Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**

▶ **Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation: RICHARD LINDBERG TRUST UW

A Employer identification number: 39-6768714

Number and street (or P O. box number if mail is not delivered to street address): FIRST AMERICAN BANK - FortDodge - 12333 UNIVERSITY AVENUE

Room/suite:

B Telephone number (see instructions): 515-955-0606

City or town, state or province, country, and ZIP or foreign postal code: CLIVE IA 50325

Foreign country name | Foreign province/state/county | Foreign postal code

C If exemption application is pending, check here ▶ ☐

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization. ☐ Section 501(c)(3) exempt private foundation ☒ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 294,187

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I	**Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc , received (attach schedule)				
2	Check ▶ ☒ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	5,092	5,092		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-9,613			
b	Gross sales price for all assets on line 6a 351,305				
7	Capital gain net income (from Part IV, line 2)				
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	805			
12	**Total.** Add lines 1 through 11	-3,716	5,092	0	
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	4,000	3,000		1,000
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)	600	450		150
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions)	11	11		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	14	11		
24	**Total operating and administrative expenses.** Add lines 13 through 23	4,625	3,472	0	1,150
25	Contributions, gifts, grants paid	10,990			10,990
26	**Total expenses and disbursements.** Add lines 24 and 25	15,615	3,472	0	12,140
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	-19,331			
b	**Net investment income** (if negative, enter -0-)		1,620		
c	**Adjusted net income** (if negative, enter -0-)			0	

RECEIVED AUG 21 2017 OGDEN, UT

SCANNED AUG 21 2017

POSTMARK DATE AUG 11 2017

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	314,645	294,978	294,187
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	314,645	294,978	294,187
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	314,645	294,978	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	314,645	294,978	
	31	**Total liabilities and net assets/fund balances** (see instructions)	314,645	294,978	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	314,645
2	Enter amount from Part I, line 27a	2	-19,331
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	295,314
5	Decreases not included in line 2 (itemize) ▶ CURRENT YEAR TIMING ADJUSTMENTS	5	336
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	294,978

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Attached Statement			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-9,613
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-12,478

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	14,439	313,612	0 046041
2014	14,557	326,068	0 044644
2013	7,277	318,395	0 022855
2012	4,723	293,626	0 016085
2011	11,390	274,119	0 041551

2	**Total** of line 1, column (d)	2	0 171176
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 034235
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	290,059
5	Multiply line 4 by line 3	5	9,930
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	16
7	Add lines 5 and 6	7	9,946
8	Enter qualifying distributions from Part XII, line 4	8	12,140

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	16
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	16
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	16
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a: 70		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 38		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	108
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	92
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 92 **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to ***1a*** *or* ***1b****, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶$ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ FIRST AMERICAN BANK - FortDodge Telephone no ▶ 515-955-0606
Located at ▶ 12333 UNIVERSITY AVENUE CLIVE IA ZIP+4 ▶ 50325

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
FIRST AMERICAN BANK 12333 UNIVERSITY AVENUE CLIVE, IA 50325	TRUSTEE 2 00	4,000		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes.		
a	Average monthly fair market value of securities	1a	294,476
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	294,476
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	294,476
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see instructions)	4	4,417
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	290,059
6	**Minimum investment return.** Enter 5% of line 5	6	14,503

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	14,503
2a	Tax on investment income for 2016 from Part VI, line 5	2a	16		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	16
3	Distributable amount before adjustments Subtract line 2c from line 1			3	14,487
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	14,487
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	14,487

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	12,140
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	12,140
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	16
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	12,124

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1	Distributable amount for 2016 from Part XI, line 7				14,487
2	Undistributed income, if any, as of the end of 2016:				
a	Enter amount for 2015 only			0	
b	Total for prior years. 20____, 20____, 20____				
3	Excess distributions carryover, if any, to 2016				
a	From 2011				
b	From 2012				
c	From 2013				
d	From 2014				
e	From 2015				
f	**Total** of lines 3a through e	0			
4	Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 12,140				
a	Applied to 2015, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2016 distributable amount				12,140
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b	Prior years' undistributed income Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount—see instructions				
e	Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f	Undistributed income for 2016 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				2,347
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8	Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a				
10	Analysis of line 9.				
a	Excess from 2012				
b	Excess from 2013				
c	Excess from 2014				
d	Excess from 2015				
e	Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed .					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter (1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) .					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Attached Statement				
Total ▶ 3a				10,990
b *Approved for future payment*				
NONE				
Total ▶ 3b				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	5,092	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-9,613	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a IRS FEDERAL REFUND				805	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		-3,716	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 -3,716

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	Not Applicable

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		Not Applicable	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Susan A. Ahlerslimon — 6/30/2017 —

Signature of officer or trustee — Date — Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MATTHEW L STUMP	Matt L Stump	6/30/2017		P00390998
Firm's name ▶ CCH TRUST US			Firm's EIN ▶ 54-1484602	
Firm's address ▶ 225 CHASTAIN MEADOWS CT, KENNESAW, GA 30144			Phone no 440-320-3438	

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name				
NORTH CENTRAL AREA SPECIAL OLYMPICS				
Street				
551 DOVETAIL ROAD				
City	**State**	**Zip Code**	**Foreign Country**	
GRIMES	IA	50111		
Relationship	**Foundation Status**			
NONE	PC			
Purpose of grant/contribution				**Amount**
GENERAL FINANCIAL SUPPORT				6,542

Name				
WEBSTER COUNTY HABITAT FOR HUMANITY				
Street				
PO BOX 1729				
City	**State**	**Zip Code**	**Foreign Country**	
AMERICUS	GA	31709		
Relationship	**Foundation Status**			
NONE	PC			
Purpose of grant/contribution				**Amount**
GENERAL FINANCIAL SUPPORT				4,448

Name				
Street				
City	**State**	**Zip Code**	**Foreign Country**	
Relationship	**Foundation Status**			
Purpose of grant/contribution				**Amount**

Name				
Street				
City	**State**	**Zip Code**	**Foreign Country**	
Relationship	**Foundation Status**			
Purpose of grant/contribution				**Amount**

Name				
Street				
City	**State**	**Zip Code**	**Foreign Country**	
Relationship	**Foundation Status**			
Purpose of grant/contribution				**Amount**

Name				
Street				
City	**State**	**Zip Code**	**Foreign Country**	
Relationship	**Foundation Status**			
Purpose of grant/contribution				**Amount**

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount		Totals	Gross Sales	Cost or Other Basis, Expenses, Depreciation and Adjustments	Net Gain or Loss
Long Term CG Distributions	2,885		Capital Gains/Losses	351,305	360,918	-9,613
Short Term CG Distributions	0		Other sales	0	0	0

	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1	PRINCIPAL MID CAP BLEND	74253Q747	X				8/10/2016	10/12/2016	353	361					-7
2	DELAWARE SML CAP VAL	246097208	X				12/10/2014	10/12/2016	253	258					-5
3	JANUS ENTERPRISE I	47103C795	X				8/10/2016	10/12/2016	353	352					1
4	CAMBIAR INTERNATIONAL E	00769G543	X				8/10/2016	10/12/2016	400	409					-9
5	HARTFORD CORE EQUITY Y	416645653	X				8/10/2016	10/12/2016	253	260					-7
6	INVESCO DIVERSIFIED DIVID	00141B204	X				8/10/2016	10/12/2016	355	365					-11
7	MFS GROWTH I	552985863	X				8/10/2016	10/12/2016	353	360					-7
8	T ROWE PRICE DIVIDEND GR	779546308	X				8/10/2016	10/12/2016	252	259					-7
9	CLEARBRIDGE LARGE CAP G	52469H784	X				8/10/2016	10/12/2016	352	357					-5
10	VICTORY SYCAMORE ESTAB	92646A633	X				8/10/2016	10/12/2016	302	303					0
11	PRINCIPAL SMALL- MIDCAP [	74255L738	X				8/10/2016	10/12/2016	253	255					-3
12	BRANDES INTERNATIOANL S	105262737	X				8/10/2016	10/12/2016	202	202					0
13	PIONEER FUNDAMENTAL GR	723695409	X				8/10/2016	10/12/2016	354	362					-9
14	VIRTUS EMERGING MARKET	92828W381	X				8/10/2016	10/12/2016	201	202					-1
15	MFS VALUE I	552983694	X				8/10/2016	10/12/2016	353	363					-9
16	T ROWE PRICE INSTL LARGE	45775L200	X				8/10/2016	10/12/2016	353	353					0
17	FEDERATED TOTAL RETURN	31428Q101	X				7/22/2011	2/22/2016	25,554	27,066					-1,512
18	FEDERATED TOTAL RETURN	31428Q101	X				11/5/2015	2/22/2016	950	964					-14
19	GOLDMAN SACHS ABSOLUTI	38145N220	X				12/10/2014	2/22/2016	2,591	2,746					-155
20	OAKMARK INTERNATIONAL	413838202	X				11/29/2011	2/22/2016	3,674	3,122					553
21	OAKMARK INTERNATIONAL	413838202	X				9/28/2015	2/22/2016	259	282					-23
22	OAKMARK INTERNATIONAL	413838202	X				12/10/2014	2/22/2016	3,166	4,147					-982
23	ROYCE TOTAL RETURN INST	780905717	X				12/10/2014	2/22/2016	574	810					-236
24	GOLDMAN SACHS STRATEGI	38145C646	X				11/29/2011	2/22/2016	485	497					-13
25	GOLDMAN SACHS STRATEGI	38145C646	X				7/22/2011	2/22/2016	9,188	9,888					-701
26	GOLDMAN SACHS STRATEGI	38145C646	X				2/10/2015	2/22/2016	44	49					-4
27	GOLDMAN SACHS STRATEGI	38145C646	X				11/5/2015	2/22/2016	350	375					-24
28	FEDERATED FLOATING RATE	31420C670	X				11/28/2011	2/22/2016	17	18					-1
29	FEDERATED FLOATING RATE	31420C670	X				7/22/2011	2/22/2016	2,585	2,738					-153
30	FEDERATED FLOATING RATE	31420C670	X				2/24/2012	2/22/2016	150	157					-7
31	FEDERATED FLOATING RATE	31420C670	X				8/15/2013	2/22/2016	7,233	7,692					-459
32	FEDERATED FLOATING RATE	31420C670	X				11/5/2015	2/22/2016	413	427					-14
33	PRINCIPAL MID CAP BLEND	74253Q747	X				12/10/2014	2/22/2016	1,477	1,712					-235
34	OPPENHEIMER DEVELOPING	683974604	X				8/2/2012	2/22/2016	2,651	2,938					-288
35	OPPENHEIMER DEVELOPING	683974604	X				2/10/2015	2/22/2016	17	21					-4
36	OPPENHEIMER DEVELOPING	683974604	X				9/28/2015	2/22/2016	878	873					4
37	OPPENHEIMER DEVELOPING	683974604	X				12/10/2014	2/22/2016	3,174	3,946					-772
38	DELAWARE SML CAP VAL	246097208	X				12/10/2014	2/22/2016	1,905	2,376					-470
39	GOLDMAN SACHS TR II MLTN	38147N301	X				12/10/2014	2/22/2016	2,498	2,699					-201
40	HARDING LOEVNER INSTL E	412295701	X				8/15/2013	2/22/2016	2,149	2,500					-351
41	HARDING LOEVNER INSTL E	412295701	X				9/28/2015	2/22/2016	443	449					-6
42	HARDING LOEVNER INSTL E	412295701	X				12/10/2014	2/22/2016	1,453	1,759					-306
43	AMCAP FUND	023375827	X				2/10/2015	2/22/2016	1,481	1,699					-217
44	DELAWARE US GROWTH FU	245917802	X				2/10/2015	2/22/2016	859	990					-131
45	PEAR TREE POLARIS FOREI	70472Q609	X				2/10/2015	2/22/2016	1,365	1,568					-203
46	THORNBURG INVT TR LTD T	885215434	X				2/22/2016	5/31/2016	15,263	15,337					-73
47	THORNBURG INVT TR INT M	885215673	X				2/22/2016	5/31/2016	49,948	49,844					104
48	DELAWARE INVTS FD HIYLD	24610H302	X				2/22/2016	5/31/2016	11,731	11,502					228
49	GOLDMAN SACHS TR II MLTN	38147N301	X				8/1/2013	6/3/2016	3,021	3,000					21
50	GOLDMAN SACHS TR II MLTN	38147N301	X				11/5/2015	6/3/2016	177	182					-5
51	GOLDMAN SACHS TR II MLTN	38147N301	X				12/10/2014	6/3/2016	1,695	1,763					-68
52	GOLDMAN SACHS ABSOLUTI	38145N220	X				11/28/2011	6/6/2016	3,053	3,053					0
53	GOLDMAN SACHS ABSOLUTI	38145N220	X				11/29/2011	6/6/2016	591	592					-1
54	GOLDMAN SACHS ABSOLUTI	38145N220	X				11/5/2015	6/6/2016	115	117					-2
55	GOLDMAN SACHS ABSOLUTI	38145N220	X				12/10/2014	6/6/2016	1,106	1,135					-28
56	ROYCE TOTAL RETURN INST	780905717	X				2/10/2015	8/10/2016	242	266					-23
57	ROYCE TOTAL RETURN INST	780905717	X				12/10/2014	8/10/2016	1,888	2,285					-397
58	ROYCE TOTAL RETURN INST	780905717	X				9/28/2015	8/10/2016	73	71					1
59	AMCAP FUND	023375827	X				9/28/2015	8/10/2016	110	102					7
60	AMCAP FUND	023375827	X				2/10/2015	8/10/2016	7,914	8,228					-314
61	DELAWARE US GROWTH FU	245917802	X				2/10/2015	8/10/2016	7,723	8,399					-676
62	PEAR TREE POLARIS FOREI	70472Q609	X				2/10/2015	8/10/2016	2,755	2,865					-110
63	PEAR TREE POLARIS FOREI	70472Q609	X				11/5/2015	8/10/2016	15	15					0
64	AMERICAN CENTURY LARGE	025076795	X				9/28/2015	8/10/2016	269	233					36
65	AMERICAN CENTURY LARGE	025076795	X				2/11/2015	8/10/2016	8,063	7,949					114
66	AMERICAN CENTURY LARGE	025076795	X				2/22/2016	8/10/2016	279	247					32
67	AMERICAN BEACON BRIDGE	024524126	X				9/28/2015	8/10/2016	1	1					0
68	AMERICAN BEACON BRIDGE	024524126	X				2/10/2015	8/10/2016	8,211	8,044					167
69	AMERICAN BEACON BRIDGE	024524126	X				2/22/2016	8/10/2016	351	312					39
70	PRINCIPAL MID CAP BLEND	74253Q747	X				12/10/2014	12/27/2016	107	106					1
71	JANUS ENTERPRISE I	47103C795	X				2/22/2016	12/27/2016	107	92					16
72	CAMBIAR INTERNATIONAL E	00769G543	X				8/10/2016	12/27/2016	122	123					0

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount		Totals	Gross Sales	Cost or Other Basis, Expenses, Depreciation and Adjustments	Net Gain or Loss
Long Term CG Distributions	2,865		Capital Gains/Losses	351,305	360,918	-9,613
Short Term CG Distributions	0		Other sales	0	0	0

	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
73	INVESCO DIVERSIFIED DIVID	00141B204	X				8/10/2016	12/27/2016	107	106					1
74	MFS GROWTH I	552985863	X				8/10/2016	12/27/2016	108	110					-3
75	CLEARBRIDGE LARGE CAP C	52469H784	X				8/10/2016	12/27/2016	107	107					1
76	PIONEER FUNDAMENTAL GR	723695409	X				8/10/2016	12/27/2016	107	109					-2
77	MFS VALUE I	552983694	X				8/10/2016	12/27/2016	107	106					2
78	T ROWE PRICE INSTL LARGE	45775L200	X				8/10/2016	12/27/2016	107	104					4
79	FEDERATED TOTAL RETURN	31428Q101	X				7/22/2011	2/22/2016	1,712	1,813					-101
80	GOLDMAN SACHS ABSOLUT	38145N220	X				12/10/2014	2/22/2016	423	449					-25
81	OAKMARK INTERNATIONAL	413838202	X				11/29/2011	2/22/2016	3,748	3,184					564
82	OAKMARK INTERNATIONAL	413838202	X				12/10/2014	2/22/2016	3,618	4,740					-1,122
83	OAKMARK INTERNATIONAL	413838202	X				9/28/2015	2/22/2016	309	337					-28
84	GOLDMAN SACHS STRATEG	38145C646	X				7/22/2011	2/22/2016	24	26					-2
85	GOLDMAN SACHS STRATEG	38145C646	X				2/10/2015	2/22/2016	114	124					-10
86	GOLDMAN SACHS STRATEG	38145C646	X				8/15/2013	2/22/2016	24	27					-3
87	FEDERATED FLOATING RATE	31420C670	X				8/15/2013	2/22/2016	444	472					-28
88	OPPENHEIMER DEVELOPING	683974604	X				8/2/2012	2/22/2016	2,686	2,977					-291
89	OPPENHEIMER DEVELOPING	683974604	X				12/10/2014	2/22/2016	3,797	4,720					-923
90	OPPENHEIMER DEVELOPING	683974604	X				2/10/2015	2/22/2016	17	21					-4
91	OPPENHEIMER DEVELOPING	683974604	X				9/28/2015	2/22/2016	933	928					5
92	DELAWARE SML CAP VAL	246097208	X				12/10/2014	2/22/2016	711	887					-176
93	GOLDMAN SACHS TR II MLTN	38147N301	X				12/10/2014	2/22/2016	267	289					-22
94	HARDING LOEVNER INSTL EI	412295701	X				8/15/2013	2/22/2016	2,149	2,500					-351
95	HARDING LOEVNER INSTL EI	412295701	X				12/10/2014	2/22/2016	1,759	2,130					-371
96	HARDING LOEVNER INSTL EI	412295701	X				9/28/2015	2/22/2016	475	481					-6
97	PEAR TREE POLARIS FOREIG	70472Q609	X				2/10/2015	2/22/2016	257	295					-38
98	GOLDMAN SACHS TR II MLTN	38147N301	X				8/1/2013	6/7/2016	3,033	3,000					33
99	GOLDMAN SACHS TR II MLTN	38147N301	X				8/15/2013	6/7/2016	1,020	1,000					20
100	GOLDMAN SACHS TR II MLTN	38147N301	X				12/10/2014	6/7/2016	3,132	3,245					-113
101	GOLDMAN SACHS ABSOLUT	38145N220	X				11/28/2011	6/9/2016	3,056	3,053					3
102	GOLDMAN SACHS ABSOLUT	38145N220	X				11/29/2011	6/9/2016	644	645					-1
103	GOLDMAN SACHS ABSOLUT	38145N220	X				12/10/2014	6/9/2016	3,423	3,507					-84
104	FEDERATED TOTAL RETURN	31428Q101	X				7/22/2011	8/1/2016	25,368	25,710					-342
105	GOLDMAN SACHS STRATEG	38145C646	X				11/29/2011	8/1/2016	623	627					-5
106	GOLDMAN SACHS STRATEG	38145C646	X				7/22/2011	8/1/2016	9,275	9,803					-528
107	FEDERATED FLOATING RATE	31420C670	X				8/15/2013	8/3/2016	7,209	7,378					-169
108	FEDERATED FLOATING RATE	31420C670	X				11/28/2011	8/3/2016	18	18					0
109	FEDERATED FLOATING RATE	31420C670	X				7/22/2011	8/3/2016	2,706	2,759					-52
110	FEDERATED FLOATING RATE	31420C670	X				2/24/2012	8/3/2016	157	158					-2
111	ROYCE TOTAL RETURN INST	780905717	X				9/28/2015	8/10/2016	92	90					2
112	ROYCE TOTAL RETURN INST	780905717	X				2/22/2016	8/10/2016	204	175					29
113	ROYCE TOTAL RETURN INST	780905717	X				12/10/2014	8/10/2016	2,679	3,242					-563
114	ROYCE TOTAL RETURN INST	780905717	X				2/10/2015	8/10/2016	245	268					-24
115	PRINCIPAL MID CAP BLEND	74253Q747	X				12/10/2014	8/10/2016	669	658					11
116	DELAWARE SML CAP VAL	246097208	X				12/10/2014	8/10/2016	570	593					-24
117	AMCAP FUND	023375827	X				2/10/2015	8/10/2016	10,040	10,439					-399
118	AMCAP FUND	023375827	X				9/28/2015	8/10/2016	174	163					11
119	AMCAP FUND	023375827	X				2/22/2016	8/10/2016	1,502	1,361					140
120	DELAWARE US GROWTH FU	245917802	X				2/10/2015	8/10/2016	9,166	9,968					-802
121	DELAWARE US GROWTH FU	245917802	X				2/22/2016	8/10/2016	2,100	1,982					119
122	PEAR TREE POLARIS FOREIG	70472Q609	X				2/10/2015	8/10/2016	4,040	4,201					-162
123	PEAR TREE POLARIS FOREIG	70472Q609	X				9/28/2015	8/10/2016	11	11					0
124	AMERICAN CENTURY LARGE	025076795	X				9/28/2015	8/10/2016	327	284					44
125	AMERICAN CENTURY LARGE	025076795	X				2/22/2016	8/10/2016	3,658	3,239					419
126	AMERICAN CENTURY LARGE	025076795	X				2/11/2015	8/10/2016	8,553	8,431					121
127	VIRTUS EMERGING MARKET	92828T889	X				2/22/2016	8/10/2016	312	265					48
128	JANUS ENTERPRISE I	47103C795	X				2/22/2016	8/10/2016	400	347					53
129	AMERICAN BEACON BRIDGE	024524126	X				9/28/2015	8/10/2016	55	49					6
130	AMERICAN BEACON BRIDGE	024524126	X				2/22/2016	8/10/2016	3,813	3,386					426
131	AMERICAN BEACON BRIDGE	024524126	X				2/10/2015	8/10/2016	8,606	8,431					175

Part I, Line 16b (990-PF) - Accounting Fees

		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		600	450	0	150
	Description				
1	TAX PREP FEES	600	450		150

Part I, Line 18 (990-PF) - Taxes

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		11	11	0	0
1	FOREIGN TAXES	11	11		

Part I, Line 23 (990-PF) - Other Expenses

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		14	11	0	0
1	INVESTMENT MANAGEMENT FEE	14	11		

Part II, Line 13 (990-PF) - Investments - Other

			314,645	294,978	294,187
	Asset Description	Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1	HOLDINGS REPORT ATTACHED	AT COST	314,645	294,978	294,187

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	2,865
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses
						348,440	0	0	360,918	-12,478	0	0	0	-12,478
1	PRINCIPAL MID CAP BLEND	74253Q747		8/10/2016	10/12/2016	353			361	-7	0	0	0	-7
2	DELAWARE SML CAP VAL	246097208		12/10/2014	10/12/2016	253			258	-5	0	0	0	-5
3	JANUS ENTERPRISE I	47103C795		8/10/2016	10/12/2016	353			352	1	0	0	0	1
4	CAMBIAR INTERNATIONAL E	00769G543		8/10/2016	10/12/2016	400			409	-9	0	0	0	-9
5	HARTFORD CORE EQUITY Y	416645653		8/10/2016	10/12/2016	253			260	-7	0	0	0	-7
6	INVESCO DIVERSIFIED DIVID	00141B204		8/10/2016	10/12/2016	355			365	-11	0	0	0	-11
7	MFS GROWTH I	552985863		8/10/2016	10/12/2016	353			360	-7	0	0	0	-7
8	T ROWE PRICE DIVIDEND GR	779546308		8/10/2016	10/12/2016	252			259	-7	0	0	0	-7
9	CLEARBRIDGE LARGE CAP G	52469H784		8/10/2016	10/12/2016	352			357	-5	0	0	0	-5
10	VICTORY SYCAMORE ESTAB	92646A633		8/10/2016	10/12/2016	302			303	0	0	0	0	0
11	PRINCIPAL SMALL- MIDCAP D	74255L738		8/10/2016	10/12/2016	253			255	-3	0	0	0	-3
12	BRANDES INTERNATIOANL S	105262737		8/10/2016	10/12/2016	202			202	0	0	0	0	0
13	PIONEER FUNDAMENTAL GR	723695409		8/10/2016	10/12/2016	354			362	-9	0	0	0	-9
14	VIRTUS EMERGING MARKET	92828W361		8/10/2016	10/12/2016	201			202	-1	0	0	0	-1
15	MFS VALUE I	552983694		8/10/2016	10/12/2016	353			363	-9	0	0	0	-9
16	T ROWE PRICE INSTL LARGE	45775L200		8/10/2016	10/12/2016	353			353	0	0	0	0	0
17	FEDERATED TOTAL RETURN	31428Q101		7/22/2011	2/22/2016	25,554			27,066	-1,512	0	0	0	-1,512
18	FEDERATED TOTAL RETURN	31428Q101		11/5/2015	2/22/2016	950			964	-14	0	0	0	-14
19	GOLDMAN SACHS ABSOLUTE	38145N220		12/10/2014	2/22/2016	2,591			2,746	-155	0	0	0	-155
20	OAKMARK INTERNATIONAL	413838202		11/29/2011	2/22/2016	3,674			3,122	553	0	0	0	553
21	OAKMARK INTERNATIONAL	413838202		9/28/2015	2/22/2016	259			282	-23	0	0	0	-23
22	OAKMARK INTERNATIONAL	413838202		12/10/2014	2/22/2016	3,166			4,147	-982	0	0	0	-982
23	ROYCE TOTAL RETURN INST	780905717		12/10/2014	2/22/2016	574			810	-236	0	0	0	-236
24	GOLDMAN SACHS STRATEGI	38145C646		11/29/2011	2/22/2016	485			497	-13	0	0	0	-13
25	GOLDMAN SACHS STRATEGI	38145C646		7/22/2011	2/22/2016	9,188			9,888	-701	0	0	0	-701
26	GOLDMAN SACHS STRATEGI	38145C646		2/10/2015	2/22/2016	44			49	-4	0	0	0	-4
27	GOLDMAN SACHS STRATEGI	38145C646		11/5/2015	2/22/2016	350			375	-24	0	0	0	-24
28	FEDERATED FLOATING RATE	31420C670		11/28/2011	2/22/2016	17			18	-1	0	0	0	-1
29	FEDERATED FLOATING RATE	31420C670		7/22/2011	2/22/2016	2,585			2,738	-153	0	0	0	-153
30	FEDERATED FLOATING RATE	31420C670		2/24/2012	2/22/2016	150			157	-7	0	0	0	-7
31	FEDERATED FLOATING RATE	31420C670		8/15/2013	2/22/2016	7,233			7,692	-459	0	0	0	-459
32	FEDERATED FLOATING RATE	31420C670		11/5/2015	2/22/2016	413			427	-14	0	0	0	-14
33	PRINCIPAL MID CAP BLEND	74253Q747		12/10/2014	2/22/2016	1,477			1,712	-235	0	0	0	-235
34	OPPENHEIMER DEVELOPING	683974604		8/2/2012	2/22/2016	2,651			2,938	-288	0	0	0	-288
35	OPPENHEIMER DEVELOPING	683974604		2/10/2015	2/22/2016	17			21	-4	0	0	0	-4
36	OPPENHEIMER DEVELOPING	683974604		9/28/2015	2/22/2016	878			873	4	0	0	0	4
37	OPPENHEIMER DEVELOPING	683974604		12/10/2014	2/22/2016	3,174			3,946	-772	0	0	0	-772
38	DELAWARE SML CAP VAL	246097208		12/10/2014	2/22/2016	1,905			2,376	-470	0	0	0	-470
39	GOLDMAN SACHS TR II MLTN	38147N301		12/10/2014	2/22/2016	2,498			2,699	-201	0	0	0	-201
40	HARDING LOEVNER INSTL EI	412295701		8/15/2013	2/22/2016	2,149			2,500	-351	0	0	0	-351
41	HARDING LOEVNER INSTL EI	412295701		9/28/2015	2/22/2016	443			449	-6	0	0	0	-6
42	HARDING LOEVNER INSTL EI	412295701		12/10/2014	2/22/2016	1,453			1,759	-306	0	0	0	-306
43	AMCAP FUND	023375827		2/10/2015	2/22/2016	1,481			1,699	-217	0	0	0	-217
44	DELAWARE US GROWTH FUI	245917802		2/10/2015	2/22/2016	859			990	-131	0	0	0	-131
45	PEAR TREE POLARIS FOREI(	70472Q609		2/10/2015	2/22/2016	1,365			1,568	-203	0	0	0	-203
46	THORNBURG INVT TR LTD TI	885215434		2/22/2016	5/31/2016	15,263			15,337	-73	0	0	0	-73
47	THORNBURG INVT TR INT MU	885215673		2/22/2016	5/31/2016	49,948			49,844	104	0	0	0	104
48	DELAWARE INVTS FD HIYLD	24610H302		2/22/2016	5/31/2016	11,731			11,502	228	0	0	0	228
49	GOLDMAN SACHS TR II MLTN	38147N301		8/1/2013	6/3/2016	3,021			3,000	21	0	0	0	21
50	GOLDMAN SACHS TR II MLTN	38147N301		11/5/2015	6/3/2016	177			182	-5	0	0	0	-5
51	GOLDMAN SACHS TR II MLTN	38147N301		12/10/2014	6/3/2016	1,695			1,763	-68	0	0	0	-68
52	GOLDMAN SACHS ABSOLUTE	38145N220		11/28/2011	6/6/2016	3,053			3,053	0	0	0	0	0
53	GOLDMAN SACHS ABSOLUTE	38145N220		11/29/2011	6/6/2016	591			592	-1	0	0	0	-1
54	GOLDMAN SACHS ABSOLUTE	38145N220		11/5/2015	6/6/2016	115			117	-2	0	0	0	-2
55	GOLDMAN SACHS ABSOLUTE	38145N220		12/10/2014	6/6/2016	1,106			1,135	-28	0	0	0	-28
56	ROYCE TOTAL RETURN INST	780905717		2/10/2015	8/10/2016	242			266	-23	0	0	0	-23
57	ROYCE TOTAL RETURN INST	780905717		12/10/2014	8/10/2016	1,888			2,285	-397	0	0	0	-397
58	ROYCE TOTAL RETURN INST	780905717		9/28/2015	8/10/2016	73			71	1	0	0	0	1
59	AMCAP FUND	023375827		9/28/2015	8/10/2016	110			102	7	0	0	0	7
60	AMCAP FUND	023375827		2/10/2015	8/10/2016	7,914			8,228	-314	0	0	0	-314
61	DELAWARE US GROWTH FUI	245917802		2/10/2015	8/10/2016	7,723			8,399	-676	0	0	0	-676
62	PEAR TREE POLARIS FOREI(	70472Q609		2/10/2015	8/10/2016	2,755			2,865	-110	0	0	0	-110
63	PEAR TREE POLARIS FOREI(	70472Q609		11/5/2015	8/10/2016	15			15	0	0	0	0	0
64	AMERICAN CENTURY LARGE	025076795		9/28/2015	8/10/2016	269			233	36	0	0	0	36
65	AMERICAN CENTURY LARGE	025076795		2/11/2015	8/10/2016	8,063			7,949	114	0	0	0	114
66	AMERICAN CENTURY LARGE	025076795		2/22/2016	8/10/2016	279			247	32	0	0	0	32
67	AMERICAN BEACON BRIDGE	024524126		9/28/2015	8/10/2016	1			1	0	0	0	0	0
68	AMERICAN BEACON BRIDGE	024524126		2/10/2015	8/10/2016	8,211			8,044	167	0	0	0	167
69	AMERICAN BEACON BRIDGE	024524126		2/22/2016	8/10/2016	351			312	39	0	0	0	39

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	2,865
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F.M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses
						348,440	0	0	360,918	-12,478	0	0	0	-12,478
70	PRINCIPAL MID CAP BLEND	74253Q747		12/10/2014	12/27/2016	107			106	1	0	0	0	1
71	JANUS ENTERPRISE I	47103C795		2/22/2016	12/27/2016	107			92	16	0	0	0	16
72	CAMBIAR INTERNATIONAL E	00769G543		8/10/2016	12/27/2016	122			123	0	0	0	0	0
73	INVESCO DIVERSIFIED DIVID	00141B204		8/10/2016	12/27/2016	107			106	1	0	0	0	1
74	MFS GROWTH I	552985863		8/10/2016	12/27/2016	108			110	-3	0	0	0	-3
75	CLEARBRIDGE LARGE CAP G	52469H784		8/10/2016	12/27/2016	107			107	1	0	0	0	1
76	PIONEER FUNDAMENTAL GR	723695409		8/10/2016	12/27/2016	107			109	-2	0	0	0	-2
77	MFS VALUE I	552983694		8/10/2016	12/27/2016	107			106	2	0	0	0	2
78	T ROWE PRICE INSTL LARGE	45775L200		8/10/2016	12/27/2016	107			104	4	0	0	0	4
79	FEDERATED TOTAL RETURN	31428Q101		7/22/2011	2/22/2016	1,712			1,813	-101	0	0	0	-101
80	GOLDMAN SACHS ABSOLUTI	38145N220		12/10/2014	2/22/2016	423			449	-25	0	0	0	-25
81	OAKMARK INTERNATIONAL	413838202		11/29/2011	2/22/2016	3,748			3,184	564	0	0	0	564
82	OAKMARK INTERNATIONAL	413838202		12/10/2014	2/22/2016	3 618			4,740	-1,122	0	0	0	-1,122
83	OAKMARK INTERNATIONAL	413838202		9/28/2015	2/22/2016	309			337	-28	0	0	0	-28
84	GOLDMAN SACHS STRATEG	38145C646		7/22/2011	2/22/2016	24			26	-2	0	0	0	-2
85	GOLDMAN SACHS STRATEG	38145C646		2/10/2015	2/22/2016	114			124	-10	0	0	0	-10
86	GOLDMAN SACHS STRATEG	38145C646		8/15/2013	2/22/2016	24			27	-3	0	0	0	-3
87	FEDERATED FLOATING RATE	31420C670		8/15/2013	2/22/2016	444			472	-28	0	0	0	-28
88	OPPENHEIMER DEVELOPING	683974604		8/2/2012	2/22/2016	2,686			2,977	-291	0	0	0	-291
89	OPPENHEIMER DEVELOPING	683974604		12/10/2014	2/22/2016	3,797			4,720	-923	0	0	0	-923
90	OPPENHEIMER DEVELOPING	683974604		2/10/2015	2/22/2016	17			21	-4	0	0	0	-4
91	OPPENHEIMER DEVELOPING	683974604		9/28/2015	2/22/2016	933			928	5	0	0	0	5
92	DELAWARE SML CAP VAL	246097208		12/10/2014	2/22/2016	711			887	-176	0	0	0	-176
93	GOLDMAN SACHS TR II MLTI	38147N301		12/10/2014	2/22/2016	267			289	-22	0	0	0	-22
94	HARDING LOEVNER INSTL EI	412295701		8/15/2013	2/22/2016	2,149			2,500	-351	0	0	0	-351
95	HARDING LOEVNER INSTL EI	412295701		12/10/2014	2/22/2016	1,759			2 130	-371	0	0	0	-371
96	HARDING LOEVNER INSTL EI	412295701		9/28/2015	2/22/2016	475			481	-6	0	0	0	-6
97	PEAR TREE POLARIS FOREI	70472Q609		2/10/2015	2/22/2016	257			295	-38	0	0	0	-38
98	GOLDMAN SACHS TR II MLTI	38147N301		8/1/2013	6/7/2016	3,033			3,000	33	0	0	0	33
99	GOLDMAN SACHS TR II MLTI	38147N301		8/15/2013	6/7/2016	1,020			1,000	20	0	0	0	20
100	GOLDMAN SACHS TR II MLTI	38147N301		12/10/2014	6/7/2016	3,132			3,245	-113	0	0	0	-113
101	GOLDMAN SACHS ABSOLUTI	38145N220		11/28/2011	6/9/2016	3,056			3,053	3	0	0	0	3
102	GOLDMAN SACHS ABSOLUTI	38145N220		11/29/2011	6/9/2016	644			645	-1	0	0	0	-1
103	GOLDMAN SACHS ABSOLUTI	38145N220		12/10/2014	6/9/2016	3,423			3,507	-84	0	0	0	-84
104	FEDERATED TOTAL RETURN	31428Q101		7/22/2011	8/1/2016	25,368			25,710	-342	0	0	0	-342
105	GOLDMAN SACHS STRATEG	38145C646		11/29/2011	8/1/2016	623			627	-5	0	0	0	-5
106	GOLDMAN SACHS STRATEG	38145C646		7/22/2011	8/1/2016	9,275			9 803	-528	0	0	0	-528
107	FEDERATED FLOATING RATE	31420C670		8/15/2013	8/3/2016	7,209			7,378	-169	0	0	0	-169
108	FEDERATED FLOATING RATE	31420C670		11/28/2011	8/3/2016	18			18	0	0	0	0	0
109	FEDERATED FLOATING RATE	31420C670		7/22/2011	8/3/2016	2,706			2,759	-52	0	0	0	-52
110	FEDERATED FLOATING RATE	31420C670		2/24/2012	8/3/2016	157			158	-2	0	0	0	-2
111	ROYCE TOTAL RETURN INST	780905717		9/28/2015	8/10/2016	92			90	2	0	0	0	2
112	ROYCE TOTAL RETURN INST	780905717		2/22/2016	8/10/2016	204			175	29	0	0	0	29
113	ROYCE TOTAL RETURN INST	780905717		12/10/2014	8/10/2016	2,679			3,242	-563	0	0	0	-563
114	ROYCE TOTAL RETURN INST	780905717		2/10/2015	8/10/2016	245			268	-24	0	0	0	-24
115	PRINCIPAL MID CAP BLEND	74253Q747		12/10/2014	8/10/2016	669			658	11	0	0	0	11
116	DELAWARE SML CAP VAL	246097208		12/10/2014	8/10/2016	570			593	-24	0	0	0	-24
117	AMCAP FUND	023375827		2/10/2015	8/10/2016	10,040			10,439	-399	0	0	0	-399
118	AMCAP FUND	023375827		9/28/2015	8/10/2016	174			163	11	0	0	0	11
119	AMCAP FUND	023375827		2/22/2016	8/10/2016	1,502			1,361	140	0	0	0	140
120	DELAWARE US GROWTH FU	245917802		2/10/2015	8/10/2016	9,166			9,968	-802	0	0	0	-802
121	DELAWARE US GROWTH FU	245917802		2/22/2016	8/10/2016	2,100			1,982	119	0	0	0	119
122	PEAR TREE POLARIS FOREI	70472Q609		2/10/2015	8/10/2016	4,040			4,201	-162	0	0	0	-162
123	PEAR TREE POLARIS FOREI	70472Q609		9/28/2015	8/10/2016	11			11	0	0	0	0	0
124	AMERICAN CENTURY LARGE	025076795		9/28/2015	8/10/2016	327			284	44	0	0	0	44
125	AMERICAN CENTURY LARGE	025076795		2/22/2016	8/10/2016	3,658			3,239	419	0	0	0	419
126	AMERICAN CENTURY LARGE	025076795		2/11/2015	8/10/2016	8,553			8,431	121	0	0	0	121
127	VIRTUS EMERGING MARKET	92828T889		2/22/2016	8/10/2016	312			265	48	0	0	0	48
128	JANUS ENTERPRISE I	47103C795		2/22/2016	8/10/2016	400			347	53	0	0	0	53
129	AMERICAN BEACON BRIDGE	024524126		9/28/2015	8/10/2016	55			49	6	0	0	0	6
130	AMERICAN BEACON BRIDGE	024524126		2/22/2016	8/10/2016	3,813			3,386	426	0	0	0	426
131	AMERICAN BEACON BRIDGE	024524126		2/10/2015	8/10/2016	8,606			8,431	175	0	0	0	175

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
										4,000	0	0
1	FIRST AMERICAN BANK		12333 UNIVERSITY AVENUE	CLIVE	IA	50325		TRUSTEE	2 00	4,000		

Part VI, Line 6a (990-PF) - Estimated Tax Payments

		Date		Amount
1	Credit from prior year return	5/15/2016	1	70
2	First quarter estimated tax payment		2	
3	Second quarter estimated tax payment		3	
4	Third quarter estimated tax payment		4	
5	Fourth quarter estimated tax payment		5	
6	Other payments		6	
7	Total		7	70

Account Holdings As Of

Filtered By Selected Accounts

Sorted By Account Number

Date Run 05/02/2017

Time Printed 3.22 33 PM

Account Name : Lindberg Unitrus fbo NC Special Olympics

As Of : 12/29/2016

Account Number : 35266

Prices As Of : 12/29/2016

Shares	Asset Description		Cost	Market	Unr Gain Loss	Est Ann Income	Yield
	Money Market-Taxable						
552 94	117 Federated Government Obligations		552.94	552.94	0 00	0.00	0.00%
	Sub Total	$	**552.94**	**552.94**	**0.00**	**0.00**	**0.00%**
	Mutual Funds - Equity						
181 734	Clearbridge Large Cap Growth I		6,865 89	6,842 29	-23.60	19 01	0.28%
91 795	Delaware Sml Cap Val		5,166 67	5,801.44	634.77	41.67	0 72%
198 737	Hartford Core Equity Y		4,986.31	4,946 56	-39 75	14 85	0.30%
356.888	Invesco Diversified Dividend Y		6,866.53	6,909 35	42.82	126 55	1 83%
72.477	Janus Enterprise I		5,958 37	6,928 08	969.71	26 03	0 38%
88 162	MFS Growth I		6,870 48	6,639.48	-231.00	1.45	0 02%
190.925	MFS Value I		6,875.21	6,932.49	57.28	118 30	1.71%
343.064	Pioneer Fundamental Growth Fund Y		6,871.57	6,682.89	-188.68	36.50	0.55%
300 296	Principal Mid Cap Blend		6,688.35	6,768 67	80 32	7 96	0.12%
335 552	Principal Small- MidCap Dividend Inc Inst		4,986 31	5,388.97	402 66	111.10	2.06%
133.11	T Rowe Price Dividend Growth I		4,986.31	4,965.00	-21.31	0 00	0 00%
335 966	T Rowe Price Instl Large Cap Value		6,877.23	7,065.36	188.13	161.26	2.28%
173 906	Victory Sycamore Established Value Y		5,975.40	6,311.05	335.65	51.42	0.81%
	Sub Total	$	**79,974.63**	**82,181.63**	**2,207.00**	**716.10**	**0.87%**
	Mutual Funds - Developed Intl						
299.134	Brandes International Small Cap Eq I		3,987 45	3,990 45	3.00	74.44	1.87%
321.81	Cambiar International Equity Institutional		7,427 24	7,688.04	260 80	45.92	0.60%
	Sub Total	$	**11,414.69**	**11,678.49**	**263.80**	**120.36**	**1.03%**
	Mutual Funds - Emerging Market						
395.189	Virtus Emerging Markets Opportunities		3,390.73	3,568.56	177.83	33.20	0 93%
	Sub Total	$	**3,390.73**	**3,568.56**	**177.83**	**33.20**	**0.93%**

Account Holdings As Of

Filtered By Selected Accounts

Sorted By Account Number

Date Run . 05/02/2017

Time Printed : 3 22:33 PM

Account Name : Lindberg Unitrus fbo NC Special Olympics

As Of : 12/29/2016

Account Number : 35266

Prices As Of : 12/29/2016

Shares	Asset Description	Cost		Market	Unr Gain Loss	Est Ann Income	Yield
	Grand Total	$	95,332.99	97,981.62	2,648.62	869.66	0.89%

Principal Cash: -5,023.27 *Income Cash:* 5,023.27 *Invested Income:* 0.00

Account Holdings As Of

Filtered By Selected Accounts

Sorted By Account Number

Date Run · 05/02/2017 | Time Pnnted 3 22 33 PM

Account Name : Lindberg Unitrus fbo NC Special Oly | **As Of : 12/29/2016** | **Account Number : 35266a**

Prices As Of : 12/29/2016

Shares	Asset Description		Cost	Market	Unr Gain Loss	Est Ann Income	Yield
	Money Market-Taxable						
1,714 1	117 Federated Government Oblıgatıons		1,714.10	1,714.10	0.00	0.00	0.00%
	Sub Total	$	**1,714.10**	**1,714.10**	**0.00**	**0.00**	**0.00%**
	ETF & ETN - Fixed						
44	ıShares Agency Bond ETF		5,090 02	4,945 16	-144.86	65 94	1.33%
48	ıShares CMBS ETF		2,557.31	2,438 40	-118 91	56.97	2 34%
118	ıShares MBS ETF		12,963 48	12,525.70	-437 78	282.44	2.25%
492	Powershares Build Amrıca BND		15,568 80	14,317.20	-1,251 60	618 69	4 32%
144	Vanguard INT-Term Corporate		12,906 43	12,300 48	-605 95	407.09	3 31%
	Sub Total	$	**49,086.04**	**46,526.94**	**-2,559.10**	**1,431.13**	**3.08%**
	ETF & ETN - Preferred Stock						
64	Ishares US Preferred Stock ETF		2,567.65	2,376.96	-190.69	145 36	6.12%
	Sub Total	$	**2,567.65**	**2,376.96**	**-190.69**	**145.36**	**6.12%**
	Grand Total	$	**53,367.79**	**50,618.00**	**-2,749.79**	**1,576.49**	**3.11%**

Principal Cash: **-572.34** | ***Income Cash:*** **572.34** | ***Invested Income:*** **0.00**

THIS ACCOUNT IS NO LONGER ACTIVE

Account Holdings As Of

Filtered By Selected Accounts
Sorted By Account Number
Date Run 05/02/2017

Time Printed · 3 21 40 PM

Account Name : Lindberg Unitrust fbo Habitat Humanity **As Of : 12/29/2016** **Account Number : 25266**

Shares	Asset Description		Cost	Market	Unr Gain Loss	Est Ann Income	Yield
			Prices As Of : 12/29/2016				
	Money Market-Taxable						
6,309 52	117 Federated Government Obligations		6,309 52	6,309 52	0 00	0.00	0 00%
	Sub Total	$	**6,309.52**	**6,309.52**	**0.00**	**0.00**	**0.00%**
	Mutual Funds - Equity						
168 463	Clearbridge Large Cap Growth I		6,364 53	6,342.63	-21 90	17 62	0 28%
83 978	Delaware Sml Cap Val		4,688 64	5,307 41	618 77	38.13	0.72%
181 203	Hartford Core Equity Y		4,546 38	4,510 14	-36 24	13 54	0 30%
330.373	Invesco Diversified Dividend Y		6,356 38	6,396.02	39 64	117.15	1.83%
67 261	Janus Enterprise I		5,704.45	6,429 48	725.03	24.16	0.38%
81 729	MFS Growth I		6,369.12	6,155 01	-214.11	1.35	0 02%
176 808	MFS Value I		6,366 85	6,419.90	53.05	109 55	1 71%
317.889	Pioneer Fundamental Growth Fund Y		6,367.31	6,192.48	-174.83	33.82	0.55%
278.263	Principal Mid Cap Blend		6,276 44	6,272.05	-4.39	7.37	0 12%
306.285	Principal Small- MidCap Dividend Inc Inst		4,551 39	4,918 94	367 55	101.41	2 06%
121.395	T Rowe Price Dividend Growth I		4,547.46	4,528 03	-19.43	0.00	0.00%
311 496	T Rowe Price Instl Large Cap Value		6,376 32	6,550.76	174.44	149.52	2.28%
158 835	Victory Sycamore Established Value Y		5,457.59	5,764 12	306 53	46.96	0 81%
	Sub Total	$	**73,972.86**	**75,786.97**	**1,814.11**	**660.58**	**0.87%**
	Mutual Funds - Developed Intl						
273.189	Brandes International Small Cap Eq I		3,641.61	3,644 34	2 73	67.98	1.87%
298 369	Cambiar International Equity Institutional		6,988 12	7,128 04	139.92	42.58	0.60%
	Sub Total	$	**10,629.73**	**10,772.38**	**142.65**	**110.56**	**1.03%**
	Mutual Funds - Emerging Market						
360 685	Virtus Emerging Markets Opportunities		3,201 55	3,256 99	55.44	30.30	0.93%
	Sub Total	$	**3,201.55**	**3,256.99**	**55.44**	**30.30**	**0.93%**

Account Holdings As Of

Filtered By Selected Accounts

Sorted By Account Number

Date Run · 05/02/2017

Time Printed 3 21 40 PM

Account Name : Lindberg Unitrust fbo Habitat Humanity

As Of : 12/29/2016

Account Number : 25266

Shares	Asset Description	Cost		Market	Unr Gain Loss	Est Ann Income	Yield
		Prices As Of : 12/29/2016					
	Grand Total	$	94,113.66	96,125.86	2,012.20	801.44	0.83%

Principal Cash: -4,766.79 *Income Cash:* 4,766.79 *Invested Income:* 0.00

Account Holdings As Of

Filtered By Selected Accounts

Sorted By Account Number

Date Run 05/02/2017 — Time Printed 3 21 40 PM

Account Name : Lindberg Unitrust fbo Habitat — **As Of : 12/29/2016** — **Account Number : 25266a**

Prices As Of : 12/29/2016

Shares	Asset Description		Cost	Market	Unr Gain Loss	Est Ann Income	Yield
	Money Market-Taxable						
1,396.61	117 Federated Government Obligations		1,396.61	1,396.61	0.00	0 00	0.00%
	Sub Total	**$**	**1,396.61**	**1,396.61**	**0.00**	**0.00**	**0.00%**
	ETF & ETN - Fixed						
44	iShares Agency Bond ETF		5,090.02	4,945.16	-144.86	65.94	1 33%
47	iShares CMBS ETF		2,504 03	2,387 60	-116 43	55.79	2.34%
115	iShares MBS ETF		12,633.90	12,207 25	-426 65	275.26	2.25%
483	Powershares Build Amrica BND		15,284.00	14,055 30	-1,228.70	607 38	4.32%
142	Vanguard INT-Term Corporate		12,727 18	12,129 64	-597.54	401.43	3 31%
	Sub Total	**$**	**48,239.13**	**45,724.95**	**-2,514.18**	**1,405.80**	**3.07%**
	ETF & ETN - Preferred Stock						
63	Ishares US Preferred Stock ETF		2,527.53	2,339 82	-187 71	143.09	6 12%
	Sub Total	**$**	**2,527.53**	**2,339.82**	**-187.71**	**143.09**	**6.12%**
	Grand Total	**$**	**52,163.27**	**49,461.38**	**-2,701.89**	**1,548.89**	**3.13%**

Principal Cash: -562.12 ***Income Cash:*** 562.12 ***Invested Income:*** 0.00

THIS ACCOUNT IS NO LONGER ACTIVE