Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending ,

Name of foundation: MELVIN R BAUM PRIVATE FOUNDATION

A Employer identification number: 37-1379627

Number and street (or P O box number if mail is not delivered to street address): 866 N MAIN STREET | Room/suite:

B Telephone number (see instructions): (309) 263-0808

City or town, state or province, country, and ZIP or foreign postal code: MORTON IL 61550

C If exemption application is pending, check here ► [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1 Foreign organizations, check here ► [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ► [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ► [ ]

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 4,412,243

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► [ ]

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1	Contributions, gifts, grants, etc, received (attach schedule)	40,000			
	2	Check ► [ ] if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	8,330	8,330.		
	4	Dividends and interest from securities	121,106	121,106		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	169,436	129,436.	0	
OPERATING AND ADMINISTRATIVE EXPENSES	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach sch)				
	c	Other professional fees (attach sch) L-16c Stmt	42,266	42,285		
	17	Interest				
	18	Taxes (attach schedule)(see instrs) See Line 18 Stmt	4,372.			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses** Add lines 13 through 23	46,638	42,285.		
	25	Contributions, gifts, grants paid	278,591			278,591
	26	**Total expenses and disbursements.** Add lines 24 and 25	325,229.	42,285.		278,591
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-155,793			
	b	**Net investment income** (if negative, enter -0-)		87,151		
	c	**Adjusted net income** (if negative, enter -0-)			0.	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing			
	2	Savings and temporary cash investments	61,194.	219,502.	219,502.
	3	Accounts receivable ►			
		Less· allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) ►			
		Less allowance for doubtful accounts ►	150,000.	150,000.	150,000.
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments — U S and state government obligations (attach schedule)			
	b	Investments — corporate stock (attach schedule)	3,693,829.	3,371,910.	3,942,741.
	c	Investments — corporate bonds (attach schedule)			
	11	Investments — land, buildings, and equipment. basis ►			
		Less accumulated depreciation (attach schedule) ►			
	12	Investments — mortgage loans			
	13	Investments — other (attach schedule) L-13 Stmt	100,000.	100,000.	100,000
	14	Land, buildings, and equipment· basis ►			
		Less accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ►)			
	16	**Total assets** (to be completed by all filers — see the instructions Also, see page 1, item I)	4,005,023	3,841,412	4,412,243
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)			
	23	**Total liabilities** (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES		**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	4,005,023	3,841,412	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	4,005,023	3,841,412	
	31	**Total liabilities and net assets/fund balances** (see instructions)	4,005,023	3,841,412	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,005,023
2	Enter amount from Part I, line 27a	2	-155,793
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	3,849,230
5	Decreases not included in line 2 (itemize) ► NET CAPITAL LOSS	5	7,818
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	3,841,412

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	553 SH INVESCO GLOBAL	P	08/14/15	04/26/16
b	471 SH AMG FUNDS	P	07/20/15	06/09/16
c	113 SH BIG LOTS INC	P	08/20/15	04/20/16
d	64 SH EAGLE SMALL CAP GROWTH	P	07/20/15	06/09/16
e	See Columns (a) thru (d)			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	7,270.		7,207.	63.
b	8,451		9,074	-623.
c	5,292		4,736	556.
d	3,389		3,859.	-470.
e	See Columns (e) thru (h)		895,982.	-5,047.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				63.
b				-623.
c				556.
d				-470.
e	See Columns (i) thru (l)			-5,047.

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	-5,521.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	-7,818.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	182,221.	0	0.000000
2014	211,887.	0	0.000000
2013	247,426.	0	0.000000
2012	144,285.	0.	0.000000
2011	131,750.	0.	0.000000

2	Total of line 1, column (d)	2	0 000000
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.000000
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	0.
5	Multiply line 4 by line 3	5	0
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	872.
7	Add lines 5 and 6	7	872
8	Enter qualifying distributions from Part XII, line 4	8	278,591.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary – see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b	1	872.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	872.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	872.
6	Credits/Payments		
a	2016 estimated tax pmts and 2015 overpayment credited to 2016 — 6a: 1,108.		
b	Exempt foreign organizations – tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	1,108.
8	Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	236
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ► 236. **Refunded** ►	11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1 b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1 c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ► $ ______ (2) On foundation managers ► $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, col (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► ILLINOIS			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities (*continued*)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► MICHAEL THOMAS Telephone no ► (309) 284-8674

Located at ► 866 N MAIN STREET, MORTON, IL ZIP + 4 ► 61550

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** — Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15

		Line	Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Line	Yes	No
1 a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b		
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement — see instructions)	2 b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)*	3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . [ ] Yes [X] No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . [ ] Yes [X] No

(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . [ ] Yes [X] No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) . . . . [ ] Yes [X] No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . [ ] Yes [X] No

b If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? 5b

Organizations relying on a current notice regarding disaster assistance check here . . . . ► [ ]

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . [ ] Yes [ ] No

If 'Yes,' attach the statement required by Regulations section 53 4945–5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . [ ] Yes [X] No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . 6b — No: X

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . . . [ ] Yes [X] No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LINDA BAUM 607 N OREGON MORTON IL 61550	PRES/DIR 1 00	0	0	0
CRAIG BAUM 1 TALL OAKS DRIVE MORTON IL 61550	SEC/DIR 1 00	0	0	0
MICHAEL THOMAS 2611 W WINDFLOWER COURT PEORIA IL 61615	TREAS/DIR 1 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 . . . . ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 THE FOUNDATION MADE CHARITABLE CONTRIBUTIONS TO 22 RECOGNIZED CHARITIES DURING THE YEAR ENDED 12/31/16	278,591.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	None

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	0.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	0.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	0
6	**Minimum investment return.** Enter 5% of line 5	6	0.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	0
2a	Tax on investment income for 2016 from Part VI, line 5	2a	872.		
b	Income tax for 2016 (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	872.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	0
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	0.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	0.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	278,591
b	Program-related investments — total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	278,591.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	872
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	277,719.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				0.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2016				
a From 2011 — 0.				
b From 2012 — 145,055.				
c From 2013 — 248,800				
d From 2014 — 214,075.				
e From 2015 — 183,025				
f **Total** of lines 3a through e	790,955.			
4 Qualifying distributions for 2016 from Part XII, line 4 ► $ 278,591.				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2016 distributable amount				
e Remaining amount distributed out of corpus	278,591.			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,069,546.			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	0			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	1,069,546.			
10 Analysis of line 9				
a Excess from 2012 — 145,055.				
b Excess from 2013 — 248,800.				
c Excess from 2014 — 214,075				
d Excess from 2015 — 183,025.				
e Excess from 2016 — 278,591.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test — enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
***a** Paid during the year*				
SOUTH SIDE MISSION 1127 S LARAMIE STREET PEORIA IL 61605		EXEMPT	CHARITABLE	5,000
APOSTOLIC CHRISTIAN HOME FOR HANDICAPPED 2125 VETERANS ROAD MORTON IL 61550		EXEMPT	CHARITABLE	75,000.
APOSTOLIC CHRISTIAN CHURCH 105 N-49 VALPARAISO IN 46383		EXEMPT	CHARITABLE	200
PEORIA RESCUE MINISTRIES 601 SW ADAMS STREET PEORIA IL 61602		EXEMPT	CHARITABLE	2,000.
CENTRAL IL RIDING THERAPY 305 NEUMANN DRIVE EAST PEORIA IL 61611		EXEMPT	CHARITABLE	500.
APOSTOLIC CHRIST. CHURCH 225 E JEFFERSON MORTON IL 61550		EXEMPT	CHARITABLE	58,210.
WE CARE 622 W JACKSON STREET MORTON IL 61550		EXEMPT	CHARITABLE	140.
WBNH 1919 MAYFLOWER DRIVE PEKIN IL 61554		EXEMPT	CHARITABLE	500.
JOURNAL STAR CHRISTMAS FUND 1 NEWS PLAZA PEORIA IL 61643		EXEMPT	CHARITABLE	500
See Line 3a statement				136,541.
Total ► 3a				278,591.
***b** Approved for future payment*				
Total ► 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	8,330	
4 Dividends and interest from securities			14	121,106.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				129,436	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . 13 129,436.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	N/A

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Michael J. Thomas | 08/16/17 | TREASURER

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Michael J. Thomas	Michael J. Thomas	08/16/17		P01216843
Firm's name ► CORE CONSTRUCTION GROUP, LTD			Firm's EIN ► 37-1076260	
Firm's address ► 866 N MAIN ST MORTON IL 61550-1602			Phone no (309) 284-8674	

Schedule B
(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
► **Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

2016

Name of the organization	Employer identification number
MELVIN R BAUM PRIVATE FOUNDATION	37-1379627

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (**1**) $5,000 or (**2**) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . . . ► $ ____________

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
MELVIN R BAUM PRIVATE FOUNDATION

Employer identification number
37-1379627

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	LINDA BAUM 607 N OREGON MORTON IL 61550	$ 40,000	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Form 990-PF, Page 1, Part I, Line 18
Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
FEDERAL INVEST TAX	2,000			
FOREIGN TAX PAID	2,372.			
Total	4,372			

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g , real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
321 SH HP INC	P	07/16/15	04/20/16
272 SH JOHN HANCOCK CAP SER	P	07/20/15	04/20/16
151 SH HARBOR FUNDS	P	07/20/15	04/20/16
321 SH HEWLETT PACKARD ENTERPRISE	P	07/16/15	04/20/16
164 SH HOTCHKIS & WILEY FUNDS	P	07/20/15	04/20/16
994 SH MAINSTAY LARGE CAP	P	07/20/15	04/20/16
18 SH OPPENHEIMER DEV MKTS	P	08/13/15	08/08/16
220 SH TESSERA TECHNOLOGIES	P	06/30/15	04/20/16
US TREASURY BONDS	P	07/20/15	05/16/16
306 SH VICTORY SYCAMORE	P	07/20/15	04/20/16
5 SH VOYA FUNDS	P	10/23/15	08/08/16
330 SH WELLS FARGO ABSOLUTE RETURN	P	12/14/15	04/20/16
40 SH WELLS FARGO EMERGING GROWTH	P	12/10/15	08/08/16
53 SH WHIRLPOOL CORP	P	04/23/15	04/20/16
149 SH ALTRIA GROUP INC	P	01/27/15	08/08/16
153 SH AMERICAN EXPRESS COMPANY	P	03/06/15	06/09/16
180 SH BAXTER INTL INC	P	03/06/15	04/20/16
241 SH BRINKER INTL	P	08/02/12	08/08/16
270 SH CAPITAL ONE FINANCIAL	P	03/27/14	04/20/16
306 SH CARDTRONICS INC	P	05/07/12	04/20/16
256 SH CHURCH & DWIGHT IN	P	12/11/13	09/06/16
414 SH CITIGROUP INC	P	10/08/14	04/20/16
275 SH COCA COLA ENTERPRISES	P	02/25/15	05/31/16
205 SH DICKS SPORTING GOODS	P	10/08/14	04/20/16
139 SH EASTMAN CHEMICAL CO	P	03/27/14	04/20/16
142 SH HARLEY DAVIDSON INC	P	06/24/15	08/08/16
368 SH INTEL CORP	P	03/06/15	06/09/16
661 SH ISHARES MSCI GERMANY	P	04/29/13	09/06/16
174 SH ISHARES ETF	P	01/26/15	09/06/16
812 SH ISHARES MSCI UK	P	06/26/12	09/06/16
272 SH JP MORGAN CHASE & CO	P	01/26/12	09/06/16
197 KINDER MORGAN INC	P	03/23/15	04/20/16
415 SH METLIFE INC	P	05/06/13	04/20/16
248 SH OPPENHEIMER DEV MKTS	P	07/20/15	08/08/16
170 SH PHILLIPS 66	P	11/01/12	09/06/16
659 SH POWERSHARES S&P ETF	P	12/11/13	09/06/16
252 SH POWERSHARES S&P ETF	P	01/27/12	09/06/16
127 SH QUALCOMM INC	P	10/08/14	04/20/16
170 SH QUESTS DIAGNOSTICS INC	P	01/20/15	04/20/16
252 SH ROBERT HALF INTL	P	07/22/13	04/20/16

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income Continued
Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
94 SH SPDR S&P 500 TRUST ETF	P	08/22/14	09/06/16
968 SH SPDR EURO STOXX 50	P	10/29/13	09/06/16
466 SH SPDR BLOOMBERG ETF	P	08/18/14	09/06/16
178 SH ST JUDE MEDICAL INC	P	01/20/15	04/20/16
203 SH VOYA FUNDS LARGE CAP GROWTH	P	07/20/15	08/08/16
23504 SH WELLS FARGO ABSOLUTE	P	10/17/13	04/20/16
279 SH WELLS FARGO EMERGING GROWTH	P	07/20/15	08/08/16
117 SH WESTERN DIGITAL CORP	P	02/19/15	04/20/16
87 SH ACCENTURE PLC IRELAND	P	01/15/13	08/08/16
186 SH DELPHI AUTOMOTIVE PLC	P	05/29/13	08/08/16
262 SH CHURCH & DWIGHT INC	P	03/12/10	09/06/16
97 SH CULLEN FROST BANKERS INC	P	12/30/10	04/20/16
370 SH EXPRESS SCRIPTS HLDG CO	P	12/27/10	09/06/16
351 SH INTERNATIONAL PAPER CO	P	05/27/10	04/20/16
34 SH JP MORGAN CHASE & CO	P	03/04/10	09/06/16
1396 POWERSHARES DB ETF	P	11/21/14	09/06/16
316 SH SPDR BLOOMBERG ETF	P	02/22/08	09/06/16
104 SH 3M CO	P	04/21/09	09/06/16
424 SH WHITING PETROLEUM CORP	P	12/27/10	04/20/16

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,140.		4,596.	-456.
6,843.		7,316.	-473
9,470.		10,697.	-1,227.
5,540.		5,151.	389
5,375.		6,342.	-967.
9,441.		11,293.	-1,852.
600.		583.	17.
6,480.		8,432	-1,952.
2,000.		2,000	0.
11,455		12,289	-834
195.		184.	11.
3,413.		3,339.	74.
591.		574.	17.
10,010		10,101.	-91
9,894		8,127	1,767.
10,052.		12,305.	-2,253
7,784.		6,757.	1,027.
11,151.		7,855.	3,296.
20,250.		20,207.	43.
11,322		8,965.	2,357
12,820.		8,451.	4,369.
19,089.		21,358.	-2,269.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income Continued
Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,987		1,989.	1,998
9,495.		9,003.	492.
10,648		10,496.	152
7,666.		8,288.	-622
11,770		12,226.	-456
17,657.		16,735.	922.
19,402.		19,236	166
13,166		12,864.	302.
18,333		10,216.	8,117.
3,777.		8,292	-4,515.
19,116.		15,771	3,345
8,170.		8,615.	-445.
13,457		8,159	5,298
32,362.		30,815.	1,547
12,615		7,394.	5,221
6,640		9,321.	-2,681.
12,635.		11,886	749
11,442.		9,106	2,336
20,560		18,564.	1,996.
32,606.		40,814	-8,208
17,076		18,547.	-1,471.
10,815		11,713	-898.
7,176.		7,235.	-59.
242,805.		262,789.	-19,984.
4,108.		5,192	-1,084
5,104		12,701	-7,597.
9,885		6,091.	3,794
12,235		9,241	2,994.
13,120		4,453.	8,667
5,882.		6,004.	-122
26,857		19,976	6,881.
14,938		8,342.	6,596
2,292		1,425	867.
20,248		30,977	-10,729
11,579.		12,993.	-1,414
18,736		6,717	12,019.
4,660		24,874.	-20,214
Total		895,982.	-5,047.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) **or** losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
			-456.
			-473.
			-1,227
			389
			-967
			-1,852
			17.
			-1,952.
			0.
			-834
			11
			74.
			17
			-91.
			1,767.
			-2,253
			1,027.
			3,296.
			43
			2,357
			4,369.
			-2,269
			1,998.
			492.
			152
			-622.
			-456.
			922.
			166.
			302.
			8,117.
			-4,515.
			3,345.
			-445
			5,298.
			1,547.
			5,221
			-2,681
			749.
			2,336.
			1,996
			-8,208.
			-1,471.
			-898.
			-59
			-19,984
			-1,084.
			-7,597.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income Continued
Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) **or** losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
			3,794.
			2,994.
			8,667.
			-122.
			6,881.
			6,596.
			867.
			-10,729
			-1,414
			12,019
			-20,214.
Total			-5,047.

Form 990-PF, Page 11, Part XV, line 3a
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox / Amount
***a** Paid during the year*				
JUBILEE MINISTRIES 1215 N SHERIDAN ROAD PEORIA IL 61606		EXEMPT	CHARITABLE	Person or Business [X] 1,000.
SALVATION ARMY 401 NE ADAMS STREET PEORIA IL 61603		EXEMPT	CHARITABLE	Person or Business [X] 10,100
LOVING SHEPHERD MINISTRIES P.O. BOX 375 BLUFFTON IN 46714		EXEMPT	CHARITABLE	Person or Business [X] 32,416.
GATEWAY WOODS P.O. BOX 151 LEO IN 46765		EXEMPT	CHARITABLE	Person or Business [X] 4,000
OSF FOUNDATION 530 NE GLENDALE AVENUE PEORIA IL 61637		EXEMPT	CHARITABLE	Person or Business [X] 1,000.
FEED THE CHILDREN 333 N MERIDIAN AVENUE OKLAHOMA CITY OK 73107		EXEMPT	CHARITABLE	Person or Business [X] 500.

Form 990-PF, Page 11, Part XV, line 3a Continued

Line 3a statement

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox / Amount
a Paid during the year				
MIDWEST FOOD BANK 700 ERIE AVENUE MORTON IL 61550		EXEMPT	CHARITABLE	Person or Business [X] 20,225.
ST JUDE CHILDRENS HOSPITAL P O. BOX 3425 PEORIA IL 61612		EXEMPT	CHARITABLE	Person or Business [X] 100
OUR DAILY BREAD MINISTRIES 3000 KRAFT AVE SE GRAND RAPIDS MI 49512		EXEMPT	CHARITABLE	Person or Business [X] 100.
HARVEST CALL MINISTRIES P.O BOX 3797 WEST LAFAYETTE IN 47996		EXEMPT	CHARITABLE	Person or Business [X] 30,500.
APOSTOLIC CHRISTIAN CHURCH 19690 CYPRESS VIEW DRIVE FORT MYERS FL 33907		EXEMPT	CHARITABLE	Person or Business [X] 30,000
MORTON COMMUNITY FOUNDATION 105 E JEFFERSON STREET MORTON IL 61550		EXEMPT	CHARITABLE	Person or Business [X] 6,100.
BOY SCOUTS OF AMERICA 614 NE MADISON AVENUE PEORIA IL 61603		EXEMPT	CHARITABLE	Person or Business [X] 500.
Total				136,541.

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WELLS FARGO	INVESTMENT FEES	42,266.			
Total		42,266.			

Form 990-PF, Page 2, Part II, Line 13
L-13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	End of Year Fair Market Value
GOLD/SILVER	100,000	100,000
Total	100,000	100,000

ATTACHMENT FOR
PART II, LINE 10b
#37-1379627

WELLS FARGO ADVISORS

SNAPSHOT

[redacted]

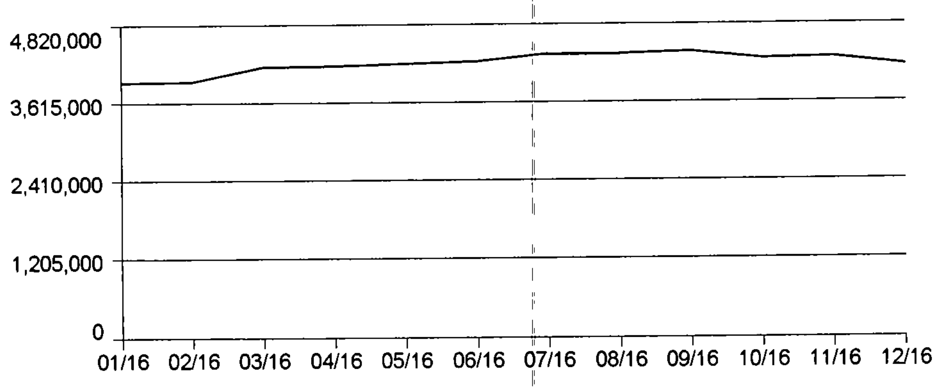
MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 5237-8360

Progress summary

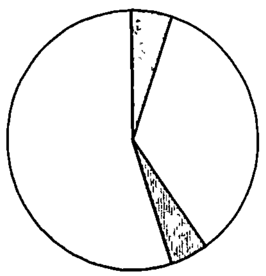
	THIS PERIOD	THIS YEAR
Opening value	**$4,286,063.28**	**$4,098,854.81**
Cash deposited	0 00	40,000 00
Securities deposited	0 00	0 00
Cash withdrawn	-195,600 00	-318,375 87
Securities withdrawn	0 00	0 00
Change in value	71,779 70	341,764 04
Closing value	**$4,162,242.98**	**$4,162,242.98**

Value over time



Portfolio summary

CURRENT



	ASSET TYPE	COST	PREVIOUS VALUE ON NOV 30	%	CURRENT VALUE ON DEC 31	%	ESTIMATED ANN INCOME
ASSETS	Cash and sweep balances	219,501.63	406,929 75	9 49	219,501 63	5 27	21
	Stocks, options & ETFs	1,153,336.14	1,426,854 03	33 29	1,455,825 82	34 98	36,542
	Fixed income securities	195,346.63	200,024 52	4 67	199,421 12	4 79	8,240
	Mutual funds	2,023,227.29	2,252,254 98	52 55	2,287,494 41	54 96	60,802
	Asset value	3,591,411.69	**$4,286,063.28**	**100%**	**$4,162,242.98**	**100%**	**$105,605**

Additional information

	THIS PERIOD	THIS YEAR
Gross proceeds	0 00	915,344 13

Portfolio detail

Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N A and (if amounts exceed $250,000) at one or more other Wells Fargo affiliated banks These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to $250,000 per depositor, per institution, in accordance with FDIC rules For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
Cash	0 07	0 00	2,938 75	0 00
BANK DEPOSIT SWEEP	5 20	0 01	216,562 88	21 65
Interest Period 12/01/16 - 12/31/16				
Total Cash and Sweep Balances	**5.27**		**$219,501.63**	**$21.65**

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year

WELLS FARGO ADVISORS

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 5237-8360

Stocks, options & ETFs

Stocks and ETFs

This section may include foreign equity securities that may be denominated in currencies other than US dollars The amounts, annual income and annual yield on your statement for such securities will be estimated based on prevailing exchange rates and the amount does not necessarily reflect the rate you will receive if converted to US dollars The "Quantity" field reflects total shares held, regardless of the currency in which your shares are denominated Please contact Your Financial Advisor if you have additional questions regarding your foreign security holdings

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
APPLE INC AAPL									
Acquired 12/27/10 L nc R		1,309	46 38	60,715 16		151,608 38	90,893 22		
Acquired 12/11/13 L		176	80 70	14,204 44		20,384 32	6,179 88		
Total	**4.13**	**1,485**	**$50.45**	**$74,919.60**	**115.8200**	**$171,992.70**	**$97,073.10**	**$3,385.80**	**1.97**
CATERPILLAR INC CAT - HELD IN MARGIN									
Acquired 01/27/10 L nc		500	52 28	26,142 50		46,370 00	20,227 50		
Acquired 01/27/10 L nc		300	52 30	15,690 00		27,822 00	12,132 00		
Acquired 01/27/10 L nc		200	52 29	10,459 30		18,548 00	8,088 70		
Total	**2.23**	**1,000**	**$52.29**	**$52,291.80**	**92.7400**	**$92,740.00**	**$40,448.20**	**$3,080.00**	**3.32**
CISCO SYSTEMS INC CSCO									
Acquired 01/04/13 L		493	20 53	10,123 80		14,898 46	4,774 66		
Acquired 12/11/13 L		5	20 76	103 84		151 10	47 26		
Total	**0.36**	**498**	**$20.54**	**$10,227.64**	**30.2200**	**$15,049.56**	**$4,821.92**	**$517.92**	**3.44**
COCA-COLA EUROPEAN PARTNERS PLC CCE									
Acquired 02/25/15 L		170	38 62	6,565 40		5,338 00	-1,227 40		
Acquired 02/26/15 L		105	38 62	4,055 10		3,297 00	-758 10		
Total	**0.21**	**275**	**$38.62**	**$10,620.50**	**31.4000**	**$8,635.00**	**-$1,985.50**	**$194.92**	**2.26**
COSTCO WHSL CORP NEW COM COST									
Acquired 01/04/13 L		52	102 11	5,310 18		8,325 72	3,015 54		
Acquired 01/15/13 L		68	100 79	6,854 03		10,887 48	4,033 45		
Total	**0.46**	**120**	**$101.37**	**$12,164.21**	**160.1100**	**$19,213.20**	**$7,048.99**	**$216.00**	**1.12**
DEERE & CO DE - HELD IN MARGIN									
Acquired 04/09/07 L nc		56	54 52	3,053 33		5,770 24	2,716 91		

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 5237-8360

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Acquired 05/02/07 L nc		44	56 38	2,480 72		4,533 76	2,053 04		
Acquired 07/27/07 L nc		28	59 96	1,679 02		2,885 12	1,206 10		
Acquired 08/06/07 L nc		8	59 32	474 56		824 32	349 76		
Acquired 12/27/10 L nc		258	83 57	21,561 06		26,584 32	5,023 26		
Total	**0.98**	**394**	**$74.24**	**$29,248.69**	**103.0400**	**$40,597.76**	**$11,349.07**	**$945.60**	**2.33**
EXXON MOBIL CORP XOM									
Acquired 12/24/07 L nc		47	93 81	4,409 07		4,242 22	-166 85		
Acquired 07/31/08 L nc		5	81 61	408 05		451 30	43 25		
Acquired 06/26/09 L nc		47	69 21	3,253 08		4,242 22	989 14		
Acquired 09/01/09 L nc		62	68 63	4,255 61		5,596 12	1,340 51		
Acquired 02/02/10 L nc		5	66 83	334 20		451 30	117 10		
Total	**0.36**	**166**	**$76.27**	**$12,660.01**	**90.2600**	**$14,983.16**	**$2,323.15**	**$498.00**	**3.32**
FIRST TRUST FD IV ET NORTH AMERICAN ENERGY INFRASTRUCTURE FUND EMLP									
Acquired 09/30/13 L		1,200	22 79	27,356 40		30,288 00	2,931 60		
Acquired 09/30/13 L		600	22 78	13,671 90		15,144 00	1,472 10		
Acquired 09/30/13 L		300	22 79	6,838 95		7,572 00	733 05		
Acquired 01/27/14 L		2,200	23 26	51,176 18		55,528 00	4,351 82		
Total	**2.61**	**4,300**	**$23.03**	**$99,043.43**	**25.2400**	**$108,532.00**	**$9,488.57**	**$3,925.90**	**3.62**
GILEAD SCIENCES INC GILD									
Acquired 06/28/13 L		62	51 31	3,181 55		4,439 82	1,258 27		
Acquired 04/29/14 L		109	75 42	8,220 88		7,805 49	-415 39		
Total	**0.29**	**171**	**$66.68**	**$11,402.43**	**71.6100**	**$12,245.31**	**$842.88**	**$321.48**	**2.63**
GRACO INCORPORATED COM GGG									
Acquired 05/13/09 L nc		27	22 42	605 42		2,243 43	1,638 01		
Acquired 05/14/09 L nc		50	22 39	1,119 59		4,154 50	3,034 91		
Acquired 05/15/09 L nc		54	22 42	1,211 19		4,486 86	3,275 67		
Acquired 12/27/10 L nc R		400	39 96	15,984 00		33,236 00	17,252 00		
Total	**1.06**	**531**	**$35.63**	**$18,920.20**	**83.0900**	**$44,120.79**	**$25,200.59**	**$764.64**	**1.73**
HOME DEPOT INC HD									
Acquired 11/03/14 L	0 30	93	96 21	8,947 68	134 0800	12,469 44	3,521 76	256 68	2 05

WELLS FARGO ADVISORS

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 5237-8360

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
HUBBELL INC HUBB									
Acquired 03/17/11 L		2	67 75	135 50		233 40	97 90		
Acquired 03/18/11 L		40	68 41	2,736 53		4,668 00	1,931 47		
Acquired 03/21/11 L		45	69 58	3,131 19		5,251 50	2,120 31		
Acquired 03/22/11 L		46	68 92	3,170 62		5,368 20	2,197 58		
Total	**0.37**	**133**	**$68.98**	**$9,173.84**	**116.7000**	**$15,521.10**	**$6,347.26**	**$372.40**	**2.40**
ISHARES ET 1-3 YR TREASURY BOND SHY									
Acquired 07/28/15 L	0 30	150	84 83	12,725 60	84 4500	12,667 50	-58 10	90 45	0 71
ISHARES CORE S&P MIDCAP ETF IJH									
Acquired 12/11/13 L		535	129 03	69,036 33		88,456 90	19,420 57		
Acquired 08/22/14 L		70	142 57	9,980 08		11,573 80	1,593 72		
Total	**2.40**	**605**	**$130.61**	**$79,016.41**	**165.3400**	**$100,030.70**	**$21,014.29**	**$1,596.59**	**1.60**
ISHARES CORE S&P ET SMALLCAP IJR									
Acquired 12/11/13 L	1 85	560	104 51	58,525 81	137 5200	77,011 20	18,485 39	935 76	1 21
ISHARES IBOXX & ET INVESTMENT GRADE CORP BD LQD									
Acquired 04/07/10 L nc		62	105 19	6,522 23		7,265 16	742 93		
Acquired 01/26/15 L		51	122 22	6,233 51		5,976 18	-257 33		
Total	**0.32**	**113**	**$112.88**	**$12,755.74**	**117.1800**	**$13,241.34**	**$485.60**	**$442.05**	**3.34**
MICROSOFT CORP MSFT									
Acquired 05/06/13 L		208	33 68	7,005 65		12,925 12	5,919 47		
Acquired 05/06/13 L		55	33 68	1,852 46		3,417 70	1,565 24		
Total	**0.39**	**263**	**$33.68**	**$8,858.11**	**62.1400**	**$16,342.82**	**$7,484.71**	**$410.28**	**2.51**

WELLS FARGO ADVISORS

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 5237-8360

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
PFIZER INCORPORATED PFE									
Acquired 11/09/12 L		288	24 22	6,978 00		9,354 24	2,376 24		
Acquired 05/15/13 L		348	29 45	10,251 77		11,303 04	1,051 27		
Total	**0.50**	**636**	**$27.09**	**$17,229.77**	**32.4800**	**$20,657.28**	**$3,427.51**	**$814.08**	**3.94**
POWERSHARES ET PREFERRED PORTFOLIO PGX									
Acquired 08/18/14 L		1,012	14 58	14,757 89		14,400 76	-357 13		
Acquired 07/28/15 L		640	14 67	9,392 45		9,107 20	-285 25		
Total	**0.56**	**1,652**	**$14.62**	**$24,150.34**	**14.2300**	**$23,507.96**	**-$642.38**	**$1,414.11**	**6.02**
POWERSHARES S&P ET SMALLCAP FINANCIALS PORTFOLIO PSCF									
Acquired 12/11/13 L		1,103	38 60	42,585 28		57,642 78	15,057 50		
Acquired 12/11/14 L		800	41 00	32,803 20		41,808 00	9,004 80		
Total	**2.39**	**1,903**	**$39.62**	**$75,388.48**	**52.2600**	**$99,450.78**	**$24,062.30**	**$2,578.56**	**2.59**
POWERSHARES S&P ET SMALLCAP INFORMATION TECHNOLOGY PORTFOLIO PSCT									
Acquired 12/11/13 L		647	42 19	27,297 28		45,063 55	17,766 27		
Acquired 08/20/15 L		371	50 05	18,569 55		25,840 15	7,270 60		
Total	**1.70**	**1,018**	**$45.06**	**$45,866.83**	**69.6500**	**$70,903.70**	**$25,036.87**	**$175.09**	**0.25**
POWERSHARES S&P ET SMALLCAP HEALTH CARE PORTFOLIO PSCH									
Acquired 12/11/13 L	0 69	393	53 54	21,043 04	73 0500	28,708 65	7,665 61	7 62	0 02
TYSON FOODS INC CL A TSN									
Acquired 01/27/15 L	0 29	198	40 94	8,106 62	61 6800	12,212 64	4,106 02	178 20	1 45
UNION PACIFIC CORP UNP									
Acquired 11/27/12 L	0 33	131	61 30	8,030 54	103 6800	13,582 08	5,551 54	317 02	2 33

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
UNITED TECHNOLOGIES CORP UTX									
Acquired 12/19/06 L nc		13	62 27	809 56		1,425 06	615 50		
Acquired 08/17/07 L nc		12	73 52	882 26		1,315 44	433 18		
Acquired 08/20/07 L nc		9	73 21	658 93		986 58	327 65		
Acquired 08/20/07 L nc		5	73 76	368 84		548 10	179 26		
Acquired 08/21/07 L nc		7	72 64	508 52		767 34	258 82		
Acquired 08/31/07 L nc		3	75 41	226 23		328 86	102 63		
Acquired 09/06/07 L nc		13	74 68	970 85		1,425 06	454 21		
Acquired 06/26/09 L nc		37	51 51	1,906 08		4,055 94	2,149 86		
Acquired 02/02/10 L nc		3	68 18	204 54		328 86	124 32		
Acquired 01/26/12 L		95	77 77	7,388 29		10,413 90	3,025 61		
Total	**0.52**	**197**	**$70.68**	**$13,924.10**	**109.6200**	**$21,595.14**	**$7,671.04**	**$520.08**	**2.41**
VANGUARD FTSE ET DEVELOPED MARKETS ETF VEA									
Acquired 12/11/13 L		2,038	40 19	81,915 21		74,468 52	-7,446 69		
Acquired 04/30/14 L		436	41 79	18,220 83		15,931 44	-2,289 39		
Acquired 06/10/14 L		791	42 95	33,973 45		28,903 14	-5,070 31		
Acquired 08/22/14 L		347	41 54	14,416 98		12,679 38	-1,737 60		
Acquired 10/08/14 L		2	38 56	77 12		73 08	-4 04		
Total	**3.17**	**3,614**	**$41.12**	**$148,603.59**	**36.5400**	**$132,055.56**	**-$16,548.03**	**$4,025.99**	**3.05**
VANGUARD INTL EQUITY ET INDEX FDS FTSE EMERGING MKTS ETF VWO									
Acquired 06/08/10 L nc		62	37 28	2,311 40		2,218 36	-93 04		
Acquired 08/06/10 L nc		1,188	42 52	50,514 47		42,506 64	-8,007 83		
Acquired 08/10/10 L nc		670	42 24	28,305 29		23,972 60	-4,332 69		
Acquired 02/25/11 L		214	45 89	9,822 51		7,656 92	-2,165 59		
Acquired 09/27/11 L		311	38 65	12,020 27		11,127 58	-892 69		
Acquired 09/14/12 L		167	43 34	7,238 90		5,975 26	-1,263 64		
Acquired 09/13/13 L		397	40 81	16,201 57		14,204 66	-1,996 91		
Acquired 10/11/13 L		180	42 00	7,560 56		6,440 40	-1,120 16		
Acquired 12/11/13 L		33	40 77	1,345 41		1,180 74	-164 67		
Acquired 11/21/14 L		564	42 93	24,217 03		20,179 92	-4,037 11		
Acquired 12/16/14 L		109	37 63	4,102 18		3,900 02	-202 16		
Acquired 12/17/14 L		109	38 42	4,188 42		3,900 02	-288 40		

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 5237-8360

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Acquired 12/18/14 L		108	39 54	4,270 44		3,864 24	-406 20		
Total	**3.53**	**4,112**	**$41.85**	**$172,098.45**	**35.7800**	**$147,127.36**	**-$24,971.09**	**$3,700.80**	**2.52**
VANGUARD REIT ET VNQ									
Acquired 12/11/13 L		414	64 74 65 07	26,802 72 26,941 46		34,167 42	7,364 70		
Acquired 07/29/15 L		524	78 18 78 51	40,967 80 41,143 43		43,245 72	2,277 92		
Total	**1.86**	**938**	**$72.25** **$72.59**	**$67,770.52** **$68,084.89**	**82.5300**	**$77,413.14**	**$9,642.62**	**$3,729.48**	**4.82**
VANGUARD TOTAL BOND ET MARKET BND									
Acquired 10/08/14 L	0 40	207	82 44	17,067 13	80 7900	16,723 53	-343 60	412 96	2 46
VERIZON COMMUNICATIONS COM VZ									
Acquired 08/27/10 L nc		141	29 80	4,203 11		7,526 58	3,323 47		
Acquired 03/23/15 L		168	49 71	8,351 92		8,967 84	615 92		
Total	**0.40**	**309**	**$40.63**	**$12,555.03**	**53.3800**	**$16,494.42**	**$3,939.39**	**$713.79**	**4.33**
Total Stocks and ETFs	**34.98**			**$1,153,336.14** **$1,153,650.51**		**$1,455,825.82**	**$302,489.68**	**$36,542.25**	**2.51**
Total Stocks, options & ETFs	**34.98**			**$1,153,336.14**		**$1,455,825.82**	**$302,489.68**	**$36,542.25**	**2.51**

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS
R Tax lot(s) held in Margin, rather than Cash

Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value

Corporate Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
COSTCO WHOLESALE CORP SENIOR NOTES CALLABLE CPN 5 500% DUE 03/15/17 DTD 02/20/07 FC 09/15/07 HELD IN MARGIN Moody A1 , S&P A+ CUSIP 22160KAC9										
Acquired 01/30/08 L nc	0 51	21,000	103 47	21,728 93	100 9260	21,194 46	-534 47	340 08	1,155 00	5 44
Total Corporate Bonds	**0.51**	**21,000**		**$21,728.93**		**$21,194.46**	**-$534.47**	**$340.08**	**$1,155.00**	**5.45**

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

Government Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
US TREASURY NOTES CPN 4 250% DUE 11/15/17 DTD 11/15/07 FC 05/15/08 HELD IN MARGIN Moody AAA , S&P NR CUSIP 912828HH6										
Acquired 12/26/07 L nc		67,000	100 35	67,240 73		68,931 61	1,690 88			
Acquired 02/06/08 L nc		6,000	105 31	6,318 75		6,172 98	-145 77			
Acquired 03/03/08 L nc		1,000	105 46	1,054 60		1,028 83	-25 77			
Total	**1.83**	**74,000**	**$100.82**	**$74,614.08**	**102.8830**	**$76,133.42**	**$1,519.34**	**$408.33**	**$3,145.00**	**4.13**

WELLS FARGO ADVISORS

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 5237-8360

Fixed Income Securities

Government Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
US TREASURY NOTES WI CPN 3 875% DUE 05/15/18 DTD 05/15/08 FC 11/15/08 HELD IN MARGIN Moody AAA , S&P NR CUSIP 912828HZ6 Acquired 07/15/08 L nc	2 20	88,000	100 35	88,312 81	103 8950	91,427 60	3,114 79	442 74	3,410 00	3 72
U S TREASURY BOND 2/23 CPN 7 125% DUE 02/15/23 DTD 02/15/93 FC 08/15/93 Moody AAA , S&P NR CUSIP 912810EP9 Acquired 07/20/15 L	0 12	4,000	128 86 135 16	5,154 67 5,406 72	128 6880	5,147 52	-7 15	107 66	285 00	5 53
U S TREASURY BOND 8/29 CPN 6 125% DUE 08/15/29 DTD 08/15/99 FC 02/15/00 Moody AAA , S&P NR CUSIP 912810FJ2 Acquired 07/20/15 L	0 13	4,000	138 40 142 07	5,536 14 5,682 80	137 9530	5,518 12	-18 02	92 55	245 00	4 43
Total Government Bonds	**4.28**	**170,000**		**$173,617.70** **$174,016.41**		**$178,226.66**	**$4,608.96**	**$1,051.28**	**$7,085.00**	**3.98**
Total Fixed Income Securities	**4.79**			**$195,346.63** **$195,745.34**		**$199,421.12**	**$4,074.49**	**$1,391.36**	**$8,240.00**	**4.13**

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 5237-8360

Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
FIRST EAGLE FUNDS SOGEN OVERSEAS FUND CL I SGOIX									
On Reinvestment									
Acquired 07/20/15 L		303 30500	23 41	7,100 30		6,930 52	-169 78		
Acquired 04/26/16 S		3,904 80200	23 74	92,700 00		89,224 73	-3,475 27		
Reinvestments L		4 84200	22 11	107 06		110 63	3 57		
Reinvestments S		160 66900	22 76	3,656 84		3,671 29	14 45		
Total	**2.40**	**4,373.61800**	**$23.68**	**$103,564.20**	**22.8500**	**$99,937.17**	**-$3,627.03**	**N/A**	**N/A**
	Client Investment (Excluding Reinvestments)					$99,800 30			
	Gain/Loss on Client Investment (Including Reinvestments)					$136 87			
JOHN HANCOCK FDS III GLOBAL SHAREHOLDER YLD CLASS I JGYIX - HELD IN MARGIN									
On Reinvestment									
Acquired 04/22/09 L nc		2,576 49700	6 41	16,515 34		26,821 33	10,305 99		
Acquired 07/06/09 L nc		2,599 47900	7 04	18,300 33		27,060 58	8,760 25		
Reinvestments L m		2,177 20400	10 26	22,346 98		22,664 69	317 71		
Reinvestments S		239 63200	10 29	2,467 79		2,494 57	26 78		
Total	**1.90**	**7,592.81200**	**$7.85**	**$59,630.44**	**10.4100**	**$79,041.17**	**$19,410.73**	**$2,611.92**	**3.30**
	Client Investment (Excluding Reinvestments)					$34,815 67			
	Gain/Loss on Client Investment (Including Reinvestments)					$44,225 50			
AMERICAN FUNDS WASHINGTON MUTUAL FD F2 WMFFX									
On Reinvestment									
Acquired 07/20/15 L		278 80400	41 27	11,506 19		11,408 66	-97 53		
Acquired 04/26/16 S		2,226 70000	39 70	88,400 00		91,116 56	2,716 56		
Reinvestments L		15 36200	37 68	578 85		628 61	49 76		
Reinvestments S		155 28000	41 02	6,371 03		6,354 06	-16 97		

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Total	**2.63**	**2,676.14600**	**$39.93**	**$106,856.07**	**40.9200**	**$109,507.89**	**$2,651.82**	**$2,250.63**	**2.06**
	Client Investment (Excluding Reinvestments)					$99,906 19			
	Gain/Loss on Client Investment (Including Reinvestments)					$9,601 70			
METROPOLITAN WEST FDS TOTAL RETURN BD FD CL I MWTIX - HELD IN MARGIN									
On Reinvestment									
Acquired 04/22/09 L nc		2,968 29000	8 94	26,536 51		31,256 09	4,719 58		
Acquired 07/06/09 L nc		1,792 86400	9 19	16,476 42		18,878 86	2,402 44		
Acquired 08/19/09 L nc		1,697 82200	9 55	16,214 20		17,878 07	1,663 87		
Reinvestments L m		2,271 20400	10 53	23,934 48		23,915 79	-18 69		
Reinvestments S		291 61600	10 70	3,121 85		3,070 70	-51 15		
Total	**2.28**	**9,021.79600**	**$9.56**	**$86,283.46**	**10.5300**	**$94,999.51**	**$8,716.05**	**$1,822.40**	**1.92**
	Client Investment (Excluding Reinvestments)					$59,227 13			
	Gain/Loss on Client Investment (Including Reinvestments)					$35,772 38			
PRINCIPAL FDS INC GLOBAL DIVERSIFIED INCOME FD INSTL CL PGDIX									
On Reinvestment									
Acquired 10/01/14 L		13,661 20200	14 64	200,000 00		183,743 17	-16,256 83		
Reinvestments L		1,276 43600	13 80	17,617 66		17,168 06	-449 60		
Reinvestments S		888 96500	13 35	11,874 46		11,956 58	82 12		
Total	**5.11**	**15,826.60300**	**$14.50**	**$229,492.12**	**13.4500**	**$212,867.81**	**-$16,624.31**	**$12,249.79**	**5.75**
	Client Investment (Excluding Reinvestments)					$200,000 00			
	Gain/Loss on Client Investment (Including Reinvestments)					$12,867 81			
AMERICAN EUROPACIFIC GROWTH FU CL F2 AEPFX - HELD IN MARGIN									
On Reinvestment									
Acquired 08/18/09 L nc		224 13800	34 42	7,715 76		10,079 49	2,363 73		
Acquired 08/19/09 L nc		673 04100	34 73	23,378 52		30,266 65	6,888 13		
Acquired 01/12/10 L nc		2,550 56800	39 20	100,000 00		114,699 04	14,699 04		
Reinvestments L m		400 03900	43 05	17,223 51		17,989 75	766 24		
Reinvestments S		59 40600	44 87	2,666 13		2,671 49	5 36		

WELLS FARGO ADVISORS

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 5237-8360

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Total	**4.22**	**3,907.19200**	**$38.64**	**$150,983.92**	**44.9700**	**$175,706.42**	**$24,722.50**	**$2,707.68**	**1.54**
Client Investment (Excluding Reinvestments)						$131,094 28			
Gain/Loss on Client Investment (Including Reinvestments)						$44,612 14			
PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL PTTRX - HELD IN MARGIN On Reinvestment									
Acquired 04/22/09 L nc		5,218 58500	10 17	53,073 01		52,342 40	-730 61		
Acquired 07/06/09 L nc		3,127 91600	10 50	32,843 12		31,373 00	-1,470 12		
Acquired 08/19/09 L nc		287 08000	10 71	3,074 63		2,879 41	-195 22		
Reinvestments L m		3,820 76100	10 85	41,469 60		38,322 23	-3,147 37		
Reinvestments S		382 32200	10 20	3,903 07		3,834 69	-68 38		
Total	**3.09**	**12,836.66400**	**$10.47**	**$134,363.43**	**10.0300**	**$128,751.73**	**-$5,611.70**	**$3,979.36**	**3.09**
Client Investment (Excluding Reinvestments)						$88,990 76			
Gain/Loss on Client Investment (Including Reinvestments)						$39,760 97			
PIMCO FDS PAC INVT MGMT SER EMERGING MKTS BD FD INSTL CL PEBIX - HELD IN MARGIN On Reinvestment									
Acquired 04/22/09 L nc		1,237 77200	8 71 8 82	10,786 06 10,917 15		12,513 87	1,727 81		
Acquired 07/06/09 L nc		1,092 05100	9 29 9 40	10,149 63 10,265 28		11,040 64	891 01		
Reinvestments L m		1,143 38100	10 85 10 86	12,415 11 12,427 18		11,559 57	-855 54		
Reinvestments S		200 91900	9 94	1,998 25		2,031 30	33 05		
Total	**0.89**	**3,674.12300**	**$9.62** **$9.69**	**$35,349.05** **$35,607.86**	**10.1100**	**$37,145.38**	**$1,796.33**	**$1,881.15**	**5.06**
Client Investment (Excluding Reinvestments)						$21,182 43			
Gain/Loss on Client Investment (Including Reinvestments)						$15,962 95			

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
PRINCIPAL INV FUND REAL ESTATE SECS FD CL INSTL PIREX On Reinvestment									
Acquired 04/26/16 S		10,850 69400	23 04	250,000 00		245,334 19	-4,665 81		
Reinvestments S		423 03900	22 92	9,697 21		9,564 91	-132 30		
Total	**6.12**	**11,273.73300**	**$23.04**	**$259,697.21**	**22.6100**	**$254,899.10**	**-$4,798.11**	**$4,622.23**	**1.81**
	Client Investment (Excluding Reinvestments)					$250,000 00			
	Gain/Loss on Client Investment (Including Reinvestments)					$4,899 10			
PRUDENTIAL GLOBAL TOTAL RETURN FND CL Z PZTRX On Reinvestment									
Acquired 10/01/14 L		28,860 02900	6 72 6 93	194,227 46 200,000 00		181,240 97	-12,986 49		
Reinvestments L		1,303 73800	6 51 6 62	8,487 50 8,630 86		8,187 48	-300 02		
Reinvestments S		1,150 42700	6 62	7,619 31		7,224 68	-394 63		
Total	**4.72**	**31,314.19400**	**$6.72** **$6.91**	**$210,334.27** **$216,250.17**	**6.2800**	**$196,653.13**	**-$13,681.14**	**$7,765.92**	**3.95**
	Client Investment (Excluding Reinvestments)					$200,000 00			
	Gain/Loss on Client Investment (Including Reinvestments)					-$3,346 87			
PRUDENTIAL INVT PORTS INC 15 SHORT DURATION HIGH YIELD INCOME FD Z HYSZX On Reinvestment									
Acquired 04/26/16 S		11,037 52800	9 06	100,000 00		99,889 62	-110 38		
Reinvestments S		408 34100	9 08	3,710 61		3,695 49	-15 12		
Total	**2.49**	**11,445.86900**	**$9.06**	**$103,710.61**	**9.0500**	**$103,585.11**	**-$125.50**	**$6,169.32**	**5.96**
	Client Investment (Excluding Reinvestments)					$100,000 00			
	Gain/Loss on Client Investment (Including Reinvestments)					$3,585 11			

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 5237-8360

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
T ROWE PRICE BLUE CHIP GROWTH FUND TRBCX - HELD IN MARGIN On Reinvestment									
Acquired 04/22/09 L nc		914 54700	24 18	22,113 75		66,405 26	44,291 51		
Acquired 07/06/09 L nc		492 10000	26 11	12,848 74		35,731 38	22,882 64		
Acquired 08/19/09 L nc		1,055 56800	28 50	30,083 69		76,644 79	46,561 10		
Acquired 01/12/10 L nc		3,039 51400	32 90	100,000 00		220,699 10	120,699 10		
Reinvestments L m		494 13100	66 68	32,951 39		35,878 86	2,927 47		
Reinvestments S		39 87500	73 67	2,937 97		2,895 32	-42 65		
Total	**10.53**	**6,035.73500**	**$33.29**	**$200,935.54**	**72.6100**	**$438,254.71**	**$237,319.17**	**N/A**	**N/A**
	Client Investment (Excluding Reinvestments)					$165,046 18			
	Gain/Loss on Client Investment (Including Reinvestments)					$273,208 53			
THORNBURG INVT TR INVT INCOME BUILDER FD CL I TIBIX - HELD IN MARGIN On Reinvestment									
Acquired 03/15/11 L nc		13,048 01700	19 16	250,000 00		262,265 14	12,265 14		
Reinvestments L m		3,932 98700	19 74	77,664 34		79,053 04	1,388 70		
Reinvestments S		737 66700	19 47	14,362 63		14,827 10	464 47		
Total	**8.56**	**17,718.67100**	**$19.30**	**$342,026.97**	**20.1000**	**$356,145.28**	**$14,118.31**	**$14,741.93**	**4.14**
	Client Investment (Excluding Reinvestments)					$250,000 00			
	Gain/Loss on Client Investment (Including Reinvestments)					$106,145 28			
Total Open End Mutual Funds	**54.96**			**$2,023,227.29** **$2,029,402.00**		**$2,287,494.41**	**$264,267.12**	**$60,802.33**	**2.66**
Total Mutual Funds	**54.96**			**$2,023,227.29** **$2,029,402.00**		**$2,287,494.41**	**$264,267.12**	**$60,802.33**	**2.66**

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS
nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS