Extended to November 15, 2017

Form **990-PF** — **Return of Private Foundation** — or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public
▶ Information about Form 990-PF and its separate instructions is at *www irs gov/form990pf*

OMB No 1545 0052
2016
Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation Dennis & John Vozikis Scholarship Trust	A Employer identification number 41-2028946
Number and street (or P O box number if mail is not delivered to street address) Room/suite c/o NWB&T, PO Box 8001	B Telephone number 563-388-2530
City or town state or province country, and ZIP or foreign postal code Davenport, IA 52808-8001	C If exemption application is pending check here ▶ ☐
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change	D 1 Foreign organizations check here ▶ ☐ 2 Foreign organizations meeting the 85% test check here and attach computation ▶ ☐
H Check type of organization ☐ Section 501(c)(3) exempt private foundation ☒ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 291,104. J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b) (c) and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions gifts, grants, etc received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	7,096.	7,096.		Statement 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	6,562.			
	b Gross sales price for all assets on line 6a 84,814.				
	7 Capital gain net income (from Part IV line 2)		6,562.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total Add lines 1 through 11	13,658.	13,658.		
Operating and Administrative Expenses	13 Compensation of officers directors trustees etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans employee benefits				
	16a Legal fees				
	b Accounting fees Stmt 2	1,055.	528.		527.
	c Other professional fees Stmt 3	3,514.	1,757.		1,757.
	17 Interest				
	18 Taxes Stmt 4	72.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel conferences and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 Total operating and administrative expenses Add lines 13 through 23	4,641.	2,285.		2,284.
	25 Contributions, gifts, grants paid	20,125.			20,125.
	26 Total expenses and disbursements Add lines 24 and 25	24,766.	2,285.		22,409.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-11,108.			
	b Net investment income (if negative enter 0)		11,373.		
	c Adjusted net income (if negative enter 0)			N/A	

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)	Beginning of year	End of year	
	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets			
1 Cash - non-interest-bearing	428.	-21.	-21.
2 Savings and temporary cash investments	14,398.	2,228.	2,228.
3 Accounts receivable ▶			
Less allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons			
7 Other notes and loans receivable ▶			
Less allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U S and state government obligations			
b Investments - corporate stock Stmt 7	228,824.	275,533.	288,897.
c Investments - corporate bonds Stmt 8	48,978.	0.	0.
11 Investments - land buildings, and equipment basis ▶			
Less accumulated depreciation ▶			
12 Investments - mortgage loans			
13 Investments - other			
14 Land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶			
15 Other assets (describe ▶)			
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	292,628.	277,740.	291,104.
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable	20,125.		
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	20,125.	0.	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds	272,503.	277,740.	
28 Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 Total net assets or fund balances	272,503.	277,740.	
31 Total liabilities and net assets/fund balances	292,628.	277,740.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	272,503.
2 Enter amount from Part I, line 27a	2	-11,108.
3 Other increases not included in line 2 (itemize) ▶ See Statement 5	3	20,378.
4 Add lines 1, 2, and 3	4	281,773.
5 Decreases not included in line 2 (itemize) ▶ See Statement 6	5	4,033.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	277,740.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Attached Schedule	P		
b	See Attached Schedule	P		
c	See Attached Schedule	P		
d	Capital Gains Dividends			
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	8,339.		8,199.	140.
b	45,334.		47,144.	-1,810.
c	29,403.		22,909.	6,494.
d	1,738.			1,738.
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				140.
b				-1,810.
c				6,494.
d				1,738.
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	6,562.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	27,318.	303,830.	.089912
2014	7,489.	330,630.	.022651
2013	6,778.	304,358.	.022270
2012			
2011			

2 Total of line 1, column (d)	2	.134833
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.044944
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	291,244.
5 Multiply line 4 by line 3	5	13,090.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	114.
7 Add lines 5 and 6	7	13,204.
8 Enter qualifying distributions from Part XII, line 4	8	22,409.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1			
Date of ruling or determination letter ______ **(attach copy of letter if necessary-see instructions)**			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	114.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	114.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	114.
6 Credits/Payments			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a 353.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	353.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	239.
11 Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶	239. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ Northwest Bank & Trust Company Telephone no ▶563-388-2530			
	Located at ▶PO Box 8001, Davenport, IA ZIP+4 ▶52808-8001			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ [X]			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 0.			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? [ ] Yes [X] No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? [ ] Yes [X] No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? [ ] Yes [X] No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? [ ] Yes [X] No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? [ ] Yes [X] No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) [ ] Yes [X] No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ [ ]			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? [X] Yes [ ] No			
	If "Yes," list the years ▶ 2013 , , ,			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b	X	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ , , ,			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? [ ] Yes [X] No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Janet Swanson Northwest Bank & Trust, PO Box 8001 Davenport, IA 52808-8001	Trust Officer 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	287,163.
b	Average of monthly cash balances	1b	8,516.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	295,679.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	295,679.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	4,435.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	291,244.
6	**Minimum investment return.** Enter 5% of line 5	6	14,562.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	14,562.
2a	Tax on investment income for 2016 from Part VI, line 5 2a 114.		
b	Income tax for 2016 (This does not include the tax from Part VI) 2b		
c	Add lines 2a and 2b	2c	114.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	14,448.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	14,448.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	14,448.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	22,409.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	22,409.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	114.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	22,295.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				14,448.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			3,513.	
b Total for prior years 2013 , ,		269.		
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 22,409.				
a Applied to 2015, but not more than line 2a			3,513.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				14,448.
e Remaining amount distributed out of corpus	4,448.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,448.			
b Prior years' undistributed income Subtract line 4b from line 2b		269.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		269.		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	4,448.			
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016 4,448.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Assumption High School 1020 W. Central Park Avenue Davenport, IA 52804		Private School	School Tuition and Books	5,229.
Assumption High School 1020 W. Central Park Avenue Davenport, IA 52804		Private School	School Tuition and Books	14,896.
Total			▶ 3a	20,125.
b *Approved for future payment* None				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	7,096.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	6,562.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		13,658.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13	13,658.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Northwest Bank & Trust Co. as Trustee
By [signature], Asst Trust Officer — 5-25-17 — Trust Officer

Signature of officer or trustee — Date — Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Amy M Westfall	[signature]	5-24-17		P01073152
Firm's name ▶ Blair, Westfall & Co., P.C.			Firm's EIN ▶ 27-0166339	
Firm's address ▶ 4427 E. 56th Street, Davenport, IA 52807			Phone no 563-514-5522	

623622 11-23-16

Form 990-PF Dividends and Interest from Securities Statement 1

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Northwest Bank & Trust #481	1,793.	0.	1,793.	1,793.	
Northwest Bank & Trust #481	5,303.	0.	5,303.	5,303.	
Northwest Bank & Trust #481	1,738.	1,738.	0.	0.	
To Part I, line 4	8,834.	1,738.	7,096.	7,096.	

Form 990-PF Accounting Fees Statement 2

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Tax Preparation	1,055.	528.		527.
To Form 990-PF, Pg 1, ln 16b	1,055.	528.		527.

Form 990-PF Other Professional Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Fiduciary Fees	3,514.	1,757.		1,757.
To Form 990-PF, Pg 1, ln 16c	3,514.	1,757.		1,757.

Form 990-PF	Taxes	Statement 4

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Foreign Taxes	72.	0.		0.
To Form 990-PF, Pg 1, ln 18	72.	0.		0.

Form 990-PF	Other Increases in Net Assets or Fund Balances	Statement 5

Description	Amount
Nondividend Distributions	7.
Activity designated as taxable in prior year	246.
Prior year Grant Payable	20,125.
Total to Form 990-PF, Part III, line 3	20,378.

Form 990-PF	Other Decreases in Net Assets or Fund Balances	Statement 6

Description	Amount
Adjustment for following year activity included in this year	1,330.
2015 Federal Income Tax/Penalty/Interest	2,703.
Total to Form 990-PF, Part III, line 5	4,033.

Form 990-PF	Corporate Stock	Statement 7

Description	Book Value	Fair Market Value
See Attached Schedule - Mutual Funds Corporate Stock	275,533.	288,897.
Total to Form 990-PF, Part II, line 10b	275,533.	288,897.

Form 990-PF Corporate Bonds Statement 8

Description	Book Value	Fair Market Value
See Attached Schedule - Mutual Funds Corporate Bonds	0.	0.
Total to Form 990-PF, Part II, line 10c	0.	0.

Dennis & John Vozikis Scholarship Trust
FEIN: 41-2028946

Page 2, Part II
Balance Sheet at 12/31/2016

# of Shares		(a) Beginning-of-Year Book Value	(b) End-of-Year Book Value	(c) FMV
Cash				
	Cash	427.43	(21.58)	(21.58)
Savings & Temporary Cash Investments				
2,228.45	Fidelity MM Government Port	0 00	2,228 45	2,228.45
8551 4	GS FST Prime Obligations - I	8,551.40	0 00	0.00
5,846.79	GS FST Prime Obligations - P	5,846.79	0.00	0.00
		14,398.19	2,228.45	2,228 45
Statement 10				
Mutal Funds				
4065.67	Advisors Inner Circle	43,533.96	43,533.96	42,120.34
422.209	Advisors Inner Circle	0 00	4,353.27	4,374.09
1017 764	American Beacon Bridgeway Lg Cap	0.00	22,019.36	26,543.29
418 318	Baron Select Funds Emerging Markets Instl	5,012.00	5,012 00	4,593 13
242 395	Baron Select Funds Emerging Markets Instl	0 00	2,545.03	2,661.50
507 272	Blackrock Fds II High Yield	4,027.74	0.00	0.00
1945.424	Blackrock Fds II High Yield	15,413.33	15,413 33	14,863.04
42.455	Cohen & Steers Realty	2,311.18	2,311 18	2,786 32
0.728	Cohen & Steers Realty	0.00	130 49	47 78
455 534	Deutsche Global Infrastructr	6,702.00	6,702 00	6,131.49
206.992	Deutsche Global Infrastructr	0.00	2,674.90	2,786.11
260 008	Fidelity Contrafund #22	17,344.47	17,344 47	25,600 39
0 231	Fidelity Contrafund #22	0 00	536 09	22.74
534.219	Fidelity Invt Tr Internatl	9,136.88	9,136 88	8,536.82
198.55	Fidelity Invt Tr Internatl	0 00	3,326 57	3,172 83
95.9984	Fidelity Small Cap Discovery Fund	2,868 86	2,868 86	3,037.39
118 278	Fidelity Small Cap Discovery Fund	3,043 55	0 00	0 00
697.935	Ivy Funds Intl Core Equity I	10,542 37	10,542 37	11,676.45
14.158	Ivy Funds Intl Core Equity I	0.00	1,178.34	236.85
105 933	Janus Enterprise I	0.00	8,675.05	10,086 94
226.737	J Hancock Alternative Asset Alloc Class I	3,188 14	0 00	0.00
628 891	J Hancock Alternative Asset Alloc Class I	8,922.54	8,922.54	8,754.16
1471 195	John Hancock Fund III Despln Value Fd	21,076.61	0.00	0.00
104.667	John Hancock Funds III Disc Value Midap I	1,003 96	0.00	0.00
476.499	John Hancock Funds III Disc Value Midap I	7,433 06	7,433.06	10,230.43
243.754	MFS Sers Tr X International Value I	8,972 09	8,972 09	8,906.77
77 604	MFS Sers Tr X International Value I	0.00	2,878.50	2,835.65
84 472	Oppenheimer Dev Markets Fd	0.00	2,573 55	2,700 57
145 03	Oppenheimer Dev Markets Fd	4,992 92	4,992.92	4,636.61
1471.837	Pimco Fds Pac Invt Mgmt Ser	15,724.35	15,724 35	15,027 45
253.034	Pimco Fds Pac Invt Mgmt Ser	0.00	2,453 38	2,583 48
679 98	TCW funds Inc Total Return Bond	7,068.44	0.00	0.00
4048.095	TCW funds Inc Total Return Bond	41,909 16	41,909 16	39,995.18
7.747	Vanguard 500 INDEX Fund Admiral	869.26	0 00	0.00
86 619	Vanguard 500 INDEX Fund Admiral	16,391.38	16,391 38	17,892.89
243 290	Vanguard Mid Cap Growth Inv	6,168.46	0.00	0.00
247 224	Vanguard Mid Cap Growth Inv	5,603.10	0.00	0 00
1	Vanguard REIT ETF	56 47	0.00	0 00
37	Vanguard REIT ETF	2,032 78	2,150 86	3,053 61
62.283	Vanguard Small Cap Admin	3,626.21	0.00	0 00
48.603	Vanguard Small Cap Admin	2,826.79	2,826.79	3,002 21
		277,802 06	275,532 73	288,896.51
		292,627.68	277,739.60	291,103.38

2016 **Realized Capital Gains/Losses**

SHORT-TERM TRANSACTIONS

COVERED tax lots

Description of property/CUSIP/Symbol Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)
AMER BEACON BRIDGEWAY LG CP / CUSIP: 024524126 / Symbol: BRLVX						
07/20/16	43.819	1,074.00	02/18/16	945.61	...	128.39
11/21/16	65 345	1,697 00	02/18/16	1,410.15	...	286.85
12/30/16	26.227	684.00	02/18/16	565.98	...	118.02
Security total:		3,455.00		2,921.74	0.00	533.26
JANUS ENTERPRISE I / CUSIP. 47103C795 / Symbol: JMGRX						
07/20/16	5.047	481.00	02/18/16	409.92	...	71.08
11/21/16	18.970	1,842.00	02/18/16	1,540.74	...	301.26
12/30/16	0.189	18.00	02/18/16	15.35	...	2.65
Security total:		2,341 00		1,966.01	0.00	374.99
JOHN HANCOCK FUNDS III DSPLN VALUE FD I / CUSIP. 47803U640 / Symbol: JVLIX						
02/18/16	3.844	61.39	10/19/15	70.00	...	-8.61
VANGUARD MID CAP GROWTH INV / CUSIP: 921946307 / Symbol: VMGRX						
02/18/16	124 894	2,481.65	05/11/15	3,241.00	...	-759.35
Totals:		**8,339.04**		**8,198.75**	0 00	**140.29**

LONG-TERM TRANSACTIONS

COVERED tax lots

Description of property/CUSIP/Symbol Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)
ADVISORS INNER CIRCLE FD II FROST TOTAL RET BD / CUSIP: 00766Y810 / Symbol: FIJEX						
05/23/16	142.085	1,472.00	02/06/15	1,521.73	...	-49.73
12/30/16	19.112	198 00	02/06/15	204.69	...	-6.69
Security total.		1,670.00		1,726.42	0.00	-56.42
BARON SELECT FUNDS EMERGING MARKETS INSTL / CUSIP: 06828M876 / Symbol. BEXIX						
07/20/16	47.155	547.00	02/06/15	563.97	...	-16.97
12/30/16	1.366	15.00	02/06/15	16.34	...	-1.34
Security total:		562.00		580.31	0.00	-18.31

2016 **Realized Capital Gains/Losses (continued)**

LONG-TERM TRANSACTIONS COVERED tax lots

Description of property/CUSIP/Symbol Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)
BLACKROCK FDS II HIGH YIELD BOND INST / CUSIP: 091929638 / Symbol. BHYIX						
05/23/16	66 301	484 00	02/06/15	526.43	...	-42.43
07/20/16	30.718	231.00	02/06/15	243.90	...	-12.90
11/21/16	410.253	3,081.00	02/06/15	3,257.41	...	-176.41
12/30/16	55 367	423 00	02/06/15	439.61	...	-16.61
	Security total	4,219.00		4,467.35	0.00	-248.35
DEUTSCHE GLOBAL INFRASTRUCTR / CUSIP: 25156A858 / Symbol: TOLIX						
05/23/16	29.256	397.00	05/11/15	432.40	...	-35.40
07/20/16	21 766	318.00	05/11/15	321.70	...	-3 70
12/30/16	20.282	273 00	05/11/15	299.77	...	-26.77
	Security total:	988.00		1,053 87	0.00	-65.87
FIDELITY INVT TR INTERNATL CAPITAL APPREC FD / CUSIP: 315910810 / Symbol: FIVFX						
07/20/16	4.227	73 00	02/06/15	70 51	.	2.49
11/21/16	43.161	691.00	02/06/15	719 92	...	-28.92
12/30/16	9.887	158.00	02/06/15	164.92	...	-6.92
	Security total:	922.00		955.35	0.00	-33.35
FIDELITY SMALL CAP DISCOVERY FUND / CUSIP: 315912600 / Symbol: FSCRX						
05/23/16	53.227	1,435.00	07/05/12	1,166.20	...	268.80
07/20/16	6.560	189.00	07/05/12	143.74	...	45.26
	4 tax lots for 11/21/16.					
	5 590	*171.83*	*07/05/12*	*122.47*	...	*49.36*
	16.161	*496.79*	*11/04/13*	*506.00*	...	*-9.21*
	8.578	*263 69*	*02/13/14*	*260.00*	..	*3.69*
	28.162	*865.69*	*02/06/15*	*845.14*	...	*20.55*
11/21/16	58.491	1,798 00	VARIOUS	1,733.61	0.00	64 39
12/30/16	5.152	163.00	02/06/15	154.61	...	8.39
	Security total.	3,585 00		3,198.16	0.00	386.84

2016 **Realized Capital Gains/Losses (continued)**

LONG-TERM TRANSACTIONS

COVERED tax lots

Description of property/CUSIP/Symbol Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)
JOHN HANCOCK FUNDS III DSPLN VALUE FD I / CUSIP: 47803U640 / Symbol: JVLIX						
	5 tax lots for 02/18/16					
	17.008	*271.62*	*07/12/12*	*224.00*	...	*47.62*
	20.666	*330.04*	*11/02/12*	*298.00*	...	*32.04*
	151.609	*2,421 19*	*05/02/13*	*2,403 00*	...	*18.19*
	32.135	*513 20*	*02/13/14*	*572.00*	...	*-58.80*
	145.925	*2,330.42*	*05/05/14*	*2,650.00*	..	*-319.58*
02/18/16	367.343	5,866 47	VARIOUS	6,147.00	0.00	-280.53
J HANCOCK ALTERNATIVE ASSET ALLOC CLASS I / CUSIP. 47804A122 / Symbol. JAAIX						
05/23/16	11.564	159.00	07/12/12	162 59	...	-3.59
11/21/16	217.180	3,034 00	07/12/12	3,053.55	...	-19 55
12/30/16	6.896	96.00	07/12/12	96.96	...	-0.96
Security total:		3,289.00		3,313.10	0.00	-24.10
MFS SERS TR X INTERNATIONAL VALUE I / CUSIP: 55273E822 / Symbol: MINIX						
07/20/16	5 241	200 00	02/06/15	186.74	..	13.26
11/21/16	29.519	1,081.00	02/06/15	1,051.76	...	29.24
12/30/16	4.871	178 00	02/06/15	173.55	...	4.45
Security total:		1,459.00		1,412.05	0.00	46.95
OPPENHEIMER DEV MARKETS FD CLASS Y / CUSIP: 683974505 / Symbol. ODVYX						
07/20/16	9.380	301.00	11/02/12	318.45	...	-17.45
12/30/16	3.096	99.00	11/02/12	105.11	...	-6.11
Security total:		400 00		423.56	0.00	-23.56
PIMCO FDS PAC INVT MGMT SER INVT GRADE BD INSTL / CUSIP: 722005816 / Symbol: PIGIX						
07/20/16	35.735	377.00	02/06/15	383.08	...	-6.08
11/21/16	229.528	2,332.00	02/06/15	2,460.54	...	-128 54
12/30/16	24.290	248.00	02/06/15	260.39	...	-12.39
Security total.		2,957 00		3,104.01	0.00	-147.01

2016   **Realized Capital Gains/Losses (continued)**

LONG-TERM TRANSACTIONS   COVERED tax lots

Description of property/CUSIP/Symbol Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)
TCW FUNDS INC TOTAL RETURN BOND CLASSI / CUSIP: 87234N880 / Symbol: TGLMX						
05/23/16	777 745	8,003.00	02/06/15	8,057.44	...	-54.44
VANGUARD MID CAP GROWTH INV / CUSIP: 921946307 / Symbol. VMGRX						
5 tax lots for 02/18/16.						
	212.747	*4,227 28*	*04/01/13*	*4,782.56*	...	*-555.28*
	2.702	*53.69*	*05/02/13*	*61.00*	...	*-7.31*
	2 277	*45.24*	*11/04/13*	*59.00*	...	*-13.76*
	15.595	*309.87*	*02/13/14*	*388.00*	...	*-78.13*
	132.299	*2,628.78*	*02/06/15*	*3,240.00*	...	*-611.22*
02/18/16	365.620	7,264.86	VARIOUS	8,530.56	0.00	-1,265.70
VANGUARD SMALL CAP ADMIN / CUSIP: 922908686 / Symbol· VSMAX						
05/23/16	29.726	1,593.00	05/11/15	1,731.25	...	-138.25
07/20/16	4.034	233.00	05/11/15	234.94	...	-1.94
11/21/16	28.503	1,729.00	05/11/15	1,660.02	...	68.98
12/30/16	2 023	125 00	05/11/15	117.82	...	7.18
	Security total:	3,680.00		3,744.03	0.00	-64.03
VANGUARD 500 INDEX ADMIRAL / CUSIP: 922908710 / Symbol: VFIAX						
12/30/16	2.269	468.72	02/06/15	430.93	...	37.79
	Totals:	**45,334.05**		**47,144.14**	0.00	**-1,810.09**

2016 **Realized Capital Gains/Losses (continued)**

LONG-TERM TRANSACTIONS

NONCOVERED tax lots

Description of property/CUSIP/Symbol Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)
COHEN & STEERS REALTY SHRS / CUSIP: 192476109 / Symbol: CSRSX						
05/23/16	1.617	115.00	07/06/10	75.06	...	39.94
07/20/16	2.250	172.00	07/06/10	104.45	...	67.55
Security total:		287.00		179.51	0.00	107.49
FIDELITY CONTRAFUND #22 / CUSIP: 316071109 / Symbol: FCNTX						
07/20/16	6.074	613.00	07/02/09	285.35	..	327.65
11/21/16	4.333	441.00	07/02/09	203.56	...	237.44
Security total:		1,054.00		488.91	0.00	565.09
IVY FUND INTL CORE EQUITY I / CUSIP: 465899706 / Symbol: ICEIX						
05/23/16	332.914	5,290.00	12/21/11	4,530.97	...	759.03
07/20/16	1.226	20.00	12/21/11	16.69	...	3.31
12/30/16	19.904	333.00	12/21/11	270.89	...	62.11
Security total:		5,643.00		4,818.55	0.00	824.45
JOHN HANCOCK FUNDS III DSPLN VALUE FD I / CUSIP: 47803U640 / Symbol: JVLIX						
3 tax lots for 02/18/16.						
	256.957	*4,103.60*	*04/25/11*	*3,494.61*	...	*608.99*
	634.836	*10,138.33*	*07/07/11*	*8,729.00*	. .	*1,409.33*
	208.215	*3,325.19*	*11/03/11*	*2,636.00*	...	*689.19*
02/18/16	1,100.008	17,567.12	VARIOUS	14,859.61	0.00	2,707.51
JOHN HANCOCK FUNDS III DISC VALUE MIDCAP I / CUSIP: 47803W406 / Symbol· JVMIX						
07/20/16	16.561	339.00	09/15/11	179.02	...	159.98
11/21/16	102.862	2,228.00	09/15/11	1,111.94	...	1,116.06
12/30/16	7.266	156.00	09/15/11	78.55	...	77.45
Security total:		2,723.00		1,369.51	0.00	1,353.49
VANGUARD REIT ETF / CUSIP: 922908553 / Symbol. VNQ						
05/23/16	3.000	245.75	05/26/10	133.60	...	112.15
07/20/16	2 000	173.65	05/26/10	89.07	...	84.58
Security total:		419 40		222.67	0.00	196.73

2016 **Realized Capital Gains/Losses (continued)**

LONG-TERM TRANSACTIONS NONCOVERED tax lots

Description of property/CUSIP/Symbol Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)
VANGUARD 500 INDEX ADMIRAL / CUSIP: 922908710 / Symbol: VFIAX						
05/23/16	2.638	500 00	09/28/11	279 58	...	220.42
	2 tax lots for 07/20/16.					
	0.444	*89.11*	*09/28/11*	*47.06*	...	*42.05*
	2.735	*548.89*	*11/03/11*	*318.13*	...	*230.76*
07/20/16	3 179	638.00	VARIOUS	365.19	0.00	272.81
11/21/16	1.930	393.00	11/03/11	224.49	...	168.51
12/30/16	0 863	178.28	11/03/11	100.38	. .	77.90
	Security total	1,709 28		969.64	0.00	739.64
	Totals:	29,402.80		22,908.40	0.00	6,494.40