

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing	3,391,545				
	2	Savings and temporary cash investments		8,450,210	8,450,210		
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ 20,960 Less allowance for doubtful accounts ▶ _____ 0	0	20,960	20,960		
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges	65,640	64,843	64,843		
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)	6,111,129	15,980,573	18,832,196		
	c	Investments—corporate bonds (attach schedule)	13,199,174	4,497,658	4,506,393		
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans	535,666	488,300	488,300		
	13	Investments—other (attach schedule)					
	14	Land, buildings, and equipment basis ▶ _____ 11,673 Less accumulated depreciation (attach schedule) ▶ _____	11,673	11,673	11,673		
15	Other assets (describe ▶ _____)	0	2,722,500	2,722,500			
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	23,314,827	32,236,717	35,097,075			
Liabilities	17	Accounts payable and accrued expenses	857	5,077			
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)	1,492	652			
	23	Total liabilities (add lines 17 through 22)	2,349	5,729			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds	0	0			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0			
	29	Retained earnings, accumulated income, endowment, or other funds	23,312,478	32,230,988			
30	Total net assets or fund balances (see instructions)	23,312,478	32,230,988				
31	Total liabilities and net assets/fund balances (see instructions) .	23,314,827	32,236,717				

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	23,312,478
2	Enter amount from Part I, line 27a	2	9,052,046
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	32,364,524
5	Decreases not included in line 2 (itemize) ▶ _____	5	133,536
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	32,230,988

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a PUBLICLY TRADED SECURITIES			2016-12-31
b PUBLICLY TRADED SECURITIES			2016-12-31
c PUBLICLY TRADED SECURITIES			2016-12-31
d CAPITAL GAINS DIVIDENDS	P		
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 12,375,717		12,642,351	-266,634
b 9,654,148		6,041,380	3,612,768
c 937			937
d 550			550
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-266,634
b			3,612,768
c			937
d			550
e			

2 Capital gain net income or (net capital loss)	2	3,347,621
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐

Yes

☒

No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	1,439,375	27,273,520	0 052776
2014	1,283,459	27,009,254	0 047519
2013	1,444,593	24,852,680	0 058126
2012	617,109	19,251,384	0 032055
2011	1,107,396	15,236,121	0 072682

2 Total of line 1, column (d)	2	0 263158
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 052632
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	32,296,652
5 Multiply line 4 by line 3	5	1,699,837
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	38,076
7 Add lines 5 and 6	7	1,737,913
8 Enter qualifying distributions from Part XII, line 4	8	1,111,125

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	76,152
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	76,152
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	76,152
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	64,843
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	25,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	89,843
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	13,691
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ☐ 13,691 Refunded ☐	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ MN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 	10	Yes

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► MICHAEL SLAGGIE Telephone no ► (507) 474-3005			

Located at **►** 111 MARKET STREET WINONA MNZIP+4 **►** 55987

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ► ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	No

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	☐ Yes ☒ No	5b	
	Organizations relying on a current notice regarding disaster assistance check here.	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945-5(d)</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
	b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	☐ Yes ☒ No	6b	No
	<i>If "Yes" to 6b, file Form 8870</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
	b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	☐ Yes ☒ No	7b	

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

[illegible][illegible]

Total number of other employees paid over \$50,000.	0
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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	23,559,324
b	Average of monthly cash balances.	1b	5,920,879
c	Fair market value of all other assets (see instructions).	1c	3,308,276
d	Total (add lines 1a, b, and c).	1d	32,788,479
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	32,788,479
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	491,827
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	32,296,652
6	Minimum investment return. Enter 5% of line 5.	6	1,614,833

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,614,833
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	76,152
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	76,152
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,538,681
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,538,681
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,538,681

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,111,125
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	1,111,125
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,111,125

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,538,681
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			168,063	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>1,111,125</u>				
a Applied to 2015, but not more than line 2a			168,063	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				943,062
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				595,619
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

SLAGGIE FAMILY FOUNDATION
111 MARKET ST STE 3B
WINONA, MN 55987
(507) 474-9150
SFF@HBCI.COM

b The form in which applications should be submitted and information and materials they should include

APPLICATION AVAILABLE VIA EMAIL OR BY CALLING OFFICE INCLUDE LETTER STATING REQUEST, ORGANIZATION STATUS AND EIN

c Any submission deadlines

NO DUE DATE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	1,096,518
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments			14	175,760	
4	Dividends and interest from securities. . . .			14	526,240	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.			14	19,307	
8	Gain or (loss) from sales of assets other than inventory			18	3,347,621	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e). .		0		4,068,928	0
13	Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations)			13		4,068,928

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Print/Type preparer's name CORWIN OSTERLOH	Preparer's Signature	Date 2017-11-09	Check if self-employed ☒	PTIN P00234301
Firm's name ▶ HAWKINS ASH CPAS LLP				Firm's EIN ▶ 39-0912608
Firm's address ▶ 152 WEST 3RD STREET WINONA, MN 55987				Phone no (507) 452-8313

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
STEPHEN M SLAGGIE	PRESIDENT 2 00	0	0	0
111 MARKET ST STE 3B WINONA, MN 55987				
BARBARA J SLAGGIE	TRUSTEE 1 00	0	0	0
111 MARKET ST STE 3B WINONA, MN 55987				
MICHAEL J SLAGGIE	VP 12 00	0	0	0
111 MARKET ST STE 3B WINONA, MN 55987				
AMANDA SLAGGIE	SECRETARY 1 00	0	0	0
111 MARKET ST STE 3B WINONA, MN 55987				
MATTHEW S SLAGGIE	TREASURER 1 00	0	0	0
111 MARKET ST STE 3B WINONA, MN 55987				
SARA J SLAGGIE POULOS	TRUSTEE 1 00	0	0	0
111 MARKET ST STE 3B WINONA, MN 55987				
GREGORY T POULOS	TRUSTEE 1 00	0	0	0
111 MARKET ST STE 3B WINONA, MN 55987				
MICHELLE M SCHLEHUBER	TRUSTEE 1 00	0	0	0
111 MARKET ST STE 3B WINONA, MN 55987				
THOMAS M SCHLEHUBER	TRUSTEE 1 00	0	0	0
111 MARKET ST STE 3B WINONA, MN 55987				
LINDSAY SLAGGIE	TRUSTEE 1 00	0	0	0
111 MARKET ST STE 3B WINONA, MN 55987				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALS ASSOCIATIONSAN DIEGO CHAPTER 9929 HILBERT ST SAN DIEGO, CA 92131			GENERAL FUND	1,000
ALZHEIMER'S ASSOCIATION MINNESOTA-NORTH DAKOTA 7900 WEST 78TH STREET SUITE 100 MINNEAPOLIS, MN 55439			GENERAL FUND	1,100
AMERICAN DIABETES ASSOCIATION 1701 NORTH BEAUREGARD STREET ALEXANDRIA, VA 22311			GENERAL FUND	5,000
ASSUMPTION CHURCH 323 WEST ILLINOIS STREET CHICAGO, IL 60654			GENERAL FUND	1,000
BIG BROTHERS BIG SISTERS 51 E 4TH ST 401 WINONA, MN 55987			BOWL FOR KIDS	5,000
Total ► 3a				1,096,518

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BROTHER BENNO FOUNDATION 3260 PRODUCTION AVE OCEANSIDE, CA 92058			SOUP SOAP HUGS AND HOPE	2,000
CATHOLIC CHARITIES 111 MARKET ST SUITE 2 WINONA, MN 55987			GENERAL FUND	3,000
CATHOLIC FOUNDATION OF SOUTHERN MN 55 W SANBORN WINONA, MN 55987			GENERAL FUND	6,683
CATHOLIC SCHOOLS FOUNDATION 67 BATTERYMARCH ST 6TH FLOOR BOSTON, MA 02110			GENERAL FUND	1,000
CENTRAL ASIA INSTITUTE PO BOX 7209 BOZEMAN, MT 59771			GENERAL FUNDING	1,000
Total 				1,096,518
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHANNEL ONE FOOD BANK 131 35TH ST SE ROCHESTER, MN 55904			CAPITAL CAMPAIGN	5,000
CHILDREN'S MUSEUM OF LACROSSE 207 5TH AVE SOUTH LA CROSSE, WI 54601			WHERE NEEDED TO ASSIST IN EDUCATING CHILDREN	1,000
CONVENT OF THE VISITATION SCHOOL 2455 VISITATION DRIVE MENDOTA HEIGHTS, MN 55120			CAPITAL IMPROVEMENTS EXPANSION	52,500
COTTER HIGH SCHOOL 1115 WEST BROADWAY ST WINONA, MN 55987			\$20,000 BAND PROGRAM, \$4,000 GENERAL PROGRAMS	24,000
COTTING SCHOOL 453 CONCORD AVE LEXINGTON, MA 02421			GENERAL FUND	9,760
Total ▶ 3a				1,096,518

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CRADLES TO CRAYONS 155 NORTH BEACON ST BRIGHTON, MA 02135			PROGRAM FUND TO HELP KIDS IN POVERTY BY PROVIDING ESSENTIAL ITEMS	20,000
DANA FARBER CANCER INSTITUTE 450 BROOKLINE AVE BOSTON, MA 02215			\$750 NORAH WEEKS, \$1500 JIMMY FUND \$10000 QUINN AMSLER FUND	12,250
DIOCESE OF VENICE 1000 PINEBROOK RD VENICE, FL 34285			\$3,000 GENERAL FUND, \$13,000 CATHOLIC FAITH APPEAL	16,000
DOCTORS WITHOUT BORDERS 333 7TH AVENUE NEW YORK, NY 100015004			WHERE NEEDED TO ASSIST IN INTERNATIONAL MEDICAL AID	1,000
DODGE NATURE CENTER 365 MARIE AVENUE WEST WEST SAINT PAUL, MN 55118			GENERAL FUND WHERE NEEDED	10,000
Total ▶ 3a				1,096,518

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EAGLE BLUFF ENVIRONMENTAL LEARNING CENTER 28097 GOODVIEW DR LANESBORO, MN 55949			GENERAL FUND	1,000
FAMILY & CHILDREN'S CENTER 601 FRANKLIN ST WINONA, MN 55987			GENERAL GIVING	6,000
FOUNDATION FOR METROWEST 3 ELIOT STREET NATICK, MA 01760			COMM ENDOWMENT OF LEXINGTON	25,000
FRIENDS OF LINCOLN FIELD LIGHTS PO BOX 613 LEXINGTON, MA 02420			GENERAL DONATION	5,000
GRACE PLACE 66 E 2ND ST WINONA, MN 55987			WHERE NEEDED TO HELP WOMEN, CHILDREN AND FAMILIES	5,000
Total 				1,096,518
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
GREAT RIVER SHAKESPEARE FESTIVAL 79 E 3RD ST WINONA, MN 55987			ENRICH PEOPLE'S LIVES WITH DYNAMIC AND CLEARLY SPOKEN PRODUCTIONS OF SHAKESPEARE'S PLAYS	37,500
GREATER MARCO ISLAND YMCA 101 SAND HILL ST MARCO ISLAND, FL 34145			BEDTIME BUNDLES FUND TO HELP MIGRANT WORKER FAMILIES	2,500
H2O FOR LIFE 1310 HIGHWAY 96 EAST 235 WHITE BEAR LAKE, MN 55110			ENGAGE, EDUCATE AND INSPIRE YOUTH TO TAKE ACTION AND BECOME GLOBAL CITIZENS	5,000
HABITAT FOR HUMANITY 161 LAFAYETTE ST WINONA, MN 55987			BUILDING FUND	10,000
HEIFER INTERNATIONAL 1 WORLD AVE LITTLE ROCK, AR 72202			END HUNGER AND POVERTY AROUND THE WORLD BY PROVIDING LIVESTOCK AND TRAINING TO STRUGGLING COMMUNITIES	5,000
Total ▶ 3a				1,096,518

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HERITAGE MIDDLE SCHOOL 121 BUTLER AVE W WEST SAINT PAUL, MN 55118			PROGRAM TO PROMOTE EDUCATION	20,000
HERMITS OF ST MARY OF CARMEL 33005 STINSON RIDGE RD HOUSTON, MN 55943			GENERAL FUND	5,000
HOME & COMMUNITY OPTIONS 66 E 3RD ST 101 WINONA, MN 55987			MARY POPPINS PRODUCTION	12,000
JEREMIAH PROGRAM 1510 LAUREL AVE SUITE 100 MINNEAPOLIS, MN 55403			TRANSFORM FAMILIES FROM POVERTY TO PROSPERITY	10,000
JUVENILE DIABETES RESEARCH FOUNDATION 3001 METRO DR 100 BLOOMINGTON, MN 55425			JDRF WALK	12,000
Total 				1,096,518
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KELVAR MAIR FOUNDATION PO BOX 8 HASTINGS, MN 55033			REFUGE AND CARE FOR UNWANTED AND ABUSED ANIMALS	10,000
LESS THAN 1000 111 MARKET ST SUITE 3B WINONA, MN 55987			SMALL DONATIONS MADE TO VARIOUS GROUPS NONE FOR MORE THAN \$1,000	4,800
LEXINGTON EDUCATION FOUNDATION 594 MARRETT RD SUITE 7 LEXINGTON, MA 074217605			GENERAL FUND	6,000
LEXINGTON INTERFAITH FOOD PANTRY PO BOX 635 LEXINGTON, MA 02420			FOOD PANTRY	3,000
LORAS COLLEGE 1450 ALTA VISTA STREET DUBUQUE, IA 52001			SCHOLARSHIP FUND	3,000
Total ► 3a				1,096,518

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LOYOLA UNIVERSITY 1032 W SHERIDAN RD CHICAGO, IL 60660			ALUMNI FUND SCHOLARSHIP	2,000
MAKE A WISH FOUNDATION 4742 N 24TH STREET SUITE 400 PHOENIX, AZ 850164862			GRANT WISHES TO CHILDREN WITH TERMINAL ILLNESSES	7,000
MARQUETTE UNIVERSITY 1250 W WISCONSIN AVE MILWAUKEE, WI 53233			SCHOOL OF BUSINESS SCHOLARSHIP FUND	5,500
MAYO CLINIC 200 FIRST STREET SW ROCHESTER, MN 55905			REPRODUCTIVE AND FERTILITY DEPARTMENT	5,000
MIDWEST MUSIC FESTIVAL 164 E 3RD ST WINONA, MN 55987			GENERAL FUNDING	2,500
Total ▶ 3a				1,096,518

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MINNESOTA CONSERVATORY FOR THE ARTS 1164 W HOWARD ST WINONA, MN 55987			SMU JAZZ PROGRAM	2,500
MN BEETHOVEN FESTIVAL 700 TERRACE HEIGHTS WINONA, MN 55987			SPONSOR OF FESTIVAL TO INCREASE APPRECIATION OF CLASSICAL MUSIC	25,000
MN PRIVATE COLLEGE FUND 445 MINNESOTA STREET SUITE 500 ST PAUL, MN 55101			GENERAL FUND	1,800
MORRIE MILLER FOUNDATION 580 E FRONT ST WINONA, MN 55987			SPORT/ATHLETIC ACTIVITIES FOR YOUTH	10,000
NEIGHBOR BRIGADE PO BOX 248 LEXINGTON, MA 02420			PROVIDE FREE, TEMPORARY NON-MEDICAL SUPPORT TO THOSE EXPERIENCING UNEXPECTED EMERGENCIES	2,000
Total 				1,096,518
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NORTH SUBURBAN YMCA 137 LEXINGTON ST WOBURN, MA 01801			KIDS PROGRAM FUND	5,000
OPERATION SMILE 3641 FACULTY BOULEVARD VIRGINIA BEACH, VA 23453			HELP TREAT FACIAL DEFORMITIES IN CHILDREN	2,500
PAN MASS CHALLENGE 77 4TH AVE NEEDHAM, MA 02494			GENERAL DONATION	2,000
PLEASANT VALLEY CHURCH 1363 HOMER RD WINONA, MN 55987			CAMP AWESOME	1,000
READY SET SCHOOL 317 MARKET STREET WINONA, MN 55987			PROVIDING UNDERPRIVILEGED CHILDREN ESSENTIAL SCHOOL SUPPLIES AND CLOTHING	2,000
Total ▶ 3a				1,096,518

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SAINT ANNE'S FOUNDATION 1345 WEST BROADWAY WINONA, MN 55987			GENERAL FUND	20,000
SAINT MARY'S UNIVERSITY 700 TERRACE HEIGHTS WINONA, MN 55987			GENERAL FUND	22,500
SALVATION ARMY 1443 W SERVICE DR WINONA, MN 55987			WINONA CHAPTER GENERAL FUND	3,000
SIMON SAYS GIVE PO BOX 211172 EAGAN, MN 55121			SUPPORT KIDS WITH BIRTHDAY CELEBRATIONS AND SCHOOL READINESS SUPPLIES	5,000
SMILE TRAIN PO BOX 966231 WASHINGTON, DC 200906231			INTERNATIONALLY PROVIDE FREE CLEFT LIP AND PALATE REPAIR SURGERY TO CHILDREN	2,500
Total ▶ 3a				1,096,518

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ST BRIGID'S PARISH 2001 MASSACHUSETTS AVENUE LEXINGTON, MA 02421			GENERAL FUND	15,200
ST FELIX SCHOOL 130 3RD STREET EAST WABASHA, MN 55981			BOOKSHELVES	5,000
ST JOHN'S UNIVERSITY 2850 ABBEY RD COLLEGEVILLE, MN 56321			ALUMNI FUND	3,500
ST NORBERT'S COLLEGE 100 GRANT STREET DE PERE, WI 54115			GENERAL FUND	1,000
ST STANISLAUS KOSKA 625 E 4TH ST WINONA, MN 55987			FALL FEST SPONSOR, OPERATING EXPENSES	9,500
Total 3a				1,096,518

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ST THOMAS MORE CATHOLIC NEWMAN CENTER 475 HUFF ST WINONA, MN 56001			SPIRITUAL SUPPORT FOR COLLEGE STUDENTS	1,000
THELMA SADOFF CENTER FOR THE ARTS 51 SHEBOYGAN ST FOND DU LAC, WI 54935			ADVOCATE, EDUCATE AND PROVIDE ACCESS TO ARTS FOR COMMUNITY	1,000
UNIVERSITY OF MINNESOTA FOUNDATION 200 OAK STREET SE SUITE 500 MINNEAPOLIS, MN 554552010			"FORE GOLF" EVENT TO RAISE FUNDS FOR DIABETIC RESEARCH	10,000
WINONA AREA CATHOLIC SCHOOLS 602 E 5TH ST WINONA, MN 55987			TUITION PROGRAM	217,300
WINONA AREA HUMANE SOCIETY 1112 E BROADWAY ST WINONA, MN 55987			RENOVATION OF DOG BAY AND WHERE NEEDED MOST	8,375
Total ► 3a				1,096,518

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WINONA AREA YOUTH HOCKEY ASSOCIATION 670 EAST FRONT STREET WINONA, MN 55987			PROVIDE HOCKEY OPPORTUNITIES TO CHILDREN OF WINONA	250,000
WINONA COMMUNITY FOUNDATION 51 E 4TH ST 314 WINONA, MN 55987			\$3,000 BK 5K ROAD RACE,	3,000
WINONA COUNTY HISTORICAL SOCIETY 160 JOHNSON ST WINONA, MN 55987			ENDOWMENT	25,000
WINONA DAC 1721 SERVICE DR WINONA, MN 55987			BUILDING EXPANSION	1,000
WINONA FAMILY YMCA 207 WINONA ST WINONA, MN 55987			GENERAL FUND	12,000
Total ▶ 3a				1,096,518

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WINONA GOODFELLOWS FUND 51 E 4TH ST 314 WINONA, MN 55987			GENERAL FUNDING	2,500
WINONA ORC 1053 E MARK STREET WINONA, MN 55987			GENERAL PROGRAMS HELPING THOSE WITH SPECIAL NEEDS	3,800
WINONA STATE UNIVERSITY FOUNDATION 175 WEST MARK STREET WINONA, MN 55987			WARRIOR SCHOLARSHIPS	2,450
WINONA VOLUNTEER SERVICES 402 E 2ND ST WINONA, MN 55987			CHILDREN'S BACKPACK PROGRAM	30,000
Total ▶ 3a				1,096,518

TY 2016 Accounting Fees Schedule**Name:** SLAGGIE FAMILY FOUNDATION**EIN:** 41-1878894

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	10,400	1,040	0	9,360

TY 2016 Investments Corporate Bonds Schedule

Name: SLAGGIE FAMILY FOUNDATION

EIN: 41-1878894

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BONDS	4,497,658	4,506,393

TY 2016 Investments Corporate Stock Schedule

Name: SLAGGIE FAMILY FOUNDATION

EIN: 41-1878894

Name of Stock	End of Year Book Value	End of Year Fair Market Value
STOCKS	15,980,573	18,832,196

TY 2016 Land, Etc. Schedule

Name: SLAGGIE FAMILY FOUNDATION

EIN: 41-1878894

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
WINONA WATERS OFFICE	8,500	0	8,500	
FURNITURE	3,173	0	3,173	

TY 2016 Other Assets Schedule

Name: SLAGGIE FAMILY FOUNDATION

EIN: 41-1878894

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
HBCI STOCK CERTIFICATES (2,475,000 SHARES)		2,722,500	2,722,500

TY 2016 Other Decreases Schedule

Name: SLAGGIE FAMILY FOUNDATION

EIN: 41-1878894

Description	Amount
CORRECTION TO UNREALIZED GAINS FROM PRIOR YEAR	133,536

TY 2016 Other Expenses Schedule**Name:** SLAGGIE FAMILY FOUNDATION**EIN:** 41-1878894**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OFFICE EXPENSES	298	30	0	268
MN REGISTRATION FEE	50	0	0	50
DUES AND ASSOCIATIONS	810	81	0	729

TY 2016 Other Income Schedule

Name: SLAGGIE FAMILY FOUNDATION

EIN: 41-1878894

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME	19,307	19,307	

TY 2016 Other Liabilities Schedule**Name:** SLAGGIE FAMILY FOUNDATION**EIN:** 41-1878894

Description	Beginning of Year - Book Value	End of Year - Book Value
MARGIN PAYABLE	1,492	652

TY 2016 Other Professional Fees Schedule**Name:** SLAGGIE FAMILY FOUNDATION**EIN:** 41-1878894

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	228,493	228,493	0	0

**TY 2016 Substantial Contributors
Schedule****Name:** SLAGGIE FAMILY FOUNDATION**EIN:** 41-1878894

Name	Address
NIPPER NCLA TRUST NO 1	216 LAKE PARK DR WINONA, MN 55987
STEPHEN M SLAGGIE	1870 RALPH SCHARMER DR WINONA, MN 55987
BARBARA SLAGGIE	1870 RALPH SCHARMER DR WINONA, MN 55987
NIPPER NCLA TRUST NO 2	216 LAKE PARK DR WINONA, MN 55987

TY 2016 Taxes Schedule**Name:** SLAGGIE FAMILY FOUNDATION**EIN:** 41-1878894

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	180	0	0	180
EXCISE TAX	44,924	0	0	0
FOREIGN TAXES	14,965	14,965	0	0

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>	OMB No 1545-0047 2016
	Name of the organization SLAGGIE FAMILY FOUNDATION	Employer identification number 41-1878894

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization SLAGGIE FAMILY FOUNDATION	Employer identification number 41-1878894
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NIPPER NCLA TRUST NO 1	\$ 1,821,040	Person ☒
	216 LAKE PARK DR		Payroll ☐
	WINONA, MN 55987		Noncash ☐ (Complete Part II for noncash contributions)
2	NIPPER NCLA TRUST NO 2	\$ 120,000	Person ☒
	216 LAKE PARK DR		Payroll ☐
	WINONA, MN 55987		Noncash ☐ (Complete Part II for noncash contributions)
3	STEVE & BARBARA SLAGGIE	\$ 4,459,480	Person ☒
	1870 RALPH SCHARMER DR		Payroll ☐
	WINONA, MN 55987		Noncash ☒ (Complete Part II for noncash contributions)
.		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
.		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
.		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)

Name of organization SLAGGIE FAMILY FOUNDATION	Employer identification number 41-1878894
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Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
3	2,475,000 SHARES OF HBCI STOCK CERTIFICATES	\$ 2,722,500	2016-12-30
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization SLAGGIE FAMILY FOUNDATION	Employer identification number 41-1878894
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	