



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

Open to Public Inspection

For calendar year 2016 or tax year beginning

05/05, 2016, and ending

12/31, 2016

Name of foundation
M.D. MALLINGER FOUNDATION 470050001

Number and street (or P O box number if mail is not delivered to street address) Room/suite
PO BOX 66916

City or town, state or province, country, and ZIP or foreign postal code
ST. LOUIS, MO 63166-6916

A Employer identification number
37-6620601

B Telephone number (see instructions)
314-515-4948

C If exemption application is pending, check here

D 1 Foreign organizations, check here
2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 702,983.**

J Accounting method: Cash Accrual
 Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	715,527.			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
	3 Interest on savings and temporary cash investments.				
	4 Dividends and interest from securities	10,886.	6,384.		STMT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	11,934.			
	b Gross sales price for all assets on line 6a	11,934.			
	7 Capital gain net income (from Part IV, line 2)		11,934.		
	8 Net short-term capital gain.				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	738,347.	18,318.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	4,808.	4,781.		
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	959.	109.		
	19 Depreciation (attach schedule) and depletion.				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	5,767.	4,890.		
	25 Contributions, gifts, grants paid	14,611.			14,611.
26 Total expenses and disbursements. Add lines 24 and 25	20,378.	4,890.		14,611.	
27 Subtract line 26 from line 12.					
a Excess of revenue over expenses and disbursements	717,969.				
b Net investment income (if negative, enter -0-)		13,428.			
c Adjusted net income (if negative, enter -0-)					

SCANNED MAY 24 2017

RECEIVED
MAY 15 2017
OSC
GOLDEN, U.P.

8

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash - non-interest-bearing	NONE	14,617.	14,617.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶ (attach schedule)			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)		706,133.	688,366.
	14	Land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶ (attach schedule)			
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	NONE	720,750.	702,983.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		NONE		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	NONE	720,750.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	NONE	720,750.		
31	Total liabilities and net assets/fund balances (see instructions)	NONE	720,750.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	NONE
2	Enter amount from Part I, line 27a	2	717,969.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 3	3	2,781.
4	Add lines 1, 2, and 3	4	720,750.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	720,750.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co)

			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 11,934.			11,934.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			11,934.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	11,934.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

N/A

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015			
2014			
2013			
2012			
2011			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.	3	
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	
5 Multiply line 4 by line 3.	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6.	7	
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Tax under section 511', 'Credits/Payments', and 'Tax due'. Total tax due is 269.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, tax on political expenditures, and substantial contributors. Includes a Yes/No column.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of EDWARD JONES TRUST COMPANY Telephone no. (314) 515-4948 Located at 21555 MANCHESTER ROAD, ST. LOUIS, MO ZIP+4 63131
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	518,096.
b	Average of monthly cash balances	1b	10,008.
c	Fair market value of all other assets (see instructions).	1c	NONE
d	Total (add lines 1a, b, and c)	1d	528,104.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	528,104.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	7,922.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	520,182.
6	Minimum investment return. Enter 5% of line 5	6	26,009.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	26,009.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	269.
b	Income tax for 2016 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	269.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	25,740.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	25,740.
6	Deduction from distributable amount (see instructions).	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	25,740.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	14,611.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	14,611.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	14,611.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				25,740.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			NONE	
b Total for prior years 20____,20____,20____		NONE		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	NONE			
b From 2012	NONE			
c From 2013	NONE			
d From 2014	NONE			
e From 2015	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ <u>14,611.</u>				
a Applied to 2015, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2016 distributable amount				14,611.
e Remaining amount distributed out of corpus.	NONE			
5 Excess distributions carryover applied to 2016 . (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b.		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2015 Subtract line 4a from line 2a. Taxable amount - see instructions			NONE	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017.				11,129.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9				
a Excess from 2012	NONE			
b Excess from 2013	NONE			
c Excess from 2014	NONE			
d Excess from 2015	NONE			
e Excess from 2016	NONE			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: (a) 2016, (b) 2015, (c) 2014, (d) 2013, (e) Total. Rows include: 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed; b 85% of line 2a; c Qualifying distributions from Part XII, line 4 for each year listed; d Amounts included in line 2c not used directly for active conduct of exempt activities; e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c; 3 Complete 3a, b, or c for the alternative test relied upon; a "Assets" alternative test - enter (1) Value of all assets; (2) Value of assets qualifying under section 4942(j)(3)(B)(i); b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed; c "Support" alternative test - enter (1) Total support other than gross investment income; (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii); (3) Largest amount of support from an exempt organization; (4) Gross investment income.

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
HOLY TRINITY PARISH OF WEBSTER CNTY 2220 4TH AVE N FORT DODGE IA 50501	NONE	PC	PROGRAM SUPPORT	3,653.
ST. EDMOND CATHOLIC SCHOOL FNDRY 2220 4TH AVE N FORT DODGE IA 50501	NONE	PC	PROGRAM SUPPORT	3,653.
FRIENDS OF THE FORT DODGE LIBRARY ATTN: TREAS 424 CENTRAL AVE FORT DODGE IA 50501	NONE	PC	PROGRAM SUPPORT	1,461.
HUMANE SOCIETY OF WEBSTER COUNTY 725 S 32ND ST. FORT DODGE IA 50501	NONE	PC	PROGRAM SUPPORT	2,922.
TRINITY HEALTH FOUNDATION 802 KENYON RD. FORT DODGE IA 50501	NONE	PC	PROGRAM SUPPORT	1,461.
ST. MEINRAD SEMINARY	NONE	PC	PROGRAM SUPPORT	1,461.
Total ▶ 3a				14,611.
b Approved for future payment				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include: 1 Program service revenue (a-f), 2 Membership dues and assessments, 3 Interest on savings and temporary cash investments, 4 Dividends and interest from securities, 5 Net rental income or (loss) from real estate (a-b), 6 Net rental income or (loss) from personal property, 7 Other investment income, 8 Gain or (loss) from sales of assets other than inventory, 9 Net income or (loss) from special events, 10 Gross profit or (loss) from sales of inventory, 11 Other revenue (a-e), 12 Subtotal, 13 Total.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

NOT APPLICABLE

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990

OMB No. 1545-0047

2016

Name of the organization

Employer identification number

M.D. MALLINGER FOUNDATION 470050001

37-6620601

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **M.D. MALLINGER FOUNDATION 470050001** Employer identification number **37-6620601**

Part I Contributors (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	M.D. MALLINGER TRUST EDWARD JONES TRUST COMPANY ST. LOUIS, MO 63131	\$ 24,703.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	M.D. MALLINGER TRUST ESWARD JONES TRUST COMPANY ST. LOUIS, MO 63131	\$ 674,643.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
DIVIDENDS AND INTEREST FROM SECURITIES	10,886.	6,384.
TOTAL	10,886.	6,384.

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
EXCISE TAX BALANCE DUE	850.	
FOREIGN TAX WITHHELD	109.	109.
TOTALS	----- 959. =====	----- 109. =====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
FEDERAL TAX REFUND	102.
BOOK TO FMV FOR SECURITIES CONTRIBUTED	2,679.

TOTAL	2,781.
	=====

Edward Jones

Trust Company

P.O. Box 66916, St. Louis, MO 63166

Account Summary Section

Statement of Value and Activity

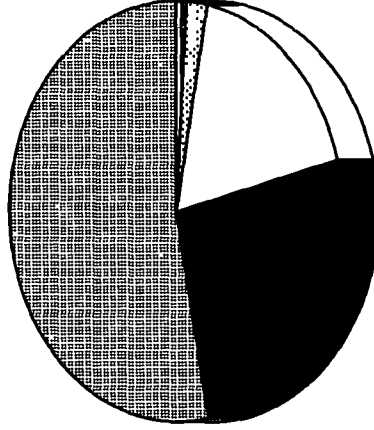
January 1, 2016 - December 31, 2016

Market Value Reconciliation

	This Period	1/1/16 to 12/31/16
Beginning Market Value	\$0.00	\$0.00
Income	\$10,081.08	\$10,081.08
Additions	\$37,430.63	\$37,430.63
Disbursements	-\$20,268.92	-\$20,268.92
Security Transfers	\$690,824.59	\$690,824.59
Other	\$0.00	\$0.00
Change in Market Value	-\$15,085.01	-\$15,085.01
Ending Market Value	\$702,982.37	\$702,982.37
Realized Gains/Losses (Included in Total Above)	\$12,626.03	\$12,626.03

Asset Allocation Summary

	Asset Class	Balance
53%	Equity Mutual Funds and Unit Trusts	\$368,699.71
26%	Taxable Fixed Income	\$181,316.38
18%	Fixed Income Mutual Funds and Unit Trusts	\$128,313.42
2%	Cash Equivalents	\$14,616.76
1%	Tax-Free Fixed Income	\$10,036.10
100%	Total Assets Value	\$702,982.37



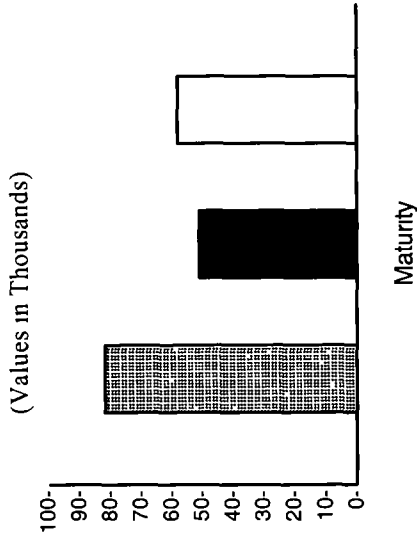
Investment Objective: Balanced - A portfolio that blends current income with long-term growth potential.

Analysis Section

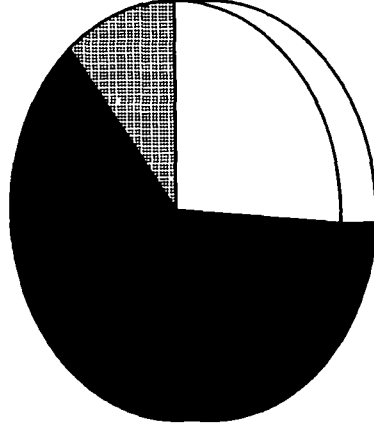
Statement of Value and Activity

January 1, 2016 - December 31, 2016

Bond Maturity Schedule



Bond Quality Ratings



Quality Rating	Percentage	Market Value
Aaa	0%	\$0.00
Aa1	11%	\$21,325.30
A1	63%	\$119,747.63
Baa1	0%	\$0.00
NON INVESTMENT GRADE	0%	\$0.00
Not Rated	26%	\$50,279.55
Total	100%	\$191,352.48

Bond Maturity	Percentage	Market Value
Less than 1 year	0%	\$0.00
1 to 5 years	0%	\$0.00
5 to 10 years	0%	\$0.00
10 to 15 years	43%	\$81,942.15
15 to 20 years	27%	\$51,130.58
20 + years	30%	\$58,279.75
Total	100%	\$191,352.48

Asset Detail Section

Statement of Value and Activity

January 1, 2016 - December 31, 2016

Asset Detail

Description	Shares/Par Value	Current Price	Market Value	Tax Cost	Unrealized G/L	Est. Ann. Income
Cash Equivalents						
Net Cash			\$125.11	\$125.11		
Federated Govt Obll Fd-Ptm	14,491.65	1.00	\$14,491.65	\$14,491.65	\$0.00	\$0.59
Total Cash Equivalents			\$14,616.76	\$14,616.76	\$0.00	\$0.59
Equity Mutual Funds and Unit Trusts						
American Europacific Growth Fd CL F2	762.07	44.97	\$34,270.11	\$38,617.96	-\$4,347.85	\$528.11
American Funds New World Fund CL F2	273.40	51.31	\$14,027.95	\$14,899.69	-\$871.74	\$179.35
BlackRock Equity Divid Instl CL	2,360.73	22.55	\$53,234.44	\$57,114.25	-\$3,879.81	\$1,034.00
Dreyfus Widwd Gro - Y	435.91	48.11	\$20,971.68	\$23,878.62	-\$2,906.94	\$364.42
Edgewood Growth Fund-Ins	978.56	22.21	\$21,733.86	\$22,146.62	-\$412.76	\$4.01
Federated Strategic Val Div Is	4,600.34	5.91	\$27,188.03	\$27,389.69	-\$201.66	\$846.46
Franklin Mutual Shares Fund Class Z	799.73	28.22	\$22,568.24	\$21,568.08	\$1,000.16	\$513.42
Goldman Sachs Mid Cap Equity CL I	1,003.24	37.08	\$37,200.10	\$40,224.58	-\$3,024.48	\$552.78
Harbor Cap Appreciation Instlt CL	375.40	56.65	\$21,266.18	\$24,703.01	-\$3,436.83	\$22.87
Hartford Equity Income Fd CL Y	2,373.96	18.64	\$44,250.52	\$44,455.35	-\$204.83	\$928.22
Intl Growth & Income Fd-F2	722.92	28.31	\$20,465.72	\$23,300.00	-\$2,834.28	\$529.90
Invesco Sm Cap Equity Fund-Y	1,017.58	14.66	\$14,917.78	\$16,228.25	-\$1,310.47	\$0.00
Primecap Odyssey Stock Fund	879.51	25.88	\$22,761.82	\$20,396.38	\$2,365.44	\$268.25
Virtus Real Estate Fund Class I	463.61	29.86	\$13,843.28	\$17,189.88	-\$3,346.60	\$305.05
Total Equity Mutual Funds and Unit Trusts			\$368,699.71	\$392,112.36	-\$23,412.65	\$6,076.84

Asset Detail Section (continued)

Statement of Value and Activity

January 1, 2016 - December 31, 2016

Description	Shares/Par Value	Current Price	Market Value	Tax Cost	Unrealized G/L	Est. Ann. Income
Taxable Fixed Income						
American Mun Pwr Ohio Revenue Bonds DTD 12/09/2009 6.000% 02/15/2027 Callable	10,000.00	107.34	\$10,733.90	\$10,593.90	\$140.00	\$600.00
Arapahoe Cnty Colorado Wtr & Wstwr Revenue Bonds DTD 12/16/2009 6.530% 12/01/2034 Callable	5,000.00	109.67	\$5,483.65	\$5,376.56	\$107.09	\$326.50
Arizona State Sch Facs Brd COPs General Obligation DTD 10/07/2010 6.000% 09/01/2027 Non Callable	10,000.00	110.36	\$11,036.10	\$10,680.20	\$355.90	\$600.00
Carson City Nevada General Obligation DTD 07/14/2010 6.662% 11/01/2039 Callable	5,000.00	107.45	\$5,372.70	\$5,275.48	\$97.22	\$333.10
Connecticut State HLTH & Educatnl Higher Education DTD 06/30/2011 6.033% 07/01/2031 Callable	10,000.00	103.71	\$10,371.10	\$10,817.72	-\$446.62	\$603.30
Cook County Illinois General Obligation DTD 06/23/2010 6.229% 11/15/2034 Non Callable	10,000.00	117.07	\$11,707.30	\$9,897.40	\$1,809.90	\$622.90

Asset Detail Section (continued)

Statement of Value and Activity

January 1, 2016 - December 31, 2016

Description	Shares/Par Value	Current Price	Market Value	Tax Cost	Unrealized G/L	Est. Ann. Income
Elgin Ohio Local Sch Dist General Obligation Callible	10,000.00	107.30	\$10,730.10	\$10,456.94	\$273.16	\$596.60
DTD 08/31/2010 5.966% 12/01/2030 SD Cred Prog						
Florida State Governmental Util Utility Revenue Callible	10,000.00	113.92	\$11,391.60	\$10,875.82	\$515.78	\$654.80
DTD 10/13/2010 6.548% 10/01/2040 Callible						
Fort Wayne Indiana Muni Bldg Corp Revenue Bonds DTD 12/10/2009 6.370% 12/15/2029 Callible	5,000.00	106.49	\$5,324.55	\$5,374.72	-\$50.17	\$318.50
General Elec Cap Corp Medium Term Note	20,000.00	125.85	\$25,170.60	\$24,172.56	\$998.04	\$1,175.00
DTD 01/14/2008 5.875% 01/14/2038 Non Callible						
Goldman Sachs Group Inc DTD 02/13/2003 6.125% 02/15/2033	11,000.00	120.86	\$13,294.93	\$12,985.00	\$309.93	\$673.75
Missouri State HLTH & Educatl Fac Higher Education DTD 12/16/2010 6.750% 10/01/2035 Callible	10,000.00	106.09	\$10,608.60	\$10,233.85	\$374.75	\$675.00
Penn Hills Pennsylvania Sch Dist School District DTD 09/15/2009 6.200% 10/01/2039 Callible	5,000.00	108.53	\$5,426.35	\$5,307.25	\$119.10	\$310.00
FSA St Aid Withldg						

Asset Detail Section (continued)

Statement of Value and Activity

January 1, 2016 - December 31, 2016

Description	Shares/Par Value	Current Price	Market Value	Tax Cost	Unrealized G/L	Est. Ann. Income
Saint Peters Missouri Revenue Bonds	10,000.00	102.89	\$10,289.20	\$10,141.10	\$148.10	\$625.00
DTD 05/03/2010 6.250% 05/01/2030 Callable						
Sonoma Cnty California Pension Oblig General Obligation	20,000.00	117.29	\$23,457.20	\$23,115.03	\$342.17	\$1,200.00
DTD 09/01/2010 6.000% 12/01/2029 Non Callable Cnty Gtd						
Uniontown Pennsylvania Area Sch Dist General Obligation	10,000.00	109.19	\$10,918.50	\$10,398.17	\$520.33	\$626.10
DTD 06/02/2010 6.261% 10/01/2039 Callable St Aid Withldg						
Total Taxable Fixed Income			\$181,316.38	\$175,701.70	\$5,614.68	\$9,940.55
Tax-Free Fixed Income						
Illinois Mun Elec AGY Pwr Supp Power Revenue	10,000.00	100.36	\$10,036.10	\$10,036.95	-\$0.85	\$500.00
DTD 09/06/2007 5.000% 02/01/2035 Callable Natl-Re FGIC						
Total Tax-Free Fixed Income			\$10,036.10	\$10,036.95	-\$0.85	\$500.00

Asset Detail Section (continued)

Statement of Value and Activity

January 1, 2016 - December 31, 2016

Description	Shares/Par Value	Current Price	Market Value	Tax Cost	Unrealized G/L	Est. Ann. Income
Fixed Income Mutual Funds and Unit Trusts						
Baird Short Term Bond Fund Institutional Class	7,022.16	9.66	\$67,834.10	\$67,974.25	-\$140.15	\$1,081.41
JPMorgan Mite Backed SEC Fund	1,753.93	11.15	\$19,556.31	\$20,029.34	-\$473.03	\$547.23
Legg Mason Bw Global Opportunities Bond Fund	1,511.61	10.09	\$15,252.17	\$15,278.58	-\$26.41	\$0.00
Mainsay High Yield Corporate Bond Fund Class I	4,472.27	5.74	\$25,670.84	\$25,000.00	\$670.84	\$1,659.21
<hr/>						
Total Fixed Income Mutual Funds and Unit Trusts			\$128,313.42	\$128,282.17	\$31.25	\$3,287.85
<hr/>						
Total All Assets			\$702,982.37	\$720,749.94	-\$17,767.57	\$19,805.83