SCANNED APR 2 4 2017

Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www irs.gov/form990pf

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation: ROBERTI HELEN CHARITABLE TRUST

A Employer identification number: 36-7056111

Number and street (or P O box number if mail is not delivered to street address) / Room/suite: 111 WEST MONROE STREET TAX DIV 10C

B Telephone number (see instructions): 312-461-5154

City or town, state or province, country, and ZIP or foreign postal code: CHICAGO, IL 60603

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 5,177,101.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1 Contributions, gifts, grants, etc, received (attach schedule)				
2 Check ▶ [X] if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	148,829.	136,601.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	91,191.			
b Gross sales price for all assets on line 6a 1,519,055.				
7 Capital gain net income (from Part IV, line 2)		91,191.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	2,655.			STMT 5
12 **Total.** Add lines 1 through 11	242,675.	227,792.		
Operating and Administrative Expenses 13 Compensation of officers, directors, trustees, etc	56,176.	28,088.		28,088.
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) STMT 6	1,130.	565.	NONE	565.
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions) STMT 7	687.	687.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule) STMT 8	916.	901.		15.
24 **Total operating and administrative expenses.** Add lines 13 through 23	58,909.	30,241.	NONE	28,668.
25 Contributions, gifts, grants paid	237,023.			237,023.
26 **Total expenses and disbursements** Add lines 24 and 25	295,932.	30,241.	NONE	265,691.
27 Subtract line 26 from line 12				
a **Excess of revenue over expenses and disbursements**	-53,257.			
b **Net investment income** (if negative, enter -0-)		197,551.		
c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	3,528.		
	2 Savings and temporary cash investments	72,664.	38,948.	38,948.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ NONE			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule)	51,102.		
	b Investments - corporate stock (attach schedule)	2,296,343.	2,398,537.	3,110,588.
	c Investments - corporate bonds (attach schedule)	2,054,891.	1,990,879.	2,006,565.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) STMT 9	1.	1.	21,000.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	4,478,529.	4,428,365.	5,177,101.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)		NONE	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [X]			
	27 Capital stock, trust principal, or current funds	4,478,529.	4,428,365.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	4,478,529.	4,428,365.	
	31 Total liabilities and net assets/fund balances (see instructions)	4,478,529.	4,428,365.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,478,529.
2	Enter amount from Part I, line 27a	2	-53,257.
3	Other increases not included in line 2 (itemize) ▶ TIMING DIFFERENCES	3	3,093.
4	Add lines 1, 2, and 3	4	4,428,365.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,428,365.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,519,055.		1,427,864.	91,191.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				91,191.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — 2 — 91,191.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	258,919.	5,318,493.	0.048683
2014	250,235.	5,371,651.	0.046584
2013	239,469.	5,105,951.	0.046900
2012	232,980.	4,833,383.	0.048202
2011	224,505.	4,733,509.	0.047429

2	**Total** of line 1, column (d)	2	0.237798
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.	3	0.047560
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	5,126,358.
5	Multiply line 4 by line 3.	5	243,810.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,976.
7	Add lines 5 and 6	7	245,786.
8	Enter qualifying distributions from Part XII, line 4	8	265,691.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	1,976.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,976.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,976.
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 . . . . 6a 2,344.		
b	Exempt foreign organizations - tax withheld at source . . . . 6b NONE		
c	Tax paid with application for extension of time to file (Form 8868) . . . . 6c NONE		
d	Backup withholding erroneously withheld 6d		
7	Total credits and payments. Add lines 6a through 6d	7	2,344.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	368.
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 368. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ SEE STATEMENT 10 Telephone no. ▶

Located at ▶ ZIP+4 ▶

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ▶ , , ,	☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ , , ,				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	During the year did the foundation pay or incur any amount to:		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No *If "Yes," attach the statement required by Regulations section 53 4945-5(d)*		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** *If "Yes" to 6b, file Form 8870*		X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BMO HARRIS BANK N.A. 111 W. MONROE ST., TAX DIV 10C, CHICAGO, IL 60603	TRUSTEE 2	56,176.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over $50,000 for professional services . . . . ▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation s four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1a	5,141,145.
b	Average of monthly cash balances	1b	63,279.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	5,204,424.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	5,204,424.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	78,066.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,126,358.
6	**Minimum investment return.** Enter 5% of line 5	6	256,318.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	256,318.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	1,976.		
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	1,976.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	254,342.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	254,342.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	254,342.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	265,691.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	265,691.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	1,976.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	263,715.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				254,342.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			234,295.	
b Total for prior years 20 14 ,20____,20____		NONE		
3 Excess distributions carryover, if any, to 2016				
a From 2011 . . . NONE				
b From 2012 . . . NONE				
c From 2013 . . . NONE				
d From 2014 . . . NONE				
e From 2015 . . . NONE				
f **Total** of lines 3a through e	NONE			
4 Qualifying distributions for 2016 from Part XII, line 4. ▶ $ 265,691.				
a Applied to 2015, but not more than line 2a			234,295.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2016 distributable amount				31,396.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2016 (*If an amount appears in column (d), the same amount must be shown in column (a).*)	NONE			NONE
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				222,946.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	NONE			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9				
a Excess from 2012 . . . NONE				
b Excess from 2013 . . . NONE				
c Excess from 2014 . . . NONE				
d Excess from 2015 . . . NONE				
e Excess from 2016 . . . NONE				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2016	Prior 3 years (b) 2015	(c) 2014	(d) 2013	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

SEE STATEMENT 11

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NATIONAL JUDICIAL COLLEGE JUDICIAL COLLEGE BUILDING MS 358 RENO NV 895	NONE	PC	GENERAL OPERATING PURPOSES	10,200.
AMERICAN INDIAN EDUCATION FUND 2401 EGLIN STREET RAPID CITY SD 57703	NONE	PC	GENERAL OPERATING PURPOSES	10,200.
MINNESOTA STATE UNIVERSITY MOORHEAD 1004 7TH AVENUE SOUTH MOORHEAD MN 56563	NONE	PC	GENERAL OPERATING PURPOSES	7,650.
UNIVERSITY OF ARIZONA P.O. BOX 210066 TUCSON AZ 85721	NONE	PC	GENERAL OPERATING PURPOSES	104,040.
ASU FUNDATION 300 E UNIVERSITY DR TEMPE AZ 85281	NONE	PC	GENERAL OPERATING PURPOSES	51,000.
UNIVERSITY OF NORTH DAKOTA AMERICAN INDIAN ST P.O. BOX 8274 GRAND FORKS ND 58202	NONE	PC	GENERAL OPERATING PURPOSES	20,400.
SOUTH DAKOTA STATE UNIVERSITY 823 MEDARY AVE, BOX 2201 BROOKINGS SD 57007	NONE	PC	GENERAL OPERATING PURPOSES	10,200.
AMERICAN INDIAN COLLEGE FUND 8333 GREENWOOD BLVD DENVER CO 80221	NONE	PC	GENERAL OPERATING PURPOSES	7,650.
UNIVERSITY OF MONTANA FOUNDATION P.O. BOX 7159 MISSOULA MT 59807	NONE	PC	GENERAL OPERATING PURPOSES	15,683.
Total . . . . . . ▶ **3a**				237,023.
b *Approved for future payment*				
Total . . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	148,829.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	91,191.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b FEDERAL REFUND			1	2,655.	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				242,675.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 242,675.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Sign Here

Signature of officer or trustee	Date	Title	
[signature]	3-19-17	BMO Harris Bank N.A., Trustee V.P.	May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
PATRICK T. BURKE	[signature]	3/15/17		P00010768
Firm's name ▶ FRIEDMAN & HUEY ASSOCIATES LLP			Firm's EIN ▶ 36-3382360	
Firm's address ▶ 1313 WEST 175TH STREET HOMEWOOD, IL 60430			Phone no 708-799-6800	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
AFLAC INC 3.625% 11/15/24	3,625.	3,625.
AT&T INC 5.500% 2/01/18	2,750.	2,750.
AT&T INC 5.875% 10/01/19	5,875.	5,875.
ACE INA HOLDINGS 2.875% 11/03/22	200.	200.
AETNA INC NEW COMMON STOCK	81.	81.
ALLIANCE DATA SYSTEMS CORP COMMON STOCK	65.	65.
ALLSTATE CORP	116.	116.
AMEREN CORP	645.	645.
AMERICAN ELECTRIC POWER INC COMMON STOCK	294.	294.
AMERICAN HONDA MTN 2.125% 10/10/18	673.	673.
AMERICAN INTERNATIONAL GROUP	224.	224.
AMERIPRISE FINANCIAL INC.	949.	949.
AMGEN INC COMMON STOCK	900.	900.
APPLE COMPUTER INC COMMON STOCK	1,482.	1,482.
APPLIED MATERIALS INC COMMON STOCK	45.	45.
AVERY DENNISON CORP COMMON STOCK	680.	680.
BANK OF AMERICA NA 5.650% 5/01/18	4,238.	4,238.
BECTON DICKINSON & COMPANY COMMON STOCK	161.	161.
BERKSHIRE HATHAWAY 3.000% 2/11/23	2,156.	2,156.
BMO PRIME MMKT PREMIER	114.	114.
BOEING COMPANY COMMON STOCK	1,090.	1,090.
BOEING CO 6.000% 3/15/19	3,000.	3,000.
BOSTON PROP LP 3.800% 2/01/24	1,900.	1,900.
CVS CORP	510.	510.
CAPITAL ONE FINANCIAL CORP COMMON STOCK	60.	60.
CARTERS INC	41.	41.
CHEVRON TEXACO INC COMMON STOCK	401.	401.
CISCO SYSTEMS INC COMMON STOCK	866.	866.
CISCO SYSTEMS INC 5.500% 2/22/16	1,375.	1,375.
CITIGROUP INC	362.	362.
CITIGROUP INC 3.750% 6/16/24	2,813.	2,813.
CITIGROUP INC MTN 4.000% 8/05/24	1,883.	1,883.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
COMCAST CORP-CL A	860.	860.
CUYAHOGA CNTY OH 4.484% 6/01/20	3,363.	
DEVON ENERGY CORP 3.250% 5/15/22	2,085.	2,085.
DISCOVER FINL SVCS	667.	667.
DODGE & COX INTL STOCK FUND	1,446.	1,446.
DODGE & COX STOCK FUND	1,104.	1,104.
DOVER CORPORATION COMMON STOCK	215.	215.
DR PEPPER SNAPPLE GROUP INC	569.	569.
EOG RES INC COMMON STOCK	38.	38.
EXELON GENERATION 4.000% 10/01/20	4,000.	4,000.
EXELON CORPORATION COMMON STOCK	502.	502.
EXPEDIA INC	30.	30.
EXXON MOBIL CORP COMMON STOCK	559.	559.
FHLB 3.750% 9/09/16	1,875.	1,875.
FIFTH THIRD BANCORP	75.	75.
FLORIDA ST HURRICANE 2.995% 7/01/20	1,498.	
FOOT LOCKER INC COMMON STOCK	105.	105.
FRANKLIN HIGH INCOME FUND-AD	4,747.	4,747.
GATEWAY FUND-Y	2,495.	2,495.
GENERAL DYNAMICS	86.	86.
GENERAL ELECTRIC MTN 4.625% 1/07/21	1,914.	1,914.
GILEAD SCIENCES INC COMMON STOCK	454.	454.
GOLDMAN SACHS TRUST FINL SQ TAX FREE MON	25.	25.
GOLDMAN SACHS TRUST FINL SQ FED FD INSTL	50.	50.
HALLIBURTON COMPANY COMMON STOCK	41.	41.
HARBOR FUND INTERNATIONAL FUND	1,459.	1,459.
HOME DEPOT INC COMMON STOCK	621.	621.
HOST MARRIOTT CORP NEW COMMON STOCK	177.	177.
HUNTINGTON INGALLS INDUSTRIES	368.	368.
INTEL CORPORATION COMMON STOCK	598.	598.
ISHARES DJ SELECT DIVIDEND ETF	2,065.	2,065.
ISHARES TR S & P 500 INDEX FD	4,071.	4,071.
ISHARES TRUST S&P 500/BARRA VALUE INDEX	2,858.	2,858.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ISHARES TRUST RUSSELL 1000 VALUE INDEX F	6,301.	6,301.
ISHARES TR S&P MIDCAP 400/BARRA VALUE IN	831.	831.
ISHARES TR DOW JONES US REAL ESTATE	5,487.	5,487.
ISHARES TR S&P SMALLCAP 600 INDEX FUND	724.	724.
JPMORGAN CHASE 3.125% 1/23/25	1,374.	1,374.
JOHNSON & JOHNSON COMMON STOCK	1,650.	1,650.
JUNIPER NETWORKS INC COMMON STOCK	80.	80.
KEYCORP NEW COM	276.	276.
KOHLS CORP COMMON STOCK	88.	88.
KROGER COMPANY	338.	338.
LAM RESEARCH CORPORATION COMMON STOCK	45.	45.
LEAR CORP	330.	330.
LOWES COS INC - UN	508.	508.
MFS INTL VALUE I	423.	423.
MACY S INC	216.	216.
MARATHON PETROLEUM CORPORATION	384.	384.
MARICOPA CNTY AZ 4.553% 7/01/17	3,415.	
MET GOVT NASHVILL TN 5.817% 7/01/21	2,909.	
MICROSOFT CORP	551.	551.
MORGAN STANLEY MTN 2.375% 7/23/19	1,036.	1,036.
MORGAN STANLEY MTN 5.500% 1/26/20	4,125.	4,125.
NEW HAMPSHIRE ST HSG 2.086% 7/01/18	1,043.	
NORTHROP GRUMMAN CORP COMMON STOCK	275.	275.
OCEANEERING INTL INC COMMON STOCK	95.	95.
ORACLE CORPORATION COMMON STOCK	139.	139.
P G & E CORP	478.	478.
P P G INDUSTRIES INC COMMON STOCK	50.	50.
PARKER-HANNIFIN CORPORATION COMMON STOCK	284.	284.
PEPSICO INC	579.	579.
ROYAL BK SCOTLND 6.125% 1/11/21	2,297.	2,297.
SCHLUMBERGER LTD.	268.	268.
SEALED AIR CORP NEW COMMON STOCK	36.	36.
SOUTHWEST AIRLINES COMPANY COMMON STOCK	219.	219.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
SYSCO CORPORATION	62.	62.
TD AMERITRADE 2.950% 4/01/22	295.	295.
TJX COS INC 2.750% 6/15/21	1,215.	1,215.
TARGET CORP 5.875% 7/15/16	2,938.	2,938.
TEMPLETON GLOBAL BOND FUND-AD	78.	78.
THERMO FISHER 3.150% 1/15/23	1,587.	1,587.
TRAVELERS COMPANIES INC	833.	833.
TYSON FOODS INC CLASS A COMMON STOCK	270.	270.
UNITEDHEALTH GROUP INC COMMON STOCK	150.	150.
UNITEDHEALTH GRP 2.875% 12/15/21	1,378.	1,378.
UNUMPROVIDENT CORPORATION COMMON STOCK	150.	150.
VALERO ENERGY CORP NEW COMMON STOCK	165.	165.
VANGUARD FTSE EMERGING MARKETS ETF	2,294.	2,294.
VERIZON COMMUNICATIONS COMMON STOCK	2,784.	2,784.
VERIZON COMM INC 5.150% 9/15/23	2,575.	2,575.
VIRGINIA ELEC & PWR 3.450% 9/01/22	2,386.	2,386.
WACHOVIA CORP 5.750% 6/15/17	2,875.	2,875.
WAL-MART STORES INC	299.	299.
WALGREEN CO 5.250% 1/15/19	630.	630.
WELLS FARGO & COMPANY NEW COMMON STOCK	1,174.	1,174.
WISDOMTREE INTL S/C DVD FUND	1,875.	1,875.
WYETH 5.450% 4/01/17	4,088.	4,088.
WYNDHAM WORLDWIDE CORP	263.	263.
AMDOCS LIMITED COMMON STOCK	267.	267.
DELPHI AUTOMOTIVE PLC	210.	210.
EVEREST RE GROUP LTD COMMON STOCK	173.	173.
LYONDELLBASELL INDUSTRIES NV	367.	367.
TOTAL	148,829.	136,601.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
	TOTALS	2,655.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE (NON-ALLOC	1,130.	565.		565.
TOTALS	1,130.	565.	NONE	565.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES ON QUALIFIED FOR	469.	469.
FOREIGN TAXES ON NONQUALIFIED	218.	218.
TOTALS	687.	687.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
OTHER NON-ALLOCABLE EXPENSE -	15.		15.
OTHER NON-ALLOCABLE EXPENSE -	74.	74.	
REAL ESTATE TAX ON NON-RENTAL	677.	677.	
REAL ESTATE EXPENSE ON NON-REN	150.	150.	
TOTALS	916.	901.	15.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
5 ACRES VACANT LOT MONTGOMERY	C	1.	1.	21,000.
TOTALS		1.	1.	21,000.

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF
==

NAME: HECTOR AHUMADA
C/O BMO HARRIS BANK
ADDRESS: 111 W. MONROE ST., TAX DIV 10C
CHICAGO, IL 60603

TELEPHONE NUMBER: (312)461-5154

RECIPIENT NAME:
HELEN ROBERTI CHARITABLE TRUST ADMINISTRATOR
ADDRESS:
111 W. MONROE STREET, TAX DIV, 10C
CHICAGO, IL 60603
RECIPIENT'S PHONE NUMBER: 312 461-5154
FORM, INFORMATION AND MATERIALS:
WRITTEN APPLICATION BY SCHOOLS, COLLEGES AND UNIVERSITIES DESCRIBED IN CODE SECTION 170(B)(I)(A)(II)
SUBMISSION DEADLINES:
NONE
RESTRICTIONS OR LIMITATIONS ON AWARDS:
MAINTAIN SCHOLARSHIP OR COMPARABLE PROGRAMS TO ENABLE NATIVE AMERICANS TO ATTAIN UNDERGRADUATE, POST-GRADUATE AND PROFESSIONAL DEGREES.

• Details of assets in your account

DESCRIPTION OF ASSET	QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
CASH & SHORT-TERM							
GOLDMAN SACHS FIN SQ TREAS SOL INS	38,946.860	1.0000	38,946.86	38,946.86	0.00	86.93	0.22%
GOLDMAN SACHS FIN SQ TREAS SOL INS (INCOME INVESTMENT)	1.100	1.0000	1.10	1.10	0.00	0.00	0.00%
TOTAL CASH & SHORT-TERM			$38,947.96	$38,947.96	$0.00	$86.93	.22%
FIXED INCOME/LOW VOLATILITY							
Corporate and other taxable							
ACE INA HOLDINGS DTD 11/03/2015 2.875% 11/03/2022 CALLABLE MOODYS: A3 S&P: A	50,000.000	100.8180	50,409.00	52,841.50	-2,432.50	1,437.50	2.85%
AFLAC INC DTD 11/07/2014 3.625% 11/15/2024 NON CALLABLE MOODYS: A3 S&P: A-	100,000.000	102.9200	102,920.00	102,068.00	852.00	3,625.00	3.52%
AMERICAN HONDA FINANCE MEDIUM TERM NOTE DTD 10/10/2013 2.125% 10/10/2018 NON CALLABLE MOODYS: A1 S&P: A+	50,000.000	100.7820	50,391.00	50,850.00	-459.00	1,062.50	2.11%
AT&T INC DTD 02/01/2008 5.500% 02/01/2018 NON CALLABLE MOODYS: BAA1 S&P: BBB+	50,000.000	103.8990	51,949.50	40,030.00	11,919.50	2,750.00	5.29%
AT&T INC DTD 10/01/2015 5.875% 10/01/2019 NON CALLABLE MOODYS: BAA1 S&P: BBB+	100,000.000	109.2920	109,292.00	110,101.40	-809.40	5,875.00	5.38%

• Details of assets in your account (continued)

DESCRIPTION OF ASSET	QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
BANK OF AMERICA NA DTD 05/02/2008 5.650% 05/01/2018 NON CALLABLE MOODYS: BAA1 S&P: BBB+	75,000.000	104.7760	78,582.00	72,303.75	6,278.25	4,237.50	5.39%
BERKSHIRE HATHAWAY INC DTD 02/11/2013 3.000% 02/11/2023 NON CALLABLE MOODYS: AA2 S&P: AA	75,000.000	101.1790	75,884.25	75,821.25	63.00	2,250.00	2.96%
BOEING CO DTD 03/13/2009 6.000% 03/15/2019 NON CALLABLE MOODYS: A2 S&P: A	50,000.000	109.2020	54,601.00	54,769.50	-168.50	3,000.00	5.49%
BOSTON PROPERTIES LP DTD 06/27/2013 3.800% 02/01/2024 CALLABLE MOODYS: BAA2 S&P: A-	50,000.000	101.4210	50,710.50	50,720.00	-9.50	1,900.00	3.75%
CITIGROUP INC DTD 06/16/2014 3.750% 06/16/2024 NON CALLABLE MOODYS: BAA1 S&P: BBB+	75,000.000	101.8460	76,384.50	76,771.50	-387.00	2,812.50	3.68%
CITIGROUP INC MEDIUM TERM NOTE DTD 08/05/2014 4.000% 08/05/2024 NON CALLABLE MOODYS: BAA3 S&P: BBB	50,000.000	100.7750	50,387.50	49,943.00	444.50	2,000.00	3.97%
EXELON GENERATION CO LLC DTD 09/30/2010 4.000% 10/01/2020 CALLABLE MOODYS: BAA2 S&P: BBB	100,000.000	103.9270	103,927.00	93,207.00	10,720.00	4,000.00	3.85%

• Details of assets in your account (continued)

DESCRIPTION OF ASSET	QUANTITY	X	CURRENT UNIT VALUE	=	CURRENT TOTAL MARKET VALUE	-	FEDERAL TAX COST	=	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
GENERAL ELECTRIC CAPITAL CORP MEDIUM TERM NOTE DTD 01/07/2011 4.625% 01/07/2021 NON CALLABLE MOODYS: A1 S&P: AA-	50,000.000		108.5810		54,290.50		55,160.00		-869.50	2,312.50	4.26%
JPMORGAN CHASE & CO DTD 01/23/2015 3.125% 01/23/2025 CALLABLE MOODYS: A3 S&P: A-	75,000.000		97.7560		73,317.00		73,111.50		205.50	2,343.75	3.20%
MORGAN STANLEY MEDIUM TERM NOTE DTD 01/26/2010 5.500% 01/26/2020 NON CALLABLE MOODYS: A3 S&P: BBB+	75,000.000		108.4130		81,309.75		75,034.50		6,275.25	4,125.00	5.07%
MORGAN STANLEY MEDIUM TERM NOTE DTD 07/23/2014 2.375% 07/23/2019 NON CALLABLE MOODYS: A3 S&P: BBB+	50,000.000		100.2850		50,142.50		50,195.00		-52.50	1,187.50	2.37%
TD AMERITRADE HOLDING CO DTD 03/09/2015 2.950% 04/01/2022 CALLABLE MOODYS: A3 S&P: A	50,000.000		101.2450		50,622.50		52,342.50		-1,720.00	1,475.00	2.91%
THERMO FISHER SCIENTIFIC DTD 08/22/2012 3.150% 01/15/2023 CALLABLE MOODYS: BAA2 S&P: BBB	50,000.000		100.0980		50,049.00		49,341.71		707.29	1,575.00	3.15%
TJX COS INC DTD 06/05/2014 2.750% 06/15/2021 CALLABLE MOODYS: A2 S&P: A+	100,000.000		101.7340		101,734.00		106,253.00		-4,519.00	2,750.00	2.70%

• Details of assets in your account (continued)

DESCRIPTION OF ASSET	QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
UNITEDHEALTH GROUP INC DTD 12/08/2014 2.875% 12/15/2021 NON CALLABLE MOODYS: A3 S&P: A+	75,000.000	101.3650	76,023.75	78,335.25	-2,311.50	2,156.25	2.84%
VERIZON COMMUNICATIONS DTD 09/18/2013 5.150% 09/15/2023 NON CALLABLE MOODYS: BAA1 S&P: BBB+	50,000.000	110.5740	55,287.00	56,099.50	-812.50	2,575.00	4.66%
VIRGINIA ELEC & POWER CO DTD 09/01/2010 3.450% 09/01/2022 CALLABLE MOODYS: A2 S&P: BBB+	100,000.000	103.7120	103,712.00	103,828.00	-116.00	3,450.00	3.33%
WACHOVIA CORP DTD 06/08/2007 5.750% 06/15/2017 NON CALLABLE MOODYS: A2 S&P: A	50,000.000	101.9050	50,952.50	53,265.00	-2,312.50	2,875.00	5.64%
WALGREEN CO DTD 01/13/2009 5.250% 01/15/2019 NON CALLABLE MOODYS: BAA2 S&P: BBB	12,000.000	105.8340	12,700.08	12,210.72	489.36	630.00	4.96%
WYETH DTD 03/27/2007 5.450% 04/01/2017 NON CALLABLE MOODYS: A1 S&P: AA	75,000.000	101.0310	75,773.25	82,067.70	-6,294.45	4,087.50	5.39%
Other							
CUYAHOGA COUNTY OHIO REVENUE BONDS DTD 09/03/2010 4.484% 06/01/2020 NON CALLABLE MOODYS: AA2 S&P: AA-	75,000.000	107.6820	80,761.50	75,252.75	5,508.75	3,363.00	4.16%

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
FLORIDA STATE HURRICANE CATASTROPHE REVENUE BOND DTD 04/23/2013 2.995% 07/01/2020 NON CALLABLE MOODYS: AA3 S&P: AA		50,000.000	102.3940	51,197.00	50,661.50	535.50	1,497.50	2.92%
MARICOPA CNTY ARIZONA SCH DIST GENERAL OBLIGATION DTD 04/22/2010 4.553% 07/01/2017 NON CALLABLE AGM MOODYS: AA2 S&P: AA		75,000.000	101.4780	76,108.50	82,170.00	-6,061.50	3,414.75	4.49%
MET GOVT NASHVILLE TENNESSEE REVENUE BOND DTD 04/21/2010 5.817% 07/01/2021 NON CALLABLE MOODYS: AA3 S&P: A		50,000.000	113.5430	56,771.50	55,858.00	913 50	2,908.50	5.12%
NEW HAMPSHIRE STATE HSG FIN AUTHS SINGLE FAMILY HOUSING REVENUE DTD 07/24/2014 2.086% 07/01/2018 NON CALLABLE MOODYS: AA2 S&P: N/R		50,000.000	100.7490	50,374.50	50,265.50	109.00	1,043.00	2.07%
Total Corporate and other taxable				**$2,006,565.08**	**$1,990,879.03**	**$15,686.05**	**$78,719.25**	**3.92%**
TOTAL FIXED INCOME/LOW VOLATILITY				**$2,006,565.08**	**$1,990,879.03**	**$15,686.05**	**$78,719.25**	**3.92%**
EQUITY/HIGH VOLATILITY								
U.S. equity								
ALLIANCE DATA SYSTEMS CORP Information technology	ADS	50.000	228.5000	11,425.00	10,130.24	1,294.76	104.00	0.91%
ALLSTATE CORP Financials	ALL	175.000	74.1200	12,971.00	11,432.72	1,538.28	231.00	1.78%

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
ALPHABET INC CL C Information technology	GOOG	75.000	771.8200	57,886.50	53,883.08	4,003.42	0.00	0.00%
AMEREN CORP Utilities	AEE	500.000	52.4600	26,230.00	24,032.75	2,197.25	880.00	3.35%
AMERICAN INTERNATIONAL GROUP Financials	AIG	175.000	65.3100	11,429.25	10,197.00	1,232.25	224.00	1.96%
AMERIPRISE FINANCIAL INC. Financials	AMP	325.000	110.9400	36,055.50	18,918.64	17,136.86	975.00	2.70%
AMGEN INC Health care	AMGN	225.000	146.2100	32,897.25	36,433.44	-3,536.19	1,035.00	3.15%
APPLE INC Information technology	AAPL	405.000	115.8200	46,907.10	7,509.32	39,397.78	923.40	1.97%
APPLIED MATERIALS INC Information technology	AMAT	450.000	32.2700	14,521.50	13,611.83	909.67	180.00	1.24%
AVERY DENNISON CORP Materials	AVY	425.000	70.2200	29,843.50	25,848.37	3,995.13	697.00	2.34%
BECTON DICKINSON Health care	BDX	175.000	165.5500	28,971.25	31,303.43	-2,332.18	511.00	1.76%
BERRY PLASTICS G Materials	BERY	225.000	48.7300	10,964.25	9,933.08	1,031.17	0.00	0.00%
BOEING CO Industrials	BA	250.000	155.6800	38,920.00	35,765.00	3,155.00	1,420.00	3.65%
CELGENE CORP Health care	CELG	125.000	115.7500	14,468.75	15,483.50	-1,014.75	0.00	0.00%
CISCO SYSTEMS INC Information technology	CSCO	875.000	30.2200	26,442.50	16,521.03	9,921.47	910.00	3.44%

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
CITIGROUP INC Financials	C	850.000	59.4300	50,515.50	34,723.31	15,792.19	544.00	1.08%
CITRIX SYS INC Information technology	CTXS	375.000	89.3100	33,491.25	24,629.04	8,862.21	0.00	0.00%
COMCAST CORP-CL A CL A COMMON STOCK Consumer discretionary	CMCSA	800.000	69.0500	55,240.00	26,376.07	28,863.93	880.00	1.59%
CVS HEALTH CORP Consumer staples	CVS	300.000	78.9100	23,673.00	16,930.76	6,742.24	600.00	2.53%
DISCOVER FINL SVCS Financials	DFS	575.000	72.0900	41,451.75	18,251.72	23,200.03	690.00	1.66%
DR PEPPER SNAPPLE GROUP INC Consumer staples	DPS	275.000	90.6700	24,934.25	14,297.11	10,637.14	583.00	2.34%
EOG RES INC Energy	EOG	450.000	101.1000	45,495.00	42,254.85	3,240.15	301.50	0.66%
EXXON MOBIL CORPORATION Energy	XOM	225.000	90.2600	20,308.50	11,427.56	8,880.94	675.00	3.32%
FEDEX CORPORATION Industrials	FDX	75.000	186.2000	13,965.00	13,047.53	917.47	120.00	0.86%
F5 NETWORKS INC Information technology	FFIV	125.000	144.7200	18,090.00	14,205.20	3,884.80	0.00	0.00%
HOLOGIC INC Health care	HOLX	300.000	40.1200	12,036.00	10,211.55	1,824.45	0.00	0.00%
HOME DEPOT INC Consumer discretionary	HD	225.000	134.0800	30,168.00	20,322.52	9,845.48	621.00	2.06%
HOST HOTELS & RESORTS, INC Financials	HST	575.000	18.8400	10,833.00	10,295.15	537.85	460.00	4.25%

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
HUNTINGTON INGALLS INDUSTRIES Industrials	HII	175.000	184.1900	32,233.25	15,230.25	17,003.00	420.00	1.30%
IDEXX LABS INC Health care	IDXX	125.000	117.2700	14,658.75	14,808.56	-149.81	0.00	0.00%
INTEL CORP Information technology	INTC	575.000	36.2700	20,855.25	19,952.44	902.81	598.00	2.87%
JETBLUE AIRWAYS CORP Industrials	JBLU	825.000	22.4200	18,496.50	13,552.77	4,943.73	0.00	0.00%
JOHNSON & JOHNSON Health care	JNJ	475.000	115.2100	54,724.75	45,295.18	9,429.57	1,520.00	2.78%
KROGER CO Consumer staples	KR	675.000	34.5100	23,294.25	8,511.61	14,782.64	324.00	1.39%
LEAR CORP Consumer discretionary	LEA	275.000	132.3700	36,401.75	30,060.13	6,341.62	330.00	0.91%
LOWES COS INC Consumer discretionary	LOW	575.000	71.1200	40,894.00	42,006.71	-1,112.71	805.00	1.97%
MICROSOFT CORP Information technology	MSFT	375.000	62.1400	23,302.50	13,037.96	10,264.54	585.00	2.51%
PARKER HANNIFIN CORP Industrials	PH	150.000	140.0000	21,000.00	16,430.00	4,570.00	378.00	1.80%
PEPSICO INC Consumer staples	PEP	400.000	104.6300	41,852.00	40,489.27	1,362.73	1,204.00	2.88%
PG & E CORP Utilities	PCG	475.000	60.7700	28,865.75	28,196.36	669.39	931.00	3.22%
QUANTA SERVICES INCORPORATED Industrials	PWR	600.000	34.8500	20,910.00	14,986.31	5,923.69	0.00	0.00%

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
SOUTHWEST AIRLINES CO Industrials	LUV	625.000	49.8400	31,150.00	11,580.75	19,569.25	250.00	0.80%
STEEL DYNAMICS INC Materials	STLD	350.000	35.5800	12,453.00	9,950.22	2,502.78	196.00	1.57%
SYSCO CORP Consumer staples	SYY	200.000	55.3700	11,074.00	10,309.84	764.16	264.00	2.38%
TERADATA CORP Information technology	TDC	450.000	27.1700	12,226.50	11,325.78	900.72	0.00	0.00%
TRAVELERS COMPANIES INC Financials	TRV	225.000	122.4200	27,544.50	9,664.92	17,879.58	603.00	2.19%
TYSON FOODS INC CLASS A CLASS A COMMON STOCK Consumer staples	TSN	500.000	61.6800	30,840.00	30,151.74	688.26	450.00	1.46%
UNITED RENTALS INC Industrials	URI	325.000	105.5800	34,313.50	21,475.07	12,838.43	0.00	0.00%
UNUMPROVIDENT CORP Financials	UNM	375.000	43.9300	16,473.75	13,799.33	2,674.42	300.00	1.82%
VERIZON COMMUNICATIONS Telecommunication services	VZ	1,225.000	53.3800	65,390.50	55,595.26	9,795.24	2,829.75	4.33%
WAL MART STORES INC Consumer staples	WMT	150.000	69.1200	10,368.00	7,577.80	2,790.20	300.00	2.89%
WELLS FARGO & CO Financials	WFC	775.000	55.1100	42,710.25	27,035.54	15,674.71	1,178.00	2.76%
WYNDHAM WORLDWIDE CORP Consumer discretionary	WYN	175.000	76.3700	13,364.75	13,092.98	271.77	350.00	2.62%
Total U.S. equity				**$1,465,532.10**	**$1,102,092.58**	**$363,439.52**	**$27,380.65**	**1.87%**

U.S. equity funds

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
DODGE & COX STOCK FUND Large cap value	DODGX	346.933	184.3000	63,939.75	50,260.18	13,679.57	1,051.21	1.64%
ISHARES CORE S&P SMALL-CAP ETF Small cap diversified equity	IJR	650.000	137.5200	89,388.00	75,135.70	14,252.30	1,086.15	1.21%
ISHARES CORE S&P 500 ETF Large cap diversified equity	IVV	900.000	224.9900	202,491.00	167,066.82	35,424.18	4,070.70	2.01%
ISHARES DJ SELECT DIVIDEND ETF Large cap value	DVY	1,000.000	88.5700	88,570.00	82,023.05	6,546.95	2,695.00	3.04%
ISHARES RUSSELL 1000 VALUE ETF Large cap value	IWD	2,500.000	112.0300	280,075.00	138,410.82	141,664.18	6,300.00	2.25%
ISHARES S&P MIDCAP 400/VALUE ETF Small cap value	IJJ	600.000	145.2100	87,126.00	77,338.68	9,787.32	1,456.00	1.67%
ISHARES S&P 500 VALUE ETF Large cap value	IVE	1,250.000	101.3800	126,725.00	73,275.37	53,449.63	2,857.50	2.25%
TOUCHSTONE SANDS CAPITAL INSTL GRWTH Large cap growth	CISGX	6,804.596	18.3000	124,524.11	97,535.29	26,988.82	0.00	0.00%
Total U.S. equity funds				$1,062,838.86	$761,045.91	$301,792.95	$19,515.56	1.84%
International								
DELPHI AUTOMOTIVE PLC Consumer discretionary	DLPH	150.000	67.3500	10,102.50	4,806.42	5,296.08	174.00	1.72%
DODGE & COX INTERNATIONAL STOCK FUND Developed large cap	DODFX	1,608.172	38.1000	61,271.35	50,287.54	10,983.81	1,368.55	2.23%
HARBOR INTERNATIONAL FUND #11 Developed large cap	HAINX	1,156.896	58.4100	67,574.30	47,941.77	19,632.53	1,345.47	1.99%
MFS INTERNATIONAL VALUE FUND Developed large cap	MINIX	579.295	36.5400	21,167.44	21,885.76	-718.32	364.96	1.72%

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
VANGUARD FTSE EMERGING MARKETS ETF Emerging	VWO	2,300.000	35.7800	82,294.00	90,466.15	-8,172.15	2,070.00	2.51%
WISDOMTREE INTERNATIONAL SMALLCAP DIVIDEND FUND Developed small cap	DLS	500.000	60.0200	30,010.00	32,353.00	-2,343.00	987.00	3.29%
Total International				$272,419.59	$247,740.64	$24,678.95	$6,309.98	2.32%
Alternatives-High Volatility								
Hedge funds								
GATEWAY FUND Total return hedge funds	GTEYX	5,057.316	30.8300	155,917.05	149,999.99	5,917.06	2,493.26	1.60%
Total Alternatives-High Volatility				$155,917.05	$149,999.99	$5,917.06	$2,493.26	1.60%
REITS								
ISHARES DJ U.S. REAL ESTATE ETF Domestic reits	IYR	2,000.000	76.9400	153,880.00	137,657.65	16,222.35	6,784.00	4.41%
Total REITS				$153,880.00	$137,657.65	$16,222.35	$6,784.00	4.41%
TOTAL EQUITY/HIGH VOLATILITY				$3,110,587.60	$2,398,536.77	$712,050.83	$62,483.45	2.01%
OTHER ASSETS								
Real estate								
5 ACRES VACANT LAND MONTGOMERY COUNTY TEXAS		1.000	21,000.0000	21,000.00	1.00	20,999.00	0.00	0.00%
Total Real estate				$21,000.00	$1.00	$20,999.00	$0.00	.00%
TOTAL OTHER ASSETS				$21,000.00	$1.00	$20,999.00	$0.00	.00%
TOTAL ASSETS IN YOUR ACCOUNT				$5,177,100.64	$4,428,364.76	$748,735.88	$141,289.63	2.73%