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Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
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 ▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052
2016
Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation HELEN S CASEY TRUST		A Employer identification number 37-6333851	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 0634		B Telephone number (see instructions) (314) 418-2643	
City or town, state or province, country, and ZIP or foreign postal code MILWAUKEE, WI 532010634		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 2,087,721		J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	5,928	5,928		
	5a Gross rents	45,718	45,718	45,718	
	b Net rental income or (loss) 20,432				
	6a Net gain or (loss) from sale of assets not on line 10	5,291			
	b Gross sales price for all assets on line 6a 135,305				
	7 Capital gain net income (from Part IV, line 2)		5,291		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	1,909				
12 Total. Add lines 1 through 11	58,846	56,937	45,718		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	4,782	4,304		478
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)	2,500	0	0	2,500
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	92	92		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	25,301	25,286	25,286	15
	24 Total operating and administrative expenses. Add lines 13 through 23	32,675	29,682	25,286	2,993
	25 Contributions, gifts, grants paid	104,354			104,354
26 Total expenses and disbursements. Add lines 24 and 25	137,029	29,682	25,286	107,347	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-78,183				
b Net investment income (if negative, enter -0-)		27,255			
c Adjusted net income (if negative, enter -0-)			20,432		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	149	183	183
	2 Savings and temporary cash investments	54,435	57,760	57,760
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	161,755	117,884	115,517
	c Investments—corporate bonds (attach schedule)	43,406	30,917	31,664
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	473,991	448,814	1,882,322
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	110	275	275	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	733,846	655,833	2,087,721	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	733,846	655,833	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)	733,846	655,833		
31 Total liabilities and net assets/fund balances (see instructions) .	733,846	655,833		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	733,846
2 Enter amount from Part I, line 27a	2	-78,183
3 Other increases not included in line 2 (itemize) ▶ _____	3	170
4 Add lines 1, 2, and 3	4	655,833
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	655,833

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)		
1a See Additional Data Table						
b						
c						
d						
e						
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)			
a See Additional Data Table						
b						
c						
d						
e						
(i) F M V as of 12/31/69			(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
a See Additional Data Table						
b						
c						
d						
e						
2 Capital gain net income or (net capital loss)					2	5,291
{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }						
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)					3	
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8						

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	72,358	2,178,270	0 033218
2014	66,579	1,524,365	0 043677
2013	65,895	1,341,750	0 049111
2012	65,987	1,339,152	0 049275
2011	66,832	1,341,877	0 049805
2 Total of line 1, column (d)			2 0 225086
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 045017
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 2,101,165
5 Multiply line 4 by line 3			5 94,588
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 273
7 Add lines 5 and 6			7 94,861
8 Enter qualifying distributions from Part XII, line 4			8 107,347

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, domestic foundations, tax under section 511, subtitle A tax, and credits/payments. Total amount owed is 735, with 459 refunded.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, 'Yes', and 'No' responses. Questions cover political campaign influence, political expenditures, and state reporting requirements.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). 11 No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) 12 No
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A 13 Yes
14 The books are in care of US BANK NA Telephone no (314) 418-2643
Located at PO BOX 387 ST LOUIS MO ZIP+4 63166
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -Check here 15
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? Yes No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b No
Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? 1c No
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? Yes No
If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions). 2b No
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? 4b No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	2,083,669
b	Average of monthly cash balances.	1b	49,493
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,133,162
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,133,162
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	31,997
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,101,165
6	Minimum investment return. Enter 5% of line 5.	6	105,058

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	105,058
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	273
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	273
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	104,785
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	104,785
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	104,785

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	107,347
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	107,347
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	273
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	107,074

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				104,785
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			104,354	
b Total for prior years 2014, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.	0			
b From 2012.	0			
c From 2013.	0			
d From 2014.	0			
e From 2015.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>107,347</u>				
a Applied to 2015, but not more than line 2a			104,354	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				2,993
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				101,792
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2012.	0			
b Excess from 2013.	0			
c Excess from 2014.	0			
d Excess from 2015.	0			
e Excess from 2016.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SHRINERS HOSPITALS FOR CHILDREN PO BOX 31356 TAMPA, FL 33631	NONE	PC	GENERAL OPERATING	52,177
ST JUDE CHILDREN S HOSPITAL 501 STJUDE PLACE MEMPHIS, TN 38105	NONE	PC	GENERAL OPERATING	52,177
Total ▶ 3a				104,354
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
841 403 FEDERATED INST HI YLD BD FD		2014-06-09	2016-05-03
2 92 FEDERATED INST HI YLD BD FD		2014-12-04	2016-05-03
59 908 FEDERATED INST HI YLD BD FD		2015-05-07	2016-05-03
49 56 NUVEEN REAL ESTATE SECS I		2010-08-17	2016-05-03
67 385 ROBECO BOSTON PARTNERS L S RSRCH		2013-11-15	2016-05-03
36 35 ROWE T PRICE MID-CAP VALUE FD INC		2014-06-10	2016-05-03
21 864 VANGUARD EQUITY INCOME ADM		2012-07-25	2016-05-03
132 626 BAIRD AGGREGATE BOND FD INSTL		2014-08-08	2016-06-23
241 552 BARON EMERGING MARKETS INSTITUTIONAL		2015-06-11	2016-06-23
193 102 FEDERATED INST HI YLD BD FD		2015-05-07	2016-06-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,977		8,751	-774
28		29	-1
568		600	-32
1,173		809	364
996		947	49
987		1,189	-202
1,401		1,052	349
1,456		1,427	29
2,679		2,925	-246
1,852		1,935	-83

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-774
			-1
			-32
			364
			49
			-202
			349
			29
			-246
			-83

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
149 898 GOLDMAN SACHS COMM STRAT INS		2016-05-03	2016-06-23
12 ISHARES JPMORGAN USD EMERG ETF		2015-01-23	2016-06-23
13 773 LOOMIS SAYLES STRATEGIC ALPHA FUND Y		2013-02-04	2016-06-23
189 143 LOOMIS SAYLES STRATEGIC ALPHA FUND Y		2013-08-12	2016-06-23
67 488 NUVEEN REAL ESTATE SECS I		2010-08-17	2016-06-23
95 534 NUVEEN INFLATION PRO SEC CL I		2013-02-04	2016-06-23
3 416 ROBECO BOSTON PARTNERS L S RSRCH		2014-12-18	2016-06-23
126 073 ROBECO BOSTON PARTNERS L S RSRCH		2014-02-25	2016-06-23
5 094 ROBECO BOSTON PARTNERS L S RSRCH		2015-05-07	2016-06-23
19 554 ROWE T PRICE MID CAP GROWTH FD INC		2014-01-22	2016-06-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,784		1,656	128
1,360		1,337	23
132		143	-11
1,814		1,897	-83
1,656		1,102	554
1,079		1,138	-59
51		52	-1
1,891		1,817	74
76		79	-3
1,479		1,453	26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			128
			23
			-11
			-83
			554
			-59
			-1
			74
			-3
			26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
76 985 T ROWE PRICE INTL GRINC FD		2014-08-04	2016-06-23
19 303 VANGUARD EQUITY INCOME ADM		2012-07-25	2016-06-23
29 17 VANGUARD SMALL CAP INDEX FUND		2015-12-23	2016-06-23
5 199 VANGUARD 500 IND		2014-01-09	2016-06-23
84 431 FIDELITY INVTR AD INTL DISCI		2014-10-27	2016-07-19
10 259 LOOMIS SAYLES STRATEGIC ALPHA FUND Y		2013-08-12	2016-07-19
239 795 LOOMIS SAYLES STRATEGIC ALPHA FUND Y		2014-06-13	2016-07-19
691 665 NEUBERGER BERMAN LONG SH INS		2015-05-07	2016-07-19
33 558 PRICE T ROWE GROWTH STK FD INC COM		2011-03-02	2016-07-19
6 916 PRICE T ROWE GROWTH STK FD INC COM		2011-05-09	2016-07-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,032		1,244	-212
1,273		929	344
1,638		1,565	73
1,013		881	132
3,167		3,181	-14
99		103	-4
2,321		2,441	-120
8,936		9,078	-142
1,756		1,121	635
362		237	125

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-212
			344
			73
			132
			-14
			-4
			-120
			-142
			635
			125

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
212 248 T ROWE PRICE INTL GRINC FD		2014-08-04	2016-07-19
48 558 ROWE T PRICE MID-CAP VALUE FD INC		2014-06-10	2016-07-19
719 544 BAIRD AGGREGATE BOND FD INSTL		2014-08-08	2016-07-28
95 59 FEDERATED INST HI YLD BD FD		2015-05-07	2016-08-10
1147 221 NUVEEN HIGH INCOME BOND FD CL I		2016-05-03	2016-08-10
148 368 AMER CENT DIVERSIFI BOND INS		2015-02-04	2016-09-19
142 94 BARON EMERGING MARKETS INSTITUTIONAL		2015-06-11	2016-09-19
255 833 CAUSEWAY EMERGING MARKETS INSTL		2014-04-09	2016-09-19
300 8 FEDERATED INST HI YLD BD FD		2015-05-07	2016-09-19
56 603 FIDELITY INVT TR AD INTL DISCI		2014-10-27	2016-09-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,738		3,430	-692
1,383		1,588	-205
8,001		7,773	228
940		958	-18
8,535		8,111	424
1,632		1,639	-7
1,691		1,731	-40
2,753		3,073	-320
2,945		3,014	-69
2,183		2,209	-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-692
			-205
			228
			-18
			424
			-7
			-40
			-320
			-69
			-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
113 46 GOLDMAN SACHS COMM STRAT INS		2016-05-03	2016-09-19
9 ISHARES JPMORGAN USD EMERG ETF		2015-01-23	2016-09-19
45 166 NUVEEN REAL ESTATE SECS I		2010-08-17	2016-09-19
149 241 NUVEEN INFLATION PRO SEC CL I		2013-02-04	2016-09-19
37 809 PRICE T ROWE GROWTH STK FD INC COM		2011-05-09	2016-09-19
182 475 ROBECO BOSTON PARTNERS L S RSRCH		2015-01-13	2016-09-19
13 738 ROWE T PRICE MID CAP GROWTH FD INC		2014-01-22	2016-09-19
124 569 T ROWE PRICE INTL GRINC FD		2014-08-04	2016-09-19
77 992 T ROWE PRICE INTL GRINC FD		2015-04-02	2016-09-19
38 044 VANGUARD EQUITY INCOME ADM		2012-07-25	2016-09-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,211		1,254	-43
1,041		1,003	38
1,098		901	197
1,695		1,787	-92
2,017		1,294	723
2,752		2,822	-70
1,052		1,021	31
1,661		1,965	-304
1,040		1,129	-89
2,496		1,831	665

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-43
			38
			197
			-92
			723
			-70
			31
			-304
			-89
			665

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
32 195 VANGUARD SMALL CAP INDEX FUND		2015-12-23	2016-09-19
9 375 VANGUARD 500 IND		2014-01-09	2016-09-19
45 193 CAMBIAR INTL EQUITY FUND INS		2015-04-02	2016-12-12
243 299 NOMURA HIGH YIELD FUND CLASS I		2016-08-10	2016-12-12
166 736 AMER CENT DIVERSIFI BOND INS		2015-02-04	2016-12-12
98 107 CAUSEWAY EMERGING MARKETS INSTL		2014-04-09	2016-12-12
142 003 COLUMBIA INCOME FD CL Z		2016-07-28	2016-12-12
211 45 FEDERATED INST HI YLD BD FD		2015-05-07	2016-12-12
32 746 FIDELITY INVNT TR AD INTL DISCI		2015-04-02	2016-12-12
111 264 LOOMIS SAYLES STRATEGIC ALPHA FUND Y		2014-06-13	2016-12-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,855		1,727	128
1,851		1,589	262
1,103		1,133	-30
2,319		2,275	44
1,774		1,842	-68
1,040		1,178	-138
1,410		1,451	-41
2,085		2,119	-34
1,192		1,333	-141
1,102		1,133	-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			128
			262
			-30
			44
			-68
			-138
			-41
			-34
			-141
			-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
157 34 LOOMIS SAYLES STRATEGIC ALPHA FUND Y		2015-05-07	2016-12-12
53 461 NUVEEN REAL ESTATE SECS I		2014-09-22	2016-12-12
67 226 NUVEEN INFLATION PRO SEC CL I		2013-05-20	2016-12-12
33 4 NUVEEN INFLATION PRO SEC CL I		2013-03-02	2016-12-12
31 473 PRICE T ROWE GROWTH STK FD INC COM		2011-05-09	2016-12-12
12 757 ROWE T PRICE MID CAP GROWTH FD INC		2014-01-22	2016-12-12
102 658 T ROWE PRICE INTL GRINC FD		2015-04-02	2016-12-12
75 682 ROWE T PRICE MID-CAP VALUE FD INC		2014-06-10	2016-12-12
39 431 VANGUARD EQUITY INCOME ADM		2012-07-25	2016-12-12
28 046 VANGUARD SMALL CAP INDEX FUND		2015-12-23	2016-12-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,558		1,575	-17
1,258		1,207	51
746		793	-47
371		403	-32
1,723		1,119	604
1,010		948	62
1,353		1,486	-133
2,383		2,467	-84
2,753		1,898	855
1,765		1,504	261

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-17
			51
			-47
			-32
			604
			62
			-133
			-84
			855
			261

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
14 466 VANGUARD 500 IND		2014-01-09	2016-12-12
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,028		2,452	576
			1,932

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			576

TY 2016 Accounting Fees Schedule**Name:** HELEN S CASEY TRUST**EIN:** 37-6333851

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	2,500			2,500

TY 2016 Investments Corporate Bonds Schedule**Name:** HELEN S CASEY TRUST**EIN:** 37-6333851

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BAIRD AGGREGATE BOND FD INSTL		
FEDERATED INST HI YLD BD FD	16,801	17,266
00771X708 NOMURA HIGH YIELD FU	6,069	6,172
19765N518 COLUMBIA INCOME FD C	4,058	3,967
38143H381 GOLDMAN SACHS COMM S	3,989	4,259

TY 2016 Investments Corporate Stock Schedule**Name:** HELEN S CASEY TRUST**EIN:** 37-6333851

Name of Stock	End of Year Book Value	End of Year Fair Market Value
NUVEEN INFLATION PRO SEC	13,160	12,924
NUVEEN REAL ESTATE SECURIT	15,066	14,281
VANGUARD EQUITY INCOME ADM	12,519	13,688
LOOMIS SAYLES STRATEGIC ALPHA	5,630	5,565
NEUBERGER BERMAN LONG SH INS		
ROBECO BOSTON PTNS LS RSRCH	11,141	11,173
T ROWE PRICE GROWTH STOCK #40	14,143	13,744
T ROWE PRICE MID CAP VALUE FD	5,964	5,932
CAUSEWAY EMERGING MARKETS INST	11,089	9,322
FIDELITY INVT AD INTL DISCI	15,645	13,880
VANGUARD 500 INDEX ADMIRAL	13,527	15,008

TY 2016 Investments - Other Schedule**Name:** HELEN S CASEY TRUST**EIN:** 37-6333851

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AMER CENT DIVERSIFI BOND INS	AT COST	10,152	9,859
BARON EMERGING MARKETS INSTITU	AT COST	10,603	9,614
CAMBIAR INTL EQUITY FUND INS	AT COST	4,363	4,113
ISHARES JPMORGAN USD EMERG ETF	AT COST	7,502	7,385
NW1/4SW1/4 & S1/2SW1/4NW1/4 22	AT COST	136,500	643,412
PT E1/2NE1/4 & NE1/4SE1/4 21-1	AT COST	250,250	1,179,588
T ROWE PRICE INTL GR&INC FD	AT COST	15,755	13,768
T ROWE PRICE MID CAP GROWTH FD	AT COST	6,379	6,165
VANGUARD SMALL CAP INDEX FUND	AT COST	7,310	8,418

TY 2016 Other Assets Schedule**Name:** HELEN S CASEY TRUST**EIN:** 37-6333851**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INCOME	110	275	275

TY 2016 Other Expenses Schedule**Name:** HELEN S CASEY TRUST**EIN:** 37-6333851**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CHARITABLE STATE FILING FEE	15	0		15
Rent and Royalty Expense	25,286			

TY 2016 Other Income Schedule**Name:** HELEN S CASEY TRUST**EIN:** 37-6333851**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
EXCISE TAX REFUND	1,909	0	

TY 2016 Other Increases Schedule**Name:** HELEN S CASEY TRUST**EIN:** 37-6333851

Description	Amount
PY MUTUAL FUND TIMING ADJUSTMENT	168
ROUNDING ADJUSTMENT	2

TY 2016 Taxes Schedule**Name:** HELEN S CASEY TRUST**EIN:** 37-6333851

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	90	90		0
FOREIGN TAXES ON NONQUALIFIED	2	2		0