Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
THE BRAMAN FAMILY 2011 CHARITABLE FOUNDATION

A Employer identification number
38-6969361

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
2060 BISCAYNE BLVD NO 2ND FL

B Telephone number (see instructions)
(305) 576-1889

City or town, state or province, country, and ZIP or foreign postal code
MIAMI, FL 331375027

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 7,606,976

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) ______
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	186,691	174,378		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-256,576			
	b	Gross sales price for all assets on line 6a 11,540,344				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	-69,885	174,378		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	24,175	0		24,175
	c	Other professional fees (attach schedule)	54,000	13,500		40,500
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	1,739	1,666		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	58,076	58,076		0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	137,990	73,242		64,675
	25	Contributions, gifts, grants paid	504,400			504,400
	26	**Total expenses and disbursements.** Add lines 24 and 25	642,390	73,242		569,075
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-712,275			
	b	**Net investment income** (if negative, enter -0-)		101,136		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	355,116	37,463	37,463
2	Savings and temporary cash investments	98,418	2,275,906	2,275,906
3	Accounts receivable ▶ 49			
	Less allowance for doubtful accounts ▶		49	
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)			
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	7,799,859	5,211,241	5,293,607
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	8,253,393	7,524,659	7,606,976
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	0	0	
28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29	Retained earnings, accumulated income, endowment, or other funds	8,253,393	7,524,659	
30	**Total net assets or fund balances** (see instructions)	8,253,393	7,524,659	
31	**Total liabilities and net assets/fund balances** (see instructions)	8,253,393	7,524,659	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,253,393
2	Enter amount from Part I, line 27a	2	-712,275
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	7,541,118
5	Decreases not included in line 2 (itemize) ▶	5	16,459
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	7,524,659

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-256,576
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	1,519,014	9,482,668	0 160188
2014	48,259	8,907,343	0 005418
2013	195,378	8,461,118	0 023091
2012	613,250	8,152,673	0 075221
2011	1,284,607	5,498,970	0 233609

2 Total of line 1, column (d)	2	0 497527
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 099505
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	7,486,259
5 Multiply line 4 by line 3	5	744,920
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,011
7 Add lines 5 and 6	7	745,931
8 Enter qualifying distributions from Part XII, line 4	8	569,075

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	2,023
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	2,023
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	2,023
6	Credits/Payments				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	8,029		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	8,029
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	6,006
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 6,006	**Refunded** ▶		11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶DE			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶NORMAN BRAMAN Telephone no ▶(305) 576-1889

Located at ▶2060 BISCAYNE BLVD MIAMI FL ZIP+4 ▶33137

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

		Line	Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No

b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No
If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No

b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No		
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☑ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No		
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**	
Organizations relying on a current notice regarding disaster assistance check here ▶	☐		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**	No
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No		
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**	

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NORMAN BRAMAN 1 INDIAN CREEK ISLES MIAMI BEACH, FL 33154	TRUSTEE 1 00	0	0	0
IRMA BRAMAN 1 INDIAN CREEK ISLES MIAMI BEACH, FL 33154	TRUSTEE 1 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
JDR EVENTS 6060 SW 13TH ST MIAMI, FL 33144	CONSULTING	54,000
Total number of others receiving over $50,000 for professional services. ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	7,503,589
b	Average of monthly cash balances.		1b	96,674
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	7,600,263
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	0
3	Subtract line 2 from line 1d.		3	7,600,263
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	114,004
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	7,486,259
6	**Minimum investment return.** Enter 5% of line 5.		6	374,313

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.		1	374,313
2a	Tax on investment income for 2016 from Part VI, line 5.	2a 2,023		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	2,023
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	372,290
4	Recoveries of amounts treated as qualifying distributions.		4	0
5	Add lines 3 and 4.		5	372,290
6	Deduction from distributable amount (see instructions).		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	372,290

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	569,075
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	569,075
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	569,075

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					372,290
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only.				0	
b Total for prior years 20___, 20___, 20___			0		
3 Excess distributions carryover, if any, to 2016					
a From 2011.	473,180				
b From 2012.	208,439				
c From 2013.					
d From 2014.					
e From 2015.	1,048,423				
f **Total** of lines 3a through e.		1,730,042			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 569,075					
a Applied to 2015, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2016 distributable amount.					372,290
e Remaining amount distributed out of corpus		196,785			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,926,827			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)		473,180			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		1,453,647			
10 Analysis of line 9					
a Excess from 2012.	208,439				
b Excess from 2013.					
c Excess from 2014.					
d Excess from 2015.	1,048,423				
e Excess from 2016.	196,785				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

THE BRAMAN FAMILY 2011 CHARITABLE F
2060 BISCAYNE BLVD 2ND FLOOR
MIAMI, FL 33137
(305) 578-1889

b The form in which applications should be submitted and information and materials they should include

APPLICATION FORM (GRANT PROPOSAL FORMAT) AND ATTACH A PROPOSAL SUMMARY, NARRATIVE WITH THE DESCRIPTION OF THE ORGANIZATION'S HISTORY AND MISSION, AND FUNDING REQUEST DESCRIBING THE PROGRAM FOR WHICH THEY SEEK FUNDING

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				504,400
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	186,691	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	-256,576	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		-69,885	0

13 Total. Add line 12, columns (b), (d), and (e). . . . . 13 -69,885

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-11-07	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
GARY R GERSON				P01384634
Firm's name ▶ GERSON PRESTON				Firm's EIN ▶59-1262947
Firm's address ▶ 4770 BISCAYNE BLVD SUITE 400 MIAMI, FL 33137				Phone no (305) 868-3600

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BLACKROCK GLOBAL LONG SHORT EQUITY FUND	P	2015-12-15	2016-05-20
WELLS FARGO ULTRA SHORTTERM INCOME	P		2016-04-29
BLACKROCK GLOBAL LONG SHORT EQUITY	P		2016-05-20
WELLS FARGO ULTRA SHORTTERM INCOME	P		2016-04-29
DELAWARE HEALTHCARE FUND	P		2016-05-20
DOUBLE LINE TOTAL RETURN FUND	P		2016-11-22
FEDERATED KAUFMANN LARGE CAP INSTITUTIONAL	P	2015-12-03	2016-05-20
ISHARES CORE U S AGGREGATE BOND ETF	P	2016-05-20	2016-11-22
ISHARES IBOXX INVESTMENT GRADE CORPORATE BOND	P	2016-05-20	2016-11-22
ISHARES 7-10 YEAR TREASURY BOND ETF	P	2016-05-20	2016-11-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,354		2,524	-170
634		632	2
185,738		200,235	-14,497
101,761		102,389	-628
28,994		30,469	-1,475
130,540		132,501	-1,961
8		8	0
127,918		130,166	-2,248
128,149		130,093	-1,944
125,882		130,143	-4,261

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-170
			2
			-14,497
			-628
			-1,475
			-1,961
			0
			-2,248
			-1,944
			-4,261

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
IVY SCIENCE AND TECHNOLOGY FUND	P	2015-12-10	2016-05-20
JANUS SHORT-TERM BOND FUND	P		2016-11-22
VICTORY INCORE LOW DURATION BOND FUND	P		2016-11-22
RS OPPORTUNITIES FUND CLASS	P	2015-12-22	2016-05-20
DELAWARE HEALTHCARE FUND	P		2016-05-20
FEDERATED KAUFMANN LARGE CAP	P	2015-05-05	2016-05-20
IVY SCIENCE AND TECHNOLOGY FUND	P	2015-05-05	2016-05-20
RS GLOBAL NATURAL RESOURCES Y	P		2016-05-20
VIRTUS EMERGING MARKETS OPPORTUNITIES FUND	P		2016-05-20
ALERIAN MLP ETF	P		2016-10-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,339		2,615	-276
130,889		130,894	-5
130,949		130,821	128
1,776		1,741	35
185,793		178,650	7,143
118,521		125,000	-6,479
102,524		125,000	-22,476
169,917		298,204	-128,287
188,844		226,420	-37,576
16,902		14,830	2,072

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-276
			-5
			128
			35
			7,143
			-6,479
			-22,476
			-128,287
			-37,576
			2,072

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ALERIAN MLP ETF CUSIP	P		2016-10-10
BLACKROCK UTILITY AND INFRASTRUCTURE TRUST	P		2016-07-22
BROOKFIELD GLOBAL LISTED INF/CUSIP 11273Q109/SYMBOL INF - 284 000 SHS	P	2015-04-14	2016-01-26
BROOKFIELD GLOBAL LISTED INF/CUSIP 11273Q109/SYMBOL INF - 681 000 SHS	P		2016-01-27
BROOKFIELD REAL ASSETS INCOME FUND INC/CUSIP	P	2016-03-31	2016-12-05
BROOKFIELD REAL ASSETS INCOME FUND INC/CUSIP	P		2016-12-12
BROOKFIELD REAL ASSETS INCOME FUND INC/CUSIP	P		2016-12-14
BROOKFIELD TOTAL RETURN FD INC EXCHANGE EFF	P	2015-04-08	2016-03-23
BROOKFIELD TOTAL RETURN FD INC EXCHANGE EFF	P	2015-04-08	2016-03-30
NUVEEN PREFERRED SECURITIES INCOME FUND CUSIP	P	2015-04-13	2016-03-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,023		8,826	197
8,226		7,519	707
2,744		5,648	-2,904
6,677		11,750	-5,073
9		9	0
15,950		15,937	13
8,827		8,906	-79
949		934	15
7,078		6,967	111
405		415	-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			197
			707
			-2,904
			-5,073
			0
			13
			-79
			15
			111
			-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
NUVEEN PREFERRED SECURITIES INCOME FUND CUSIP	P	2015-04-13	2016-03-14
NUVEEN PREFERRED SECURITIES INCOME FUND CUSIP	P	2016-03-01	2016-05-10
NUVEEN PREFERRED SECURITIES INCOME FUND CUSIP	P		2016-06-20
NUVEEN PREFERRED SECURITIES INCOME FUND	P		2016-08-11
NUVEEN PREFERRED SECURITIES INCOME FUND	P	2016-06-02	2016-08-12
SOURCE CAPITAL INC CLOSED END FUND FUND	P	2015-04-13	2016-02-16
SOURCE CAPITAL INC CLOSED END FUND FUND	P	2015-04-13	2016-03-09
TEKLA HEALTHCARE INVS CUSIP 87911J103 SYMBOL HQH - 232 000 SHS	P		2016-11-11
TEKLA WORLD HEALTHCARE FD CUSIP	P	2015-08-17	2016-05-17
TEKLA WORLD HEALTHCARE FD CUSIP	P		2016-05-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,945		7,107	-162
8		8	0
8,701		8,083	618
16,783		15,212	1,571
944		900	44
4,875		5,599	-724
6,797		7,465	-668
5,531		5,438	93
3,069		4,041	-972
7,696		9,709	-2,013

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-162
			0
			618
			1,571
			44
			-724
			-668
			93
			-972
			-2,013

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
TEKLA WORLD HEALTHCARE FD CUSIP	P		2016-05-25
WESTERN ASSET EMERGING MARKETS DEBT FUND	P	2016-08-17	2016-12-19
BLACKROCK UTILITY AND INFRASTRUCTURE TRUST	P		2016-06-27
BLACKROCK UTILITY AND INFRASTRUCTURE TRUST	P	2015-04-08	2016-07-21
BLACKROCK UTILITY AND INFRASTRUCTURE TRUST	P		2016-07-22
BROOKFIELD GLOBAL LISTED INF CUSIP 11273Q109 SYMBOL INF - 32 000 SHS	P	2014-03-12	2016-01-25
BROOKFIELD GLOBAL LISTED INF CUSIP 11273Q109 SYMBOL INF - 901 000 SHS	P		2016-01-26
BROOKFIELD TOTAL RETURN FD INC EXCHANGE EFF	P		2016-03-23
NUVEEN PREFERRED SECURITIES INCOME FUND CUSIP	P	2013-11-25	2016-03-02
NUVEEN PREFERRED SECURITIES INCOME FUND CUSIP	P	2013-11-25	2016-03-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,334		8,111	-777
			0
9,009		8,039	970
7,979		7,556	423
670		631	39
310		617	-307
8,706		18,146	-9,440
9,395		9,543	-148
10,617		9,539	1,078
3,278		2,938	340

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-777
			0
			970
			423
			39
			-307
			-9,440
			-148
			1,078
			340

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
67072C105 SYMBOL JPS - 350 000 SHS	P	2013-11-25	2016-03-11
PRINCIPAL REAL ESTATE INCOME FUND/CUSIP	P		2016-07-19
74255X104/ SYMBOL PGZ (CONTD) - 235 000 SHS	P		2016-07-20
PRINCIPAL REAL ESTATE INCOME FUND/ CUSIP	P		2016-07-21
PRINCIPAL REAL ESTATE INCOME FUND/ CUSIP	P	2015-04-07	2016-07-25
PRINCIPAL REAL ESTATE INCOME FUND/ CUSIP	P	2015-04-07	2016-07-26
PRINCIPAL REAL ESTATE INCOME FUND/ CUSIP	P	2015-04-07	2016-07-28
SHARES REVERSE SPLIT EFF 06/2016 CUSIP	P	2013-11-22	2016-02-24
SHARES REVERSE SPLIT EFF 06/2016 CUSIP	P		2016-04-19
SOURCE CAPITAL INC CLOSED END FUND FUND CUSIP	P	2013-12-02	2016-02-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,153		2,818	335
11,930		11,797	133
4,148		4,202	-54
8,761		9,293	-532
3,443		3,783	-340
1,065		1,170	-105
4,455		4,875	-420
10,167		11,981	-1,814
7,985		10,017	-2,032
10,642		10,576	66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			335
			133
			-54
			-532
			-340
			-105
			-420
			-1,814
			-2,032
			66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SOURCE CAPITAL INC CLOSED END FUND FUND CUSIP	P		2016-02-12
SOURCE CAPITAL INC CLOSED END FUND FUND CUSIP	P	2013-12-02	2016-02-16
TEKLA HEALTHCARE INVS CUSIP 87911J103 SYMBOL HQH - 155 000 SHS	P		2016-05-09
TEKLA HEALTHCARE INVS CUSIP 87911J103 SYMBOL HQH - 140 000 SHS	P		2016-05-16
TEKLA HEALTHCARE INVS CUSIP 87911J103 SYMBOL HQH - 165 000 SHS	P		2016-05-18
TEKLA HEALTHCARE INVS CUSIP 87911J103 SYMBOL HQH - 235 000 SHS	P		2016-11-01
TEKLA HEALTHCARE INVS CUSIP 87911J103 SYMBOL HQH - 28 000 SHS	P		2016-11-11
K/SSHBEN INT/CUSIP 87911K100 /SYMBOL HQL - 145 000 SHS	P	2014-07-17	2016-05-09
K/SSHBEN INT/CUSIP 87911K100 /SYMBOL HQL - 95 000 SHS	P	2014-08-07	2016-05-23
K/SSHBEN INT/CUSIP 87911K100 /SYMBOL HQL - 135 000 SHS	P	2014-07-17	2016-05-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19,662		19,550	112
1,625		1,603	22
3,790		4,018	-228
3,388		3,655	-267
4,067		4,328	-261
4,947		6,339	-1,392
668		946	-278
2,581		2,977	-396
1,716		1,975	-259
2,438		2,771	-333

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			112
			22
			-228
			-267
			-261
			-1,392
			-278
			-396
			-259
			-333

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
TEKLA LIFE SCIENCES INVS SH BEN INT/ CUSIP	P		2016-05-24
FIRST TR NYSE ARCA BIOTEC INDEX FD ETF CUSIP	P		2016-01-15
FIRST TRUST DOW JONES INTERNET INDEX FUND ETF/ C	P		2016-01-19
FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FUND	P		2016-01-19
FIRST TRUST CONSUMER STAPLES ALPHADEX FUND	P		2016-02-09
FIRST TRUST LARGE CAP VALUE OPPORTUNITIES ALPHADEX FD	P		2016-08-22
FIRST TRUST LARGE CAP VALUE OPPORTUNITIES ALPHADEX FD	P		2016-11-22
FIRST TRUST SENIOR LOAN ETF CUSIP	P	2015-11-12	2016-07-18
FIRST TRUST SENIOR LOAN ETF CUSIP	P	2015-11-12	2016-08-12
FIRST TRUST DORSEY WRIGHT FOCUS 5 ETF CUSIP	P		2016-02-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,956		4,553	-597
77,801		98,951	-21,150
98,124		98,944	-820
83,503		98,935	-15,432
94,758		98,945	-4,187
49,167		47,379	1,788
17,175		14,887	2,288
73,763		73,292	471
73,796		73,340	456
87,859		109,957	-22,098

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-597
			-21,150
			-820
			-15,432
			-4,187
			1,788
			2,288
			471
			456
			-22,098

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FIRST TRUST DORSEY WRIGHT FOCUS 5 ETF CUSIP	P		2016-06-08
FIRST TRUST DORSEY WRIGHT FOCUS 5 ETF CUSIP	P	2016-08-22	2016-11-22
THE FIRST TRUST DORSEY WRIGHT DYNAMIC FOCUS 5 ETF	P		2016-08-22
THE FIRST TRUST DORSEY WRIGHT DYNAMIC FOCUS 5 ETF	P		2016-11-22
FIRST TRUST DORSEY WRIGHT INTERNATIONAL FOCUS 5 ETF	P	2016-04-26	2016-08-22
FIRST TRUST DORSEY WRIGHT INTERNATIONAL FOCUS 5 ETF	P	2016-04-26	2016-11-22
FIRST TRUST GLOBAL TACTICAL COMMODITY STRATEGY FUND ETF	P	2016-06-17	2016-08-22
FIRST TRUST GLOBAL TACTICAL COMMODITY STRATEGY FUND ETF	P		2016-09-01
FIRST TRUST GLOBAL TACTICAL COMMODITY STRATEGY FUND ETF	P	2016-07-18	2016-11-22
GOLDMAN SACHS ACTIVEBETA US LARGE CAP QUITY ETF	P	2016-06-16	2016-08-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
127,106		124,922	2,184
23,672		23,777	-105
175,801		172,393	3,408
65,943		66,088	-145
37,505		37,036	469
12,578		13,015	-437
20,138		20,386	-248
18,896		19,802	-906
26,314		27,709	-1,395
19,727		18,776	951

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,184
			-105
			3,408
			-145
			469
			-437
			-248
			-906
			-1,395
			951

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
GOLDMAN SACHS ACTIVEBETA US LARGE CAP QUITY ETF	P	2016-06-16	2016-09-27
ISHARES EDGE MSCI MIN VOL GLOBAL ETF	P	2015-09-25	2016-02-09
ISHARES TIPS BOND ETF $0 24	P	2016-08-22	2016-09-21
ISHARES IBOXX INVESTMENT GRADE CORPORATE BOND ETF	P	2016-08-22	2016-09-21
ISHARES IBOXX INVESTMENT GRADE CORPORATE BOND ETF	P	2016-08-22	2016-11-22
ISHARES 20+ YEAR TREASURY BOND ETF CUSIP	P	2016-08-22	2016-11-01
ISHARES 20+ YEAR TREASURY BOND ETF CUSIP	P	2016-08-22	2016-11-22
ISHARES 20+ YEAR TREASURY BOND ETF CUSIP	P	2016-08-22	2016-12-01
ISHARES 1-3 YR TREASURY BOND ETF CUSIP	P	2016-09-01	2016-11-22
ISHARES COHEN & STEERS REIT ETF CUSIP	P	2016-08-22	2016-09-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19,036		18,357	679
28,034		28,197	-163
11,530		11,623	-93
32,683		33,045	-362
32,978		34,902	-1,924
40,712		43,558	-2,846
15,503		17,870	-2,367
21,706		25,688	-3,982
7,694		7,726	-32
14,157		14,451	-294

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			679
			-163
			-93
			-362
			-1,924
			-2,846
			-2,367
			-3,982
			-32
			-294

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ISHARES COHEN & STEERS REIT ETF CUSIP	P	2016-08-22	2016-11-01
ISHARES COHEN & STEERS REIT ETF CUSIP	P	2016-08-22	2016-11-22
ISHARES 1-3 YEAR CREDIT BOND ETF CUSIP	P	2016-11-01	2016-11-22
ISHARES 3-7 YEAR TREASURY BOND ETF CUSIP	P	2016-11-01	2016-11-22
ISHARES SHORT TREASURY BOND ETF CUSIP	P	2016-01-14	2016-03-07
ISHARES SHORT TREASURY BOND ETF CUSIP	P		2016-04-20
ISHARES SHORT TREASURY BOND ETF CUSIP	P	2016-11-01	2016-11-22
ISHARES SHORT TREASURY BOND ETF CUSIP	P	2016-11-01	2016-12-05
ISHARES MSCI IRELAND CAPPED ETF CUSIP	P	2016-01-08	2016-02-09
ISHARES EDGE MSCI MIN VOL EAFE ETF CUSIP	P		2016-01-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,530		7,226	-696
2,688		3,020	-332
8,929		8,961	-32
16,377		16,676	-299
58,122		58,107	15
136,549		136,391	158
11,256		11,259	-3
16,220		16,226	-6
23,881		26,894	-3,013
38,783		39,997	-1,214

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-696
			-332
			-32
			-299
			15
			158
			-3
			-6
			-3,013
			-1,214

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ISHARES EDGE MSCI USA MOMENTUM FACTOR ETF CUSIP	P		2016-08-22
ISHARES EDGE MSCI USA MOMENTUM FACTOR ETF CUSIP	P		2016-11-10
ISHARES EDGE MSCI MULTIFACTOR USA ETF CUSIP	P		2016-06-16
JPM DIVERSIFIED RETURN INTERNATIONAL EQUITY ETF CUSIP	P	2016-04-26	2016-08-22
JPM DIVERSIFIED RETURN INTERNATIONAL EQUITY ETF CUSIP	P	2016-04-26	2016-11-22
PIMCO ENHANCED SHORT MATURITY ACTIVE EXCHANGE-TRADED FD CUSIP	P	2016-02-09	2016-03-04
PIMCO ENHANCED SHORT MATURITY ACTIVE EXCHANGE-TRADED FD CUSIP	P		2016-04-08
PIMCO ENHANCED SHORT MATURITY ACTIVE EXCHANGE-TRADED FD CUSIP	P		2016-04-19
PIMCO ENHANCED SHORT MATURITY ACTIVE EXCHANGE-TRADED FD CUSIP	P	2016-11-01	2016-11-22
POWERSHARES DWA MOMENTUM PORTFOLIO ETF /CUSIP	P		2016-01-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
48,369		44,905	3,464
37,273		36,154	1,119
37,072		37,998	-926
37,720		37,054	666
12,461		12,977	-516
58,981		59,055	-74
95,849		95,698	151
205,488		204,989	499
2,637		2,635	2
148,063		161,663	-13,600

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,464
			1,119
			-926
			666
			-516
			-74
			151
			499
			2
			-13,600

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
POWERSHARES DWA MOMENTUM PORTFOLIO ETF CUSIP	P		2016-01-14
POWERSHARES DWA MOMENTUM PORTFOLIO ETF CUSIP	P		2016-06-17
POWERSHARES DWA DEVELOPED MARKETS MOMENTUM PORTFOLIO ETF	P	2016-08-22	2016-11-01
POWERSHARES DWA DEVELOPED MARKETS MOMENTUM PORTFOLIO ETF	P	2016-08-22	2016-11-22
POWERSHARES DWA EMERGING MARKETS MOMENTUM PORTFOLIO ETF	P	2016-08-22	2016-11-22
POWERSHARES EMERGING MARKETS SOVEREIGN DEBT PORTFOLIO ETF	P	2016-08-22	2016-11-22
POWERSHARES DWA TACT SEC ROT ETF CUSIP	P		2016-08-22
POWERSHARES DWA TACT SEC ROT ETF CUSIP	P	2016-08-12	2016-11-22
POWERSHARES S&P EMERGING MARKETS LOW VOLATILITY PORTFOLIO ETF/	P	2016-08-22	2016-11-22
POWERSHARES S&P INTERNATIONAL DEVELOPED LOW VOLATILITY PORTFOLIO ETF	P	2016-08-22	2016-11-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
69,377		78,258	-8,881
37,738		37,743	-5
20,595		21,799	-1,204
8,111		8,946	-835
5,436		5,957	-521
35,063		38,070	-3,007
61,439		58,635	2,804
25,870		26,751	-881
5,409		5,956	-547
20,773		21,804	-1,031

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-8,881
			-5
			-1,204
			-835
			-521
			-3,007
			2,804
			-881
			-547
			-1,031

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
POWERSHARES S&P INTERNATIONAL DEVELOPED LOW VOLATILITY PORTFOLIO ETF	P	2016-08-22	2016-11-22
POWERSHARES S&P 500 LOW VOLATILITY PORTFOLIO ETF CUSIP	P	2016-03-18	2016-11-01
POWERSHARES S&P 500 LOW VOLATILITY PORTFOLIO ETF CUSIP	P		2016-11-10
POWERSHARES S&P 500 LOW VOLATILITY PORTFOLIO ETF CUSIP	P	2016-04-08	2016-11-22
POWERSHARES S&P 500 LOW VOLATILITY PORTFOLIO ETF CUSIP	P		2016-12-05
POWERSHARES S&P 500 HIGH BETA PORT ETF CUSIP	P	2016-11-10	2016-11-22
POWERSHARES S&P 500 HIGH BETA PORT ETF CUSIP	P	2016-11-10	2016-12-14
PROSHARES S&P 500 ARISTOCRATS ETF CUSIP	P		2016-08-22
PROSHARES S&P 500 ARISTOCRATS ETF CUSIP	P		2016-11-22
GUGGENHEIM S&P 500 EQUAL WEIGHT ETF CUSIP	P		2016-08-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,192		8,931	-739
27,563		27,398	165
32,120		31,923	197
13,371		13,218	153
19,131		19,483	-352
16,343		15,787	556
24,820		22,735	2,085
48,541		45,509	3,032
15,285		14,754	531
20,203		19,265	938

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-739
			165
			197
			153
			-352
			556
			2,085
			3,032
			531
			938

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
GUGGENHEIM S&P 500 EQUAL WEIGHT ETF CUSIP	P	2016-05-02	2016-11-22
GUGGENHEIM S&P 500 TOP 50 ETF CUSIP	P	2015-11-11	2016-02-09
SPDR BLOOMBERG BARCLAYS SHORT TERM CORP BD ETF CUSIP	P	2016-01-11	2016-04-27
SPDR BLOOMBERG BARCLAYS SHORT TERM CORP BD ETF CUSIP	P	2016-11-01	2016-11-22
SPDR BLOOMBERG BARCLAYS 1-3 MONTH T-BILL ETF	P		2016-02-18
SPDR BLOOMBERG BARCLAYS 1-3 MONTH T-BILL ETF	P	2016-11-01	2016-11-22
SPDR DOUBLELINE TR TACT ETF CUSIP	P	2016-01-15	2016-08-16
SPDR NUVEEN BLOOMBERG BARCLAYS SHORT TERM MUNI BOND ETF	P	2016-01-19	2016-03-15
SPDR NUVEEN BLOOMBERG BARCLAYS SHORT TERM MUNI BOND ETF	P		2016-03-18
SPDR NUVEEN BLOOMBERG BARCLAYS SHORT TERM MUNI BOND ETF	P	2016-11-01	2016-11-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,086		15,029	1,057
65,346		72,339	-6,993
142,535		141,606	929
2,748		2,759	-11
85,375		85,394	-19
8,413		8,416	-3
119,810		117,087	2,723
24		24	0
308,494		309,060	-566
8,426		8,514	-88

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,057
			-6,993
			929
			-11
			-19
			-3
			2,723
			0
			-566
			-88

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
HEALTH CARE SELECT SECTOR SPDR FUND ETF CUSIP	P	2015-12-02	2016-01-14
VANGUARD GLB EX-US REAL ESTATE CUSIP	P	2016-09-21	2016-11-01
VANGUARD GLB EX-US REAL ESTATE CUSIP	P	2016-09-21	2016-11-22
VANGUARD S&P 500 ETF CUSIP	P		2016-07-15
VICTORYSHARES US 500 ENHANCED VOLATILITY WTD ETF CUSIP	P	2016-11-10	2016-11-22
WISDOMTREE MANAGED FUTURES STRATEGY ETF CUSIP	P		2016-11-01
WISDOMTREE MANAGED FUTURES STRATEGY ETF CUSIP	P	2016-09-21	2016-11-22
WISDOMTREE JAPAN HEDGED EQUITY FUND ETF CUSIP	P		2016-01-08
SPDR GOLD SHARES ETF CUSIP	P		2016-05-02
SPDR GOLD SHARES ETF CUSIP	P		2016-11-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
125,098		134,527	-9,429
6,725		7,057	-332
2,634		2,912	-278
86,344		81,846	4,498
13,653		13,328	325
21,942		22,103	-161
8,383		8,572	-189
26,881		33,492	-6,611
106,462		102,280	4,182
45,275		47,692	-2,417

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-9,429
			-332
			-278
			4,498
			325
			-161
			-189
			-6,611
			4,182
			-2,417

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FIRST TR NYSE ARCA BIOTEC INDEX FD ETF CUSIP	P	2015-01-13	2016-01-15
FIRST TRUST DOW JONES INTERNET INDEX FUND ETF	P	2015-01-13	2016-01-19
FIRST TRUST CONSUMER DISCRETIONARY ALPHADEX FUND ETF	P	2015-01-13	2016-01-19
FIRST TRUST CONSUMER STAPLES ALPHADEX FUND ETF CUSIP	P	2015-01-13	2016-02-09
FIRST TRUST DORSEY WRIGHT FOCUS 5 ETF	P	2015-01-13	2016-02-09
SPDR GOLD SHARES ETF CUSIP	P		2016-03-04
SPDR GOLD SHARES ETF CUSIP	P		2016-04-05
SPDR GOLD SHARES ETF CUSIP	P		2016-05-05
SPDR GOLD SHARES ETF CUSIP	P		2016-06-06
SPDR GOLD SHARES ETF CUSIP	P		2016-07-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
38,667		45,004	-6,337
48,508		44,985	3,523
39,305		44,969	-5,664
44,138		44,962	-824
42,589		49,951	-7,362
24			24
45			45
31			31
32			32
30			30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-6,337
			3,523
			-5,664
			-824
			-7,362
			24
			45
			31
			32
			30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SPDR GOLD SHARES ETF CUSIP	P		2016-08-03
SPDR GOLD SHARES ETF CUSIP	P		2016-09-07
SPDR GOLD SHARES ETF CUSIP	P		2016-10-05
SPDR GOLD SHARES ETF CUSIP	P		2016-11-03
SPDR GOLD SHARES ETF CUSIP	P		2016-12-05
BOEING COMPANY COMMON STOCK CUSIP	P	2015-05-28	2016-05-10
BOEING COMPANY COMMON STOCK CUSIP	P	2016-02-22	2016-09-12
BRITISH AMER TOBACCO PLC GB SPON ADR CUSIP	P	2016-02-22	2016-08-16
COLGATE PALMOLIVE CO COMMON STOCK/CUSIP	P		2016-08-19
CRANE CO COMMON STOCK/ CUSIP	P	2015-02-09	2016-01-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
35			35
44			44
42			42
42			42
25			25
640		712	-72
10,276		9,381	895
6,683		6,242	441
12,168		11,197	971
1,765		2,611	-846

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			35
			44
			42
			42
			25
			-72
			895
			441
			971
			-846

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CRANE CO COMMON STOCK/ CUSIP	P	2016-06-01	2016-11-25
CRANE CO COMMON STOCK/ CUSIP	P		2016-12-12
HOME DEPOT INC CUSIP	P	2015-08-18	2016-01-19
ILLINOIS TOOL WORKS INC COMMON STOCK/ CUSIP	P		2016-01-11
INTEL CORP COMMON STOCK/ CUSIP	P	2016-06-01	2016-10-21
ISHARES 1-3 YR TREASURY BOND ETF CUSIP	P	2016-01-19	2016-05-26
JOHNSON CONTROLS INC MERGER EFF 09/2016 CUSIP	P	2015-07-06	2016-01-19
JOHNSON CONTROLS INC MERGER EFF 09/2016 CUSIP	P	2016-06-01	2016-09-06
LOCKHEED MARTIN CORP COMMON STOCK/ CUSIP	P	2016-01-11	2016-01-19
LOCKHEED MARTIN CORP COMMON STOCK/ CUSIP	P		2016-11-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,947		9,550	1,397
13,651		13,234	417
6,868		6,923	-55
19,593		22,268	-2,675
10,181		9,289	892
85,604		85,482	122
106		151	-45
10,559		10,263	296
4,518		4,497	21
25,049		22,107	2,942

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,397
			417
			-55
			-2,675
			892
			122
			-45
			296
			21
			2,942

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MARSH & MCLENNAN COS INC CUSIP	P	2015-09-08	2016-01-19
MARSH & MCLENNAN COS INC CUSIP	P	2015-09-08	2016-04-15
MARSH & MCLENNAN COS INC CUSIP	P		2016-07-15
MCDONALDS CORP CUSIP 580135101	P	2015-11-09	2016-01-19
MCDONALDS CORP CUSIP 580135101	P	2015-11-09	2016-03-18
MICROSOFT CORP CUSIP	P		2016-10-21
NORDSTROM INC COMMON STOCK/CUSIP	P		2016-08-24
NOVARTIS AG SPON ADR CUSIP	P	2016-02-19	2016-07-15
OCCIDENTAL PETROLEUM CRP COMMON STOCK/ CUSIP	P		2016-01-11
PEPSICO INC COMMON STOCK/ CUSIP	P	2015-07-13	2016-01-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,886		5,030	-144
12,090		10,818	1,272
13,200		11,880	1,320
7,006		6,773	233
12,183		11,288	895
19,257		16,710	2,547
13,453		11,369	2,084
1,109		1,029	80
18,395		22,368	-3,973
6,634		6,767	-133

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-144
			1,272
			1,320
			233
			895
			2,547
			2,084
			80
			-3,973
			-133

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ROCKWELL AUTOMATION INC NEW/ CUSIP	P	2015-02-05	2016-01-19
SCHLUMBERGER LTD NETHERLANDS ANTILLES FOREIGN STOCK/	P	2015-05-28	2016-01-19
SCHLUMBERGER LTD NETHERLANDS ANTILLES FOREIGN STOCK/S	P	2015-05-28	2016-05-23
TEXAS INSTRUMENTS CUSIP 882508104 SYMBOL TXN - 78 000 SHS	P	2015-08-10	2016-01-19
TEXAS INSTRUMENTS CUSIP 882508104 SYMBOL TXN - 300 000 SHS	P	2015-08-10	2016-07-15
TEXAS INSTRUMENTS CUSIP 882508104 SYMBOL TXN - 86 000 SHS	P	2016-06-01	2016-10-21
TORONTO DOMINION BK NEW CANADA FOREIGN STOCK CAD	P	2015-05-28	2016-04-15
UNION PACIFIC CORP COMMON STOCK/CUSIP	P	2016-06-01	2016-08-19
UNTD TECHNOLOGIES CORP COMMON STOCK/ CUSIP	P	2016-06-01	2016-08-16
CALL BOEING COMPANY DUE 01/08/16 155 000 CUSIP	P	2016-01-11	2016-01-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,652		2,032	-380
1,023		1,444	-421
17,750		21,292	-3,542
3,751		4,031	-280
17,718		15,503	2,215
5,692		5,235	457
434		445	-11
8,846		8,089	757
5,839		5,505	334
87			87

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-380
			-421
			-3,542
			-280
			2,215
			457
			-11
			757
			334
			87

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CALL BOEING COMPANY DUE 08/19/16 135 000 CUSIP	P	2016-08-22	2016-08-22
CALL BRITISH AMER TOBACC DUE 12/16/16 135 000/CUSIP /	P	2016-12-19	2016-12-19
CALLCHUBB LTD CHF DUE 11/18/16 135 000 CUSIP	P		2016-11-21
CALL COLGATE PALMOLIVE C DUE 02/19/16 70 000/CUSIP	P	2016-01-20	2016-01-21
CALL COLGATE PALMOLIVE C DUE 02/19/16 70 000	P	2016-02-22	2016-02-22
CALL COLGATE PALMOLIVE C DUE 11/18/16 75 000	P		2016-11-21
CALL COLGATE PALMOLIVE C DUE 11/18/16 77 500	P	2016-11-21	2016-11-21
CALL CRANE CO DUE 03/18/16 55 000 CUSIP	P	2016-03-21	2016-03-21
CALL CRANE CO DUE 03/17/17 80 000 112500 CUSIP	P	2016-12-13	2016-12-14
CALL DOMINION RESOURCES DUE 04/15/16 72 500 CUSIP	P	2016-01-20	2016-01-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
222			222
150			150
386			386
47			47
136			136
327			327
126			126
408			408
		124	-124
		21	-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			222
			150
			386
			47
			136
			327
			126
			408
			-124
			-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CALL DOMINION RESOURCES DUE 04/15/16 72 500 CUSIP	P	2016-02-09	2016-02-10
CALL DIAGEO PLC NEW GB S DUE 01/15/16 120 000/CUSIP /	P	2016-01-19	2016-01-19
CALL DIAGEO PLC NEWGB S DUE 04/15/16 110 000 /CUSIP /	P	2016-04-18	2016-04-18
CALL DIAGEO PLC NEWGB S DUE 10/21/16 115 000/CUSIP /	P		2016-10-24
ADIENT PLC FOREIGN STOCK/ CUSIP	P	2016-10-31	2016-10-31
ACCENTURE PLC IRELAND CL A FOREIGN STOCK/ CUSIP	P	2016-01-19	2016-04-12
ACCENTURE PLC IRELAND CL A FOREIGN STOCK/ CUSIP	P		2016-08-19
JOHNSON CTLS INTL PLC FOREIGN STOCK/CUSIP	P	2016-09-06	2016-09-06
MEDTRONIC PLC FOREIGN STOCK/CUSIP	P	2015-02-05	2016-01-19
CALL HOME DEPOT INC DUE 02/19/16 135 000/CUSIP /	P	2016-02-22	2016-02-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		122	-122
270			270
105			105
266			266
5		5	0
10,710		10,139	571
11,218		10,064	1,154
27		27	0
6,799		6,767	32
165			165

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-122
			270
			105
			266
			0
			571
			1,154
			0
			32
			165

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CALL HOME DEPOT INC DUE 08/19/16 140 000/CUSIP /	P	2016-08-22	2016-08-22
CALL INTEL CORP DUE 02/19/16 35 000 CUSIP	P	2016-01-20	2016-01-21
CALL INTEL CORP DUE 02/19/16 35 000 CUSIP	P	2016-02-22	2016-02-22
CALL ILLINOIS TOOL WORKS DUE 03/18/16 97 500 CUSIP	P	2016-01-12	2016-01-13
CALL INVESCO LTD DUE 04/15/16 36 000 CUSIP	P	2016-04-18	2016-04-18
CALL INVESCO LTD DUE 10/21/16 34 000/ CUSIP	P	2016-10-24	2016-10-24
CALL JOHNSON CONTROLS IN DUE 04/15/16 48 000	P	2016-04-18	2016-04-18
CALL JOHNSON CTLS INTL P DUE 10/21/16 45 000	P	2016-10-24	2016-10-24
CALL JOHNSON CTLS INTL P DUE 10/21/16 48 000	P	2016-10-24	2016-10-24
CALL NORDSTROM INC DUE 04/15/16 62 650 CUSIP	P	2016-04-18	2016-04-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
113			113
112			112
580			580
334			334
405			405
440			440
552			552
512			512
162			162
594			594

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			113
			112
			580
			334
			405
			440
			552
			512
			162
			594

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CALL COCA COLA CO COM DUE 08/19/16 45 000 CUSIP	P	2016-08-22	2016-08-22
CALL COCA COLA CO COM DUE 11/18/16 47 000 CUSIP	P	2016-11-21	2016-11-21
CALL LOCKHEED MARTIN COR DUE 09/16/16 250 000 CUSIP	P	2016-09-19	2016-09-19
CALL MCDONALDS CORP DUE 09/16/16 130 000 CUSIP	P	2016-09-19	2016-09-19
CALL MEDTRONIC PLC DUE 02/19/16 82 500 CUSIP	P	2016-02-22	2016-02-22
CALL MEDTRONIC PLC DUE 11/18/16 85 000 CUSIP	P	2016-11-21	2016-11-21
CALL MEDTRONIC PLC DUE 11/18/16 92 500 CUSIP	P	2016-11-21	2016-11-21
CALL MARSH & MCLENNAN CO DUE 04/15/16 60 000 CUSIP	P	2016-01-20	2016-01-21
CALL MARSH & MCLENNAN CO DUE 10/21/16 70 000/CUSIP	P		2016-10-24
CALL NEXTERA ENERGY INC DUE 03/18/16 105 000 346UT8	P	2016-01-20	2016-01-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
321			321
122			122
245			245
86			86
116			116
234			234
128			128
			0
184			184
		263	-263

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			321
			122
			245
			86
			116
			234
			128
			0
			184
			-263

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CALL NEXTERA ENERGY INC DUE 12/16/16 135 000	P	2016-12-19	2016-12-19
CALL NOVARTIS AG SPON AD DUE 01/15/16 92 500/	P	2016-01-19	2016-01-19
CALL NOVARTIS AG SPON AD DUE 10/21/16 87 500	P	2016-10-24	2016-10-24
CALL PEPSICO INC DUE 04/15/16 105 000	P	2016-01-20	2016-01-21
CALL PEPSICO INC DUE 04/15/16 105 000	P	2016-04-18	2016-04-18
CALL ROCKWELL AUTOMATION DUE 01/15/16 110 000	P	2016-01-19	2016-01-19
CALL ROCKWELL AUTOMATION DUE 07/15/16 125 000	P	2016-07-18	2016-07-18
CALL ROCKWELL AUTOMATION DUE 09/16/16 125 000	P	2016-09-19	2016-09-19
CALL ROCKWELL AUTOMATION DUE 01/20/17 130 000	P	2016-12-13	2016-12-14
CALL SCHLUMBERGER LTD DUE 05/20/16 80 000 /	P	2016-05-23	2016-05-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
179			179
84			84
189			189
125			125
156			156
152			152
190			190
314			314
		722	-722
332			332

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			179
			84
			189
			125
			156
			152
			190
			314
			-722
			332

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CALL TORONTO DOMINION BK DUE 04/15/16 42 500	P	2016-01-20	2016-01-21
CALL TEXAS INSTRUMENTS DUE 01/15/16 60 000	P	2016-01-19	2016-01-19
CALL TEXAS INSTRUMENTS DUE 10/21/16 70 000	P	2016-10-24	2016-10-24
CALL UNION PACIFIC CORP DUE 01/15/16 90 000	P	2016-01-19	2016-01-19
CALL UNION PACIFIC CORP DUE 05/20/16 87 500	P	2016-05-23	2016-05-23
CALL UNTD TECHNOLOGIES C DUE 01/15/16 100 000/	P	2016-01-19	2016-01-19
CALL UNTD TECHNOLOGIES C DUE 11/18/16 115 000/	P	2016-11-21	2016-11-21
CALL VF CORP DUE 01/15/16 67 500/	P	2016-01-19	2016-01-19
CALL VF CORP DUE 05/20/16 67 500	P	2016-05-23	2016-05-23
CALL VF CORP DUE 11/18/16 67 500/	P	2016-11-21	2016-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
76			76
246			246
174			174
120			120
232			232
94			94
192			192
438			438
166			166
346			346

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			76
			246
			174
			120
			232
			94
			192
			438
			166
			346

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CALL VF CORP DUE 11/18/16 70 000	P	2016-11-21	2016-11-21
CALL EXXON MOBIL CORP DUE 10/21/16 95 000/	P		2016-10-24
BOEING COMPANY COMMON STOCK/	P	2015-01-15	2016-01-19
BOEING COMPANY COMMON STOCK	P	2015-02-12	2016-05-10
BOEING COMPANY COMMON STOCK	P	2015-01-15	2016-05-10
BOEING COMPANY COMMON STOCK/CUSIP 0	P		2016-09-12
PLC GBSPONADR/CUSIP 110448107 SYMBOL BTI - 23 000 SHS	P	2015-05-28	2016-01-19
PLC GBSPONADR/CUSIP 110448107 SYMBOL BTI - 178 000 SHS	P	2015-01-15	2016-01-19
PLC GBSPONADR/CUSIP 110448107 SYMBOL BTI - 100 000 SHS	P	2016-02-08	2016-06-17
PLC GBSPONADR/CUSIP 110448107 SYMBOL BTI - 43 000 SHS	P	2016-02-08	2016-08-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
190			190
312			312
5,039		5,249	-210
640		742	-102
11,522		11,810	-288
12,973		13,304	-331
2,375		2,615	-240
18,377		19,380	-1,003
11,580		11,259	321
5,042		4,841	201

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			190
			312
			-210
			-102
			-288
			-331
			-240
			-1,003
			321
			201

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
COLGATE PALMOUVE CO COMMON STOCK/CUSIP	P	2015-01-15	2016-01-19
COLGATE PALMOUVE CO COMMON STOCK/CUSIP	P		2016-05-20
COLGATE PALMOLIVE CO COMMON STOCK/ CUSIP	P	2015-05-28	2016-08-19
CRANE CO COMMON STOCK/ CUSIP	P		2016-11-25
CRANE CO COMMON STOCK/ CUSIP	P	2016-11-28	2016-12-12
DIAGEO PLC NEW GB SPON ADR CUSIP	P	2015-01-15	2016-01-19
DOMINION RESOURCES INC VA (NEW) CUSIP	P	2015-01-15	2016-01-19
DOMINION RESOURCES INC VA (NEW) CUSIP	P		2016-02-08
HOME DEPOT INC CUSIP 437076102 SYMBOL HD - 100 000 SHS	P	2015-08-18	2016-08-19
INTEL CORP COMMON STOCK/ CUSIP	P	2015-01-15	2016-01-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,550		6,990	-440
14,231		13,724	507
2,581		2,423	158
22,027		22,390	-363
1,994		1,990	4
5,805		6,389	-584
7,067		7,778	-711
15,245		16,725	-1,480
13,753		12,146	1,607
4,868		6,009	-1,141

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-440
			507
			158
			-363
			4
			-584
			-711
			-1,480
			1,607
			-1,141

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
INTEL CORP COMMON STOCK/ CUSIP	P		2016-10-21
JOHNSON CONTROLS INC MERGER EFF 09/2016	P	2015-07-06	2016-09-06
MICROSOFT CORP CUSIP	P		2016-10-21
NEXTERA ENERGY INC COM CUSIP	P	2015-01-15	2016-01-19
NEXTERA ENERGY INC COM CUSIP	P		2016-02-23
NORDSTROM INC COMMON STOCK/ CUSIP	P		2016-08-24
NOVARTIS AG SPON ADR CUSIP	P	2015-01-15	2016-01-19
NOVARTIS AG SPON ADR CUSIP	P		2016-07-15
ROCKWELL AUTOMATION INC NEW CUSIP	P	2015-02-05	2016-04-15
ROCKWELL AUTOMATION INC NEW CUSIP	P		2016-12-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,817		18,771	-1,954
19,610		21,639	-2,029
4,475		3,537	938
8,866		8,916	-50
10,630		10,735	-105
14,002		23,596	-9,594
2,676		3,248	-572
14,731		18,416	-3,685
11,205		11,287	-82
11,559		9,879	1,680

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,954
			-2,029
			938
			-50
			-105
			-9,594
			-572
			-3,685
			-82
			1,680

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ROCKWELL AUTOMATION INC NEW CUSIP	P	2015-08-10	2016-10-21
TORONTO DOMINION BK NEW CANADA FOREIGN STOCK CAD	P	2015-01-15	2016-01-19
TORONTO DOMINION BK NEW CANADA FOREIGN STOCK CAD	P	2015-01-15	2016-04-15
TORONTO DOMINION BK NEW CANADA FOREIGN STOCK CAD	P	2015-05-28	2016-10-26
UNION PACIFIC CORP COMMON STOCK /CUSIP	P	2015-01-15	2016-01-19
UNION PACIFIC CORP COMMON STOCK/CUSIP	P		2016-08-19
UNTD TECHNOLOGIES CORP COMMON STOCK/ CUSIP	P	2015-01-15	2016-01-19
UNTD TECHNOLOGIES CORP COMMON STOCK/ CUSIP	P	2015-01-15	2016-05-17
UNTD TECHNOLOGIES CORP COMMON STOCK/ CUSIP	P		2016-08-16
VF CORP COMMON STOCK/ CUSIP	P	2015-01-15	2016-01-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
927		723	204
2,603		3,176	-573
16,928		16,515	413
1,588		1,559	29
1,485		2,246	-761
18,045		22,800	-4,755
1,275		1,711	-436
9,730		11,405	-1,675
15,390		16,819	-1,429
2,165		2,946	-781

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			204
			-573
			413
			29
			-761
			-4,755
			-436
			-1,675
			-1,429
			-781

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
INVESCO LTD FOREIGN STOCK /CUSIP	P	2015-01-15	2016-01-19
MEDTRONIC PLC FOREIGN STOCK/ CUSIP	P	2015-02-05	2016-08-19
ABBVIE INC COM CUSIP 00287Y109 SYMBOL ABBV - 243 000 SHS	P	2016-01-07	2016-05-23
CONS EDISON CO (HOLDING CO) CUSIP 209115104 SYMBOL ED - 75 000 SHS	P	2016-01-08	2016-05-23
CROWN CASTLE INTL CORP SBI CUSIP 22822V101 /SYMBOL CCI - 54 000 SHS	P	2016-05-18	2016-05-23
EXXON MOBIL CORP CUSIP 30231G102 SYMBOL XOM - 207 000 SHS	P	2015-08-26	2016-05-23
HCP INC CUSIP 40414L109 SYMBOL HCP - 136 000 SHS	P		2016-01-07
OMEGA HEALTHCARE INVS INC COMMON STOCK/ CUSIP	P	2015-06-12	2016-05-23
OMEGA HEALTHCARE INVS INC COMMON STOCK/ CUSIP	P	2015-11-17	2016-07-14
REYNOLDS AMERICAN INC (HOLDING CO) CUSIP 761713106 SYMBOL RAI	P		2016-10-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,274		1,604	-330
16,440		14,819	1,621
14,478		13,840	638
5,386		4,981	405
4,868		4,885	-17
18,585		14,613	3,972
5,051		4,618	433
5,014		5,754	-740
2,728		2,688	40
27,635		23,694	3,941

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-330
			1,621
			638
			405
			-17
			3,972
			433
			-740
			40
			3,941

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR	P		2016-01-08
SANOFI SPON ADR CUSIP 80105N105 SYMBOL SNY - 31 000 SHS	P		2016-05-23
AT&T INC CUSIP 00206R102 SYMBOL T - 612 000 SHS	P	2012-03-15	2016-05-23
ALTRIA GROUP INC CUSIP 02209S103 SYMBOL MO - 134 000 SHS	P	2012-03-15	2016-04-14
ALTRIA GROUP INC CUSIP 02209S103 SYMBOL MO - 342 000 SHS	P	2012-03-15	2016-05-23
ALTRIA GROUP INC CUSIP 02209S103 SYMBOL MO - 121 000 SHS	P	2012-03-15	2016-07-25
AMER ELECTRIC POWER CO COMMON STOCK/ CUSIP	P	2012-05-23	2016-05-23
BCE INC NEW FOREIGN STOCK CAD CUSIP 05534B760 SYMBOL - 420 000 SHS	P		2016-05-23
BP PLC SPON ADR /CUSIP 055622104 SYMBOL BP - 480 000 SHS	P	2013-01-14	2016-02-24
BP PLC SPON ADR /CUSIP 055622104 SYMBOL BP - 306 000 SHS	P		2016-05-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,276		6,583	-1,307
1,224		1,394	-170
23,466		19,308	4,158
8,276		4,018	4,258
21,540		10,255	11,285
8,299		3,628	4,671
5,708		3,424	2,284
19,367		18,037	1,330
13,657		21,292	-7,635
9,688		12,663	-2,975

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,307
			-170
			4,158
			4,258
			11,285
			4,671
			2,284
			1,330
			-7,635
			-2,975

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CHEVRON CORP CUSIP 166764100 SYMBOL CVX - 87 000 SHS	P		2016-05-23
COCA COLA CO COM COMMON STOCK/ CUSIP	P	2012-03-15	2016-05-23
DOMINION RESOURCES INC VA (NEW) CUSIP 25746U109 SYMBOL D - 141 000 SHS	P	2012-03-15	2016-05-23
GENL MILLS INC COMMON STOCK/ CUSIP 370334104 SYMBOL GIS - 138 000 SHS	P	2014-11-04	2016-05-23
GENL MILLS INC COMMON STOCK/ CUSIP 370334104 SYMBOL GIS - 85 000 SHS	P		2016-07-25
GENL MILLS INC COMMON STOCK/ CUSIP 370334104 SYMBOL GIS - 93 000 SHS	P		2016-08-03
<\DR CUSIP 37733W105 SYMBOL GSK - 486 000 SHS	P	2012-03-15	2016-05-23
<\DR CUSIP 37733W105 SYMBOL GSK - 156 000 SHS	P		2016-07-14
<\DR CUSIP 37733W105 SYMBOL GSK - 233 000 SHS	P		2016-11-16
<\DR CUSIP 37733W105 SYMBOL GSK - 81 000 SHS	P	2012-07-24	2016-11-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,678		9,564	-886
8,330		6,631	1,699
9,991		7,110	2,881
8,667		7,292	1,375
6,068		4,492	1,576
6,478		4,898	1,580
20,452		21,856	-1,404
6,842		7,059	-217
8,979		12,739	-3,760
3,122		3,628	-506

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-886
			1,699
			2,881
			1,375
			1,576
			1,580
			-1,404
			-217
			-3,760
			-506

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
HCPINC/CUSIP 40414L109/SYMBOL HCP - 701 000 SHS	P		2016-01-07
JOHNSON & JOHNSON COM COMMON STOCK/	P	2012-03-15	2016-05-23
JOHNSON & JOHNSON COM COMMON STOCK/	P	2012-03-15	2016-07-14
JOHNSON & JOHNSON COM COMMON STOCK/	P		2016-10-13
KIMBERLY CLARK CORP COMMON STOCK/	P	2012-03-15	2016-05-23
KRAFT HEINZ CO/THE CUSIP 500754106 SYMBOL KHC - 128 000 SHS	P	2012-10-12	2016-04-14
KRAFT HEINZ CO/THE CUSIP 500754106 SYMBOL KHC - 416 000 SHS	P		2016-05-18
KRAFT HEINZ CO/THE CUSIP 500754106 SYMBOL KHC (CONTD) - 126 000 SHS	P		2016-05-23
KRAFT HEINZ CO/THE CUSIP 500754106 SYMBOL KHC (CONTD) - 97 000 SHS	P	2013-05-06	2016-07-14
KRAFT HEINZ CO/THE CUSIP 500754106 SYMBOL KHC (CONTD) - 74 000 SHS	P		2016-10-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
26,032		26,784	-752
11,099		6,433	4,666
6,775		3,574	3,201
6,357		3,509	2,848
12,096		6,704	5,392
9,862		5,919	3,943
33,852		18,941	14,911
10,427		6,390	4,037
8,562		5,053	3,509
6,442		3,983	2,459

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-752
			4,666
			3,201
			2,848
			5,392
			3,943
			14,911
			4,037
			3,509
			2,459

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MCDONALDS CORP CUSIP 580135101 SYMBOL MCD - 134 000 SHS	P		2016-02-03
MCDONALDS CORP CUSIP 580135101 SYMBOL MCD - 141 000 SHS	P	2012-12-06	2016-05-23
MERCK & CO INC NEW COM CUSIP 58933Y105 SYMBOL MRK - 351 000 SHS	P	2012-03-15	2016-05-23
MERCK & CO INC NEW COM CUSIP 58933Y105 SYMBOL MRK - 99 000 SHS	P	2012-03-15	2016-10-13
MERCK & CO INC NEW COM CUSIP 58933Y105 SYMBOL MRK - 193 000 SHS	P		2016-11-16
NATIONAL GRID PLC NEW SPON ADR CUSIP	P	2012-03-15	2016-05-23
OMEGA HEALTHCARE INVS INC COMMON STOCK	P		2016-07-14
PPL CORP CUSIP 69351T106 SYMBOL PPL - 324 000 SHS	P	2012-03-15	2016-05-23
PEPSICO INC COMMON STOCK/ CUSIP 713448108 SYMBOL PEP - 54 000 SHS	P	2012-03-15	2016-05-23
PHILIP MORRIS INTL INC/CUSIP 718172109/SYMBOL PM - 123 000 SHS	P		2016-04-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,442		12,826	3,616
17,376		12,365	5,011
19,337		13,339	5,998
6,159		3,762	2,397
12,156		7,332	4,824
21,486		15,121	6,365
11,108		12,001	-893
12,251		8,488	3,763
5,430		3,462	1,968
12,280		10,548	1,732

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,616
			5,011
			5,998
			2,397
			4,824
			6,365
			-893
			3,763
			1,968
			1,732

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PHILIP MORRIS INTL INC/CUSIP 718172109/SYMBOL PM - 219 000 SHS	P		2016-05-23
PROCTER & GAMBLE CO/ CUSIP	P		2016-05-23
PROCTER & GAMBLE CO/ CUSIP	P	2014-07-09	2016-07-25
REALTY INCOME CORP MARYLAND SBI	P	2013-07-08	2016-05-23
REALTY INCOME CORP MARYLAND SBI	P	2013-07-08	2016-08-03
REYNOLDS AMERICAN INC (HOLDING CO) CUSIP	P	2012-03-15	2016-02-03
REYNOLDS AMERICAN INC (HOLDING CO) CUSIP	P	2012-03-15	2016-05-23
REYNOLDS AMERICAN INC (HOLDING CO) CUSIP	P		2016-07-14
REYNOLDS AMERICAN INC (HOLDING CO) CUSIP	P		2016-10-21
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR	P		2016-01-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
21,416		19,014	2,402
20,676		18,583	2,093
9,717		9,267	450
7,227		5,051	2,176
6,760		4,012	2,748
16,250		6,906	9,344
19,097		7,916	11,181
13,358		5,259	8,099
27,798		11,974	15,824
27,230		48,295	-21,065

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,402
			2,093
			450
			2,176
			2,748
			9,344
			11,181
			8,099
			15,824
			-21,065

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SANOFI SPON ADR CUSIP 80105N105 SYMBOL SNY - 308 000 SHS	P	2015-01-06	2016-05-23
SOUTHERN CO CUSIP 842587107 SYMBOL SO - 315 000 SHS	P	2012-03-15	2016-05-23
UNILEVER PLC AMER SHS NEW SPON ADR CUSIP	P		2016-05-23
UNILEVER PLC AMER SHS NEW SPON ADR CUSIP	P		2016-10-13
UNILEVER PLC AMER SHS NEW SPON ADR CUSIP	P	2014-04-29	2016-10-13
VENTAS INC CUSIP 92276F100 SYMBOL VTR - 138 000 SHS	P	2013-09-17	2016-05-23
VENTAS INC CUSIP 92276F100 SYMBOL VTR - 92 000 SHS	P		2016-10-13
VERIZON COMMUNICATIONS INC/ CUSIP	P	2012-03-15	2016-05-23
VODAFONE GROUP PLC SPON ADR CUSIP	P		2016-05-23
VODAFONE GROUP PLC SPON ADR CUSIP	P	2012-03-15	2016-05-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,160		14,008	-1,848
15,272		14,100	1,172
12,155		9,023	3,132
789		639	150
10,827		11,013	-186
9,020		7,567	1,453
6,162		4,876	1,286
21,372		17,059	4,313
7,579		11,927	-4,348
12,566		18,201	-5,635

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,848
			1,172
			3,132
			150
			-186
			1,453
			1,286
			4,313
			-4,348
			-5,635

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
VODAFONE GROUP PLC SPON ADR CUSIP	P	2012-03-15	2016-05-23
DUKE ENERGY CORP NEW/CUSIP	P	2012-03-15	2016-05-23
AQR STYLE PREMIA ALTERNATIVE FUND CLASS I	P	2016-06-02	2016-11-22
AQR LONG-SHORT EQUITY FUND CLASS I	P	2016-06-02	2016-11-22
AQR MANAGED FUTURES STRATEGY FUND CLASS I	P	2015-12-14	2016-06-02
AQR MANAGED FUTURES STRATEGY FUND CLASS I	P	2015-12-14	2016-11-22
DREYFUS DYNAMIC TOTAL RETURN FUND CLASS I	P	2015-07-15	2016-01-26
DREYFUS DYNAMIC TOTAL RETURN FUND CLASS I	P	2015-07-15	2016-06-02
BURNHAM FINANCIAL LONG/SHORT FUND CLASS A	P	2015-12-17	2016-01-26
FPA CRESCENT FUND CUSIP 30254T759 SYMBOL - 1,820 229 SHS	P	2015-04-20	2016-01-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,020		6,755	2,265
15,848		13,085	2,763
127,444		125,681	1,763
79,752		75,277	4,475
43,300		46,984	-3,684
112,085		129,531	-17,446
100,620		109,731	-9,111
96,654		101,839	-5,185
197,204		221,855	-24,651
52,805		62,161	-9,356

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,265
			2,763
			1,763
			4,475
			-3,684
			-17,446
			-9,111
			-5,185
			-24,651
			-9,356

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FPA CRESCENT FUND CUSIP 30254T759 SYMBOL (CONTD) - 4,065 720 SHS	P		2016-02-29
GATEWAY FUND CLASS Y CUSIP 367829884 SYMBOL - 1,553 418 SHS	P	2015-12-14	2016-06-02
GATEWAY FUND CLASS Y CUSIP 367829884 SYMBOL - 3,426 904 SHS	P	2015-12-14	2016-11-22
JPMORGAN GLOBAL ALLOCATION FUND CLASS SEL CUSIP	P	2015-04-20	2016-01-26
JPMORGAN GLOBAL ALLOCATION FUND CLASS SEL CUSIP	P		2016-06-02
LOCORR MANAGED FUTURES STRATEGY FUND CL I CUSIP	P	2016-02-29	2016-11-22
NATIXIS ASG MANAGED FUTURES STRATEGY FUND CLASS Y	P	2016-01-26	2016-06-02
NATIXIS ASG MANAGED FUTURES STRATEGY FUND CLASS Y	P	2016-01-26	2016-11-22
PRUDENTIAL QMA LONG SHORT EQUITY FUND CLASS Z	P	2016-06-02	2016-11-22
PUTNAM SHORT DURATION INCOME FUND Y CUSIP	P		2016-01-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
119,695		137,707	-18,012
46,478		45,872	606
105,309		101,196	4,113
53,067		59,156	-6,089
768		761	7
62,561		64,295	-1,734
47,241		48,787	-1,546
109,128		123,821	-14,693
118,318		113,313	5,005
218,907		218,907	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-18,012
			606
			4,113
			-6,089
			7
			-1,734
			-1,546
			-14,693
			5,005
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BOSTON PARTNERS LONG/SHORT RESEARCH FD CLASS INST/	P		2016-11-22
WELLS FARGO ABSOLUTE RETURN FUND CLASS INST	P	2016-01-26	2016-06-02
JPMORGAN GLOBAL ALLOCATION FUND CLASS SEL	P		2016-06-02
BOSTON PARTNERS LONG/SHORT RESEARCH FD CLASS	P	2014-07-22	2016-06-02
BOSTON PARTNERS LONG/SHORT RESEARCH FD CLASS	P		2016-11-22
ALTRIA GROUP INC CUSIP 02209S103 SYMBOL MO - 128 000 SHS	P	2016-06-01	2016-11-29
CMS ENERGY CORP COMMON STOCK /	P	2016-06-01	2016-11-29
CHEVRON CORP /CUSIP 166764100/SYMBOL CVX - 211 000 SHS	P	2016-11-02	2016-11-29
FIRST TRUST MORNINGSTAR DIV LEADER INDEX FUND	P	2016-01-21	2016-02-09
FIRST TRUST UTILITIES ALPHADEX ETF CUSIP	P	2015-08-28	2016-01-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,883		17,874	1,009
147,432		140,074	7,358
124,672		130,917	-6,245
131,207		131,788	-581
101,515		100,258	1,257
8,333		8,192	141
8,216		8,205	11
23,017		22,258	759
13,681		12,893	788
13,450		13,841	-391

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,009
			7,358
			-6,245
			-581
			1,257
			141
			11
			759
			788
			-391

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FIRST TRUST UTILITIES ALPHADEX ETF CUSIP	P	2015-08-28	2016-01-19
FIRST TRUST UTILITIES ALPHADEX ETF CUSIP	P	2015-08-28	2016-02-09
FIRST TRUST UTILITIES ALPHADEX ETF CUSIP	P		2016-11-10
ISHARES 1-3 YR TREASURY BOND ETF CUSIP	P	2016-01-08	2016-03-11
ISHARES COHEN & STEERS REIT ETF /CUSIP	P	2015-09-29	2016-01-08
ISHARES COHEN & STEERS REIT ETF /CUSIP	P	2015-09-29	2016-01-19
ISHARES COHEN & STEERS REIT ETF /CUSIP	P	2015-09-29	2016-02-09
ISHARES COHEN & STEERS REIT ETF /CUSIP	P		2016-11-10
MATTEL INC COMMON STOCK/ CUSIP	P		2016-06-27
ONEOK INC NEW/ CUSIP 682680103 SYMBOL OKE - 549 000 SHS	P	2016-06-27	2016-11-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,423		13,909	-486
14,729		14,362	367
58,244		58,845	-601
107,685		107,560	125
22,134		20,592	1,542
12,582		12,027	555
11,154		11,480	-326
54,187		57,663	-3,476
25,085		27,730	-2,645
28,689		24,650	4,039

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-486
			367
			-601
			125
			1,542
			555
			-326
			-3,476
			-2,645
			4,039

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PEPCO HOLDINGS INC MERGER EFF 03/2016** $0 27/	P	2015-07-29	2016-01-08
PEPCO HOLDINGS INC MERGER EFF 03/2016** $0 27/ CUSIP	P		2016-03-02
PIMCO ENHANCED SHORT MATURITY ACTIVE EXCHANGE-	P	2016-02-09	2016-03-11
PIMCO ENHANCED SHORT MATURITY ACTIVE EXCHANGE-	P	2016-02-09	2016-03-18
POWERSHARES HIGH YIELD EQUITY DIVIDEND ACHIEVERS PORTFOLIO ETF	P	2015-05-08	2016-01-08
POWERSHARES HIGH YIELD EQUITY DIVIDEND ACHIEVERS PORTFOLIO ETF	P	2015-05-08	2016-01-19
POWERSHARES HIGH YIELD EQUITY DIVIDEND ACHIEVERS PORTFOLIO ETF	P	2015-05-08	2016-02-09
POWERSHARES S&P 500 HIGH DIVIDEND LOW VOLATILITY PORTFOLIO ETF /	P	2015-12-16	2016-01-08
POWERSHARES S&P 500 HIGH DIVIDEND LOW VOLATILITY PORTFOLIO ETF	P	2015-12-16	2016-01-19
POWERSHARES S&P 500 HIGH DIVIDEND LOW VOLATILITY PORTFOLIO ETF	P	2015-12-16	2016-02-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,414		17,085	-671
19,627		22,381	-2,754
55,089		55,133	-44
52,539		52,517	22
14,979		15,682	-703
12,709		13,677	-968
13,955		14,492	-537
12,576		12,742	-166
13,146		13,534	-388
13,601		13,732	-131

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-671
			-2,754
			-44
			22
			-703
			-968
			-537
			-166
			-388
			-131

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
REALTY INCOME CORP MARYLAND SBI CUSIP	P		2016-11-01
REYNOLDS AMERICAN INC (HOLDING CO) CUSIP	P		2016-07-29
SPDR BLOOMBERG BARCLAYS 1 -3 MONTH T-BILL ETF	P	2015-12-18	2016-01-12
SPDR BLOOMBERG BARCLAYS 1 -3 MONTH T-BILL ETF	P	2016-11-01	2016-11-02
VANGUARD SHORT-TERM BOND ETF	P	2016-01-19	2016-02-22
VANGUARD SHORT-TERM BOND ETF	P	2016-01-19	2016-03-18
WISDOMTREE INTERNATIONAL SMALLCAP DIVIDEND FUND	P	2015-12-11	2016-01-08
WISDOMTREE INTERNATIONAL SMALLCAP DIVIDEND FUND	P	2015-12-11	2016-01-19
WISDOMTREE INTERNATIONAL SMALLCAP DIVIDEND FUND	P	2015-12-11	2016-01-21
GARMIN LTD SHS FOREIGN STOCK CHF CUSIP	P	2016-07-29	2016-11-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
22,120		21,009	1,111
30,648		29,311	1,337
12,924		12,929	-5
22,316		22,319	-3
56,211		56,007	204
50,391		50,166	225
7,657		7,959	-302
12,294		13,227	-933
25,673		28,573	-2,900
29,853		30,920	-1,067

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,111
			1,337
			-5
			-3
			204
			225
			-302
			-933
			-2,900
			-1,067

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ALPS SECTOR DIVIDEND DOGS ETF /CUSIP	P	2014-03-10	2016-01-08
ALPS SECTOR DIVIDEND DOGS ETF /CUSIP	P	2014-03-10	2016-01-19
ALPS SECTOR DIVIDEND DOGS ETF /CUSIP	P		2016-02-09
ALTRIA GROUP INC CUSIP 02209S103 SYMBOL MO - 64 000 SHS	P	2014-11-10	2016-01-08
ALTRIA GROUP INC CUSIP 02209S103 SYMBOL MO - 368 000 SHS	P		2016-11-29
CMS ENERGY CORP COMMON STOCK CUSIP	P	2014-03-10	2016-01-08
CMS ENERGY CORP COMMON STOCK CUSIP	P		2016-11-29
FIRST TRUST VALUE LINE DIVIDEND INDEX FUND CUSIP	P	2014-03-10	2016-01-08
FIRST TRUST VALUE LINE DIVIDEND INDEX FUND CUSIP	P	2014-03-10	2016-01-19
FIRST TRUST VALUE LINE DIVIDEND INDEX FUND CUSIP	P		2016-02-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,264		13,500	-236
12,158		12,916	-758
13,808		14,952	-1,144
3,758		3,183	575
23,959		18,749	5,210
5,275		4,083	1,192
24,942		18,876	6,066
11,599		10,875	724
13,176		12,539	637
13,960		13,720	240

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-236
			-758
			-1,144
			575
			5,210
			1,192
			6,066
			724
			637
			240

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FIRST TRUST UTILITIES ALPHADEX ETF CUSIP	P	2015-08-28	2016-11-10
ISHARES COHEN & STEERS REIT ETF CUSIP	P		2016-11-10
WISDOMTREE MIDCAP DIVIDEND ETF/CUSIP	P	2014-03-10	2016-01-08
WISDOMTREE MIDCAP DIVIDEND ETF/CUSIP	P	2014-03-10	2016-01-19
WISDOMTREE MIDCAP DIVIDEND ETF/CUSIP	P		2016-02-09
CAPITAL GAIN DISTRIBUTIONS	P		2016-12-31
UBS #18053	P		2016-12-31
UBS #32698	P		2016-12-31
UBS #18048	P		2016-12-31
UBS #18054	P		2016-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,567		13,183	1,384
14,494		14,499	-5
9,277		9,179	98
12,394		12,698	-304
12,982		13,872	-890
22,289			22,289
171			171
150			150
484			484
24,569			24,569

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,384
			-5
			98
			-304
			-890
			22,289
			171
			150
			484
			24,569

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
UBS #18062	P		2016-12-31
UBS #32698	P		2016-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,120			4,120
222			222

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,120
			222

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BREAKTHROUGH MIAMI INC 3250 S W 3RD AVE MIAMI, FL 33129		501(C)(3) EXEMPT ORG	GRANT	20,000
CANINE ASSISTED THERAPY INC 1040 NE 45TH ST OAKLAND PARK, FL 33334		501(C)(3) EXEMPT ORG	GRANT	10,000
CITY YEAR INC 44 W FLAGLER STREET SUITE 500 MIAMI, FL 33130		501(C)(3) EXEMPT ORG	GRANT	20,000
CLASSICAL PREPARTORY SCHOOL 16500 LYNCEUM WAY SPRING HILL, FL 34610		501(C)(3) EXEMPT ORG	GRANT	10,000
G-PACT 185-132 NEWBERRY COMMONS ETTERS, PA 17319		501(C)(3) EXEMPT ORG	GRANT	3,000
Total . . . . ▶ **3a**				504,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
IMAGINATION PRODUCTIONS 11110 NOB HILL ROAD SUITE 288 SUNRISE, FL 33351		501(C)(3) EXEMPT ORG	GRANT	25,000
ISRAEL GUIDE DOG CENTER FOR THE BLIND 968 EASTON ROAD SUITE H WARRINGTON, PA 18976		501(C)(3) EXEMPT ORG	GRANT	20,000
JUDAISM & DEMOCRACY ACTION ALLIANCE 364 W 117TH ST SUITE 4C NEW YORK, NY 10026		501(C)(3) EXEMPT ORG	CONTRIBUTION	10,000
MIAMI BRIDGE YOUTH & FAMILY SERVICES 2810 NW SOUTH RIVER DRIVE MIAMI, FL 33125		501(C)(3) EXEMPT ORG	GRANTS	15,000
MIAMI LIGHTHOUSE FOR THE BLIND 601 SW 8TH AVENUE MIAMI, FL 33130		501(C)(3) EXEMPT ORG	GRANT	250,000
Total . . . . ▶ 3a				504,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MOURNING FAMILY FOUNDATION 100 S BISCAYNE BLVD 3RD FL MIAMI, FL 33131		501(C)(3) EXEMPT ORG	GRANT	25,000
PTA FLORIDA 1747 ORLANDO CENTRAL PARKWAY ORLANDO, FL 32809		501(C)(3) EXEMPT ORG	CONTRIBUTION	11,400
ST JUDES CHILDREN'S RESEARCH HOSPITAL 262 DANNY THOMAS PLACE MEMPHIS, TN 38105		501(C)(3) EXEMPT ORG	GRANT	10,000
SUITED FOR SUCCESS 1600 NW 3RD AVE 111 MIAMI, FL 33136		501(C)(3) EXEMPT ORG	GRANT	10,000
SWITCHBOARD OF MIAMI INC 7412 SUNSET DR MIAMI, FL 33143		501(C)(3) EXEMPT ORG	GRANT	15,000
Total . . . . ▶ **3a**				504,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TAMPA BAY-JOB-LINKS 4100 W KENNEDY BLVD SUITE 206 TAMPA, FL 33609		501(C)(3) EXEMPT ORG	CONTRIBUTION	10,000
TRUE NORTH CLASICAL ACADEMY 9393 SUNSET DRIVE MIAMI, FL 33173		501(C)(3) EXEMPT ORG	GRANT	25,000
UM BRAMAN HILLEL 6200 SAN AMARO DRIVE SUITE 300 CORAL GABLES, FL 33124		501(C)(3) EXEMPT ORG	GRANT	10,000
UM HILLEL 1100 STANFORD DRIVE CORAL GABLES, FL 33146		501(C)(3) EXEMPT ORG	GRANT	5,000
Total . . . . ▶ 3a				504,400

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TY 2016 Accounting Fees Schedule

Name: THE BRAMAN FAMILY 2011 CHARITABLE FOUNDATION

EIN: 38-6969361

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	24,175	0		24,175

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TY 2016 Investments - Other Schedule

Name: THE BRAMAN FAMILY 2011 CHARITABLE FOUNDATION

EIN: 38-6969361

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
UBS #17999	AT COST	0	0
UBS #18045	AT COST	1	0
UBS #18062	AT COST	1,173,794	1,276,927
UBS #18054	AT COST	870,325	834,908
UBS #18048	AT COST	862,189	853,945
UBS #33229	AT COST	716,512	769,241
UBS #18053	AT COST	742,346	722,708
UBS #32698	AT COST	846,074	835,878

TY 2016 Other Decreases Schedule

Name: THE BRAMAN FAMILY 2011 CHARITABLE FOUNDATION

EIN: 38-6969361

Description	Amount
UNREALIZED LOSS	16,459

TY 2016 Other Expenses Schedule

Name: THE BRAMAN FAMILY 2011 CHARITABLE FOUNDATION

EIN: 38-6969361

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	58,076	58,076		0

TY 2016 Other Professional Fees Schedule

Name: THE BRAMAN FAMILY 2011 CHARITABLE FOUNDATION

EIN: 38-6969361

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CONSULTING	54,000	13,500		40,500

TY 2016 Taxes Schedule

Name: THE BRAMAN FAMILY 2011 CHARITABLE FOUNDATION

EIN: 38-6969361

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX WITHHOLDING	1,666	1,666		0
INCOME TAX	73	0		0