

Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2016**

Open to Public Inspection

- ▶ Do not enter social security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

**For calendar year 2016 or tax year beginning , and ending**

Name of foundation <b>BERDACH, OTTO P T U W</b>		<b>A Employer identification number</b>  <b>36-6510739</b>
Number and street (or P O box number if mail is not delivered to street address) <b>Wells Fargo Bank N A Trust Tax Dept - 100 N Main St MAC D4001-117</b>		<b>B Telephone number (see instructions)</b>  <b>(888) 730-4933</b>
City or town, state or province, country, and ZIP or foreign postal code <b>Winston Salem NC 27101</b>		
Foreign country name	Foreign province/state/country	Foreign postal code
<b>G Check all that apply</b> <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change		
<b>H Check type of organization</b> <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
<b>I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$</b> <b>231,479</b>		<b>J Accounting method</b> <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>
<b>C If exemption application is pending, check here</b> <input type="checkbox"/> <b>D 1. Foreign organizations, check here</b> <input type="checkbox"/> <b>2. Foreign organizations meeting the 85% test, check here and attach computation</b> <input type="checkbox"/> <b>E If private foundation status was terminated under section 507(b)(1)(A), check here</b> <input type="checkbox"/> <b>F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here</b> <input type="checkbox"/>		

<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)				
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities	6,779	5,531		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	6,512			
	<b>b</b> Gross sales price for all assets on line 6a <b>61,860</b>				
	<b>7</b> Capital gain net income (from Part IV, line 2)		6,512		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)					
<b>12 Total.</b> Add lines 1 through 11	13,291	12,043	0		
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc	3,787	2,840		947
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule)				
	<b>b</b> Accounting fees (attach schedule)	1,085			1,085
	<b>c</b> Other professional fees (attach schedule)				
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)	140	84		
	<b>19</b> Depreciation (attach schedule) and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)	10			10
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	5,022	2,924	0	2,042
<b>25</b> Contributions, gifts, grants paid	9,000			9,000	
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	14,022	2,924	0	11,042	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	-731				
<b>b Net investment income</b> (if negative, enter -0-)		9,119			
<b>c Adjusted net income</b> (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2016)

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<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing			
	<b>2</b> Savings and temporary cash investments	11,192	7,533	7,533
	<b>3</b> Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	<b>4</b> Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	<b>5</b> Grants receivable			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	<b>8</b> Inventories for sale or use			
	<b>9</b> Prepaid expenses and deferred charges			
	<b>10a</b> Investments—U S and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule)			
	<b>c</b> Investments—corporate bonds (attach schedule)			
<b>Liabilities</b>	<b>11</b> Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	<b>12</b> Investments—mortgage loans			
	<b>13</b> Investments—other (attach schedule)	185,114	187,826	223,946
	<b>14</b> Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	<b>15</b> Other assets (describe ▶ )			
	<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	196,306	195,359	231,479
	<b>17</b> Accounts payable and accrued expenses			
	<b>18</b> Grants payable			
<b>Net Assets or Fund Balances</b>	<b>19</b> Deferred revenue			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule)			
	<b>22</b> Other liabilities (describe ▶ )			
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22)	0	0	
	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/>			
	<b>24</b> Unrestricted			
	<b>25</b> Temporarily restricted			
	<b>26</b> Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/>			
<b>Part III Analysis of Changes in Net Assets or Fund Balances</b>	<b>27</b> Capital stock, trust principal, or current funds	196,306	195,359	
	<b>28</b> Paid-in or capital surplus, or land, bldg, and equipment fund			
	<b>29</b> Retained earnings, accumulated income, endowment, or other funds			
	<b>30</b> <b>Total net assets or fund balances</b> (see instructions)	196,306	195,359	
	<b>31</b> <b>Total liabilities and net assets/fund balances</b> (see instructions)	196,306	195,359	

<b>Part III Analysis of Changes in Net Assets or Fund Balances</b>		
<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)		<b>1</b> 196,306
<b>2</b> Enter amount from Part I, line 27a		<b>2</b> -731
<b>3</b> Other increases not included in line 2 (itemize) ▶ <u>Mutual Fund Timing Difference</u>		<b>3</b> 46
<b>4</b> Add lines 1, 2, and 3		<b>4</b> 195,621
<b>5</b> Decreases not included in line 2 (itemize) ▶ <u>See Attached Statement</u>		<b>5</b> 262
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30		<b>6</b> 195,359

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> See Attached Statement				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	6,512	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		<b>3</b>	0	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	10,618	239,575	0.044320
2014	12,183	251,627	0.048417
2013	11,107	243,250	0.045661
2012	15,783	237,502	0.066454
2011	16,664	249,046	0.066911
<b>2</b> Total of line 1, column (d)		<b>2</b>	0.271763
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		<b>3</b>	0.054353
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5		<b>4</b>	226,334
<b>5</b> Multiply line 4 by line 3		<b>5</b>	12,302
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)		<b>6</b>	91
<b>7</b> Add lines 5 and 6		<b>7</b>	12,393
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions		<b>8</b>	11,042

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>		182
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>		0
<b>3</b>	Add lines 1 and 2	<b>3</b>		182
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>		
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>		182
<b>6</b>	<b>Credits/Payments</b>			
<b>a</b>	2016 estimated tax payments and 2015 overpayment credited to 2016	<b>6a</b>		84
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>		84
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>		98
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>		0
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2017 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	<b>11</b>		0

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <b>\$</b> _____ (2) On foundation managers <b>\$</b> _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <b>\$</b> _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		N/A
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>CA</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

**Part VII-A Statements Regarding Activities (continued)**

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	<b>11</b>	Yes	No
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<b>12</b>		X
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>▶</b> N/A	<b>13</b>	X	
<b>14</b>	The books are in care of <b>▶</b> WELLS FARGO BANK N A Telephone no <b>▶</b> 888-730-4933 Located at <b>▶</b> 100 N Main St MAC D4001-117 Winston Salem NC ZIP+4 <b>▶</b> 27101			
<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here and enter the amount of tax-exempt interest received or accrued during the year <b>▶</b> 15 N/A			
<b>16</b>	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country <b>▶</b>	<b>16</b>	Yes	No
				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

<b>1a</b>	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <b>▶</b> <input type="checkbox"/>	<b>1b</b>		X
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	<b>1c</b>		X
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>▶</b> 20____, 20____, 20____, 20____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions )	<b>2b</b>	N/A	
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <b>▶</b> 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016 )	<b>3b</b>	N/A	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		X
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	<b>4b</b>		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d) N/A
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b N/A

6b X

7b N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N A 100 N Main St MAC D4001-117 Winston Salem, NC 27	Trustee SEE ATTACHED	3,787		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000

0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total number of others receiving over \$50,000 for professional services</b>		

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
<b>Total.</b> Add lines 1 through 3	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	217,706
<b>b</b>	Average of monthly cash balances	<b>1b</b>	12,075
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	229,781
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	229,781
<b>4</b>	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	<b>4</b>	3,447
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	226,334
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	11,317

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	11,317
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5	<b>2a</b>	182
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	182
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	11,135
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	11,135
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	11,135

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	11,042
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	11,042
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	11,042

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				11,135
<b>2</b> Undistributed income, if any, as of the end of 2016:				
<b>a</b> Enter amount for 2015 only			2,270	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011				
<b>b</b> From 2012				
<b>c</b> From 2013				
<b>d</b> From 2014				
<b>e</b> From 2015				
<b>f</b> <b>Total</b> of lines 3a through e	0			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 11,042				
<b>a</b> Applied to 2015, but not more than line 2a			2,270	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)				
<b>d</b> Applied to 2016 distributable amount				8,772
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions				
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				2,363
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
<b>9</b> <b>Excess distributions carryover to 2017.</b> Subtract lines 7 and 8 from line 6a				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012				
<b>b</b> Excess from 2013				
<b>c</b> Excess from 2014				
<b>d</b> Excess from 2015				
<b>e</b> Excess from 2016				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)**N/A****1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶**b** Check box to indicate whether the foundation is a private operating foundation described in section☐ 4942(j)(3) or ☐ 4942(j)(5)**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2016	(b) 2015	(c) 2014	(d) 2013	
				0
<b>b</b> 85% of line 2a				0
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed				0
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities				0
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				0
<b>3</b> Complete 3a, b, or c for the alternative test relied upon				
<b>a</b> "Assets" alternative test—enter				
<b>(1)</b> Value of all assets				0
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)				0
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
<b>c</b> "Support" alternative test—enter				
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
<b>(3)</b> Largest amount of support from an exempt organization				0
<b>(4)</b> Gross investment income				0

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:****a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed**b** The form in which applications should be submitted and information and materials they should include**c** Any submission deadlines**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Attached Statement				
<b>Total</b>			<b>3a</b>	9,000
<b>b</b> <i>Approved for future payment</i> NONE				
<b>Total</b>			<b>3b</b>	0





**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

DISABLED RESOURCES CENTER, INC

**Street**

2750 E SPRING STREET STE 100

**City**

LONG BEACH

**State**

CA

**Zip Code**

90806

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL SUPPORT GRANT

**Amount**

5,000

**Name**

NATIONAL ASSISTANCE LEAGUE

**Street**

826 COLE AVENUE

**City**

LOS ANGELOS

**State**

CA

**Zip Code**

90028

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL SUPPORT GRANT

**Amount**

4,000

**Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount****Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount****Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount****Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount**

**Supplemental Information:**

**Part VIII: Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors.**

Wells Fargo Bank N.A  
100 N Main Street Mac 4001-117  
Winston-Salem, NC 27101-3818

The compensation reported in column (c) is calculated based on periodic market values and/or the applicable fee agreement. It is not determined solely on an hourly basis. Corporate Trustee services include, but are not limited to, administrative services such as fiduciary accounting, custody of assets, complying with tax filing requirements, complying with distribution provisions, and complying with federal and state laws applicable to private foundations, plus asset management services such as creating asset allocation strategies, investment reporting and reallocating and rebalancing of portfolios as necessary.

**Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory**

Long Term CG Distributions		Amount		Totals										Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss	
Short Term CG Distributions		276		Capital Gains/Losses Other sales										61,860		55,348		6,512	
		0												0		0			
	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss				
1	FID ADV EWER MKTS INC- CL	315920702	X				9/7/2013	1/20/2016	72	87					-15				
2	FID ADV EWER MKTS INC- CL	315920702	X				9/23/2013	1/20/2016	156	184					-28				
3	ISHARES S&P MID-CAP 400 C	464287608	X				3/13/2015	8/23/2016	178	167					11				
4	ISHARES S&P MID-CAP 400 C	464287608	X				7/22/2015	8/23/2016	356	344					12				
5	ISHARES S&P MID-CAP 400 C	464287608	X				7/22/2010	8/23/2016	178	81					97				
6	ISHARES S&P MID-CAP 400 V	464287705	X				3/13/2015	4/20/2016	127	129					-2				
7	ISHARES S&P MID-CAP 400 V	464287705	X				7/22/2015	4/20/2016	507	506					1				
8	ISHARES S&P MID-CAP 400 V	464287705	X				7/22/2015	8/23/2016	404	380					24				
9	ISHARES S&P MID-CAP 400 V	464287705	X				7/31/2014	8/23/2016	135	122					13				
10	ISHARES CORE S&P SMALL	464287804	X				7/22/2015	5/11/2016	1,582	1,650					-68				
11	ISHARES CORE S&P SMALL	464287804	X				2/7/2011	5/11/2016	3,163	1,979					1,184				
12	ASG GLOBAL ALTERNATIVES	638721885	X				7/17/2013	8/23/2016	1,638	1,928					-288				
13	NEUBERGER BERMAN LONG	64128R608	X				2/25/2015	8/23/2016	496	510					-14				
14	NEUBERGER BERMAN LONG	64128R608	X				8/4/2014	8/23/2016	989	991					-2				
15	BOSTON P LINGSHIRT RES-IN	74925K581	X				7/17/2013	8/23/2016	583	493					70				
16	SPDR DJ WILSHIRE INTERNA	78463X863	X				8/7/2009	1/20/2016	985	919					66				
17	SPDR DJ WILSHIRE INTERNA	78463X863	X				2/7/2011	1/20/2016	1,232	1,375					-143				
18	SPDR DJ WILSHIRE INTERNA	78463X863	X				1/22/2014	1/20/2016	106	123					-17				
19	SPDR DJ WILSHIRE INTERNA	78463X863	X				2/6/2009	1/20/2016	317	219					98				
20	SPDR DJ WILSHIRE INTERNA	78463X863	X				2/6/2009	4/20/2016	212	122					90				
21	SPDR DJ WILSHIRE INTERNA	78463X863	X				2/6/2009	8/23/2016	1,031	585					446				
22	SPDR DJ WILSHIRE INTERNA	78463X863	X				2/6/2009	10/3/2016	1,328	780					546				
23	AMEX MATERIALS SPDR	81369Y100	X				2/25/2015	4/20/2016	235	261					-26				
24	HEALTH CARE SELECT SECT	81369Y209	X				8/28/2015	4/20/2016	854	963					-9				
25	CONSUMER STAPLES SECT	81369Y308	X				2/25/2015	3/4/2016	466	448					18				
26	CONSUMER STAPLES SECT	81369Y308	X				2/25/2015	4/20/2016	264	249					15				
27	CONSUMER STAPLES SECT	81369Y308	X				8/28/2015	4/20/2016	106	95					11				
28	CONSUMER STAPLES SECT	81369Y308	X				7/22/2010	5/31/2016	949	483					466				
29	CONSUMER STAPLES SECT	81369Y308	X				8/23/2016	12/1/2016	150	165				15	0				
30	CONSUMER STAPLES SECT	81369Y308	X				11/16/2016	12/1/2016	1,303	1,322					-19				
31	AMEX CONSUMER DISCR SP	81369Y407	X				2/25/2015	4/20/2016	558	532					26				
32	AMEX ENERGY SELECT SPD	81369Y506	X				2/25/2015	4/20/2016	527	943					-116				
33	AMEX ENERGY SELECT SPD	81369Y506	X				2/25/2015	11/16/2016	1,214	1,368					-152				
34	AMEX ENERGY SELECT SPD	81369Y506	X				7/22/2015	11/16/2016	285	285					1				
35	AMEX ENERGY SELECT SPD	81369Y506	X				8/23/2016	11/16/2016	286	281					5				
36	FINANCIAL SELECT SECTOR	81369Y605	X				2/25/2015	4/20/2016	842	884					-42				
37	AMEX INDUSTRIAL SPDR	81369Y704	X				7/22/2015	3/4/2016	268	272					-4				
38	AMEX INDUSTRIAL SPDR	81369Y704	X				2/25/2015	4/20/2016	564	592					-18				
39	AMEX TECHNOLOGY SELECT	81369Y803	X				2/25/2015	4/20/2016	1,195	1,165					30				
40	AMEX TECHNOLOGY SELECT	81369Y903	X				8/23/2016	11/16/2016	188	189					-1				
41	REAL ESTATE SELECT SECT	81369Y960	X				9/22/2016	10/7/2016	24	24					0				
42	VANGUARD INTERMEDIATE	921937819	X				3/13/2015	1/20/2016	422	426					-4				
43	VANGUARD INTERMEDIATE	921937819	X				5/11/2016	8/23/2016	879	867					12				
44	VANGUARD SHORT TERM B	921937827	X				3/13/2015	1/20/2016	880	882					-2				
45	VANGUARD SHORT TERM B	921937827	X				3/13/2015	4/20/2016	3,460	3,447					13				
46	VANGUARD SHORT TERM B	921937827	X				5/11/2016	8/25/2016	2,422	2,423					0				
47	VANGUARD SHORT TERM B	921937827	X				3/13/2015	8/25/2016	1,615	1,603					12				
48	VANGUARD FTSE DEVELOP	921943858	X				11/10/2015	5/11/2016	290	300					-10				
49	FINANCIAL SELECT SECTOR	81369Y605	X				2/25/2015	5/31/2016	334	344					0				
50	AMEX INDUSTRIAL SPDR	81369Y704	X				3/13/2015	3/4/2016	107	112					-5				
51	VANGUARD FTSE DEVELOP	921943858	X				4/20/2016	5/11/2016	434	451					-17				
52	VANGUARD FTSE DEVELOP	921943858	X				2/7/2013	5/11/2016	1,883	1,878					5				
53	VANGUARD FTSE DEVELOP	921943858	X				8/15/2012	4/20/2016	6,574	6,319					255				
54	VANGUARD FTSE EMERGIN	922042858	X				7/22/2010	4/20/2016	106	124					-18				
55	VANGUARD FTSE EMERGIN	922042858	X				7/22/2010	8/23/2016	1,278	1,476					-198				
56	VANGUARD FTSE EMERGIN	922042858	X				7/15/2013	8/23/2016	2,654	2,869					-215				
57	VANGUARD FTSE EMERGIN	922042858	X				1/22/2014	8/23/2016	4,095	4,279					-184				
58	VANGUARD FTSE EMERGIN	922042858	X				10/21/2011	8/23/2016	720	1,024					-48				
59	VANGUARD FTSE EMERGIN	922042858	X				7/22/2015	1/20/2016	147	746					-26				
60	VANGUARD REIT VIPER	922908553	X				2/6/2009	1/20/2016	293	156					-9				
61	VANGUARD REIT VIPER	922908553	X				2/6/2009	8/23/2016	831	102					191				
62	VANGUARD REIT VIPER	922908553	X				2/6/2009	10/3/2016	2,943	831					2,112				
63	VANGUARD REIT VIPER	922908553	X				2/6/2009	10/3/2016	2,992	875					2,117				



Part I, Line 16b (990-PF) - Accounting Fees

		1,085	0	0	1,085
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	1,085			1,085

Part I, Line 18 (990-PF) - Taxes

		140	84	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	84	84		
2	ESTIMATED EXCISE PAYMENTS	56			

Part I, Line 23 (990-PF) - Other Expenses

		10	0	0	10
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	STATE AG FEES	10	0		10

**Part II, Line 13 (990-PF) - Investments - Other**

		185,114	187,826	223,946
Asset Description		Book Value Beg. of Year	Book Value End of Year	FMV End of Year
Basis of Valuation				
1			0	
2	ROBECO BP LNG/SHRT RES-INS		1,536	1,782
3	NEUBERGER BERMAN LONG SH-INS #183	0	4,100	4,132
4	CREDIT SUISSE COMM RT ST-CO 2156	0	6,505	4,883
5	T ROWE PRICE INST FLOAT RATE 170	0	4,144	4,140
6	BLACKROCK GL L/S CREDIT-INS #1833	0	8,450	8,346
7	VANGUARD BD INDEX FD INC	0	10,577	10,487
8	SPDR DJ WILSHIRE INTERNATIONAL REA	0	2,024	2,995
9	VANGUARD INTERMEDIATE TERM B	0	14,301	13,873
10	VANGUARD EUROPE PACIFIC ETF	0	15,727	15,968
11	CONSUMER STAPLES SECTOR SPDR TR	0	1,994	3,154
12	AMEX FINANCIAL SELECT SPDR	0	7,397	8,951
13	AMEX MATERIALS SPDR	0	1,351	1,690
14	AMEX TECHNOLOGY SELECT SPDR	0	9,440	13,928
15	AMEX ENERGY SELECT SPDR	0	1,765	2,410
16	MERGER FUND-INST #301	0	4,141	4,137
17	FID ADV EMER MKTS INC- CL I 607	0	13,487	13,537
18	T ROWE PRICE REAL ESTATE FD 122	0	4,082	4,373
19	ISHARES TR SMALLCAP 600 INDEX FD	0	9,026	15,677
20	ISHARES S&P MIDCAP 400 VALUE	0	6,163	11,472
21	EATON VANCE GLOBAL MACRO - I	0	7,053	6,991
22	ISHARES S&P MIDCAP 400 GROWTH	0	4,721	10,750
23	AMEX INDUSTRIAL SPDR	0	4,843	6,906
24	AMEX HEALTH CARE SPDR	0	7,855	9,721
25	AMEX CONSUMER DISCR SPDR	0	5,188	8,059
26	ASG GLOBAL ALTERNATIVES-Y 1993	0	4,727	4,251
27	REAL ESTATE SELECT SECT SPDR	0	1,811	1,722
28	VANGUARD REIT VIPER	0	1,025	3,301
29	AQR MANAGED FUTURES STR-I	0	6,939	6,341
30	VANGUARD EMERGING MARKETS ETF	0	6,081	8,695
31	VANGUARD INFLAT-PROT SECS-ADM 511	0	1,341	1,302
32	JPMORGAN HIGH YIELD FUND SS 3580	0	10,032	9,972

### Part III (990-PF) - Changes in Net Assets or Fund Balances

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#### Line 3 - Other increases not included in Part III, Line 2

1	Mutual Fund Timing Difference	1	46
2	Total	2	46

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#### Line 5 - Decreases not included in Part III, Line 2

1	Cost Basis Adjustment	1	41
2	Mutual Fund Timing Difference	2	118
3	PY Return of Capital Adjustment	3	103
4	Total	4	262

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## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions										Amount				
Short Term CG Distributions										276	0			
	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses
1	FID ADV EMER MKTS INC.-CL	315920702		9/27/2013	1/20/2016	72		0	87	-15	0	0	0	-15
2	FID ADV EMER MKTS INC.-CL	315920702		9/23/2013	1/20/2016	156		0	184	-28	0	0	0	-28
3	ISHARES S&P MID-CAP 400 C	464287606		3/13/2015	8/23/2016	178			167	11	0	0	0	11
4	ISHARES S&P MID-CAP 400 C	464287606		7/22/2015	8/23/2016	356		0	344	12	0	0	0	12
5	ISHARES S&P MID-CAP 400 C	464287606		7/22/2010	8/23/2016	178		0	81	97	0	0	0	97
6	ISHARES S&P MID-CAP 400 V	464287705		3/13/2015	4/20/2016	127		0	129	-2	0	0	0	-2
7	ISHARES S&P MID-CAP 400 V	464287705		7/22/2015	4/20/2016	507		0	506	1	0	0	0	1
8	ISHARES S&P MID-CAP 400 V	464287705		7/22/2015	8/23/2016	404		0	380	24	0	0	0	24
9	ISHARES S&P MID-CAP 400 V	464287705		7/31/2014	8/23/2016	135		0	122	13	0	0	0	13
10	ISHARES CORE S&P SMALL-	464287804		7/22/2015	5/11/2016	1,582		0	1,650	-68	0	0	0	-68
11	ISHARES CORE S&P SMALL-	464287804		2/7/2011	5/11/2016	3,163		0	1,979	1,184	0	0	0	1,184
12	ASS GLOBAL ALTERNATIVES	638727885		7/17/2013	8/23/2016	1,638		0	1,926	-288	0	0	0	-288
13	NEUBERGER BERMAN LONG	64128R608		2/25/2015	8/23/2016	496		0	510	-14	0	0	0	-14
14	NEUBERGER BERMAN LONG	64128R608		8/4/2014	8/23/2016	989		0	991	-2	0	0	0	-2
15	BOSTON P LINGSHRT RES-IN	74925K581		7/17/2013	8/23/2016	563		0	493	70	0	0	0	70
16	SPDR DJ WILSHIRE INTERNA	78463X863		8/7/2009	1/20/2016	985		0	919	66	0	0	0	66
17	SPDR DJ WILSHIRE INTERNA	78463X863		2/7/2011	1/20/2016	1,232		0	1,375	-143	0	0	0	-143
18	SPDR DJ WILSHIRE INTERNA	78463X863		1/22/2014	1/20/2016	106		0	123	-17	0	0	0	-17
19	SPDR DJ WILSHIRE INTERNA	78463X863		2/6/2009	1/20/2016	317		0	219	98	0	0	0	98
20	SPDR DJ WILSHIRE INTERNA	78463X863		2/6/2009	4/20/2016	212		0	122	90	0	0	0	90
21	SPDR DJ WILSHIRE INTERNA	78463X863		2/6/2009	8/23/2016	1,031		0	585	446	0	0	0	446
22	SPDR DJ WILSHIRE INTERNA	78463X863		2/6/2009	10/3/2016	1,326		0	780	546	0	0	0	546
23	AMEX MATERIALS SPDR	81369Y100		2/25/2015	4/20/2016	235		0	281	-26	0	0	0	-26
24	HEALTH CARE SELECT SECT	81369Y209		8/28/2015	4/20/2016	854		0	863	-9	0	0	0	-9
25	CONSUMER STAPLES SECT	81369Y308		2/25/2015	3/4/2016	466		0	448	18	0	0	0	18
26	CONSUMER STAPLES SECT	81369Y308		2/25/2015	4/20/2016	264		0	249	15	0	0	0	15
27	CONSUMER STAPLES SECT	81369Y308		8/28/2015	4/20/2016	106		0	95	11	0	0	0	11
28	CONSUMER STAPLES SECT	81369Y308		7/22/2010	5/31/2016	949		0	483	466	0	0	0	466
29	CONSUMER STAPLES SECT	81369Y308		8/23/2016	12/1/2016	150		15	165	0	0	0	0	0
30	CONSUMER STAPLES SECT	81369Y308		11/16/2016	12/1/2016	1,303		0	1,322	-19	0	0	0	-19
31	AMEX CONSUMER DISCR SP	81369Y407		2/25/2015	4/20/2016	558		0	532	26	0	0	0	26
32	AMEX ENERGY SELECT SPD	81369Y506		2/25/2015	4/20/2016	527		0	643	-116	0	0	0	-116
33	AMEX ENERGY SELECT SPD	81369Y506		2/25/2015	11/16/2016	1,214		0	1,366	-152	0	0	0	-152
34	AMEX ENERGY SELECT SPD	81369Y506		7/22/2015	11/16/2016	286		0	285	1	0	0	0	1
35	AMEX ENERGY SELECT SPD	81369Y506		8/23/2016	11/16/2016	286		0	281	5	0	0	0	5
36	FINANCIAL SELECT SECTOR	81369Y605		2/25/2015	4/20/2016	842		0	884	-42	0	0	0	-42
37	AMEX INDUSTRIAL SPDR	81369Y704		7/22/2015	3/4/2016	268		0	272	-4	0	0	0	-4
38	AMEX INDUSTRIAL SPDR	81369Y704		2/25/2015	4/20/2016	564		0	582	-18	0	0	0	-18
39	AMEX TECHNOLOGY SELEC	81369Y803		2/25/2015	4/20/2016	1,195		0	1,165	30	0	0	0	30
40	AMEX TECHNOLOGY SELEC	81369Y803		8/23/2016	11/16/2016	188		0	189	-1	0	0	0	-1
41	REAL ESTATE SELECT SECT	81369Y860		9/22/2016	10/7/2016	24		0	24	0	0	0	0	0
42	VANGUARD INTERMEDIATE	921937819		3/13/2015	1/20/2016	422		0	426	-4	0	0	0	-4
43	VANGUARD INTERMEDIATE	921937819		5/11/2016	8/23/2016	879		0	867	12	0	0	0	12
44	VANGUARD SHORT TERM B	921937827		3/13/2015	1/20/2016	880		0	882	-2	0	0	0	-2
45	VANGUARD SHORT TERM B	921937827		3/13/2015	4/20/2016	3,460		0	3,447	13	0	0	0	13
46	VANGUARD SHORT TERM B	921937827		5/11/2016	8/25/2016	2,422		0	2,423	-1	0	0	0	-1
47	VANGUARD SHORT TERM B	921937827		3/13/2015	8/25/2016	1,615		0	1,603	12	0	0	0	12
48	VANGUARD FTSE DEVELOP	921943658		11/10/2015	5/11/2016	290		0	300	-10	0	0	0	-10
49	FINANCIAL SELECT SECTOR	81369Y605		2/25/2015	5/31/2016	334		0	344	-10	0	0	0	-10
50	AMEX INDUSTRIAL SPDR	81369Y704		2/25/2015	3/4/2016	107		0	112	-5	0	0	0	-5
51	VANGUARD FTSE DEVELOP	921943658		4/20/2016	5/11/2016	434		0	451	-17	0	0	0	-17
52	VANGUARD FTSE DEVELOP	921943658		2/7/2013	8/23/2016	1,883		0	1,878	5	0	0	0	5
53	VANGUARD FTSE DEVELOP	922042858		2/7/2013	8/23/2016	6,574		0	6,319	255	0	0	0	255
54	VANGUARD FTSE EMERGING	922042858		8/15/2012	4/20/2016	106		0	124	-18	0	0	0	-18
55	VANGUARD FTSE EMERGING	922042858		7/22/2010	4/20/2016	1,278		0	1,476	-198	0	0	0	-198
56	VANGUARD FTSE EMERGING	922042858		7/22/2010	8/23/2016	2,654		0	2,869	-215	0	0	0	-215
57	VANGUARD FTSE EMERGING	922042858		7/15/2013	8/23/2016	4,095		0	4,279	-184	0	0	0	-184
58	VANGUARD FTSE EMERGING	922042858		12/22/2014	8/23/2016	1,024		0	1,072	-48	0	0	0	-48
59	VANGUARD FTSE EMERGING	922042858		10/21/2011	8/23/2016	720		0	746	-26	0	0	0	-26
60	VANGUARD REIT VIPER	922908553		7/22/2015	1/20/2016	147		0	156	-9	0	0	0	-9
61	VANGUARD REIT VIPER	922908553		2/6/2009	1/20/2016	293		0	102	191	0	0	0	191
62	VANGUARD REIT VIPER	922908553		2/6/2009	8/23/2016	2,943		0	831	2,112	0	0	0	2,112
63	VANGUARD REIT VIPER	922908553		2/6/2009	10/3/2016	2,992		0	875	2,117	0	0	0	2,117



**Part VI, Line 6a (990-PF) - Estimated Tax Payments**

	Date		Amount
1 Credit from prior year return		1	28
2 First quarter estimated tax payment	5/11/2016	2	56
3 Second quarter estimated tax payment		3	
4 Third quarter estimated tax payment		4	
5 Fourth quarter estimated tax payment		5	
6 Other payments		6	
7 Total		7	84



**Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income**

<b>1</b>	Distributable amounts for 2015 that remained undistributed at the beginning of the 2016 tax year	<b>1</b>	<u>2,270</u>
<b>2</b>		<b>2</b>	
<b>3</b>		<b>3</b>	
<b>4</b>		<b>4</b>	
<b>5</b>		<b>5</b>	
<b>6</b>		<b>6</b>	
<b>7</b>		<b>7</b>	
<b>8</b>		<b>8</b>	
<b>9</b>		<b>9</b>	
<b>10</b>	Total	<b>10</b>	<u>2,270</u>