Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www irs gov/form990pf

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation: THE HAGEN FAMILY FOUNDATION

A Employer identification number: 38-3482329

Number and street (or P O box number if mail is not delivered to street address): 401 E. LINTON BLVD. #257 | Room/suite

B Telephone number: (561) 279-8042

City or town, state or province, country, and ZIP or foreign postal code: DELRAY BEACH, FL 33483

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 2,029,383.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	305,724.		N/A	
	2 Check ▶ [ ] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	34,855.	34,855.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	90,361.			
	b Gross sales price for all assets on line 6a 487,246.				
	7 Capital gain net income (from Part IV, line 2)		90,361.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total** Add lines 1 through 11	430,940.	125,216.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 2	6,350.	3,175.		3,175.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 3	1,049.	1,049.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	2,547.	1,273.		1,274.
	22 Printing and publications				
	23 Other expenses STMT 4	22,140.	21,746.		394.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	32,086.	27,243.		4,843.
	25 Contributions, gifts, grants paid	89,700.			89,700.
	26 **Total expenses and disbursements** Add lines 24 and 25	121,786.	27,243.		94,543.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	309,154.			
	b **Net investment income** (if negative, enter -0-)		97,973.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing	54,856.	123,237.	123,237.
	2 Savings and temporary cash investments	229,982.	175,387.	175,387.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 6	1,112,132.	1,228,507.	1,730,759.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,396,970.	1,527,131.	2,029,383.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	1,396,970.	1,527,131.	
	30 **Total net assets or fund balances**	1,396,970.	1,527,131.	
	31 **Total liabilities and net assets/fund balances**	1,396,970.	1,527,131.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,396,970.
2	Enter amount from Part I, line 27a	2	309,154.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,706,124.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 5	5	178,993.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,527,131.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES		VARIOUS	VARIOUS
b	CAPITAL GAINS DIVIDENDS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	486,346.		396,885.	89,461.
b	900.			900.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				89,461.
b				900.
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 90,361.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? [ ] Yes [X] No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	102,303.	1,723,640.	.059353
2014	85,981.	1,719,353.	.050008
2013	96,917.	1,537,607.	.063031
2012	86,089.	1,421,836.	.060548
2011	86,139.	1,473,899.	.058443

2	**Total** of line 1, column (d)	2	.291383
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.058277
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	1,756,827.
5	Multiply line 4 by line 3	5	102,383.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	980.
7	Add lines 5 and 6	7	103,363.
8	Enter qualifying distributions from Part XII, line 4	8	94,543.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	1,959.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3	Add lines 1 and 2		3	1,959.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5	**Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0-		5	1,959.
6	Credits/Payments:			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a 1,600.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	1,600.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	359.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶	Refunded ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MI,FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes" attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.HAGENFAMILYFOUNDATION.ORG

14 The books are in care of ▶ MR. DAVID HAGEN Telephone no. ▶ (561)279-8042

Located at ▶ 401 E. LINTON BLVD. #257, DELRAY BEACH, FL ZIP+4 ▶ 33483

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,493,786.
b	Average of monthly cash balances	1b	289,795.
c	Fair market value of all other assets	1c	0.
d	**Total** (add lines 1a, b, and c)	1d	1,783,581.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,783,581.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	26,754.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,756,827.
6	**Minimum investment return.** Enter 5% of line 5	6	87,841.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	87,841.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	1,959.		
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	1,959.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	85,882.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	85,882.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	85,882.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	94,543.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	94,543.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	94,543.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				85,882.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011 — 12,444.				
b From 2012 — 15,810.				
c From 2013 — 21,374.				
d From 2014 — 2,314.				
e From 2015 — 18,929.				
f **Total** of lines 3a through e	70,871.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 94,543.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				85,882.
e Remaining amount distributed out of corpus	8,661.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	79,532.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	12,444.			
9 **Excess distributions carryover to 2017** Subtract lines 7 and 8 from line 6a	67,088.			
10 Analysis of line 9:				
a Excess from 2012 — 15,810.				
b Excess from 2013 — 21,374.				
c Excess from 2014 — 2,314.				
d Excess from 2015 — 18,929.				
e Excess from 2016 — 8,661.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 8

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed: SEE STATEMENT 10

SEE STATEMENT 9

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
STEM HQ 824 PAR CIR DELRAY BEACH, FL 33445	NONE	PC	TO ACQUIRE AN EPILOG LASER CUTTER TO ALLOW STUDENTS TO FURTHER DEVELOP THEIR CAD DESIGN SKILLS	10,000.
ANIMAL ASSISTED THERAPY PROGRAMS OF COLORADO 1255 LEE ST. LAKEWOOD, CO 80215	NONE	PC	FOR SCHOLARSHIP COUNSELING PROGRAM	15,000.
BROWARD PERFORMING ARTS FOUNDATION INC. 201 SW FIFTH AVE FORT LAUDERDALE, FL 33312	NONE	PC	TO SUPPORT ADDITION OF NEW COMPONENTS FOR A FULL PRODUCTION OF THE "THREE LITTLE PIGS"	8,000.
FAMILY PROMISE OF SOUTH PALM BEACH COUNTY INC. 840 GEORGE BUSH BLVD BLDG D DELRAY BEACH, FL 33483	NONE	PC	TO EXPAND CURRENT SERVICES AS WELL AS MAINTAIN THE CURRENT THERAPEUTICS SERVICES	10,900.
BOCA HELPING HANDS INC. 1500 NW 1ST COURT BOCA RATON, FL 33432	NONE	PC	TO HELP A THREE PHASE PROGRAM WHERE CLIENTS ARE GIVEN SKILLS TO BECOME COMMERICIAL TRUCK DRIVERS	7,500.
Total SEE CONTINUATION SHEET(S)			▶ 3a	89,700.
b Approved for future payment NONE				
Total			▶ 3b	0.

Form **990-PF** (2016)

623611 11-23-16

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Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	34,855.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	90,361.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		125,216.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 125,216.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions.			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

David Hagen | 15-11-2017 | President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
LYNNE M. HUISMANN	LYNNE M. HUISMANN	05/09/17		P00053811

Firm's name ▶ PLANTE & MORAN, PLLC — Firm's EIN ▶ 38-1357951

Firm's address ▶ 2601 CAMBRIDGE CT., STE. 500 AUBURN HILLS, MI 48326 — Phone no. (248) 375-7100

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FINALLY HOME FOUNDATION PO BOX 2110 ORANGE, CA 92859	NONE	PC	RESOURCE TABLE AT 2017 FAMILY STRENGHTENING EVENT SPECIFICALLY FOR ATTENDING CHILDREN AND YOUTH	1,000.
CAPITAL UNIVERSITY 1 COLLEGE AND MAIN COLUMBUS, OH 43209	NONE	PC	TO HELP THE RENOVATION OF THE HARVEY AND MARIAN STEGEMOELLER RECEPTION ROOM	10,000.
THE VILLAGE OF HOPE INC. 300 E YAMATO RD BOCA RATON, FL 33431	NONE	PC	TO START-UP FUNDING TO INITIATE AN OPHTHALMOLOGY PROGRAM FOR THEIR HEALTH CENTER	8,000.
ALZHEIMER'S COMMUNITY CARE, INC. 800 NORTHPOINT PARKWAY, SUITE 101-B WEST PALM BEACH, FL 33407	NONE	PC	TO HELP PROVIDE MUSIC THERAPY SESSIONS FOR AN INCREASED NUMBER OF PATIENTS	2,800.
OPERATION MOBILIZATION P.O. BOX 444, 285 LYNNWOOD AVE TYRONE, GA 30290	NONE	PC	PURCHASE OF 150 BEDS FOR THE GIRLS AND WOMEN'S SHELTER LOCATED IN THE STATE OF TALANGANA (INDIA)	15,000.
DEPORRES PLACE, INC. 1016 N. DIXIE HIGHWAY 2ND FLOOR WEST PALM BEACH, FL 33401	NONE	PC	TO PROVIDE 15 STUDENTS WITH READING HORIZON'S PROGRAM SOFTWARE	1,500.
Total from continuation sheets				38,300.

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Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at** *www irs gov/form990* .

OMB No 1545-0047

2016

Name of the organization

THE HAGEN FAMILY FOUNDATION

Employer identification number

38-3482329

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990 EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
THE HAGEN FAMILY FOUNDATION	38-3482329

Part I Contributors (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DAVID HAGEN TRUST 401 E. LINTON BLVD., #257 DELRAY BEACH, FL 33483	$ 305,724.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization

THE HAGEN FAMILY FOUNDATION

Employer identification number

38-3482329

Part II Noncash Property (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	MARKETABLE SECURITIES	$ 305,724.	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization	Employer identification number
THE HAGEN FAMILY FOUNDATION	38-3482329

Part III *Exclusively* **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e)** and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of $1,000 or less for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES			STATEMENT 1	
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OPPENHEIMER	24,325.	900.	23,425.	23,425.	
WELLS FARGO	11,430.	0.	11,430.	11,430.	
TO PART I, LINE 4	35,755.	900.	34,855.	34,855.	

FORM 990-PF	ACCOUNTING FEES		STATEMENT 2	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	6,350.	3,175.		3,175.
TO FORM 990-PF, PG 1, LN 16B	6,350.	3,175.		3,175.

FORM 990-PF	TAXES		STATEMENT 3	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD	1,049.	1,049.		0.
TO FORM 990-PF, PG 1, LN 18	1,049.	1,049.		0.

FORM 990-PF	OTHER EXPENSES		STATEMENT 4	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	20,789.	20,789.		0.
WEBSITE FEES	394.	0.		394.
OTHER FEES	957.	957.		0.
TO FORM 990-PF, PG 1, LN 23	22,140.	21,746.		394.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 5

DESCRIPTION	AMOUNT
ADJUST TO COST BASIS OF DONATED INVESTMENTS	178,993.
TOTAL TO FORM 990-PF, PART III, LINE 5	178,993.

FORM 990-PF	CORPORATE STOCK	STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
WELLS FARGO SECURITIES - SEE ATTACHMENT	529,717.	895,758.
OPPENHEIMER SEE ATTACHMENT	136,688.	250,194.
OPPENHEIMER SEE ATTACHMENT	227,536.	181,021.
OPPENHEIMER SEE ATTACHMENT	158,066.	232,354.
OPPENHEIMER SEE ATTACHMENT	176,500.	171,432.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,228,507.	1,730,759.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 7

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DAVID F. HAGEN 401 E. LINTON BLVD. #257 DELRAY BEACH, FL 33483	PRESIDENT/TREASURER 0.00	0.	0.	0.
VIRGINIA L. HAGEN 401 E. LINTON BLVD. #257 DELRAY BEACH, FL 33483	VICE PRESIDENT 0.00	0.	0.	0.
REV. ANDREW HAGEN 401 E. LINTON BLVD. #257 DELRAY BEACH, FL 33483	DIRECTOR 0.00	0.	0.	0.
PATRICIA H. BORN 401 E. LINTON BLVD. #257 DELRAY BEACH, FL 33483	SECRETARY/DIRECTOR 0.00	0.	0.	0.
LAURA C. HAGEN 401 E. LINTON BLVD. #257 DELRAY BEACH, FL 33483	DIRECTOR 0.00	0.	0.	0.
SUSAN DINGLE HAGEN 401 E. LINTON BLVD. #257 DELRAY BEACH, FL 33483	DIRECTOR 0.00	0.	0.	0.
DANE G. PATTERSON 401 E. LINTON BLVD. #257 DELRAY BEACH, FL 33483	DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT 8

NAME OF MANAGER

DAVID F. HAGEN
VIRGINIA L. HAGEN

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 9
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

THE HAGEN FAMILY FOUNDATION
401 E. LINTON BLVD. #257
DELRAY BEACH, FL 33483

TELEPHONE NUMBER

(561)-279-8042

FORM AND CONTENT OF APPLICATIONS

BACKGROUND OF THE ORGANIZATION APPLYING FOR FUNDING

DESCRIPTION OF THE PROJECT FOR WHICH THE ORGANIZATION IS SEEKING FUNDS

QUALIFICATIONS OF THE PEOPLE WHO WILL BE DIRECTING THE PROJECT

EXPLANATION OF HOW THE SUCCESS OF THE PROJECT WILL BE MEASURED

ROLES OF OTHER ORGANIZATIONS YOU WILL BE WORKING WITH TO ACCOMPLISH YOUR OBJECTIVES

PLANS FOR THE FUTURE SUSTAINABILITY OF THE PROJECT

ANY SUBMISSION DEADLINES

MID JUNE. PLEASE REFER TO THE FOUNDATION WEBSITE ADDRESS FOR ADDITIONAL DEADLINE DETAILS.

RESTRICTIONS AND LIMITATIONS ON AWARDS

THE FOUNDATION DOES NOT CONSIDER CONTRIBUTIONS TO ANNUAL DRIVES, CAPITAL CAMPAIGNS, RESEARCH, OR OTHER SUPPORT OF ON-GOING PROGRAMS.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION PART XV, LINES 2A - 2D (CONTINUATION) STATEMENT 10

FORM AND CONTENT OF APPLICATIONS

SPECIFIC DOLLAR AMOUNT BEING REQUESTED FROM THE FOUNDATION

OPERATING BUDGET FOR THE PROJECT SHOWING WHAT THE ORGANIZATION AND OTHER APPROPRIATE PARTIES ARE COMMITTING TO THE EFFORT

COPY OF THE ORGANIZATION'S IRS 501(C)(3) DETERMINATION LETTER

Stocks, options & ETFs

Stocks and ETFs

This section may include foreign equity securities that may be denominated in currencies other than US dollars. The amounts, annual income and annual yield on your statement for such securities will be estimated based on prevailing exchange rates and the amount does not necessarily reflect the rate you will receive if converted to US dollars. The "Quantity" field reflects total shares held, regardless of the currency in which your shares are denominated. Please contact Your Financial Advisor if you have additional questions regarding your foreign security holdings.

							ESTIMATED	
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL INCOME	ANNUAL YIELD (%)
ALPHABET INC NON VOTING CAP STK CL C GOOG								
Acquired 12/16/14	26	511.56	13,300.56		20,067.32	6,766.76		
Acquired 12/18/14	3	505.74	1,517.22		2,315.46	798.24		

WELLS FARGO ADVISORS

THE HAGEN FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
[redacted]

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Acquired 01/06/15	6	504.13	3,024.78		4,630.92	1,606.14		
Acquired 01/09/15	9	499.31	4,493.79		6,946.38	2,452.59		
Total	**44**	**$507.64**	**$22,336.35**	**771.8200**	**$33,960.08**	**$11,623.73**	**N/A**	**N/A**
AMERICAN EXPRESS COMPANY								
AXP								
Acquired 07/27/15	30	74.77	2,243.10		2,222.40	-20.70		
Acquired 01/22/16	100	54.91	5,491.99		7,408.00	1,916.01		
Acquired 01/26/16	50	55.68	2,784.00		3,704.00	920.00		
Total	**180**	**$58.44**	**$10,519.09**	**74.0800**	**$13,334.40**	**$2,815.31**	**$230.40**	**1.73**
APPLE INC								
AAPL								
Acquired 01/27/16	130	95.01	12,351.30		15,056.60	2,705.30		
Acquired 04/27/16	60	97.40	5,844.00		6,949.20	1,105.20		
Total	**190**	**$95.76**	**$18,195.30**	**115.8200**	**$22,005.80**	**$3,810.50**	**$433.20**	**1.97**
BANK OF AMERICA CORP								
BAC								
Acquired 01/06/12	380	6.17	2,347.45		8,398.00	6,050.55		
Acquired 01/06/12	2,100	6.17	12,972.75		46,410.00	33,437 25		
Total	**2,480**	**$6.18**	**$15,320.20**	**22.1000**	**$54,808.00**	**$39,487.80**	**$744.00**	**1.36**
BERKSHIRE HATHAWAY INC								
SERIES B NEW								
BRK/B								
Acquired 02/10/00 nc	90	30.72	2,764.80		14,668.20	11,903.40		
Acquired 09/05/03 nc	120	50.44	6,052.80		19,557.60	13,504.80		
Acquired 04/21/06 nc	320	57.41	18,374.40		52,153.60	33,779.20		
Total	**530**	**$51.31**	**$27,192.00**	**162.9800**	**$86,379.40**	**$59,187.40**	**N/A**	**N/A**
BOSTON BEER INC								
CL A								
SAM								
Acquired 10/14/16	60	154.06	9,244.19	169.8500	10,191.00	946.81	N/A	N/A
BRITVIC PLC								
BTVCF								
Acquired 08/17/16	1,665	8.49	14,150.17		11,505.15	-2,645.02		
Acquired 08/19/16	808	8.50	6,875.35		5,583.28	-1,292.07		
Acquired 10/06/16	1,788	7.69	13,761.34		12,355.08	-1,406.26		
Total	**4,261**	**$8.16**	**$34,786.86**	**6.9100**	**$29,443.51**	**-$5,343.35**	**$1,290.65**	**4.38**

THE HAGEN FAMILY FOUNDATION

DECEMBER 1 2016 - DECEMBER 31, 2016
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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
CHEVRON CORPORATION CVX								
Acquired 06/25/10 nc	80	70.29	5,623.80		9,416.00	3,792.20		
Acquired 07/01/10 nc	30	67.18	2,015.63		3,531.00	1,515.37		
Acquired 07/01/10 nc	100	67.18	6,718.75		11,770.00	5,051.25		
Acquired 09/28/15	20	76.02	1,520.60		2,354.00	833.40		
Total	**230**	**$69.04**	**$15,878.78**	**117.7000**	**$27,071.00**	**$11,192.22**	**$993.60**	**3.67**
COCA-COLA COMPANY KO								
Acquired 10/15/04 nc	150	19.58	2,937.75		6,219.00	3,281.25		
Acquired 10/15/04 nc	500	19.58	9,792.50		20,730.00	10,937.50		
Total	**650**	**$19.59**	**$12,730.25**	**41.4600**	**$26,949.00**	**$14,218.75**	**$910.00**	**3.38**
CUMMINS INC CMI								
Acquired 06/21/13	40	112.24	4,489.60		5,466.80	977.20		
Acquired 11/24/15	50	98.65	4,932.50		6,833.50	1,901.00		
Acquired 04/06/16	15	105.46	1,581.90		2,050.05	468.15		
Total	**105**	**$104.80**	**$11,004.00**	**136.6700**	**$14,350.35**	**$3,346.35**	**$430.50**	**3.00**
CVS HEALTH CORPORATION CVS								
Acquired 11/08/16	250	72.84	18,211.10	78.9100	19,727.50	1,516.40	500.00	2.53
DISCOVERY COMMUNICATIONS INC A DISCA								
Acquired 02/20/15	470	30.57	14,367.90		12,882.70	-1,485.20		
Acquired 05/06/15	180	31.86	5,735.25		4,933.80	-801.45		
Total	**650**	**$30.93**	**$20,103.15**	**27.4100**	**$17,816.50**	**-$2,286.65**	**N/A**	**N/A**
DISNEY WALT COMPANY DIS								
Acquired 02/10/16	90	88.58	7,972.20		9,379.80	1,407.60		
Acquired 06/27/16	50	94.48	4,724.27		5,211.00	486.73		
Total	**140**	**$90.69**	**$12,696.47**	**104.2200**	**$14,590.80**	**$1,894.33**	**$218.40**	**1.50**
DUNKIN' BRANDS GRP INC DNKN								
Acquired 01/08/16	320	38.89	12,444.80	52.4400	16,780.80	4,336.00	384.00	2.28

WELLS FARGO ADVISORS

THE HAGEN FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
EBAY INC								
EBAY								
Acquired 04/16/09 nc	50	5.67	283.66		1,484.50	1,200.84		
Acquired 07/01/10 nc	120	7.70	924.87		3,562.80	2,637.93		
Acquired 07/01/10 nc	380	7.70	2,928.76		11,282.20	8,353.44		
Acquired 08/02/10 nc	300	8.40	2,522.36		8,907.00	6,384.64		
Total	**850**	**$7.83**	**$6,659.65**	**29.6900**	**$25,236.50**	**$18,576.85**	**N/A**	**N/A**
GENERAL ELECTRIC COMPANY								
GE								
Acquired 06/04/09 nc	200	13.72	2,745.50		6,320.00	3,574.50		
Acquired 06/04/09 nc	800	13.72	10,982.00		25,280.00	14,298.00		
Total	**1,000**	**$13.73**	**$13,727.50**	**31.6000**	**$31,600.00**	**$17,872.50**	**$960.00**	**3.04**
GOLDMAN SACHS GROUP INC								
GS								
Acquired 05/31/12	40	95.38	3,815.20		9,578.00	5,762.80		
Acquired 05/31/12	100	95.38	9,538.18		23,945.00	14,406.82		
Acquired 01/11/16	40	164.13	6,565.56		9,578.00	3,012.44		
Total	**180**	**$110.66**	**$19,918.94**	**239.4500**	**$43,101.00**	**$23,182.06**	**$468.00**	**1.09**
INTERNATIONAL BUSINESS MACHINE CORP								
IBM								
Acquired 11/10/14	25	163 93	4,098.50		4,149.75	51 25		
Acquired 11/18/14	40	162.35	6,494.00		6,639.60	145.60		
Acquired 12/15/14	20	154.23	3,084.80		3,319.80	235.00		
Acquired 11/25/15	25	137.55	3,438.75		4,149.75	711.00		
Total	**110**	**$155.60**	**$17,116.05**	**165.9900**	**$18,258.90**	**$1,142.85**	**$616.00**	**3.37**
JOHNSON & JOHNSON								
JNJ								
Acquired 04/03/07 nc	150	60.70	9,105.00		17,281.50	8,176.50		
Acquired 07/21/10 nc	155	56.99	8,834.34		17,857.55	9,023.21		
Total	**305**	**$58.82**	**$17,939.34**	**115.2100**	**$35,139.05**	**$17,199.71**	**$976.00**	**2.78**

THE HAGEN FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
[redacted]

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
MC CORMICK & CO INC NON VTG								
MKC								
Acquired 04/17/09 nc	150	28.80	4,321.05		13,999.50	9,678.45		
Acquired 08/21/09 nc	100	32.35	3,235.47		9,333.00	6,097.53		
Total	**250**	**$30.23**	**$7,556.52**	**93.3300**	**$23,332.50**	**$15,775.98**	**$470.00**	**2.01**
MEDTRONIC PLC								
MDT								
Acquired 01/27/15	320	76.21	24,388.40		22,793.60	-1,594.80		
		76.95	24,624.00					
Acquired 09/25/15	40	66.54	2,662.00		2,849.20	187.20		
Acquired 11/22/16	135	73.59	9,935.87		9,616.05	-319.82		
Total	**495**	**$74.72**	**$36,986.27**	**71.2300**	**$35,258.85**	**-$1,727.42**	**$851.40**	**2.41**
		$75.20	**$37,221.87**					
MICROSOFT CORP								
MSFT								
Acquired 06/06/06 nc	35	22.11	774.13		2,174.90	1,400.77		
Acquired 07/01/10 nc	200	23.01	4,603.20		12,428.00	7,824.80		
Acquired 07/01/10 nc	200	23.01	4,602.00		12,428.00	7,826.00		
Acquired 10/04/10 nc	150	23.81	3,572.55		9,321.00	5,748.45		
Acquired 10/04/10 nc	100	23.81	2,381.00		6,214.00	3,833.00		
Total	**685**	**$23.26**	**$15,932.88**	**62.1400**	**$42,565.90**	**$26,633.02**	**$1,068.60**	**2.51**
MONDELEZ INTL INC								
MDLZ								
Acquired 09/05/14	350	35.45	12,408.31		15,515.50	3,107.19		
Acquired 09/26/14	50	34.27	1,713.50		2,216.50	503.00		
Acquired 10/10/14	80	33.85	2,708.00		3,546.40	838.40		
Acquired 10/15/14	100	32.22	3,222.34		4,433.00	1,210.66		
Acquired 10/17/14	50	32.71	1,635.50		2,216.50	581.00		
Total	**630**	**$34.42**	**$21,687.65**	**44.3300**	**$27,927.90**	**$6,240.25**	**$478.80**	**1.71**
NATHAN'S FAMOUS INC NEW								
NATH								
Acquired 08/28/09 nc	128	0.00	0.00	64 9000	8,307.20	8,307.20	N/A	N/A
		12.80	1,638.40					
NORFOLK SOUTHERN CORP								
NSC								
Acquired 07/27/15	60	83.50	5,010.00		6,484.20	1,474.20		

DECEMBER 1, 2016 - DECEMBER 31, 2016
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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Acquired 09/25/15	75	76.13	5,709.75		8,105.25	2,395.50		
Acquired 01/21/16	90	68.23	6,141.29		9,726.30	3,585.01		
Total	**225**	**$74.94**	**$16,861.04**	**108.0700**	**$24,315.75**	**$7,454.71**	**$531.00**	**2.18**
PAYPAL HOLDINGS INC PYPL								
Acquired 04/16/09 nc	50	8.77	438.64		1,973.50	1,534.86		
Acquired 07/01/10 nc	120	11.91	1,430.25		4,736.40	3,306.15		
Acquired 07/01/10 nc	250	11.91	2,979.69		9,867.50	6,887.81		
Acquired 08/02/10 nc	300	13.00	3,900.64		11,841.00	7,940.36		
Total	**720**	**$12.15**	**$8,749.22**	**39.4700**	**$28,418.40**	**$19,669.18**	**N/A**	**N/A**
PEPSICO INCORPORATED PEP								
Acquired 12/12/08 nc	90	51.65	4,649.35		9,416.70	4,767 35		
Acquired 08/21/09 nc	160	57.48	9,198.08		16,740.80	7,542.72		
Total	**250**	**$55.39**	**$13,847.43**	**104.6300**	**$26,157.50**	**$12,310.07**	**$752.50**	**2.88**
TOTAL S A. SPONS ADR TOT								
Acquired 01/12/12	115	49.91	5,740.45		5,861.55	121.10		
Acquired 04/23/12	80	46.97	3,757.60		4,077.60	320.00		
Acquired 05/04/12	30	46.39	1,391.70		1,529.10	137.40		
Total	**225**	**$48.40**	**$10,889.75**	**50.9700**	**$11,468.25**	**$578.50**	**$513.67**	**4.48**
TWENTY-FIRST CENTY CL B FOX	1,100	29.23**	32,156.09	27.2500	29,975.00	-2,181.09	396.00	1.32
VERIFONE SYSTEMS INC PAY								
Acquired 06/23/16	350	19.27	6,746.57	17.7300	6,205.50	-541.07	N/A	N/A
WAL-MART STORES INC WMT								
Acquired 08/26/15	100	63.94	6,394.80		6,912.00	517.20		
Acquired 08/26/15	80	63.94	5,115.76		5,529.60	413.84		
Acquired 10/15/15	20	58.92	1,178.40		1,382.40	204.00		
Acquired 11/30/15	100	58.94	5,894.51		6,912.00	1,017 49		
Total	**300**	**$61.94**	**$18,583.47**	**69.1200**	**$20,736.00**	**$2,152.53**	**$600.00**	**2.89**

DECEMBER 1, 2016 - DECEMBER 31, 2016
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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
WALGREENS BOOTS ALLIANCE INC WBA								
Acquired 08/06/10 nc	10	27.87	278.77		827.60	548.83		
Acquired 08/06/10 nc	840	27.87	23,417.10		69,518.40	46,101.30		
Total	**850**	**$27.88**	**$23,695.87**	**82.7600**	**$70,346.00**	**$46,650.13**	**$1,275.00**	**1.81**
Total Stocks and ETFs			**$529,716.78** **$531,590.78**		**$895,758.34**	**$366,041.56**	**$16,091.72**	**1.80**
Total Stocks, options & ETFs			**$529,716.78**		**$895,758.34**	**$366,041.56**	**$16,091.72**	**1.80**

** Because you have more than 6 tax lots, we are showing the average cost per share.
Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Financial Advisor.
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

OPPENHEIMER

Oppenheimer & Co. Inc.
85 Broad Street
New York, NY 10004
(212) 668-8000
Transacts Business on All Principal Exchanges

STATEMENT OF ACCOUNT

SIPC

PLANTE MORAN
ATTN NANCY MECONI
2601 CAMBRIDGE COURT

DUPLICATE FOR:
HAGEN FAMILY FOUNDATION
ATTN DAVID F HAGEN

Page	Account Number	Financial Advisor	Period Ending
3 of 7		ELMORE JR WILLIAM - NB4	12/31/16

Equities

Please note the following icon appears to the right of the stock symbol of those securities which Oppenheimer has provided research coverage. If you wish to access such research you may visit the Client Access web site (www opco com) or speak with your Financial Advisor.

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
ARRIS INTL INC	(M) CASH	146	ARRS	27.99000	30.13000	4,086.54	4,398.98	312			1.71
RENAISSANCERE HOLDINGS LTD	(I) CASH	20	RNR	99.63200	136.22000	1,992 64	2,724.40	732	0.910%	24	1.06
FLEX LTD ORD	(Q) CASH	270	FLEX	7.07670	14.37000	1,910 72	3,879.90	1,969			1.51
ADVANCED ENERGY INDS	(Q) CASH	122	AEIS	28.27170	54 75000	3,449.15	6,679 50	3,230			2 60
ALLEGHENY TECHNOLOGIES INC	(C) CASH	76	ATI	37.56220	15 93000	2,854 73	1,210 68	(1,644)	2.008%	24	0.47
AMERICAN EQTY INVT LIFE HLD CO	(I) CASH	170	AEL	17 13370	22 54000	2,912.73	3,831.80	919	1 064%	40	1.49
ASTORIA FINL CORP	(I) CASH	168	AF	14.57600	18.65000	2,448 76	3,133 20	684	0.857%	26	1 22
AUTODESK INC	(Q) CASH	70	ADSK	33 20330	74 01000	2,324.23	5,180 70	2,856			2.01
BORGWARNER INC	(S) CASH	130	BWA	14 07710	39 44000	1,830 02	5,127 20	3,297	1 419%	72	1.99
CBRE GROUP INC CL A REIT	(I) CASH	162	CBG	22 09020	31.49000	3,578 61	5,101.38	1,523			1 98
CABOT CORP	(F) CASH	44	CBT	48 68270	50.54000	2,142.04	2,223 76	82	2 374%	52	0.86
CABOT MICROELECTRONICS CORP	(Q) CASH	74	CCMP	42.67340	63.17000	3,157.83	4,674.58	1,517	1.139%	53	1.82

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ATTN DAVID F HAGEN

Page	Account Number	Financial Advisor	Period Ending
4 of 7		ELMORE JR. WILLIAM - NB4	12/31/16

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
CENTENE CORP DEL	(L) CASH	147	CNC	9.61650	56.51000	1,413 62	8,306 97	6,893			3.23
CUMMINS INC	(S) CASH	49	CMI	29 81490	136.67000	1,460.93	6,696 83	5,236	2.999%	200	2.60
D R HORTON INC	(E) CASH	180	DHI	20.65510	27.33000	3,717 92	4,919.40	1,201	1.463%	72	1 91
DARDEN RESTAURANTS INC	(J) CASH	49	DRI	38 59690	72 72000	1,891 25	3,563 28	1,672	3.080%	109	1.38
EASTMAN CHEM CO	(C) CASH	60	EMN	29.94550	75.21000	1,796.73	4,512.60	2,716	2 712%	122	1.75
EATON VANCE CORP COM NON VTG	(I) CASH	72	EV	29 04000	41 88000	2,090 88	3,015 36	924	2 674%	80	1.17
ENTEGRIS INC	(F) CASH	241	ENTG	9 09430	17 90000	2,191.73	4,313 90	2,122			1 68
EVERBANK FINL CORP	(I) CASH	164	EVER	17 31730	19 45000	2,840.04	3,189 80	350	1.233%	39	1.24
FLIR SYS INC	(Q) CASH	118	FLIR	15 10300	36 19000	1,782.15	4,270 42	2,488	1 326%	56	1 66
FIRSTCASH INC	(P) CASH	81	FCFS	26.19880	47.00000	2,122 10	3,807 00	1,685	1 617%	61	1 48
GLOBAL PMTS INC	(P) CASH	106	GPN	21 20360	69 41000	2,247 58	7,357 46	5,110	0.057%	4	2.86
HEXCEL CORP NEW	(C) CASH	87	HXL	22 25160	51 44000	1,935 89	4,475.28	2,539	0.855%	38	1 74
HUNTINGTON INGALLS INDS INC	(E) CASH	40	HII	67 71780	184 19000	2,708.71	7,367.60	4,659	1 303%	96	2 86
INTUIT	(Q) CASH	51	INTU	22.49920	114 61000	1,147 46	5,845.11	4,698	1 186%	69	2.27
JOY GLOBAL INC	(D) CASH	36	JOY	55 61190	28.00000	2,002.03	1,008.00	(994)	0 142%	1	0 39
KEYCORP NEW	(I) CASH	359	KEY	7.71000	18.27000	2,767.89	6,558 93	3,791	1 860%	122	2.55
MASCO CORP	(E) CASH	173	MAS	17.22330	31 62000	2,979.63	5,470.26	2,491	1.265%	69	2 13
MATTEL INC	(F) CASH	89	MAT	25.84450	27.55000	2,300.16	2,451 95	152	5.517%	135	0.95
MEDICAL PPTYS TRUST INC REIT	(I) CASH	223	MPW	11 53150	12 30000	2,571.53	2,742.90	171	7 479%	205	1.07
MEDNAX INC	(L) CASH	54	MD	39 40810	66 66000	2,128.04	3,599 64	1,472			1 40
MERITAGE HOMES CORP	(E) CASH	66	MTH	41.04970	34.80000	2,709 28	2,296.80	(412)			0.89
MOOG INC CL A	(Q) CASH	49	MOGA	38 54080	65.68000	1,888.50	3,218.32	1,330			1 25
MUELLER WTR PRODS INC COM SER A	(C) CASH	444	MWA	9.88640	13.31000	4,389.56	5,909 64	1,520	0.901%	53	2 30
NEWFIELD EXPL CO	(G) CASH	97	NFX	36.32860	40 50000	3,523 87	3,928 50	405			1.53
RANGE RES CORP	(G) CASH	104	RRC	33 18170	34 36000	3,450 90	3,573 44	123	0 232%	8	1.39
RAYMOND JAMES FIN[illegible]IAL INC	(I) CASH	68	RJF	28.79000	[illegible]9 27000	1,957.72	4,710.36	2,753	1.270%	[illegible]	1 83

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HAGEN FAMILY FOUNDATION
ATTN DAVID F HAGEN

Page	Account Number	Financial Advisor	Period Ending
5 of 7		ELMORE JR. WILLIAM - NB4	12/31/16

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
REINSURANCE GROUP AMER INC COM NEW	(I) CASH	43	RGA	55 79440	125 83000	2,399.16	5,410 69	3,012	1.303%	70	2 10
REPUBLIC SVCS INC	(N) CASH	129	RSG	25 61920	57 05000	3,304 88	7,359 45	4,055	2.243%	165	2.86
RYDER SYS INC	(S) CASH	46	R	65 20960	74.44000	2,999.64	3,424.24	425	2 364%	80	1.33
SBA COMMUNICATIONS CORP	(R) CASH	57	SBAC	18.45540	103.26000	1,051.96	5,885 82	4,834			2.29
SCOTTS MIRACLE GRO CO CL A	(E) CASH	52	SMG	44 58850	95.55000	2,318 60	4,968.60	2,650	2.093%	104	1 93
SEALED AIR CORP NEW	(P) CASH	114	SEE	17 81860	45.34000	2,031.32	5,168.76	3,137	1 411%	72	2.01
SNAP ON INC	(F) CASH	37	SNA	35 14240	171 27000	1,300.27	6,336.99	5,037	1 658%	105	2.46
SONOCO PRODS CO	(C) CASH	76	SON	34 73420	52 70000	2,639.80	4,005.20	1,365	2 808%	112	1.56
SOUTH JERSEY INDS INC	(G) CASH	84	SJI	21 12680	33 69000	1,774 65	2,829.96	1,055	3.235%	91	1 10
STIFEL FINL CORP	(I) CASH	86	SF	40.00720	49 95000	3,440.62	4,295.70	855			1.67
SYNOPSYS INC	(Q) CASH	78	SNPS	22.01500	58.86000	1,717 17	4,591 08	2,874			1.78
TIMKEN CO	(C) CASH	98	TKR	25 28160	39 70000	2,477 60	3,890.60	1,413	2.619%	101	1 51
TRUSTMARK CORP	(I) CASH	81	TRMK	25 01190	35.65000	2,025 96	2,887 65	862	2 580%	74	1 12
UNITED BANKSHARES INC WEST VA	(I) CASH	94	UBSI	23.97010	46 25000	2,253 19	4,347 50	2,094	2 854%	124	1 69
UNITED NAT FOODS INC	(J) CASH	58	UNFI	45 71860	47 72000	2,651 68	2,767 76	116			1 08
VALSPAR CORP	(C) CASH	53	VAL	28 07230	103 61000	1,487.83	5,491 33	4,004	1.428%	78	2.13
WGL HLDGS INC	(G) CASH	53	WGL	35 51960	76.28000	1,882.54	4,042 84	2,160	2.556%	103	1.57
WESBANCO INC	(I) CASH	68	WSBC	31 83190	43.06000	2,164.57	2,928.08	764	2.229%	65	1 14
XILINX INC	(Q) CASH	71	XLNX	29 04180	60 37000	2,061.97	4,286 27	2,224	2 186%	93	1 67
SUB-TOTAL COMMON STOCK						**136,687.51**	**250,194.35**	**113,508**		**3,346**	**97.23**
TOTAL EQUITIES						**136,687.51**	**250,194.35**	**113,508**		**3,346**	**97.23**

Oppenheimer & Co. Inc.
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STATEMENT OF ACCOUNT

PLANTE MORAN
ATTN: NANCY MECONI
2601 CAMBRIDGE COURT

DUPLICATE FOR:
HAGEN FAMILY FOUNDATION
ATTN: MR DAVID F HAGEN

Page	Account Number	Financial Advisor	Period Ending
4 of 6		ELMORE JR WILLIAM - NB4	12/31/16

Open End Funds

Description	Open Tran	Quantity	Average Price	Net Cost	Purchase Cost	Current Price	Market Value	Unrealized Gain/(Loss)	Net Value Increase/Decr	EY	EAI	Est Yield to Net Cost	Portfolio Percent
LOOMIS STRATEGIC INCM FD C		2,548.7140	15.44240	39,358.28	31,278 20	14.23000	36,268.20	(3,090)	4,990	1.695%	615	1.56	10 58
OPEN END													
SYMBOL. NECZX	Purchases	2,012.7540	15.54000	31,278.20	31,278 20		28,641 48	(2,637)					
	Reinvestment	535 9600	15 07590	8,080.08			7,626 70	(453)					
SUB-TOTAL OPEN END FUNDS				**227,536.03**			**181,020.65**	**(46,515)**			**7,233**		**52.80**
TOTAL MUTUAL FUNDS				**227,536.03**			**181,020.65**	**(46,515)**			**7,233**		**52.80**

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Page	Account Number	Financial Advisor	Period Ending
4 of 6		ELMORE JR WILLIAM - NB4	12/31/16

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
DIAGEO P L C SPON ADR NEW	(J) CASH	45	DEO	71.59420	103.94000	3,221.74	4,677 30	1,456	2.998%	140	1.90
DU PONT E I DE NEMOURS & CO	(A) CASH	95	DD	32 23150	73 40000	3,061 99	6,973.00	3,911	2 070%	144	2.84
EXXON MOBIL CORP	(G) CASH	60	XOM	72.72700	90 26000	4,363 62	5,415.60	1,052	3 323%	180	2.20
GENERAL ELECTRIC CO	(T) CASH	250	GE	34.74410	31 60000	8,686 03	7,900 00	(786)	3 037%	240	3.21
GENUINE PARTS CO	(P) CASH	60	GPC	43 47380	95.54000	2,608.43	5,732.40	3,124	2 752%	157	2.33
HCP INC REIT	(I) CASH	140	HCP	32 96890	29.72000	4,615 65	4,160 80	(455)	4 979%	207	1.69
HSBC HLDGS PLC SPON ADR NEW	(I) CASH	70	HSBC	44.80340	40 18000	3,136 24	2,812 60	(324)	6.346%	178	1.14
INTEL CORP	(Q) CASH	160	INTC	22 42590	36 27000	3,588.14	5,803 20	2,215	2 867%	166	2.36
JPMORGAN CHASE & CO COM	(I) CASH	115	JPM	50.34710	86 29000	5,789.92	9,923.35	4,133	2.225%	220	4 04
JOHNSON & JOHNSON	(L) CASH	65	JNJ	57 22630	115 21000	3,719.71	7,488.65	3,769	2 777%	208	3.05
KIMBERLY CLARK CORP	(F) CASH	50	KMB	68 39000	114.12000	3,419.50	5,706 00	2,287	3.224%	184	2 32
LILLY ELI & CO	(L) CASH	55	LLY	48.20850	73.55000	2,651.47	4,045 25	1,394	2.828%	114	1 65
MERCK & CO INC	(L) CASH	120	MRK	33.01600	58.87000	3,961.92	7,064 40	3,102	3 193%	225	2.87
METLIFE INC	(I) CASH	100	MET	40.55240	53 89000	4,055 24	5,389 00	1,334	2 969%	160	2.19
MICROSOFT CORP	(Q) CASH	120	MSFT	25 18950	62.14000	3,022.74	7,456.80	4,434	2.510%	187	3 03
NEXTERA ENERGY INC	(T) CASH	60	NEE	49 31620	119 46000	2,958.97	7,167 60	4,209	2.913%	208	2.92
NOVARTIS A G SPONSORED ADR	(L) CASH	65	NVS	73.70250	72 84000	4,790 66	4,734 60	(56)	3 161%	149	1 93
PFIZER INC	(L) CASH	150	PFE	29.44180	32.48000	4,416 27	4,872 00	456	3.940%	192	1 98
PHILIP MORRIS INTL INC	(C) CASH	75	PM	55 16810	91 49000	4,137 61	6,861.75	2,724	4 546%	312	2 79
RAYTHEON CO COM NEW	(Q) CASH	40	RTN	51 62280	142 00000	2,064.91	5,680 00	3,615	2.063%	117	2.31
ROYAL DUTCH SHELL PLC SPON ADR B	(G) CASH	85	RDSB	71.09050	57 97000	6,042 69	4,927 45	(1,115)	6.486%	319	2.00
3M CO	(L) CASH	55	MMM	58.59800	178.57000	3,222.89	9,821 35	6,598	2.486%	244	3.99
TRAVELERS COMPANIES INC	(I) CASH	50	TRV	39 51620	122.42000	1,975.81	6,121 00	4,145	2.189%	134	2.49
UNILEVER N V N Y SHS NEW	(J) CASH	140	UN	24 48000	41 06000	3,427.20	5,748 40	2,321	2 902%	166	2.34
WELLS FARGO & CO	(I) CASH	120	WFC	56.24210	5 11000	6,749.05	6,613 20	(136)	2.758%	[illegible]	2.69

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ATTN MR DAVID F HAGEN

Page	Account Number	Financial Advisor	Period Ending
5 of 6		ELMORE JR WILLIAM - NB4	12/31/16

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
WELLTOWER INC REIT	(I) CASH	115	HCN	46 33970	66.93000	5,329.06	7,696.95	2,368	5.139%	395	3.13
SUB-TOTAL COMMON STOCK						**158,066.25**	**232,354.35**	**74,288**		**7,576**	**94.50**
TOTAL EQUITIES						**158,066.25**	**232,354.35**	**74,288**		**7,576**	**94.50**

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Page	Account Number	Financial Advisor	Period Ending
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Equities

Please note the following icon appears to the right of the stock symbol of those securities which Oppenheimer has provided research coverage. If you wish to access such research you may visit the Client Access web site (www opco com) or speak with your Financial Advisor.

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
UBS GROUP AG	(I) CASH	247	UBS	19.91640	15 67000	4,919 34	3,870 49	(1,049)	4 366%	168	2 20
NXP SEMICONDUCTORS N V	(Q) CASH	32	NXPI	82 73250	98 01000	2,647 44	3,136.32	489			1 78
YANDEX N V SHS CLASS A	(N) CASH	91	YNDX	19 31860	20 13000	1,757 99	1,831 83	74			1 04
ANHUI CONCH CEM CO LTD ADR	(C) CASH	196	AHCHY	12.44860	14.00600	2,439 92	2,745 17	305	1 852%	50	1.56
ATLANTIA SPA ADR	(E) CASH	277	ATASY	13.36650	11 64000	3,702 53	3,224 28	(478)	2.801%	90	1 83
AVIVA PLC UNSPONSORED ADR	(I) CASH	374	AVVIY	16 91760	11 81000	6,327 20	4,416 94	(1,910)	4.659%	205	2.51
AXA SA SPONSORED ADR	(I) CASH	146	AXAHY	23 23730	25 20000	3,392.65	3,679.20	287	4 036%	148	2 09
BNP PARIBAS SPONSORED ADR	(I) CASH	143	BNPQY	25 43380	31.85000	3,637 04	4,554 55	918	3.480%	158	2.59
BYD CO LTD ADR	(F) CASH	131	BYDDY	13 80690	10.41400	1,808 70	1,364 23	(444)	0 823%	11	0.77

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Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
BAIDU INC SPON ADR REP A SUBJECT TO CUSTODY FEE	(Q) CASH	11	BIDU	160.56550	164.41000	1,766.22	1,808 51	42			1 03
CANADIAN PAC RY LTD	(S) CASH	12	CP	151 42000	142.77000	1,817.04	1,713 24	(104)	1 066%	18	0.97
CARREFOUR SA SPONSORED ADR	(J) CASH	364	CRRFY	5 12020	4 84000	1,863.76	1,761 76	(102)	2.233%	39	1.00
CHINA MOBILE LIMITED SPONSORED ADR	(R) CASH	34	CHL	52 66000	52 43000	1,790.44	1,782 62	(8)	2.970%	52	1 01
CHINA PETE & CHEM CORP SPON ADR H SHS	(G) CASH	51	SNP	71 00410	71 02000	3,621 21	3,622 02	1	2 620%	94	2 06
COMPAGNIE DE ST GOBAIN ADR	(C) CASH	377	CODYY	9 04920	9 22000	3,411.54	3,475.94	64	2.147%	74	1 97
COMPAGNIE FIN RICHEMONTAG SWI ADR	(C) CASH	633	CFRUY	6 22610	6 56000	3,941 15	4,152 48	211	1 522%	63	2 36
CTRIP COM INTL LTD AMERICAN DEP SHS SUBJECT TO CUSTODY FEE	(H) CASH	73	CTRP	41 57590	40 00000	3,035 04	2,920.00	(115)			1.66
DAIKIN INDS LTD ADR	(F) CASH	12	DKILY	188.95000	184.35000	2,267.40	2,212 20	(55)	1 010%	22	1.26
DAIMLER AG SPONSORED ADR	(S) CASH	47	DDAIY	67 52450	74 35000	3,173 65	3,494 45	321	3.638%	127	1.98
DEUTSCHE TELEKOM AG SPONSORED ADR	(R) CASH	194	DTEGY	17 03760	17 10000	3,305.29	3,317.40	12	3.471%	115	1 88
EAST JAPAN RAILWAY CO ADR	(S) CASH	279	EJPRY	15 71250	14.33000	4,383 78	3,998 07	(386)	0 979%	39	2.27
ELECTRICITE DE FRANCE ADR	(T) CASH	814	ECIFY	2 18000	1.98000	1,774 56	1,611 72	(163)	7 735%	124	0.92
FERROVIAL S A ADR	(E) CASH	180	FRRVY	20.68340	17 84000	3,723 02	3,211 20	(512)			1.82
FRESENIUS SE & CO KGAA SPONSORED ADR	(L) CASH	153	FSNUY	17 62430	19.48000	2,696 52	2,980 44	284	0 512%	15	1.69
GLAXOSMITHKLINE PLC SPONSORED ADR	(L) CASH	86	GSK	41 62640	38.51000	3,579.87	3,311 86	(268)	5 367%	177	1.88
HEINEKEN N V SPONSORED ADR L1	(J) CASH	91	HEINY	40 54220	37.41000	3,689 34	3,404 31	(285)	1.676%	57	1.93
HONG KONG EXCHANGES & CLEARING ADR	(I) CASH	181	HKXCY	26 13130	23.50000	4,729.77	4,253.50	(476)	2.449%	104	2.42

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ATTN DAVID F HAGEN

Page	Account Number	Financial Advisor	Period Ending
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Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
HOWDEN JOINERY GROUP PLC ADR	(F) CASH	38	HWDJY	23 48680	19 59000	892.50	744.42	(148)	2 548%	18	0.42
IBERDROLA SA SPON ADR	(T) CASH	98	IBDRY	28 01960	26 23000	2,745.92	2,570.54	(175)	3 673%	94	1 46
INFINEON TECHNOLOGIES AG SPONSORED ADR	(Q) CASH	113	IFNNY	15 09120	17 28000	1,705 31	1,952.64	247	1 196%	23	1 11
ING GROEP N V SPONSORED ADR	(L) CASH	287	ING	13 62840	14 10000	3,911.35	4,046 70	135	4.238%	171	2.30
INGENICO GROUP ADR	(Q) CASH	119	INGIY	20.68020	15 83000	2,460 94	1,883 77	(577)	1.307%	24	1 07
INTESA SANPAOLO S P A SPON ADR	(I) CASH	143	ISNPY	12 40270	15 23000	1,773.59	2,177 89	404	4.409%	96	1.24
JAPAN ARPT TERM CO LTD ADR	(C) CASH	197	JTTRY	19 31210	17 73000	3,804.48	3,492 81	(312)	0.248%	8	1 98
JAPAN EXCHANGE GROUP INC ADR	(I) CASH	472	JPXGY	7 58190	7 14000	3,578 65	3,370.08	(209)	2 042%	66	1 91
JD COM INC SPON ADR CL A	(F) CASH	177	JD	24 76160	25 44000	4,382 81	4,502 88	120			2.56
KOMATSU LTD SPON ADR NEW	(E) CASH	115	KMTUY	23 80370	22 68000	2,737 42	2,608.20	(129)	1.829%	47	1.48
KONINKLIJKE PHILIPS N V NY REG SH NEW	(E) CASH	116	PHG	26.23340	30.57000	3,043 08	3,546 12	503	2 506%	88	2.01
LAFARGEHOLCIM ADR	(C) CASH	347	HCMLY	10.08060	10.52000	3,497.98	3,650.44	152	2 531%	92	2.07
LEGAL & GEN GROUP PLC SPONSORED ADR	(I) CASH	55	LGGNY	15.33530	15 08000	843 44	829.40	(14)	6.112%	50	0.47
LINE CORP SPONSORED ADR SUBJECT TO CUSTODY FEES	(Q) CASH	42	LN	43.17810	34 01000	1,813 48	1,428 42	(385)			0 81
LLOYDS BANKING GROUP PLC SPONSORED ADR	(I) CASH	665	LYG	4.48460	3 10000	2,982.23	2,061 50	(921)	4 234%	87	1 17
MITSUBISHI UFJ FINL GROUP INC SPONSORED ADR	(I) CASH	359	MTU	5 07870	6 16000	1,823 25	2,211 44	388	2 377%	52	1 26
NATIONAL GRID PLC SPON ADR NEW	(G) CASH	28	NGG	64.62000	58 33000	1,809 36	1,633 24	(176)	5.069%	82	0.93
NESTLE S A SPONSORED ADR	(J) CASH	37	NSRGY	72.96000	71.74000	2,699 52	2,654 38	(45)	2.713%	72	1.51

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6 of 11		ELMORE JR. WILLIAM - NB4	12/31/16

Common Stock

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NIKE INC CL B	(F) CASH	55	NKE	58 55760	50.83000	3,220.67	2,795.65	(425)	1.416%	39	1.59
NIPPON SHINYAKU CO LTD ADR	(L) CASH	138	NPPNY	12 40000	11.75000	1,711 20	1,621.50	(90)			0 92
NIPPON TELEG & TEL CORP SPONSORED ADR	(R) CASH	94	NTT	39.10120	42.07000	3,675 51	3,954.58	279	2.340%	92	2 25
NOVARTIS A G SPONSORED ADR	(L) CASH	60	NVS	84.47480	72.84000	5,068 49	4,370.40	(698)	3.161%	138	2.48
POTASH CORP SASK INC	(C) CASH	138	POT	17.66910	18 09000	2,438.33	2,496 42	58	2.211%	55	1.42
RECKITT BENCKISER PLC SPONSORED ADR	(L) CASH	99	RBGLY	18.11850	16 80000	1,793.73	1,663.20	(131)	2.295%	38	0.94
ROYAL DUTCH SHELL PLC SPONS ADR A	(G) CASH	73	RDSA	51.24590	54 38000	3,740.95	3,969.74	229	5 877%	233	2 25
SEVEN & I HLDGS CO LTD ADR	(J) CASH	95	SVNDY	21.50350	18 96000	2,042.83	1,801.20	(242)	1 568%	28	1.02
SHIN ETSU CHEM CO LTD ADR	(C) CASH	135	SHECY	19.20730	19 33000	2,592 98	2,609 55	17	0.986%	25	1 48
SHIRE PLC SPONSORED ADR	(L) CASH	18	SHPG	196 46830	170.38000	3,536.43	3,066 84	(470)	0.471%	14	1.74
SONY CORP ADR NEW	(H) CASH	57	SNE	26 67420	28 03000	1,520.43	1,597 71	77	0.544%	8	0.91
TOTAL S A SPONSORED ADR	(G) CASH	98	TOT	45.31210	50.97000	4,440 59	4,995 06	554	4.479%	223	2.84
TOYOTA MOTOR CORP SP ADR REP2COM	(S) CASH	36	TM	103.04500	117 20000	3,709.62	4,219 20	510	2 865%	120	2 40
VINCI S A ADR	(T) CASH	142	VCISY	15.54650	16.97000	2,207.60	2,409 74	202	2 193%	52	1.37
YUM CHINA HLDGS INC	(I) CASH	66	YUMC	26.48980	26.12000	1,748 33	1,723 92	(24)			0.98

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7 of 11		ELMORE JR. WILLIAM - NB4	12/31/16

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
ZTO EXPRESS CAYMAN INC SPONSORED ADR SUBJECT TO CUSTODY FEES	(P) CASH	76	ZTO	17.69000	12.07000	1,344 44	917 32	(427)			0.52
SUB-TOTAL COMMON STOCK						**176,499.85**	**171,431.63**	**(5,070)**		**4,112**	**97.35**
TOTAL EQUITIES						**176,499.85**	**171,431.63**	**(5,070)**		**4,112**	**97.35**