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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

2016

Department of the Treasury
Internal Revenue Service

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation LYON FOUNDATION INC		A Employer identification number 38-6121075						
Number and street (or P O box number if mail is not delivered to street address) 1592 REDDING RD		B Telephone number (see instructions)						
City or town, state or province, country, and ZIP or foreign postal code BIRMINGHAM, MI 48009-1029		C If exemption application is pending, check here <input type="checkbox"/>						
G Check all that apply: <table border="0" style="width:100%;"> <tr> <td><input type="checkbox"/> Initial return</td> <td><input type="checkbox"/> Initial return of a former public charity</td> </tr> <tr> <td><input type="checkbox"/> Final return</td> <td><input type="checkbox"/> Amended return</td> </tr> <tr> <td><input type="checkbox"/> Address change</td> <td><input type="checkbox"/> Name change</td> </tr> </table>		<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity							
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return							
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change							
H Check type of organization: <table border="0" style="width:100%;"> <tr> <td><input type="checkbox"/> Section 501(c)(3) exempt private foundation</td> <td><input checked="" type="checkbox"/> Other taxable private foundation</td> </tr> <tr> <td><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust</td> <td></td> </tr> </table>		<input type="checkbox"/> Section 501(c)(3) exempt private foundation	<input checked="" type="checkbox"/> Other taxable private foundation	<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>		
<input type="checkbox"/> Section 501(c)(3) exempt private foundation	<input checked="" type="checkbox"/> Other taxable private foundation							
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust								
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 2,814,023	J Accounting method: <table border="0" style="width:100%;"> <tr> <td><input checked="" type="checkbox"/> Cash</td> <td><input type="checkbox"/> Accrual</td> </tr> <tr> <td><input type="checkbox"/> Other (specify)</td> <td></td> </tr> </table> (Part I, column (d) must be on cash basis.)	<input checked="" type="checkbox"/> Cash	<input type="checkbox"/> Accrual	<input type="checkbox"/> Other (specify)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>		
<input checked="" type="checkbox"/> Cash	<input type="checkbox"/> Accrual							
<input type="checkbox"/> Other (specify)								

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	18	18	18	
	4 Dividends and interest from securities	76,266	76,266	76,266	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	25,021			
	b Gross sales price for all assets on line 6a 730,376				
	7 Capital gain net income (from Part IV, line 2)		25,021		
	8 Net short-term capital gain			3,964	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	101,305	101,305	80,248		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) STM107	1,250			1,250
	b Accounting fees (attach schedule) STM108	1,580			1,580
	c Other professional fees (attach schedule) STM109	22,187	14,541		7,646
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STM110	1,285	1,285		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) STM103	20			20
	24 Total operating and administrative expenses. Add lines 13 through 23	26,322	15,826		10,496
	25 Contributions, gifts, grants paid	129,000			129,000
26 Total expenses and disbursements. Add lines 24 and 25	155,322	15,826		139,496	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	(54,017)				
b Net investment income (if negative, enter -0-)		85,479			
c Adjusted net income (if negative, enter -0-)			80,248		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing		675	675
	2 Savings and temporary cash investments	78,981	217,835	217,835
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) . . . STM137	1,381,709	1,330,661	1,798,425
	c Investments - corporate bonds (attach schedule) . . . STM138	937,361	799,284	797,088
	11 Investments - land, buildings, and equipment basis			
Less accumulated depreciation (attach schedule)				
12 Investments - mortgage loans				
13 Investments - other (attach schedule)				
14 Land, buildings, and equipment basis				
Less accumulated depreciation (attach schedule)				
15 Other assets (describe)				
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	2,398,051	2,348,455	2,814,023	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
23 Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	2,398,051	2,348,455	
30 Total net assets or fund balances (see instructions)	2,398,051	2,348,455		
31 Total liabilities and net assets/fund balances (see instructions)	2,398,051	2,348,455		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,398,051
2 Enter amount from Part I, line 27a	2	(54,017)
3 Other increases not included in line 2 (itemize) ▶ STM115	3	4,421
4 Add lines 1, 2, and 3	4	2,348,455
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,348,455

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SHORT-TERM TRANSACTIONS (ATTACHED)	P	01-01-2016	12-31-2016
b LONG-TERM TRANSACTIONS (ATTACHED)	P	01-01-2000	12-31-2016
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 208,292		204,328	3,964
b 522,084		501,027	21,057
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			3,964
b			21,057
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	25,021
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }	3	3,964

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	125,689	2,799,540	0.044896
2014	148,045	2,892,559	0.051181
2013	102,834	2,729,162	0.03768
2012	111,364	2,552,516	0.043629
2011	130,878	2,345,401	0.055802

2 Total of line 1, column (d)	2	0.233188
3 Average distribution ratio for the 5-year base period = divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.046638
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	2,787,696
5 Multiply line 4 by line 3	5	130,013
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	855
7 Add lines 5 and 6	7	130,868
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	139,496

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	855
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3 Add lines 1 and 2		3	855
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	855
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	2,400	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,400	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,545	
11 Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> 1,545 Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ _____ (2) On foundation managers. <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> MI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?
Website address N/A
14 The books are in care of THERESE M THORN Telephone no 248-737-6073
Located at 5569 RAVEN RD, BLOOMFIELD HILLS, MI ZIP+4 48301-1047
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041-Check here and enter the amount of tax-exempt interest received or accrued during the year 15
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No **6b** X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALBERT RANDOLPH JUDD 1592 REDDING RD, BIRMINGHAM, MI 48009	PRESIDENT / SEC 6.00	0	0	0
JOHN TERRILL JUDD 16215 PINE HOLLOW AVE, MI 49546	TREASURER 4.00	0	0	0
WINN LYON MOORE 3528 KYLEMORE COURT, NC 28210	VICE PRESIDENT 4.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	2,716,233
b	Average of monthly cash balances	1b	113,915
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	2,830,148
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	2,830,148
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	42,452
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,787,696
6	Minimum investment return. Enter 5% of line 5	6	139,385

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	139,385
2a	Tax on investment income for 2016 from Part VI, line 5	2a	855
b	Income tax for 2016 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	855
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	138,530
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	138,530
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	138,530

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	139,496
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	139,496
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	855
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	138,641

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				138,530
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only				
b Total for prior years:				
3 Excess distributions carryover, if any, to 2016:				
a From 2011	16,078			
b From 2012				
c From 2013				
d From 2014	6,492			
e From 2015				
f Total of lines 3a through e	22,570			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 139,496				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2016 distributable amount				138,530
e Remaining amount distributed out of corpus	966			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	23,536			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2015. Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	16,078			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	7,458			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014	6,492			
d Excess from 2015				
e Excess from 2016	966			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

990APP

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE LISTING OF CHARITABLE GRANTS VARIOUS BIRMINGHAM, MI 48009	N/A		GENERAL OPERATING SUPPORT	129,000
Total			▶ 3a	129,000
b <i>Approved for future payment</i>				
Total			▶ 3b	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... 1a(1) Cash X 1a(2) Other assets X 1b(1) Sales of assets to a noncharitable exempt organization X 1b(2) Purchases of assets from a noncharitable exempt organization X 1b(3) Rental of facilities, equipment, or other assets X 1b(4) Reimbursement arrangements X 1b(5) Loans or loan guarantees X 1b(6) Performance of services or membership or fundraising solicitations X 1c Sharing of facilities, equipment, mailing lists, other assets, or paid employees X

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. The table is currently empty.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No [X] Yes [] No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. The table is currently empty.

Sign Here: Signature of Albert Randolph Jude, Date 5/3/2017, Title PRESIDENT / SECY. May the IRS discuss this return with the preparer shown below? [X] Yes [] No. Paid Preparer Use Only: Preparer's name JAMES M DWAN CPA, Signature JAMES M DWAN CPA, Date 5/3/17, Firm's name JAMES M DWAN CPA PC, Firm's address 32820 WOODWARD AVE SUITE 210 ROYAL OAK MI 48073, Firm's EIN P00362432, Phone no 248-951-2954.

Federal Supporting Statements

2016 PG01

Name(s) as shown on return

LYON FOUNDATION INC

FEIN

38-6121075

FORM 990PF - PART III - LINE 3

STATEMENT #115

OTHER INCREASES SCHEDULE

INVESTMENT ADJUSTMENTS	<u>4,421</u>
TOTAL	<u><u>4,421</u></u>

FORM 990PF - PART II - LINE 10(B)
INVESTMENTS: CORPORATE STOCK SCHEDULE

PG01
STATEMENT #137

<u>CATEGORY</u>	<u>BOY</u>	<u>BOOK VALUE</u>	<u>EOY FMV</u>
EQUITIES - LARGE CAP	847,934	796,908	1,143,280
EQUITIES - SMALL-MID CAP	187,766	193,747	316,371
EQUITIES - INTERNATIONAL	289,254	297,365	291,836
PREFERRED STOCK	<u>56,755</u>	<u>42,641</u>	<u>46,938</u>
TOTALS	<u><u>1,381,709</u></u>	<u><u>1,330,661</u></u>	<u><u>1,798,425</u></u>

FORM 990PF - PART II - LINE 10(C)
INVESTMENTS: CORPORATE BOND SCHEDULE

PG01
STATEMENT #138

<u>CATEGORY</u>	<u>BOY</u>	<u>BOOK VALUE</u>	<u>EOY FMV</u>
FIXED INCOME	<u>937,361</u>	<u>799,284</u>	<u>797,088</u>
TOTALS	<u><u>937,361</u></u>	<u><u>799,284</u></u>	<u><u>797,088</u></u>

Federal Supporting Statements

2016 PG01

Your Social Security Number

38-6121075

Name(s) as shown on return

LYON FOUNDATION INC

STATEMENT #103~

FORM 990PF - PART I - LINE 23 - OTHER EXPENSES SCHEDULE

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
STATE OF MICHIGAN REGISTRATION	20	0	0	20
TOTALS	<u>20</u>	<u>0</u>	<u>0</u>	<u>20</u>

PG01

STATEMENT #107~

FORM 990PF - PART I - LINE 16(A) - LEGAL FEES SCHEDULE

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
LEGAL FEES	1,250	0	0	1,250
TOTALS	<u>1,250</u>	<u>0</u>	<u>0</u>	<u>1,250</u>

Federal Supporting Statements

2016 PG01

Your Social Security Number
38-6121075

Name(s) as shown on return
LYON FOUNDATION INC

STATEMENT #108~

FORM 990PF - PART I - LINE 16(B) - ACCOUNTING FEES SCHEDULE

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
TAX PREPARATION FEES	1,580	0	0	1,580
TOTALS	<u>1,580</u>	<u>0</u>	<u>0</u>	<u>1,580</u>

PG01

STATEMENT #109~

FORM 990PF - PART I - LINE 16(C) - OTHER PROFESSIONAL FEES SCHEDULE

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
CONSULTANT FEES	375	0	0	375
INVESTMENT ADVISOR FEES	21,812	14,541	0	7,271
TOTALS	<u>22,187</u>	<u>14,541</u>	<u>0</u>	<u>7,646</u>

Federal Supporting Statements

2016 PG01

Your Social Security Number

38-6121075

Name(s) as shown on return

LYON FOUNDATION INC

FORM 990PF - PART I - LINE 18 - TAXES SCHEDULE

STATEMENT #110-

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
ESTIMATED TAX PAYMENTS	1,285	1,285	0	0
TOTALS	<u>1,285</u>	<u>1,285</u>	<u>0</u>	<u>0</u>

Federal Supporting Statements

2016 PG01

Name(s) as shown on return

Your Social Security Number

LYON FOUNDATION INC

38-6121075

**FORM 990PF - PART XV - LINE 2
APPLICATION SUBMISSION INFORMATION**

GRANT PROGRAM

LYON FOUNDATION INC

APPLICANT NAME

RANDOLPH JUDD

ADDRESS

1592 REDDING RD
BIRMINGHAM, MI 48009-1029

TELEPHONE

248-737-6073

EMAIL ADDRESS

FORM & CONTENT

APPLICATIONS SHOULD HAVE AN OUTLINE OF THE PROPOSED BUDGET AND ITS OBJECTIVES. ALSO INCLUDE A COPY OF THE EXEMPT STATUS LETTER FROM THE INTERNAL REVENUE SERVICE.

SUBMISSION DEADLINE

ANYTIME

RESTRICTIONS ON AWARD

CONTRIBUTIONS ARE MADE TO ALL TYPES OF CHARITABLE ORGANIZATIONS. NO GRANTS ARE MADE TO INDIVIDUALS.

The Lyon Foundation					
Charitable Grants					
E. I. #38-6121075					
01/01/2016 through 12/31/2016					
Organization and Address	Purpose	Amount	Check Number	Date Cashed	
Social Services					
American Foundation for Suicide Prevention New York, New York	General Operating Support	\$5,000.00	1817	04/29/16	
Autism Society of America (West Shore) Holland, Michigan	General Operating Support	\$1,500.00	1818	05/11/16	
Birmingham Next (formerly BASCC) Birmingham, Michigan	General Operating Support	\$1,000.00	1819	04/27/16	
Birmingham Youth Assistance Committees, Inc. Birmingham, Michigan	General Operating Support	\$1,000.00	1821	05/03/16	
Boys and Girls Clubs of Greater Gaston Gastonia, NC	General Operating Support	\$2,500.00	1820	05/04/16	
Carolinas HealthCare Foundation Levine Children's Hospital Charlotte, North Carolina	LCH Child Life Program	\$10,000.00	1822	05/03/16	
A Child's Place of Charlotte, Inc Charlotte, North Carolina	General Operating Support	\$5,000.00	1816	04/29/16	
Classroom Central, Inc. Charlotte, North Carolina	General Operating Support	\$5,000.00	1823	04/26/16	
Conservation Council for Hawaii Honolulu, Hawaii	General Operating Support	\$500.00	1824	05/09/16	
Detroit Educational Television Foundation WTVS 56 Wixom, Michigan	General Operating Support	\$5,000.00	1825	05/19/16	
Eaglebrook School Deerfield, Massachusetts	\$100,000 Pledge 4th of 5	\$10,000.00	1826	04/26/16	
Environment Hawaii Hilo, Hawaii	General Operating Support	\$500.00	1827	04/29/16	
Gleaners Community Food Bank, Inc. Pontiac, Michigan	General Operating Support	\$10,000.00	1828	04/26/16	

The Lyon Foundation					
Charitable Grants					
E. I. #38-6121075					
01/01/2016 through 12/31/2016					
Organization and Address	Purpose	Amount	Check Number	Date Cashed	
HAVEN, Inc.					
Pontiac, Michigan	General Operating Support	\$5,000.00	1829	05/09/16	
Hawaiian Islands Land Trust					
Wailuku, Hawaii	General Operating Support	\$500.00	1830	04/29/16	
Hoopulapula Haraguchi Rice Mill					
Hanalei, Hawaii	General Operating Support	\$500.00	1831	05/16/16	
Hope Haven, Inc.					
Charlotte, North Carolina	General Operating Support	\$10,000.00	1832	04/27/16	
Hospice of Michigan					
Detroit, Michigan	General Operating Support	\$5,000.00	1833	04/26/16	
Kauai Community Health Alliance					
Lihue, Hawaii	General Operating Support	\$500.00	1838	05/09/16	
Kauai Historical Society					
Lihue, Hawaii	General Operating Support	\$500.00	1834	04/28/16	
Kauai Humane Society					
Lihue, Hawaii	General Operating Support	\$500.00	1835	05/02/16	
Kekahu Foundation					
Hanalei, Hawaii	General Operating Support	\$500.00	1836	05/06/16	
LAP Foundation of North Carolina, Inc.					
Raleigh, North Carolina	Alcohol Treatment				
Make-A-Wish Foundation of Central & Western Carolinas	General Operating Support	\$6,000.00	1837	05/03/16	
Charleston, South Carolina	To honor the wish of a sick child in Gaston County	\$8,000.00	1839	04/27/16	
Malama Mahaulepu					
Koloa, Hawaii	General Operating Support	\$500.00	1840	05/04/16	

The Lyon Foundation					
Charitable Grants					
E. I. #38-6121075					
01/01/2016 through 12/31/2016					
Organization and Address	Purpose	Amount	Check Number	Date Cashed	
Planned Parenthood Affiliates of Michigan, Inc Lansing, Michigan	General Operating Support	\$10,000.00	1841	05/23/16	
Oakland University Rochester, Michigan	General Operating Support	\$10,000.00	1841	05/02/16	
Spaulding Youth Center Northfield, New Hampshire	General Operating Support	\$10,000.00	176	04/27/16	
Waipa Foundation Hanalei, Hawaii	General Operating Support	\$500.00	1844	04/27/16	
YMCA of Greater Charlotte Charlotte, North Carolina	General Operating Support	\$4,000.00	1844	04/27/16	
Total Charitable Grants 2016		\$129,000.00			



Schwab One® Account of
LYON FOUNDATION INC

Account Number
5123-7298

Report Period
January 1 - December 31,
2016

2016 Year-End Schwab Gain/Loss Report

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Accounting Method		Realized Gain or (Loss)
					Cost Basis Adjusted	Adjusted	
ALLERGAN PLC F: AGN	10.0000	02/19/16	07/27/16	\$2,545.99	\$2,755.12		(\$209.13)
Security Subtotal				\$2,545.99	\$2,755.12		(\$209.13)
APPLE INC: AAPL	20.0000	08/04/15	07/27/16	\$2,071.00	\$2,313.15		(\$242.15)
Security Subtotal				\$2,071.00	\$2,313.15		(\$242.15)
BLACKROCK INC: BLK	10.0000	09/03/15	04/14/16	\$3,540.97	\$3,000.94		\$540.03
BLACKROCK INC: BLK	10.0000	06/28/16	07/12/16	\$3,510.97	\$3,197.85		\$313.12
Security Subtotal				\$7,051.94	\$6,198.79		\$853.15
BOEING CO. BA	20.0000	07/15/15	03/21/16	\$2,704.66	\$2,948.95		(\$244.29)
Security Subtotal				\$2,704.66	\$2,948.95		(\$244.29)
C M S ENERGY COR 5.05XXXX**CALLED** @104.044264-125896BG4	50,000 0000	07/28/16	12/23/16	\$52,022.13	\$52,778.50		(\$756.37)
Security Subtotal				\$52,022.13	\$52,079.33		(\$57.20) ^b
D S T SYSTEMS INC DST	20.0000	04/15/16	12/19/16	\$2,161.82	\$2,304.97		(\$143.15)
Security Subtotal				\$2,161.82	\$2,304.97		(\$143.15)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report
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Schwab One® Account of
LYON FOUNDATION INC

Account Number
5123-7298

Report Period
January 1 - December 31,
2016

2016 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer™

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis		Realized Gain or (Loss)
					Adjusted	Adjusted	
DUKE ENERGY CORP- DUK	70.0000	02/20/15	02/01/16	\$5,371.59	\$5,472.45		(\$100.86)
Security Subtotal				\$5,371.59	\$5,472.45		(\$100.86)
FITBIT INC CLASS A: FIT	80.0000	08/13/15	02/23/16	\$1,064.82	\$3,408.95		(\$2,344.13)
FITBIT INC CLASS A: FIT	70.0000	08/27/15	02/23/16	\$931.72	\$2,598.39		(\$1,666.67)
FITBIT INC CLASS A: FIT	80.0000	11/13/15	02/23/16	\$1,064.83	\$2,258.21		(\$1,193.38)
Security Subtotal				\$3,061.37	\$8,265.55		(\$5,204.18)
FORD MOTOR COMPANY F	200.0000	07/01/15	04/26/16	\$2,750.99	\$2,982.69		(\$231.70)
Security Subtotal				\$2,750.99	\$2,982.69		(\$231.70)
GARMIN LTD ORDF- GRMN	50.0000	03/21/16	07/27/16	\$2,573.20	\$1,988.44		\$584.76
GARMIN LTD ORDF- GRMN	80.0000	03/21/16	07/28/16	\$4,258.46	\$3,181.51		\$1,076.95
Security Subtotal				\$6,831.66	\$5,169.95		\$1,661.71
IRON MOUNTAIN INC REIT: IRM	80.0000	11/05/15	03/08/16	\$2,467.00	\$2,500.46		(\$33.46)
IRON MOUNTAIN INC REIT: IRM	20.0000	11/05/15	05/02/16	\$748.45	\$625.12		\$123.33
Security Subtotal				\$3,215.45	\$3,125.58		\$89.87



Schwab One® Account of
LYON FOUNDATION INC

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5123-7298

Report Period
January 1 - December 31,
2016

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis		Realized Gain or (Loss)
					Adjusted	Adjusted	
IRON MOUNTAIN US HOL REIT: IRM	60.0000	11/05/15	06/14/16	\$2,278.35	\$1,875.34		\$403.01
IRON MOUNTAIN US HOL REIT: IRM	10.0000	11/17/15	06/14/16	\$379.72	\$284.72		\$95.00
IRON MOUNTAIN US HOL REIT: IRM	70.0000	11/17/15	06/29/16	\$2,759.84	\$1,993.02		\$766.82
Security Subtotal				\$5,417.91	\$4,153.08		\$1,264.83
KIMBERLY-CLARK CORP. KMB	20.0000	03/11/16	12/09/16	\$2,283.99	\$2,651.27		(\$367.28)
Security Subtotal				\$2,283.99	\$2,651.27		(\$367.28)
MATTEL INCORPORATED MAT	90.0000	06/30/15	02/02/16	\$2,691.61	\$2,317.03		\$374.58
MATTEL INCORPORATED: MAT	110.0000	06/30/15	02/04/16	\$3,575.30	\$2,831.92		\$743.38
MATTEL INCORPORATED: MAT	100.0000	09/18/15	02/04/16	\$3,250.28	\$2,345.95		\$904.33
Security Subtotal				\$9,517.19	\$7,494.90		\$2,022.29
MCKESSON CORPORATION: MCK	80.0000	06/30/16	12/01/16	\$11,458.80	\$14,877.04		(\$3,418.24)
Security Subtotal				\$11,458.80	\$14,877.04		(\$3,418.24)
OLIN CORP: OLN	200.0000	02/12/16	02/29/16	\$3,090.98	\$2,608.64		\$482.34
OLIN CORP OLN	280.0000	02/12/16	03/18/16	\$4,751.43	\$3,652.10		\$1,099.33



Schwab One® Account of
LYON FOUNDATION INC

Account Number
5123-7298

Report Period
January 1 - December 31,
2016

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Accounting Method		Realized Gain or (Loss)
					Mutual Funds: Average	All Other Investments: Tax Lot Optimizer™	
					Cost Basis Adjusted	Adjusted	Adjusted
OLIN CORP· OLN	290.0000	02/12/16	04/01/16	\$5,065.97	\$3,782.54		\$1,283.43
Security Subtotal				\$12,908.38	\$10,043.28		\$2,865.10
PALO ALTO NETWORKS: PANW	15.0000	09/03/15	08/10/16	\$1,893.88	\$2,476.45		(\$582.57)
PALO ALTO NETWORKS: PANW	10.0000	10/21/15	08/10/16	\$1,262.58	\$1,585.47		(\$322.89)
Security Subtotal				\$3,156.46	\$4,061.92		(\$905.46)
PLANET FITNESS INC CLASS PLNT	90.0000	10/23/15	08/15/16	\$2,018.00	\$1,526.63		\$491.37
Security Subtotal				\$2,018.00	\$1,526.63		\$491.37
REALTY INCM CORP REIT O	100.0000	02/06/15	01/06/16	\$5,212.31	\$5,107.37		\$104.94
REALTY INCM CORP REIT O	110.0000	05/01/15	01/26/16	\$6,035.44	\$5,184.45		\$850.99
Security Subtotal				\$11,247.75	\$10,291.82		\$955.93
RR DONNELLEY & SONS: RRD	300.0000	11/04/15	03/07/16	\$4,757.65	\$4,898.95		(\$141.30)
Security Subtotal				\$4,757.65	\$4,898.95		(\$141.30)
SCHLUMBERGER LTD F. SLB	30.0000	06/25/15	03/22/16	\$2,220.99	\$2,618.95		(\$397.96)
Security Subtotal				\$2,220.99	\$2,618.95		(\$397.96)



Schwab One® Account of
LYON FOUNDATION INC

Account Number
5123-7298

Report Period
January 1 - December 31,
2016

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/		Sold/ Closed	Total Proceeds	Cost Basis		Realized Gain or (Loss)
		Opened	10/26/15			Adjusted	Adjusted	
STARWOOD PPTY TRUST REIT STWD	120.0000		10/26/15	03/21/16	\$2,311.44	\$2,438.95		(\$127.51)
Security Subtotal					\$2,311.44	\$2,438.95		(\$127.51)
STERICYCLE INC: SRCL	40.0000		02/16/16	08/05/16	\$3,589.59	\$4,359.52		(\$769.93)
STERICYCLE INC: SRCL	20.0000		03/02/16	08/05/16	\$1,794.80	\$2,297.77		(\$502.97)
Security Subtotal					\$5,384.39	\$6,657.29		(\$1,272.90)
UNIVERSAL HLTH SVCS CLASS UHS	20.0000		02/11/16	12/06/16	\$2,508.12	\$2,101.95		\$406.17
Security Subtotal					\$2,508.12	\$2,101.95		\$406.17
VALERO ENERGY CORP: VLO	100.0000		06/10/16	11/09/16	\$5,980.61	\$5,329.48		\$651.13
VALERO ENERGY CORP: VLO	50.0000		06/10/16	11/17/16	\$3,226.23	\$2,664.74		\$561.49
VALERO ENERGY CORP: VLO	50.0000		06/10/16	11/22/16	\$3,288.48	\$2,664.73		\$623.75
Security Subtotal					\$12,495.32	\$10,658.95		\$1,836.37
VANGUARD FTSE ALL WORLD EX US ETF: VEU	100.0000		11/03/15	09/02/16	\$4,569.35	\$4,570.97		(\$1.62)
Security Subtotal					\$4,569.35	\$4,570.97		(\$1.62)

Accounting Method
Mutual Funds, Average
All Other Investments, Tax Lot Optimizer™



Schwab One® Account of
LYON FOUNDATION INC

Account Number
5123-7298

Report Period
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Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer™

Short-Term (continued)	Quantity/Par	Acquired/		Sold/		Total Proceeds	Cost Basis		Realized Gain or (Loss)
		Opened	Closed	Opened	Closed		Adjusted	Adjusted	
WESTERN DIGITAL CORP WDC	50.0000	03/21/16	07/12/16			\$2,577.22	\$2,446.50	\$130.72	
WESTERN DIGITAL CORP WDC	60.0000	03/21/16	08/19/16			\$2,791.55	\$2,935.81	(\$144.26)	
WESTERN DIGITAL CORP WDC	10.0000	03/30/16	08/19/16			\$465.26	\$463.31	\$1.95	
WESTERN DIGITAL CORP WDC	70.0000	03/30/16	09/07/16			\$3,738.08	\$3,243.20	\$494.88	
WESTERN DIGITAL CORP WDC	30.0000	03/30/16	09/26/16			\$1,703.09	\$1,389.94	\$313.15	
WESTERN DIGITAL CORP WDC	20.0000	08/02/16	09/26/16			\$1,135.40	\$891.63	\$243.77	
WESTERN DIGITAL CORP WDC	80.0000	08/02/16	10/26/16			\$4,510.95	\$3,566.51	\$944.44	
WESTERN DIGITAL CORP WDC	10.0000	08/02/16	10/27/16			\$608.87	\$445.81	\$163.06	
WESTERN DIGITAL CORP WDC	70.0000	08/10/16	10/27/16			\$4,262.07	\$3,053.95	\$1,208.12	
Security Subtotal						\$21,792.49	\$18,436.66	\$3,355.83	



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Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer™

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/		Sold/ Closed	Total Proceeds	Cost Basis		Realized Gain or (Loss)	
		Opened	Closed			Adjusted	Unadjusted	Adjusted	Unadjusted
WHITEWAVE FOODS· WWAV	80.0000	04/20/16	07/18/16		\$4,454.95		\$3,228.81		\$1,226.14
Security Subtotal					\$4,454.95		\$3,228.81		\$1,226.14
Total Short-Term					\$208,291.78		\$205,027.12		\$3,264.66
							\$204,327.95		\$3,963.83^b

Long-Term	Quantity/Par	Acquired/		Sold/ Closed	Total Proceeds	Cost Basis		Realized Gain or (Loss)	
		Opened	Closed			Adjusted	Unadjusted	Adjusted	Unadjusted
A T & T INC T	100.0000	08/08/14	02/03/16		\$3,643.97		\$3,422.58		\$221.39
A T & T INC T	50.0000	11/24/14	02/03/16		\$1,821.98		\$1,731.65		\$90.33
A T & T INC T	150.0000	08/08/14	06/09/16		\$5,983.42		\$5,133.87		\$849.55
Security Subtotal					\$11,449.37		\$10,288.10		\$1,161.27
APACHE CORP· APA	40.0000	11/15/12	04/27/16		\$2,268.94		\$3,062.98		(\$794.04)
APACHE CORP· APA	60.0000	10/14/14	04/27/16		\$3,403.42		\$4,573.06		(\$1,169.64)
Security Subtotal					\$5,672.36		\$7,636.04		(\$1,963.68)

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Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer™

Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis		Realized Gain or (Loss)	
					Adjusted	2016	Adjusted	2016
AUTO DATA PROCESSING. ADP	30.0000	04/17/15	11/02/16	\$2,695.49	\$2,510.21	\$2,510.21	\$185.28	
Security Subtotal				\$2,695.49	\$2,510.21	\$2,510.21	\$185.28	
BANK OF AMER 6.375% PFDPPD SER 3: BML+I	200.0000	10/19/10	03/31/16	\$5,201.50	\$4,384.50 ^a	\$4,384.50	\$817.00	
Security Subtotal				\$5,201.50	\$4,384.50	\$4,384.50	\$817.00	
BANK OF AMERICA COR 4%16 07/15/16: 06050WDE2	50,000.0000	01/14/13	07/15/16	\$50,000.00	\$53,373.50	\$53,373.50	(\$3,373.50)	
Security Subtotal				\$50,000.00	\$53,373.50	\$53,373.50	(\$3,373.50)	
BANK OF AMERICA CORP: BAC	150.0000	11/03/05	11/10/16	\$2,819.99	\$6,614.49 ^a	\$6,614.49	(\$3,794.50)	
Security Subtotal				\$2,819.99	\$6,614.49	\$6,614.49	(\$3,794.50)	
BARCLAYS BANK 7.1% PFDPPD SER 3: BCS+A	200.0000	11/29/10	04/04/16	\$5,070.94	\$4,918.98 ^a	\$4,918.98	\$151.96	
Security Subtotal				\$5,070.94	\$4,918.98	\$4,918.98	\$151.96	
BERKSHIRE HATHAWA 2.2%16 08/15/16: 084670BB3	65,000.0000	09/05/13	08/15/16	\$65,000.00	\$67,109.24	\$67,109.24	(\$2,109.24)	
Security Subtotal				\$65,000.00	\$67,109.24	\$67,109.24	(\$2,109.24)	

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Mutual Funds: Average
All Other Investments: Tax Lot Optimizer™

Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis		Realized Gain or (Loss)
					Adjusted	Adjusted	
BOEING CO BA	20.0000	09/25/12	04/08/16	\$2,572.99	\$1,403.99	\$1,169.00	\$1,169.00
Security Subtotal				\$2,572.99	\$1,403.99	\$1,169.00	\$1,169.00
CALIFORNIA RESOURCES: CRC	0.1703	03/20/14	03/24/16	\$0.17	\$0.27	(\$0.10)	(\$0.10)
CALIFORNIA RESOURCES CRC	3.7447	11/02/12	03/29/16	\$3.71	\$5.05	(\$1.34)	(\$1.34)
CALIFORNIA RESOURCES CRC	1.7020	03/20/14	03/29/16	\$1.68	\$2.68	(\$1.00)	(\$1.00)
CALIFORNIA RESOURCES: CRC	6.5532	12/08/14	03/29/16	\$6.48	\$9.17	(\$2.69)	(\$2.69)
Security Subtotal				\$12.04	\$17.17	(\$5.13)	(\$5.13)
CARLISLE COMPANIES: CSL	20.0000	07/28/11	06/23/16	\$2,088.85	\$878.18	\$1,210.67	\$1,210.67
CARLISLE COMPANIES CSL	10.0000	09/29/11	06/23/16	\$1,044.42	\$328.71	\$715.71	\$715.71
Security Subtotal				\$3,133.27	\$1,206.89	\$1,926.38	\$1,926.38
CENTURYLINK INC: CTL	100.0000	11/06/14	04/04/16	\$3,244.34	\$3,913.95	(\$669.61)	(\$669.61)
CENTURYLINK INC: CTL	60.0000	02/12/15	04/04/16	\$1,946.60	\$2,344.00	(\$397.40)	(\$397.40)
Security Subtotal				\$5,190.94	\$6,257.95	(\$1,067.01)	(\$1,067.01)



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Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Accounting Method		Realized Gain or (Loss)
					Cost Basis Adjusted	Adjusted	
CISCO SYSTEMS INC: CSCO	80.0000	11/03/05	05/19/16	\$2,203.00	\$1,409.34 ^a		\$793.66
Security Subtotal				\$2,203.00	\$1,409.34		\$793.66
CONOCOPHILLIPS COP	150.0000	12/26/14	02/04/16	\$5,683.45	\$10,514.71		(\$4,831.26)
Security Subtotal				\$5,683.45	\$10,514.71		(\$4,831.26)
COSTCO WHOLESALE CO: COST	10.0000	03/13/14	04/01/16	\$1,584.99	\$1,151.40		\$433.59
COSTCO WHOLESALE CO COST	10.0000	07/31/14	04/01/16	\$1,584.99	\$1,180.91		\$404.08
Security Subtotal				\$3,169.98	\$2,332.31		\$837.67
DENTSPLY SIRONA INC XRAY	0.9940	11/20/09	03/02/16	\$59.40	\$16.32 ^a		\$43.08
Security Subtotal				\$59.40	\$16.32		\$43.08
DOVER CORPORATION: DOV	40.0000	02/03/14	03/22/16	\$2,642.32	\$2,850.54		(\$208.22)
DOVER CORPORATION: DOV	30.0000	02/03/14	08/29/16	\$2,205.00	\$2,137.91		\$67.09
Security Subtotal				\$4,847.32	\$4,988.45		(\$141.13)
DUKE ENERGY CORP: DUK	70.0000	03/06/15	04/07/16	\$5,590.93	\$5,327.55		\$263.38
Security Subtotal				\$5,590.93	\$5,327.55		\$263.38

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Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Accounting Method		Realized Gain or (Loss)
					Cost Basis Adjusted	Mutual Funds Average All Other Investments: Tax Lot Optimizer™ Adjusted	
EVOLVING SYSTEMS INC: EVOL	470.0000	02/13/15	08/03/16	\$2,022.35	\$4,112.76		(\$2,090.41)
EVOLVING SYSTEMS INC: EVOL	250.0000	04/15/15	08/03/16	\$1,075.72	\$2,446.28		(\$1,370.56)
Security Subtotal				\$3,098.07	\$6,559.04		(\$3,460.97)
FIRST TRUST INTERNET INDEX CF ETF: FDN	70.0000	05/09/13	04/01/16	\$4,785.95	\$3,130.76		\$1,655.19
FIRST TRUST INTERNET INDEX CF ETF: FDN	30.0000	09/03/15	12/08/16	\$2,449.50	\$2,033.15		\$416.35
Security Subtotal				\$7,235.45	\$5,163.91		\$2,071.54
FREEMONT MCMORA 6.125%19 06/15/19 726505AM2	49,000.0000	01/17/14	04/20/16	\$45,187.50	\$54,277.30		(\$9,089.80)
Security Subtotal				\$45,187.50	\$54,277.30		(\$9,089.80)
GENERAL ELECTRIC CO GE	10.0000	06/05/08	12/19/16	\$318.21	\$309.43 ^a		\$8.78
GENERAL ELECTRIC CO GE	60.0000	10/16/13	12/19/16	\$1,909.29	\$1,459.79		\$449.50
Security Subtotal				\$2,227.50	\$1,769.22		\$458.28
GENTHERM INC THRM	130.0000	01/16/15	09/08/16	\$3,913.32	\$4,816.60		(\$903.28)
Security Subtotal				\$3,913.32	\$4,816.60		(\$903.28)

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Accounting Method
Mutual Funds Average
All Other Investments: Tax Lot Optimizer™

Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis		Realized
					Adjusted	Gain or (Loss)	
GOLDMAN SACHS 6.2% PFDPF SER B: GS+B	170.0000	01/21/11	11/18/16	\$4,327.30	\$4,083.80		\$243.50
GOLDMAN SACHS 6.2% PFDPF SER B: GS+B	30.0000	02/14/11	11/18/16	\$763.64	\$727.37		\$36.27
Security Subtotal				\$5,090.94	\$4,811.17		\$279.77
HEALTH EQUITY INC: HQY	70.0000	04/10/15	08/24/16	\$2,136.29	\$1,680.97		\$455.32
HEALTH EQUITY INC: HQY	80.0000	04/10/15	09/26/16	\$2,931.87	\$1,921.10		\$1,010.77
Security Subtotal				\$5,068.16	\$3,602.07		\$1,466.09
HORNBECK OFFSHORE SV: HOS	20.0000	08/26/05	01/05/16	\$186.22	\$603.59 ^a		(\$417.37)
HORNBECK OFFSHORE SV: HOS	140.0000	12/22/09	01/05/16	\$1,303.57	\$3,354.95 ^a		(\$2,051.38)
Security Subtotal				\$1,489.79	\$3,958.54		(\$2,468.75)
ILLINOIS TOOL WORKS: ITW	40.0000	10/28/05	05/19/16	\$4,093.89	\$1,685.29 ^a		\$2,408.60
ILLINOIS TOOL WORKS: ITW	30.0000	04/21/15	05/19/16	\$3,070.42	\$2,843.95		\$226.47
ILLINOIS TOOL WORKS: ITW	20.0000	10/28/05	10/10/16	\$2,329.89	\$842.64 ^a		\$1,487.25



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Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Accounting Method		Realized Gain or (Loss)
					Mutual Funds Average	All Other Investments: Tax Lot Optimizer™	
					Cost Basis	Adjusted	Adjusted
ILLINOIS TOOL WORKS ITW	70.0000	07/22/15	10/10/16	\$8,154.63	\$6,311.33		\$1,843.30
Security Subtotal				\$17,648.83	\$11,683.21		\$5,965.62
IRON MOUNTAIN INC REIT: IRM	19.0000	08/13/14	03/31/16	\$644.80	\$656.16		(\$11.36)
IRON MOUNTAIN INC REIT: IRM	46.0000	12/09/14	03/31/16	\$1,561.08	\$1,701.52		(\$140.44)
IRON MOUNTAIN INC REIT: IRM	100.0000	02/20/15	03/31/16	\$3,393.65	\$3,699.22		(\$305.57)
IRON MOUNTAIN INC REIT: IRM	95.0000	08/13/14	04/18/16	\$3,256.97	\$3,280.80		(\$23.83)
IRON MOUNTAIN INC REIT: IRM	40.0000	08/13/14	05/02/16	\$1,496.89	\$1,381.39		\$115.50
Security Subtotal				\$10,353.39	\$10,719.09		(\$365.70)
JOHNSON & JOHNSON JNJ	20.0000	04/22/10	04/18/16	\$2,206.00	\$1,290.24 ^a		\$915.76
JOHNSON & JOHNSON JNJ	50.0000	04/22/10	06/30/16	\$6,032.02	\$3,225.61 ^a		\$2,806.41
JOHNSON & JOHNSON: JNJ	30.0000	02/17/11	06/30/16	\$3,619.21	\$1,823.89		\$1,795.32
Security Subtotal				\$11,857.23	\$6,339.74		\$5,517.49
JPMORGAN CHASE & 3.45%16 03/01/16-46625HHX1	50,000.0000	04/01/11	03/01/16	\$50,000.00	\$49,915.00		\$85.00
					\$50,000.00		\$0.00^b

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Realized Gain or (Loss) (continued)

	Quantity/Par	Acquired/		Sold/ Closed	Total Proceeds	Cost Basis		Realized Gain or (Loss)	
		Opened	10/22/13			03/01/16	Adjusted	Adjusted	
JPMORGAN CHASE & 3.45%16 03/01/16: 46625HHX1	25,000.0000		10/22/13	03/01/16	\$25,000.00	\$26,282.50			(\$1,282.50)
Security Subtotal					\$75,000.00	\$76,197.50			(\$1,197.50)
KIMBERLY-CLARK CORP. KMB	10.0000		07/22/14	12/09/16	\$1,141.99	\$1,055.57			\$86.42
Security Subtotal					\$1,141.99	\$1,055.57			\$86.42
KINDER MORGAN INC KMI	100.0000		04/08/15	08/16/16	\$2,186.00	\$4,219.66			(\$2,033.66)
Security Subtotal					\$2,186.00	\$4,219.66			(\$2,033.66)
MACQUARIE INFRASTRUC: MIC	30.0000		11/24/14	04/12/16	\$2,046.01	\$2,140.86			(\$94.85)
MACQUARIE INFRASTRUC: MIC	50.0000		11/24/14	09/22/16	\$4,090.99	\$3,568.09			\$522.90
Security Subtotal					\$6,137.00	\$5,708.95			\$428.05
MASTERCARD INC CLASS MA	30.0000		02/12/10	04/01/16	\$2,855.20	\$678.77 ^a			\$2,176.43
Security Subtotal					\$2,855.20	\$678.77			\$2,176.43
MATTEL INCORPORATED: MAT	90.0000		12/19/14	01/29/16	\$2,469.20	\$2,707.35			(\$238.15)
MATTEL INCORPORATED: MAT	50.0000		01/07/15	01/29/16	\$1,371.78	\$1,477.29			(\$105.51)

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Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis		Realized Gain or (Loss)
					Adjusted	Adjusted	
MATTEL INCORPORATED: MAT	120.0000	01/07/15	02/02/16	\$3,588.82	\$3,545.49	\$43.33	
Security Subtotal				\$7,429.80	\$7,730.13	(\$300.33)	
MICROSOFT CORP: MSFT	40.0000	11/03/05	12/09/16	\$2,468.80	\$1,058.20 ^a	\$1,410.60	
Security Subtotal				\$2,468.80	\$1,058.20	\$1,410.60	
OCCIDENTAL PETROL CO: OXY	20.0000	03/20/14	06/21/16	\$1,536.21	\$1,776.99	(\$240.78)	
OCCIDENTAL PETROL CO: OXY	45.0000	12/08/14	06/21/16	\$3,456.48	\$3,551.39	(\$94.91)	
Security Subtotal				\$4,992.69	\$5,328.38	(\$335.69)	
OMNICOM GROUP INC: OMC	40.0000	10/28/05	04/01/16	\$3,320.98	\$1,674.20 ^a	\$1,646.78	
Security Subtotal				\$3,320.98	\$1,674.20	\$1,646.78	
PACWEST BANCORP: PACW	10.0000	11/18/13	06/23/16	\$406.86	\$394.21	\$12.65	
PACWEST BANCORP: PACW	80.0000	01/29/14	06/23/16	\$3,254.82	\$3,332.94	(\$78.12)	
PACWEST BANCORP: PACW	50.0000	12/02/14	06/23/16	\$2,034.26	\$2,302.87	(\$268.61)	
PACWEST BANCORP: PACW	50.0000	11/18/13	11/14/16	\$2,524.77	\$1,971.05	\$553.72	
Security Subtotal				\$8,220.71	\$8,001.07	\$219.64	

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Long-Term (continued)	Quantity/Par	Acquired/		Total Proceeds	Cost Basis		Realized Gain or (Loss)
		Opened	Sold/ Closed		Adjusted	Adjusted	
PEPSICO INCORPORATED: PEP	40.0000	02/03/14	06/30/16	\$4,209.56	\$3,180.28	\$1,029.28	
PEPSICO INCORPORATED: PEP	20.0000	02/03/14	12/09/16	\$2,071.00	\$1,590.14	\$480.86	
Security Subtotal				\$6,280.56	\$4,770.42	\$1,510.14	
POTASH CORP SASK INC F: POT	110.0000	07/31/13	07/18/16	\$1,920.01	\$3,340.03	(\$1,420.02)	
POTASH CORP SASK INC F: POT	180.0000	01/30/14	07/18/16	\$3,141.84	\$5,492.36	(\$2,350.52)	
POTASH CORP SASK INC F: POT	30.0000	10/03/14	07/18/16	\$523.64	\$991.92	(\$468.28)	
Security Subtotal				\$5,585.49	\$9,824.31	(\$4,238.82)	
RR DONNELLEY & SONS RRD	300.0000	12/23/14	03/18/16	\$5,015.94	\$5,033.95	(\$18.01)	
Security Subtotal				\$5,015.94	\$5,033.95	(\$18.01)	
SALLY BEAUTY HOLDING: SBH	70.0000	09/27/12	03/10/16	\$2,137.94	\$1,746.80	\$391.14	
SALLY BEAUTY HOLDING: SBH	20.0000	09/27/12	08/04/16	\$542.91	\$499.09	\$43.82	
SALLY BEAUTY HOLDING: SBH	60.0000	06/23/14	08/04/16	\$1,628.73	\$1,465.18	\$163.55	
SALLY BEAUTY HOLDING: SBH	100.0000	06/23/14	11/15/16	\$2,428.49	\$2,441.96	(\$13.47)	
Security Subtotal				\$6,738.07	\$6,153.03	\$585.04	

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer™

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Schwab One® Account of
LYON FOUNDATION INC

Report Period
January 1 - December 31,
2016

Account Number
5123-7298

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer™

Long-Term (continued)	Quantity/Par	Acquired/		Sold/ Closed	Total Proceeds	Cost Basis		Realized Gain or (Loss)
		Opened	Closed			Adjusted	Adjusted	
SCHLUMBERGER LTD F·SLB	10.0000	09/19/11	03/22/16		\$740.32	\$698.22		\$42.10
SCHLUMBERGER LTD F·SLB	30.0000	01/12/12	03/22/16		\$2,220.99	\$2,100.39		\$120.60
Security Subtotal					\$2,961.31	\$2,798.61		\$162.70
STANDEX INTERNATL CO·SXI	40.0000	01/08/15	05/03/16		\$3,051.38	\$2,874.95		\$176.43
Security Subtotal					\$3,051.38	\$2,874.95		\$176.43
STARWOOD PPTY TRUST REIT·STWD	20.0000	03/05/14	03/21/16		\$385.24	\$481.28		(\$96.04)
STARWOOD PPTY TRUST REIT·STWD	130.0000	07/01/14	03/21/16		\$2,504.05	\$2,988.18		(\$484.13)
STARWOOD PPTY TRUST REIT·STWD	60.0000	04/08/14	09/26/16		\$1,385.21	\$1,351.43		\$33.78
STARWOOD PPTY TRUST REIT·STWD	60.0000	07/01/14	09/26/16		\$1,385.22	\$1,379.16		\$6.06
Security Subtotal					\$5,659.72	\$6,200.05		(\$540.33)
STEEL DYNAMICS INC STLD	110.0000	03/09/11	11/10/16		\$3,447.26	\$1,941.47		\$1,505.79
Security Subtotal					\$3,447.26	\$1,941.47		\$1,505.79
STRYKER CORP SYK	70.0000	07/21/10	01/27/16		\$6,998.77	\$3,318.49 ^a		\$3,680.28
STRYKER CORP·SYK	60.0000	01/06/09	05/19/16		\$6,553.18	\$2,365.03 ^a		\$4,188.15

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Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Accounting Method		Realized Gain or (Loss)
					Mutual Funds: Average	All Other Investments: Tax Lot Optimizer™	
					Cost Basis Adjusted	Adjusted	Adjusted
STRYKER CORP SYK	10.0000	07/21/10	05/19/16	\$1,092.20	\$474.07 ^a		\$618.13
Security Subtotal				\$14,644.15	\$6,157.59		\$8,486.56
THERMO FISHER SCNTFC TMO	10.0000	10/16/13	02/19/16	\$1,297.91	\$929.97		\$367.94
THERMO FISHER SCNTFC TMO	20.0000	11/17/14	02/19/16	\$2,595.83	\$2,377.42		\$218.41
THERMO FISHER SCNTFC TMO	5.0000	07/25/13	04/01/16	\$708.19	\$453.80		\$254.39
THERMO FISHER SCNTFC TMO	20.0000	10/16/13	04/01/16	\$2,832.78	\$1,859.95		\$972.83
Security Subtotal				\$7,434.71	\$5,621.14		\$1,813.57
TUPPERWARE BRANDS CO TUP	90.0000	08/03/15	10/06/16	\$5,966.92	\$5,233.14		\$733.78
Security Subtotal				\$5,966.92	\$5,233.14		\$733.78
UNITED PARCEL SRVC CLASS UPS	40 0000	10/28/05	06/30/16	\$4,285.28	\$2,909.45 ^a		\$1,375.83
UNITED PARCEL SRVC CLASS UPS	20 0000	07/28/11	06/30/16	\$2,142.65	\$1,389.87		\$752.78

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2016 Year-End Schwab Gain/Loss Report

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis		Realized Gain or (Loss)	
					Adjusted	Adjusted	Adjusted	Adjusted
UNITED PARCEL SRVC CLASS UPS	30.0000	07/29/14	06/30/16	\$3,213.96	\$2,990.11			\$223.85
Security Subtotal				\$9,641.89	\$7,289.43			\$2,352.46
UNITEDHEALTH GRP INC UNH	30.0000	02/25/13	06/30/16	\$4,224.96	\$1,633.48			\$2,591.48
Security Subtotal				\$4,224.96	\$1,633.48			\$2,591.48
UNIVERSAL HLTH SVCS CLASS UHS	40.0000	04/12/11	12/06/16	\$5,016.25	\$1,865.11			\$3,151.14
Security Subtotal				\$5,016.25	\$1,865.11			\$3,151.14
VERIFONE SYSTEMS INC. PAY	120.0000	03/11/15	06/08/16	\$2,640.57	\$4,146.44			(\$1,505.87)
VERIFONE SYSTEMS INC. PAY	80.0000	04/08/15	06/08/16	\$1,760.38	\$2,841.85			(\$1,081.47)
Security Subtotal				\$4,400.95	\$6,988.29			(\$2,587.34)
WADDELL & REED FINL CLASS WDR	70.0000	07/10/14	02/02/16	\$1,502.78	\$4,113.13			(\$2,610.35)
WADDELL & REED FINL CLASS WDR	40.0000	08/15/14	02/02/16	\$858.73	\$2,123.31			(\$1,264.58)
Security Subtotal				\$2,361.51	\$6,236.44			(\$3,874.93)

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2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer™

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis		Realized Gain or (Loss)
					Adjusted	Adjusted	
WALT DISNEY CO. DIS	10.0000	08/15/11	12/08/16	\$1,021.32	\$335.26	\$686.06	
WALT DISNEY CO. DIS	20.0000	08/05/15	12/08/16	\$2,042.65	\$2,209.85	(\$167.20)	
Security Subtotal				\$3,063.97	\$2,545.11	\$518.86	
WATERS CORP. WAT	30.0000	08/26/05	04/18/16	\$4,108.46	\$1,351.79 ^a	\$2,756.67	
WATERS CORP. WAT	15.0000	08/26/05	07/26/16	\$2,364.75	\$675.90 ^a	\$1,688.85	
Security Subtotal				\$6,473.21	\$2,027.69	\$4,445.52	
WHITEWAVE FOODS WWAV	60.0000	06/11/13	06/07/16	\$2,761.25	\$996.70	\$1,764.55	
WHITEWAVE FOODS WWAV	100.0000	12/05/12	07/12/16	\$5,605.92	\$1,586.84	\$4,019.08	
WHITEWAVE FOODS WWAV	10.0000	06/11/13	07/12/16	\$560.59	\$166.12	\$394.47	
Security Subtotal				\$8,927.76	\$2,749.66	\$6,178.10	
WISDOMTREE INVESTMNT. WETF	50.0000	01/09/15	07/26/16	\$576.16	\$758.24	(\$182.08)	
WISDOMTREE INVESTMNT. WETF	110.0000	05/14/15	07/26/16	\$1,267.54	\$2,078.56	(\$811.02)	

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Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Realized	
					Cost Basis Adjusted	Gain or (Loss) Adjusted
WISDOMTREE INVESTMNT WETF	220.0000	01/09/15	11/14/16	\$2,047.74	\$3,336.27	(\$1,288.53)
Security Subtotal				\$3,891.44	\$6,173.07	(\$2,281.63)
Total Long-Term				\$522,083.77	\$509,779.00	\$12,304.77
					\$501,027.23	\$21,056.54 ^b
					\$730,375.55	\$15,569.43
					\$705,355.18	\$25,020.37 ^b

Schwab has provided realized gain/loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. See Terms and Conditions.
Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options.

Endnotes For Your Account

Symbol Endnote Legend

- a Data for this holding has been edited or provided by the advisor.
- b When available, Adjusted Cost Basis values are used in Gain or (Loss) calculations.