



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**

**Return of Private Foundation**

OMB No 1545-0052

**2016**

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation  
Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation  
**THOMAS A. ROCHE CHARITABLE T/U/W 8312000038**

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite  
**REGIONS BANK TRUST DEPT P O BOX 2886**

City or town, state or province, country, and ZIP or foreign postal code  
**MOBILE, AL 36652-2886**

**A Employer identification number**  
37-6294556

**B Telephone number (see instructions)**  
251-690-1024

**C** If exemption application is pending, check here . . . . .

**D** 1 Foreign organizations, check here . . . . .   
2 Foreign organizations meeting the 85% test check here and attach computation . . . . .

**E** If private foundation status was terminated under section 507(b)(1)(A), check here . . . . .

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . . .

**G** Check all that apply

<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change

**H** Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ **1,337,152.**

**J** Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis)

RECEIVED DATE MAY 1 2 2017

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>1</b>	Contributions, gifts, grants, etc., received (attach schedule)				
<b>2</b>	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B. . . . .				
<b>3</b>	Interest on savings and temporary cash investments	20.	20.		STMT 1
<b>4</b>	Dividends and interest from securities . . . . .	10,676.	9,946.		STMT 2
<b>5a</b>	Gross rents . . . . .	23,010.	23,010.		
<b>b</b>	Net rental income or (loss) . . . . .	14,171.			
<b>6a</b>	Net gain or (loss) from sale of assets not on line 10	-569.			
<b>b</b>	Gross sales price for all assets on line 6a . . . . .	50,464.			
<b>7</b>	Capital gain net income (from Part IV, line 2) . . . . .				
<b>8</b>	Net short-term capital gain . . . . .				
<b>9</b>	Income modifications . . . . .				
<b>10a</b>	Gross sales less returns and allowances . . . . .				
<b>b</b>	Less Cost of goods sold . . . . .				
<b>c</b>	Gross profit or (loss) (attach schedule) . . . . .				
<b>11</b>	Other income (attach schedule) . . . . .				STMT 3
<b>12</b>	Total. Add lines 1 through 11 . . . . .	33,864.	32,976.		
<b>13</b>	Compensation of officers, directors, trustees, etc . . . . .	7,301.	5,840.		1,460.
<b>14</b>	Other employee salaries and wages . . . . .		NONE	NONE	
<b>15</b>	Pension plans, employee benefits . . . . .		NONE	NONE	
<b>16a</b>	Legal fees (attach schedule) . . . . .				
<b>b</b>	Accounting fees (attach schedule) STMT 4 . . . . .	2,000.	250.	NONE	1,750.
<b>c</b>	Other professional fees (attach schedule) STMT 5 . . . . .				
<b>17</b>	Interest . . . . .				
<b>18</b>	Taxes (attach schedule) (see instructions) STMT 6 . . . . .	463.	80.		
<b>19</b>	Depreciation (attach schedule) and depletion . . . . .				
<b>20</b>	Occupancy . . . . .				
<b>21</b>	Travel, conferences, and meetings . . . . .		NONE	NONE	
<b>22</b>	Printing and publications . . . . .		NONE	NONE	
<b>23</b>	Other expenses (attach schedule) STMT 7 . . . . .	8,854.	8,839.		15.
<b>24</b>	Total operating and administrative expenses. Add lines 13 through 23. . . . .	18,618.	15,009.	NONE	3,225.
<b>25</b>	Contributions, gifts, grants paid . . . . .	67,699.			67,699.
<b>26</b>	Total expenses and disbursements. Add lines 24 and 25 . . . . .	86,317.	15,009.	NONE	70,924.
<b>27</b>	Subtract line 26 from line 12				
<b>a</b>	Excess of revenue over expenses and disbursements . . . . .	-52,453.			
<b>b</b>	Net investment income (if negative, enter -0-) . . . . .		17,967.		
<b>c</b>	Adjusted net income (if negative, enter -0-) . . . . .				

SCANNED MAY 1 8 2017

RECEIVED IRS-OSC MAY 15 2017 OSDEN UT

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	17,468.	16,051.	16,051.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶ NONE			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments - U S and state government obligations(attach schedule) . . . . .			
	b	Investments - corporate stock (attach schedule) . STMT 8 . . . . .	210,020.	192,169.	233,596.
	c	Investments - corporate bonds (attach schedule) . STMT 11 . . . . .	245,501.	212,237.	207,505.
	11	Investments - land, buildings, and equipment basis ▶ 140,400. . . . .			
	Less accumulated depreciation (attach schedule) ▶	140,400.	140,400.	880,000.	
12	Investments - mortgage loans . . . . .				
13	Investments - other (attach schedule) . . . . .				
14	Land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶ )				
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item l) . . . . .	613,389.	560,857.	1,337,152.	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ )			
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .			NONE	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds . . . . .	613,389.	560,857.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .			
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .			
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	613,389.	560,857.		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	613,389.	560,857.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1 613,389.
2	Enter amount from Part I, line 27a . . . . .	2 -52,453.
3	Other increases not included in line 2 (itemize) ▶ ROUNDING	3 2.
4	Add lines 1, 2, and 3 . . . . .	4 560,938.
5	Decreases not included in line 2 (itemize) ▶ RETURN OF CAPITAL ADJ	5 81.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6 560,857.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)

		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a PUBLICLY TRADED SECURITIES</b>				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 50,464.		51,033.	-569.	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				-569.
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2 -569.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		3

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	57,914.	1,392,454.	0.041591
2014	50,886.	1,226,216.	0.041498
2013	50,691.	1,025,966.	0.049408
2012	50,138.	1,017,054.	0.049297
2011	51,360.	1,030,544.	0.049838
2 Total of line 1, column (d)			2 0.231632
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.			3 0.046326
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 1,347,172.
5 Multiply line 4 by line 3.			5 62,409.
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 180.
7 Add lines 5 and 6.			7 62,589.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 70,924.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations', 'Tax under section 511', and 'Credits/Payments'. Total tax due is 180, with 272 overpayment and 92 refunded.

Part VII-A Statements Regarding Activities

Table with 10 rows of activity statements. Questions include: 'Did the foundation attempt to influence any national, state, or local legislation?', 'Did it spend more than \$100 during the year for political purposes?', 'Did the foundation file Form 1120-POL for this year?', etc.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . . 11 Yes No X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . . 12 Yes No X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A 13 Yes No X
14 The books are in care of REGIONS BANK, TRUST DEPARTMENT Telephone no. (251) 690-1024 Located at 11 NORTH WATER ST, 25TH FLOOR, MOBILE, AL ZIP+4 36602
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . 15
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . 16 Yes No X
See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No X
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No X
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No X
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? X Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No X
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) Yes No X
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b Yes No X
Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? 1c Yes No X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? Yes No X
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) 2b Yes No X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No X
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016) 3b Yes No X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a Yes No X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? 4b Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a During the year did the foundation pay or incur any amount to
  - (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?. . . . .  Yes  No
  - (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?. . . . .  Yes  No
  - (3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . .  Yes  No
  - (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). . . . .  Yes  No
  - (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .  Yes  No
- b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . .  5b
- Organizations relying on a current notice regarding disaster assistance check here . . . . .
- c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .  Yes  No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d)
- 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .  Yes  No
- b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .  Yes  No  
If "Yes" to 6b, file Form 8870
- 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . . . .  Yes  No
- b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .  Yes  No

5b			
6b			X
7b			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
REGIONS BANK 8182 MARYLAND AVENUE, CLAYTON, MO 63105	TRUSTEE 1	7,301	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 . . . . .  NONE

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

**Total number of others receiving over \$50,000 for professional services . . . . .** ▶ **NONE**

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	

**Total. Add lines 1 through 3 . . . . .** ▶

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	1,367,687.
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	NONE
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	NONE
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	1,367,687.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	1,367,687.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	20,515.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 . . . . .	<b>5</b>	1,347,172.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	67,359.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	67,359.
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5 . . . . .	<b>2a</b>	180.
<b>b</b>	Income tax for 2016. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	180.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	67,179.
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	NONE
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	67,179.
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	NONE
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	67,179.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 . . . . .	<b>1a</b>	70,924.
<b>b</b>	Program-related investments - total from Part IX-B . . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	<b>4</b>	70,924.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	180.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	70,744.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7 . . . . .				67,179.
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only. . . . .			67,697.	
<b>b</b> Total for prior years 20 <u>14</u> ,20____,20____		NONE		
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011 . . . . .	NONE			
<b>b</b> From 2012 . . . . .	NONE			
<b>c</b> From 2013 . . . . .	NONE			
<b>d</b> From 2014 . . . . .	NONE			
<b>e</b> From 2015 . . . . .	NONE			
<b>f</b> Total of lines 3a through e . . . . .	NONE			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>70,924.</u>				
<b>a</b> Applied to 2015, but not more than line 2a . . . . .			67,697.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .		NONE		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .	NONE			
<b>d</b> Applied to 2016 distributable amount. . . . .				3,227.
<b>e</b> Remaining amount distributed out of corpus. . . . .	NONE			
<b>5</b> Excess distributions carryover applied to 2016 . (If an amount appears in column (d), the same amount must be shown in column (a) )	NONE			NONE
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		NONE		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
<b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions . . . . .		NONE		
<b>e</b> Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .				
<b>f</b> Undistributed income for 2016. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017. . . . .				63,952.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .	NONE			
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . . . .	NONE			
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a . . . . .	NONE			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012 . . . . .	NONE			
<b>b</b> Excess from 2013 . . . . .	NONE			
<b>c</b> Excess from 2014 . . . . .	NONE			
<b>d</b> Excess from 2015 . . . . .	NONE			
<b>e</b> Excess from 2016 . . . . .	NONE			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

Table with columns: Tax year (a) 2016, (b) 2015, Prior 3 years (c) 2014, (d) 2013, (e) Total. Rows include 2b (85% of line 2a), 2c (Qualifying distributions from Part XII), 2d (Amounts included in line 2c), 2e (Qualifying distributions made directly for active conduct), 3 (Alternative tests: Assets, Endowment, Support).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>  SEE STATEMENT 16				67,699.
<b>Total</b> ..... ▶ <b>3a</b>				67,699.
b <i>Approved for future payment</i>				
<b>Total</b> ..... ▶ <b>3b</b>				







SUPPLEMENT TO RENT AND ROYALTY SCHEDULE  
=====

OTHER DEDUCTIONS

BANK FEES	4,219.
OTHER RENTAL EXPENSES	13.
	-----
	4,232.
	=====

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER INTEREST	20.	20.
TOTAL	20.	20.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DIVIDENDS AND INTEREST	10,676.	9,946.
TOTAL	10,676.	9,946.

FORM 990PF, PART I - OTHER INCOME

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----
FEDERAL TAX REFUND	727.
TOTALS	----- 727. =====

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES	2,000.	250.		1,750.
TOTALS	2,000.	250.	NONE	1,750.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----
INVESTMENT MANAGEMENT FEES	
TOTALS	

FORM 990PF, PART I - TAXES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FEDERAL EXCISE TAX	463.	
FOREIGN TAXES		80.
TOTALS	----- 463. -----	----- 80. -----

FORM 990PF, PART I - OTHER EXPENSES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
RENT AND ROYALTY EXPENSES	8,839.	8,839.	
IL AG 990 FILING FEE	15.		15.
TOTALS	8,854.	8,839.	15.

THOMAS A. ROCHE CHARITABLE T/U/W 8312000038

37-6294556

FORM 990PF, PART II - CORPORATE STOCK

=====

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
AMERICAN EXPRESS CO	2,527.	2,527.	3,704.
AUTO DESK INC			
BLACKROCK INC	4,155.	4,155.	5,708.
CVS/CAREMARK CORP	2,665.	2,665.	3,551.
CHEVRON CORP			
CISCO SYSTEMS INC			
E M C CORP MASS	2,888.	2,888.	4,513.
EXXON MOBILE CORP	2,354.	2,354.	3,160.
GENERAL ELECTRIC CO			
GOOGLE INC CL A			
INTEL CORP	3,578.	3,578.	4,534.
JP MORGAN CHASE & CO	3,355.	3,355.	6,472.
JOHNSON & JOHNSON			
MERCK & CO	4,109.	4,109.	4,415.
NEXTERA ENERGY	3,106.	3,106.	4,778.
ORACLE CORP	2,459.	2,459.	3,845.
PEPSICO	2,673.	2,673.	5,232.
PROCTOR AND GAMBLE			
PRUDENTIAL FINANCIAL	2,393.	2,393.	4,162.
QUALCOMM INC	4,395.	4,395.	4,890.
SCHLUMBERGER LTD	3,781.	3,781.	4,198.
STRYKER	2,619.	2,619.	4,193.
THERMO FISHER	2,814.	2,814.	3,528.
3M CO	3,287.	3,287.	5,357.
UNITED TECHNOLOGIES	1,537.	1,537.	2,192.
VERIZON	2,203.	2,203.	2,669.
APPLE INC	3,015.	3,015.	3,475.
PRAXAIR INC			
WELLS FARGO & CO	2,889.	2,889.	4,133.

FORM 990PF, PART II - CORPORATE STOCK  
 =====

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
CITIGROUP INC RR	2,978.	2,978.	3,566.
WALT DISNEY CO	1,617.	1,617.	3,127.
FORD MOTOR COMPANY			
GILEAD SCIENCES INC	4,472.	4,448.	5,793.
HONEYWELL INTERNATIONAL INC	4,720.	4,720.	5,731.
INTUIT INC			
MCKESSON CORP	4,009.		
PHILLIPS 66	3,622.		
STARBUCKS CORP	2,268.	2,268.	3,331.
INVESCO LTD	3,671.	3,671.	3,034.
AMERICAN CENTURY SMALL CAP VAL	8,151.	6,421.	6,528.
JP MORGAN MID CAP VAL FD CL I	6,568.	5,617.	6,924.
INVESCO INTERNA'L GROWTH CL R5	13,689.	13,689.	13,856.
MFS RESH INTL FD CL I	13,717.	13,717.	13,109.
VIRTUS EMERGING MKTS OPPORT-I			
AT&T INC	3,592.	3,592.	4,253.
ABBVIE INC	3,837.	3,837.	3,757.
AMERICAN INTL GROUP INC	2,823.	2,823.	3,266.
CELGENE CORP	3,755.	3,755.	4,051.
CITRIX SYSTEM INC	3,171.	3,171.	4,466.
COGNIZANT TECHNOLOGY SOLUTIONS	2,781.	2,781.	2,802.
ECOLAB INC	5,558.	5,558.	5,861.
FEDEX CORP	5,215.	5,215.	5,586.
GOOGLE INC CL C - W/I			
THE HERSHEY COMPANY	3,794.		
LAS VEGAS SANDS CORP			
MEAD JOHNSON NUTRITION COMPANY			
MYLAN, INC.			
NORDSTROM INC	3,745.		

THOMAS A. ROCHE CHARITABLE T/U/W 8312000038

37-6294556

FORM 990PF, PART II - CORPORATE STOCK  
=====

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
UNION PAC CORP	3,905.	3,905.	4,147.
WASTE MANAGEMENT INC	2,988.	2,988.	4,255.
WHOLE FOODS MKT INC			
LYONDELLBASELL INDUSTRIES	4,700.	4,700.	4,289.
ARTISAN MID CAP FD-INV	7,391.	7,391.	5,583.
RS SMALL CAP GROWTH FUND	6,733.	6,733.	6,708.
ALPHABET INC CA - CL C	4,719.	4,719.	7,718.
ALPHABET INC CA - CL A	4,824.	4,824.	7,925.
FACEBOOK INC - A	4,205.	4,205.	5,177.
ADVANSIX INC		24.	44.
TOTALS	210,020.	192,169.	233,596.

THOMAS A. ROCHE CHARITABLE T/U/W 8312000038

37-6294556

FORM 990PF, PART II - CORPORATE BONDS  
=====

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
PIMCO ALL ASSET FD INSL CL	77,238.	68,043.	67,339.
TCW CORE FIXED INCOME FUND	19,223.	19,223.	17,940.
TEMPLETON GLOBAL BOND FUND ADV	39,450.	39,450.	38,950.
FIDELITY INVST GRADE BD	11,080.		
JOHN HANCOCK FUNDS III	81,770.	68,781.	67,586.
JPMORGAN CORE BOND FUND CL S	16,740.	16,740.	15,690.
PIMCO FOREIGN BOND #103			
TOTALS	245,501.	212,237.	207,505.

AVERAGE MONTHLY FMV - 990PF, PART X, LINES 1a-1c

MONTH	LINE 1a-FMV SECURITIES	LINE 1b-FMV CASH BALANCES	LINE 1c-FMV OTHER ASSETS
JANUARY	1,357,151.		
FEBRUARY	1,354,486.		
MARCH	1,384,123.		
APRIL	1,385,129.		
MAY	1,387,519.		
JUNE	1,387,681.		
JULY	1,397,872.		
AUGUST	1,395,599.		
SEPTEMBER	1,372,083.		
OCTOBER	1,319,730.		
NOVEMBER	1,333,723.		
DECEMBER	1,337,149.		
TOTAL	16,412,245.		
AVERAGE FMV	1,367,687.		

RECIPIENT NAME:  
ST FRANCIS PURGATORIAL SOCIETY  
ADDRESS:  
PO BOX 188  
LORETTO, PA 15940  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
RELIGIOUS  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 3,385.

RECIPIENT NAME:  
FRANCISCAN FATHERS & BROTHERS OF  
3RD ORDER REGULAR  
ADDRESS:  
2326 MANOR DR  
LORETTO, PA 15940  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
RELIGIOUS  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 3,385.

RECIPIENT NAME:  
SERAPHIC MASS ASSOCIATION  
ST AUGUSTINE MONASTERY  
ADDRESS:  
3600 BUTLER ST.  
PITTSBURG, PA 15201  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
RELIGIOUS  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 3,385.

=====

RECIPIENT NAME:  
ST JAMES CATHOLIC CHURCH  
ADDRESS:  
742 E CLAY  
DECATUR, IL 62521  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
RELIGIOUS  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 3,385.

RECIPIENT NAME:  
ST PATRICK'S CHURCH  
ADDRESS:  
407 E ELDORADO  
DECATUR, IL 62523  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
RELIGIOUS  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 33,849.

RECIPIENT NAME:  
OUR LADY OF LOURDES  
ADDRESS:  
3850 LOURDES DR  
DECATUR, IL 62526  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
RELIGIOUS  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 3,385.

RECIPIENT NAME:  
HOLY FAMILY CATHOLIC CHURCH  
ADDRESS:  
2400 S FRANKLIN ST  
DECATUR, IL 62521  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
RELIGIOUS  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 3,385.

RECIPIENT NAME:  
ST THOMAS THE APOSTLE CHURCH  
ADDRESS:  
2160 N EDWARD  
DECATUR, IL 62526  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
RELIGIOUS  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 3,385.

RECIPIENT NAME:  
CLARETIAN FATHERS THE NATIONAL  
SHRINE OF ST JUDE  
ADDRESS:  
205 W MONROE ST  
CHICAGO, IL 60606  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
RELIGIOUS  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 3,385.

RECIPIENT NAME:  
ST MICHAELS CATHOLIC CHURCH  
ADDRESS:  
1301 N MARKET  
MONTICELLO, IL 61856  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
RELIGIOUS  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 3,385.

RECIPIENT NAME:  
ABBOTT O S B  
ADDRESS:  
ST BENEDICTS ABBEY  
BENET LAKE, WI 53102  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
RELIGIOUS  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 3,385.

TOTAL GRANTS PAID: 67,699.  
=====

RENT AND ROYALTY SUMMARY

=====

PROPERTY -----	TOTAL INCOME -----	DEPLETION/ DEPRECIATION -----	OTHER EXPENSES -----	ALLOWABLE NET INCOME -----
S 1/2 SE 1/4 SEC 12	23,010.		8,839.	14,171.
TOTALS	23,010.		8,839.	14,171.
	=====	=====	=====	=====

ATTACHMENT  
FORM 990-PF PARTII, LINE 11

	A	B	C	D
1	Property Description	Cost Basis	Fair Market Value	Valuation Year
2	80 ACRES MONTICELLO, IL 61856	140,400.00	880,000.00	2016
3		140,400.00	880,000.00	