

Form **990-PF****Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2016**Department of the Treasury  
Internal Revenue Service▶ Do not enter social security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation <b>ESS FAMILY FOUNDATION</b>		A Employer identification number <b>41-1975420</b>
Number and street (or P O box number if mail is not delivered to street address) <b>9761 ROOKERY CIRCLE</b>		B Telephone number (see instructions) <b>612-309-1570</b>
City or town, state or province, country, and ZIP or foreign postal code <b>ESTERO FL 33928</b>		C If exemption application is pending, check here <input type="checkbox"/>
Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <b>166,054</b> (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	37,500			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	4	4		
	4 Dividends and interest from securities	1,920	1,920		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-1,118			
	b Gross sales price for all assets on line 6a	23,804			
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	78	78			
12 Total. Add lines 1 through 11	38,384	2,002	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest	16			
	18 Taxes (attach schedule) (see instructions)	256	256		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	1,104	1,073		
	24 Total operating and administrative expenses. Add lines 13 through 23	1,376	1,329	0	0
	25 Contributions, gifts, grants paid	30,577			30,577
26 Total expenses and disbursements. Add lines 24 and 25	31,953	1,329	0	30,577	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	6,431				
b Net investment income (if negative, enter -0-)		673			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2016)

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Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash – non-interest-bearing	28,576	35,142	35,142
	2 Savings and temporary cash investments	2,593	3,550	3,550
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (att schedule) ▶ Less allowance for doubtful accounts ▶	0		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) See Stmt 4	94,454	93,304	106,086
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶ Stmt 5	21,276	21,276	21,276
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
Liabilities	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	15 Other assets (describe ▶ )			
	16 Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)	146,899	153,272	166,054
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ See Statement 6 )	58		
	23 Total liabilities (add lines 17 through 22)	58	0	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input checked="" type="checkbox"/>			
	24 Unrestricted	146,841	153,272	
Net Assets or Fund Balances	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
Net Assets or Fund Balances	30 Total net assets or fund balances (see instructions)	146,841	153,272	
	31 Total liabilities and net assets/fund balances (see instructions)	146,899	153,272	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	146,841
2 Enter amount from Part I, line 27a	2	6,431
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	153,272
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	153,272

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>	<b>MORGAN STANLEY – VARIOUS</b>	<b>P</b>	<b>Various</b>	<b>Various</b>
<b>b</b>	<b>MORGAN STANLEY: CAP GAIN DIST</b>			
<b>c</b>				
<b>d</b>				
<b>e</b>				

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 22,431		24,922	-2,491
<b>b</b> 1,373			1,373
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			-2,491
<b>b</b>			1,373
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss)	<div style="border-left: 1px solid black; border-right: 1px solid black; padding: 0 10px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>	<b>2</b>	-1,118
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	25,476	139,590	0.182506
2014	24,645	136,228	0.180910
2013	66,399	125,653	0.528431
2012	40,899	148,536	0.275347
2011	33,148	122,514	0.270565

  

<b>2</b> Total of line 1, column (d)	<b>2</b>	1.437759
<b>3</b> Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.287552
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	<b>4</b>	143,177
<b>5</b> Multiply line 4 by line 3	<b>5</b>	41,171
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	7
<b>7</b> Add lines 5 and 6	<b>7</b>	41,178
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	30,577

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	<b>13</b>
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	<b>0</b>
<b>3</b>	Add lines 1 and 2	<b>3</b>	<b>13</b>
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	<b>0</b>
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	<b>13</b>
<b>6</b>	Credits/Payments		
<b>a</b>	2016 estimated tax payments and 2015 overpayment credited to 2016	<b>6a</b>	
<b>b</b>	Exempt foreign organizations – tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>	
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	<b>13</b>
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2017 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<b>X</b>
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		<b>X</b>
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		<b>X</b>
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation. <b>\$</b> _____ <b>(2)</b> On foundation managers <b>\$</b> _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <b>\$</b> _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		<b>X</b>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		<b>X</b>
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		<b>X</b>
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		<b>X</b>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<b>X</b>	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	<b>X</b>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>MN</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	<b>X</b>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		<b>X</b>
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		<b>X</b>

N/A

**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► JUDITH A. ESS 9761 ROOKERY CIRCLE Located at ► ESTERO FL ZIP+4 ► 33928 Telephone no ► 612-309-1570		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	N/A	1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ► 20 , 20 , 20 , 20	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<b>5a</b> During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b> If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A		5b
Organizations relying on a current notice regarding disaster assistance check here	▶ <input type="checkbox"/>		
<b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No		
If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b
If "Yes" to 6b, file Form 8870			X
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b> If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A		7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 7				

**2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total** number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1 N/A</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1 N/A</b>	
<b>2</b>	
All other program-related investments See instructions	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 <span style="float: right;">▶</span>	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	<b>105,305</b>
<b>b</b>	Average of monthly cash balances	<b>1b</b>	<b>18,776</b>
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	<b>21,276</b>
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	<b>145,357</b>
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	<b>0</b>
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	<b>0</b>
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	<b>145,357</b>
<b>4</b>	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	<b>4</b>	<b>2,180</b>
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	<b>143,177</b>
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	<b>7,159</b>

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	<b>7,159</b>
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5	<b>2a</b>	<b>13</b>
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	<b>13</b>
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	<b>7,146</b>
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	<b>7,146</b>
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	<b>7,146</b>

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	<b>1a</b>	<b>30,577</b>
<b>b</b>	Program-related investments – total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	<b>30,577</b>
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	<b>0</b>
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	<b>30,577</b>

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				<b>7,146</b>
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011	27,030			
<b>b</b> From 2012	33,522			
<b>c</b> From 2013	60,202			
<b>d</b> From 2014	17,961			
<b>e</b> From 2015	18,668			
<b>f</b> Total of lines 3a through e	157,383			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <b>30,577</b>				
<b>a</b> Applied to 2015, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required – see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required – see instructions)				
<b>d</b> Applied to 2016 distributable amount				<b>7,146</b>
<b>e</b> Remaining amount distributed out of corpus	23,431			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:	180,814			
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount – see instructions				
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount – see instructions				
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				<b>0</b>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	27,030			
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	153,784			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012	33,522			
<b>b</b> Excess from 2013	60,202			
<b>c</b> Excess from 2014	17,961			
<b>d</b> Excess from 2015	18,668			
<b>e</b> Excess from 2016	23,431			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test – enter					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test – enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
**See Statement 8**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
**N/A**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed  
**N/A**

**b** The form in which applications should be submitted and information and materials they should include  
**N/A**

**c** Any submission deadlines  
**N/A**

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors  
**N/A**

**Part XV** Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year See Statement 9				30,577
<b>Total</b>			▶ <b>3a</b>	<b>30,577</b>
<b>b</b> Approved for future payment N/A				
<b>Total</b>			▶ <b>3b</b>	

Enter gross amounts unless otherwise indicated

[illegible]

(See worksheet in line 13 instructions to verify calculations )

[illegible]



**Schedule B**  
**(Form 990, 990-EZ,**  
**or 990-PF)**

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

OMB No 1545-0047

**2016**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

**ESS FAMILY FOUNDATION**

Employer identification number

**41-1975420**

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 $\frac{1}{3}$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year.

▶ \$

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

ESS FAMILY FOUNDATION

Employer identification number

41-1975420

**Part I Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	TRENT ESS 2066 SHOREWOOD DRIVE  MOUND MN 55364	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
2	TROY ESS 6225 PIONEER TRAIL  LORETTO MN 55357	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
3	JUDITH A. ESS 9761 ROOKERY CIRCLE  ESTERO FL 33928	\$ 18,500	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
4	TIFFANY IMKER 1734 SANDBAR CIRCLE  WACONIA MN 55387	\$ 8,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )

## Federal Statements

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME	\$ 78	\$ 78	\$
Total	\$ 78	\$ 78	\$ 0

Statement 2 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
SEC. 4940 TAX	\$ 172	\$ 172	\$	\$
REAL PROPERTY TAX	84	84		
Total	\$ 256	\$ 256	\$ 0	\$ 0

Statement 3 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses	\$	\$	\$	
FILING FEE: MINN ATTORNEY GEN	25			
INVESTMENT FEES: MORGAN STANL	1,073	1,073		
LATE PMT INTEREST: 990PF	6			
Total	\$ 1,104	\$ 1,073	\$ 0	\$ 0

Statement 4 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SUNAMERICA FOCUSED SERIES INCOME	\$ 25,000	\$ 25,000	Cost	\$ 33,885
FIRST EAGLE GLOBAL FUND	25,000	25,000	Cost	27,664
ALGER SPECTRA 2	9,015		Cost	
AMG SYSTEMATIC MID-CAP VALUE	746		Cost	
CALAMOS INT'L GROWTH I	6,271		Cost	
CONGRESS MID-CAP GROWTH	8,414	8,514	Cost	9,103



## Federal Statements

Statement 4 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
WELLS FARGO PREM LARGE CO	\$ 8,892		Cost	\$
ISHARES MSCI USA MINN ETF	11,116	11,116	Cost	11,875
MFS UTILITIES FUND			Cost	
AB GLOBAL BOND		5,393	Cost	5,335
ALLIANZGI STRUCTURED RETURN		10,876	Cost	10,916
ISHARES IBONDS MAR 20		7,405	Cost	7,308
Total	\$ 94,454	\$ 93,304		\$ 106,086

Statement 5 - Form 990-PF, Part II, Line 11 - Land, Building, and Equipment Investments

Description	Beginning Net Book	End Cost / Basis	End Accumulated Depreciation	Net FMV
LAND, CARVER COUNTY, MN	\$ 21,276	\$ 21,276	\$	\$ 21,276
Total	\$ 21,276	\$ 21,276	\$ 0	\$ 21,276

**Statement 6 - Form 990-PF, Part II, Line 22 - Other Liabilities**

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>
CREDIT CARD CHARGES PAYABLE	\$ 58	\$
Total	\$ 58	\$ 0

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## Federal Statements

## Statement 7 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
JUDITH A. ESS 9761 ROOKERY CIRCLE ESTERO FL 33928	DIRECTOR	1.00	0	0	0
TIFFANY A. IMKER 1743 SANDBAR CIRCLE WACONIA MN 55387	DIRECTOR	1.00	0	0	0
TRENT ESS 2066 SHOREWOOD DRIVE MOUND MN 55364	DIRECTOR	1.00	0	0	0
TROY ESS 6225 PIONEER TRAIL LORETTO MN 55357	DIRECTOR	1.00	0	0	0
KIMBERLY WRIGHT 2012 PINE RIDGE DRIVE WEST SAINT PAUL MN 55118	DIRECTOR	1.00	0	0	0

**Statement 8 - Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or \$5,000**

<u>Name of Manager</u>	<u>Amount</u>
THOMAS W..ESS, DEC.	\$
JUDITH A. ESS	
Total	\$ 0

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## Federal Statements

Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the  
Year

Name		Address		Relationship	Status	Purpose	Amount
Address							
CHILDREN INTERNATIONAL		PO BOX 219055	PUBLIC CHAR		OPERATING		4,752
KANSAS CITY MO 64121		615 FIRST AVENUE NE	PUBLIC CHAR		OPERATING		200
MAKE A WISH FOUNDATION		2260 SUMMIT AVENUE	PUBLIC CHAR		OPERATING		
MINNEAPOLIS MN 55413		3915 GOLDEN VALLEY ROAD	PUBLIC CHAR		OPERATING		
SAINT PAUL SEMINARY		525 THOMAS AVENUE	PUBLIC CHAR		OPERATING		
SAINT PAUL MN 55105		37 SOUTH COLLEGE AVENUE	PUBLIC CHAR		OPERATING		
COURAGE CENTER		330 EXCHANGE STREET SOUTH	PUBLIC CHAR		OPERATING		200
MINNEAPOLIS MN 55422		41 EAST FIRST STREET	PUBLIC CHAR		OPERATING		200
TOTAL LIFE CARE CENTERS		230 CONCORD EXCHANGE N	PUBLIC CHAR		OPERATING		6,000
SAINT PAUL MN 55103		41 MADISON AVENUE	PUBLIC CHAR		OPERATING		
COLLEGE OF SAINT BENEDICT		77 9TH STREET EAST	PUBLIC CHAR		OPERATING		1,000
SAINT JOSEPH MN 56374		1200 SECOND AVENUE SOUTH	PUBLIC CHAR		OPERATING		
LITTLE SISTERS OF THE		2385 COMMERCE BOULEVARD	PUBLIC CHAR		OPERATING		3,000
SAINT PAUL MN 55102		1900 111TH AVENUE NW	PUBLIC CHAR		OPERATING		
SAINT JOSEPH CATHOLIC		19680 CYPRESS VIEW DRIVE	PUBLIC CHAR		OPERATING		6,500
WACONIA MN 55387		707 4TH STREET SOUTH	PUBLIC CHAR		OPERATING		
MARRIAGE ENCOUNTER		105 PEAVY ROAD	PUBLIC CHAR		OPERATING		
SOUTH SAINT PAUL MN 55075		5005 LBJ FREEWAY	PUBLIC CHAR		OPERATING		200
SMILE TRAIN		PO BOX 1443	PUBLIC CHAR		OPERATING		
NEW YORK NY 10010							
UNION GOSPEL MISSION							
SAINT PAUL MN 55101							
CATHOLIC CHARITIES							
MINNEAPOLIS MN 55403							
OUR LADY OF THE LAKE							
MOUND MN 55364							
CHURCH OF THE EPIPHANY							
COON RAPIDS MN 55433							
OUR LADY OF LIGHT							
FORT MEYERS FL 33912							
SAINT JAMES CATHOLIC							
SAINT JAMES MN 56081							
MIRACLES OF MITCH							
CHASKA MN 55318							
SUSAN B. KOMMEN							
DALLAS TX 75244							
CHILDREN'S FOUNDATION							
LOVELAND CO 80539-1443							

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## Federal Statements

Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the  
Year (continued)

Name		Address		Relationship	Status	Purpose	Amount
Address							
SHRINERS' HOSPITAL		2025 EAST RIVER PARKWAY				OPERATING	
MINNEAPOLIS MN 55414	NONE	PUBLIC CHAR					
ALLIANCE DEFENSE FUND		15100 N 90TH STREET				OPERATING	
SCOTTSDALE AZ 85260	NONE	PUBLIC CHAR					
HERITAGE FOUNDATION		214 MASSACHUSETTS AVE NE				OPERATING	
WASHINGTON DC 20002-4999	NONE	PUBLIC CHAR					
CITIZENS UNITED		1006 PENNSYLVANIA AVE SE				OPERATING	
WASHINGTON DC 20003	NONE	PUBLIC CHAR					
AMERICAN FAMILY		PO DRAWER 2440				OPERATING	
TUPELO MS 38803	NONE	PUBLIC CHAR					
HOLY FAMILY CATHOLIC		8101 KOCHIA LANE				OPERATING	
VICTORIA MN 55386-8228	NONE	PUBLIC CHAR					
FIDELIS FOUNDATION		16668 WINNERS CIRCLE DR				OPERATING	
DELRAY BEACH FL 33446	NONE	PUBLIC CHAR					
SALVATION ARMY		910 NORTH 4TH STREET				OPERATING	
MINNEAPOLIS MN 55401-1039	NONE	PUBLIC CHAR					
MEDIA RESEARCH CENTER		325 SOUTH PATRICK STREET				OPERATING	
ALEXANDRIA VA 22314	NONE	PUBLIC CHAR					
LAKE MINNETONKA		PO BOX 248				OPERATING	
EXCELSIOR MN 55331	NONE	PUBLIC CHAR					
MASONIC CANCER CENTER		PO BOX 64001				OPERATING	
SAINT PAUL MN 55164-0001	NONE	PUBLIC CHAR					
CATHOLIC SERVICES APPEAL		328 KELLOGG BOULEVARD W				OPERATING	
SAINT PAUL MN 55102	NONE	PUBLIC CHAR					
SCIENCE MUSEUM OF		120 KELLOGG BOULEVARD W				OPERATING	
SAINT PAUL MN 55102	NONE	PUBLIC CHAR					
CATHOLIC FAITH APPEAL		1000 PINEBROOK ROAD				OPERATING	
VENICE FL 34285	NONE	PUBLIC CHAR					
ORDWAY CENTER		345 WASHINGTON STREET				OPERATING	
SAINT PAUL MN 55102	NONE	PUBLIC CHAR					
AMERICAN DIABETES		1701 N BEAUREGARD STREET				OPERATING	
ALEXANDRIA VA 22311	NONE	PUBLIC CHAR					
CHAPEL OF THE CROSS		304 EAST FRANKLIN STREET				OPERATING	
CHAPEL HILL NC 27514-3624	NONE	PUBLIC CHAR					
SOUTHWEST CRISIS CENTER		920 DIAGONAL ROAD				OPERATING	
WORTHINGTON MN 56187	NONE	PUBLIC CHAR					

2,000

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41-1975420

## Federal Statements

Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the  
Year (continued)

Name		Address		Relationship	Status	Purpose	Amount
Address							
SPECIAL OLYMPICS, INC		1133 19TH STREET NW	PUBLIC CHAR	OPERATING			
WASHINGTON DC 20036	NONE	3213 DUKE STREET	PUBLIC CHAR	OPERATING			
AMERICAN CIVIL RIGHTS UNION	NONE	200 OAK STREET SE	PUBLIC CHAR	OPERATING			
ALEXANDRIA VA 22314	NONE	172 EAST 4TH STREET	PUBLIC CHAR	OPERATING			
MINNESOTA MEDICAL FOUNDATION	NONE	1715 YANKEE DOODLE ROAD	PUBLIC CHAR	OPERATING			
MINNEAPOLIS MN 55455	NONE	3755 DUNKIRK LANE NORTH	PUBLIC CHAR	OPERATING			
TWIN CITIES PUBLIC TELEVISION	NONE	2000 EAST RED BRIDGE ROAD	PUBLIC CHAR	OPERATING			2,000
SAINT PAUL MN 55101	NONE	425 THIRD STREET SW	PUBLIC CHAR	OPERATING			
CARING BRIDGE	NONE	733 THIRD AVENUE	PUBLIC CHAR	OPERATING			50
EAGAN MN 55121	NONE	2925 CHICAGO AVENUE	PUBLIC CHAR	OPERATING			
PLYMOUTH PRESBYTERIAN CHURCH	NONE	480 CEDAR STREET	PUBLIC CHAR	OPERATING			
PLYMOUTH MN 55446	NONE	262 DANNY THOMAS PLACE	PUBLIC CHAR	OPERATING			
CHILDREN'S INTERNATIONAL	NONE	1331 H STREET NW	PUBLIC CHAR	OPERATING			
KANSAS CITY MO 64121	NONE	110-A SHIELDS PARK DRIVE	PUBLIC CHAR	OPERATING			
JUDICIAL WATCH	NONE	1311 MAMARONECK AVENUE	PUBLIC CHAR	OPERATING			
WASHINGTON DC 20024	NONE	239 SELBY AVENUE	PUBLIC CHAR	OPERATING			
NATIONAL MULTIPLE SCLEROSIS SOCIETY	NONE	101 TRUMAN AVENUE	PUBLIC CHAR	OPERATING			
NEW YORK NY 10017	NONE	PO BOX 651355	PUBLIC CHAR	OPERATING			
ABBOTT NORTHWESTERN HOSPITAL	NONE						
MINNEAPOLIS MN 55407	NONE						
MINNESOTA PUBLIC RADIO	NONE						
SAINT PAUL MN 55102	NONE						
SAINT JUDE CHILDREN'S RESEARCH	NONE						
MEMPHIS TN 38105	NONE						
AMERICAN CONSERVATIVE UNION	NONE						500
WASHINGTON DC 20025	NONE						
UNITED AMERICAN PATRIOTS	NONE						
KERNERSVILLE NC 27284	NONE						
LEUKEMIA LYMPHOMA SOCIETY	NONE						
WHITE PLAINS NY 10605	NONE						
CATHEDRAL OF SAINT PAUL	NONE						
SAINT PAUL MN 55102	NONE						
CONSUMER REPORTS FOUNDATION	NONE						
YONKERS NY 10703	NONE						
CAPITOL WATCH	NONE						
STERLING VA 20165	NONE						

## Federal Statements

Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the  
Year (continued)

Name		Address		Relationship	Status	Purpose	Amount
Address							
CROSS INTERNATIONAL CATHOLIC BOCA RATON FL 33427	NONE	2700 NORTH MILITARY TRAIL PUBLIC CHAR	OPERATING				
MINNESOTA ORCHESTRA MINNEAPOLIS MN 55403	NONE	1111 NICOLLET MALL PUBLIC CHAR	OPERATING				
SAINT THOMAS ACADEMY MENDOTA HEIGHTS MN 55120	NONE	949 MENDOTA HEIGHTS ROAD PUBLIC CHAR	OPERATING				
LAKE MINNETONKA REGIONAL ARTS MOUND MN 55364	NONE	5581 OLD SHORELINE DRIVE PUBLIC CHAR	OPERATING				
AMNESTY INTERNATIONAL USA NEW YORK NY 10001	NONE	5 PENN PLAZA PUBLIC CHAR	OPERATING				
MISSIONARY OBLATES OF MARY WHITE EARTH MN 56591	NONE	PO BOX 417 PUBLIC CHAR	OPERATING				
HENNEPIN COUNTY SHERIFF MINNEAPOLIS MN 55415	NONE	PO BOX 15011 PUBLIC CHAR	OPERATING				
INSTITUTE FOR JUSTICE ARLINGTON VA 22203	NONE	901 NORTH GLEBE ROAD PUBLIC CHAR	OPERATING				
UNIVERSITY OF NORTH DAKOTA GRAND FORKS ND 58202	NONE	3501 UNIVERSITY AVENUE PU BLIC CHAR	OPERATING				200
FOOD ALLERGY SUPPORT GROUP MINNEAPOLIS MN 55413	NONE	1730 NEW BRIGHTON BLVD PUBLIC CHAR	OPERATING				
FOOD ALLERGY & ANAPHYLAXIS FAIRFAX VA 22033	NONE	11781 LEE JACKSON HIGHWAY PUBLIC CHAR	OPERATING				
GEORGE W. BUSH PRESIDENTIAL DALLAS TX 75205	NONE	2943 SMU BOULEVARD PUBLIC CHAR	OPERATING				
MARCO ISLAND CENTER FOR THE ARTS MARCO ISLAND FL 34145	NONE	1010 WINTERBERRY DRIVE PUBLIC CHAR	OPERATING				
FEED MY STARVING CHILDREN COON RAPIDS MN 55433	NONE	401 93RD AVENUE NW PUBLIC CHAR	OPERATING				
ALLIANCE DEFENDING FREEDOM SCOTTSDALE AZ 85260	NONE	15100 NORTH 90TH STREET PUBLIC CHAR	OPERATING				
FREEDOMWORKS FOUNDATION WASHINGTON DC 20001	NONE	400 NORTH CAPITOL ST. NW PUBLIC CHAR	OPERATING				
WESTWOOD COMMUNITY CHURCH EXCELSIOR MN 55331	NONE	3121 WESTWOOD DRIVE PUBLIC CHAR	OPERATING				
RIDGEVIEW FOUNDATION WACONIA MN 55387	NONE	500 SOUTH MAPLE STREET PUBLIC CHAR	OPERATING				



41-1975420

## Federal Statements

Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the  
Year (continued)

Name		Address		Relationship	Status	Purpose	Amount
Address							
NATIONAL CENTER FOR PUBLIC WASHINGTON DC 20002		NONE	500 CAPITOL COURT, N.E. PUBLIC CHAR		OPERATING		125
GRACE FAMILY CENTER MOUND MN 55364		NONE	2385 COMMERCE BLVD PUBLIC CHAR		OPERATING		
OBLATES OF SAINT FRANCES DE WILMINGTON DE 19806		NONE	2200 KENTMERE PKWY PUBLIC CHAR		OPERATING		
SCALPEL AT THE CROSS MIAMI FL 33255		NONE	P.O. BOX 558436 PUBLIC CHAR		OPERATING		2,500
MARCH OF DIMES MINNEAPOLIS MN 55439		NONE	8000 WEST 78TH STREET PUBLIC CHAR		OPERATING		
CHILDREN'S HOSPITAL SAINT PAUL MN 55102		NONE	345 SMITH AVENUE NORTH PUBLIC CHAR		OPERATING		
PINKY SWEAR FOUNDATION BLOOMINGTON MN 55438		NONE	7835 TELEGRAPH ROAD PUBLIC CHAR		OPERATING		1,000
Total							30,577