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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
The UTI Foundation Inc
C/O Thomas I Hara
% KEITH K KIM

A Employer identification number
41-1859780

Number and street (or P O box number if mail is not delivered to street address)
10650 County Road 81 Suite 103

Room/suite

B Telephone number (see instructions)
(612) 560-3425

City or town, state or province, country, and ZIP or foreign postal code
Maple Grove, MN 55369

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 1,668,816

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) ____________
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	0			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	208	208		
	4	Dividends and interest from securities	23,513	23,513		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-20,448			
	b	Gross sales price for all assets on line 6a 4,727,126				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	3,273	23,721		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	5,850	0	0	5,850
	b	Accounting fees (attach schedule)	4,323	0	0	4,323
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	2,269	75		2,194
	24	**Total operating and administrative expenses.** Add lines 13 through 23	12,442	75	0	12,367
	25	Contributions, gifts, grants paid	132,500			132,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	144,942	75	0	144,867
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-141,669			
	b	**Net investment income** (if negative, enter -0-)		23,646		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	2,113	2,041	2,040
	2	Savings and temporary cash investments	734,966	299,065	299,065
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	875,763	1,170,067	1,367,711
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,612,842	1,471,173	1,668,816
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,612,842	1,471,173	
	30	**Total net assets or fund balances** (see instructions)	1,612,842	1,471,173	
	31	**Total liabilities and net assets/fund balances** (see instructions)	1,612,842	1,471,173	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,612,842
2	Enter amount from Part I, line 27a	2	-141,669
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,471,173
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,471,173

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-20,448
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	125,891	1,578,948	0 079731
2014	96,046	1,373,103	0 069948
2013	71,907	1,307,467	0 054997
2012	62,500	1,024,761	0 06099
2011	159,928	819,417	0 195173

2	**Total** of line 1, column (d)	2	0 460839
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 092168
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	1,641,908
5	Multiply line 4 by line 3	5	151,331
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	236
7	Add lines 5 and 6	7	151,567
8	Enter qualifying distributions from Part XII, line 4	8	144,867

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	473
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	473
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	473
6	Credits/Payments				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	62		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	62
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	411
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ______			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	Yes	

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶KEITH K KIM Telephone no ▶(760) 603-9627

Located at ▶6697 CALLE PONTE BELLA Rancho Santa Fe CA ZIP+4 ▶92091

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

		Line	Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?. ☐ Yes ☑ No If "Yes," list the years ▶ 20___, 20___, 20___, 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20___, 20___, 20___, 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☑ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No		
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**	No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
KEITH K KIM 6697 CALLE PONTE BELLA RANCHO SANTA FE, CA 92091	DIRECTOR 2 0	0	0	0
HELEN L KIM 6697 CALLE PONTE BELLA RANCHO SANTA FE, CA 92091	DIRECTOR 2 0	0	0	0
THOMAS I HARA 10650 COUNTY RD 81 SUITE 103 MAPLE GROVE, MN 55369	DIRECTOR 2 0	0	0	0
DAVID S KIM 6697 CALLE PONTE BELLA RANCHO SANTA FE, CA 92091	DIRECTOR 2 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions **3**	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,336,988
b	Average of monthly cash balances.	1b	329,924
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	1,666,912
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,666,912
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	25,004
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,641,908
6	**Minimum investment return.** Enter 5% of line 5.	6	82,095

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.			1	82,095
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	473		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	473
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	81,622
4	Recoveries of amounts treated as qualifying distributions.			4	
5	Add lines 3 and 4.			5	81,622
6	Deduction from distributable amount (see instructions).			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	81,622

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	144,867
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	144,867
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	144,867

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					81,622
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only.					
b Total for prior years 2014 , 2013 , 2012					
3 Excess distributions carryover, if any, to 2016					
a From 2011.	119,301				
b From 2012.	12,114				
c From 2013.	33,691				
d From 2014.	27,653				
e From 2015.	47,107				
f **Total** of lines 3a through e.		239,866			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 144,867					
a Applied to 2015, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2016 distributable amount.					81,622
e Remaining amount distributed out of corpus		63,245			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		303,111			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .		119,301			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		183,810			
10 Analysis of line 9					
a Excess from 2012. . . .	12,114				
b Excess from 2013. . . .	33,691				
c Excess from 2014. . . .	27,653				
d Excess from 2015. . . .	47,107				
e Excess from 2016. . . .	63,245				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				132,500
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	208	
4 Dividends and interest from securities. . . .			14	23,513	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	-20,449	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				3,272	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 3,272

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-11-15	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
CRAIG HIMELRIGHT		2017-11-15		P00247956
Firm's name ▶ BDO USA LLP				Firm's EIN ▶
Firm's address ▶ 3570 Carmel Mountain Rd 400 San Diego, CA 92130				Phone no (858) 404-9200

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AMAZON COM INC, AMZN,		2016-01-28	2016-02-08
AMAZON COM INC, AMZN,		2016-01-28	2016-02-08
AMAZON COM INC, AMZN,		2016-01-28	2016-02-18
AMAZON COM INC, AMZN,		2016-01-28	2016-02-18
AMAZON COM INC, AMZN,		2016-01-28	2016-02-18
AMAZON COM INC, AMZN,		2016-01-28	2016-03-14
AMAZON COM INC, AMZN,		2016-07-28	2016-07-28
AMAZON COM INC, AMZN,		2016-07-28	2016-07-28
AMAZON COM INC, AMZN,		2016-07-28	2016-07-28
CHEVRON, CORP NEW, CVX,		2016-01-28	2016-02-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,840		4,996	
29,759		38,645	-3,153
11,735		13,713	-1,978
4,267		6,143	-1,876
20,803		26,438	-5,635
566		678	-112
74,773		74,808	
47,855		47,871	-16
101,691		101,715	-24
41,707		42,648	-941

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,156
			-8,886
			-1,978
			-1,876
			-5,635
			-112
			-35
			-16
			-24
			-941

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DISNEY WALT CO, DIS,		2015-08-24	2016-02-08
DISNEY WALT CO, DIS,		2015-08-24	2016-03-08
ENERGY TRANSFER EQUITY LP COM		2016-05-02	2016-06-13
ENERGY TRANSFER EQUITY LP COM		2016-06-28	2016-11-04
ENERGY TRANSFER EQUITY LP COM		2016-06-28	2016-11-04
ENERGY TRANSFER EQUITY LP COM		2016-06-28	2016-11-04
ENERGY TRANSFER EQUITY LP COM		2016-07-13	2016-11-04
ENERGY TRANSFER EQUITY LP COM		2016-07-13	2016-11-04
ENERGY TRANSFER EQUITY LP COM		2016-07-13	2016-11-04
FACEBOOK INC COM USD		2016-01-28	2016-02-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
27,156		29,378	-2,222
90		98	-8
25,371		23,988	1,383
1,390		1,445	1
1,397		1,445	
11,179		11,562	
2,795		3,215	-420
5,590		6,413	-823
19,563		22,456	-2,893
31,152		32,686	-1,534

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,222
			-8
			1,383
			-55
			-48
			-383
			-420
			-823
			-2,893
			-1,534

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ISHARES TIPS BOND ETF, TIP		2016-03-16	2016-05-02
ISHARES TIPS BOND ETF, TIP		2016-03-16	2016-05-02
ISHARES TIPS BOND ETF, TIP		2016-03-16	2016-05-02
ISHARES TIPS BOND ETF, TIP		2016-03-16	2016-09-15
ISHARES TIPS BOND ETF, TIP		2016-07-28	2016-09-15
ISHARES TIPS BOND ETF, TIP		2016-07-28	2016-12-16
ISHARES TIPS BOND ETF, TIP		2016-11-15	2016-12-16
ISHARES TIPS BOND ETF, TIP		2016-11-17	2016-12-16
ISHARES TR 20 YR TR BD ETF, TL		2016-06-27	2016-07-14
ISHARES TR 20 YR TR BD ETF, TL		2016-06-28	2016-07-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
229		226	3
160,167		157,838	2,331
11,212		11,049	163
57,306		56,370	936
80,113		81,493	-1,380
111		117	-6
111,274		113,836	-2,562
111,274		113,810	-2,536
111,788		111,237	551
69,868		69,870	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			2,329
			163
			936
			-1,380
			-6
			-2,562
			-2,536
			551
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ISHARES TR 20 YR TR BD ETF, TL		2016-06-28	2016-07-14
ISHARES TR 20 YR TR BD ETF, TL		2016-06-28	2016-09-09
ISHARES TR 20 YR TR BD ETF, TL		2016-06-28	2016-09-09
ISHARES TR 20 YR TR BD ETF, TL		2016-06-28	2016-10-06
JOHCM INTERNATIONAL SELECT FUN		2015-12-18	2016-06-13
LOCKHEED MARTIN CORP, LMT		2016-08-25	2016-12-23
NETFLIX COM INC COM, NFLX		2016-08-24	2016-02-08
NETFLIX COM INC COM, NFLX		2015-08-24	2016-02-08
NETFLIX COM INC COM, NFLX		2015-10-15	2016-02-08
NETFLIX COM INC COM, NFLX		2015-10-15	2016-03-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
27,947		27,946	1
40,512		41,780	-1,268
67,745		69,868	-2,123
134		140	-6
279		315	-36
24,986		24,933	53
20,954		27,355	-6,401
3,506		4,572	-1,066
24,395		30,272	-5,877
90		101	-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			-1,268
			-2,123
			-6
			-36
			53
			-6,401
			-1,066
			-5,877
			-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PALO ALTO NETWORKS INC COM		2015-07-09	2016-02-08
PALO ALTO NETWORKS INC COM		2015-07-09	2016-02-08
PALO ALTO NETWORKS INC COM		2015-07-09	2016-02-08
REALTY INCOME CORP (MARYLAND)		2016-03-24	2016-05-24
REALTY INCOME CORP (MARYLAND)		2016-03-24	2016-09-09
REALTY INCOME CORP (MARYLAND)		2016-04-06	2016-09-09
REALTY INCOME CORP (MARYLAND)		2016-04-06	2016-11-01
REALTY INCOME CORP (MARYLAND)		2016-04-13	2016-11-01
SECTOR SPDR TR SHS BEN INT UTI		2016-01-28	2016-08-31
SECTOR SPDR TR SHS BEN INT UTI		2016-01-28	2016-08-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,452		17,288	-5,836
11,587		17,463	-5,876
115		175	-60
41,574		42,961	-396
19,402		18,360	1,042
12,935		12,899	36
17,419		19,322	-1,903
17,419		18,707	-1,288
34,411		31,019	3,392
14,748		13,294	1,454

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5,836
			-5,876
			-60
			-1,387
			1,042
			36
			-1,903
			-1,288
			3,392
			1,454

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SECTOR SPDR TR SHS BEN INT UTI		2016-02-03	2016-08-31
SECTOR SPDR TR SHS BEN INT UTI		2016-02-03	2016-08-31
SECTOR SPDR TR SHS BEN INT UTI		2016-02-03	2016-08-31
SECTOR SPDR TR SHS BEN INT UTI		2016-04-05	2016-08-31
SECTOR SPDR TR SHS BEN INT UTI		2016-04-22	2016-08-31
SECTOR SPDR TR SHS BEN INT UTI		2016-04-22	2016-08-31
SECTOR SPDR TR SHS BEN INT UTI		2016-04-22	2016-08-31
SECTOR SPDR TR SHS BEN INT UTI		2016-04-22	2016-11-01
SPDR GOLD TR GOLD SHS, GLD		2016-01-27	2016-02-05
SPDR GOLD TR GOLD SHS, GLD		2016-01-27	2016-02-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,916		4,657	259
19,659		18,627	1,032
24,589		23,284	1,305
24,589		24,396	193
14,754		14,175	579
14,754		14,168	586
9,704		9,398	306
40		47	-7
30		29	1
4		4	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			259
			1,032
			1,305
			193
			579
			586
			306
			-7
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SPDR GOLD TR GOLD SHS, GLD		2016-01-27	2016-03-04
SPDR GOLD TR GOLD SHS, GLD		2016-01-27	2016-03-04
SPDR GOLD TR GOLD SHS, GLD		2016-02-08	2016-03-04
SPDR GOLD TR GOLD SHS, GLD		2016-02-08	2016-03-04
SPDR GOLD TR GOLD SHS, GLD		2016-01-27	2016-03-08
SPDR GOLD TR GOLD SHS, GLD		2016-01-27	2016-03-08
SPDR GOLD TR GOLD SHS, GLD		2016-01-27	2016-03-08
SPDR GOLD TR GOLD SHS, GLD		2016-02-08	2016-03-16
SPDR GOLD TR GOLD SHS, GLD		2016-02-08	2016-03-16
SPRINT CORPORATION COM USD		2016-07-27	2016-11-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
29		26	3
4		4	
7		6	1
26		25	1
24,101		21,547	2,554
81,369		72,722	8,647
15,068		13,465	1,603
23,494		22,823	671
93,976		91,260	2,716
23,766		23,193	573

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			1
			1
			2,554
			8,647
			1,603
			671
			2,716
			573

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
SPRINT CORPORATION COM USD		2016-08-02	2016-11-02
TESORO CORP COM FORMERLY TES		2015-11-03	2016-02-03
TESORO CORP COM FORMERLY TES		2015-11-03	2016-02-09
VALERO ENERGY CORP, VLO		2016-01-28	2016-02-03
VALERO ENERGY CORP, VLO		2016-01-28	2016-02-09
VANECK VECTORS ETF TR GOLD MIN		2016-05-09	2016-05-24
VANECK VECTORS ETF TR GOLD MIN		2016-05-09	2016-05-24
VANECK VECTORS ETF TR GOLD MIN		2016-05-09	2016-05-24
VANECK VECTORS ETF TR GOLD MIN		2016-06-24	2016-08-31
VANECK VECTORS ETF TR GOLD MIN		2016-06-28	2016-08-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
5,941		5,949	
38,449		56,370	-17,921
64		113	-49
41,559		44,603	-3,044
47		64	-17
2,751		2,889	-138
6,286		6,584	-298
13,569		14,210	-641
25,565		26,863	-1,298
29,323		30,557	-1,234

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-8
			-17,921
			-49
			-3,044
			-17
			-138
			-298
			-641
			-1,298
			-1,234

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
VANECK VECTORS ETF TR GOLD MIN		2016-06-28	2016-08-31
VANECK VECTORS ETF TR GOLD MIN		2016-06-28	2016-10-06
VANGUARD INTERMED TRM INVEST G		2017-06-16	2016-06-16
VANGUARD INTERMED TRM INVEST G		2016-06-27	2016-06-27
VANGUARD INTERMED TRM INVEST G		2016-07-13	2016-07-13
VANGUARD INTERMED TRM INVEST G		2016-10-10	2016-11-10
VANGUARD INTERMED TRM INVEST G		2016-11-11	2016-11-11
VANGUARD LOND TERM CORPORATE B		2016-06-17	2016-06-22
VANGUARD LOND TERM CORPORATE B		2016-06-17	2016-06-22
VANGUARD LOND TERM CORPORATE B		2016-06-17	2016-06-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
21,799		22,732	-933
22,706		26,649	-3,943
400,000		390,676	9,324
200,000		194,562	5,438
126,306		122,299	4,007
400,000		408,411	-8,376
140,225		143,490	-3,265
9,176		9,266	
128,457		129,706	-1
9,176		9,263	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-933
			-3,943
			9,324
			5,438
			4,007
			-8,411
			-3,265
			-90
			-1,249
			-87

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
VANGUARD LOND TERM CORPORATE B		2016-06-20	2016-06-22
VANGUARD LOND TERM CORPORATE B		2016-06-20	2016-06-22
VANGUARD LOND TERM CORPORATE B		2016-06-20	2016-06-22
VANGUARD LOND TERM CORPORATE B		2016-06-20	2016-06-22
VANGUARD LOND TERM CORPORATE B		2016-06-17	2016-07-18
VANGUARD LOND TERM CORPORATE B		2016-06-19	2016-07-18
VANGUARD LOND TERM CORPORATE B		2016-06-26	2016-09-09
VANGUARD LOND TERM CORPORATE B		2016-06-26	2016-09-09
VANGUARD LOND TERM CORPORATE B		2016-06-26	2016-09-09
VANGUARD LOND TERM CORPORATE B		2016-06-28	2016-09-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
18,351		18,480	
27,527		27,703	
9,176		9,235	
73,404		73,858	
56,996		55,929	1,067
66,496		65,571	925
66,496		66,882	-386
28,059		28,618	-559
65,484		66,766	-1,282
46,774		47,407	-633

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-129
			-176
			-59
			-454
			1,067
			925
			-386
			-559
			-1,282
			-633

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
VANGUARD LOND TERM CORPORATE B		2016-06-28	2016-09-09
VANGUARD LOND TERM CORPORATE B		2016-06-28	2016-09-09
VANGUARD LOND TERM CORPORATE B		2016-06-28	2016-10-06
VANGUARD SHORT TERM INVMT GRAD		2016-09-15	2016-09-22
VANGUARD SHORT TERM INVMT GRAD		2016-09-15	2016-10-05
YAHOO INC, YHOO		2016-04-13	2016-06-13
YAHOO INC, YHOO		2016-04-13	2016-06-13
YAHOO INC, YHOO		2016-04-13	2016-06-13
CISCO SYS INC		2014-10-02	2016-06-27
CISCO SYS INC		2015-04-24	2016-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,712		18,963	-251
56,070		56,793	-723
86		95	-9
250,000		250,038	-38
30,000		30,060	-59
3,668		3,736	-68
3,668		3,728	-60
11,005		11,187	-182
13,671		12,518	1,153
2,734		2,870	-136

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-251
			-723
			-9
			-38
			-60
			-68
			-60
			-182
			1,153
			-136

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CISCO SYS INC		2014-04-28	2016-02-08
CISCO SYS INC		2014-10-02	2016-02-08
CISCO SYS INC		2014-10-02	2016-02-08
FACEBOOK INC COM USD		2013-10-22	2016-02-18
FACEBOOK INC COM USD		2013-11-15	2016-02-18
GILEAD SCIENCES INC GILD		2013-11-06	2016-06-28
GILEAD SCIENCES INC GILD		2013-11-08	2016-06-28
GILEAD SCIENCES INC GILD		2013-11-15	2016-08-16
GILEAD SCIENCES INC GILD		2014-10-14	2016-08-16
GILEAD SCIENCES INC GILD		2014-10-14	2016-11-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
22,526		23,073	-547
2,253		2,504	-251
2,253		2,504	-251
31,219		15,985	15,234
20,813		9,858	10,955
24,254		20,277	3,977
16,169		13,438	2,731
31,821		27,598	4,223
7,876		9,632	-1,756
65		97	-32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-547
			-251
			-251
			15,234
			10,955
			3,977
			2,731
			4,223
			-1,756
			-32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
IONIS PHARMACEUTICALS INC COM		2014-10-29	2016-01-25
JOHCM INTERNATIONAL SELECT FUN		2016-06-02	2016-06-02
JOHCM INTERNATIONAL SELECT FUN		2015-05-18	2016-06-13
PALO ALTO NETWORKS INC COM		2014-11-20	2016-02-08
PALO ALTO NETWORKS INC COM		2014-11-20	2016-02-08
PALO ALTO NETWORKS INC COM		2014-11-20	2016-02-08
PALO ALTO NETWORKS INC COM		2014-11-20	2016-02-08
UNDER ARMOUR INC CL A		2014-09-22	2016-02-08
UNDER ARMOUR INC CL A		2014-10-01	2016-02-08
UNDER ARMOUR INC CL A		2014-10-10	2016-02-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
230		228	2
45,175		50,874	-5,699
29,121		32,885	-3,764
811		756	55
28,112		26,242	1,870
11,453		10,695	758
11,683		10,912	771
3,598		3,315	283
7,197		6,649	548
10,795		9,710	1,085

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2
			-5,699
			-3,764
			55
			1,870
			758
			771
			283
			548
			1,085

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
UNDER ARMOUR INC CL A		2014-10-13	2016-02-08
UNDER ARMOUR INC CL A		2014-10-13	2016-02-08
PASSTHROUGH ETE - NET LTCG		2014-01-01	2016-12-31
NATIONAL FINANCIAL SERVICES CAP GAIN DISTRIBUTION		2014-01-01	2016-12-31
NATIONAL FINANCIAL SERVICES ST CAPITAL GAIN		2016-01-01	2016-12-31
PASSTHROUGH ETE - NET 1231 LOSS		2016-01-01	2016-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
7,125		6,199	926
69		68	1
0		198	-198
2		0	2
220		0	220
0		62	-62

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			926
			1
			-198
			2
			220
			-62

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000).

KEITH K KIM
HELEN L KIM

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
US Committee for Human Rights in North Korea 1001 Connecticut Avenue NW Suite Washington, DC 20036	NONE 501(c)(3)		GENERAL	10,000
North Coast Presbyterian Church 1831 S EL CAMINO REAL ENCINITAS, CA 92024	NONE 501(c)(3)		GENERAL	45,000
LA JOLLA MUSIC SOCIETY 7946 Ivanhoe Ave La Jolla, CA 92037	NONE 501(c)(3)		GENERAL	22,500
FRIENDS OF AIMS US INC 10755 SCRIPPS POWAY PARKWAY STE 437 SAN DIEGO, CA 92131	NONE 501(c)(3)		GENERAL	5,000
UNIVERSITY OF MINNESOTA FOUNDATION MCNAMARA ALUMNI CTR 200 OAK ST SE MINNEAPOLIS, MN 55455	NONE 501(c)(3)		GENERAL	30,000
Total . . . . ▶ **3a**				132,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ARISE MISSION CHURCH 1725 Ocean Avenue 326 Santa Monica, CA 90401	NONE 501(c)(3)		GENERAL	5,000
OCEANSIDE REFORMED CHURCH 4318 FOREST RANCH WAY OCEANSIDE, CA 92057	NONE 501(c)(3)		GENERAL	5,000
PILGRIM COMMUNITY CHURCH 4925 TWINBROOK ROAD BURKE, VA 22015	NONE 501(c)(3)		GENERAL	10,000
Total . . . . ▶ **3a**				132,500

TY 2016 Accounting Fees Schedule

Name: The UTI Foundation Inc

C/O Thomas I Hara

EIN: 41-1859780

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	4,323			4,323

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: The UTI Foundation Inc
C/O Thomas I Hara

EIN: 41-1859780

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TY 2016 Legal Fees Schedule

Name: The UTI Foundation Inc
C/O Thomas I Hara

EIN: 41-1859780

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	5,850			5,850

TY 2016 Other Expenses Schedule

Name: The UTI Foundation Inc
C/O Thomas I Hara

EIN: 41-1859780

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILE FEES	75	75		
MISC EXPENSE	2,194			2,194