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For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation PAUL A JOHNSON FOUNDATION		A Employer identification number 38-6048818	
Number and street (or P O box number if mail is not delivered to street address) 41 WASHINGTON		Room/suite	B Telephone number (see instructions) (616) 847-1003
City or town, state or province, country, and ZIP or foreign postal code GRAND HAVEN, MI 49417		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 112,725	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	1,957	1,957		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 	7,219			
	b Gross sales price for all assets on line 6a 88,991				
	7 Capital gain net income (from Part IV, line 2)		7,219		
	8 Net short-term capital gain			80	
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	9,176	9,176	80	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	900	900		
	c Other professional fees (attach schedule)	1,941	1,941		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) 	28,746	28,236		500
	24 Total operating and administrative expenses. Add lines 13 through 23	31,587	31,077		500
	25 Contributions, gifts, grants paid	16,570			16,570
	26 Total expenses and disbursements. Add lines 24 and 25	48,157	31,077		17,070
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-38,981			
	b Net investment income (if negative, enter -0-)		0		
c Adjusted net income (if negative, enter -0-)				80	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing	3,772	1,995	1,995		
	2	Savings and temporary cash investments					
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)					
	c	Investments—corporate bonds (attach schedule)					
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)	146,705	109,501	110,730		
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
15	Other assets (describe ▶ _____)						
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	150,477	111,496	112,725			
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)					
	23	Total liabilities (add lines 17 through 22)		0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds	53,370	53,370			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds	97,107	58,126			
30	Total net assets or fund balances (see instructions)	150,477	111,496				
31	Total liabilities and net assets/fund balances (see instructions) .	150,477	111,496				

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	150,477
2	Enter amount from Part I, line 27a	2	-38,981
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	111,496
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	111,496

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a COVERED SHORT TERM SALES SEE ATTACHED	P	2016-01-01	2016-12-31
b COVERED LONG TERM SALES SEE ATTACHED	P	2001-01-01	2016-12-31
c NONCOVERED LONG TERM SALES SEE ATTACHED	P	2001-01-01	2016-12-31
d CAPITAL GAINS DIVIDENDS	P	2001-01-01	2016-12-31
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,457	0	8,377	80
b 44,966	0	44,868	98
c 33,832	0	28,527	5,305
d 1,736	0	0	1,736
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a 0	0	0	80
b 0	0	0	98
c 0	0	0	5,305
d 0	0	0	1,736
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	7,219
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	80

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	28,331	180,647	0 156831
2014	16,307	229,044	0 071196
2013	26,207	256,449	0 102192
2012	21,754	283,594	0 076708
2011	42,678	318,450	0 134018

2 Total of line 1, column (d)	2	0 540945
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 108189
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	126,434
5 Multiply line 4 by line 3	5	13,679
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	13,679
8 Enter qualifying distributions from Part XII, line 4	8	17,070

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	0
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	0
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . ▶	10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 0 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► BARI JOHNSON Telephone no ► (616) 847-1003			

Located at **►** 41 WASHINGTON AVE GRAND HAVEN MI ZIP+4 **►** 49417

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? <input type="checkbox"/>	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) <input type="checkbox"/>	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). <input type="checkbox"/>	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to <ul style="list-style-type: none"> (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	5b		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945–5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ERICK JOHNSON 526 RIVER STREET SPRING LAKE, MI 49456	TRUSTEE 0	0	0	0
BARI JOHNSON 400 LAKEVIEW COURT 23D GRAND HAVEN, MI 49417	PRESIDENT, TRUSTEE 5 00	0	0	0
JOANN R JOHNSON 1455 SACRAMENTO 2 SAN FRANCISCO, CA 94109	TRUSTEE 0	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	0
2	0
3	0
4	0

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	126,095
b	Average of monthly cash balances.	1b	2,264
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	128,359
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	128,359
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,925
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	126,434
6	Minimum investment return. Enter 5% of line 5.	6	6,322

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	6,322
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	6,322
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	6,322
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	6,322

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	17,070
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	17,070
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	17,070

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				6,322
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			9,032	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011.	26,769			
b From 2012.	7,574			
c From 2013.	13,503			
d From 2014.	16,307			
e From 2015.	28,331			
f Total of lines 3a through e.	92,484			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 17,070				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				
e Remaining amount distributed out of corpus	17,070			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	109,554			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			9,032	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				6,322
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	26,769			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	82,785			
10 Analysis of line 9				
a Excess from 2012.	7,574			
b Excess from 2013.	13,503			
c Excess from 2014.	16,307			
d Excess from 2015.	28,331			
e Excess from 2016.	17,070			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	16,570
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .					
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory					7,219
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e). .					7,219
13 Total. Add line 12, columns (b), (d), and (e).			13		7,219

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship


Sign	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

Phone no (616) 613-3222

Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
GRAND HAVEN SCHOOLS FOUNDATION PO BOX 272 GRAND HAVEN, MI 49417		EXEMPT	EDUCATION	250
MEMORIALS VARIOUS VARIOUS, MI 49417		EXEMPT	GENERAL	1,000
HHOP PO BOX 66 MUSKEGON, MI 49440		EXEMPT	EDUCATION	1,590
TRI-CITIES COMMUNITY 41 WASHINGTON AVE GRAND HAVEN, MI 49417		EXEMPT	COMMUNITY	3,250
PLANNED PARENTHOOD 209 E APPLE AVE MUSKEGON, MI 49442		EXEMPT	GENERAL	300
GM MATCH 123 MAIN STREET DANVILLE, CA 94526		EXEMPT	HEALTH	100
THE LITTLE RED HOUSE 311 EAST EXCHANGE ST SPRING LAKE, MI 49456		EXEMPT	TRI CITIES COMMUNITY	250
GVSU 301 FULTON ST GRAND RAPIDS, MI 49501		EXEMPT	EDUCATION	1,000
HUMANITY FOR PRISONERS PO BOX 687 GRAND HAVEN, MI 49417		EXEMPT	EDUCATION	500
OAR 700 WASHINGTON AVE STE 220 GRAND HAVEN, MI 49417		EXEMPT	HEALTHCARE	250
NORTHWEST OTTAWA CHAMBER FOUNDATION 1 SOUTH HARBOR GRAND HAVEN, MI 49417		EXEMPT	EDUCATION	1,750
NORTHWEST OTTAWA CHAMBER FOUNDATION 1 SOUTH HARBOR GRAND HAVEN, MI 49417		EXEMPT	WOMEN & CHILDREN	430
SHORELINE FOUNDATION 1976 W SHERMAN BLVD MUSKEGON, MI 49441		EXEMPT	EDUCATION	100
NORTH OTTAWA COMMUNITY HOSPITAL 1309 SHELDON RD GRAND HAVEN, MI 49417		EXEMPT	HEALTHCARE	5,000
ST CECILIA MUSIC CENTER 24 RANSOM AVE NE GRAND RAPIDS, MI 49503		EXEMPT	ARTS	500
Total ► 3a				16,570

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FIRST PRESBYTERIAN CHURCH 508 FRANKLIN GRAND HAVEN, MI 49417		EXEMPT	ARTS	300
Total 				16,570
3a				

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Gain/Loss from Sale of Other Assets Schedule

Name: PAUL A JOHNSON FOUNDATION

EIN: 38-6048818

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
CAPITAL GAINS DIVIDENDS		Purchased			1,736				1,736	
COVERED SHORT-TERM SALES - SEE ATTACHED		Purchased			8,457	8,377			80	
COVERED LONG-TERM SALES - SEE ATTACHED		Purchased			44,966	44,868			98	
NONCOVERED LONG-TERM SALES - SEE ATTACHED		Purchased			33,832	28,527			5,305	

TY 2016 Other Expenses Schedule**Name:** PAUL A JOHNSON FOUNDATION**EIN:** 38-6048818**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADMINISTRATIVE EXP	27,840	27,840		
BANK SERVICE CHARGES	9	11		
OFFICE EXPENSE	512			500
MEMBERSHIPS	385	385		

Stifel, Nicolaus & Company, Inc.

Account 65593270

Detail for Dividends and Distributions**2016**

02/14/2017

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. We include, where available, the ex date of the distribution to assist with your determination of whether the taxpayer holding period requirement has been satisfied.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
INVESCO INTL GRW Y	008882532 AIIYX		12/13/16	74.03	Qualified dividend	03
			12/13/16	-8.87	Foreign tax withheld-Variou	03
				74.03	Total Dividends & distributions	
				-8.87	Total Foreign tax withheld	
AMR BCN INTL EQ I	02368A406 AAIEX		12/23/16	114.08	Qualified dividend	03
			12/23/16	4.18	Nonqualified dividend	03
			12/23/16	-10.33	Foreign tax withheld-Variou	03
				118.26	Total Dividends & distributions	
ARTISAN MDCP VAL ADVS	04314H642 APDQX			-10.33	Total Foreign tax withheld	
			11/17/16	54.33	Long-term capital gain	
			11/17/16	23.83	Qualified dividend	03
			11/17/16	4.46	Short-term capital gain	
			11/17/16	0.32	Nonqualified dividend	03
				82.94	Total Dividends & distributions	
BLKRCK EQ DIV I	09251M504 MADVX		04/14/16	36.27	Qualified dividend	03
			07/22/16	31.52	Qualified dividend	03
			10/14/16	41.28	Qualified dividend	03
			12/07/16	434.26	Long-term capital gain	
			12/07/16	60.04	Qualified dividend	03
COLUMBIA CONTRA COR Z	19765P406 SMGIX			603.37	Total Dividends & distributions	
			12/13/16	53.38	Qualified dividend	03
			12/13/16	36.76	Long-term capital gain	
				90.14	Total Dividends & distributions	



Stifel, Nicolaus & Company, Inc.

Account 65593270

Detail for Dividends and Distributions

2016

(continued)

02/14/2017

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
DODGE COX INC	256210105	DODIX	03/24/16	62.52	Nonqualified dividend	
			03/24/16	10.33	Long-term capital gain	
			06/28/16	54.56	Nonqualified dividend	
			09/28/16	50.84	Nonqualified dividend	
			12/21/16	42.74	Nonqualified dividend	
				220.99	Total Dividends & distributions	
EAGLE SMCP GRW I	269858304	HSIIX	12/23/16	27.16	Long-term capital gain	
			12/23/16	0.52	Short-term capital gain	
				27.68	Total Dividends & distributions	
EV INC BOSTON I	277907200	EIBIX	10/31/16	3.12	Nonqualified dividend	03
			10/31/16	0.31	Nondividend distribution	03
			11/30/16	14.70	Nonqualified dividend	
			12/30/16	15.23	Nonqualified dividend	
				33.36	Total Dividends & distributions	
FMI INTL	302933304	FMIJX	12/16/16	71.71	Nonqualified dividend	03
			12/16/16	51.08	Qualified dividend	03
			12/16/16	15.89	Long-term capital gain	
			12/16/16	6.45	Short-term capital gain	03
			12/16/16	-4.29	Foreign tax withheld-Various	03
				145.13	Total Dividends & distributions	
				-4.29	Total Foreign tax withheld	
FID ADVS NEW INSIGHTS I	316071604	FINSX	02/16/16	79.28	Long-term capital gain	
			02/16/16	0.70	Qualified dividend	03
			12/12/16	194.74	Long-term capital gain	
			12/12/16	20.71	Qualified dividend	03
				295.43	Total Dividends & distributions	
JPM MDCP VAL L	339128100	FLMVX	12/15/16	153.69	Long-term capital gain	
			12/15/16	2.54	Qualified dividend	03
			12/21/16	23.39	Qualified dividend	03
				179.62	Total Dividends & distributions	
FRK US GOV SECS ADVS	353496821	FUSAX	02/02/16	13.73	Nonqualified dividend	
			03/02/16	12.27	Nonqualified dividend	
			04/04/16	12.60	Nonqualified dividend	
			05/03/16	13.62	Nonqualified dividend	
			06/02/16	13.73	Nonqualified dividend	

Stifel, Nicolaus & Company, Inc.

Account 65593270

Detail for Dividends and Distributions

2016

(continued)

02/14/2017

Security description FRK US GOV SECS ADVS (cont'd)	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
			07/05/16	13.46	Nonqualified dividend	
			08/02/16	13.09	Nonqualified dividend	
			09/02/16	13.29	Nonqualified dividend	
			10/04/16	12.84	Nonqualified dividend	
			11/02/16	14.16	Nonqualified dividend	
			12/02/16	12.75	Nonqualified dividend	
			12/30/16	13.59	Nonqualified dividend	
				159.13	Total Dividends & distributions	
HARBOR BD I	411511108	HABDX	03/30/16	40.96	Nonqualified dividend	
			06/29/16	37.24	Nonqualified dividend	
			09/29/16	35.36	Nonqualified dividend	
			12/19/16	86.55	Short-term capital gain	
			12/19/16	72.73	Nonqualified dividend	
				272.84	Total Dividends & distributions	
HENDERSON INTL OPP I	425067592	HFOIX	12/22/16	78.83	Qualified dividend	03
			12/22/16	-4.76	Foreign tax withheld-Various	03
				78.83	Total Dividends & distributions	
				-4.76	Total Foreign tax withheld	
HENDERSON GLB EQ INCM I	425067634	HFQIX	09/27/16	74.39	Qualified dividend	03
			09/27/16	8.76	Nonqualified dividend	03
			09/27/16	-4.73	Foreign tax withheld-Various	03
			12/27/16	59.06	Qualified dividend	03
			12/27/16	6.95	Nonqualified dividend	03
			12/27/16	-3.75	Foreign tax withheld-Various	03
				149.16	Total Dividends & distributions	
				-8.48	Total Foreign tax withheld	
OAK RIDGE SMCP GRW I	46141P156	ORIYX	12/12/16	66.59	Long-term capital gain	
IVY MDCP GRW I	466001609	IYMIY	12/08/16	106.26	Long-term capital gain	
JH DSCP VAL I	47803U640	JVLIX	12/19/16	85.35	Qualified dividend	03



Stifel, Nicolaus & Company, Inc.

Account 65593270

Detail for Dividends and Distributions

2016

(continued)

02/14/2017

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
JPM US EQ L	4812A1142	JMUEX	03/30/16	13.62	Qualified dividend	03
			06/29/16	13.01	Qualified dividend	03
			09/29/16	18.11	Qualified dividend	03
			12/15/16	193.19	Long-term capital gain	
			12/21/16	18.57	Qualified dividend	03
				256.50	Total Dividends & distributions	
MFS INTL GRW I	55273E848	MQGIX	12/15/16	57.32	Qualified dividend	03
			12/15/16	1.26	Nonqualified dividend	03
			12/15/16	-6.82	Foreign tax withheld-Various	03
				58.58	Total Dividends & distributions	
				-6.82	Total Foreign tax withheld	
NATWD GENEVA MDCP GRW IS	63868B690	NWHYX	12/19/16	216.78	Long-term capital gain	
OPPEN DEV MKT Y	683974505	ODVYX	12/02/16	18.76	Qualified dividend	03
			12/02/16	-4.74	Foreign tax withheld-Various	03
				18.76	Total Dividends & distributions	
				-4.74	Total Foreign tax withheld	
PIMCO HI YLD P	72201M735	PHLPX	10/31/16	3.41	Nonqualified dividend	
			11/30/16	13.84	Nonqualified dividend	
			12/30/16	14.20	Nonqualified dividend	
				31.45	Total Dividends & distributions	
RDGWRTH CRDX SMCP VAL I	76628R474	SCETX	12/15/16	67.46	Long-term capital gain	
			12/15/16	15.91	Qualified dividend	03
				83.37	Total Dividends & distributions	
T ROWE BLUE CHP GRW	77954Q106	TRBCX	12/15/16	29.53	Long-term capital gain	
			12/15/16	3.36	Qualified dividend	03
				32.89	Total Dividends & distributions	
VIRTUS E/MKT OPP I	92828W361	HIEMX	12/21/16	13.40	Qualified dividend	03
			12/21/16	-1.97	Foreign tax withheld-Various	03
				13.40	Total Dividends & distributions	
				-1.97	Total Foreign tax withheld	

Stifel, Nicolaus & Company, Inc.

Account 65593270

Detail for Dividends and Distributions**2016**

(continued)

02/14/2017

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
WF SPL SMCP VAL ADM	94984B397	ESPIX	12/12/16	19.80	Long-term capital gain	
			12/12/16	9.45	Qualified dividend	03
			12/12/16	2.37	Short-term capital gain	03
			12/15/16	9.06	Qualified dividend	03
			12/15/16	2.28	Nonqualified dividend	03
				42.96	Total Dividends & distributions	
WF GOV SEC ADM	949917546	WGSDX	01/29/16	4.04	Nonqualified dividend	
			02/29/16	3.62	Nonqualified dividend	
			03/31/16	3.80	Nonqualified dividend	
			04/29/16	4.74	Nonqualified dividend	
			05/31/16	5.90	Nonqualified dividend	
			06/30/16	5.01	Nonqualified dividend	
			07/29/16	4.65	Nonqualified dividend	
			08/31/16	4.69	Nonqualified dividend	
			09/30/16	5.16	Nonqualified dividend	
			10/31/16	4.62	Nonqualified dividend	
			11/30/16	4.45	Nonqualified dividend	
			12/12/16	63.66	Short-term capital gain	
			12/12/16	29.55	Long-term capital gain	
			12/30/16	5.24	Nonqualified dividend	
				149.13	Total Dividends & distributions	

3,692.93 Total Dividends & distributions**-50.26 Total Foreign tax withheld**

Stifel, Nicolaus & Company, Inc.**Account 65593270****Detail for Interest Income****2016****02/14/2017**

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Some amounts shown as Agency interest may be exempt from state tax. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
INSURED BANK PROGRAM MIMKT	9999435	01/29/16	0 01	Interest	
		02/29/16	0 06	Interest	
		03/21/16	0 04	Interest	
		03/31/16	0 02	Interest	
		04/29/16	0 05	Interest	
		05/31/16	0 05	Interest	
		06/20/16	0 02	Interest	
		06/30/16	0 02	Interest	
		07/29/16	0 04	Interest	
		08/22/16	0 03	Interest	
		08/31/16	0 01	Interest	
		09/30/16	0 04	Interest	
		10/31/16	0 03	Interest	
		11/30/16	0 02	Interest	
		12/30/16	0 02	Interest	
			0 46	Total Interest	
			0.46	Total Interest	

Stifel, Nicolaus & Company, Inc.

Account 65593270

Fees and Expenses

2016

02/14/2017

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary information at the beginning of the statement

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Management Fee	MNGT FEES	01/14/16	-530.42	Management fee	
		04/14/16	-503.48	Management fee	
		07/14/16	-461.13	Management fee	
		10/13/16	-445.83	Management fee	
			-1,940.86	Total Management fee	
			-1,940.86	Total Management fee	



Stifel, Nicolaus & Company, Inc.

Account 65593270

Mutual Fund and UIT Supplemental Information**2016**

02/14/2017

The following information may be useful in the preparation of your federal and state income tax return(s). This information represents what was available at the time your tax statement was prepared. It may not be all inclusive and is subject to change. Please contact your mutual fund or UIT directly if you need to verify or supplement this information. Note that depending on your state of residence not all Federal Source income is exempt from state taxation. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

INVESCO INTL GRW Y / 008882532 / AIYX**FOREIGN SOURCE INCOME PERCENTAGES**

Fgn Source Inc Tot	98 43%	Fgn Source Inc Qual	98 43%	Fgn Source Inc Adj	42 32%
--------------------	--------	---------------------	--------	--------------------	--------

AMR BCN INTL EQ I / 02368A406 / AAIEX**FOREIGN SOURCE INCOME PERCENTAGES**

Fgn Source Inc Tot	100 00%	Fgn Source Inc Qual	91 03%	Fgn Source Inc Adj	0 00%
--------------------	---------	---------------------	--------	--------------------	-------

DODGE COX INC / 256210105 / DODIX**PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES**

Fed Source Total	3.09% (A detailed breakdown is shown below when available)
------------------	--

Agency	%	Agency	%
U S Treasury	0 00	Fed Farm Credit	0 00
Fed Home Loan	0 00	Student Loan	0 00
		TN Valley Auth	0 00
		Other Dir Fed	3 09

FMI INTL / 302933304 / FMIJX**FOREIGN SOURCE INCOME PERCENTAGES**

Fgn Source Inc Tot	100 00%	Fgn Source Inc Qual	0 00%	Fgn Source Inc Adj	0 00%
--------------------	---------	---------------------	-------	--------------------	-------

PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

Fed Source Total	0.12% (A detailed breakdown is shown below when available)
------------------	--

Agency	%	Agency	%
U S Treasury	0 12	Fed Farm Credit	0 00
Fed Home Loan	0 00	Student Loan	0 00
		TN Valley Auth	0 00
		Other Dir Fed	0 00

HARBOR BD I / 411511108 / HABDX**PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES**

Fed Source Total	20.77% (A detailed breakdown is shown below when available)
------------------	---

Agency	%	Agency	%
U S Treasury	0 00	Fed Farm Credit	0.00
Fed Home Loan	0 00	Student Loan	0 00
		TN Valley Auth	0 00
		Other Dir Fed	20 77

Stifel, Nicolaus & Company, Inc.		Mutual Fund and UIT Supplemental Information		Account 65593270
2016		(continued)	02/14/2017	

HENDERSON INTL OPP I / 425067592 / HFOIX

FOREIGN SOURCE INCOME PERCENTAGES

Fgn Source Inc Tot	78 22%	Fgn Source Inc Qual	0.00%	Fgn Source Inc Adj	0.00%
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HENDERSON GLB EQ INCM I / 425067634 / HFQIX

FOREIGN SOURCE INCOME PERCENTAGES

Fgn Source Inc Tot	90 76%	Fgn Source Inc Qual	0.00%	Fgn Source Inc Adj	0.00%
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MFS INTL GRW I / 55273E848 / MQGIX

FOREIGN SOURCE INCOME PERCENTAGES

Fgn Source Inc Tot	86 14%	Fgn Source Inc Qual	86 14%	Fgn Source Inc Adj	0.00%
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OPPEN DEV MKT Y / 683974505 / ODVYX

FOREIGN SOURCE INCOME PERCENTAGES

Fgn Source Inc Tot	98 24%	Fgn Source Inc Qual	0.00%	Fgn Source Inc Adj	0.00%
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PIMCO HI YLD P / 72201M735 / PHLPX

PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

Fed Source Total 0.00% (A detailed breakdown is shown below when available)

Agency	%	Agency	%
U S Treasury	0.00	Fed Farm Credit	0.00
Fed Home Loan	0.00	Student Loan	0.00
		TN Valley Auth	0.00
		Other Dir Fed	0.00

T ROWE BLUE CHP GRW / 77954Q106 / TRBCX

PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

Fed Source Total 0.04% (A detailed breakdown is shown below when available)

Agency	%	Agency	%
U.S Treasury	0.00	Fed Farm Credit	0.00
Fed Home Loan	0.00	Student Loan	0.00
		TN Valley Auth	0.00
		Other Dir Fed	0.04



Stifel, Nicolaus & Company, Inc.	Account 65593270
2016	02/14/2017

Mutual Fund and UIT Supplemental Information
(continued)

VIRTUS E/MKT OPP I / 92828W361 / HIEMX

FOREIGN SOURCE INCOME PERCENTAGES

Fgn Source Inc Tot	100.00%	Fgn Source Inc Qual	0.00%	Fgn Source Inc Adj	0.00%
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WF GOV SEC ADM / 949917546 / WGSDX

PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

Fed Source Total 11.14% (A detailed breakdown is shown below when available)

Agency	%	Agency	%
U.S. Treasury	0.00	Fed Farm Credit	0.00
Fed Home Loan	0.00	Student Loan	0.00
		TN Valley Auth	0.00
		Other Dir. Fed	11.14

Stifel, Nicolaus & Company, Inc. 2016 End Notes for Account 65593270

03 The tax character of the distribution has been allocated based on information provided by the security issuer

XX The acquisition date and realized gain/loss amount for this position have been adjusted as a result of a 30 day wash sale in your account.

