Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation
HENRY M STALEY DECLARATION OF CHARIT TR
D/B/A STALEY FAMILY FOUNDATION

A Employer identification number
37-6055435

Number and street (or P O. box number if mail is not delivered to street address) | Room/suite
3301 EMBASSY DRIVE

B Telephone number
217-483-3205

City or town, state or province, country, and ZIP or foreign postal code
SPRINGFIELD, IL 62711

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 3,879,837.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	15.	15.		STATEMENT 1
	4 Dividends and interest from securities	79,562.	79,562.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<66,461.>			
	b Gross sales price for all assets on line 6a 718,375.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	13,116.	79,577.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.			0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees STMT 3	19,610.	19,610.		0.
	17 Interest				
	18 Taxes STMT 4	2,988.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	22,598.	19,610.		0.
	25 Contributions, gifts, grants paid	220,750.			220,750.
	26 **Total expenses and disbursements.** Add lines 24 and 25	243,348.	19,610.		220,750.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<230,232.>			
	b **Net investment income** (if negative, enter -0-)		59,967.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Form **990-PF** (2016)

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	196,291.	88,077.	88,077.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 5	125,602.	125,602.	137,302.
	b Investments - corporate stock STMT 6	2,428,996.	2,325,171.	3,282,544.
	c Investments - corporate bonds STMT 7	366,027.	339,532.	367,314.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ FEDERAL TAX DEPOSIT)	0.	4,600.	4,600.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	3,116,916.	2,882,982.	3,879,837.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)	3,702.	0.	
	23 Total liabilities (add lines 17 through 22)	3,702.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	3,113,214.	2,882,982.	
	30 Total net assets or fund balances	3,113,214.	2,882,982.	
	31 Total liabilities and net assets/fund balances	3,116,916.	2,882,982.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,113,214.
2	Enter amount from Part I, line 27a	2	<230,232.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	2,882,982.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,882,982.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	718,375.		784,836.	<66,461.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				<66,461.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** <66,461.>

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	214,500.	3,990,387.	.053754
2014	228,780.	4,238,683.	.053974
2013	219,587.	4,056,421.	.054133
2012	200,167.	3,747,700.	.053411
2011	203,830.	3,808,779.	.053516

2	**Total** of line 1, column (d)	2	.268788
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.053758
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	3,782,689.
5	Multiply line 4 by line 3	5	203,350.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	600.
7	Add lines 5 and 6	7	203,950.
8	Enter qualifying distributions from Part XII, line 4	8	220,750.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	600.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	600.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	600.
6	Credits/Payments:				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	5,200.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	5,200.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	4,600.
11	Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶	4,600.	**Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ GROSS KAPLAN & OSIOL, L.L.C. Telephone no ▶847-928-9820
Located at ▶9450 W BRYN MAWR AVE, #310, ROSEMONT, IL ZIP+4 ▶60018-5272

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARK E STALEY 3301 EMBASSY DRIVE SPRINGFIELD, IL 62711	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,606,706.
b	Average of monthly cash balances	1b	233,587.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	3,840,293.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,840,293.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	57,604.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,782,689.
6	**Minimum investment return.** Enter 5% of line 5	6	189,134.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	189,134.
2a	Tax on investment income for 2016 from Part VI, line 5 — 2a: 600.		
b	Income tax for 2016. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	600.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	188,534.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	188,534.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	188,534.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	220,750.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	220,750.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	600.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	220,150.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				188,534.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years: ___ , ___ , ___		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011 18,831.				
b From 2012 15,548.				
c From 2013 19,292.				
d From 2014 19,286.				
e From 2015 20,116.				
f **Total** of lines 3a through e	93,073.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 220,750.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				188,534.
e Remaining amount distributed out of corpus	32,216.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	125,289.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	18,831.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	106,458.			
10 Analysis of line 9:				
a Excess from 2012 15,548.				
b Excess from 2013 19,292.				
c Excess from 2014 19,286.				
d Excess from 2015 20,116.				
e Excess from 2016 32,216.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 8

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
(SEE SCHEDULE)	N/A		SUPPORT	220,750.
Total			▶ 3a	220,750.
b Approved for future payment				
DUCKS UNLIMITED ONE WATERFOWL WAY MEMPHIS 38120-2381	N/A		SUPPORT	20,000.
MILIKIN UNIVERSITY 1184 W MAIN ST DECATUR 62522	N/A		ENDOWED SCHOLARSHIP	120,000.
UIS - STUDENT UNION ONE UNIVERSITY PLAZA SPRINGFIELD 62703-5407	N/A		CONSTRUCTION OF NEW STUDENT CENTER	120,000.
Total			▶ 3b	260,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	15.	
4 Dividends and interest from securities			14	79,562.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14		
8 Gain or (loss) from sales of assets other than inventory			18	<66,461.>	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		13,116.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 13,116.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 5/2/17 Title: TRUSTEE

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
LYNNE KAPLAN, C.P.A.	Lynne Kaplan CPA	4-28-17		P00112772

Firm's name ▶ GROSS KAPLAN & OSIOL, LLC — Firm's EIN ▶ 36-6156412

Firm's address ▶ 9450 W BRYN MAWR AVE STE 310 ROSEMONT, IL 60018-5272 — Phone no. 847-928-9820

HENRY M STALEY DECLARATION OF CHARITABLE TRUST
I:\data\8957\charity
2016

CONTRIBUTIONS PAID DURING THE YEAR

Name and address	Amount Support
Alzheimer's Assoc PO Box 96011 Washington, DC 20090-6011	2,000
American Cancer Society 100 Tri-State International, Suite 125 Lincolnshire, IL 60069	5,000
American Diabetes Assn P O. Box 7023 Merrifield, VA 22116-7023	1,000
American Heart Assn 2141 W White Oaks Dr, Ste A Springfield, IL 62704	15,000
Arthritis Foundation 4501 N Sterling Ave, Ste 101 Peoria, IL 61615	500
Ashoka Innovators for the Public 1700 N. Monroe St., Suite 2000 Arlington, VA 22209-9821	1,000
BBB Wise Giving Alliance 3033 Wilson Blvd #300 Arlington, VA 22203	500
Berea College 101 Chestnut St Berea, KY 40403-9952	500
Boys & Girls Club 859 N Jasper St Decatur, IL 62521	2,000
CARE PO Box 1870 Merrifield, VA 22116-8070	1,000
Catholic Charities Meals On Wheels 247 W. Prairie Decatur, IL 62523	2,000
Central IL Christians in Mission PO Box 343 Mr Zion, IL 62549	1,000

	2016
Community Foundation Leaders Fund 125 N Water St Decatur, IL 62525	8,000
Cumberland College 6191 College Station Dr Williamsburg, KY 40769-9983	4,000
Decatur Macon County Animal Shelter PO Box 633 Decatur, IL 62525	2,500
Decatur Memorial Hospital Foundation 2300 N Edward Decatur, IL 62526	5,000
Decatur Score Chap 296 1184 W Main St. Decatur, IL 62522	500
Delta Waterfowl P.O Box 3128 Bismark, ND 58502-3128	1,000
Doctors Without Borders P O Box 5030 Hagerstown, MD 21741-5030	1,000
Dove Inc 788 E Clay St Decatur, IL 62521-2694	2,000
Ducks Unlimited One Waterfowl Way Memphis, TN 38120-2381	5,000
Friends of St Mary's Hospital 1800 E Lake Shore Drive Decatur, IL 62521	2,000
Good Samaritan Inn 920 N Union St Decatur, IL 62522	2,000
Governor's Academy 1 Elm Street, Suite 301 Byfield, MA 01922	2,000
Hieronymus Mueller Family Foundation 101 S ain St , Ste 301 Decatur, IL 62523	2,000
Hillsdale College 33 E. College St Hillsdale, MI 49242-9989	1,000

	2016
Illinois Policy Institute 802 S, 2nd Street Springfield, IL 62704	5,000
JLK Sanfilippo Research Foundation PO Box 564 Belmont, MA 02478	500
Kemmerer Village 941 N 2500 E Rd Assumption, IL 62510	1,000
Kidzeum of Health & Science PO Box 9863 Springfield, IL 62791	1,000
Little Theatre on the Square PO Box 288 Sullivan, IL 61951-1905	500
Lutheran School Assn 2001 E Mound Rd. Decatur, IL 62526-9305	2,500
Lyric Opera of Chicago 20 N Wacker Dr, #860 Chicago, IL 60606	500
Macon County Conservation Fdn 3939 Nearing Lane Decatur, IL 62521	6,000
Mercatus Center 3551 Fairfax Dr, 4th floor Arlington, VA 22201	5,000
Millikin University 1184 W Main Decatur, IL 62522	20,000
MRI - Independence Point 2715 N 27th Decatur, IL 62526	1,000
Nature Conservancy 4245 N Fairfax Dr., Suite 100 Arlington, VA 22203-1606	1,000
Northeast Community Fund 825 N Water St Decatur, IL 62523	4,000
Northwestern University 2020 Ridge Ave Evanston, IL 60208	3,750

	2016
Pulmonary Fibrosis Foundation 230 E Ohio Street, Stuie 304 Chicago, IL 60611	10,000
Richland Community College 1 College Park Decatur, IL 62526	10,000
Salvation Army 229 W Main Decatur, IL 62523	3,000
SIU Foundation 1100 Lincoln Dr Carbondale, IL 62901	5,000
St Jude Children's Hospital 4722 N Sheridan Rd Peoria, IL 61614	2,500
St Patrick Catholic School 1800 S Grand Ave East Springfield, IL 62703	500
St Paul's Lutheran Church 1 Bachrach Ct Decatur, IL 62526	1,000
Staley Museum 361 N College Decatur, IL 62522	5,000
Theatre 7 P O Box 972 Decatur, IL 62525	500
UCP - Land of Lincoln 101 N. 16th St Springfield, IL 62703	3,000
UIF/UIS Dept of Athletics 1305 W Green St Urbana, IL 61801-2962	2,500
UIF/WUIS One University Plaza Springfield, IL 62704	500
UIS - Student Union One University Plaza Springfield, IL 62703	20,000
United Way of Decatur & Macon County 202 E Eldorado, Ste B Decatur, IL 62523	10,000

	2016
Univ of Illinois Foundation 1305 Green St Urbana, IL 61801	20,000
Westminster Presbyterian Church 1360 W Main Decatur, IL 62522	6,000
TOTAL	220,750

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	(SEE SCHEDULE)/4	P		
b	(SEE SCHEDULE)/4	P		
c	(SEE SCHEDULE)/8	P		
d	CASH IN LIEU/8	P		
e	(SEE SCHEDULE)/8	P		
f	(SEE SCHEDULE)/2	P		
g	(SEE SCHEDULE)/2	P		
h	(SEE SCHEDULE)/5	P		
i	(SEE SCHEDULE)/5	P		
j	(SEE SCHEDULE)/3	P		
k	(SEE SCHEDULE)/3	P		
l	CAPITAL GAINS DIVIDENDS			
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	26,726.		21,705.	5,021.
b	105,256.		95,353.	9,903.
c	3,362.		5,098.	<1,736.>
d	25.			25.
e	62,642.		117,210.	<54,568.>
f	5,339.		8,359.	<3,020.>
g	3,818.		3,068.	750.
h	4,115.		3,259.	856.
i	54,539.		58,710.	<4,171.>
j	55,000.		55,957.	<957.>
k	396,678.		416,117.	<19,439.>
l	875.			875.
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				5,021.
b				9,903.
c				<1,736.>
d				25.
e				<54,568.>
f				<3,020.>
g				750.
h				856.
i				<4,171.>
j				<957.>
k				<19,439.>
l				875.
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	<66,461.>
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

623591
04-01-16

OPPENHEIMER

Oppenheimer & Co. Inc.
85 Broad Street
New York, NY 10004
(212) 668-8000
Transacts Business on All Principal Exchanges

/13

STATEMENT OF ACCOUNT

SIPC

LYNN KAPLAN
GROSS, KAPLAN & OSIOL LLC
9450 W, BRYN MAWR AVE. #310

DUPLICATE FOR:
STALEY FAMILY FOUNDATION
3301 EMBASSY DR

Page	Account Number	Financial Advisor	Period Ending
3 of 7	G40-1457689	GUTTILLA/HAYES - Z3L	12/31/16

Portfolio Holdings

Some prices, current values and income estimates may be approximations, resulting in gains and losses not being accurately reflected Unrealized gains and/or losses are computed from the supplied cost basis data and may not be accurate for tax reporting purposes Items for which a cost basis was not available as of the statement period ending date are indicated by the symbol N/A The total gains and/or losses do not reflect positions which we do not have cost information Please contact your Financial Advisor if you believe any cost basis related data is inaccurate or if you require additional information

Estimated Annual Income ("EAI") and Estimated Yield ("EY") are estimates only and do not indicate actual income or performance of investments EAI and EY for certain types of securities could include a return of principal or capital gains, in which case the EAI and EY would be overstated As EAI and EY are estimates, the actual income and yield might be lower or higher than the estimated amounts EY reflects only the income generated by an investment and it does not reflect changes in its price, which may fluctuate

Advantage Bank Deposits

(NOT COVERED BY SIPC)

As described in the ABD Program's Terms & Conditions, the current yield is based on the average daily balance during the prior month's interest cycle, interest cycles run from mid-month to mid-month
Client may elect to liquidate any cash investment option at any time by contacting his/her Financial Advisor

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	EY	EAI	Portfolio Percent
ADVANTAGE BANK DEPOSIT FDIC INSURED AT VARIOUS BKS	CASH	149,922 8200	ABDXX	1 00000	1 00000	149,922 82	149,922 82	0 007%	11	7 54
TOTAL ADVANTAGE BANK DEPOSITS						**149,922.82**	**149,922.82**		**11**	**7.54**

Mutual Funds

Open End Funds

Description	Open Tran	Quantity	Average Price	Net Cost	Purchase Cost	Current Price	Market Value	Unrealized Gain/(Loss)	Net Value Increase/Decr	EY	EAI	Est Yield to Net Cost	Portfolio Percent
VANGUARD INTERM TERM INVEST GRADE FD ADMIRAL SHS OPEN END		24,279 1470	9 93824	241,292 06	234,042 99	9 64000	234,050 97	(7,241)	7	3 023%	7,076	2 92	11 77
SYMBOL VFIDX	Purchases	23,545 5720	9 94000	234,042 99	234,042 99		226,979 31	(7,064)					
	Reinvestment	733 5750	9 88183	7,249 07			7,071 66	(177)					
SUB-TOTAL OPEN END FUNDS				**241,292.06**			**234,050.97**	**(7,241)**			**7,076**		**11.77**

Exchange Traded Funds

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
ISHARES TR MSCI EAFE ETF CLOSED END SBI/CBI ETF	CASH	2,505	EFA	59 81060	57 73000	149,825 57	144,613 65	(5,212)	3 067%	4,436	7 27
ISHARES TR CORE S&P SCP ETF CLOSED END SBI/CBI ETF	CASH	3,558	IJR	57 18820	137 52000	203,475 69	489,296 16	285,820	1 215%	5,945	24 61
ISHARES MSCI EAFE SMALL CAP INDEX FUND CLOSED END SBI/CBI ETF	CASH	4,325	SCZ	34 83250	49 84000	150,650 66	215,558 00	64,907	2 822%	6,084	10 84

OPPENHEIMER

Oppenheimer & Co. Inc.
85 Broad Street
New York, NY 10004
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13

STATEMENT OF ACCOUNT

SIPC

LYNN KAPLAN
GROSS, KAPLAN & OSIOL LLC
9450 W, BRYN MAWR AVE. #310

DUPLICATE FOR:
STALEY FAMILY FOUNDATION
3301 EMBASSY DR

Page	Account Number	Financial Advisor	Period Ending
4 of 7	G40-1457689	GUTTILLA/HAYES - Z3L	12/31/16

Exchange Traded Funds

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
SPDR S&P MIDCAP 400 ETF TR UTSER1 S&PDCRP UIT SBI/CBI	CASH	1,761	MDY	158 19620	301 73000	278,583 57	531,346 53	252,763	1 306%	6,940	26 73
SUB-TOTAL EXCHANGE TRADED FUNDS						**782,535.49**	**1,380,814.34**	**598,278**		**23,406**	**69.45**

Closed End Funds

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
FIDUCIARY CLAYMORE MLP OPP FD CLOSED END	CASH	15,000	FMO	18 48950	14 89000	277,342 79	223,350 00	(53,993)	11.572%	25,848	11 24
SUB-TOTAL CLOSED END FUNDS						**277,342.79**	**223,350.00**	**(53,993)**		**25,848**	**11.24**
TOTAL MUTUAL FUNDS						**1,301,170.34**	**1,838,215.31**	**537,044**		**56,331**	**92.46**

	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
Total Portfolio Holdings	**$1,451,093.16**	**$1,988,138.13**	**$537,044**	**2.833%**	**56,342**	**100%**

Transactions/Activity Detail

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
ADVANTAGE GOVT FUND/1,8,3	15.	15.	
TOTAL TO PART I, LINE 3	15.	15.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH (DIV) 626-12763/4	24,281.	0.	24,281.	24,281.	
MERRILL LYNCH (INT) 626-12763/4	16,422.	0.	16,422.	16,422.	
OPPENHEIMER & CO G40-1168625 - DIV/1	807.	0.	807.	807.	
OPPENHEIMER & CO G40-1416487/A10	380.	0.	380.	380.	
OPPENHEIMER & CO G40-1451641/2	241.	0.	241.	241.	
OPPENHEIMER & CO G40-1457689/3	28,639.	875.	27,764.	27,764.	
OPPENHEIMER & CO G40-1457697/5	5,705.	0.	5,705.	5,705.	
OPPENHEIMER & CO G40-1457705/8	3,962.	0.	3,962.	3,962.	
TO PART I, LINE 4	80,437.	875.	79,562.	79,562.	

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEE	19,390.	19,390.		0.
MISCELLANEOUS	220.	220.		0.
TO FORM 990-PF, PG 1, LN 16C	19,610.	19,610.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHELD	2,388.	0.		0.
FEDERAL EXCISE TAX - 2016	600.	0.		0.
TO FORM 990-PF, PG 1, LN 18	2,988.	0.		0.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 5

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
(SEE SCHEDULE) UST /4	X		125,602.	137,302.
TOTAL U.S. GOVERNMENT OBLIGATIONS			125,602.	137,302.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			125,602.	137,302.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
(SEE SCHEDULE) /3	1,188,615.	1,838,215.
(SEE SCHEDULE) /4	718,468.	992,327.
(SEE SCHEDULE) /8	172,675.	203,701.
(SEE SCHEDULE) /5	245,413.	248,301.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,325,171.	3,282,544.

FORM 990-PF CORPORATE BONDS STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
(SEE SCHEDULE)BONDS /4	339,532.	367,314.
TOTAL TO FORM 990-PF, PART II, LINE 10C	339,532.	367,314.

YOUR CMA FOR TRUST ASSETS

December 01, 2016 - December 30, 2016

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	305.84	305.84		**305.84**		
+ML BANK DEPOSIT PROGRAM	55,470.00	55,470.00	1.0000	**55,470.00**	**11**	02
+FDIC INSURED NOT SIPC COVERED						
TOTAL		55,775 84		**55,775.84**	**11**	.02

GOVERNMENT AND AGENCY SECURITIES [1] Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
θ U S. TRSY INFLATION NTE	08/26/09	15,000	16,448.84	103.7690	**17,447.05**	998.21	94.16	**232**	1.32
1.375% JUL 15 2018 INFL ADJ PRIN 16,813									
*MOODY'S AAA S&P· *** CUSIP. 912828JE1*									
ORIGINAL UNIT/TOTAL COST 97.4522/14,617.84									
U.S. TREASURY NOTE	05/21/09	20,000	19,934 38	104.2620	**20,852.40**	918.02	77.69	**625**	2 99
3.125% MAY 15 2019 03.125% MAY 15 2019									
*MOODY'S: AAA S&P *** CUSIP: 912828KQ2*									
ORIGINAL UNIT/TOTAL COST: 99.6719/19,934 38									
Δ U.S. TREASURY NOTE	03/22/11	40,000	40,353.08	106.2930	**42,517.20**	2,164 12	174 03	**1,400**	3 29
3.500% MAY 15 2020 03.500% MAY 15 2020									
*MOODY'S: AAA S&P: *** CUSIP: 912828ND8*									
ORIGINAL UNIT/TOTAL COST· 102.1900/40,876 00									
U.S. TREASURY NOTE	02/15/11	10,000	9,992 50	107 3440	10,734.40	741 90	134.95	363	3.37
3.625% FEB 15 2021 03 625% FEB 15 2021									
*MOODY'S: AAA S&P· *** CUSIP: 912828PX2*									
ORIGINAL UNIT/TOTAL COST: 99 9250/9,992 50									
Δ U.S. TREASURY NOTE	02/23/11	20,000	20,143.95	107.3440	21,468.80	1,324.85	269 90	725	3 37
ORIGINAL UNIT/TOTAL COST. 101.5820/20,316.40									
Subtotal		30,000	30,136.45		**32,203.20**	2,066 75	404.85	**1,088**	3.37

YOUR CMA FOR TRUST ASSETS

December 01, 2016 - December 30, 2016

GOVERNMENT AND AGENCY SECURITIES [1] (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
U.S TREASURY BOND	11/24/09	5,000	4,976.56	121.4100	6,070.50	1,093.94	26 42	213	3 50
4 250% MAY 15 2039 04.250% MAY 15 2039									
*MOODY'S: AAA S&P: *** CUSIP· 912810QB7*									
ORIGINAL UNIT/TOTAL COST: 99.5312/4,976 56									
U.S. TREASURY BOND	03/23/10	5,000	4,725 98	121 4100	6,070.50	1,344.52	26.42	213	3.50
ORIGINAL UNIT/TOTAL COST. 94.5196/4,725.98									
U.S. TREASURY BOND	11/18/10	10,000	9,928.91	121.4100	12,141.00	2,212.09	52 83	425	3.50
ORIGINAL UNIT/TOTAL COST 99.2891/9,928 91									
Subtotal		*20,000*	*19,631.45*		***24,282.00***	*4,650.55*	*105.67*	***851***	*3.50*
TOTAL		125,000	126,504.20		**137,301.85**	10,797 65	856.40	**4,196**	3.06

CORPORATE BONDS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ AFLAC INC	03/01/12	25,000	25,011 57	100 1510	**25,037.75**	26.18	248.44	**663**	2.64
GLB 02.650% FEB 15 2017									
MOODY'S: A3 S&P: A- CUSIP. 001055AH5									
ORIGINAL UNIT/TOTAL COST. 101.7810/25,445.25									
Δ INTEL CORP	03/04/13	25,000	25,021.27	100.1500	**25,037.50**	16 23	14.06	**338**	1.34
GLB 01 350% DEC 15 2017									
MOODY'S: A1 S&P: A+ CUSIP. 458140AL4									
ORIGINAL UNIT/TOTAL COST: 100.4150/25,103 75									
Δ CVS CAREMARK CORP	03/18/14	10,000	10,028.70	100.8770	**10,087.70**	59.00	15.63	**225**	2.23
GLB 02.250% DEC 05 2018									
MOODY'S: BAA1 S&P. BBB+ CUSIP: 126650CB4									
PAR CALL DATE: 11/05/18 PAR CALL PRICE· 100 00									
ORIGINAL UNIT/TOTAL COST· 100.6810/10,068 10									

YOUR CMA FOR TRUST ASSETS

December 01, 2016 - December 30, 2016

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ WALGREEN CO	01/21/09	3,000	3,012 94	105.8340	**3,175.02**	162.08	72.19	**158**	4 96
COMPANY GUARNT GLB 05.250% JAN 15 2019									
MOODY'S: BAA2 S&P. BBB CUSIP: 931422AE9									
ORIGINAL UNIT/TOTAL COST· 101.7500/3,052 50									
Δ MCDONALD'S CORP	01/21/09	25,000	25,191.47	106.1940	**26,548.50**	1,357.03	517 36	**1,250**	4.70
SER MTN 05.000% FEB 01 2019									
MOODY'S· BAA1 S&P: BBB+ CUSIP: 58013MEG5									
ORIGINAL UNIT/TOTAL COST: 103 0992/25,774 82									
AMGEN INC	07/24/14	10,000	9,973.10	100.7720	**10,077.20**	104.10	23 22	**220**	2.18
GLB 02.200% MAY 22 2019									
MOODY'S· BAA1 S&P: A CUSIP: 031162BU3									
PAR CALL DATE: 04/22/19 PAR CALL PRICE 100 00									
ORIGINAL UNIT/TOTAL COST. 99.7310/9,973 10									
Δ STANCORP FINANCIAL GROUP	08/28/12	10,000	10,159.06	105.0350	**10,503.50**	344 44	187.50	**500**	4.76
05.000% AUG 15 2022									
MOODY'S. BAA1 S&P: BBB+ CUSIP: 852891AC4									
ORIGINAL UNIT/TOTAL COST: 102.5640/10,256 40									
Δ MARRIOTT INTERNATIONAL	09/24/12	25,000	25,020.62	100 8370	**25,209.25**	188.63	236.98	**813**	3.22
GLB 03.250% SEP 15 2022									
MOODY'S· BAA2 S&P: BBB CUSIP: 571903AK9									
PAR CALL DATE: 06/15/22 PAR CALL PRICE: 100 00									
ORIGINAL UNIT/TOTAL COST: 100 1350/25,033.75									
AGILENT TECHNOLOGIES INC	02/04/13	10,000	9,945.60	100.1980	**10,019.80**	74.20	79.11	**320**	3.19
GLB 03.200% OCT 01 2022									
MOODY'S: BAA2 S&P: BBB+ CUSIP: 00846UAH4									
PAR CALL DATE· 07/01/22 PAR CALL PRICE: 100.00									
ORIGINAL UNIT/TOTAL COST: 99.4560/9,945 60									

MARK STALEY TTEE Account Number: 626-12763

YOUR CMA FOR TRUST ASSETS

December 01, 2016 - December 30, 2016

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
DIGITAL REALTY TRUST LP	02/04/13	10,000	9,963.30	100 9190	**10,091.90**	128.60	89.62	**363**	3 59
COMPANY GUARNT GLB 03.625% OCT 01 2022									
MOODY'S: BAA2 S&P: BBB CUSIP: 25389JAK2									
PAR CALL DATE: 07/03/22 PAR CALL PRICE. 100 00									
ORIGINAL UNIT/TOTAL COST 99 6330/9,963.30									
Δ COMCAST CORP	06/11/15	10,000	10,136.63	103.2210	**10,322.10**	185 47	119.00	**360**	3.48
COMPANY GUARNT GLB 03.600% MAR 01 2024									
MOODY'S. A3 S&P: A- CUSIP: 20030NBJ9									
ORIGINAL UNIT/TOTAL COST: 101.6200/10,162 00									
Δ PEPSICO INC	06/04/14	15,000	15,226.35	104.2980	**15,644.70**	418.35	178.50	**540**	3.45
GLB 03.600% MAR 01 2024									
MOODY'S: A1 S&P A CUSIP: 713448CM8									
PAR CALL DATE. 12/01/23 PAR CALL PRICE 100.00									
ORIGINAL UNIT/TOTAL COST: 101.9670/15,295.05									
Δ COLGATE-PALMOLIVE CO	06/04/14	15,000	15,101.32	103.3780	**15,506.70**	405.38	142.19	**488**	3.14
SER MTN 03.250% MAR 15 2024									
MOODY'S: AA3 S&P: AA- CUSIP: 19416QEG1									
ORIGINAL UNIT/TOTAL COST: 100.8814/15,132 21									
Δ VERIZON COMMUNICATIONS	03/18/14	25,000	25,212.86	104.4980	**26,124.50**	911 64	302.60	**1,038**	3.97
GLB 04.150% MAR 15 2024									
MOODY'S: BAA1 S&P: BBB+ CUSIP: 92343VBY9									
PAR CALL DATE. 12/15/23 PAR CALL PRICE· 100 00									
ORIGINAL UNIT/TOTAL COST, 101.1200/25,280.00									
Δ WAL-MART STORES INC	05/19/14	20,000	20,182.97	102.8250	**20,565.00**	382.03	124.67	**660**	3.20
GLB 03.300% APR 22 2024									
MOODY'S. AA2 S&P: AA CUSIP: 931142DP5									
PAR CALL DATE: 01/22/24 PAR CALL PRICE· 100.00									
ORIGINAL UNIT/TOTAL COST: 101 1940/20,238 80									

YOUR CMA FOR TRUST ASSETS

December 01, 2016 - December 30, 2016

CORPORATE BONDS (continued) *Description*	*Acquired*	*Quantity*	*Adjusted/Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
Δ APPLE INC *GLB 03 450% MAY 06 2024* *MOODY'S· AA1 S&P: AA+ CUSIP: 037833AS9* *ORIGINAL UNIT/TOTAL COST: 101.8080/15,271 20*	05/19/14	15,000	15,208.27	103.0040	**15,450.60**	242.33	77.63	**518**	3.34
Δ USD MAGNA INTL INC *3.625% JUN 15 2024* *MOODY'S: BAA1 S&P: A- CUSIP. 559222AQ7* *PAR CALL DATE: 03/15/24 PAR CALL PRICE: 100.00* *ORIGINAL UNIT/TOTAL COST: 102.5280/15,379 20*	02/24/15	15,000	15,312.86	100.6400	**15,096.00**	(216 86)	22 66	**544**	3.60
CSX CORP *GLB 03.400% AUG 01 2024* *MOODY'S· BAA1 S&P· BBB+ CUSIP. 126408HB2* *PAR CALL DATE 05/01/24 PAR CALL PRICE. 100 00* *ORIGINAL UNIT/TOTAL COST 99 9090/9,990 90*	11/19/14	10,000	9,990 90	101 8620	**10,186.20**	195 30	140.72	**340**	3.33
Δ DOMINION RESOURCES INC *GLB 03.625% DEC 01 2024* *MOODY'S. BAA2 S&P: BBB CUSIP. 25746UCB3* *PAR CALL DATE: 09/01/24 PAR CALL PRICE: 100.00* *ORIGINAL UNIT/TOTAL COST. 101.1100/15,166.50*	05/19/15	15,000	15,142.08	100.6260	**15,093.90**	(48.18)	43.80	**544**	3.60
FEDEX CORP *COMPANY GUARNT 03.200% FEB 01 2025* *MOODY'S: BAA2 S&P: BBB CUSIP: 31428XBC9* *ORIGINAL UNIT/TOTAL COST; 98.5460/14,781 90*	05/19/15	15,000	14,781.90	99.8050	**14,970.75**	188.85	198.67	**480**	3.20
ZIMMER HOLDINGS INC *GLB 03 550% APR 01 2025* *MOODY'S: BAA3 S&P: BBB CUSIP· 98956PAF9* *PAR CALL DATE: 01/01/25 PAR CALL PRICE. 100 00* *ORIGINAL UNIT/TOTAL COST: 98 6570/9,865.70*	05/19/15	10,000	9,865 70	97.3990	**9,739.90**	(125.80)	87.76	**355**	3.64

YOUR CMA FOR TRUST ASSETS

December 01, 2016 - December 30, 2016

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ NORTHERN TRUST CORP	03/18/14	25,000	25,242.13	104.8690	**26,217.25**	975.12	164.58	**988**	3.76
SUBORDINATED GLB 03 950% OCT 30 2025									
MOODY'S: A2 S&P: A CUSIP: 665859AP9									
ORIGINAL UNIT/TOTAL COST. 101.2120/25,303 00									
INTEL CORP	09/09/14	5,000	4,970.50	103.0960	**5,154.80**	184.30	8.33	**200**	3.87
GLB 04.000% DEC 15 2032									
MOODY'S: A1 S&P: A+ CUSIP. 458140AN0									
ORIGINAL UNIT/TOTAL COST: 99.4100/4,970.50									
TOTAL		348,000	349,702.10	B	**355,860.52**	6,158.42	3,095.22	**11,905**	3.35

PREFERRED STOCKS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
GEORGIA POWER COMPANY	12/21/10	75	7,912.50	101.6000	**7,620.00** A	(292 50)		**488**	6.39
NEW MONEY SER 07-A 06.500% PERPETUAL									
MOODY'S: BAA2 S&P. BBB CUSIP: 373334119									
PAR CALL DATE. 10/01/17 PAR CALL PRICE: 100.00									
TOTAL		75	7,912.50		**7,620.00**	(292.50)		**488**	6.40

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ABB LTD SPON ADR	ABB	02/23/11	100	22.6072	2,260.72	21.0700	2,107.00	(153.72)	73	3.46
		08/10/11	100	19.9861	1,998.61	21.0700	2,107.00	108.39	73	3 46
		05/23/12	100	15.2202	1,522 02	21.0700	2,107.00	584.98	73	3.46
		01/07/14	200	24.9232	4,984.65	21.0700	4,214.00	(770.65)	146	3.46
Subtotal			*500*		*10,766.00*		**10,535.00**	*(231.00)*	**365**	*3.46*
ADIENT PLC SHS	ADNT	11/03/16	16	46.5850	745.36	58.6000	**937.60**	192.24		

YOUR CMA FOR TRUST ASSETS

December 01, 2016 - December 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ADVANSIX INC	ASIX	08/02/11	2	6.8750	13 75	22.1400	44.28	30.53		
SHS		10/15/14	2	11.1600	22.32	22.1400	44.28	21.96		
Subtotal			*4*		36.07		***88.56***	*52.49*		
ALLSTATE CORP DEL COM	ALL	09/16/11	100	24 7950	2,479.50	74.1200	7,412.00	4,932.50	132	1.78
		01/14/16	100	58.7100	5,871.00	74.1200	7,412.00	1,541.00	132	1.78
Subtotal			*200*		*8,350.50*		**14,824.00**	*6,473.50*	**264**	*1.78*
ALPHABET INC SHS CL C	GOOG	04/18/11	10	262.6790	2,626.79	771 8200	**7,718.20**	5,091.41		
ALPHABET INC SHS CL A	GOOGL	04/18/11	10	263.5200	2,635.20	792 4500	**7,924.50**	5,289.30		
AMAZON COM INC COM	AMZN	07/06/12	20	227.3300	4,546.60	749.8700	**14,997.40**	10,450.80		
AMER EXPRESS COMPANY	AXP	03/14/11	150	43.3822	6,507.34	74 0800	11,112.00	4,604.66	192	1 72
		01/21/15	50	87.5700	4,378.50	74.0800	3,704.00	(674.50)	64	1.72
Subtotal			*200*		*10,885.84*		**14,816.00**	*3,930.16*	**256**	*1.72*
AMERICAN INTERNATIONAL *GROUP INC*	AIG	01/28/14	200	48 7856	9,757.12	65 3100	**13,062.00**	3,304.88	**256**	1.95
AMERICAN TOWER REIT INC *(HLDG CO) SHS*	AMT	04/22/14	25	83.9300	2,098.25	105.6800	**2,642.00**	543.75	**58**	2.19
AMERICAN WTR WKS CO INC *NEW*	AWK	10/04/10	100	23.3951	2,339 51	72.3600	**7,236.00**	4,896.49	**150**	2.07
AMN ELEC POWER CO	AEP	06/25/15	200	52.8700	10,574.00	62.9600	**12,592.00**	2,018.00	**472**	3.74
APPLE INC	AAPL	03/10/11	175	49.4028	8,645.50	115.8200	20,268.50	11,623.00	400	1.96
		01/24/13	35	65.9785	2,309.25	115.8200	4,053.70	1,744.45	80	1.96
Subtotal			*210*		*10,954.75*		***24,322.20***	*13,367.45*	***480***	*1.96*
ARCHER DANIELS MIDLD	ADM	04/27/15	200	48.7199	9,743.98	45.6500	**9,130.00**	(613.98)	**240**	2.62
ASTRAZENECA PLC SPND ADR	AZN	11/18/16	300	27.1363	8,140.89	27.3200	**8,196.00**	55 11	**411**	5.01
AT&T INC	T	01/26/07	300	36.3100	10,893.00	42.5300	**12,759.00**	1,866.00	**588**	4.60
BAXTER INTERNTL INC	BAX	06/22/10	150	22 9885	3,448.28	44.3400	**6,651.00**	3,202.72	**78**	1.17

MARK STALEY TTEE Account Number: 626-12763

YOUR CMA FOR TRUST ASSETS

December 01, 2016 - December 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CANADIAN NATURAL RES LTD	CNQ	12/17/14	200	29.6558	5,931.16	31.8800	**6,376.00**	444.84	**149**	2.33
CATERPILLAR INC DEL	CAT	05/25/11	100	102.6288	10,262.88	92.7400	9,274.00	(988.88)	308	3.32
		08/05/11	50	90.4580	4,522.90	92.7400	4,637.00	114.10	154	3.32
		08/28/12	25	86.1100	2,152.75	92 7400	2,318.50	165.75	77	3.32
Subtotal			*175*		*16,938.53*		***16,229.50***	*(709.03)*	***539***	*3.32*
CENTURYLINK INC SHS	CTL	11/18/16	300	24.7100	7,413.00	23.7800	**7,134.00**	(279.00)	**648**	9.08
CHEVRON CORP	CVX	02/10/06	75	56.3900	4,229 25	117.7000	8,827.50	4,598.25	324	3 67
		03/18/11	50	103.5500	5,177.50	117.7000	5,885.00	707.50	216	3.67
Subtotal			*125*		*9,406.75*		***14,712.50***	*5,305 75*	***540***	*3.67*
CHUBB LTD	CB	08/24/11	100	61.6990	6,169.90	132 1200	**13,212.00**	7,042.10	**276**	2.08
CISCO SYSTEMS INC COM	CSCO	02/16/05	300	17.7400	5,322.01	30.2200	9,066.00	3,743.99	312	3.44
		08/18/11	200	15.1364	3,027.28	30.2200	6,044.00	3,016.72	208	3.44
Subtotal			*500*		*8,349.29*		***15,110.00***	*6,760.71*	***520***	*3.44*
COCA COLA COM	KO	10/23/12	200	36.6650	7,333.00	41.4600	8,292.00	959.00	280	3.37
		11/18/16	200	40.9950	8,199.00	41.4600	8,292.00	93.00	280	3.37
Subtotal			*400*		*15,532.00*		***16,584.00***	*1,052.00*	***560***	*3.37*
COMCAST CORP NEW CL A	CMCSA	05/07/08	100	21.8799	2,187.99	69.0500	6,905.00	4,717.01	110	1.59
		01/14/16	100	54.6200	5,462.00	69.0500	6,905.00	1,443.00	110	1.59
Subtotal			*200*		*7,649.99*		***13,810.00***	*6,160 01*	***220***	*1.59*
CVS HEALTH CORP	CVS	11/18/16	100	74.2161	7,421.61	78.9100	**7,891.00**	469.39	**200**	2.53
CYRUSONE INC	CONE	11/18/16	200	40.8735	8,174.70	44.7300	**8,946.00**	771.30	**304**	3.39
DANAHER CORP DEL COM	DHR	12/22/09	50	29.1674	1,458.37	77.8400	3,892.00	2,433.63	25	.64
		08/02/11	50	35.8242	1,791.21	77.8400	3,892.00	2,100.79	25	.64
Subtotal			*100*		*3,249.58*		***7,784.00***	*4,534.42*	***50***	*.64*
↑ DEERE CO	DE	03/15/11	100	85 4208	8,542 08	103.0400	10,304.00	1,761.92	240	2.32
		07/27/11	50	79.3800	3,969.00	103.0400	5,152.00	1,183.00	120	2.32
Subtotal			*150*		*12,511.08*		***15,456.00***	*2,944.92*	***360***	*2.32*

YOUR CMA FOR TRUST ASSETS

December 01, 2016 - December 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
DEVON ENERGY CORP NEW	DVN	09/20/16	400	40 8500	16,340 00	45.6700	**18,268.00**	1,928.00	**96**	.52
DIAGEO PLC SPSD ADR NEW	DEO	06/25/15	50	120.8200	6,041.00	103.9400	5,197.00	(844.00)	156	2.99
		09/02/15	50	104.3200	5,216.00	103.9400	5,197.00	(19.00)	156	2.99
Subtotal			*100*		*11,257.00*		***10,394.00***	*(863 00)*	***312***	*2.99*
DIGITAL RLTY TR INC	DLR	03/14/11	100	55.5740	5,557.40	98.2600	**9,826.00**	4,268.60	**352**	3.58
DISNEY (WALT) CO COM STK	DIS	03/23/10	50	33.9800	1,699.00	104 2200	5,211.00	3,512.00	78	1 49
		07/19/11	50	39 3800	1,969.00	104.2200	5,211.00	3,242.00	78	1 49
Subtotal			*100*		*3,668 00*		***10,422.00***	*6,754.00*	***156***	*1.49*
DUKE ENERGY CORP NEW	DUK	11/18/16	130	73 4300	9,545.90	77 6200	**10,090.60**	544.70	**445**	4.40
EASTMAN CHEMICAL CO COM	EMN	06/25/15	100	83.1913	8,319.13	75.2100	**7,521.00**	(798.13)	**204**	2.71
EATON CORP PLC	ETN	09/26/14	100	62.1342	6,213 42	67.0900	6,709.00	495 58	228	3 39
		10/15/14	100	54.9200	5,492.00	67.0900	6,709.00	1,217.00	228	3.39
Subtotal			*200*		*11,705 42*		***13,418.00***	*1,712.58*	***456***	*3.39*
ELI LILLY & CO	LLY	01/28/14	100	54.2556	5,425.56	73 5500	**7,355.00**	1,929.44	**208**	2.82
ESSEX PPTY TR INC COM REIT	ESS	01/28/14	50	153.5600	7,678.00	232.5000	**11,625.00**	3,947.00	**320**	2.75
EXXON MOBIL CORP COM	XOM	02/10/06	75	59.0700	4,430.25	90.2600	6,769.50	2,339.25	225	3.32
		08/12/15	100	77.5262	7,752.62	90.2600	9,026.00	1,273.38	300	3.32
		08/26/15	100	71.4762	7,147.62	90.2600	9,026.00	1,878.38	300	3.32
Subtotal			*275*		*19,330.49*		***24,821.50***	*5,491.01*	***825***	*3.32*
GENERAL ELECTRIC	GE	03/18/11	300	19.7490	5,924.70	31.6000	9,480.00	3,555.30	288	3.03
		08/10/11	300	15.3145	4,594.35	31.6000	9,480.00	4,885.65	288	3.03
Subtotal			*600*		*10,519 05*		***18,960.00***	*8,440.95*	***576***	*3.03*
GENL DYNAMICS CORP COM	GD	06/05/12	50	61.9700	3,098.50	172.6600	**8,633.00**	5,534.50	**152**	1.76
GOLDMAN SACHS GROUP INC	GS	07/19/11	25	126.2900	3,157.25	239.4500	5,986.25	2,829.00	65	1.08
		01/12/16	50	164.9800	8,249.00	239.4500	11,972 50	3,723.50	130	1.08
Subtotal			*75*		*11,406 25*		***17,958.75***	*6,552 50*	***195***	*1.08*

MARK STALEY TTEE Account Number: 626-12763

YOUR CMA FOR TRUST ASSETS

December 01, 2016 - December 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
HALLIBURTON COMPANY	HAL	10/15/14	200	48 9899	9,797.98	54.0900	10,818.00	1,020.02	144	1.33
		11/18/16	50	49.2256	2,461.28	54 0900	2,704.50	243.22	36	1.33
Subtotal			*250*		*12,259.26*		**13,522.50**	*1,263.24*	**180**	*1.33*
HONEYWELL INTL INC DEL	HON	08/02/11	50	51 3548	2,567.74	115.8500	5,792.50	3,224.76	133	2.29
		10/15/14	50	83.3134	4,165.67	115.8500	5,792.50	1,626.83	133	2.29
Subtotal			*100*		*6,733.41*		**11,585.00**	*4,851 59*	**266**	*2.29*
INTEL CORP	INTC	06/01/12	300	25 3266	7,597 98	36.2700	10,881.00	3,283.02	312	2.86
		11/15/12	200	20 1250	4,025.00	36.2700	7,254.00	3,229.00	208	2.86
Subtotal			*500*		*11,622.98*		**18,135.00**	*6,512 02*	**520**	*2.86*
INTL BUSINESS MACHINES *CORP IBM*	IBM	03/10/11	100	162.7300	16,273.00	165.9900	**16,599.00**	326 00	**560**	3.37
JOHNSON AND JOHNSON COM	JNJ	07/26/06	100	61.9900	6,199.00	115.2100	**11,521.00**	5,322.00	**320**	2.77
JOHNSON CONTROLS INTER	JCI	09/07/16	167	45.6900	7,630.23	41.1900	**6,878.73**	(751.50)	**167**	2.42
JPMORGAN CHASE & CO	JPM	01/25/08	50	44 0900	2,204.50	86.2900	4,314.50	2,110.00	96	2.22
		06/15/11	50	40.7200	2,036.00	86.2900	4,314.50	2,278.50	96	2.22
		09/24/13	50	50.9600	2,548 00	86.2900	4,314.50	1,766.50	96	2.22
Subtotal			*150*		*6,788.50*		**12,943.50**	*6,155.00*	**288**	*2.22*
KANSAS CITY SOUTHERN	KSU	10/11/16	200	95.3290	19,065.80	84.8500	**16,970.00**	(2,095.80)	**264**	1.55
KRAFT (THE) HEINZ CO SHS	KHC	06/06/13	66	53.7001	3,544.21	87.3200	5,763.12	2,218.91	159	2.74
		01/07/14	100	54.0756	5,407.56	87.3200	8,732.00	3,324.44	240	2.74
Subtotal			*166*		*8,951.77*		**14,495.12**	*5,543.35*	**399**	*2.74*
LAS VEGAS SANDS CORP	LVS	09/09/14	25	63.0900	1,577.25	53.4100	1,335.25	(242 00)	72	5.39
		01/12/16	100	38.7380	3,873.80	53.4100	5,341.00	1,467.20	288	5 39
Subtotal			*125*		*5,451.05*		**6,676.25**	*1,225.20*	**360**	*5.39*
LOWE'S COMPANIES INC	LOW	11/18/16	100	69.0615	6,906.15	71.1200	**7,112.00**	205.85	**140**	1.96

YOUR CMA FOR TRUST ASSETS

December 01, 2016 - December 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
MAGNA INTL INC CL A VTG	MGA	03/15/11	200	24.2877	4,857.54	43.4000	8,680.00	3,822.46	200	2.30
		08/02/11	100	23.0250	2,302.50	43 4000	4,340.00	2,037 50	100	2.30
Subtotal			*300*		*7,160.04*		***13,020.00***	*5,859.96*	***300***	*2.30*
MCDONALDS CORP COM	MCD	03/22/11	100	73.6423	7,364.23	121.7200	**12,172.00**	4,807.77	**377**	3.08
MEDTRONIC PLC SHS	MDT	01/28/15	100	76.2139	7,621 39	71.2300	**7,123.00**	(498 39)	**172**	2.41
MERCK AND CO INC SHS	MRK	03/11/11	300	32.7000	9,810.00	58.8700	**17,661.00**	7,851.00	**564**	3.19
MOHAWK INDUSTRIES INC	MHK	11/18/16	50	199.8400	9,992.00	199.6800	**9,984.00**	(8.00)		
MONDELEZ INTERNATIONAL	MDLZ	03/01/12	100	24.7306	2,473.06	44.3300	4,433.00	1,959.94	76	1.71
INC		06/24/13	100	28 7960	2,879.60	44.3300	4,433.00	1,553.40	76	1.71
Subtotal			*200*		*5,352.66*		***8,866.00***	*3,513.34*	***152***	*1.71*
NEXTERA ENERGY INC SHS	NEE	08/24/06	100	43.7400	4,374.00	119.4600	**11,946.00**	7,572.00	**348**	2.91
NIKE INC CL B	NKE	03/14/11	200	21.4327	4,286.55	50.8300	**10,166.00**	5,879.45	**144**	1.41
NORFOLK SOUTHERN CORP	NSC	03/22/11	100	67 5426	6,754 26	108.0700	10,807.00	4,052.74	236	2.18
		08/05/11	50	69 3900	3,469.50	108.0700	5,403.50	1,934.00	118	2.18
Subtotal			*150*		*10,223.76*		***16,210.50***	*5,986 74*	***354***	*2.18*
OCCIDENTAL PETE CORP CAL	OXY	08/05/11	50	85.1500	4,257.50	71.2300	3,561.50	(696.00)	152	4.26
		06/05/12	50	77.9908	3,899.54	71.2300	3,561.50	(338.04)	152	4.26
		01/21/15	100	78.5706	7,857.06	71.2300	7,123.00	(734.06)	304	4.26
		11/18/16	50	68.5056	3,425.28	71.2300	3,561 50	136.22	152	4.26
Subtotal			*250*		*19,439.38*		***17,807.50***	*(1,631.88)*	***760***	*4 26*
ORACLE CORP $0.01 DEL	ORCL	11/24/09	100	22.2700	2,227.00	38.4500	3,845.00	1,618.00	60	1.56
		11/24/09	300	22.2699	6,680.97	38.4500	11,535.00	4,854.03	180	1.56
Subtotal			*400*		*8,907.97*		***15,380.00***	*6,472.03*	***240***	*1 56*
PEPSICO INC	PEP	01/26/07	100	64.5200	6,452.00	104.6300	**10,463.00**	4,011.00	**301**	2.87
PFIZER INC	PFE	04/09/02	500	38.7700	19,385.00	32.4800	**16,240.00**	(3,145.00)	**640**	3.94
PHILIP MORRIS INTL INC	PM	01/26/07	150	46.5212	6,978.18	91 4900	**13,723.50**	6,745.32	**624**	4.54

MARK STALEY TTEE

Account Number: 626-12763

YOUR CMA FOR TRUST ASSETS

December 01, 2016 - December 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
PROCTER & GAMBLE CO	PG	01/26/07	250	64 5866	16,146.67	84.0800	**21,020.00**	4,873.33	**670**	3.18
QUALCOMM INC	QCOM	01/26/07	50	37.6000	1,880.00	65.2000	3,260.00	1,380.00	106	3.25
		08/10/11	50	47.6700	2,383.50	65.2000	3,260.00	876.50	106	3.25
		08/26/15	100	55 5000	5,550.00	65.2000	6,520.00	970.00	212	3.25
Subtotal			*200*		*9,813.50*		***13,040.00***	*3,226.50*	***424***	*3.25*
SANOFI ADR	SNY	05/25/10	100	28 6385	2,863.85	40.4400	4,044.00	1,180.15	113	2.77
		03/22/11	100	33.6664	3,366.64	40.4400	4,044.00	677.36	113	2.77
Subtotal			*200*		*6,230.49*		***8,088.00***	*1,857.51*	***226***	*2.77*
SCHLUMBERGER LTD	SLB	02/05/08	200	77.0419	15,408.38	83.9500	**16,790.00**	1,381.62	**400**	2.38
SHIRE PLC-ADR	SHPG	06/06/16	37	190.0400	7,031.48	170.3800	**6,304.06**	(727.42)	**30**	.47
SIEMENS AG ADR	SIEGY	05/23/12	25	80.3840	2,009.60	122.4200	3,060.50	1,050.90	69	2.24
		01/07/14	25	135.9000	3,397.50	122.4200	3,060.50	(337.00)	69	2.24
		02/06/14	50	126.2000	6,310.00	122.4200	6,121.00	(189.00)	138	2 24
Subtotal			*100*		*11,717.10*		***12,242.00***	*524.90*	***276***	*2.24*
TEVA PHARMACTCL INDS ADR	TEVA	01/26/07	100	34.6699	3,466.99	36 2500	**3,625.00**	158.01	**116**	3 18
TEXAS INSTRUMENTS	TXN	03/18/11	100	33.5344	3,353.44	72.9700	7,297.00	3,943 56	200	2.74
		08/10/11	100	26.4245	2,642.45	72.9700	7,297.00	4,654.55	200	2.74
Subtotal			*200*		*5,995.89*		***14,594.00***	*8,598.11*	***400***	*2.74*
THOMSON REUTERS CORP	TRI	05/03/12	100	30.6156	3,061 56	43.7800	**4,378.00**	1,316.44	**136**	3.10
TORCHMARK CORP COM	TMK	07/21/09	150	17.9006	2,685.10	73.7600	**11,064.00**	8,378.90	**84**	.75
TORONTO DOMINION BANK	TD	04/27/12	200	41.9130	8,382.60	49 3400	9,868.00	1,485.40	328	3.31
		11/15/12	100	39.3900	3,939.00	49.3400	4,934.00	995.00	164	3.31
Subtotal			*300*		*12,321.60*		***14,802.00***	*2,480.40*	***492***	*3.31*
UNITED TECHS CORP COM	UTX	03/11/11	100	80.9900	8,099.00	109 6200	**10,962.00**	2,863.00	**264**	2 40
VERIZON COMMUNICATNS COM	VZ	01/26/07	150	34.8903	5,233 55	53.3800	8,007.00	2,773 45	347	4.32
		09/26/14	50	49.4800	2,474 00	53.3800	2,669.00	195.00	116	4.32
Subtotal			*200*		*7,707.55*		***10,676.00***	*2,968.45*	***463***	*4.32*

YOUR CMA FOR TRUST ASSETS

December 01, 2016 - December 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
WAL-MART STORES INC	WMT	03/10/11	100	52.5100	5,251.00	69.1200	6,912.00	1,661.00	200	2.89
		11/15/12	50	68.7000	3,435.00	69.1200	3,456.00	21.00	100	2.89
		09/24/13	50	76.2098	3,810.49	69.1200	3,456.00	(354.49)	100	2.89
Subtotal			*200*		*12,496 49*		***13,824.00***	*1,327.51*	***400***	*2.89*
WHIRLPOOL CORP	WHR	05/07/15	40	182.2100	7,288.40	181.7700	**7,270.80**	(17.60)	**160**	2.20
3M COMPANY	MMM	06/25/15	50	156 8200	7,841.00	178.5700	8,928.50	1,087.50	222	2.48
		08/26/15	50	140.3600	7,018.00	178.5700	8,928.50	1,910.50	222	2.48
Subtotal			*100*		*14,859.00*		***17,857.00***	*2,998 00*	***444***	*2.48*
TOTAL					720,697.94		**984,706.77**	264,008.83	**25,706**	2.61

(A) 7,620.00
992,326.77

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	1,260,592.58	**1,541,264.98**	280,672 40	3,951 62	**42,306**	2.74

Notes

Δ Debt Instruments purchased at a premium show amortization θ Debt Instruments purchased at a discount show accretion

[1] Some agency securities are not backed by the full faith and credit of the United States government.

For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

YOUR CMA FOR TRUST LIABILITIES

SHORTS Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CALL UTX 00120.000	11/21/16	1	0.8699	86.99	1 1750	**117.50**	(30.51)		
UNITED TECHS CORP COM EXP 05-19-2017									
CALL AIG 00067.500	08/25/16	1	0.4399	43.99	1 2300	**123.00**	(79.01)		
AMERICAN INTERNATIONAL EXP 02-17-2017									

MARK STALEY TTEE

Account Number: 626-12763

YOUR CMA FOR TRUST LIABILITIES

December 01, 2016 - December 30, 2016

SHORTS (continued) Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CALL LLY 00097.500 *ELI LILLY & CO EXP 01-20-2017*	07/22/16	1	0.8199	81.99	0.0200	**2.00**	79.99		
CALL AXP 00067.500 *AMER EXPRESS COMPANY EXP 01-20-2017*	07/19/16	1	2.1699	216.99	6.7500	**675.00**	(458.01)		
CALL KO 00047.000 *COCA COLA COM EXP 05-19-2017*	11/21/16	4	0.1099	43.99	0.1500	**60.00**	(16.01)		
CALL INTC 00040.000 *INTEL CORP EXP 07-21-2017*	09/23/16	2	1.6899	337.99	0.9400	**188.00**	149.99		
CALL TXN 00075.000 *TEXAS INSTRUMENTS EXP 04-21-2017*	10/12/16	1	1.5699	156 99	2.6800	**268.00**	(111.01)		
CALL MCD 00120.000 *MCDONALDS CORP COM EXP 06-16-2017*	11/25/16	1	5.2799	527.99	6 6500	**665.00**	(137.01)		
CALL MDT 00095.000 *MEDTRONIC PLC SHS EXP 06-16-2017*	09/23/16	1	1.9499	194 99	0.0700	**7.00**	187.99		
CALL ORCL 00045.000 *ORACLE CORP $0.01 DEL EXP 06-16-2017*	09/20/16	1	0.6099	60.99	0.2250	**22.50**	38.49		
CALL TD 00047.500 *TORONTO DOMINION BANK EXP 04-21-2017*	10/25/16	1	0.5999	59.99	2.7500	**275.00**	(215.01)		
CALL CAT 00092.500 *CATERPILLAR INC DEL EXP 02-17-2017*	08/25/16	1	1.3899	138.99	3 4800	**348.00**	(209.01)		
CALL PEP 00115.000 *PEPSICO INC EXP 04-21-2017*	10/25/16	1	0 8099	80 99	0.3900	**39.00**	41.99		
CALL MMM 00185.000 *3M COMPANY EXP 04-21-2017*	10/25/16	1	1.8099	180.99	3 6500	**365.00**	(184.01)		
CALL QCOM 00070.000 *QUALCOMM INC EXP 03-17-2017*	10/20/16	1	2.1799	217.99	1.1400	**114.00**	103.99		
CALL KHC 00095 000	08/23/16	1	2.9399	293 99	1.2500	**125.00**	168.99		

YOUR CMA FOR TRUST LIABILITIES

December 01, 2016 - December 30, 2016

SHORTS (continued) Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
KRAFT (THE) HEINZ CO SHS EXP 04-21-2017									
CALL LVS 00060.000	12/15/16	1	3 6299	362.99	1.8400	**184.00**	178.99		
LAS VEGAS SANDS CORP EXP 06-16-2017									
CALL CSCO 00034.000	07/22/16	2	0.4599	91.99	0.0100	**2.00**	89.99		
CISCO SYSTEMS INC COM EXP 01-20-2017									
CALL DEO 00120.000	10/25/16	1	1.4499	144 99	0.2750	**27.50**	117 49		
DIAGEO PLC SPSD ADR NEW EXP 04-21-2017									
CALL DVN 00060.000	09/20/16	2	0 4599	91.99	0.3700	**74.00**	17.99		
DEVON ENERGY CORP NEW EXP 04-21-2017									
CALL TEVA 00060.000	09/20/16	1	0.5999	59.99	0.0800	**8.00**	51.99		
TEVA PHARMACTCL INDS ADR EXP 03-17-2017									
CALL SNY 00043.000	09/20/16	1	0 8999	89 99	0.6250	**62.50**	27.49		
SANOFI ADR EXP 03-17-2017									
CALL DE 00100.000	12/14/16	1	7.1898	718.98	8.6250	**862.50**	(143.52)		
DEERE CO EXP 06-16-2017									
CALL TMK 00060.000	08/15/16	1	4.2399	423.99	13.7000	**1,370.00**	(946.01)		
TORCHMARK CORP COM EXP 02-17-2017									
CALL DHR 00090.000	12/29/16	1	0.3999	39.99	0.4000	**40.00**	(0.01)		
DANAHER CORP DEL COM EXP 06-16-2017									
CALL NKE 00067.500	07/22/16	1	0.3599	35.99	0.0100	**1.00**	34.99		
NIKE INC CL B EXP 01-20-2017									
CALL PFE 00035.000	08/02/16	2	2.4899	497.99	0.2400	**48.00**	449.99		
PFIZER INC EXP 03-17-2017									
CALL SLB 00090.000	08/25/16	1	1.3599	135 99	0.6200	**62.00**	73.99		
SCHLUMBERGER LTD EXP 02-17-2017									
CALL NSC 00097.500	09/20/16	1	2 7999	279.99	11.9000	**1,190.00**	(910.01)		
NORFOLK SOUTHERN CORP EXP 03-17-2017									

MARK STALEY TTEE Account Number: 626-12763

YOUR CMA FOR TRUST LIABILITIES

December 01, 2016 - December 30, 2016

SHORTS (continued) Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CALL NEE 00130.000	08/23/16	1	3 1899	318.99	0.5750	**57.50**	261.49		
NEXTERA ENERGY INC SHS EXP 03-17-2017									
CALL OXY 00085.000	08/25/16	1	1 0899	108.99	0 0750	**7.50**	101.49		
OCCIDENTAL PETE CORP CAL EXP 02-17-2017									
CALL CNQ 00037.000	12/05/16	1	2.0899	208.99	1.0500	**105.00**	103.99		
CANADIAN NATURAL RES LTD EXP 06-16-2017									
CALL MGA 00045.000	09/20/16	1	0 9499	94.99	1 5000	**150.00**	(55.01)		
MAGNA INTL INC CL A VTG EXP 03-17-2017									
CALL KSU 00110.000	10/11/16	1	1.5899	158.99	0.2000	**20.00**	138.99		
KANSAS CITY SOUTHERN EXP 03-17-2017									
CALL ABB 00023.000	11/17/16	1	0.4399	43.99	0.3500	**35.00**	8.99		
ABB LTD SPON ADR EXP 06-16-2017									
CALL AWK 00075.000	08/03/16	1	8.2798	827.98	1.4100	**141.00**	686.98		
AMERICAN WTR WKS CO INC EXP 03-17-2017									
CALL DLR 00120.000	07/12/16	1	1 7999	179 99	0.2000	**20.00**	159.99		
DIGITAL RLTY TR INC EXP 01-20-2017									
CALL CB 00140.000	11/21/16	1	1.2399	123.99	1.9500	**195.00**	(71.01)		
CHUBB LTD EXP 05-19-2017									
CALL AZN 00035.000	12/29/16	1	0.4999	49.99	0.5750	**57.50**	(7.51)		
ASTRAZENECA PLC SPND ADR EXP 07-21-2017									
CALL T 00046.000	07/12/16	1	0.3399	33.99	0 0300	**3.00**	30.99		
AT&T INC EXP 01-20-2017									
CALL ALL 00075.000	11/25/16	1	2.5299	252.99	3.2500	**325.00**	(72.01)		
ALLSTATE CORP DEL COM EXP 07-21-2017									
CALL VZ 00060.000	07/12/16	1	0.4999	49.99	0.0100	**1.00**	48.99		
VERIZON COMMUNICATNS COM EXP 01-20-2017									

YOUR CMA FOR TRUST LIABILITIES

December 01, 2016 - December 30, 2016

SHORTS (continued)

Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CALL XOM 00105.000 *EXXON MOBIL CORP COM EXP 01-20-2017*	07/12/16	1	0.3999	39.99	0.0100	**1.00**	38.99		
CALL PG 00092.500 *PROCTER & GAMBLE CO EXP 01-20-2017*	07/22/16	1	0 5999	59.99	0.0200	**2.00**	57.99		
CALL MRK 00062.500 *MERCK AND CO INC SHS EXP 01-20-2017*	07/19/16	1	0.9999	99 99	0.0900	**9.00**	90.99		
CALL IBM 00165.000 *INTL BUSINESS MACHINES EXP 01-20-2017*	07/14/16	1	5.4699	546.99	4 4300	**443.00**	103.99		
CALL HAL 00055.000 *HALLIBURTON COMPANY EXP 01-20-2017*	07/19/16	1	0.6399	63.99	0 9200	**92.00**	(28.01)		
CALL JPM 00077 500 *JPMORGAN CHASE & CO EXP 06-16-2017*	11/18/16	1	5 7399	573.99	10.6200	**1,062.00**	(488.01)		
CALL ETN 00072 500 *EATON CORP PLC EXP 01-20-2017*	07/22/16	1	0 5499	54.99	0.1000	**10.00**	44.99		
CALL LOW 00082.500 *LOWE'S COMPANIES INC EXP 07-21-2017*	12/29/16	1	0.9999	99 99	0.9650	**96.50**	3 49		
CALL DIS 00115.000 *DISNEY (WALT) CO COM STK EXP 01-20-2017*	07/22/16	1	0.4199	41.99	0.0200	**2.00**	39.99		
CALL WMT 00077.500 *WAL-MART STORES INC EXP 06-16-2017*	09/20/16	2	1.8499	369.99	0.6150	**123.00**	246.99		
CALL AEP 00060.000 *AMN ELEC POWER CO EXP 02-17-2017*	08/05/16	2	8.5798	1,715 96	3.7000	**740.00**	975.96		
CALL JNJ 00120.000 *JOHNSON AND JOHNSON COM EXP 06-16-2017*	11/14/16	1	3.2399	323 99	2.6000	**260.00**	63 99		
CALL AAPL 00125.000 *APPLE INC EXP 01-20-2017*	07/22/16	1	0 4499	44.99	0.0800	**8.00**	36.99		
CALL JCI3 00049 000	09/20/16	1	1.2099	120 99	1.6150	**161.50**	(40.51)		

Merrill Lynch
Bank of America Corporation

MARK STALEY TTEE

Account Number: 626-12763

YOUR CMA FOR TRUST LIABILITIES

December 01, 2016 - December 30, 2016

SHORTS (continued) Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
PKG 100 JCI + 10 ADNT EXP 04-21-2017									
TOTAL				12,312.39		**11,453.00** d	859.39		

355,860.52 B
11,453.00 d
367,313.52 ✓

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/8

STATEMENT OF ACCOUNT

SIPC

LYNN KAPLAN
GROSS, KAPLAN & OSIOL LLC
9450 W, BRYN MAWR AVE #310

DUPLICATE FOR:
STALEY FAMILY FOUNDATION
PREFERENCE ACCOUNT

Page	Account Number	Financial Advisor	Period Ending
3 of 7	G40-1457705	GUTTILLA/HAYES - Z3L	12/31/16

Portfolio Holdings

Some prices, current values and income estimates may be approximations, resulting in gains and losses not being accurately reflected Unrealized gains and/or losses are computed from the supplied cost basis data and may not be accurate for tax reporting purposes Items for which a cost basis was not available as of the statement period ending date are indicated by the symbol N/A The total gains and/or losses do not reflect positions which we do not have cost information Please contact your Financial Advisor if you believe any cost basis related data is inaccurate or if you require additional information

Estimated Annual Income ("EAI") and Estimated Yield ("EY") are estimates only and do not indicate actual income or performance of investments EAI and EY for certain types of securities could include a return of principal or capital gains, in which case the EAI and EY would be overstated. As EAI and EY are estimates, the actual income and yield might be lower or higher than the estimated amounts EY reflects only the income generated by an investment and it does not reflect changes in its price, which may fluctuate

Advantage Bank Deposits

(NOT COVERED BY SIPC)

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Client may elect to liquidate any cash investment option at any time by contacting his/her Financial Advisor

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	EY	EAI	Portfolio Percent
ADVANTAGE BANK DEPOSIT FDIC INSURED AT VARIOUS BKS	CASH	7,556 0200	ABDXX	1 00000	1 00000	7,556 02	7,556 02	0 007%		3 58
TOTAL ADVANTAGE BANK DEPOSITS						**7,556.02**	**7,556 02**			**3.58**

Equities

Please note the following icon appears to the right of the stock symbol of those securities which Oppenheimer has provided research coverage If you wish to access such research you may visit the Client Access web site (www opco com) or speak with your Financial Advisor

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
ALLEGION PUB LTD CO ORD SHS	(I) CASH	56	ALLE	27 85410	64 00000	1,559 83	3,584 00	2,024	0 750%	26	1 70
ALLERGAN PLC	(L) CASH	60	AGN	188 18980	210 01000	11,291 39	12,600 60	1,309	1 333%	168	5 96
BROOKFIELD BUSINESS PARTNERS L UNIT LTD LP	(N) CASH	2	BBU	23 99000	24 06000	47 98	48 12	0	1 039%		0 02
EATON CORP PLC	(C) CASH	116	ETN	49 61340	67 09000	5,755 15	7,782 44	2,027	3 398%	264	3 68
INGERSOLL-RAND PLC	(Q) CASH	170	IR	35 99860	75 04000	6,119 76	12,756 80	6,637	2 132%	272	6 04
UBS GROUP AG	(I) CASH	290	UBS	18 31000	15 67000	5,309 90	4,544 30	(766)	4 365%	198	2 15
CORE LABORATORIES N V	(G) CASH	30	CLB	98 17000	120 04000	2,945 10	3,601 20	656	1 832%	66	1 70
ABBOTT LABS	(L) CASH	300	ABT	38 02990	38 41000	11,408 97	11,523 00	114	2 759%	318	5 45
AMGEN INC	(L) CASH	55	AMGN	144 60000	146 21000	7,953 00	8,041 55	89	3 146%	253	3 81
AXA SA SPONSORED ADR	(I) CASH	130	AXAHY	19 46000	25 20000	2,529 80	3,276 00	746	4 036%	132	1 55
BERKSHIRE HATHAWAY INC DEL CL B NEW	(I) CASH	65	BRKB	129 30000	162 98000	8,404 50	10,593 70	2,189			5 01

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STATEMENT OF ACCOUNT

SIPC

LYNN KAPLAN
GROSS, KAPLAN & OSIOL LLC
9450 W, BRYN MAWR AVE #310

DUPLICATE FOR:
STALEY FAMILY FOUNDATION
PREFERENCE ACCOUNT

Page	Account Number	Financial Advisor	Period Ending
4 of 7	G40-1457705	GUTTILLA/HAYES - Z3L	12/31/16

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
BHP BILLITON LTD SPONSORED ADR	(C) CASH	175	BHP	82 48740	35 78000	14,435 30	6,261 50	(8,174)	1 676%	105	2 96
BROOKFIELD ASSET MGMT INC CL A LTD VT SH REIT	(O) CASH	120	BAM	20 56000	33 01000	2,467 20	3,961 20	1,494	1 575%	62	1 88
CANADIAN NATL RY CO	(S) CASH	180	CNI	36 22000	67 40000	6,519 60	12,132 00	5,612	1.656%	200	5.74
CANADIAN NAT RES LTD	(G) CASH	195	CNQ	48 83990	31 88000	9,523 78	6,216 60	(3,307)	2 334%	145	2 94
CANADIAN PAC RY LTD	(S) CASH	115	CP	63 89000	142 77000	7,347 35	16,418 55	9,071	1 066%	175	7 77
CHICAGO BRIDGE & IRON CO N V	(E) CASH	50	CBI	38 93820	31 75000	1,946 91	1,587 50	(359)	0 881%	14	0 75
CITIGROUP INC COM NEW	(I) CASH	215	C	36 95000	59 43000	7,944 25	12,777 45	4,833	1 076%	137	6.05
DIAGEO P L C SPON ADR NEW	(J) CASH	85	DEO	73 25000	103 94000	6,226 25	8,834 90	2,609	2 998%	264	4 18
LKQ CORP	(P) CASH	315	LKQ	25 30000	30 65000	7,969 50	9,654 75	1,685			4 57
MACQUARIE INFRASTRUCTURE CORP	(P) CASH	135	MIC	59 05000	81 70000	7,971 75	11,029 50	3,058	6 315%	696	5 22
NESTLE S A SPONSORED ADR	(J) CASH	165	NSRGY	55 32000	71 74000	9,127 80	11,837 10	2,709	2.713%	321	5 60
NOVARTIS A G SPONSORED ADR	(L) CASH	90	NVS	53 77000	72 84000	4,839 30	6,555 60	1,716	3 161%	207	3 10
POTASH CORP SASK INC	(C) CASH	205	POT	55 27990	18 09000	11,332 38	3,708 45	(7,624)	2 211%	82	1 76
PRAIRIESKY RTY LTD	(G) CASH	3	PREKF	29 94000	23 81710	89 82	71 45	(18)	2 266%	1	0 03
SCHLUMBERGER LTD	(G) CASH	75	SLB	87 10990	83 95000	6,533 24	6,296 25	(237)	2 382%	150	2 98

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STATEMENT OF ACCOUNT

SIPC

LYNN KAPLAN
GROSS, KAPLAN & OSIOL LLC
9450 W, BRYN MAWR AVE #310

DUPLICATE FOR:
STALEY FAMILY FOUNDATION
PREFERENCE ACCOUNT

Page	Account Number	Financial Advisor	Period Ending
5 of 7	G40-1457705	GUTTILLA/HAYES - Z3L	12/31/16

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
UNILEVER N V N Y SHS NEW	(J) CASH	195	UN	29 50990	41 06000	5,754 43	8,006 70	2,252	2 902%	232	3.79
SUB-TOTAL COMMON STOCK						173,354.24	203,701.21	30,345		4,495	96.42
TOTAL EQUITIES						173,354.24	203,701.21	30,345		4,495	96.42

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(I)	17%	FINANCIAL	(L)	19%	HEALTHCARE	(N)	02%	PUBLIC SERVICES	(C)	8%	BASIC INDUSTRY	(Q)	6%	TECHNOLOGY
(G)	7%	ENERGY	(O)	1%	REAL ESTATE	(S)	14%	TRANSPORTATION	(E)	78%	CONSTRUCTION & BLDG	(J)	14%	FOOD & BEVERAGES
(P)	10%	RETAIL SERVICES												

	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
Total Portfolio Holdings	$180,910.26	$211,257.23	$30,345	2.128%	4,496	100%

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/5

STATEMENT OF ACCOUNT

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LYNN KAPLAN
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9450 W, BRYN MAWR AVE. #310

DUPLICATE FOR:
STALEY FAMILY FOUNDATION
HARDING LOEVNER (IAS)

Page	Account Number	Financial Advisor	Period Ending
3 of 9	G40-1457697	GUTTILLA/HAYES - Z3L	12/31/16

Portfolio Holdings

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Estimated Annual Income ("EAI") and Estimated Yield ("EY") are estimates only and do not indicate actual income or performance of investments EAI and EY for certain types of securities could include a return of principal or capital gains, in which case the EAI and EY would be overstated As EAI and EY are estimates, the actual income and yield might be lower or higher than the estimated amounts EY reflects only the income generated by an investment and it does not reflect changes in its price, which may fluctuate

Advantage Bank Deposits

(NOT COVERED BY SIPC)

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Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	EY	EAI	Portfolio Percent
ADVANTAGE BANK DEPOSIT FDIC INSURED AT VARIOUS BKS	CASH	3,616 1600	ABDXX	1 00000	1 00000	3,616 16	3,616 16	0 007%		1.44
TOTAL ADVANTAGE BANK DEPOSITS						**3,616.16**	**3,616.16**			**1.44**

Equities

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Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
BUNGE LIMITED	(A) CASH	77	BG	83 98600	72 24000	6,466 92	5,562 48	(904)	2.325%	129	2 21
CHECK POINT SOFTWARE TECH LTD ORD	(Q) CASH	55	CHKP	77 19490	84 46000	4,245 72	4,645 30	400			1 84
AIA GROUP LTD SPONSORED ADR	(I) CASH	381	AAGIY	17 33270	22 47000	6,603 76	8,561 07	1,957	1 521%	130	3.40
AIR LIQUIDE ADR	(C) CASH	274	AIQUY	24 88080	22 24000	6,817 33	6,093 76	(724)	1 955%	119	2 42
ALFA LAVAL AB SWEDEN ADR	(B) CASH	168	ALFVY	15 07140	16 51000	2,532 00	2,773 68	242	2 393%	66	1 10
ALLIANZ SE SP ADR 1/10 SH	(I) CASH	415	AZSEY	16 75860	16 48000	6,954 83	6,839 20	(116)	3 713%	253	2 71
ASPEN PHARMACARE HLDGS LTD ADR	(L) CASH	114	APNHY	21 84300	20 27000	2,490 10	2,310 78	(179)	0 641%	14	0 92
ATLAS COPCO AB SP ADR A NEW	(P) CASH	148	ATLKY	30 30100	30 54000	4,484 55	4,519 92	35	1 701%	76	1 79
BBA AVIATION PLC ADR	(S) CASH	84	BBAVY	15 68960	17 68000	1,317 93	1,485 12	167	3 090%	45	0 59

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Page	Account Number	Financial Advisor	Period Ending
4 of 9	G40-1457697	GUTTILLA/HAYES - Z3L	12/31/16

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
BAIDU INC SPON ADR REP A SUBJECT TO CUSTODY FEE	(Q) CASH	57	BIDU	169 44440	164 41000	9,658 33	9,371 37	(287)			3 72
BANCO BILBAO VIZCAYA ARGENTARI SPONSORED ADR	(I) CASH	1,034	BBVA	8 70550	6 77000	9,001 46	7,000 18	(2,001)	4 841%	338	2.78
BAYER A G SPONSORED ADR	(L) CASH	99	BAYRY	103 99170	104 28000	10,295 18	10,323 72	29	2 005%	207	4 10
BAYERISCHE MOTOREN WERKE A G SPONSORED ADR	(S) CASH	153	BMWYY	39 04400	31 01000	5,973 73	4,744 53	(1,229)	2 712%	128	1 88
CSL LTD SPONSORED ADR	(L) CASH	114	CSLLY	34 06900	36 27000	3,883 87	4,134 78	251	1 574%	65	1.64
CANADIAN NATL RY CO	(S) CASH	90	CNI	54 22100	67 40000	4,879 89	6,066 00	1,186	1 656%	100	2 41
DBS GROUP HLDGS LTD SPONSORED ADR	(I) CASH	99	DBSDY	54 93480	47 74500	5,438 55	4,726 75	(712)	3.503%	165	1 88
DASSAULT SYS S A SPONSORED ADR	(Q) CASH	127	DASTY	62 46870	76 39800	7,933 52	9,702 54	1,769	0 477%	46	3 85
FANUC CORPORATION ADR	(E) CASH	523	FANUY	16 52320	16 65000	8,641,63	8,707 95	66	1 575%	137	3 46
FRESENIUS MED CARE AG&CO KGAA SPONSORED ADR	(L) CASH	140	FMS	35 64740	42 21000	4,990 63	5,909 40	919	0 740%	43	2.35
FUCHS PETROLUB SE ADR	(F) CASH	288	FUPBY	10 33800	10 46000	2,977 34	3,012 48	35	1 447%	43	1 20
GRIFOLS S A SP ADR REP B NVT	(L) CASH	142	GRFS	17 50250	16 07000	2,485 36	2,281 94	(203)	1 668%	38	0 91
GRUPO TELEVISA SA SPON ADR REP ORD	(M) CASH	89	TV	26 63620	20 89000	2,370 62	1,859 21	(511)	0 402%	7	0 74
HSBC HLDGS PLC SPON ADR NEW	(I) CASH	83	HSBC	42 59080	40 18000	3,535 04	3,334 94	(200)	6 346%	211	1 32
ICICI BK LTD ADR	(I) CASH	356	IBN	7 88590	7 49000	2,807 38	2,666 44	(141)	1 983%	52	1 06
ITAU UNIBANCO HLDG SA SPON ADR REP PFD	(I) CASH	416	ITUB	8 78570	10 28000	3,654 86	4,276 48	622	0 513%	21	1 70
JGC CORP ADR	(P) CASH	91	JGCCY	40 46200	36 19000	3,682 04	3,293 29	(389)	1 795%	59	1 31
KUBOTA CORP	(C) CASH	38	KUBTY	74 00790	71 10000	2,812 30	2,701 80	(111)	1 479%	39	1 07

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Page	Account Number	Financial Advisor	Period Ending
5 of 9	G40-1457697	GUTTILLA/HAYES - Z3L	12/31/16

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
L OREAL CO ADR	(F) CASH	191	LRLCY	36 43770	36 41000	6,959 61	6,954 31	(5)	1 505%	104	2 76
LVMH MOET HENNESSY LOU VUITTON ADR	(J) CASH	75	LVMUY	29 75240	37 99500	2,231 43	2,849 62	618	1 503%	42	1 13
LINDE AG SPONSORED ADR LE SUBJECT TO CUSTODY FEE	(G) CASH	216	LNEGY	17 76590	16 57000	3,837 44	3,579 12	(258)	1 641%	58	1 42
MITSUBISHI ESTATE LTD ADR	(O) CASH	132	MITEY	20 45600	19 93000	2,700 19	2,630 76	(69)	0 614%	16	1 04
MONOTARO CO LTD ADR	CASH	108	MONOY	11 63200	20 25000	1,256 26	2,187 00	931	0 466%	10	0 87
NASPERS LTD SPON ADR N SHS	(N) CASH	400	NPSNY	13 46050	14 62000	5,384 21	5,848 00	464	0 189%	11	2 32
NESTLE S A SPONSORED ADR	(J) CASH	132	NSRGY	76 90500	71 74000	10,151 46	9,469 68	(682)	2 713%	256	3 76
PARK24 CO LTD SPONSORED ADR SUBJECT TO CUSTODY FEES	(I) CASH	108	PKCOY	26 27610	27 05000	2,837 82	2,921 40	84			1 16
ROCHE HLDG LTD SPONSORED ADR SUBJECT TO CUSTODY FEE	(L) CASH	341	RHHBY	33 30750	28 53000	11,357 87	9,728 73	(1,629)	2 936%	285	3 86
ROYAL DUTCH SHELL PLC SPON ADR B	(G) CASH	157	RDSB	48 91000	57 97000	7,678 87	9,101 29	1,422	6 486%	590	3 61
SAP SE SPON ADR	(Q) CASH	94	SAP	65 69000	86 43000	6,174 86	8,124 42	1,950	1 077%	87	3 23
SASOL LTD SPONSORED ADR	(G) CASH	85	SSL	36 16510	28 59000	3,074 03	2,430 15	(644)	2 984%	72	0 96
SCHLUMBERGER LTD	(G) CASH	78	SLB	82 68620	83 95000	6,449 52	6,548 10	99	2 382%	156	2 60
SHIRE PLC SPONSORED ADR	(L) CASH	15	SHPG	172 35730	170 38000	2,585 36	2,555 70	(30)	0 471%	12	1.01
SONOVA HLDG AG ADR	(L) CASH	109	SONVY	26 28500	24 11000	2,865 07	2,627 99	(237)	1 034%	27	1 04
SYMRISE AG ADR	(J) CASH	202	SYIEY	16 50900	15 18000	3,334 82	3,066 36	(268)	0 972%	29	1 22
SYSMEX CORP ADR	(L) CASH	163	SSMXY	22 61000	29 00000	3,685 43	4,727 00	1,042	0 667%	31	1 88
TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR	(Q) CASH	306	TSM	23 24000	28 75000	7,111 44	8,797 50	1,686	2 614%	229	3 49

OPPENHEIMER

Oppenheimer & Co. Inc.
85 Broad Street
New York, NY 10004
(212) 668-8000
Transacts Business on All Principal Exchanges

15

STATEMENT OF ACCOUNT

SIPC

LYNN KAPLAN
GROSS, KAPLAN & OSIOL LLC
9450 W, BRYN MAWR AVE #310

DUPLICATE FOR:
STALEY FAMILY FOUNDATION
HARDING LOEVNER (IAS)

Page	Account Number	Financial Advisor	Period Ending
6 of 9	G40-1457697	GUTTILLA/HAYES - Z3L	12/31/16

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
TENARIS S A SPONSORED ADR	(C) CASH	94	TS	25 95480	35 71000	2,439 75	3,356 74	917	2.408%	80	1 33
TURKIYE GARANTI BANKASI A S SPONSORED ADR	(I) CASH	1,032	TKGBY	4 19000	2 09000	4,324 08	2,156 88	(2,167)	1 704%	36	0 86
UNILEVER PLC SPON ADR NEW	(J) CASH	98	UL	44 62300	40 70000	4,373 05	3,988 60	(384)	3 395%	135	1.58
WPP PLC NEW ADR	(M) CASH	70	WPPGY	109 51000	110 66000	7,665 70	7,746 20	81	2 993%	231	3 07
SUB-TOTAL COMMON STOCK						**245,413.14**	**248,300.66**	**2,892**		**5,052**	**98.56**
TOTAL EQUITIES						**245,413.14**	**248,300.66**	**2,892**		**5,052**	**98.56**

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(A)	2%	AGRICULTURE	(Q)	16%	TECHNOLOGY	(I)	17%	FINANCIAL	(C)	4%	BASIC INDUSTRY	(B)	1%	APPAREL & ACCESSORIES
(L)	18%	HEALTHCARE	(P)	3%	RETAIL SERVICES	(S)	5%	TRANSPORTATION	(E)	3%	CONSTRUCTION & BLDG	(F)	4%	CONSUMER GOODS
(M)	3%	MEDIA & COMMUNICATION	(J)	7%	FOOD & BEVERAGES	(G)	8%	ENERGY	(O)	1%	REAL ESTATE	(N)	2%	PUBLIC SERVICES

	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
Total Portfolio Holdings	**$249,029.30**	**$251,916.82**	**$2,892**	**2.005%**	**5,052**	**100%**

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 8
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MARK E STALEY, TRUSTEE
3301 EMBASSY DRIVE
SPRINGFIELD, IL 62711

TELEPHONE NUMBER

217-483-3205

FORM AND CONTENT OF APPLICATIONS

STATEMENT OF PURPOSE, SPECIFIC NEEDS, SPECIFIC BUDGET, PROJECTED BENEFITS & DETAILED PROGRAM.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

LIMITED TO PUBLIC CHARITABLE ORGANIZATIONS FOR THE FURTHERANCE OF THEIR SPECIFIED PURPOSES AND THAT, WHERE APPLICABLE, MEETS THE STANDARDS OF CBBB AND/OR NCIB.