efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491318018747

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
DOROTHY U DALTON FOUNDATION INC

A Employer identification number
38-2240062

Number and street (or P O box number if mail is not delivered to street address) Room/suite
C/O GREENLEAF TR 211 S ROSE ST

B Telephone number (see instructions)
(269) 388-9800

City or town, state or province, country, and ZIP or foreign postal code
KALAMAZOO, MI 49007

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 32,206,677

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) ________
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	9,498	9,498		
	4	Dividends and interest from securities	607,173	607,173		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	1,185,806			
	b	Gross sales price for all assets on line 6a 11,786,670				
	7	Capital gain net income (from Part IV, line 2)		1,185,806		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	3,500	0		
	12	**Total.** Add lines 1 through 11	1,805,977	1,802,477		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	2,063	0		2,063
	b	Accounting fees (attach schedule)	8,860	4,430		4,430
	c	Other professional fees (attach schedule)	169,853	147,263		22,590
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	9,454	9,454		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	200	0		200
	22	Printing and publications				
	23	Other expenses (attach schedule)	7,495	0		7,495
	24	**Total operating and administrative expenses.** Add lines 13 through 23	197,925	161,147		36,778
	25	Contributions, gifts, grants paid	1,599,100			1,599,100
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,797,025	161,147		1,635,878
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	8,952			
	b	**Net investment income** (if negative, enter -0-)		1,641,330		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	5,814	10,214	10,214
	2	Savings and temporary cash investments	4,435,689	3,171,019	3,171,019
	3	Accounts receivable ▶ 12,944			
		Less allowance for doubtful accounts ▶		12,944	12,944
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	753,433	1,660,256	1,629,125
	b	Investments—corporate stock (attach schedule)	13,296,848	10,812,049	12,362,544
	c	Investments—corporate bonds (attach schedule)	4,362,463	3,979,998	3,861,270
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	7,558,267	10,774,986	11,159,561
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	30,412,514	30,421,466	32,206,677
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	14,119,390	14,119,390	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	16,293,124	16,302,076	
	30	**Total net assets or fund balances** (see instructions)	30,412,514	30,421,466	
	31	**Total liabilities and net assets/fund balances** (see instructions)	30,412,514	30,421,466	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	30,412,514
2	Enter amount from Part I, line 27a	2	8,952
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	30,421,466
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	30,421,466

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 ; If (loss), enter -0- in Part I, line 7 } **2** 1,185,806

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . . **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	1,791,637	33,958,560	0 052760
2014	2,118,136	35,377,868	0 059872
2013	1,666,593	32,837,963	0 050752
2012	1,799,085	31,095,946	0 057856
2011	1,395,046	30,682,479	0 045467

2 Total of line 1, column (d)	2	0 266707
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 053341
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	31,494,350
5 Multiply line 4 by line 3	5	1,679,940
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	16,413
7 Add lines 5 and 6	7	1,696,353
8 Enter qualifying distributions from Part XII, line 4	8	1,635,878

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	32,827
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2.			3	32,827
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	32,827
6	Credits/Payments				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	37,774		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	5,000		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d.			7	42,774
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	9,947
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 9,947 **Refunded** ▶			11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?.	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶n/a

14 The books are in care of ▶RN KILGORE GREENLEAF TRUST Telephone no ▶(269) 388-9800

Located at ▶211 SOUTH ROSE STREET KALAMAZOO MI ZIP+4 ▶49007

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No

			Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?.	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?. ☐ Yes ☑ No
If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No

b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No *If "Yes," attach the statement required by Regulations section 53 4945–5(d)*			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870*	**6b**		**No**
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation (**If not paid, enter -0-**)	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
GREENLEAF TRUST 211 SOUTH ROSE ST KALAMAZOO, MI 49007	ASSET MANAGEMENT	169,853
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	28,213,501
b	Average of monthly cash balances.		1b	3,760,458
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	31,973,959
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	0
3	Subtract line 2 from line 1d.		3	31,973,959
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	479,609
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	31,494,350
6	**Minimum investment return.** Enter 5% of line 5.		6	1,574,718

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.		1	1,574,718
2a	Tax on investment income for 2016 from Part VI, line 5.	2a 32,827		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	32,827
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	1,541,891
4	Recoveries of amounts treated as qualifying distributions.		4	3,500
5	Add lines 3 and 4.		5	1,545,391
6	Deduction from distributable amount (see instructions).		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	1,545,391

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	1,635,878
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,635,878
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	1,635,878

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					1,545,391
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only.				0	
b Total for prior years 20___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2016					
a From 2011.					
b From 2012.	540,622				
c From 2013.	70,394				
d From 2014.	421,509				
e From 2015.	120,103				
f **Total** of lines 3a through e.		1,152,628			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 1,635,878					
a Applied to 2015, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2016 distributable amount.					1,545,391
e Remaining amount distributed out of corpus		90,487			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,243,115			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .		0			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		1,243,115			
10 Analysis of line 9					
a Excess from 2012. . . .	540,622				
b Excess from 2013. . . .	70,394				
c Excess from 2014. . . .	421,509				
d Excess from 2015. . . .	120,103				
e Excess from 2016. . . .	90,487				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

RONALD N KILGORE GREENLEAF TRUST
211 SOUTH ROSE STREET
KALAMAZOO, MI 49007
(269) 388-9800

b The form in which applications should be submitted and information and materials they should include

APPLICANTS SHOULD SUBMIT A BRIEF DESCRIPTION AND BUDGET OF THE PROJECT OR PROGRAM THAT THE GRANT IS INTENDED TO FUND

c Any submission deadlines

THE BOARD MEETS TO ACT ON APPLICATIONS IN June, september and December

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO GRANTS OR LOANS WILL BE GIVEN TO INDIVIDUALS

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				1,599,100
b *Approved for future payment* See Additional Data Table				
Total . . . . ▶ **3b**				939,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	9,498	
4 Dividends and interest from securities. . . .			14	607,173	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	1,185,806	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a GRANT RECOVERIES					3,500
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		1,802,477	3,500

13 Total. Add line 12, columns (b), (d), and (e). 13 1,805,977

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
11a	GRANT RECOVERIES

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-11-06	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
James G Thompson CPA		2017-11-06		P00053844
Firm's name ▶ PLANTE & MORAN PLLC				Firm's EIN ▶38-1357951
Firm's address ▶ 750 Trade Centre Way Ste 300 Portage, MI 49002				Phone no (269) 567-4500

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
600 SHS AFLAC INC	P	2013-10-11	2016-08-29
200 SHS AFLAC INC	P	2014-03-14	2016-08-29
2,000 SHS AFLAC INC	P	2013-10-11	2016-10-05
125,000 SHS AMGEN	P	2012-05-24	2016-06-15
1,425 SHS APPLE INC	P	2012-05-17	2016-10-05
75 SHS APPLE INC	P	2012-12-17	2016-10-05
1,588 SHS BANKUNITED INC	P	2014-04-24	2016-04-12
2,197 SHS BANKUNITED INC	P	2014-04-21	2016-04-12
4,532 SHS BANKUNITED INC	P	2014-04-23	2016-04-12
6,183 SHS BANKUNITED INC	P	2014-04-22	2016-04-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
44,324		38,543	5,781
14,775		12,895	1,880
143,806		128,478	15,328
125,000		126,822	-1,822
161,052		108,324	52,728
8,476		5,478	2,998
53,476		53,958	-482
73,984		72,986	998
152,615		151,734	881
208,213		206,065	2,148

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,781
			1,880
			15,328
			-1,822
			52,728
			2,998
			-482
			998
			881
			2,148

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
250,000 SHS BROADCOM CORP	P	2012-04-24	2016-02-03
3,000 SHS BROADRIDGE FINANCIAL SOLUT	P	2013-05-31	2016-03-07
2,940 SHS BROADRIDGE FINANCIAL SOLUT	P	2013-05-31	2016-04-20
3,520 SHS BROADRIDGE FINANCIAL SOLUT	P	2013-05-31	2016-04-21
3,065 SHS BROADRIDGE FINANCIAL SOLUT	P	2013-05-31	2016-04-22
475 SHS CELGENE CORPORATION	P	2014-02-11	2016-05-03
2,625 SHS CELGENE CORPORATION	P	2014-02-11	2016-05-17
2,185 SHS CELGENE CORPORATION	P	2012-06-14	2016-05-17
1,737 SHS CINEMARK HOLDINGS INC	P	2014-06-27	2016-04-12
1,355 SHS CINEMARK HOLDINGS INC	P	2014-06-25	2016-04-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
252,500		257,540	-5,040
171,324		81,734	89,590
177,554		80,099	97,455
210,871		95,901	114,970
183,639		83,505	100,134
48,963		37,565	11,398
264,447		207,595	56,852
220,121		71,622	148,499
58,556		60,528	-1,972
45,679		46,130	-451

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5,040
			89,590
			97,455
			114,970
			100,134
			11,398
			56,852
			148,499
			-1,972
			-451

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
49 SHS CINEMARK HOLDINGS INC	P	2014-06-26	2016-04-12
2,543 SHS CINEMARK HOLDINGS INC	P	2014-07-01	2016-04-12
2,621 SHS CINEMARK HOLDINGS INC	P	2014-07-02	2016-04-12
3,039 SHS CINEMARK HOLDINGS INC	P	2014-06-24	2016-04-13
2,656 SHS CINEMARK HOLDINGS INC	P	2014-06-25	2016-04-13
325,000 SHS COMCAST CORP 6 5000%	P	2014-01-22	2016-02-03
1,000 DOVER CORPORATION	P	2015-01-09	2016-03-30
5,700 SHS DOVER CORPORATION	P	2013-03-04	2016-03-30
6,225 EXPRESS SCRIPTS HOLDING CO	P	2014-04-25	2016-01-21
3,550 SHS EXPRESS SCRIPTS HOLDING CO	P	2014-04-25	2016-03-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,652		1,676	-24
85,728		90,777	-5,049
88,357		92,916	-4,559
104,080		102,279	1,801
90,963		90,422	541
341,208		373,503	-32,295
64,426		70,644	-6,218
367,231		342,025	25,206
447,038		442,899	4,139
247,459		252,577	-5,118

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-24
			-5,049
			-4,559
			1,801
			541
			-32,295
			-6,218
			25,206
			4,139
			-5,118

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
950 SHS EXPRESS SCRIPTS HOLDING CO	P	2014-07-01	2016-03-15
250,000 SHS FEDERAL FARM CREDIT BANK	P	2012-12-11	2016-07-05
6,550 SHS FRANKLIN RESOURCES INC	P	2013-08-13	2016-03-24
1,200 SHS GILEAD SCIENCES INC	P	2015-04-20	2016-12-15
5,165 SHS IAC/INTERACTIVECORP	P	2013-11-15	2016-02-10
1,723 SHS IAC/INTERACTIVECORP	P	2013-11-14	2016-03-15
3,117 SHS IAC/INTERACTIVECORP	P	2013-11-15	2016-03-15
250,000 SHS JUNIPER NETWORKS INC	P	2012-04-24	2016-03-15
3,674 28 SHS ALPHASIMPLEX MANAGED FUTUR	P	2014-01-14	2016-02-03
3,100 788 SHS ALPHASIMPLEX MANAGED FUTUR	P	2013-04-29	2016-02-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
66,222		65,782	440
250,000		251,250	-1,250
248,567		321,167	-72,600
90,505		121,106	-30,601
210,864		299,254	-88,390
78,904		99,686	-20,782
142,741		180,596	-37,855
250,000		261,253	-11,253
40,674		37,000	3,674
34,326		31,008	3,318

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			440
			-1,250
			-72,600
			-30,601
			-88,390
			-20,782
			-37,855
			-11,253
			3,674
			3,318

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
13,325 SHS NETAPP INC	P	2012-10-26	2016-03-30
1,600 SHS NORFOLK SOUTHERN CORP	P	2012-05-17	2016-03-08
300 SHS NORFOLK SOUTHERN CORP	P	2012-10-26	2016-03-08
250,000 SHS OHIO POWER CO	P	2012-05-08	2016-06-01
2,400 SHS OMNICOM GROUP INC	P	2012-11-26	2016-04-20
9,500 SHS PAYPAL HLDGS INC	P	2014-02-04	2016-01-12
500 SHS PEPSICO INC	P	1999-04-28	2016-08-29
1,500 SHS PEPSICO INC	P	1997-10-06	2016-10-05
500 SHS PEPSICO INC	P	1997-11-04	2016-10-05
600 SHS POLARIS INDUSTRIES INC	P	2015-11-30	2016-12-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
355,082		371,937	-16,855
123,221		106,215	17,006
23,104		18,866	4,238
250,000		291,548	-41,548
203,193		113,707	89,486
312,476		299,992	12,484
53,898		18,975	34,923
160,671		56,149	104,522
53,557		18,762	34,795
49,549		63,287	-13,738

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-16,855
			17,006
			4,238
			-41,548
			89,486
			12,484
			34,923
			104,522
			34,795
			-13,738

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
375 SHS STRYKER CORP	P	2002-07-10	2016-05-03
75 SHS STRYKER CORP	P	2002-07-10	2016-05-03
450 SHS STRYKER CORP	P	2002-07-10	2016-08-29
1,500 SHS STRYKER CORP	P	2002-07-10	2016-10-05
800 SHS SUNCOR ENERGY INC	P	2012-06-21	2016-01-26
6,300 SUNCOR ENERGY INC	P	2012-06-14	2016-01-26
8,000 SHS SUNCOR ENERGY INC	P	2012-05-17	2016-01-26
26,338 893 SHS TEMPLETON GLOBAL BOND FUND	P	2014-01-14	2016-03-29
8,333 333 SHS TOUCHSTONE MERGER ARBITRAG	P	2013-04-29	2016-02-03
1,778 219 SHS VOYA GLOBAL REAL ESTATE FU	P	2012-06-21	2016-02-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
40,911		9,133	31,778
8,182		1,827	6,355
52,295		10,960	41,335
174,751		36,532	138,219
17,444		21,791	-4,347
137,368		176,399	-39,031
174,436		217,117	-42,681
300,000		342,748	-42,748
90,000		91,167	-1,167
33,217		28,772	4,445

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			31,778
			6,355
			41,335
			138,219
			-4,347
			-39,031
			-42,681
			-42,748
			-1,167
			4,445

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2,236 77 SHS VOYA GLOBAL REAL ESTATE FU	P	2014-01-14	2016-02-03
4,734 848 SHS VOYA GLOBAL REAL ESTATE FU	P	2012-06-21	2016-09-08
95,000 SHS WALGREEN CO 52 500%	P	2014-01-15	2016-06-16
1,000 SHS WELLS FARGO & CO	P	2013-06-13	2016-03-07
2,650 SHS WELLS FARGO & CO	P	2013-06-13	2016-09-16
12,900 SHS WELLS FARGO & CO	P	2013-02-04	2016-09-16
400 SHS CHUBB LIMITED	P	2012-09-26	2016-08-26
1,500 SHS CHUBB LIMITED	P	2012-09-26	2016-10-05
900 SHS CHECK POINT SOFTWARE TECHN	P	2012-06-14	2016-05-11
2,760 SHS CHECK POINT SOFTWARE TECHN	P	2013-06-07	2016-05-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
41,783		41,000	783
100,000		76,610	23,390
103,111		107,338	-4,227
49,838		40,820	9,018
120,295		108,174	12,121
585,585		448,587	136,998
50,894		30,146	20,748
185,571		113,049	72,522
73,349		45,898	27,451
224,937		139,362	85,575

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			783
			23,390
			-4,227
			9,018
			12,121
			136,998
			20,748
			72,522
			27,451
			85,575

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
535 SHS CHECK POINT SOFTWARE TECHN	P	2013-06-07	2016-05-12
132 SHS ADVANSIX INC	P	2015-12-11	2016-10-12
3,300 SHS ANHEUSER BUSCH INBEV AS AD	P	2015-04-28	2016-03-15
1,545 SHS ANHEUSER BUSCH INBEV AS AD	P	2015-06-04	2016-03-15
175 SHS DOVER CORPORATION	P	2015-09-22	2016-03-30
0 5 SHS FORTIVE CORP	P	2016-03-08	2016-07-29
1,422 SHS FORTIVE CORP	P	2016-03-08	2016-07-29
750 SHS FRANKLIN RESOURCES INC	P	2015-09-01	2016-03-24
525 SHS FRANKLIN RESOURCES INC	P	2015-08-17	2016-03-24
2,913 SHS ITC HOLDINGS CORP	P	2015-09-18	2016-02-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
43,703		27,014	16,689
2,013		1,751	262
384,569		410,478	-25,909
180,048		188,997	-8,949
11,275		10,388	887
24		22	2
68,825		62,338	6,487
28,462		29,768	-1,306
19,923		23,037	-3,114
120,134		95,874	24,260

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			16,689
			262
			-25,909
			-8,949
			887
			2
			6,487
			-1,306
			-3,114
			24,260

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3,482 SHS ITC HOLDINGS CORP	P	2015-09-21	2016-02-22
857 SHS ITC HOLDINGS CORP	P	2015-09-17	2016-02-23
2,408 SHS ITC HOLDINGS CORP	P	2015-09-22	2016-02-23
3,240 SHS ITC HOLDINGS CORP	P	2015-09-18	2016-02-23
1,665 SHS KNOWLES CORP	P	2015-07-07	2016-03-01
1,850 SHS KNOWLES CORP	P	2015-08-03	2016-03-01
765 SHS KNOWLES CORP	P	2015-07-07	2016-03-02
2,570 SHS KNOWLES CORP	P	2015-07-08	2016-03-02
5,000 SHS KNOWLES CORP	P	2015-08-17	2016-03-02
925 SHS LINEAR TECH CORP	P	2016-06-29	2016-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
143,600		115,339	28,261
34,992		28,004	6,988
98,321		79,229	19,092
132,292		106,637	25,655
18,954		29,830	-10,876
21,060		35,864	-14,804
9,051		13,706	-4,655
30,405		45,281	-14,876
59,154		80,450	-21,296
55,584		42,071	13,513

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			28,261
			6,988
			19,092
			25,655
			-10,876
			-14,804
			-4,655
			-14,876
			-21,296
			13,513

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
1,205 SHS LINEAR TECH CORP	P	2016-06-28	2016-10-26
900 SHS LINKEDIN CORPORATION CLASS	P	2015-10-01	2016-06-16
975 SHS NETAPP INC	P	2015-08-17	2016-03-30
775 SHS SUNCOR ENERGY INC	P	2015-09-22	2016-01-26
Capital Gains Dividends	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
72,409		53,845	18,564
172,202		168,895	3,307
25,982		29,706	-3,724
16,898		20,150	-3,252
17,752			17,752

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			18,564
			3,307
			-3,724
			-3,252
			17,752

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JUDY K JOLLIFFE 211 SOUTH ROSE STREET KALAMAZOO, MI 49007	PRESIDENT & DIRECTOR 1 00	0	0	0
RONALD N KILGORE 211 SOUTH ROSE STREET KALAMAZOO, MI 49007	SECRETARY, TREASURER & DIRECTOR 4 00	0	0	0
ELIZABETH A BENNETT 211 SOUTH ROSE STREET KALAMAZOO, MI 49007	DIRECTOR 1 00	0	0	0
JAY GARSIDE 211 SOUTH ROSE STREET KALAMAZOO, MI 49007	DIRECTOR 1 00	0	0	0
SARAH A JOHANSSON 211 SOUTH ROSE STREET KALAMAZOO, MI 49007	DIRECTOR 1 00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Ballet Arts Ensemble Inc 2018 RAMBLING ROAD KALAMAZOO, MI 49008	N/A	PC	2016 Spring Concert	3,000
Community Healing Centers 2615 STADIUM DRIVE KALAMAZOO, MI 49008	N/A	PC	Unreimbursed client care	50,000
Douglas Community Association 1000 W PATERSON STREET KALAMAZOO, MI 49007	N/A	PC	General support	25,000
First Day Shoe Fund 6750 CHIME STREET KALAMAZOO, MI 49009	N/A	PC	General support	4,000
Farmers Alley Theatre Inc 700 MALL DRIVE PORTAGE, MI 49024	N/A	PC	Operational Support	5,000
Total . . . . ▶ **3a**				1,599,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Kairos Dwelling 2945 GULL RD KALAMAZOO, MI 49048	N/A	PC	General Support	25,000
Kalamazoo College 1200 ACADEMY STREET KALAMAZOO, MI 49006	N/A	PC	Festival Playhouse	40,000
Michigan Youth Arts Festival 22757 WOODWARD AVENUE SUITE 230 FERNDALE, MI 48220	N/A	PC	General Support	2,500
Lakeside For Children Foundation 3921 OAKLAND DR KALAMAZOO, MI 49008	N/A	PC	Capital Campaign	50,000
Merze Tate Explorers 310 E MICHIGAN AVE BOX 51042 KALAMAZOO, MI 49005	N/A	PC	General Support	2,000
Total . . . . ▶ **3a**				1,599,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
YWCA 352 E MICHIGAN AVENUE KALAMAZOO, MI 49007	N/A	PC	SEXUAL ASSAULT PROGRAM	35,000
Y W C A 352 E MICHIGAN AVENUE KALAMAZOO, MI 49007	N/A	PC	Educator for sexual assault program	25,000
Kalamazoo Civic Theater 329 S PARK STREET KALAMAZOO, MI 49007	N/A	PC	Advancement Campaign	100,000
Kalamazoo Aviation History Museum 6151 PORTAGE ROAD PORTAGE, MI 49002	N/A	PC	Science inspiration fund	10,000
Black Arts & Cultural Center 359 S KALAMAZOO MALL KALAMAZOO, MI 49007	N/A	PC	General Support	10,000
Total . . . . ▶ **3a**				1,599,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Boys & Girls Clubs Of Greater Kalamazoo 915 LAKE STREET KALAMAZOO, MI 49001	N/A	PC	Douglas Unit Operations	45,000
Building Blocks Of Kalamazoo 1822 W MILHAM AVE STE 4 PORTAGE, MI 49024	N/A	PC	2016 Neighborhood Project	5,000
Disability Network Southwest Michigan 517 EAST CROSSTOWN PARKWAY KALAMAZOO, MI 49001	N/A	PC	Independent Living Program	5,000
Julius and Ester Stulberg String Competition Inc 359 S KALAMAZOO MALL STE 14 KALAMAZOO, MI 49007	N/A	PC	Fees for competition adjudicators	4,000
KALAMAZOO CONCERT BAND PO BOX 20060 KALAMAZOO, MI 49019	N/A	PC	2015-2016 Fall Concert	3,500
Total . . . . ▶ 3a				1,599,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
KALAMAZOO DEACON'S CONFERENCE 1010 N WESTNEDGE AVE KALAMAZOO, MI 49007	N/A	PC	Human Basic Needs Assistance Program	12,000
Kalamazoo Junior Symphony 714 S WESTNEDGE KALAMAZOO, MI 49007	N/A	PC	Early Orchestral Training Program	4,000
Kalamazoo Loaves And Fishes 901 PORTAGE STREET KALAMAZOO, MI 49001	N/A	PC	General Support	25,000
Kalamazoo RESA 1819 E MILHAM AVE PORTAGE, MI 49002	N/A	PC	Field trip transportation program	5,000
MI Fdn For The Blind & Visually Impair 261 E KALAMAZOO AVE SUITE L400 KALAMAZOO, MI 49007	N/A	PC	general support	4,500
Total . . . . ▶ **3a**				1,599,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Parks Foundation Of Kalamazoo County 3299 GULL RD KALAMAZOO, MI 49048	N/A	PC	CAPITAL IMPROVEMENTS - Kalamazoo River Valley Trail Capital Campaign	25,000
Special Olympics Michigan 4024 STUDENT RECREATION CENTER WMU KALAMAZOO, MI 49008	N/A	PC	Kalamazoo participants - Special Olympics at WMU	5,000
United Way Of Battle Creek And Kalamazoo Region 409 S WESTNEDGE AVE KALAMAZOO, MI 49007	N/A	PC	Annual Campaign 2015-2016	80,000
West Michigan Cancer Center 200 N PARK ST KALAMAZOO, MI 49007	N/A	PC	Patient Care Services Program	7,500
Kalamazoo Valley Community College 6767 W O AVE KALAMAZOO, MI 49009	N/A	PC	Capital Campaign	100,000
Total . . . . ▶ 3a				1,599,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Western Michigan University Foundation 1903 W MICHIGAN AVE SEIBERT ADMIN KALAMAZOO, MI 49008	N/A	PC	Capital Campaign	100,000
FAMILY & CHILDREN SERVICES 1608 LAKE ST KALAMAZOO, MI 49001	N/A	PC	Making room for Hope	100,000
Hospital Hospitality House Of Kalamazoo Inc 527 W SOUTH ST KALAMAZOO, MI 49007	N/A	PC	Two Houses one Heart	50,000
Sherman Lake YMCA 6225 N 39TH ST AUGUSTA, MI 49012	N/A	PC	Capital renewal	50,000
Kalamazoo Civic Theater 329 S PARK STREET KALAMAZOO, MI 49007	N/A	PC	Advancement Campaign	100,000
Total . . . . ▶ **3a**				1,599,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Kalamazoo Children's Chorus PO BOX 50414 KALAMAZOO, MI 49005	N/A	PC	Eastside Choir Technology Upgrades	2,000
Kalamazoo Book Arts Center 326 W KALAMAZOO AVE 103A KALAMAZOO, MI 49007	N/A	PC	General Support	5,000
AACORN Farm Inc 2016 N 5TH ST KALAMAZOO, MI 49009	N/A	PC	General Support	5,000
Bach Festival Society 1200 ACADEMY STREET KALAMAZOO, MI 49006	N/A	PC	General Support 2015-2016 season	2,500
Big Brothers Big Sisters 3501 COVINGTON RD KALAMAZOO, MI 49001	N/A	PC	One-to-one mentoring	8,000
Total . . . . ▶ **3a**				1,599,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Community Homeworks 504 S WESTNEDGE AVENUE KALAMAZOO, MI 49008	N/A	PC	Weatherization, critical repair, home education	10,000
Fontana Chamber Arts 359 S BURDICK ST SUITE 200 KALAMAZOO, MI 49007	N/A	PC	Outreach and educational programs	7,500
Guardian Finance and Advocacy Services 1000 S BURDICK STREET 200 KALAMAZOO, MI 49001	N/A	PC	General Support	5,000
HOUSING RESOURCES INC 420 E ALCOTT ST 200 KALAMAZOO, MI 49001	N/A	PC	GENERAL SUPPORT	25,000
Irving S Gilmore Int'l Keyboard Festival 359 S BURDICK ST SUITE 101 KALAMAZOO, MI 49007	N/A	PC	Gilmore Piano Camp	10,000
Total . . . . ▶ 3a				1,599,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Kalamazoo Center For Youth And Community PO BOX 50227 KALAMAZOO, MI 49005	N/A	PC	Eastside Youth Academics	10,000
Kalamazoo County Ready 4s 259 E MICHIGAN AVE 209 KALAMAZOO, MI 49007	N/A	PC	General support	20,000
Kalamazoo In Bloom PO BOX 20178 KALAMAZOO, MI 49019	N/A	PC	Community Plantings	3,500
Kalamazoo Institute of Arts 314 SOUTH PARK STREET KALAMAZOO, MI 49007	N/A	PC	KIA YOUTH EDUCATION PROGRAMS	20,000
KALAMAZOO NEIGHBORHOOD HOUSING SERVICES 802 S WESTNEDGE AVE KALAMAZOO, MI 49008	N/A	PC	2016 Homeownership Services	8,000
Total . . . . ▶ **3a**				1,599,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Kalamazoo Symphony Orchestra 359 S BURDICK ST SUITE 100 KALAMAZOO, MI 49007	N/A	PC	Artists in residence program	20,000
Make A Wish Foundation Of Michigan 7600 GRAND RIVER AVENUE STE 175 BRIGHTON, MI 48114	N/A	PC	Kalamazoo-Wish Granting Program	5,000
Open Doors Kalamazoo 1141 S ROSE ST 3 KALAMAZOO, MI 49001	N/A	PC	General Support	23,000
Pretty Lake Vacation Camp 9123 WEST Q AVENUE MATTAWAN, MI 49071	N/A	PC	Camper Support Program	20,000
SLD Read 5250 LOVERS LANE SUITE LL100 KALAMAZOO, MI 49002	N/A	PC	Support for Kalamazoo City attendees	7,500
Total . . . ▶ 3a				1,599,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Boy Scouts of America Southern Shores 3497 S 9th Street KALAMAZOO, MI 49009	N/A	PC	Staff and Volunteer Training Program	10,000
Ministry With Community 440 N CHURCH STREET KALAMAZOO, MI 49007	N/A	PC	In memory of Ann Bennett	100
Kalamazoo Civic Theater 329 S PARK STREET KALAMAZOO, MI 49007	N/A	PC	General Support 2016-2017 season	150,000
Constance Brown Hearing & Speech Center 4855 W CENTRE AVE PORTAGE, MI 49024	N/A	PC	Universal Newborn Hearing Screening	10,000
Douglas Community Association 315 S ROSE ST KALAMAZOO, MI 49007	N/A	PC	Executive Search	5,000
Total . . . . ▶ **3a**				1,599,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Kalamazoo Public Library 315 S ROSE ST KALAMAZOO, MI 49007	N/A	PC	ONE place programs and services	15,000
New Year's Fest 346 W MICHIGAN AVENUE KALAMAZOO, MI 49007	N/A	PC	2016-17 New Year's Fest	10,000
Ministry With Community 440 N CHURCH STREET KALAMAZOO, MI 49007	N/A	PC	Drop-In Meals Program	30,000
Arts Council Of Greater Kalamazoo 359 S BURDICK ST STE 203 KALAMAZOO, MI 49007	N/A	PC	General Support	10,000
Kalamazoo Valley Habitat for Humanity 1126 GULL ROAD KALAMAZOO, MI 49048	N/A	PC	Housing Solutions for Low Income Families	15,000
Total . . . . ▶ 3a				1,599,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
The ARC Community Advocates 3901 EMERALD DR SUITE B KALAMAZOO, MI 49001	N/A	PC	operating expenses	10,000
Total . . . . ▶ 3a				1,599,100

TY 2016 Accounting Fees Schedule

Name: DOROTHY U DALTON FOUNDATION INC

EIN: 38-2240062

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING & TAX SERVICES - PLANTE & MORAN, PLLC	8,860	4,430		4,430

TY 2016 Investments Corporate Bonds Schedule

Name: DOROTHY U DALTON FOUNDATION INC

EIN: 38-2240062

Name of Bond	End of Year Book Value	End of Year Fair Market Value
150,000 FV COMCAST CORP 6.5000% 01/15/17	149,688	143,777
100,000 FV QUALCOMM INC 2.250% 05/20/20	99,516	100,007
150,000 FV SOUTHERN PWR CO 2.375% 06/01/20	148,035	148,857
150,000 FV APPLE INC 2.8500% 05/06/21	151,999	153,112
100,000 FV ORACLE CORP 2.8000% 07/08/21	103,078	101,920
100,000 FV DUKE ENERGY CORP NEW 3.050% 08/15/22	99,912	100,509
100,000 FV VERIZON COMMUNICATIONS INC 4.150% 03/15/24	103,281	104,498
100,000 FV AT&T INC 2.6250% 12/01/22	98,455	95,882
125,000 FV ANHEUSER BUSCH INBEV FIN 2.6250% 01/17/23	122,538	122,526
125,000 FV WAL-MART STORES INC 2.550% 04/11/23	125,402	124,056
125,000 FV CHEVRON CORP 3.1910% 06/24/23	127,699	128,034
150,000 FV 21ST CENTY FOX AMER INC 4.000% 10/01/23	152,208	154,949
100,000 FV CARDINAL HEALTH INC 3.200% 06/15/22	102,432	101,009
200,000 FV UNION PACIFIC CORP 5.750% 11/15/2017	236,656	207,669
125,000 FV NETAPP INC 2.000% 12/15/17	124,779	125,347
325,000 FV OMNICOM GROUP INC 6.2500% 07/15/19	370,849	358,674
100,000 FV BURLINGTON NORTHN SANTA FE 3.400% 09/01/24	99,096	102,949
250,000 FV INTUIT INC 5.750% 03/15/2017	286,541	252,218
325,000 FV HASBRO INC 6.3000% 09/15/17	360,056	335,770
150,000 FV PFIZER INC 1.450% 06/03/19	150,328	149,086

Name of Bond	End of Year Book Value	End of Year Fair Market Value
150,000 FV EOG RES INC 2.450% 04/01/20	152,730	150,226
150,000 FV COCA COLA CO 1.875% 10/27/20	152,809	148,725
150,000 FV BOEING CO 1.650% 10/30/20	150,893	147,123
150,000 FV WELLS FARGO CO MTN BE 2.550% 12/07/20	152,651	150,171
150,000 FV UNITED TECHNOLOGIES CORP 3.100% 06/01/22	158,367	154,176

TY 2016 Investments Corporate Stock Schedule

Name: DOROTHY U DALTON FOUNDATION INC

EIN: 38-2240062

Name of Stock	End of Year Book Value	End of Year Fair Market Value
2,785 SHS IAC/INTERACTIVECORP	161,130	180,440
6,400 SHS MERCK & CO INC NEW	351,021	376,768
3,300 SHS HONEYWELL INTERNATIONAL INC	326,843	382,305
7,500 SHS VERIZON COMMUNICATIONS	340,456	400,350
7,800 SHS US BANCORP	344,077	400,686
3,675 SHS STRYKER CORP	89,505	440,302
1,300 SHS SHERWIN-WILLIAMS COMPANY	317,460	346,362
6,250 SHS SCRIPPS NETWORKS INTERACTIVE INC	447,067	446,063
2,000 SHS MEAD JOHNSON NUTRITION CO	160,027	141,520
2,600 SHS POLARIS INDUSTRIES INC	274,244	214,214
3,500 SHS PEPSICO INC	94,382	366,205
5,200 SHS PAYPAL HLDGS INC	162,427	205,244
4,300 SHS PROCTER & GAMBLE CO	337,006	361,544
3,100 SHS GILEAD SCIENCES INC	312,857	221,991
4,900 SHS AFLAC INC	314,774	341,040
3,155 SHS AMBARELLA INC	190,853	170,780
3,000 SHS AMERICAN ELECTRIC POWER CO INC	173,128	188,880
1,105 SHS ANHEUSER BUSCH INBEV AS ADR	128,459	116,511
3,425 SHS APPLE INC	225,156	396,684
2,015 SHS CELGENE CORPORATION	62,458	233,236
2,455 SHS CHECK POINT SOFTWARE TECHNOLOGIES	117,315	207,349
1,570 SHS 3M COMPANY	264,371	280,355
440 SHS ALPHABET INC. CI C	338,567	339,601
1,785 SHS AMDOCS LTD	100,945	103,976
1,255 SHS AMERCIAN TOWER CORP	131,892	132,628
1,500 SHS AMERISOURCEBERGEN CORP	137,866	117,285
3,900 SHS ANSYS INC	332,344	360,711
3,200 SHS CHUBB LIMITED	241,171	422,784
3,675 SHS CITIGROUP INC	205,200	218,405
3,330 SHS COGNIZANT TECHNOLOGY SOLUTIONS CORP	182,519	186,580

Name of Stock	End of Year Book Value	End of Year Fair Market Value
3,810 SHS COLGATE-PALMOLIVE CO	261,274	249,326
2,845 SHS DANAHER CORP	191,494	221,455
2,185 SHS EDWARDS LIFESCIENCES CORP	171,122	204,735
1,930 SHS HERSHEY CO	164,111	199,620
1,630 SHS ILLUMINA INC	244,301	208,705
890 SHS JOHNSON & JOHNSON	101,193	102,537
1,140 SHS JONES LANG LASALLE INC	137,888	115,186
1,500 SHS LOCKHEED MARTIN CORP	314,977	374,910
1,725 SHS MONSANTO CO	157,680	181,487
2,800 SHS NEXTERA ENERGY INC	321,994	334,488
3,510 SHS NIKE INC CI B	200,488	178,413
1,230 SHS ROPER TECHNOLOGIES, INC.	209,808	225,188
2,745 SHS SALESFORCE.COM INC.	206,667	187,923
5,700 SHS STARBUCKS CORP	325,917	316,464
7,615 SHS SYNCHRONY FINL	211,423	276,196
1,795 SHS T ROWE PRICE GROUP INC	129,901	135,092
3,010 SHS V F CORP	191,280	160,584
1,545 SHS VENTAS INC	103,118	96,593
2,575 SHS VISA INC	195,227	200,902
1,900 SHS WILLIAMS-SONOMA INC	106,666	91,941

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491318018747

TY 2016 Investments Government Obligations Schedule

Name:	DOROTHY U DALTON FOUNDATION INC
EIN:	38-2240062
US Government Securities - End of Year Book Value:	1,660,256
US Government Securities - End of Year Fair Market Value:	1,629,125
State & Local Government Securities - End of Year Book Value:	0
State & Local Government Securities - End of Year Fair Market Value:	0

TY 2016 Investments - Other Schedule

Name: DOROTHY U DALTON FOUNDATION INC

EIN: 38-2240062

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
11,700 SHS ENERGY SELECT SECTOR SPDR FUND	AT COST	721,678	881,244
57,123.481 SHS AMERICAN BEACON INTERNATIONAL EQUITY FUND	AT COST	1,137,000	985,951
30,488.608 SHS VOYA GLOBAL REAL ESTATE FUND CLASS I	AT COST	492,215	573,796
58,448.502 SHS ALPHASIMPLEX MANAGED FUTURES STRATEGY FD CL Y	AT COST	546,238	574,549
38,709.397 SHS MATTHEWS PACIFIC TIGER FUND CL I	AT COST	846,650	886,445
66,543.636 SHS DELAWARE EMERGING MARKET	AT COST	874,500	968,210
62,523.765 SHS TOUCHSTONE MERGER ARBITRAGE FUND CL I	AT COST	666,741	687,761
36,166.436 SHS FIDELITY FLOATING RATE HIGH INCOME	AT COST	361,664	348,644
28,822.724 SHS FIDELITY DIVERSIFIED INTERNATIONAL FUND	AT COST	1,035,355	959,797
11,550 SHS S&P 500 INDEX ISHARES	AT COST	2,376,929	2,598,635
28,571.934 SHS TEMPLETON GLOBAL BOND FUND ADVISOR CL	AT COST	352,776	341,720
450 SHS ISHARES TR S & P SMALLCAP 600 INDEX	AT COST	51,730	61,884
350 SHS ISHARES TR S&P MIDCAP 400	AT COST	51,510	57,869
65,485.266 SHS HARTFORD INTERNATIONAL OPPORTUNITIES Y	AT COST	1,010,000	980,314
24,925.224 JHANCOCK GLOBAL ABSOLUTE RET STRATS I	AT COST	250,000	252,742

TY 2016 Legal Fees Schedule

Name: DOROTHY U DALTON FOUNDATION INC

EIN: 38-2240062

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL EXPENSES - DEMENT & MARQUARDT, PLC	2,063	0		2,063

TY 2016 Other Expenses Schedule

Name: DOROTHY U DALTON FOUNDATION INC

EIN: 38-2240062

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
filing fees	20	0		20
SUBSCRIPTION	4,926	0		4,926
DOMAIN NAME	49	0		49
MEMBERSHIP DUES	2,500	0		2,500

TY 2016 Other Income Schedule

Name: DOROTHY U DALTON FOUNDATION INC

EIN: 38-2240062

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
GRANT RECOVERIES	3,500		3,500

TY 2016 Other Professional Fees Schedule

Name: DOROTHY U DALTON FOUNDATION INC

EIN: 38-2240062

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT & MANAGEMENT SERVICES - GREENLEAF TRUST	169,853	147,263		22,590

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491318018747

TY 2016 Taxes Schedule

Name: DOROTHY U DALTON FOUNDATION INC

EIN: 38-2240062

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
foreign taxes	9,454	9,454		0