

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052
2016
Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation The Joe MacPherson Foundation Inc		A Employer identification number 38-3779697	
Number and street (or P O box number if mail is not delivered to street address) 191 E Main St		B Telephone number (see instructions) (714) 380-6200	
City or town, state or province, country, and ZIP or foreign postal code Santa Ana, CA 92780		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 15,808,782		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	2,156,159			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	333	333	333	
	4 Dividends and interest from securities	295,208	295,208	295,208	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-76,266			
	b Gross sales price for all assets on line 6a 8,123,021				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	2,375,434	295,541	295,541		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	9,426			
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	2,600	2,440	2,440	
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	74,670	74,496	74,496	
	24 Total operating and administrative expenses. Add lines 13 through 23	86,696	76,936	76,936	0
	25 Contributions, gifts, grants paid	961,000			961,000
26 Total expenses and disbursements. Add lines 24 and 25	1,047,696	76,936	76,936	961,000	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	1,327,738				
b Net investment income (if negative, enter -0-)		218,605			
c Adjusted net income (if negative, enter -0-)			218,605		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year			End of year		
		(a) Book Value		(b) Book Value		(c) Fair Market Value	
Assets	1 Cash—non-interest-bearing						
	2 Savings and temporary cash investments	365,911		957,658		957,658	
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____						
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____						
	5 Grants receivable						
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)						
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____						
	8 Inventories for sale or use						
	9 Prepaid expenses and deferred charges						
	10a Investments—U S and state government obligations (attach schedule)						
	b Investments—corporate stock (attach schedule)	2,100,491	☺	2,798,622		3,101,321	
	c Investments—corporate bonds (attach schedule)	350,000	☺	550,000		561,300	
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____						
	12 Investments—mortgage loans						
	13 Investments—other (attach schedule)	10,660,376	☺	10,512,690		11,188,503	
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____						
15 Other assets (describe ▶ _____)	☺	2	☺	1			
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	13,476,780		14,818,971		15,808,782		
Liabilities	17 Accounts payable and accrued expenses						
	18 Grants payable						
	19 Deferred revenue						
	20 Loans from officers, directors, trustees, and other disqualified persons						
	21 Mortgages and other notes payable (attach schedule)						
	22 Other liabilities (describe ▶ _____)						
	23 Total liabilities (add lines 17 through 22)					0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.						
	24 Unrestricted	13,476,780		14,818,971			
	25 Temporarily restricted						
	26 Permanently restricted						
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.						
	27 Capital stock, trust principal, or current funds						
	28 Paid-in or capital surplus, or land, bldg , and equipment fund						
29 Retained earnings, accumulated income, endowment, or other funds							
30 Total net assets or fund balances (see instructions)	13,476,780		14,818,971				
31 Total liabilities and net assets/fund balances (see instructions) .	13,476,780		14,818,971				

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,476,780
2 Enter amount from Part I, line 27a	2	1,327,738
3 Other increases not included in line 2 (itemize) ▶ _____ ☺	3	14,453
4 Add lines 1, 2, and 3	4	14,818,971
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	14,818,971

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)	
1a See Additional Data Table					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a See Additional Data Table					
b					
c					
d					
e					
(i) F M V as of 12/31/69			(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a See Additional Data Table					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7					2 -61,813
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8					3 -132,757

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	771,149	13,476,780	0 05722
2014	820,844	11,879,609	0 06910
2013	645,975	9,841,613	0 06564
2012	488,500	8,379,242	0 05830
2011	351,500	6,554,444	0 05363
2 Total of line 1, column (d)			2 0 303882
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 060776
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4
5 Multiply line 4 by line 3			5
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 2,186
7 Add lines 5 and 6			7 2,186
8 Enter qualifying distributions from Part XII, line 4			8 961,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, tax under section 511, tax based on investment income, and credits/payments. Total amount owed is 3,049.

Part VII-A Statements Regarding Activities

Table with 10 rows for statements regarding activities. Includes questions about political campaigns, political expenditures, and state reporting. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of Richard Lang Telephone no (714) 380-6200
Located at 191 E Main St 2A Tustin CA ZIP+4 92780
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
Organizations relying on a current notice regarding disaster assistance check here. **5b** **No**

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
If "Yes" to 6b, file Form 8870 **6b** **No**

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No **7b** **No**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Anne L MacPherson 11572 Padia Lane Tustin, CA 92705	Pres/CEO/Dir 20 00	0		
James MacPherson 13631 Jenet Circle Santa Ana, CA 92705	CFO/Sec/Dir 5 00	0		
Jeffrey MacPherson 129 Palette Court Bozeman, MT 59715	V Pres /Dir 5 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. **Total**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	0
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	0
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	0
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	0
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	0
6	Minimum investment return. Enter 5% of line 5.	6	0

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	2,186
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,186
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	2,186
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	2,186
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	0

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	961,000
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	961,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	2,186
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	958,814

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				0
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2016				
a From 2011.	351,500			
b From 2012.	74,211			
c From 2013.	650,500			
d From 2014.	830,900			
e From 2015.	773,650			
f Total of lines 3a through e.	2,680,761			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>961,000</u>				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				
e Remaining amount distributed out of corpus	961,000			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,641,761			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	351,500			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	3,290,261			
10 Analysis of line 9				
a Excess from 2012.	74,211			
b Excess from 2013.	650,500			
c Excess from 2014.	830,900			
d Excess from 2015.	773,650			
e Excess from 2016.	961,000			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	961,000
b <i>Approved for future payment</i>				
Total			▶ 3b	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 TEMPLETON GLBAL BOND FD	P	2016-02-18	2016-06-01
027 AMERICAN EURO PACIFIC GROWTH	P	2015-12-24	2016-04-21
1649 872 FRANKLIN CUST FD INC	P	2016-02-03	2016-02-29
369 AM GROWTH FD OF AM	P	2015-12-23	2016-10-18
844 DAVIS NY VENTURE FD CL A	P	2015-12-11	2016-02-23
20000 SP500 ARN ISSUER BAC	P	2015-04-23	2016-06-24
15000 SP500 ARN ISSUER BAC 11 79%	P	2015-10-29	2016-12-30
653 484 AM GROWTH FD OF AM	P	2012-03-13	2016-10-18
2197 292 AMERICAN EURO PACIFIC GROWTH	P	2000-01-01	2016-04-21
1635 AM GROWTH FD OF AM	P	2000-01-01	2016-10-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3		3	
1		1	
3,333		3,316	17
16		15	1
23		26	-3
196,748		200,000	-3,252
167,685		150,000	17,685
28,551		21,323	7,228
99,999		82,246	17,753
71,433		49,884	21,549

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			17
			1
			-3
			-3,252
			17,685
			7,228
			17,753
			21,549

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
11 AMERICAN TOWER REIT	P	2015-02-05	2016-01-19
7 ADVANSIX INC	P	2016-07-26	2016-10-01
237 AM WTR WKS CO INC	P	2015-02-05	2016-01-19
201 APPLE INC	P	2016-01-13	2016-10-20
48 ADP	P	2015-02-05	2016-01-19
1 BLACKROCK INC	P	2015-02-17	2016-01-19
23 COMCAST CORP	P	2015-02-05	2016-01-19
360 CARDINAL HEALTH INC	P	2016-01-01	2016-06-01
83 COSTCO	P	2015-02-05	2016-01-19
506 CITIZENS FINL GROUP	P	2015-12-02	2016-10-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
996		1,095	-99
103		112	-9
14,386		13,374	1,012
23,531		20,138	3,393
3,762		4,170	-408
291		381	-90
1,237		1,312	-75
29,197		30,323	-1,126
12,434		12,413	21
12,969		13,520	-551

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-99
			-9
			1,012
			3,393
			-408
			-90
			-75
			-1,126
			21
			-551

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
68 CHUBB LTD	P	2015-02-05	2016-01-19
1792 CISCO SYSTEMS INC	P	2016-01-01	2016-06-01
44 DANAHER CORP	P	2015-07-29	2016-06-01
1885 DEVON ENERGY CORP	P	2016-01-01	2016-06-01
391 DOMINION RES INC	P	2016-01-01	2016-06-01
8 ECOLAB INC	P	2015-09-09	2016-06-06
337 FORTIVE CORP	P	2016-01-01	2016-06-01
22 HONEYWELL INTL	P	2015-02-05	2016-01-19
869 HESS CORP	P	2016-01-01	2016-02-12
48 HOME DEPOT	P	2015-02-05	2016-01-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,496		7,645	-149
52,610		44,293	8,317
3,887		3,972	-85
46,510		88,743	-42,233
27,377		28,286	-909
961		889	72
17,103		14,553	2,550
2,119		2,250	-131
34,084		52,734	-18,650
5,713		5,205	508

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-149
			8,317
			-85
			-42,233
			-909
			72
			2,550
			-131
			-18,650
			508

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
51 LOCKHEED MARTIN CORP	P	2015-07-29	2016-06-01
16 MONDELEZ INTL	P	2015-02-05	2016-01-19
73 MEDTRONIC PLC	P	2015-02-05	2016-01-19
26 MICROSOFT CORP	P	2015-12-02	2016-10-20
184 NEXTERA ENERGY INC	P	2019-02-18	2016-06-01
589 NIKE INC	P	2016-01-01	2016-06-01
1387 ORACLE CORP	P	2016-01-01	2016-01-13
267 SCHLUMBERGER LTD	P	2016-01-01	2016-07-26
90 TOTAL S A	P	2016-02-12	2016-10-20
67 THERMO FISHER	P	2015-02-05	2016-01-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,302		10,677	625
656		578	78
5,399		5,427	-28
1,483		1,452	31
21,319		21,094	225
32,356		32,606	-250
48,072		58,315	-10,243
21,206		19,563	1,643
4,372		3,839	533
8,974		8,479	495

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			625
			78
			-28
			31
			225
			-250
			-10,243
			1,643
			533
			495

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
88 VERIZON COMM	P	2015-02-05	2016-01-19
64 VISA INC	P	2015-02-05	2016-01-19
606 WELLS FARGO & CO	P	2016-01-01	2016-08-23
21 AMERICAN TOWER REIT	P	2015-02-05	2016-06-16
132 ALPHABET INC	P	2000-01-01	2016-12-07
30 ADVANSIZ INC	P	2000-01-01	2016-10-07
414 AM WTR WKS CO INC	P	2000-01-01	2016-06-06
2 BLACKROCK INC	P	2015-02-17	2016-06-06
525 CARDINAL HEALTH INC	P	2000-01-01	2016-07-11
174 CVS HEALTH	P	2000-01-01	2016-12-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,934		4,200	-266
4,535		4,328	207
29,366		29,557	-191
2,340		2,091	249
103,628		107,683	-4,055
425		413	12
30,140		23,314	6,826
725		763	-38
42,513		44,850	-2,337
13,763		7,676	6,087

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-266
			207
			-191
			249
			-4,055
			12
			6,826
			-38
			-2,337
			6,087

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
158 COSTCO WHOLESALE	P	2015-02-05	2016-12-06
231 CITIGROUP	P	2000-01-01	2016-10-20
25 CHUBB LTD	P	2015-02-05	2016-06-06
8 DANAHER CORP	P	2016-07-29	2016-10-20
424 DOMINIOM RES INC	P	2015-02-05	2016-02-18
43 FEDEX CORP	P	2015-02-05	2016-06-01
2 HONEYWELL INTL	P	2015-02-05	2016-06-06
649 HESS CORP	P	2015-02-05	2016-02-12
1500 ALPS ALERIAN MLP	P	2013-12-03	2016-04-22
612 MONDELEZ INTL	P	2015-02-05	2016-06-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
23,851		23,629	222
11,486		7,626	3,860
3,171		2,811	360
645		551	94
29,709		33,306	-3,597
8,863		9,195	-332
231		204	27
25,455		47,768	-22,313
182,603		235,154	-52,551
25,504		21,809	3,695

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			222
			3,860
			360
			94
			-3,597
			-332
			27
			-22,313
			-52,551
			3,695

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
41 MEDTRONIC PLC	P	2015-02-05	2016-06-06
611 NIKE INC	P	2000-01-01	2016-06-06
675 ORACLE CORP	P	2000-01-01	2016-01-13
604 SCHLUMBERGER LTD	P	2000-01-01	2016-06-01
18 THERMO FISHER SCIENTIFIC	P	2015-02-05	2016-06-06
70 VISA INC	P	2015-02-05	2016-06-01
1076 WELLS FARGO & CO	P	2000-01-01	2016-08-23
4549 ISHARES MSCI EAFE	P	2015-12-17	2016-06-01
6880 VANGUARD FINANCIALS ETF	P	2015-12-17	2016-06-01
576 VANGUARD INFORMATION TECH ETF	P	2016-01-01	2016-03-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,414		3,037	377
33,249		28,737	4,512
23,395		22,770	625
47,960		51,825	-3,865
2,762		2,278	484
5,771		4,734	1,037
52,142		49,305	2,837
256,744		268,936	-12,192
326,996		337,602	-10,606
59,828		62,558	-2,730

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			377
			4,512
			625
			-3,865
			484
			1,037
			2,837
			-12,192
			-10,606
			-2,730

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
872 VANGUARD TELECOMM SRVCS	P	2016-01-01	2016-06-01
94 POWERSHARES GLOBAL EXCHANGE TRADED	P	2015-03-17	2016-01-25
2907 VANGUARD ST BOND	P	2016-01-01	2016-06-22
72 SPDR S&P INSURANCE ETF	P	2016-07-25	2016-09-23
1486 SPDR BARCLAYS HI YIELD BOND ETF	P	2016-01-01	2016-06-01
1829 MARKET VECTORS JP	P	2016-01-01	2016-02-17
1020 ISHARES INC CORE MSCI EMERGING MKTS	P	2016-01-01	2016-06-01
1435 MATERIALS SELECT SECTOR SPDR FD	P	2016-01-01	2016-03-09
82 SECTOR SPDR CONSMRS	P	2015-12-17	2016-01-25
496 CONSUMER DISCRETIONARY SPDR	P	2015-04-22	2016-03-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
80,949		72,222	8,727
2,519		2,609	-90
234,444		232,374	2,070
5,340		5,193	147
47,254		52,649	-5,395
31,451		32,129	-678
40,641		44,329	-3,688
62,411		60,270	2,141
4,029		4,168	-139
37,587		37,731	-144

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8,727
			-90
			2,070
			147
			-5,395
			-678
			-3,688
			2,141
			-139
			-144

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1626 SECTOR SPDR ENERGY	P	2015-12-17	2016-06-01
1495 SECTOR SPDR INDUSTRIAL	P	2015-12-17	2016-06-01
134 SECTOR SPDR UTILITIES	P	2015-12-17	2016-01-25
20486 86 DOUBLELINE TOTAL RET BOND FD	P	2016-01-01	2016-06-01
5526 901 PIMCO INCOME FD CL A	P	2016-01-01	2016-10-20
107 TEMPLETON GLBAL BOND FD	P	2015-12-17	2016-02-02
674 AM EURO PACIFIC GROWTH CL A	P	2015-12-24	2016-10-20
119067 FRANKLIN CUST FD INC	P	2016-01-01	2016-02-02
3508 DAVIS NY VENTURE FD CL A	P	2016-01-01	2016-02-02
47 ISHARES IBOX\$ INVT GRADE	P	2014-06-12	2016-01-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
91,961		98,906	-6,945
80,426		79,547	879
5,820		5,857	-37
222,078		223,077	-999
66,820		65,542	1,278
1,174		1,202	-28
31,928		30,856	1,072
235,204		286,592	-51,388
96,365		115,455	-19,090
5,337		5,555	-218

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-6,945
			879
			-37
			-999
			1,278
			-28
			1,072
			-51,388
			-19,090
			-218

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
52 ISHARES TIPS BOND ETF	P	2014-06-12	2016-01-25
1754 VANGUARD INFORMATION TECH ETF	P	2014-01-01	2016-06-01
34 POWERSHARES GLOBAL ESCH TRADED FD	P	2015-03-17	2016-05-18
60 ISHARES 3-7 YEAR TSY BONDS	P	2014-09-10	2016-01-25
46 ISHARES MBS ETF	P	2014-10-03	2016-01-25
216 VANGUARD INTERMEDIATE TERM BOND ETF	P	2014-06-12	2016-01-25
1271 VANGUARD ST BOND	P	2000-01-01	2016-06-01
528 SPDR BARCLAYS HI YIELD BOND ETF	P	2000-01-01	2016-06-01
96 POWERSHARES PREFERRED PORT	P	2014-06-12	2016-06-01
231 MARKET VECTOR JP EM LOCAL CURR	P	2000-01-01	2016-06-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,729		5,916	-187
182,505		178,451	4,054
973		944	29
7,467		7,268	199
5,001		4,979	22
18,148		18,172	-24
102,504		102,017	487
18,297		21,897	-3,600
1,410		1,390	20
3,952		5,080	-1,128

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-187
			4,054
			29
			199
			22
			-24
			487
			-3,600
			20
			-1,128

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
9700 ALPS ALERIAN MLP ETF	P	2013-12-03	2016-02-02
1370 HEALTH CARE SELECT	P	2000-01-01	2016-06-01
1513 CONSUMER DISCRETIONARY SPDR	P	2000-01-01	2016-06-01
2500 AM NEW WORLD FD CL A	P	2013-02-12	2016-03-09
14000 EATON VANCE ATLANTA CAP	P	2012-04-03	2016-03-09
7125 53 DOUBLELINE TOTAL RET BOND FD	P	2000-01-01	2016-06-01
72460 PIMCO INCOME FD CL A	P	2000-01-01	2016-10-20
6912 AM EURO PACIFIC GROWTH CL A	P	2000-01-01	2016-10-20
212453 FRANKLIN CUST FD INC	P	2000-01-01	2016-02-02
12915 DAVIS NY VENTURE FD CL A	P	2000-01-01	2016-02-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
96,559		154,967	-58,408
100,932		83,484	17,448
114,824		102,162	12,662
120,125		139,325	-19,200
330,820		234,920	95,900
77,285		78,279	-994
876,041		896,967	-20,926
327,421		286,433	40,988
419,678		520,777	-101,099
354,857		487,440	-132,583

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-58,408
			17,448
			12,662
			-19,200
			95,900
			-994
			-20,926
			40,988
			-101,099
			-132,583

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
65357 708 AMERICAN EURO PACIFIC GROWTH	P	2000-01-01	2016-06-01
8000 AM GWTH FUD OF AM CL A	P	2000-01-01	2016-03-09
485 SECTOR SPDR ENERGY	P	2015-12-17	2016-06-01
2852 SPDR BARCLAYS HI YIELD BOND ETF	P	2016-01-01	2016-06-22
16 VANGUARD TELECOMM SRVCS	P	2015-12-17	2016-01-25
414 SECTOR SPDR CONSMRS	P	2015-12-17	2016-06-22
295 SECTOR SPDR UTILITIES	P	2015-12-17	2016-06-22
29 ISHARES IBOX\$ INVT GRADE	P	2014-06-12	2016-06-01
133 VANGUARD ST BOND	P	2014-06-12	2016-01-25
327 CONSUMER DISCRETIONARY SPDR	P	2015-03-03	2016-03-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
578,881		524,687	54,194
310,400		231,842	78,558
32,438		29,501	2,937
100,873		98,851	2,022
1,308		1,349	-41
22,283		21,043	1,240
14,842		12,894	1,948
3,456		3,427	29
10,635		10,664	-29
24,780		24,936	-156

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			54,194
			78,558
			2,937
			2,022
			-41
			1,240
			1,948
			29
			-29
			-156

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Capital Gain Dividends			
Wash Sale			

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
			100,014
			14,453

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Big BrothersBig Sisters 14131 Yorba St Ste 200 Tustin, CA 92780	None	PC	Charitable	300,000
Second Harvest of Orange County 8014 Marine Way Irvine, CA 92618	None	PC	Charitable	25,000
Laura's House 999 Corporate Dr Ste 225 Ladera Ranch, CA 92694	None	PC	Charitable	150,000
Boys and Girls Club of Santa Ana 250 N Golden Circle 104 Santa Ana, CA 92705	None	PC	Charitable	130,350
Habitat for Humanity of Orange 2200 S Ritchey St Santa Ana, CA 92705	None	PC	Charitable	25,000
Total ▶ 3a				961,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Orangewood Childrens FDN 1575 E 17th St Santa Ana, CA 92705	None	PC	Charitable	15,000
CASA Youth Shelter 100 West Harrison Suite 500 Seattle, WA 98119	None	PC	Charitable	15,000
CHOC Children's Foundation 455 South Main St Orange, CA 92868	None	PC	Charitable	15,000
Orange County Community Foundation 30 Corporate Park Suite 410 Irvine, CA 92606	None	PC	Charitable	10,650
St Joseph Hospital Foundation 1100 W Stewart Drive Orange, CA 92868	None	PC	Charitable	25,000
Total ▶ 3a				961,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Hoag Hospital Foundation 500 Superior Ave Suite 350 Newport Beach, CA 92663	None	PC	Charitable	15,000
Orange Public Library Foundation 407 E Chapman Ave Orange, CA 92866	None	PC	Charitable	12,500
Amigos De Los Ninos PO Box 2602 La Habra, CA 90632	None	PC	Charitable	10,000
International Justice Missions PO Box 58147 Washington, DC 20037	None	PC	Charitable	15,000
Compassion International 12290 Voyager Parkway Colorado Springs, CO 80997	None	PC	Charitable	10,000
Total ▶ 3a				961,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Share Our Selves 1550 Superior Ave Costa Mesa, CA 92627	None	PC	Charitable	10,000
Chapman University One University Drive Orange, CA 92866	None	PC	Charitable	10,000
Working Wardrobes 3030 Pullman St Ste A Costa Mesa, CA 92626	None	PC	Charitable	10,000
Jerry and Paula Baker Fdn 22988 Ferretti Road Groveland, CA 95321	None	PC	Charitable	20,000
Discovery Science Center 2500 N Main Street Santa Ana, CA 92705	None	PC	Charitable	10,000
Total ▶ 3a				961,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Gallatin Valley Food Bank 602 Bond Street Gallatin, MT 59715	None	PC	Charitable	7,500
Court Appointed Special Advocates C 1505 E 17th Street STe 214 Santa Ana, CA 92705	None	PC	Charitable	10,000
Boys and Girls Club Central Orange 250 North Golden Circle Ste 104 Santa Ana, CA 92705	None	PC	Charitable	50,000
Community Senior Services 141 Spring Street Claremont, CA 91711	None	PC	Charitable	10,000
Make a Wish of Orange County 3230 El Camino Real Irvine, CA 92602	None	PC	Charitable	30,000
Total ▶ 3a				961,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
ThyCa PO Box 1545 New York, NY 10159	None	PC	Charitable	5,000
Special Olympics of Orange County 1600 Forbes Way Ste 200 Long Beach, CA 90810	None	PC	Charitable	15,000
Total ▶ 3a				961,000

TY 2016 Accounting Fees Schedule**Name:** The Joe MacPherson Foundation Inc**EIN:** 38-3779697**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Auditing Fees	9,426	0	0	0

TY 2016 Investments Corporate Bonds Schedule**Name:** The Joe MacPherson Foundation Inc**EIN:** 38-3779697**Software ID:** 16000303**Software Version:** 2016v3.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SP500 ARN Issuer BAC 10.65%		
SP500 ARN Issuer BAC 11.79%		
R2000 ARN ISSUER IFC 14.52%	150,000	150,000
SP500 ARN Issuer BARC 12.45%	200,000	206,600
SP500 Stepup Issuer BNS 25%	100,000	98,500
SX5E ARN Issuer BAC 24.3%	100,000	106,200

TY 2016 Investments Corporate Stock Schedule**Name:** The Joe MacPherson Foundation Inc**EIN:** 38-3779697**Software ID:** 16000303**Software Version:** 2016v3.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Citigroup Inc Com New	90,048	112,442
CVS Health Corp	102,645	84,749
Microsoft Corp	103,333	114,835
Wells Fargo & Co New		
Oracle Corp		
Berkshire Hathaway Inc	192,578	244,470
Chubb LTD (Ace Limited)	101,630	112,698
American Tower Reit Inc	109,216	110,119
American Water Wks Co Inc	47,980	56,151
Automatic Data Processing	106,965	125,289
Blackrock Inc	82,085	86,002
Cardinal Health Inc		
Citizens Finl Group Inc	78,185	113,303
Comcast Corp New CL A	99,697	113,656
Costco Wholesale	81,913	87,420
Danaher Corp Del	77,870	82,588
Devon Energy Corp	69,148	84,535
Disney Co	116,176	119,957
Dominion Res Inc		
Ecolab Inc	52,702	54,859
Fedex Corp	76,839	87,142
Hess Corp		
Home Depot Inc	103,041	114,236
Honeywell Intl	103,468	113,881
Lockheed Martin	98,908	113,723
Medtronic PLC	112,698	104,779
Mondelez Intl	79,875	90,611
Nike Inc CL B		
Schlumberger LTD		
Thermo Fisher Scientific	103,478	107,659

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Verizon Communications	80,912	88,184
Visa Inc	74,531	80,751
Apple Inc	98,242	113,156
Marathon Oil	59,171	62,922
Nextera Energy Inc	56,557	57,699
Texas Instruments	83,296	85,521
Total S.A.	75,449	87,057
Union Pacific	79,986	90,927

TY 2016 Investments - Other Schedule**Name:** The Joe MacPherson Foundation Inc**EIN:** 38-3779697**Software ID:** 16000303**Software Version:** 2016v3.0

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
American Euro Pacific Growth Fd	AT COST		
American Growth Fd of Am	AT COST	930,026	1,049,872
Davis NY Venture Fd A	AT COST		
Templeton Global Bond Fd CI A	AT COST		
ALPS Alerian MLP ETF	AT COST		
American New World Fund	AT COST	349,009	319,744
Vanguard REIT ETF	AT COST	485,072	602,469
Franklin Cust Fd Inc	AT COST		
Consumer Discretionary SPDR	AT COST	193,278	206,430
Doubleline Total Return Bd Fd	AT COST		
Health Care Select SPDR	AT COST	270,473	265,695
Ishares IBoxx \$ Invt Grade	AT COST	436,708	438,253
Ishares TIPS Bond ETF	AT COST	443,993	443,853
Ishares 3-7 Year Trsy Bd	AT COST	491,801	482,567
Ishares MBS Etf	AT COST	900,263	877,305
Vaneck Vectors(Market Vectors Emrg Mkts)	AT COST	130,584	127,899
Powershares Preferred	AT COST	88,247	85,024
SPDR Barclays Hi Yield	AT COST		
Vanguard Information	AT COST	253,662	294,516
Vanguard Intermediate Term Bond ETF	AT COST	1,196,487	1,166,552
Vanguard ST Bond Fd	AT COST	269,604	267,190
PImco Income Fd CI A	AT COST	2,099	2,090
Ishares MSCI Eafe	AT COST	459,777	464,496
Ishares Inc Core MSCI Emrg Mkts	AT COST	74,740	78,108
Materials Select Sector SPDR	AT COST		
Powershares EM Sovereign	AT COST	351,756	351,187
Sector SPDR Consumers Stpl	AT COST	541,139	545,334
Sector SPDR Energy	AT COST	367,116	438,965
Sector SPDR Industrial	AT COST	372,222	440,455
Sector SPDR Utilities	AT COST	306,954	322,651

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Vanguard Financials ETF	AT COST	313,609	391,829
Vanguard Telecomm Srvcs ETF	AT COST	253,574	280,821
Eaton Vance Atlanta Fd CI A	AT COST	582,422	792,557
Ishares Iboxx High Yield	AT COST	135,496	138,740
Pimco Income Fund CI P	AT COST	979	975
Real Estate Select SPDR	AT COST	202,551	188,252
SPDR S&P Insurance ETF	AT COST	109,049	124,674

TY 2016 Other Assets Schedule**Name:** The Joe MacPherson Foundation Inc**EIN:** 38-3779697**Software ID:** 16000303**Software Version:** 2016v3.0**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Rounding	2	1	

TY 2016 Other Expenses Schedule**Name:** The Joe MacPherson Foundation Inc**EIN:** 38-3779697**Software ID:** 16000303**Software Version:** 2016v3.0**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Fees	74,496	74,496	74,496	
Postage Mailing Expense	174			

TY 2016 Other Increases Schedule**Name:** The Joe MacPherson Foundation Inc**EIN:** 38-3779697**Software ID:** 16000303**Software Version:** 2016v3.0

Description	Amount
WASH SALES	14,453

TY 2016 Taxes Schedule**Name:** The Joe MacPherson Foundation Inc**EIN:** 38-3779697**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Charitable Registry/Franchise tax	160			
Foreign taxes paid	2,440	2,440	2,440	

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors
▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at
www.irs.gov/form990

OMB No 1545-0047
2016

Name of the organization
The Joe MacPherson Foundation Inc

Employer identification number
38-3779697

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

Form 990-PF

- 527 political organization
- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹ 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization The Joe MacPherson Foundation Inc	Employer identification number 38-3779697
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Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Joe MacPherson Lead Trust 191 E Main St 2A Tustin, CA92780	\$ 2,156,159	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization The Joe MacPherson Foundation Inc	Employer identification number 38-3779697
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Part II **Noncash Property**

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
(see instructions) Use duplicate copies of Part II if additional space is needed			
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____

Name of organization The Joe MacPherson Foundation Inc	Employer identification number 38-3779697
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____