635			3,298	337				337	
416	POWERSHARES FTSE RAFI I	73935X587			2/9/2015	10/24/2016	935			900	35				35	
417	POWERSHARES FTSE RAFI I	73935X567			5/14/2015	10/24/2016	1,350			1,364	-14				-14	
418	PIMCO 1-5 YEAR U.S. TIPS	72201R205			6/2/2016	10/28/2016	57,034			56,663	351				351	
418	POWERSHARES FTSE RAFI I	73935X587			7/6/2015	10/24/2016	2,077			2,055	22				22	
420	POWERSHARES FTSE RAFI I	73935X587			10/2/2015	10/24/2016	4,570			4,011	559				559	
421	POWERSHARES FTSE RAFI I	73935X587			6/27/2016	10/24/2016	27,730			24,485	3,245				3,245	
422	RANGE RESOURCES CORP	75281A108			11/24/2015	6/27/2016	1,313			956	357				357	
423	RANGE RESOURCES CORP	75281A109			11/24/2015	10/24/2016	21,188			18,165	3,023				3,023	
424	PIONEER NATURAL RES CO	723787107			9/25/2014	10/24/2016	7,135			7,652	-517				-517	
425	PIONEER NATURAL RES CO	723787107			10/1/2014	10/24/2016	183			189	-6				-6	
428	REPUBLIC SERVICES INC	760759100			2/18/2011	10/24/2016	6,867			4,088	2,779				2,779	
427	REPUBLIC SERVICES INC	760759100			4/21/2014	10/24/2016	303			207	96				96	
428	REPUBLIC SERVICES INC	760759100			10/1/2014	10/24/2016	151			114	37				37	
429	REPUBLIC SERVICES INC	760759100			4/1/2015	10/24/2016	303			242	61				61	
430	REPUBLIC SERVICES INC	760759100			5/14/2015	10/24/2016	151			122	29				29	
431	PIONEER NATURAL RES CO	723787107			2/9/2015	10/24/2016	1,281			1,134	147				147	
432	REPUBLIC SERVICES INC	760759100			7/6/2015	10/24/2016	252			188	54				54	
433	REPUBLIC SERVICES INC	760759100			10/2/2015	10/24/2016	353			289	64				64	
434	RIO TINTO FIN USA LTD	76720A400			4/5/2016	10/12/2016	3,139			3,037	102				102	
435	ROCHE HDG LTD SPN ADR	771195104			2/16/2011	10/24/2016	3,328			2,100	1,228				1,228	
436	ROCHE HDG LTD SPN ADR	771195104			11/5/2013	10/24/2016	5,842			6,967	-1,125				-1,125	
437	ROCHE HDG LTD SPN ADR	771195104			10/1/2014	10/24/2016	202			257	-55				-55	
438	ROCHE HDG LTD SPN ADR	771195104			10/21/2014	10/24/2016	174			218	-44				-44	
439	ROCHE HDG LTD SPN ADR	771195104			2/9/2015	10/24/2016	1,504			1,746	-242				-242	
440	ROCHE HDG LTD SPN ADR	771195104			4/1/2015	10/24/2016	116			138	-22				-22	
441	ROCHE HDG LTD SPN ADR	771195104			7/6/2015	10/24/2016	231			262	-31				-31	
442	ROCHE HDG LTD SPN ADR	771195104			10/2/2015	10/24/2016	578			662	-84				-84	
443	ROCHE HDG LTD SPN ADR	771195104			2/8/2016	10/24/2016	8,184			8,785	-601				-601	
444	ROYAL BANK CANADA PV\$1	780087102			2/16/2011	10/24/2016	713			4,372	-379				-379	
445	ISHARES MSCI SINGAPORE	464286673			10/21/2014	10/24/2016	922			900	-187				-187	
446	ISHARES MSCI SINGAPORE	464286673			4/1/2015	10/24/2016	922			1,139	-217				-217	
447	ISHARES MSCI SINGAPORE	464286673			4/21/2014	10/24/2016	3,490			4,502	-1,012				-1,012	
448	ISHARES MSCI SINGAPORE	464286673			2/16/2011	10/24/2016	13,688			17,445	-3,756				-3,756	
449	ISHARES MSCI SINGAPORE	464286673			1/19/2014	10/24/2016	419			532	-113				-113	
450	ISHARES MSCI SINGAPORE	464286673			2/3/2015	10/24/2016	1,562			1,941	-379				-379	
451	ISHARES MSCI SOUTH KORE	464286772			8/28/2015	10/24/2016	2,937			2,491	446				446	
452	ISHARES MSCI SOUTH KORE	464286772			4/21/2014	10/24/2016	3,501			3,951	-450				-450	
453	ISHARES MSCI SOUTH KORE	464286772			4/1/2015	10/24/2016	678			690	-12				-12	
454	ISHARES MSCI SOUTH KORE	464286772			2/16/2011	10/24/2016	16,546			17,476	-930				-930	
455	ISHARES MSCI SINGAPORE	464286673			7/6/2015	10/24/2016	1,163			1,411	-248				-248	
456	IVY SCIENCE	465001807			2/9/2015	1/12/2016	253			266	-33				-33	
457	ISHARES MSCI AUSTRALIA	464286103			4/21/2014	10/24/2016	826			1,060	-234				-234	
458	ISHARES MSCI AUSTRALIA	464286103			4/1/2015	10/24/2016	330			368	-38				-38	
459	ISHARES MSCI AUSTRALIA	464286103			10/18/2013	10/24/2016	5,638			7,352	-1,714				-1,714	
460	ISHARES MSCI AUSTRALIA	464286103			10/1/2014	10/24/2016	165			192	-27				-27	
461	ISHARES MSCI AUSTRALIA	464286103			10/21/2014	10/24/2016	589			717	-116				-116	
462	ISHARES MSCI AUSTRALIA	464286103			2/9/2015	10/24/2016	1,074			1,182	-118				-118	
463	ISHARES MSCI AUSTRALIA	464286103			7/6/2015	10/24/2016	1,239			1,250	-11				-11	
464	ISHARES MSCI SINGAPORE	464286673			10/2/2015	10/24/2016	1,331			1,289	42				42	
465	IVY SCIENCE	465001807			10/2/2015	1/12/2016	556			591	-35				-35	
466	ISHARES MSCI SOUTH KORE	464286772			10/1/2014	10/24/2016	2,598			2,858	-260				-260	
467	ISHARES MSCI SOUTH KORE	464286772			2/9/2015	10/24/2016	1,977			1,989	-12				-12	
468	ISHARES MSCI SOUTH KORE	464286772			10/2/2015	10/24/2016	1,468			1,296	172				172	
470	ISHARES MSCI SOUTH KORE	464286772			7/6/2015	10/24/2016	2,598			2,492	106				106	
471	ISHARES TIPS	464287176			2/16/2011	6/2/2016	54,555			50,287	4,258				4,258	
472	ISHARES TIPS	464287176			2/16/2011	10/28/2016	21,388			19,507	1,881				1,881	
473	ROYAL BANK CANADA PV\$1	780087102			4/21/2014	10/24/2016	5,189			5,544	-356				-356	
474	ROYAL BANK CANADA PV\$1	780087102			9/25/2014	10/24/2016	12,065			13,851	-1,786				-1,786	
475	ROYAL BANK CANADA PV\$1	780087102			10/1/2014	10/24/2016	563			642	-79				-79	
476	ROYAL BANK CANADA PV\$1	780087102			10/21/2014	10/24/2016	2,313			2,644	-331				-331	
477	ROYAL BANK CANADA PV\$1	780087102			2/9/2015	10/24/2016	6,439			6,227	212				212	
478	ROYAL BANK CANADA PV\$1	780087102			4/1/2015	10/24/2016	1,500			1,447	53				53	
479	ROYAL BANK CANADA PV\$1	780087102			7/6/2015	10/24/2016	1,000			959	31				31	
480	AMERICAN WTR WKS CO INC	030420103			10/2/2015	10/24/2016	1,813			1,874	-238				-238	
481	AMERICAN WTR WKS CO INC	030420103			4/7/2011	10/24/2016	7,201			2,565	4,337				4,337	
482	AMERICAN WTR WKS CO INC	030420103			4/21/2015	10/24/2016	10,513			7,896	2,617				2,617	
483	AMERICAN WTR WKS CO INC	030420103			2/16/2011	10/24/2016	14,330			5,438	6,892				6,892	

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Amount		Long Term CG Distributions		Short Term CG Distributions		638		3,932,143		0		3,617,188		314,955		0		0		0		314,955	
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F.M.V. as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adjusted Basis	Excess FMV Over Adj Basis or Losses	Gains Minus Excess FMV Over Adj Basis or Losses									
484 AMERICAN WTR WKS CO INC	030420103		5/14/2015	10/24/2016	1,440			0	1,054	386	0	0	386										
485 AMERICAN WTR WKS CO INC	030420103		4/1/2015	10/24/2016	2,448			0	1,847	601	0	0	601										
486 AMERICAN WTR WKS CO INC	030420103		4/7/2011	10/24/2016	8,281			0	3,293	4,988	0	0	4,988										
487 AMERICAN WTR WKS CO INC	030420103		10/22/2015	10/24/2016	2,446			0	1,888	560	0	0	560										
488 AMPHENOL CORP CL A NEW	0302095101		4/28/2015	10/24/2016	6,641			0	6,838	2,003	0	0	2,003										
489 AMPHENOL CORP CL A NEW	0302095101		10/1/2014	10/24/2016	284			0	196	68	0	0	68										
490 AMPHENOL CORP CL A NEW	0302095101		5/14/2014	10/24/2016	66			0	58	8	0	0	8										
491 AMERICAN WTR WKS CO INC	030420103		10/1/2014	10/24/2016	720			0	481	239	0	0	239										
492 AMPHENOL CORP CL A NEW	0302095101		10/2/2015	10/24/2016	396			0	96	300	0	0	300										
493 AMPHENOL CORP CL A NEW	0302095101		2/16/2011	10/24/2016	7,923			0	3,458	4,465	0	0	4,465										
494 AMPHENOL CORP CL A NEW	0302095101		7/6/2015	10/24/2016	264			0	225	39	0	0	39										
495 INPEUSER-BUSCH INBEV W	035237BP2		12/9/2015	7/20/2016	5,118			0	4,844	274	0	0	274										
496 BP CAPITAL MARKETS PLC	055650BP2		10/22/2014	6/16/2016	3,315			0	3,240	75	0	0	75										
497 BANCO SANTANDER SA ADR	05964H105		11/13/2014	5/16/2016	147			0	284	-137	0	0	-137										
498 BANCO SANTANDER SA ADR	05964H105		5/11/2015	5/16/2016	225			0	381	-156	0	0	-156										
499 BANCO SANTANDER SA ADR	05964H105		8/11/2014	5/16/2016	133			0	295	-162	0	0	-162										
500 BANCO SANTANDER SA ADR	05964H105		10/21/2014	5/16/2016	831			0	1,801	-770	0	0	-770										
501 BANCO SANTANDER SA ADR	05964H105		10/1/2014	5/16/2016	165			0	341	-176	0	0	-176										
502 BANCO SANTANDER SA ADR	05964H105		2/3/2015	5/16/2016	2,296			0	3,595	-1,299	0	0	-1,299										
503 BANCO SANTANDER SA ADR	05964H105		2/9/2015	5/16/2016	179			0	285	-108	0	0	-108										
504 BARD C R INC	067983109		5/10/2016	10/24/2016	8,110			0	8,159	-49	0	0	-49										
505 BAYER AG SP ADR	072730302		4/1/2015	10/24/2016	198			0	303	-105	0	0	-105										
506 BAYER AG SP ADR	072730302		7/6/2015	10/24/2016	1,683			0	2,323	-640	0	0	-640										
507 BAYER AG SP ADR	072730302		10/2/2015	10/24/2016	891			0	1,155	-264	0	0	-264										
508 BAYER AG SP ADR	072730302		10/21/2014	10/24/2016	3,367			0	4,522	-1,155	0	0	-1,155										
509 BAYER AG SP ADR	072730302		2/16/2011	10/24/2016	4,555			0	3,527	1,028	0	0	1,028										
510 BAYER AG SP ADR	072730302		9/23/2014	10/24/2016	6,634			0	9,592	-2,958	0	0	-2,958										
511 BAYER AG SP ADR	072730302		10/1/2014	10/24/2016	297			0	418	-121	0	0	-121										
512 BAYER AG SP ADR	072730302		5/14/2015	10/24/2016	594			0	898	-304	0	0	-304										
513 BECTON DICKINSON CO	075887109		10/1/2014	10/24/2016	346			0	227	119	0	0	119										
514 BECTON DICKINSON CO	075887109		2/16/2011	10/24/2016	7,604			0	3,535	4,069	0	0	4,069										
515 BANCO SANTANDER SA ADR	05964H105		4/21/2014	5/16/2016	6,654			0	14,316	-7,662	0	0	-7,662										
516 BANCO SANTANDER SA ADR	05964H105		11/16/2015	5/16/2016	101			0	124	-23	0	0	-23										
517 BECTON DICKINSON CO	075887109		4/21/2014	10/24/2016	6,140			0	4,449	2,291	0	0	2,291										
518 BECTON DICKINSON CO	075887109		10/2/2015	10/24/2016	864			0	670	194	0	0	194										
519 BECTON DICKINSON CO	075887109		2/9/2016	10/24/2016	57,032			0	43,946	13,086	0	0	13,086										
520 BECTON DICKINSON CO	075887109		5/14/2015	10/24/2016	346			0	282	64	0	0	64										
521 BECTON DICKINSON CO	075887109		3/3/2016	10/24/2016	7,604			0	6,544	1,060	0	0	1,060										
522 BECTON DICKINSON CO	075887109		4/1/2015	10/24/2016	346			0	283	63	0	0	63										
523 BRITISH AMN TOBACO SPAD	110448107		8/11/2011	10/24/2016	9,116			0	6,974	2,142	0	0	2,142										
524 SHARES S&P 500	464287309		10/21/2014	10/12/2016	43,227			0	37,775	5,452	0	0	5,452										
525 SHARES S&P 500	464287309		7/6/2015	10/12/2016	1,201			0	1,144	57	0	0	57										
526 SHARES S&P 500	464287309		2/3/2015	10/12/2016	360			0	334	26	0	0	26										
527 SHARES S&P 500	464287309		4/1/2015	10/12/2016	961			0	906	55	0	0	55										
528 SHARES S&P 500	464287309		10/2/2015	10/12/2016	2,281			0	2,067	214	0	0	214										
529 IVY SCIENCE	466001807		7/6/2015	1/12/2016	51			0	60	-9	0	0	-9										
530 IVY SCIENCE	466001807		2/16/2011	1/12/2016	9,857			0	7,249	2,608	0	0	2,608										
531 IVY SCIENCE	466001807		10/1/2014	1/12/2016	51			0	47	4	0	0	4										
532 IVY SCIENCE	466001807		10/1/2014	1/12/2016	202			0	227	-25	0	0	-25										
533 IVY SCIENCE	466001807		10/2/2014	1/12/2016	354			0	389	-35	0	0	-35										
534 IVY SCIENCE	466001807		10/2/2015	1/12/2016	6			0	6	0	0	0	0										
535 IVY SCIENCE	466001807		10/2/2015	1/12/2016	51			0	58	-5	0	0	-5										
536 IVY SCIENCE	466001807		2/3/2015	1/12/2016	51			0	57	-6	0	0	-6										
537 BRITISH AMN TOBACO SPAD	110448107		10/1/2014	10/24/2016	342			0	338	4	0	0	4										
538 BRITISH AMN TOBACO SPAD	110448107		10/2/2015	10/24/2016	1,026			0	1,001	25	0	0	25										
539 BRITISH AMN TOBACO SPAD	110448107		4/2/2014	10/24/2016	1,254			0	1,265	-11	0	0	-11										
540 BRITISH AMN TOBACO SPAD	110448107		2/16/2011	10/24/2016	6,381			0	4,388	1,993	0	0	1,993										
541 BRITISH AMN TOBACO SPAD	110448107		4/1/2015	10/24/2016	2,393			0	2,209	184	0	0	184										
542 BRITISH AMN TOBACO SPAD	110448107		10/2/2014	10/24/2016	684			0	676	8	0	0	8										
543 SHARES IBOXX \$	464287242		4/1/2015	10/28/2016	2,780			0	2,806	-26	0	0	-26										
544 SHARES IBOXX \$	464287242		5/14/2015	10/28/2016	4,352			0	4,243	109	0	0	109										
545 SHARES IBOXX \$	464287242		7/6/2015	10/28/2016	1,572			0	1,506	66	0	0	66										
546 SHARES IBOXX \$	464287242		10/2/2015	10/28/2016	3,385			0	3,259	126	0	0	126										
547 SHARES S&P 500	464287309		10/21/2014	10/12/2016	1,441			0	1,259	182	0	0	182										
548 JOHNSON AND JOHNSON C	478160104		2/3/2015	5/10/2016	803			0	714	89	0	0	89										
549 JOHNSON AND JOHNSON C	478160104		5/14/2015	5/10/2016	1,032			0	1,002	30	0	0	30										
550 JOHNSON AND JOHNSON C	478160104		7/6/2015	5/10/2016	1,115			0	82	1,033	0	0	1,033										
551 JOHNSON AND JOHNSON C	478160104		10/2/2015	5/10/2016	688			0	589	99	0	0	99										
552 JOHNSON AND JOHNSON C	478160104		10/2/2015	5/10/2016	918			0	747	171	0	0	171										

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Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Bases or Losses
653 JOHNSON AND JOHNSON C	478160104		12/30/2008	5/10/2016	7,227			0	3,711	3,516	0	0	3,516
654 JOHNSON AND JOHNSON C	478160104		5/22/2014	5/10/2016	7,112			0	6,254	858	0	0	858
655 JOHNSON CONTROLS INC	478368107		2/18/2011	3/3/2016	754			0	842	-88	0	0	-88
656 JOHNSON CONTROLS INC	478368107		10/21/2014	3/3/2016	1,659			0	1,837	-178	0	0	-178
657 JOHNSON CONTROLS INC	478368107		5/14/2015	3/3/2016	228			0	303	-77	0	0	-77
658 JOHNSON CONTROLS INC	478368107		7/6/2015	3/3/2016	38			0	50	-12	0	0	-12
659 JOHNSON CONTROLS INC	478368107		10/2/2015	3/3/2016	566			0	630	-64	0	0	-64
660 KIMCO REALTY CORP MD CC	49446R109		2/16/2011	10/24/2016	5,698			0	3,642	2,056	0	0	2,056
661 KIMCO REALTY CORP MD CC	49446R109		4/21/2014	10/24/2016	335			0	268	67	0	0	67
662 KIMCO REALTY CORP MD CC	49446R109		10/1/2014	10/24/2016	168			0	130	38	0	0	38
663 JOHNSON CONTROLS INC	478368107		8/22/2012	3/3/2016	7,543			0	5,402	2,141	0	0	2,141
664 KIMCO REALTY CORP MD CC	49446R109		4/1/2015	10/24/2016	615			0	560	55	0	0	55
665 KIMCO REALTY CORP MD CC	49446R109		5/14/2015	10/24/2016	782			0	680	102	0	0	102
666 KRAFT FOODS INC	50075NB89		10/22/2014	2/9/2016	4,000			0	4,000	0	0	0	0
667 KIMCO REALTY CORP MD CC	49446R109		7/6/2015	10/24/2016	447			0	370	77	0	0	77
668 KIMCO REALTY CORP MD CC	49446R109		10/2/2015	10/24/2016	363			0	320	43	0	0	43
669 KROGER CO	501044101		10/1/2014	10/24/2016	374			0	310	64	0	0	64
670 KROGER CO	501044101		10/21/2014	10/24/2016	27,655			0	23,833	3,822	0	0	3,822
671 KROGER CO	501044101		5/14/2015	10/24/2016	1,370			0	1,587	-217	0	0	-217
672 SHARES TIPS	464287176		4/21/2014	10/28/2016	23,816			0	23,309	507	0	0	507
673 SHARES TIPS	464287176		10/1/2014	10/28/2016	2,428			0	2,372	56	0	0	56
674 SHARES TIPS	464287176		10/21/2014	10/28/2016	1,156			0	1,139	17	0	0	17
675 SHARES TIPS	464287176		2/3/2015	10/28/2016	6,127			0	6,098	29	0	0	29
676 SHARES TIPS	464287176		4/1/2015	10/28/2016	4,624			0	4,550	74	0	0	74
677 SHARES TIPS	464287176		5/14/2015	10/28/2016	5,866			0	5,731	135	0	0	135
678 SHARES TIPS	464287176		7/6/2015	10/28/2016	578			0	563	15	0	0	15
679 SHARES TIPS	464287176		10/2/2015	10/28/2016	5,781			0	5,568	213	0	0	213
680 SHARES IBOXX \$	464287242		4/21/2014	10/28/2016	57,904			0	56,345	1,559	0	0	1,559
681 SHARES IBOXX \$	464287242		10/1/2014	10/28/2016	1,330			0	1,305	25	0	0	25
682 SHARES IBOXX \$	464287242		10/21/2014	10/28/2016	121			0	120	1	0	0	1
683 SHARES IBOXX \$	464287242		2/3/2015	10/28/2016	2,801			0	2,945	-144	0	0	-144
684 JPMORGAN CHASE & CO	46825HXX1		10/22/2014	3/1/2016	5,000			0	5,000	0	0	0	0
685 JOHNSON AND JOHNSON C	478160104		10/1/2014	5/10/2016	344			0	312	32	0	0	32
686 JOHNSON AND JOHNSON C	478160104		10/21/2014	5/10/2016	229			0	200	29	0	0	29
687 KROGER CO	501044101		10/2/2015	10/24/2016	1,618			0	1,812	-293	0	0	-293
688 KROGER CO	501044101		9/23/2014	10/24/2016	4,883			0	4,183	800	0	0	800
689 LKO CORP	501889208		2/18/2011	10/24/2016	10,195			0	3,977	6,218	0	0	6,218
690 LKO CORP	501889208		10/1/2014	10/24/2016	430			0	347	83	0	0	83
691 LKO CORP	501889208		10/21/2014	10/24/2016	563			0	460	103	0	0	103
692 LKO CORP	501889208		10/2/2015	10/24/2016	960			0	827	133	0	0	133
693 LKO CORP	501889208		2/3/2015	10/24/2016	1,423			0	1,152	271	0	0	271
694 MATTHEWS ASIA DIVIDEND	57125107		10/21/2014	10/28/2016	405			0	373	32	0	0	32
695 LKO CORP	501889208		4/1/2015	10/24/2016	1,920			0	1,475	445	0	0	445
696 UNITEDHEALTH GROUP INC	91324P102		10/2/2015	10/24/2016	722			0	587	135	0	0	135
697 UNITEDHEALTH GROUP	91324P102		10/22/2014	3/15/2016	3,000			0	3,000	0	0	0	0
698 V F CORPORATION	918204108		2/16/2011	10/24/2016	8,196			0	2,506	3,680	0	0	3,680
699 V F CORPORATION	918204108		10/1/2014	10/24/2016	5,333			0	3,882	1,651	0	0	1,651
700 V F CORPORATION	918204108		5/14/2015	10/24/2016	800			0	1,062	-262	0	0	-262
701 V F CORPORATION	918204108		7/6/2015	10/24/2016	53			0	71	-18	0	0	-18
702 V F CORPORATION	918204108		10/2/2015	10/24/2016	640			0	830	-190	0	0	-190
703 VALERO ENERGY CORP NEW	91913V100		9/3/2016	10/24/2016	10,920			0	11,277	-357	0	0	-357
704 VANGUARD LONG TERM BON	921937793		10/1/2014	10/28/2016	1,137			0	1,080	57	0	0	57
705 VANGUARD LONG TERM BON	921937793		12/30/2008	6/27/2016	1,339			0	358	981	0	0	981
706 WESTN DIGITAL CORP DEL	958102105		11/2/2009	6/27/2016	1,046			0	863	183	0	0	183
707 WESTN DIGITAL CORP DEL	958102105		5/12/2014	6/27/2016	2,134			0	4,183	-2,049	0	0	-2,049
708 WESTN DIGITAL CORP DEL	958102105		10/1/2014	6/27/2016	84			0	190	-106	0	0	-106
709 WESTN DIGITAL CORP DEL	958102105		4/1/2015	6/27/2016	588			0	1,287	-701	0	0	-701
710 WESTN DIGITAL CORP DEL	958102105		7/6/2015	6/27/2016	1,172			0	2,217	-1,045	0	0	-1,045
711 WESTN DIGITAL CORP DEL	958102105		10/2/2015	6/27/2016	335			0	627	-292	0	0	-292
712 WESTN DIGITAL CORP DEL	958102105		10/28/2014	10/24/2016	27,566			0	28,036	-470	0	0	-470
713 WISDOMTREE L/C DIVID FD	97717W307		2/3/2015	10/24/2016	303			0	284	9	0	0	9
714 WISDOMTREE L/C DIVID FD	97717W307		4/1/2015	10/24/2016	1,212			0	1,168	44	0	0	44
715 WISDOMTREE L/C DIVID FD	97717W307		7/6/2015	10/24/2016	1,212			0	1,164	48	0	0	48
716 WISDOMTREE L/C DIVID FD	97717W307		10/2/2015	10/24/2016	1,519			0	1,351	164	0	0	164
717 WISDOMTREE L/C DIVID FD	97717W307		5/10/2015	10/24/2016	8,237			0	7,384	853	0	0	853
718 ALLERGAN PLC	G0177J108		11/1/2015	10/24/2016	8,083			0	7,384	699	0	0	699
719 ACCENTURE PLC SHS	G1151C101		10/21/2014	10/28/2016	48,627			0	47,694	933	0	0	933
720 VANGUARD LONG TERM BON	921937793		2/3/2015	10/28/2016	379			0	395	-16	0	0	-16
721 VANGUARD LONG TERM BON	921937793							0			0	0	







**Part VI, Line 6a (990-PF) - Estimated Tax Payments**

	Date		Amount
1 Credit from prior year return . . . . .		1	
2 First quarter estimated tax payment . . . . .	5/15/2016	2	1,253
3 Second quarter estimated tax payment . . . . .	6/15/2016	3	1,253
4 Third quarter estimated tax payment . . . . .	9/15/2016	4	1,253
5 Fourth quarter estimated tax payment . . . . .	12/15/2016	5	1,251
6 Other payments . . . . .		6	
7 Total . . . . .		7	5,010