EXTENDED TO NOVEMBER 15, 2017

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation: SNUG HARBOR FOUNDATION

A Employer identification number: 37-6589535

Number and street (or P O box number if mail is not delivered to street address): 221 BOLIVAR STREET | Room/suite:

B Telephone number: (573) 556-6620

City or town, state or province, country, and ZIP or foreign postal code: JEFFERSON CITY, MO 65101

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 33,082,443.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1 Contributions, gifts, grants, etc , received	31,494,280.		N/A	
2 Check ▶ ☐ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	469,646.	469,117.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	172,946.			
b Gross sales price for all assets on line 6a 6,313,997.				
7 Capital gain net income (from Part IV, line 2)		172,946.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 **Total** Add lines 1 through 11	32,136,872.	642,063.		
Operating and Administrative Expenses 13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees STMT 2	4,815.	0.		4,815.
b Accounting fees				
c Other professional fees STMT 3	66,103.	56,188.		9,915.
17 Interest				
18 Taxes STMT 4	19,998.	0.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses STMT 5	109.	109.		0.
24 **Total operating and administrative expenses** Add lines 13 through 23	91,025.	56,297.		14,730.
25 Contributions, gifts, grants paid	500.			500.
26 **Total expenses and disbursements** Add lines 24 and 25	91,525.	56,297.		15,230.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	32,045,347.			
b **Net investment income** (if negative, enter -0-)		585,766.		
c **Adjusted net income** (if negative, enter -0-)			N/A	

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623501 11-23-16 LHA For Paperwork Reduction Act Notice, see instructions

Form **990-PF** (2016)

929-32

09500807 143085 SNUG9535 2016.04013 SNUG HARBOR FOUNDATION SNUG9531

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	100.	2,138,538.	2,138,538.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less. allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	0.	23,797,899.	25,773,553.
	c Investments - corporate bonds STMT 8	0.	5,350,379.	5,170,352.
	11 Investments land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	100.	31,286,816.	33,082,443.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [ ] and complete lines 24 through 26 and lines 30 and 31**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	100.	31,286,816.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 **Total net assets or fund balances**	100.	31,286,816.	
	31 **Total liabilities and net assets/fund balances**	100.	31,286,816.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	100.
2	Enter amount from Part I, line 27a	2	32,045,347.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	32,045,447.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 6	5	758,631.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	31,286,816.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g , real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo , day, yr.)
1a	SEE STATEMENT B			
b	CAPITAL GAINS DIVIDENDS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	6,274,593.		6,141,051.	133,542.
b	39,404.			39,404.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
a				133,542.
b				39,404.
c				
d				
e				

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	172,946.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col (c))
2015	0.	99.	.000000
2014			
2013			
2012			
2011			

2 **Total** of line 1, column (d)	2	.000000
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.000000
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	19,895,998.
5 Multiply line 4 by line 3	5	0.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,858.
7 Add lines 5 and 6	7	5,858.
8 Enter qualifying distributions from Part XII, line 4	8	15,230.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI | Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1				
Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	5,858.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	5,858.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0-			5	5,858.
6 Credits/Payments:				
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	19,998.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	19,998.
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	7.
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	14,133.
11 Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 14,133. **Refunded** ▶			11	0.

Part VII-A | Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MO			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 9	10	X	

Part VII-A Statements Regarding Activities *(continued)*

		Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ BOARD OF TRUSTEES Telephone no. ▶ (573) 556-6620

Located at ▶ 221 BOLIVAR STREET, JEFFERSON CITY, MO ZIP+4 ▶ 65101

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Yes	No
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a During the year did the foundation (either directly or indirectly):				
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No			
If "Yes," list the years ▶ ____ , ____ , ____ , ____				
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____ , ____ , ____ , ____				
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b If "Yes," did it have excess business holdings in 2016 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)*	N/A	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b | |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JEANNE MATTINGLY MILLER 221 BOLIVAR STREET JEFFERSON CITY, MO 65101	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	19,071,148.
b	Average of monthly cash balances	1b	1,127,835.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	20,198,983.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	20,198,983.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	302,985.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	19,895,998.
6	**Minimum investment return** Enter 5% of line 5	6	994,800.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	994,800.
2a	Tax on investment income for 2016 from Part VI, line 5 — 2a: 5,858.		
b	Income tax for 2016 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	5,858.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	988,942.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	988,942.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	988,942.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	15,230.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	15,230.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	5,858.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	9,372.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				988,942.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			5.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶$ 15,230.				
a Applied to 2015, but not more than line 2a			5.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				15,225.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				973,717.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2017** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9.				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
THE BACKSTOPPERS 10411 CLAYTON, SUITE A5 SAINT LOUIS, MO 63131	NONE	PC	GENERAL OPERATIONS	500.
Total			▶ 3a	500.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	469,646.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	172,946.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		642,592.	0.

13 Total Add line 12, columns (b), (d), and (e) 13 642,592.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Leanne Mattingly Miller 8-25-2017 ▶ TRUSTEE

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
THOMAS W BASSETT	Thomas W. Bassett	8/7/2017		P00024534
Firm's name ▶ THE COMMERCE TRUST COMPANY			Firm's EIN ▶ 48-0962626	
Firm's address ▶ PO BOX 11356 CLAYTON, MO 63105			Phone no. 314-746-7329	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990* .

OMB No 1545-0047

2016

Name of the organization	Employer identification number
SNUG HARBOR FOUNDATION	37-6589535

Organization type (check one).

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
SNUG HARBOR FOUNDATION	37-6589535

Part I **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	F.H.S. INTERIM TR C/O COMMERCE TRUST CO, P.O. BOX 11356 CLAYTON, MO 63105	$ 2,004,895.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
2	F.H.S. INTERIM TR C/O COMMERCE TRUST CO, P.O. BOX 11356 CLAYTON, MO 63105	$ 29,489,385.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
3		$	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization: SNUG HARBOR FOUNDATION

Employer identification number: 37-6589535

Part II Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
2	SEE STATEMENT C	$ 29,489,385.	05/24/16
		$	
		$	
		$	
		$	
		$	

Name of organization: SNUG HARBOR FOUNDATION

Employer identification number: 37-6589535

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc , contributions of $1,000 or less for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
THE COMMERCE TRUST COMPANY	509,050.	39,404.	469,646.	469,117.	
TO PART I, LINE 4	509,050.	39,404.	469,646.	469,117.	

FORM 990-PF LEGAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES - BRYAN CAVE	4,815.	0.		4,815.
TO FM 990-PF, PG 1, LN 16A	4,815.	0.		4,815.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT COUNSEL/ADVISORY FEES - COMMERCE TRUST CO	66,103.	56,188.		9,915.
TO FORM 990-PF, PG 1, LN 16C	66,103.	56,188.		9,915.

FORM 990-PF — TAXES — STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX ESTIMATES	19,998.	0.		0.
TO FORM 990-PF, PG 1, LN 18	19,998.	0.		0.

FORM 990-PF — OTHER EXPENSES — STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADR FEES	109.	109.		0.
TO FORM 990-PF, PG 1, LN 23	109.	109.		0.

FORM 990-PF — OTHER DECREASES IN NET ASSETS OR FUND BALANCES — STATEMENT 6

DESCRIPTION	AMOUNT
UNREALIZED GAIN: SECURITIES CONTRIBUTED FROM F.H.S. INTERIM TR	758,631.
TOTAL TO FORM 990-PF, PART III, LINE 5	758,631.

FORM 990-PF — CORPORATE STOCK — STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT A	23,797,899.	25,773,553.
TOTAL TO FORM 990-PF, PART II, LINE 10B	23,797,899.	25,773,553.

FORM 990-PF	CORPORATE BONDS		STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT A	5,350,379.	5,170,352.
TOTAL TO FORM 990-PF, PART II, LINE 10C	5,350,379.	5,170,352.

FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10	STATEMENT 9

NAME OF CONTRIBUTOR	ADDRESS
F.H.S. INTERIM TR	C/O COMMERCE TRUST CO, P.O. BOX 11356 CLAYTON, MO 63105

SNUG HARBOR FOUNDATION
EIN: 37-6589535
As of December 31, 2016

Group	Description	Units	Book Value	Market Value
1	FINANCIAL SQUARE TR GOVERNMENT FD	2,138,253	2,138,252.50	2,138,252 50
	CASH BALANCE - CHECKING ACCOUNT 4273	285	285 26	285 26
1 Total			**2,138,537.76**	**2,138,537.76**

2	3M CO	3,000	435,210 00	535,710.00
	AT&T INC	5,000	175,150 00	212,650 00
	AUTOMATIC DATA PROCESSING INC	5,500	384,521 09	565,290 00
	BRISTOL MYERS SQUIBB COMPANY	7,000	326,830 00	409,080.00
	EXXON MOBIL CORPORATION	7,000	711,025.00	631,820.00
	GENERAL ELECTRIC CO	18,000	490,860.00	568,800.00
	JOHNSON AND JOHNSON	5,000	514,775 00	576,050 00
	VERIZON COMMUNICATIONS	2,630	130,014.50	140,389 40
	JP MORGAN CHASE & CO	7,000	400,977 50	604,030 00
	MICROSOFT CORP	12,500	515,625 00	776,750 00
	PROCTER & GAMBLE CO	7,000	560,315 00	588,560 00
	SCHLUMBERGER LTD	3,000	318,705 00	251,850 00
	US BANCORP	7,000	302,330 00	359,590 00
	ABBOTT LABS	4,800	192,312 00	184,368 00
	CHEVRON CORPORATION	5,000	621,307 50	588,500 00
	DU PONT (E I) DE NEMOURS & CO	4,000	263,958.61	293,600 00
	NESTLE S A ADR REG	7,500	577,837 50	539,062 50
	VISA INC CLASS A SHARES	6,000	319,215 00	468,120 00
	ENERGIZER HOLDINGS INC	3,200	112,904 94	142,752 00
	MFS RESEARCH INTERNATIONAL FUND-I	23,585	449,764 16	371,226 42
	ABBVIE INC	4,800	260,644 80	300,576 00
	APPLE INC	2,100	194,911 50	243,222 00
	BECTON DICKINSON & COMPANY	2,500	299,612 50	413,875 00
	BERKSHIRE HATHAWAY INC CL B	6,000	768,555 00	977,880 00
	CELGENE CORP	5,000	404,512 63	578,750 00
	CLEARBRIDGE SMALL CAP	7,184	202,801 72	207,112 07
	DFA INTL SMALL CO PORTFOLIO	39,459	700,000 00	681,454 34
	DOW CHEMICAL	4,500	238,815 00	257,490 00
	EDGEWELL PERSONAL CARE CO	3,200	264,199 06	233,568 00
	EMERSON ELECTRIC CO	10,000	680,900 00	557,500 00
	GILEAD SCIENCES INC	7,000	560,000 00	501,270 00
	INTEL CORP	10,000	281,250 00	362,700 00
	INVESCO DEVELOPING MKTS-R6	7,940	257,513 55	230,191 67
	INVESCO LTD	8,000	305,520 00	242,720 00
	IPATH BLOOMBERG COMMODITY INDEX	2,000	78,430 00	48,460 00
	ISHARES COMEX GOLD TRUST	25,000	303,626 25	277,000 00
	ISHARES RUSSELL MIDCAP ETF	4,000	642,179 80	715,440 00
	ISHARES RUSSELL 2000 VALUE ETF	10,000	1,020,200 00	1,189,400 00
	ISHARES US PREFERRED STOCK ETF	3,750	147,768 75	139,537 50
	J M SMUCKER CO	3,500	370,177 50	448,210 00
	JP MORGAN ALERIAN MLP INDEX ETN	10,000	308,575 00	316,100 00
	KIMBERLY CLARK CORP	4,000	428,287 20	456,480 00
	LABORATORY CRP OF AMER HLDGS	2,500	258,225 00	320,950 00
	LAZARD EMERGING MARKETS EQUITY PORT	14,449	240,000 00	230,608 07
	MERCK & CO INC	5,536	318,901 28	325,904 32
	MONDELEZ INTERNATIONAL INC	4,000	152,450 00	177,320 00
	MONSANTO CO	3,500	426,002 50	368,235 00
	NEWELL BRANDS INC	7,758	342,942 39	346,394 70
	NORTHROP GRUMMAN CORP	2,500	310,250 00	581,450 00
	PEPSICO INC	5,500	484,398 75	575,465 00

SNUG HARBOR FOUNDATION
EIN: 37-6589535
As of December 31, 2016

Group	Description	Units	Book Value	Market Value
2	PFIZER INC	18,969	556,076 24	616,113 12
	PRUDENTIAL GLOBAL REAL ESTATE FUND-Q	4,598	112,781 61	104,919 54
	RAYTHEON CO	4,000	393,810 00	568,000 00
	T ROWE PR NEW HORIZONS-I	4,218	195,624 67	182,845 10
	T ROWE PR OVERSEAS STOCK-I	32,787	300,000 00	296,393 45
	UNION PACIFIC CORP	6,000	609,060 00	622,080 00
	VANGUARD FTSE DEVELOPED MARKETS	41,000	1,765,833 10	1,498,140 00
	VANGUARD FTSE EMERGING MARKETS-ETF	10,000	435,200.00	357,800 00
	WALGREENS BOOTS ALLIANCE INC	5,000	374,225.25	413,800.00
2 Total			**23,797,898.85**	**25,773,553.20**
3	COMMERCE BOND FUND #333	84,117	1,700,000 00	1,662,988 62
	BAIRD AGGREGATE BOND FUND IS	66,303	730,000 00	709,445 96
	HARTFORD FLOATING RATE FUND-Y	25,074	225,419 53	217,144 36
	PIMCO EMERGING LOCAL BOND FUND IS	15,367	147,989.06	106,803 13
	VANGUARD INTM TERM INV G-ADM	98,000	980,000 00	944,720 00
	WFA CORE BOND FUND-I	55,939	730,000 00	709,862 06
	BLACKROCK HIGH YIELD BD-PORTFOLIO	12,674	106,970 85	96,831 43
	DODGE & COX INCOME FD	53,168	730,000 00	722,556 45
3 Total			**5,350,379.44**	**5,170,352.01**
Grand Total			**31,286,816.05**	**33,082,442.97**

tal Gain's and Losses

Security Description	Date Acquired	Trade Date	Data Sales Price	Tax Cost	Gain (Loss)
)	6/9/2014	10/27/2016	83,032 19	72,535.00	10,497 1
MATIC DATA PROCESSIN	6/9/2014	10/27/2016	86,902.50	69,912.92	16,989.5
ON DICKINSON & COMPA	6/9/2014	6/16/2016	333,125.73	239,690.00	93,435 7
		10/27/2016	83,898.17	59,922 50	23,975 6
SHIRE HATHAWAY INC CI	6/9/2014	6/16/2016	210,712 85	192,138 75	18,574 1
'OL MYERS SQUIBB COMI	6/9/2014	6/16/2016	214,330.92	140,070.00	74,260 9
C	6/9/2014	6/16/2016	229,030.79	203,875.00	25,155 7
ENE CORP	6/9/2014	6/16/2016	149,563.23	121,353.79	28,209.4
		10/27/2016	104,328.72	80,902 52	23,426.2
/ERCE NATL TAX-FREE B	6/9/2014	6/16/2016	379,418.11	366,971.99	12,446.1
.SON ELECTRIC CO	6/9/2014	6/16/2016	155,120.31	204,270.00	(49,149.6
KLIN RESOURCES INC	6/9/2014	6/16/2016	147,190.88	254,801.25	(107,610.3
.D SCIENCES INC	6/9/2014	6/16/2016	166,874.35	160,000.00	6,874.3
₹ES US PREFERRED STO	6/9/2014	6/16/2016	274,480.80	275,835.00	(1,354 2
MUCKER CO	6/9/2014	10/27/2016	64,766 28	52,882 50	11,883 7
SON AND JOHNSON	6/9/2014	6/16/2016	174,357 24	154,432 50	19,924 7
		10/27/2016	115,572 48	102,955 00	12,617.4
RATORY CRP OF AMER H	6/9/2014	10/27/2016	62,289 14	51,645 00	10,644 1
'S CORP	6/9/2014	6/16/2016	237,294 22	264,150.00	(26,855 7
JOHNSON NUTRITION C	6/9/2014	6/16/2016	295,609.50	310,135 00	(14,525 5
;ANTO CO	6/9/2014	6/16/2016	215,457.89	243,430.00	(27,972 1
ICO INC	6/9/2014	6/16/2016	206,829 08	176,145.00	30,684 0
R INC	6/9/2014	6/16/2016	207,568 46	175,890.00	31,678 4
) EMERGING LOCAL BON	6/9/2014	6/16/2016	60,000.00	81,152 46	(21,152 4
.TER & GAMBLE CO	6/9/2014	10/27/2016	86,789.60	80,045 00	6,744 6
HEON CO	6/9/2014	6/16/2016	135,644 54	98,452 50	37,192 0
S&P EMERGING ASIA PA	6/9/2014	10/27/2016	284,772 68	289,555 00	(4,782 3
ET CORP	6/9/2014	6/16/2016	536,952 28	462,760 00	74,192 2
;HEMOURS COMPANY	6/9/2014	6/16/2016	6,942 88	14,141 39	(7,198 5
OISE ENERGY INFRASTR	6/9/2014	10/27/2016	75,821 34	123,887.50	(48,066 1
\ PACIFIC CORP	6/9/2014	6/16/2016	130,150.21	152,265.00	(22,114 7
		10/27/2016	44,469 03	50,755 00	(6,285 9
UARD FTSE DEVELOPED	6/9/2014	10/27/2016	292,615 21	344,552 80	(51,937 5
UARD FTSE EMERGING M	6/9/2014	6/16/2016	167,336.84	217,600 00	(50,263 1
FONE GROUP PLC SP AD	6/9/2014	6/16/2016	164,740.47	190,317 33	(25,576 8
IS INC	6/9/2014	6/16/2016	90,603 59	61,622 88	28,980 7
			6,274,592 51	6,141,050 58	133,541 9
) AGGREGATE BOND FUN	/ /	/ /	471 42	-	471 4
₹BRIDGE SMALL CAP	/ /	/ /	5,881 61	-	5,881.6
/ERCE BOND FUND	/ /	/ /	672 93	-	672 9
\TERNATIONAL SMALL C	/ /	/ /	17,020 18	-	17,020 1
'ENTIAL GLOBAL REAL ES	/ /	/ /	1,312.60	-	1,312.6
VE PRICE NEW HORIZON	/ /	/ /	9,869.84	-	9,869 8
UARD INTERMEDIATE TE	/ /	/ /	3,567 20	-	3,567 2
S FARGO CORE BOND	/ /	/ /	608.05	-	608 0
			39,403.83	-	39,403 8
			6,313,996.34	**6,141,050.58**	**172,945.7**

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CUSIP NUMBER	SECURITY DESCRIPTION	UNITS	CARRYING VALUE	PRICE PRICE DATE	MARKET VALUE
G491BT-10-8	INVESCO LTD	8,000.000	305,520.00	30.730 05/24/2016	245,840.00
00142R-52-1	INVESCO DEVELOPING MARKETS FUND-R6	3,389.017	117,513.55	27.280 05/24/2016	92,452.38
00206R-10-2	AT&T INC	5,000.000	175,150.00	38.500 05/24/2016	192,500.00
002824-10-0	ABBOTT LABS	4,800.000	192,312.00	37.980 05/24/2016	182,304.00
00287Y-10-9	ABBVIE INC	4,800.000	260,644.80	60.700 05/24/2016	291,360.00
037833-10-0	APPLE INC	2,100.000	194,911.50	97.900 05/24/2016	205,590.00
053015-10-3	AUTOMATIC DATA PROCESSING INC	6,500.000	454,434.01	87.460 05/24/2016	568,490.00
06738C-77-8	IPATH BLOOMBERG COMMODITY INDEX TOTAL RETURN ETN	2,000.000	78,430.00	23.220 05/24/2016	46,440 00
075887-10-9	BECTON DICKINSON & COMPANY	5,000.000	599,225.00	166.070 05/24/2016	830,350.00
084670-70-2	BERKSHIRE HATHAWAY INC CL B	7,500.000	960,693.75	143.710 05/24/2016	1,077,825.00
091929-68-7	BLACKROCK HIGH YIELD BOND PORTFOLIO	12,674.271	106,970.85	7.330 05/24/2016	92,902.41
110122-10-8	BRISTOL MYERS SQUIBB COMPANY	10,000.000	466,900.00	71.230 05/24/2016	712,300.00
12673P-10-5	CA INC	7,000.000	203,875.00	31.460 05/24/2016	220,220.00
151020-10-4	CELGENE CORP	7,500.000	606,768.94	103.700 05/24/2016	777,750.00
163851-10-8	THE CHEMOURS COMPANY	800.000	14,141.39	9.230 05/24/2016	7,384.00
166764-10-0	CHEVRON CORPORATION	5,000.000	621,307.50	100.190 05/24/2016	500,950.00
200626-70-3	COMMERCE NATL TAX-FREE BD FD #334	18,857.759	366,971.99	19.930 05/24/2016	375,835.14

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CUSIP NUMBER	SECURITY DESCRIPTION	UNITS	CARRYING VALUE	PRICE PRICE DATE	MARKET VALUE
260543-10-3	DOW CHEMICAL	4,500.000	238,815.00	52.820 05/24/2016	237,690.00
263534-10-9	DU PONT (E I) DE NEMOURS & CO	4,000.000	263,958.61	67.920 05/24/2016	271,680.00
28035Q-10-2	EDGEWELL PERSONAL CARE CO	3,200.000	264,199.06	79.220 05/24/2016	253,504.00
291011-10-4	EMERSON ELECTRIC CO	13,000.000	885,170.00	51.170 05/24/2016	665,210.00
29272W-10-9	ENERGIZER HOLDINGS INC	3,200.000	112,904.94	47.220 05/24/2016	151,104.00
30231G-10-2	EXXON MOBIL CORPORATION	7,000.000	711,025.00	89.670 05/24/2016	627,690.00
354613-10-1	FRANKLIN RESOURCES INC	4,500.000	254,801.25	36.570 05/24/2016	164,565.00
369604-10-3	GENERAL ELECTRIC CO	18,000.000	490,860.00	29.850 05/24/2016	537,300.00
375558-10-3	GILEAD SCIENCES INC	9,000.000	720,000.00	86.220 05/24/2016	775,980.00
416648-52-5	HARTFORD FLOATING RATE FUND-Y	25,074.406	225,419.53	8.330 05/24/2016	208,869.80
458140-10-0	INTEL CORP	10,000.000	281,250.00	31.060 05/24/2016	310,600.00
464285-10-5	ISHARES COMEX GOLD TRUST	25,000.000	303,626.25	11.840 05/24/2016	296,000.00
464287-49-9	ISHARES RUSSELL MIDCAP ETF	4,000.000	642,179.80	165.340 05/24/2016	661,360.00
464287-63-0	ISHARES RUSSELL 2000 VALUE ETF	10,000.000	1,020,200.00	95.490 05/24/2016	954,900.00
464288-68-7	ISHARES US PREFERRED STOCK ETF	10,750.000	423,603.75	39.400 05/24/2016	423,550.00
46625H-10-0	JP MORGAN CHASE & CO	7,000.000	400,977.50	64.540 05/24/2016	451,780.00
478160-10-4	JOHNSON AND JOHNSON	7,500.000	772,162.50	112.690 05/24/2016	845,175.00

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CUSIP NUMBER	SECURITY DESCRIPTION	UNITS	CARRYING VALUE	PRICE PRICE DATE	MARKET VALUE
494368-10-3	KIMBERLY CLARK CORP	4,000 000	428,287.20	126.760 05/24/2016	507,040.00
50540R-40-9	LABORATORY CRP OF AMER HLDGS	3,000.000	309,870.00	128.160 05/24/2016	384,480.00
52470H-76-5	CLEARBRIDGE SMALL CAP GROWTH FUND CLASS I	7,183.908	202,801.72	26.050 05/24/2016	187,140.80
540424-10-8	LOEWS CORP	6,000.000	264,150.00	40.250 05/24/2016	241,500.00
552983-47-0	MFS RESEARCH INTERNATIONAL FUND-I	23,584.906	449,764.16	15.880 05/24/2016	374,528.31
582839-10-6	MEAD JOHNSON NUTRITION COMPANY	3,500.000	310,135.00	82.460 05/24/2016	288,610.00
58933Y-10-5	MERCK & CO INC	5,536.000	318,901.28	55.600 05/24/2016	307,801.60
594918-10-4	MICROSOFT CORP	12,500.000	515,625.00	51.590 05/24/2016	644,875.00
609207-10-5	MONDELEZ INTERNATIONAL INC	4,000.000	152,450.00	44.150 05/24/2016	176,600.00
61166W-10-1	MONSANTO CO	5,500.000	669,432.50	109.300 05/24/2016	601,150.00
641069-40-6	NESTLE S A ADR REG	7,500.000	577,837.50	74.156 05/24/2016	556,170.00
651229-10-6	NEWELL BRANDS INC.	7,758.000	342,942.39	47.890 05/24/2016	371,530.62
666807-10-2	NORTHROP GRUMMAN CORP	2,500.000	310,250.00	214.680 05/24/2016	536,700.00
713448-10-8	PEPSICO INC	7,500.000	660,543.75	101.090 05/24/2016	758,175.00
717081-10-3	PFIZER INC	24,969.000	731,966.24	34.100 05/24/2016	851,442.90
72201F-51-6	PIMCO EMERGING LOCAL BOND FUND IS	23,794.323	229,141.52	7.000 05/24/2016	166,560.26
742718-10-9	PROCTER & GAMBLE CO	8,000.000	640,360.00	80.970 05/24/2016	647,760.00

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CUSIP NUMBER	SECURITY DESCRIPTION	UNITS	CARRYING VALUE	PRICE PRICE DATE	MARKET VALUE
744336-87-6	PRUDENTIAL GLOBAL REAL ESTATE FUND-Q	4,597.701	112,781.61	24.060 05/24/2016	110,620.69
755111-50-7	RAYTHEON CO	5,000.000	492,262.50	130.050 05/24/2016	650,250.00
779562-20-6	T ROWE PRICE NEW HORIZONS FUND-I	4,217.880	195,624.67	41.690 05/24/2016	175,843.42
78463X-30-1	SPDR S&P EMERGING ASIA PACIFIC	3,500.000	289,555.00	71.359 05/24/2016	249,759.65
806857-10-8	SCHLUMBERGER LTD	3,000.000	318,705.00	75.620 05/24/2016	226,860.00
832696-40-5	J.M. SMUCKER CO	4,000.000	423,060.00	127.930 05/24/2016	511,720.00
87612E-10-6	TARGET CORP	8,000.000	462,760.00	68.690 05/24/2016	549,520.00
88579Y-10-1	3M CO	3,500.000	507,745.00	168.630 05/24/2016	590,205.00
89147L-10-0	TORTOISE ENERGY INFRASTRUCTURE CORP	2,500.000	123,887.50	29.320 05/24/2016	73,300.00
902973-30-4	US BANCORP	7,000.000	302,330.00	42.280 05/24/2016	295,960.00
907818-10-8	UNION PACIFIC CORP	8,000.000	812,080.00	81.290 05/24/2016	650,320.00
921943-85-8	VANGUARD FTSE DEVELOPED MARKETS ETF	49,000.000	2,110,385.90	36.340 05/24/2016	1,780,660.00
922042-85-8	VANGUARD FTSE EMERGING MARKETS-ETF	15,000.000	652,800.00	33.120 05/24/2016	496,800.00
92343V-10-4	VERIZON COMMUNICATIONS	2,630.000	130,014.50	49.580 05/24/2016	130,395.40
92826C-83-9	VISA INC CLASS A SHARES	6,000.000	319,215.00	79.380 05/24/2016	476,280.00
92857W-30-8	VODAFONE GROUP PLC SP ADR	5,454.000	190,317.33	33.750 05/24/2016	184,072.50
931427-10-8	WALGREENS BOOTS ALLIANCE INC	5,000.000	374,225.25	77.150 05/24/2016	385,750.00

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AS OF DATE: 05/24/16

CUSIP NUMBER	SECURITY DESCRIPTION	UNITS	CARRYING VALUE	PRICE PRICE DATE	MARKET VALUE
98978V-10-3	ZOETIS INC	1,936.000	61,622.88	47.290 05/24/2016	91,553.44
		TOTAL HOLDINGS	28,730,753.87		29,489,385.32
		TOTAL INCOME CASH	.00		.00
		TOTAL PRINCIPAL CASH	.00		.00
		TOTAL ACCOUNT	28,730,753.87		29,489,385.32