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Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052
2016
Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation HAUENSTEIN FOUNDATION		A Employer identification number 38-2898214	
% RALPH D HAUENSTEIN			
Number and street (or P O box number if mail is not delivered to street address) 6768 N WHITEFISH ROAD		B Telephone number (see instructions) (616) 632-8021	
Room/suite			
City or town, state or province, country, and ZIP or foreign postal code HOWARD CITY, MI 49329		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 1,012,970		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	1,000,000			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	2,412	2,412		
	4 Dividends and interest from securities	5,644	5,644		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	59,297			
	b Gross sales price for all assets on line 6a 119,244				
	7 Capital gain net income (from Part IV, line 2)		59,297		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	1,067,353	67,353			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	3,951	1,975	0	0
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	3,000			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	180	150		
	24 Total operating and administrative expenses. Add lines 13 through 23	7,131	2,125	0	0
	25 Contributions, gifts, grants paid	300,000			300,000
26 Total expenses and disbursements. Add lines 24 and 25	307,131	2,125	0	300,000	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	760,222				
b Net investment income (if negative, enter -0-)		65,228			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	2	17,444	17,444
	2 Savings and temporary cash investments	203,368	770,000	759,735
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	59,947	78,822	74,236
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	0	157,273	161,555
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	263,317	1,023,539	1,012,970	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	166,338	166,338	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds	96,979	857,201		
30 Total net assets or fund balances (see instructions)	263,317	1,023,539		
31 Total liabilities and net assets/fund balances (see instructions) .	263,317	1,023,539		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	263,317
2 Enter amount from Part I, line 27a	2	760,222
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	1,023,539
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,023,539

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a PUBLICLY TRADED SECURITIES			
b PUBLICLY TRADED SECURITIES			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,890		2,627	263
b 116,354		57,320	59,034
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			263
b			59,034
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	59,297
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	111,588	351,705	0.317277
2014	164,860	459,304	0.358934
2013	58,250	425,448	0.136914
2012	70,500	397,396	0.177405
2011	60,600	381,883	0.158687

2 Total of line 1, column (d)	2	1.149217
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.229843
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	649,680
5 Multiply line 4 by line 3	5	149,324
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	652
7 Add lines 5 and 6	7	149,976
8 Enter qualifying distributions from Part XII, line 4	8	300,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, domestic foundations, tax under section 511, subtitle A tax, and total tax due. Total tax due is 1,869.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, 'Yes', and 'No' responses. Questions cover political activities, unrelated business income, and asset requirements.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-13 regarding controlled entities, donor advised funds, and public inspection requirements.

14 The books are in care of RALPH D HAUENSTEIN Telephone no (616) 632-8021

Located at 6768 N WHITEFISH ROAD HOWARD CITY MI ZIP+4 49329

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year. 15

16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? Yes No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-1c, 2a-2c, 3a-3b, 4a-4b regarding Form 4720 exceptions and requirements.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No

Organizations relying on a current notice regarding disaster assistance check here.

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RALPH D HAUENSTEIN 6768 N WHITEFISH ROAD HOWARD CITY, MI 49329	PRESIDENT 2 0	0	0	0
WILLIAM F ROTH 200 OTTAWA AVE NW SUITE 300 GRAND RAPIDS, MI 49503	SECRETARY 2 0	0	0	0
RALPH D HAUENSTEIN 6768 WHITEFISH RD HOWARD CITY, MI 49329	TREASURER 2 0	0	0	0
JAMES G STAHL 8585 ANCHOR ON LANIER GAINESVILLE, GA 30506	VICE PRESIDENT 2 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	488,646
b	Average of monthly cash balances.	1b	170,928
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	659,574
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	659,574
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	9,894
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	649,680
6	Minimum investment return. Enter 5% of line 5.	6	32,484

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	32,484
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	652
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	652
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	31,832
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	31,832
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	31,832

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	300,000
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	300,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	652
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	299,348

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				31,832
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 2014, 2013, 2012		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.	41,701			
b From 2012.	51,836			
c From 2013.	38,554			
d From 2014.	143,075			
e From 2015.	98,027			
f Total of lines 3a through e.	373,193			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>300,000</u>				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				31,832
e Remaining amount distributed out of corpus	268,168			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	641,361			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	41,701			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	599,660			
10 Analysis of line 9				
a Excess from 2012.	51,836			
b Excess from 2013.	38,554			
c Excess from 2014.	143,075			
d Excess from 2015.	98,027			
e Excess from 2016.	268,168			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> TIMOTHY C HAUENSTEIN REYNOLDS LIBRARY 117 W WILLIAMS ST HOWARD CITY, MI 49329	NONE	PC	ASSIST LIBRARY WITH DEBT RETIREMENT	50,000
AQUINAS COLLEGE HAUENSTEIN LIBRARY 1700 FULTON STREET EAST GRAND RAPIDS, MI 49506	NONE	PC	MAINTAINING THE LIBRARY	150,000
GERALD R FORD PRESIDENTIAL FOUNDATION 303 PEARL ST NW GRAND RAPIDS, MI 49504	NONE	PC	"A LEGACY OF INTEGRITY" CAPITAL CAMPAIGN	100,000
Total			▶ 3a	300,000
b <i>Approved for future payment</i>				
Total			▶ 3b	

TY 2016 Accounting Fees Schedule**Name:** HAUENSTEIN FOUNDATION**EIN:** 38-2898214

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE	3,951	1,975		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: HAUENSTEIN FOUNDATION

EIN: 38-2898214

TY 2016 Investments Corporate Stock Schedule**Name:** HAUENSTEIN FOUNDATION**EIN:** 38-2898214

Name of Stock	End of Year Book Value	End of Year Fair Market Value
STOCK - SEE ATTACHED LIST	78,822	74,236

TY 2016 Investments - Other Schedule

Name: HAUENSTEIN FOUNDATION

EIN: 38-2898214

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
FUNDS - SEE ATTACHED LIST	FMV	157,273	161,555

TY 2016 Other Expenses Schedule**Name:** HAUENSTEIN FOUNDATION**EIN:** 38-2898214**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ANNUAL ACCOUNT FEE	150	150		
WIRE TRANSFER FEE	30	0		

TY 2016 Other Income Schedule**Name:** HAUENSTEIN FOUNDATION**EIN:** 38-2898214**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
NONDIVIDEND DISTRIBUTIONS			

TY 2016 Taxes Schedule**Name:** HAUENSTEIN FOUNDATION**EIN:** 38-2898214

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL INCOME TAX	3,000			

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors
▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at
www.irs.gov/form990

OMB No 1545-0047
2016

Name of the organization
HAUENSTEIN FOUNDATION

Employer identification number
38-2898214

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹ 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization HAUENSTEIN FOUNDATION	Employer identification number 38-2898214
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Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RALPH W HAUENSTEIN ESTATE 3739 COOK VALLEY BLVD SE	\$ 500,000	Person <input checked="" type="checkbox"/>
	GRAND RAPIDS, MI49546		Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
2	RALPH W HAUENSTEIN ESTATE 3739 COOK VALLEY BLVD SE	\$ 250,000	Person <input checked="" type="checkbox"/>
	GRAND RAPIDS, MI49546		Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
3	RALPH W HAUENSTEIN ESTATE 3739 COOK VALLEY BLVD SE	\$ 250,000	Person <input checked="" type="checkbox"/>
	GRAND RAPIDS, MI49546		Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
.		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
.		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
.		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>

Name of organization HAUENSTEIN FOUNDATION	Employer identification number 38-2898214
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee



Fiscal Statement

15 Merrill Lynch

WILLIAM ROTH

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Cash and Money Funds							
17,443	CASH ML BANK DEPOSIT PROGRAM	01/09/15	0.56 17,443.00	17,443.00			1.74
	Total Cash and Money Funds		17,443.56	17,443.56			1.74
Certificates of Deposit and Equivalents							
75,000	CD WELLS FARGO BANK NA SIOUX FALLS SD 01.100% APR 06 2018	03/31/16	75,000.00	75,021.75	21.75	54.25	825.00
75,000	CD WELLS FARGO BANK NA SIOUX FALLS, SD 01.250% APR 08 2019	03/31/16	75,000.00	75,045.75	45.75	61.64	938.00
75,000	CD BANK OF INDIA NY NEW YORK NY 00.700% APR 05 2017	03/31/16	75,000.00	75,021.00	21.00	384.04	525.00
165,000	CD DISCOVER BANK GREENWOOD, DE 01.900% NOV 09 2023	11/03/16	165,000.00	158,779.50	(6,220.50)	438.04	3,135.00
55,000	CD CAPITAL ONE NA MCLEAN, VA 01.800% NOV 09 2021	11/03/16	55,000.00	53,483.65	(1,516.35)	138.33	990.00
75,000	CD CAPITAL ONE BK USA NA GLEN ALLEN, VA 01.250% APR 13 2020	04/06/16	75,000.00	75,048.75	48.75	200.34	938.00

PLEASE SEE REVERSE SIDE
 Page 5 of 19
 Statement Period Year Ending 12/31/16
 Account No. 676-04F38



EMATM Fiscal Statement

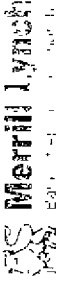
WILLIAM ROTH

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Certificates of Deposit and Equivalents							
75,000	CD GOLDMAN SACHS BK USA NEW YORK, NY 01.600% APR 13 2021	04/06/16	75,000.00	74,064.75	(935.25)	256.44	1,200.00
35,000	CD BANK OF CHINA NEW YORK, NY 00.800% JUL 20 2017	07/12/16	35,000.00	34,992.65	(7.35)	125.04	280.00
35,000	CD ENERBANK USA SALT LAKE CITY, UT 01.000% JUL 30 2018	07/12/16	35,000.00	34,879.95	(120.05)	1.92	350.00
35,000	CD DISCOVER BANK GREENWOOD, DE 01.500% JUL 20 2021	07/12/16	35,000.00	34,280.40	(719.60)	234.45	525.00
35,000	CD CAPITAL ONE BK USA NA GLEN ALLEN, VA 01.150% JUL 22 2019	07/12/16	35,000.00	34,657.70	(342.30)	179.75	403.00
35,000	CD CAPITAL ONE BK USA NA GLEN ALLEN, VA 01.300% JUL 20 2020	07/12/16	35,000.00	34,459.25	(540.75)	203.19	455.00
Total Certificates of Deposit and Equivalents			770,000.00	759,735.10	(10,264.90)	2,277.43	10,564.00



Fiscal Statement



WILLIAM ROTH

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
	Equities					
300	DUKE ENERGY CORP NEW	03/31/16	24,435.03	23,286.00	(1,149.03)	1,026.00
2	DUKE ENERGY CORP NEW	06/17/16	165.14	155.24	(9.90)	7.00
1	DUKE ENERGY CORP NEW	09/19/16	82.57	77.62	(4.95)	4.00
3	DUKE ENERGY CORP NEW	09/19/16	240.07	232.86	(7.21)	11.00
3	DUKE ENERGY CORP NEW	12/19/16	231.09	232.86	1.77	11.00
	(.6337 FRACTIONAL SHARE)	12/19/16	49.52	49.19	(0.33)	3.00
650	PPL CORPORATION	03/31/16	24,958.17	22,132.50	(2,825.67)	988.00
6	PPL CORPORATION	07/05/16	225.74	204.30	(21.44)	10.00
7	PPL CORPORATION	10/04/16	237.26	238.35	1.09	11.00
	(.9258 FRACTIONAL SHARE)	10/04/16	33.49	31.52	(1.97)	2.00
500	VERIZON COMMUNICATNS COM	03/31/16	27,301.48	26,690.00	(611.48)	1,156.00
5	VERIZON COMMUNICATNS COM	05/03/16	255.54	266.90	11.36	12.00
5	VERIZON COMMUNICATNS COM	08/02/16	272.49	266.90	(5.59)	12.00
6	VERIZON COMMUNICATNS COM	11/02/16	286.11	320.28	34.17	14.00
	(.9543 FRACTIONAL SHARE)	11/02/16	48.95	50.94	1.99	3.00
	Total Equities		78,822.65	74,235.46	(4,587.19)	3,270.00

PLEASE SEE REVERSE SIDE
Page 7 of 19
Statement Period Year Ending 12/31/16
Account No 676-04F38



EMASM Fiscal Statement

WILLIAM ROTH

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Mutual Funds/Closed End Funds/UIT						
640	ISHARES U.S. PREFERRED STOCK ETF	03/31/16	25,275.61	23,814.40	(1,461.21)	1,394.00
670	VANGUARD 500 INDEX FUND SHS ETF	07/12/16	131,819.53	137,557.70	5,738.17	2,773.00
	.8929 Fractional Share	12/30/16	177.55	183.32	5.77	4.00
	Total Mutual Funds/Closed End Funds/UIT		157,272.69	161,555.42	4,282.73	4,171.00

PLEASE SEE REVERSE SIDE
 Page 8 of 19
 Statement Period Year Ending 12/31/16
 Account No. 676-04F38

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