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Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation
ALVIN H BAUM FAMILY FUND
co JOEL M FRIEDMAN

Number and street (or P O box number if mail is not delivered to street address)
500 W Madison St

City or town, state or province, country, and ZIP or foreign postal code
Chicago, IL 60661

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16)

\$ 51,780,268

J Accounting method

☒ Cash

☐ Accrual

☐ Other (specify)

(Part I, column (d) must be on cash basis)

A Employer identification number

36-6063093

B Telephone number (see instructions)

(312) 606-3209

C If exemption application is pending, check here

☐

D 1. Foreign organizations, check here

☐

2. Foreign organizations meeting the 85% test, check here and attach computation

☐

E If private foundation status was terminated under section 507(b)(1)(A), check here

☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

☐

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

Revenue

1 Contributions, gifts, grants, etc , received (attach schedule)

2 Check ☒ if the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

Operating and Administrative Expenses

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach schedule)

c Other professional fees (attach schedule)

17 Interest

18 Taxes (attach schedule) (see instructions)

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2016)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	99,954	181,802	181,802
	2 Savings and temporary cash investments	1,838,052	1,972,155	1,972,155
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	41,251,364	39,531,045	49,626,311
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)		1		
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	43,189,370	41,685,003	51,780,268	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule).			
	22 Other liabilities (describe ▶ _____)	1		
	23 Total liabilities (add lines 17 through 22)	1	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,121,731	1,121,731	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	42,686,796	42,067,639	
	29 Retained earnings, accumulated income, endowment, or other funds	-619,158	-1,504,367	
30 Total net assets or fund balances (see instructions)	43,189,369	41,685,003		
31 Total liabilities and net assets/fund balances (see instructions) .	43,189,370	41,685,003		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	43,189,369
2 Enter amount from Part I, line 27a	2	-1,504,367
3 Other increases not included in line 2 (itemize) ▶ _____	3	1
4 Add lines 1, 2, and 3	4	41,685,003
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	41,685,003

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	788,371
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-648,596

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	2,714,893	52,029,074	0 05218
2014	3,334,435	54,020,280	0 06173
2013	2,385,357	49,768,415	0 04793
2012	2,177,921	47,074,407	0 04627
2011	2,273,516	46,506,104	0 04889
2 Total of line 1, column (d)			0 256987
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0 051397
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			49,088,651
5 Multiply line 4 by line 3			2,523,009
6 Enter 1% of net investment income (1% of Part I, line 27b)			15,363
7 Add lines 5 and 6			2,538,372
8 Enter qualifying distributions from Part XII, line 4			3,028,934

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	15,363
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	15,363
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	15,363
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	19,457
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	19,457
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . ▶	10	4,094
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 4,094 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ <u>IL</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>alvinbaumfamilyfund.org</u>	13	Yes	
14	The books are in care of ▶ <u>JOEL M FRIEDMAN</u> Telephone no ▶ <u>(312) 606-3209</u>			

Located at **▶** 500 W MADISON ST 3700 CHICAGO IL ZIP+4 **▶** 60661

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐	15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ▶				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	☐ Yes ☒ No	5b		No
Organizations relying on a current notice regarding disaster assistance check here.	☐ Yes ☒ No			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☒ No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	☐ Yes ☒ No	6b		No
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	☐ Yes ☒ No	7b		No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOEL M FRIEDMAN 500 W MADISON STREET 3700 CHICAGO, IL 60661	President/Dir 0 00	0		
ROSS FRIEDMAN 500 W MADISON STREET 3700 CHICAGO, IL 60661	Vice Pres/Dir 0 00	0		
IRIS FRIEDMAN 500 W MADISON STREET 3700 CHICAGO, IL 60661	Secretary/Dir 0 00	0		
Kevin Friedman 500 W MADISON STREET 3700 CHICAGO, IL 60661	Director 0 00	0		
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	47,724,774
b	Average of monthly cash balances.	1b	2,111,420
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	49,836,194
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	49,836,194
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	747,543
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	49,088,651
6	Minimum investment return. Enter 5% of line 5.	6	2,454,433

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	2,454,433
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	15,363
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	15,363
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	2,439,070
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	2,439,070
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	2,439,070

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	3,028,934
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	3,028,934
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	15,363
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,013,571

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				2,439,070
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			1,150,974	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>3,028,934</u>				
a Applied to 2015, but not more than line 2a			1,150,974	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				1,877,960
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				561,110
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE ATTACHED LIST OF DONEES 500 W MADISON 3700 CHICAGO, IL 60661	None	501c3	TO FURTHER THE EXEMPT PURPOSES OF THE RECIPIENTS	2,495,519
Total			▶ 3a	2,495,519
b <i>Approved for future payment</i>				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	1,186,894	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	788,371	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). .				1,975,265	
13 Total. Add line 12, columns (b), (d), and (e).			13		1,975,265

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Print/Type preparer's name Joel M Friedman	Preparer's Signature	Date	Check if self-employed ☐	PTIN P01220594
Firm's name ▶ HORWOOD MARCUS & BERK CHTD				Firm's EIN ▶
Firm's address ▶ 500 W MADISON ST STE 3700 CHICAGO, IL 606614591				Phone no (312) 606-3200

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CAPITAL GAIN DIVIDENDS	P	2015-01-01	2015-12-01
SCHWAB(LT)- DETAILS AVAILABLE ON REQUEST	P	2015-01-01	2016-12-01
SCHWAB(ST)- DETAILS AVAILABLE ON REQUEST	P	2016-01-01	2016-12-01
SCHWAB(ST)- DETAILS AVAILABLE ON REQUEST	P	2016-01-01	2016-12-01
SCHWAB(LT)- DETAILS AVAILABLE ON REQUEST	P	2015-01-01	2016-12-01
SCHWAB(ST)- DETAILS AVAILABLE ON REQUEST	P	2016-01-01	2016-12-01
SCHWAB(LT)- DETAILS AVAILABLE ON REQUEST	P	2015-01-01	2016-12-01
PERSHING (ST)- DETAILS AVAIL ON REQUEST	P	2016-01-01	2016-12-01
PERSHING (LT)- DETAILS AVAIL ON REQUEST	P	2015-01-01	2016-12-01
BANK OF AMERICA(LT)- AVAILABLE UPON REQ	P	2015-01-01	2016-12-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
23,124			23,124
2,416,969		2,158,089	258,880
1,419,469		1,787,332	-367,863
993,655		1,000,410	-6,755
1,212,679		1,191,385	21,294
856,210		855,561	649
1,431,381		1,482,753	-51,372
602,796		873,866	-271,070
866,953		541,032	325,921
877,648			877,648

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			23,124
			258,880
			-367,863
			-6,755
			21,294
			649
			-51,372
			-271,070
			325,921
			877,648

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BANK OF AMERICA(ST)- AVAIL UPON REQUEST	P	2016-01-01	2016-12-01
US TRUST- ADDBACK OF UNALLOWED LT LOSSES	P	2015-01-01	2016-12-01
US TRUST- ADDBACK OF UNALLOWED ST LOSSES	P	2016-01-01	2016-12-01
SALE ON PARTNERSHIP UNITS	P	2016-01-01	2016-12-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
30,399			-30,399
4,596			4,596
4,060			4,060
342			-342

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-30,399
			4,596
			4,060
			-342

TY 2016 Accounting Fees Schedule**Name:** ALVIN H BAUM FAMILY FUND

co JOEL M FRIEDMAN

EIN: 36-6063093**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	15,450	7,725	0	7,725

TY 2016 Legal Fees Schedule

Name: ALVIN H BAUM FAMILY FUND
co JOEL M FRIEDMAN

EIN: 36-6063093

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	432,560	0	0	432,560

TY 2016 Other Assets Schedule

Name: ALVIN H BAUM FAMILY FUND
co JOEL M FRIEDMAN

EIN: 36-6063093

Software ID: 16000303

Software Version: 2016v3.0

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Rounding		1	

TY 2016 Other Expenses Schedule

Name: ALVIN H BAUM FAMILY FUND
co JOEL M FRIEDMAN

EIN: 36-6063093

Software ID: 16000303

Software Version: 2016v3.0

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADMINISTRATIVE FEES	5,514			5,514
BUSINESS MEALS	1,401			1,401
DUES	850			850
INSURANCE	750			750
MEETINGS	6,809			6,809
OFFICE	238			238
PARKING	66			66
STATE FILING FEES	25	25		
WEBSITE	839			

TY 2016 Other Increases Schedule

Name: ALVIN H BAUM FAMILY FUND
co JOEL M FRIEDMAN

EIN: 36-6063093

Software ID: 16000303

Software Version: 2016v3.0

Description	Amount
Rounding	1

TY 2016 Other Professional Fees Schedule

Name: ALVIN H BAUM FAMILY FUND
co JOEL M FRIEDMAN

EIN: 36-6063093

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT COUNSELING	310,040	310,040	0	0
INVESTMENT SUPERVISOR	120,000	120,000	0	0

TY 2016 Taxes Schedule

Name: ALVIN H BAUM FAMILY FUND
co JOEL M FRIEDMAN

EIN: 36-6063093

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX DEPOSIT	10,900			
FOREIGN TAXES	1,169	1,169		

Investments & Transactions in Securities
Year 2016

-ON HAND AT 1/1/2016-				--ACQUISITIONS--				---GAIN OR LOSS----				-ON HAND AT 12/31/2016-			
STOCKS	SECURITY	DATE ACQUIRED	DATE DISPOSED	SHARES	COST	SHARES	COST	ADJUSTMTS	SHARES	PROCEEDS	SHORT TERM	LONG TERM	SHARES	COST	
AMERICAN EXPRESS COMPANY	BLOCKAGE	3-29-16		3 300	238 584 39	1 800	126 884 52		5 100	306 102 46	(59 366 45)	0 00	0	0 00	
	BLOCKAGE	1-13-16		5 900	389 798 02	2 400	118 599 84		8 300	296 695 42	(211 702 44)	0 00	0	0 00	
	BLOCKAGE	BLOCKAGE		21 600	249 755 60				21 600	548 604 50	0 00	298 848 90	0	0 00	
	BLOCKAGE	2-18-16		15 000	309 485 76				3 600	106 520 31	0 00	29 810 13	11 400	232 775 58	
	BLOCKAGE	2-18-16		15 200	481 346 47				5 800	211 826 90	0 00	(2 737 72)	9 400	266 781 85	
TOTAL STOCKS				61,000	1,668,970 24	4,200	245,484 36	0 00	44,400	1,469,749 59	(271,068 89)	325,921 31	20,800	499,557 43	
				SUM LINE											499 557 43
BLOCKAGE	AMERICAN EXPRESS COMPANY	11-20-15	3-29-16	3 300	238 584 39				3 300	198 066 00	(40 518 39)	0 00	0	0 00	
		12-30-15	3-29-16			1 800	126 884 52		1 800	108 036 46	(18 848 06)	0 00	0	(0 00)	
				3 300	238 584 39	1 800	126 884 52	0 00	5 100	306 102 46	(59 366 45)	0 00	0	(0 00)	
		9-8-15	1-13-16	4 100	282 427 02				4 100	146 560 24	(135 866 78)	0 00	0	0 00	
		11-20-15	1-13-16	1 800	107 371 00				1 800	64 343 52	(43 027 48)	0 00	0	0 00	
ANDARKO PETTE CORP		12-30-15	1-13-16			2 400	118 599 84		2 400	85 791 66	(32 808 18)	0 00	0	0 00	
				5 900	389 798 02	2 400	118 599 84	0 00	8 300	296 695 42	(211 702 44)	0 00	0	0 00	
		2-25-10	6-23-16	900	10 942 92				900	21 877 65	0 00	10 934 73	0	0 00	
		8-5-11	6-23-16	12 600	144 612 64				2 000	48 617 00	0 00	25 662 80	10 600	121 658 44	
		7-25-16	6-23-16	8 100	94 200 04				10 600	281 210 34	0 00	159 551 90	(10 600)	(121 658 44)	
APPLIED MATERIALS INC		8-16-12	6-23-16						8 100	196 899 51	0 00	102 699 47	0	0 00	
				21 600	249 755 60	0	0 00	0 00	21 600	548 604 50	0 00	298 848 90	0	(0 00)	
		2-6-06		5 400	112 202 06					0 00	0 00	0 00	5 400	112 202 06	
		3-17-06		4 100	80 075 05					0 00	0 00	0 00	4 100	80 075 05	
		1-3-13	2-18-16	2 100	44 761 45				200	5 917 78	0 00	1 654 80	1 900	40 498 47	
INTEL CORP		1-9-13	2-18-16	3 400	72 447 20				3 400	100 602 53	0 00	28 155 33	0	0 00	
				15 000	309 485 76	0	0 00	0 00	3 600	106 520 31	0 00	29 810 13	11 400	232 775 58	
		8-24-11		6 700	175 403 27					0 00	0 00	0 00	6 700	175 403 27	
		9-16-11		300	8 749 82					0 00	0 00	0 00	300	8 749 82	
		1-3-13	2-18-16	2 600	89 514 48				200	7 304 36	0 00	418 64	2 400	82 628 76	
ORACLE CORP		1-9-13	2-18-16	1 300	44 948 80				1 300	47 478 34	0 00	2 529 54	0	0 00	
		3-8-13	2-18-16	1 300	46 703 80				1 300	47 478 34	0 00	774 54	0	0 00	
		3-18-14	2-18-16	3 000	116 026 30				3 000	109 565 86	0 00	(6 460 44)	0	0 00	
				15 200	481 346 47	0	0 00	0 00	5 800	211 826 90	0 00	(2 737 72)	9 400	266 781 85	
														SUM LINE	

INVESTMENTS & TRANSACTIONS IN SECURITIES
Year Ending December 31, 2016

Alvin H. Baum Family Fund
Charles Schwab Account #2549-7883

OTHER ASSETS															
SECURITY	ACQUIRED	DISPOSED	SHARES	COST	SPREADS	COST	SPREADS	INVEST	TRANSFER	SPREADS	PROCEEDS	IDENT	IDENT	SPREADS	COST
CHARLES SCHWAB 6% PREFERRED SPDR BARCLAY HIGH YIELD BOND ETF	2-25-15	4-11-16	1700	44,914.00		2,565	87,879.86			1,700	45,182.86	0.00	268.86	0	(0.00)
	BLOCKAGE					2,565	87,879.86			2,565	91,894.17	4,014.31	0.00	0	0.00
TOTAL OTHER ASSETS			1,700	44,914.00		2,565	87,879.86	0.00	0.00	4,265	137,077.03	4,014.31	268.86	0	(0.00)
TOTAL SECURITIES			1,481,812,700	2,747,918.44		904,565	745,638.03	1,428.74	0.00	2,136,265	2,206,334.23	(6,755.26)	21,293.79	1,480,581,000	1,303,189.51
BLOCKAGE															
U.S. TREASURY NOTE 1.5% 5-31-20	8-6-15	11-8-16	87,000	86,612.58						87,000	88,152.07	0.00	1,539.49	0	(0.00)
	10-14-15	11-8-16	76,000	76,961.88						76,000	77,006.41	0.00	44.53	0	0.00
			163,000	163,574.46	0	0.00	0.00	0.00	0.00	163,000	165,159.48	0.00	1,594.02	0	(0.00)
U.S. TREASURY NOTE 2.125% 9-30-21	10-17-14	5-17-16	76,000	77,660.65						5,000	5,202.00	0.00	93.00	71,000	72,551.65
	8-9-16	5-17-16								33,000	34,529.76	0.00	807.06	(33,000)	(33,722.70)
1-28-15			80,000	82,763.71						80,000	83,234.52	0.00	470.81	0	0.00
8-21-15			70,000	71,788.28								0.00	0.00	70,000	71,788.28
3-30-16					64,000	66,424.96						0.00	0.00	64,000	66,424.96
7-27-16	8-9-16				11,000	11,530.66				11,000	11,510.40	(20.26)	0.00	0	0.00
			226,000	232,212.84	75,000	77,955.62	0.00	0.00	0.00	129,000	134,476.88	(20.26)	1,370.87	172,000	177,042.19
U.S. TREASURY NOTE 2.375% 8-15-24	9-4-14	5-12-16	4,000	3,973.10						4,000	4,222.80	0.00	249.70	0	(0.00)
	8-20-15	5-12-16	85,000	87,294.34						38,000	40,118.41	1,086.21	0.00	47,000	48,272.14
9-1-15			47,000	47,963.87								0.00	0.00	47,000	47,963.87
9-18-15			42,000	42,981.09								0.00	0.00	42,000	42,981.09
11-5-15			59,000	59,843.52								0.00	0.00	59,000	59,843.52
9-21-16	11-18-16				44,000	46,571.23				43,000	43,567.77	(1,943.43)	0.00	1,000	1,060.03
11-21-16	12-6-16				87,000	88,107.89				44,000	44,314.53	(244.27)	0.00	43,000	43,549.09
			237,000	242,055.92	131,000	134,679.12	0.00	0.00	0.00	129,000	132,223.51	(1,081.49)	249.70	239,000	243,669.74
U.S. TREASURY NOTE 3.125% 8-15-44	9-19-14	3-21-16	16,000	15,387.49						6,000	6,520.08	0.00	749.77	10,000	9,617.18
	5-18-16	3-21-16								10,000	10,987.00	0.00	1,369.82	(10,000)	(9,617.18)
10-1-14			41,000	40,896.34						41,000	44,553.87	0.00	3,657.53	0	0.00
9-18-15			35,000	36,188.09								0.00	0.00	35,000	36,188.09
9-24-15			24,000	25,095.94								0.00	0.00	24,000	25,095.94
4-25-16	5-18-16				53,000	57,453.24				50,000	54,938.00	739.00	0.00	3,000	3,253.24
12-16-16	12-16-16				3,000	3,029.10	(224.14)			3,000	3,029.10	(224.14)	0.00	(3,000)	(3,253.24)
11-28-16					24,000	24,665.62				24,000	24,232.80	(432.82)	0.00	0	0.00
12-6-16	12-16-16				43,000	43,589.85				18,000	17,165.05	(1,085.15)	0.00	25,000	25,349.45
			116,000	117,567.86	120,000	125,718.51	0.00	0.00	0.00	152,000	161,425.90	(1,004.11)	5,777.12	84,000	86,633.48
CSMC MB 5.5% 3-25-37	5-5-15		239,000	16,761.57							3,636.88	0.00	0.00	239,000	13,124.69
	5-7-15		239,000	16,740.76							3,636.87	0.00	0.00	238,000	13,103.89
			478,000	33,502.33	0	0.00	0.00	0.00	0.00	0	7,273.75	0.00	0.00	476,000	26,228.58
FRONTIER COMM CO 6.25% 9-15-21	3-8-16					40,000	36,850.00				1,543.99	0.00	0.00	40,000	36,306.01
	5-4-16					15,000	14,137.50				1,543.98	0.00	0.00	15,000	12,593.52
			0	0.00	55,000	50,987.50	0.00	0.00	0.00	0	3,087.97	0.00	0.00	55,000	47,899.53
RESIDENTIAL FDG 5.2% 3-25-34	4-25-14	1-25-16	47,000	47,177.40						1,000	1,000.00	0.00	(3.70)	46,000	46,173.70
	4-25-16	4-25-16								1,000	1,000.00	0.00	(3.78)	(1,000)	(1,003.78)
7-25-16										3,000	3,000.00	0.00	(11.10)	(3,000)	(3,011.10)
8-25-16										42,000	42,000.00	0.00	(158.82)	(42,000)	(42,158.82)
			47,000	47,177.40	0	0.00	0.00	0.00	0.00	47,000	47,000.00	0.00	(177.40)	0	0.00
SPDR BARCLAY HIGH YIELD BOND ETF	3-17-16	8-2-16			1,270	43,640.31				1,270	45,254.29	1,613.98	0.00	0	(0.00)
	3-30-16	8-2-16			1,265	44,239.55				26	926.49	38.28	0.00	1,269	43,351.34
		9-14-16	0	0.00	2,565	87,879.86	0.00	0.00	0.00	1,269	45,713.39	2,362.05	0.00	(1,269)	(43,351.34)
										2,565	91,894.17	4,014.31	0.00	0	0.00
SPRINT CORPORATION 6% 12-1-16	6-2-16	12-1-16			70,000	70,962.50				70,000	70,000.00	(962.50)	0.00	0	0.00
	6-28-16	12-1-16			13,000	13,090.74				13,000	13,000.00	(90.74)	0.00	0	(0.00)
			0	0.00	83,000	84,053.24	0.00	0.00	0.00	83,000	83,000.00	(1,053.24)	0.00	0	(0.00)


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 From: **01-01-2016 to 12-31-2016**

Posting Date	Asset Description	Transaction Description	Income Amount	Principal Amount	Realized Capital Gain/(Loss)	Term
SALES						
PROCEEDS FROM SALES						
01-04-2016	ACUITY BRANDS INC	12/29 SALE 34 00 SHR @ 234 940200		7,987.82	859 13	S
01-04-2016	HOME DEPOT INC	12/29 SALE 6 00 SHR @ 134 030000		804.16	364 14	L
01-04-2016	SERVICENOW INC	12/29 SALE 64 00 SHR @ 87 7804000		5,617 84	513 88	S
01-05-2016	FORTINET INC	12/30 SALE 36 00 SHR @ 31 6521000		1,139 45	214 36	L
01-05-2016	HOME DEPOT INC	12/30 SALE 18 00 SHR @ 133 702500		2,406 60	1,086 53	L
01-05-2016	VERIZON COMMUNICATIONS INC	12/30 SALE 171 00 SHR @ 46 7279000		7,990 32	(482 39)	S
01-06-2016	FORTINET INC	12/31 SALE 54 00 SHR @ 31 3602000		1,693 42	305 79	L
01-06-2016	VERIZON COMMUNICATIONS INC	12/31 SALE 160 00 SHR @ 46 3262000		7,412 06	(421 09)	S
01-07-2016	FEDEX CORP	01/04 SALE 67 00 SHR @ 144 043800		9,650 76	467 32	L
01-07-2016	HOME DEPOT INC	01/04 SALE 3 00 SHR @ 129 700100		389 09	169 08	L
01-07-2016	MICROSOFT CORP	01/04 SALE 8 00 SHR @ 53 7601000		430 07	63 10	S
01-07-2016	RAYTHEON CO	01/04 SALE 32 00 SHR @ 121 979800		3,903 28	556 84	S
01-07-2016	SYSCO CORP	01/04 SALE 38 00 SHR @ 40 4001000		1,535 17	2 92	L
01-08-2016	FEDEX CORP	01/05 SALE 58 00 SHR @ 143 835500		8,342 31	2,012 77	L
01-08-2016	FORTINET INC	01/05 SALE 60 00 SHR @ 29 9331000		1,795 95	254 14	L
01-11-2016	FEDEX CORP	01/06 SALE 22 00 SHR @ 142 751500		3,140 48	739 62	L
01-11-2016	FORTINET INC	01/06 SALE 57 00 SHR @ 29 1759000		1,663 00	198 29	L
01-11-2016	TRAVELERS COS INC	01/06 SALE 8 00 SHR @ 109 590000		876 70	230 20	L
01-13-2016	ISHARES MSCI SOUTH KOREA CAPPED	01/08 SALE 3,800 00 SHR @ 47 0012000		178,506 27	(36,482 91)	S
01-13-2016	NETAPP INC	01/08 SALE 183 00 SHR @ 23 1400000		4,234 54	(3,120 50)	L
01-13-2016	VANGUARD FTSE EMERGING MKTS ETF	01/08 SALE 4,330 00 SHR @ 30 2555000		130,895 65	(65,378 92)	L
01-21-2016	NETAPP INC			4,321 12	(2,310 55)	L

		01/15 SALE 195 00 SHR @ 22 1600000			
01-22-2016	ALLERGAN PLC	01/19 SALE 58 00 SHR @ 294 869400	17,102 11	5,637 14	L
01-22-2016	ARCHER DANIELS MIDLAND CO	01/19 SALE 231 00 SHR @ 30 6923000	7,089 79	(5,014 62)	S
01-22-2016	CF INDS HLDGS INC	01/19 SALE 84 00 SHR @ 30 3638000	2,550 51	(2,164 00)	S
01-25-2016	ARCHER DANIELS MIDLAND CO	01/20 SALE 108.00 SHR @ 30 2366000	3,265 49	(2,309 18)	S
01-25-2016	CF INDS HLDGS INC	01/20 SALE 117 00 SHR @ 26 6573000	3,118 85	(2,507 67)	S
01-25-2016	CF INDS HLDGS INC	01/20 SALE 101 00 SHR @ 27 7864000	2,806 37	(2,528 23)	S
01-27-2016	SYSCO CORP	01/22 SALE 31 00 SHR @ 39 5000000	1,224 47	(18 81)	L
01-29-2016	ISHARES RUSSELL MID-CAP GROWTH	01/26 SALE 1,205 00 SHR @ 83 3170000	100,365 00	8,327 10	L
02-01-2016	JOHNSON & JOHNSON	01/27 SALE 14 00 SHR @ 102 070100	1,428 95	62 23	S
02-02-2016	ADOBE SYS INC	01/28 SALE 66 00 SHR @ 84 5950000	5,583 17	197 55	S
02-02-2016	ALEXION PHARMACEUTICALS INC	01/28 SALE 11 00 SHR @ 145 728400	1,602 98	(197 62)	S
02-02-2016	AMERIPRISE FINL INC	01/28 SALE 96 00 SHR @ 87 1897000	8,370 06	(65 97)	L
02-02-2016	BRISTOL MYERS SQUIBB CO	01/28 SALE 145 00 SHR @ 61 0806000	8,856 52	(371 22)	S
02-02-2016	CVS HEALTH CORP	01/28 SALE 100 00 SHR @ 93 5202000	9,351 85	(1,657 31)	S
02-02-2016	FACEBOOK INC	01/28 SALE 157 00 SHR @ 109.001800	17,112 97	7,696 72	L
02-02-2016	LINKEDIN CORP	01/28 SALE 27 00 SHR @ 191 221400	5,162 88	210 15	S
02-02-2016	PRICELINE GROUP INC	01/28 SALE 3 00 SHR @ 1041 90540	3,125 66	1,153 82	L
02-02-2016	REGENERON PHARMACEUTICALS	01/28 SALE 28 00 SHR @ 409 312400	11,460 54	3,958 50	L
02-02-2016	TEREX CORP NEW	01/28 SALE 2 00 SHR @ 22 0919000	44 18	(15 02)	L
02-02-2016	VISA INC	01/28 SALE 93 00 SHR @ 69 5387000	6,466 98	1,821 39	L
02-03-2016	AMERIPRISE FINL INC	01/29 SALE 10 00 SHR @ 88 5744000	885 73	6 98	L
02-03-2016	FACEBOOK INC	01/29 SALE 53 00 SHR @ 111 611700	5,915 31	4,697 70	L
02-04-2016	MICROSOFT CORP	02/01 SALE 47 00 SHR @ 54 9901000	2,584 48	438 45	S
02-05-2016	ALEXION PHARMACEUTICALS INC	02/02 SALE 47 00 SHR @ 139 098700	6,537 52	3,457 92	L
02-10-2016	EXPRESS SCRIPTS HLDG CO	02/05 SALE 79 00 SHR @ 68 2843000	5,394 36	(1,448 91)	S
02-17-2016	SKYWORKS SOLUTIONS INC	02/11 SALE 265 00 SHR @ 56 0260000	14,846 57	(8,416 28)	S
02-18-2016	SKYWORKS SOLUTIONS INC	02/12 SALE 314 00 SHR @ 56 8668000	17,855 79	(7,537 04)	S
02-19-2016	JOHN HANCOCK FDS III DISCIPLINED	PROCEEDS REDEMPTION 290 023 UNITS	5,000 00	1,049 89	L
02-23-2016	ISHARES RUSSELL MID-CAP GROWTH	02/18 SALE 60 00 SHR @ 83 9700000	5,036 89	454 09	L
02-25-2016	ADOBE SYS INC	02/22 SALE 53 00 SHR @ 83 5202000	4,426 47	101 65	S
02-25-2016	AMAZON COM INC	02/22 SALE 12 00 SHR @ 557 761500	6,692 99	5,165 12	L

02-25-2016	CVS HEALTH CORP	02/22 SALE 43 00 SHR @ 97 3697000	4,186 81	(267 15)	S
02-25-2016	FACEBOOK INC	02/22 SALE 25 00 SHR @ 106 522100	2,662 99	2,088 64	L
02-25-2016	GENERAL ELEC CO	02/22 SALE 51 00 SHR @ 29 3100000	1,494 77	219 10	S
02-25-2016	NIKE INC	02/22 SALE 109 00 SHR @ 60 1590000	6,557 19	1,284 68	L
02-25-2016	VISA INC	02/22 SALE 71 00 SHR @ 72 9085000	5,176 39	2,324 10	L
02-29-2016	DANA INC	02/24 SALE 225 00 SHR @ 11 4301000	2,571 71	(2,385 11)	L
03-01-2016	AMC NETWORKS INC	02/25 SALE 50 00 SHR @ 66 2504000	3,312 45	(337 74)	L
03-02-2016	AMC NETWORKS INC	02/26 SALE 20 00 SHR @ 66 1524000	1,323 02	(137 05)	L
03-02-2016	HOME DEPOT INC	02/26 SALE 7 00 SHR @ 126 470100	885 27	371 91	L
03-03-2016	AMC NETWORKS INC	02/29 SALE 32 00 SHR @ 65 9176000	2,109.32	(83 67)	L
03-03-2016	AMC NETWORKS INC	02/29 SALE 4 00 SHR @ 66 0723000	264 28	(27 74)	L
03-04-2016	AMC NETWORKS INC	03/01 SALE 30 00 SHR @ 65 2157000	1,956 43	96 60	L
03-07-2016	AMC NETWORKS INC	03/02 SALE 42 00 SHR @ 63 9240000	2,684 75	81 00	L
03-09-2016	CERNER CORP	03/04 SALE 53 00 SHR @ 51 7422000	2,742 28	(792 55)	S
03-10-2016	GENERAL ELEC CO	03/07 SALE 69 00 SHR @ 30 3601000	2,094 80	384 29	S
03-11-2016	EXPRESS SCRIPTS HLDG CO	03/08 SALE 85 00 SHR @ 69 3572000	5,895 23	(1,426 02)	S
03-11-2016	EXPRESS SCRIPTS HLDG CO	03/08 SALE 89 00 SHR @ 68 7696000	6,120 36	(1,545 74)	S
03-11-2016	FITBIT INC	03/08 SALE 622 00 SHR @ 13 1477000	8,177 69	(18,862 17)	S
03-14-2016	FITBIT INC	03/09 SALE 922 00 SHR @ 12 8480000	11,845 60	(17,941 90)	S
03-14-2016	ISHARES RUSSELL MID-CAP GROWTH	03/09 SALE 1,135 00 SHR @ 88 5324000	100,459 37	13,768 07	L
03-14-2016	ISHARES RUSSELL 2000 ETF	03/09 SALE 235 00 SHR @ 106 832800	25,100 46	4,339 38	L
03-16-2016	ADOBE SYS INC	03/11 SALE 72 00 SHR @ 85 7515000	6,173 97	2,803 78	L
03-16-2016	ADT CORP	03/11 SALE 36 00 SHR @ 41 2401000	1,484 60	54 14	L
03-16-2016	AMERIPRISE FINL INC	03/11 SALE 24 00 SHR @ 90 6925000	2,176 57	(737 80)	S
03-16-2016	HOME DEPOT INC	03/11 SALE 10 00 SHR @ 128 229500	1,282 27	548 89	L
03-16-2016	PEPSICO INC	03/11 SALE 7 00 SHR @ 100 810100	705 65	25 67	L
03-16-2016	STATE STR CORP	03/11 SALE 28 00 SHR @ 57 0701000	1,597 92	(395 33)	S
03-17-2016	ADOBE SYS INC	03/14 SALE 66 00 SHR @ 86 5534000	5,712 40	2,623 07	L
03-17-2016	STATE STR CORP	03/14 SALE 24 00 SHR @ 57 8601000	1,388 60	(273 28)	L
03-17-2016	TRAVELERS COS INC	03/14 SALE 10 00 SHR @ 112 492500	1,124 90	316 77	L
03-18-2016	ADOBE SYS INC	03/15 SALE 66 00 SHR @ 86 7607000	5,726 08	2,636 74	L
03-18-2016	BRISTOL MYERS SQUIBB CO		3,820 13	(305 32)	S

		03/15 SALE 60 00 SHR @ 63 6702000			
03-18-2016	HOME DEPOT INC	03/15 SALE 9 00 SHR @ 129 670000	1,167 00	506 96	L
03-21-2016	ALEXION PHARMACEUTICALS INC	03/16 SALE 18 00 SHR @ 131 035700	2,358 59	1,615 18	L
03-21-2016	CARDINAL HEALTH INC	03/16 SALE 51 00 SHR @ 81 2748000	4,144 92	15 68	S
03-21-2016	ILLUMINA INC	03/16 SALE 26 00 SHR @ 148 967700	3,873 08	1,828 59	L
03-22-2016	ALEXION PHARMACEUTICALS INC	03/17 SALE 19 00 SHR @ 128 244500	2,436 59	1,651 88	L
03-22-2016	ILLUMINA INC	03/17 SALE 22 00 SHR @ 147 612600	3,247 41	1,517 45	L
03-23-2016	ALEXION PHARMACEUTICALS INC	03/18 SALE 21 00 SHR @ 127 937000	2,686 62	1,819 31	L
03-23-2016	AMERIPRISE FINL INC	03/18 SALE 14 00 SHR @ 94 8200000	1,327 45	(195 19)	S
03-23-2016	ILLUMINA INC	03/18 SALE 3 00 SHR @ 148 545900	445 63	209 73	L
03-23-2016	ILLUMINA INC	03/18 SALE 27 00 SHR @ 148 543800	4,010 60	1,887 47	L
03-29-2016	CERNER CORP	03/23 SALE 52 00 SHR @ 52 7623000	2,743 58	(724 55)	S
03-30-2016	CERNER CORP	03/24 SALE 28 00 SHR @ 51 3660000	1,438 22	(429 23)	S
03-30-2016	TRAVELERS COS INC	03/24 SALE 7 00 SHR @ 115 479600	808 34	242 65	L
03-30-2016	UNITEDHEALTH GROUP INC	03/24 SALE 22 00 SHR @ 127 503300	2,805 01	86 58	S
04-01-2016	BRISTOL MYERS SQUIBB CO	03/29 SALE 44 00 SHR @ 63 9971000	2,815 81	(5 02)	L
04-01-2016	CERNER CORP	03/29 SALE 56 00 SHR @ 52 0250000	2,913 34	(821 57)	S
04-01-2016	COMCAST CORP	03/29 SALE 115 00 SHR @ 59 9808000	6,897 64	1,139 12	L
04-01-2016	LILLY ELI & CO	03/29 SALE 39 00 SHR @ 71 7858000	2,799 59	(473 26)	S
04-05-2016	ADT CORP	03/31 SALE 279 00 SHR @ 41 2901000	11,519 68	1,142 54	L
04-05-2016	JOHNSON & JOHNSON	03/31 SALE 5 00 SHR @ 108 260100	541 28	80 24	S
04-05-2016	MCDONALDS CORP	03/31 SALE 27 00 SHR @ 126 446500	3,413 98	711 68	S
04-05-2016	NIKE INC	03/31 SALE 47 00 SHR @ 61 8088000	2,904 95	1,365 80	L
04-05-2016	TJX COS INC NEW	03/31 SALE 40 00 SHR @ 78 5259000	3,140 97	274 08	S
04-05-2016	ULTA SALON COSMETICS & FRAGRANCE	03/31 SALE 15 00 SHR @ 193 284800	2,899 21	495 49	S
04-06-2016	BIOGEN IDEC INC	04/01 SALE 39 00 SHR @ 260 292900	10,151 20	2,916 62	L
04-06-2016	BIOGEN IDEC INC	04/01 SALE 39 00 SHR @ 260 730300	10,168 26	6,517 76	L
04-07-2016	ADT CORP	04/04 SALE 282 00 SHR @ 41 3050000	11,647 75	2,834 73	L
04-07-2016	TESLA MTRS INC	04/04 SALE 205 00 SHR @ 246 843600	50,601 83	19,433 00	L
04-08-2016	GENERAL ELEC CO	04/05 SALE 16 00 SHR @ 31 0600000	496 94	100 30	S
04-12-2016	ALLSTATE CORP	04/07 SALE 98 00 SHR @ 66 2395000	6,488 39	1,727 21	L
04-12-2016	APPLE INC	04/07 SALE 69 00 SHR @ 108 429600	7,479 41	(666 74)	L

04-12-2016	AT&T INC	04/07 SALE 127 00 SHR @ 38 5598000	4,893 18	370 84	L
04-12-2016	AVON PRODS INC	04/07 SALE 136 00 SHR @ 4 59000000	620 15	(802 06)	L
04-12-2016	BALL CORP	04/07 SALE 90 00 SHR @ 70 7208000	6,362 03	(186 37)	S
04-12-2016	CIGNA CORP	04/07 SALE 18 00 SHR @ 131 840900	2,372 54	430 43	L
04-12-2016	CITIGROUP INC	04/07 SALE 152 00 SHR @ 40 1716000	6,101 39	(2,307 23)	S
04-12-2016	CSX CORP	04/07 SALE 57 00 SHR @ 24 5801000	1,399 32	(34 71)	L
04-12-2016	CVS HEALTH CORP	04/07 SALE 81 00 SHR @ 100 840300	8,165 46	(410 19)	S
04-12-2016	EASTMAN CHEM CO	04/07 SALE 119 00 SHR @ 71 1177000	8,459 85	(137 89)	L
04-12-2016	ECOLAB INC	04/07 SALE 17 00 SHR @ 110 578000	1,879 36	(112 98)	S
04-12-2016	EMC CORP	04/07 SALE 145 00 SHR @ 26 0209000	3,768 60	195 15	L
04-12-2016	HERSHEY CO	04/07 SALE 107 00 SHR @ 90 9627000	9,729 59	(823 01)	L
04-12-2016	INTERNATIONAL PAPER CO	04/07 SALE 310 00 SHR @ 39 5578000	12,253 35	(1,447 11)	L
04-12-2016	J P MORGAN CHASE & CO	04/07 SALE 169 00 SHR @ 57 2318000	9,666 89	(1,624 91)	S
04-12-2016	JOHNSON & JOHNSON	04/07 SALE 6 00 SHR @ 109 010100	654 04	100 79	S
04-12-2016	KIMBERLY CLARK CORP	04/07 SALE 64 00 SHR @ 136 598500	8,740 19	1,071 01	S
04-12-2016	LILLY ELI & CO	SALE 39 00 SHR DUE TO WASH SALE	2,799 59		
04-12-2016	MEDTRONIC PLC	04/07 SALE 126 00 SHR @ 76 0505000	9,578 37	(15 42)	S
04-12-2016	NEXTERA ENERGY INC	04/07 SALE 199 00 SHR @ 116 156700	23,108 71	2,331 28	S
04-12-2016	PPG INDS INC	04/07 SALE 57 00 SHR @ 110 485000	6,296 08	1,171 37	L
04-12-2016	PROCTER & GAMBLE CO	04/07 SALE 226 00 SHR @ 83 1211000	18,778 18	1,153 57	L
04-12-2016	QUALCOMM INC	04/07 SALE 191 00 SHR @ 50 0474000	9,553 11	(2,837 60)	L
04-12-2016	ST JUDE MED INC	04/07 SALE 137 00 SHR @ 55 2211000	7,561 02	(985 49)	L
04-12-2016	STATE STR CORP	SALE 24 00 SHR DUE TO WASH SALE	1,388 60		
04-12-2016	STATE STR CORP	SALE 28 00 SHR DUE TO WASH SALE	1,597 92		
04-12-2016	STRYKER CORP	04/07 SALE 38 00 SHR @ 107 856500	4,097 32	367 15	S
04-12-2016	TENET HEALTHCARE CORP	04/07 SALE 49 00 SHR @ 28 5001000	1,395 00	(869 26)	L
04-12-2016	TJX COS INC NEW	04/07 SALE 1 00 SHR @ 76.0861000	76 05	4 67	S
04-12-2016	UNION PAC CORP	04/07 SALE 71 00 SHR @ 77 9842000	5,534 98	(1,553 71)	L
04-12-2016	UNITED PARCEL SVC INC	04/07 SALE 117 00 SHR @ 103 806300	12,141 56	1,651 78	L
04-12-2016	UNITEDHEALTH GROUP INC	04/07 SALE 16 00 SHR @ 126 790100	2,028 12	7 07	S
04-12-2016	VERIZON COMMUNICATIONS INC	04/07 SALE 177 00 SHR @ 51 9214000	9,184 58	211 76	L
04-12-2016	WELLS FARGO & CO		5,902 42	(1,104 92)	S

		04/07 SALE 126 00 SHR @ 46 8756000			
04-12-2016	WESTROCK CO	04/07 SALE 109 00 SHR @ 36.9722000	4,026 61	(2,831 68)	S
04-12-2016	WHIRLPOOL CORP	04/07 SALE 24 00 SHR @ 180 258500	4,325 39	732 90	L
04-12-2016	3M CO	04/07 SALE 70 00 SHR @ 166 973800	11,686 16	2,915 13	L
04-14-2016	GAP INC DEL	04/11 SALE 43 00 SHR @ 24 4225000	1,050 14	(709 50)	L
04-14-2016	NIKE INC	04/11 SALE 161 00 SHR @ 58 2062000	9,370 99	4,102 55	L
04-15-2016	CIGNA CORP	04/12 SALE 4 00 SHR @ 132 700100	530 78	(33 56)	S
04-15-2016	NIKE INC	04/12 SALE 162 00 SHR @ 57 2834000	9,279 71	3,978 54	L
04-18-2016	MICROSOFT CORP	04/13 SALE 7 00 SHR @ 55 0101000	385 06	66 00	S
04-19-2016	CISCO SYS INC	04/14 SALE 171 00 SHR @ 28 1743000	4,817 70		
04-19-2016	FACEBOOK INC	04/14 SALE 76 00 SHR @ 110 745100	8,416 44	3,291 80	L
04-25-2016	HERSHEY CO	04/20 SALE 6 00 SHR @ 92 1500000	552 74	21 41	L
04-25-2016	HERSHEY CO	04/20 SALE 91 00 SHR @ 91 6796000	8,339 93	281 40	L
04-25-2016	JOHNSON & JOHNSON	04/20 SALE 14 00 SHR @ 113 652500	1,591 10	373 83	L
04-26-2016	APPLE INC	04/21 SALE 153 00 SHR @ 105 852400	16,195 06	3,205 81	L
04-27-2016	AMERICAN ELEC PWR INC	04/22 SALE 18 00 SHR @ 62 7101000	1,128 75	197 96	L
04-27-2016	BRISTOL MYERS SQUIBB CO	SALE 16 00 SHR DUE TO WASH SALE	1,023 93		
04-27-2016	SYSCO CORP	04/22 SALE 11 00 SHR @ 45 3201000	498 50	57 94	L
04-27-2016	TRAVELERS COS INC	04/22 SALE 14 00 SHR @ 110 633400	1,548 83	417 45	L
05-02-2016	APPLE INC	04/27 SALE 157 00 SHR @ 96 9393000	15,219 14	1,890 30	L
05-02-2016	APPLE INC	04/27 SALE 32 00 SHR @ 98 0606000	3,137 87	421 16	L
05-02-2016	APPLE INC	04/27 SALE 81 00 SHR @ 97 6389000	7,908 58	1,031 92	L
05-02-2016	J P MORGAN CHASE & CO	04/27 SALE 19 00 SHR @ 63 8725000	1,213 55	(111 64)	S
05-02-2016	PEPSICO INC	04/27 SALE 17 00 SHR @ 102 639700	1,744 84	364 69	L
05-03-2016	APPLE INC	04/28 SALE 74 00 SHR @ 96 8064000	7,163 52	881 14	L
05-03-2016	PEPSICO INC	04/28 SALE 68 00 SHR @ 102 704800	6,983 77	1,595 85	L
05-03-2016	PEPSICO INC	04/28 SALE 71 00 SHR @ 102 967500	7,310 53	1,706 03	L
05-03-2016	PEPSICO INC	04/28 SALE 37 00 SHR @ 102 995600	3,810 75	890 10	L
05-04-2016	APPLE INC	04/29 SALE 80 00 SHR @ 93 7220000	7,497 60	705 84	L
05-05-2016	COMCAST CORP	05/02 SALE 42 00 SHR @ 61 3301000	2,575 80	9 03	S
05-06-2016	AMERICAN ELEC PWR INC	05/03 SALE 13 00 SHR @ 64 4901000	838 35	289 80	L
05-06-2016	AT&T INC	05/03 SALE 3 00 SHR @ 38 7601000	116 27	8 78	L

05-09-2016	JOHNSON & JOHNSON	05/04 SALE 5 00 SHR @ 112 310100	561 53	126 79	L
05-11-2016	ANTHEM INC	05/06 SALE 32 00 SHR @ 137 072500	4,386 22	(66 50)	S
05-11-2016	APPLE INC	05/06 SALE 78 00 SHR @ 92 0843000	7,182 42	560 45	L
05-12-2016	MONSTER BEVERAGE CORP	05/09 SALE 34 00 SHR @ 147 907300	5,028 74	221 67	L
05-13-2016	APPLE INC	05/10 SALE 95 00 SHR @ 92 5267000	8,789 84	724 62	L
05-16-2016	GAP INC DEL	05/11 SALE 352 00 SHR @ 18 8133000	6,622 13	(7,779 99)	L
05-16-2016	SYSCO CORP	05/11 SALE 18 00 SHR @ 50 1701000	903 04	185 71	S
05-16-2016	TJX COS INC NEW	05/11 SALE 12 00 SHR @ 74 0636000	888 74	29 31	S
05-16-2016	ULTA SALON COSMETICS & FRAGRANCE	05/11 SALE 4 00 SHR @ 202 870700	811 47	370 98	L
05-17-2016	GAP INC DEL	05/12 SALE 46 00 SHR @ 17 8425000	820 74	(1,061 36)	L
05-17-2016	TJX COS INC NEW	05/12 SALE 22 00 SHR @ 73 9264000	1,626 35	50 74	S
05-17-2016	ULTA SALON COSMETICS & FRAGRANCE	05/12 SALE 8 00 SHR @ 204 291400	1,634 30	887 00	L
05-18-2016	APPLE INC	05/13 SALE 75 00 SHR @ 90 9308000	6,819 66	452 38	L
05-19-2016	ABBVIE INC	05/16 SALE 100 00 SHR @ 62 4835000	6,248 21	(620 19)	S
05-19-2016	ALPHABET INC	05/16 SALE 12 00 SHR @ 717 220100	8,606 45	889 35	L
05-19-2016	ALPHABET INC	05/16 SALE 10 00 SHR @ 731 505000	7,314 89	3,787 95	L
05-19-2016	AMAZON COM INC	05/16 SALE 15 00 SHR @ 712 220200	10,683 07	4,795 08	L
05-19-2016	BIOMARIN PHARMACEUTICAL INC	05/16 SALE 40 00 SHR @ 86.3400000	3,453 52		
05-19-2016	BLACKROCK INC	05/16 SALE 12 00 SHR @ 353 691400	4,244 20	290 83	L
05-19-2016	BOSTON SCIENTIFIC CORP	05/16 SALE 239 00 SHR @ 22 7035000	5,426 02	565 84	S
05-19-2016	BRISTOL MYERS SQUIBB CO	05/16 SALE 75 00 SHR @ 72 8640000	5,464 68	466 30	L
05-19-2016	BROADCOM LTD	05/16 SALE 31 00 SHR @ 143 382500	4,444 75	(311 55)	S
05-19-2016	CARDINAL HEALTH INC	05/16 SALE 39 00 SHR @ 77 3301000	3,015 80		
05-19-2016	CBS CORP	05/16 SALE 75 00 SHR @ 53 8400000	4,037 91	(300 42)	L
05-19-2016	CENTENE CORP	05/16 SALE 54 00 SHR @ 56 9019000	3,072 63	(75 16)	S
05-19-2016	CHARTER COMMUNICATIONS INC DEL	05/16 SALE 13 00 SHR @ 205 352500	2,669 52	31 70	S
05-19-2016	CISCO SYS INC	05/16 SALE 142 00 SHR @ 27 0750000	3,844 57	(292 39)	L
05-19-2016	CITRIX SYS INC	05/16 SALE 46 00 SHR @ 83 5200000	3,841 83	288 29	S
05-19-2016	COCA COLA CO	05/16 SALE 169 00 SHR @ 45 6623000	7,716 76		
05-19-2016	COGNIZANT TECHNOLOGY SOLUTIONS	05/16 SALE 49 00 SHR @ 61 9700000	3,036 46	1,181 60	L
05-19-2016	COMCAST CORP	05/16 SALE 75 00 SHR @ 62 5031000	4,687 63	1,499 75	L
05-19-2016	CONAGRA FOODS INC		5,631 29	865 99	L

		05/16 SALE 123 00 SHR @ 45 7839000			
05-19-2016	DANAHER CORP DEL	05/16 SALE 55 00 SHR @ 98 8401000	5,436 09	1,035 69	L
05-19-2016	DELPHI AUTOMOTIVE PLC	05/16 SALE 47 00 SHR @ 68 7601000	3,231 64	(213 45)	S
05-19-2016	DENTSPLY SIRONA INC	05/16 SALE 47 00 SHR @ 62 1872000	2,922 73	12 54	S
05-19-2016	DOW CHEM CO	05/16 SALE 71 00 SHR @ 51 4739000	3,654 57	(10 18)	L
05-19-2016	FACEBOOK INC	05/16 SALE 92 00 SHR @ 118 843100	10,933 32	(22 75)	S
05-19-2016	FEDERATED INVS INC PA	05/16 SALE 61 00 SHR @ 31 2700000	1,907 42	(21 55)	S
05-19-2016	HANESBRANDS INC	05/16 SALE 134 00 SHR @ 27 1101000	3,632 67	(883 30)	L
05-19-2016	HOME DEPOT INC	05/16 SALE 61 00 SHR @ 136 160100	8,305 58	1,365 46	L
05-19-2016	HONEYWELL INTL INC	05/16 SALE 69 00 SHR @ 115 050100	7,938 28	1,503 10	L
05-19-2016	INTERCONTINENTAL EXCHANGE INC	05/16 SALE 17 00 SHR @ 262 730100	4,466 31	1,371 39	L
05-19-2016	INTERPUBLIC GROUP COS INC	05/16 SALE 171 00 SHR @ 23.8839000	4,084 06	608 67	L
05-19-2016	INTUIT	05/16 SALE 35 00 SHR @ 104 462500	3,656.11	1,404 67	L
05-19-2016	LAUDER ESTEE COS INC	05/16 SALE 55 00 SHR @ 93 0101000	5,115 44	1,019 45	L
05-19-2016	LILLY ELI & CO	05/16 SALE 48 00 SHR @ 78 2478000	3,755 80	(272 33)	S
05-19-2016	MCDONALDS CORP	05/16 SALE 49 00 SHR @ 129 653900	6,352 90	1,451 60	S
05-19-2016	MEDTRONIC PLC	05/16 SALE 52 00 SHR @ 81 9011000	4,258 76	256 87	S
05-19-2016	MOLSON COORS BREWING CO	05/16 SALE 51 00 SHR @ 100 119500	5,105 98	649 20	S
05-19-2016	MONDELEZ INTL INC	05/16 SALE 119 00 SHR @ 44 6731000	5,315 98	1,345 59	L
05-19-2016	ORACLE CORP	05/16 SALE 162 00 SHR @ 40 0650000	6,490 38	(769 46)	L
05-19-2016	PEPSICO INC	05/16 SALE 43 00 SHR @ 104 233900	4,481 96	1,087 69	L
05-19-2016	PRICELINE GROUP INC	05/16 SALE 7 00 SHR @ 1288 23250	9,017 43	1,717 90	L
05-19-2016	PROGRESSIVE CORP OHIO	05/16 SALE 99 00 SHR @ 33 1640000	3,283 16		
05-19-2016	RAYTHEON CO	05/16 SALE 34 00 SHR @ 131 692500	4,477 45	977 64	L
05-19-2016	SALESFORCE COM INC	05/16 SALE 61 00 SHR @ 77 6650000	4,737 46	549 82	L
05-19-2016	SHERWIN WILLIAMS CO	05/16 SALE 11 00 SHR @ 297 620100	3,273 74	29 51	S
05-19-2016	SKYWORKS SOLUTIONS INC	05/16 SALE 30 00 SHR @ 62 6500000	1,879 45		
05-19-2016	SPLUNK INC	05/16 SALE 51 00 SHR @ 48 3149000	2,464 01	(1,122 49)	L
05-19-2016	SYNCHRONY FINL	05/16 SALE 110 00 SHR @ 29 1339000	3,204 66	(192 96)	S
05-19-2016	TESLA MTRS INC	05/16 SALE 10 00 SHR @ 208 510100	2,085 05	(341 77)	S
05-19-2016	TEXAS INSTRS INC	05/16 SALE 83 00 SHR @ 58 3831000	4,845 69	(31 94)	S
05-19-2016	TIFFANY & CO NEW	05/16 SALE 43 00 SHR @ 65 3700000	2,810 84	(349 81)	S

05-19-2016	TJX COS INC NEW	05/16 SALE 56 00 SHR @ 75 0139000	4,200 68	192 34	S
05-19-2016	ULTA SALON COSMETICS & FRAGRANCE	05/16 SALE 18 00 SHR @ 208 692000	3,756 37	2,074 93	L
05-19-2016	UNION PAC CORP	05/16 SALE 79 00 SHR @ 82 3100000	6,502 34	330 55	S
05-19-2016	UNITED TECHNOLOGIES CORP	05/16 SALE 37 00 SHR @ 102 023900	3,774 79	(495 57)	L
05-19-2016	UNITEDHEALTH GROUP INC	05/16 SALE 39 00 SHR @ 130 500100	5,089 39	400 24	S
05-19-2016	VERTEX PHARMACEUTICALS INC	05/16 SALE 42 00 SHR @ 82 3668000	3,459 33		
05-19-2016	VISA INC	05/16 SALE 120 00 SHR @ 77 9400000	9,352 59	2,476 28	L
05-19-2016	WORKDAY INC	05/16 SALE 39 00 SHR @ 72 6200000	2,832 11	(428 85)	S
05-20-2016	LILLY ELI & CO	SALE 48 00 SHR DUE TO WASH SALE	3,755 80	(96 45)	S
05-23-2016	AMERICAN ELEC PWR INC	05/18 SALE 55 00 SHR @ 64 3101000	3,536 98	1,216 21	L
05-23-2016	AMERIPRISE FINL INC	05/18 SALE 12 00 SHR @ 96 8901000	1,162 65	87 26	L
05-23-2016	CISCO SYS INC	05/18 SALE 12 00 SHR @ 26 7101000	320 51	(39 10)	L
05-23-2016	COMCAST CORP	05/18 SALE 38 00 SHR @ 60 2900000	2,290 97	150 49	S
05-23-2016	DANA INC	05/18 SALE 45 00 SHR @ 11 8925000	535 14	(454 77)	L
05-23-2016	DOW CHEM CO	SALE 45 00 SHR DUE TO WASH SALE	2,316 28		
05-23-2016	GAP INC DEL	05/18 SALE 111 00 SHR @ 17 1301000	1,901 39	(2,640 18)	L
05-23-2016	GENERAL ELEC CO	05/18 SALE 33 00 SHR @ 29 6801000	979 41	174 94	S
05-23-2016	HOME DEPOT INC	05/18 SALE 8 00 SHR @ 131 770100	1,054 13	467.43	L
05-23-2016	INGEVITY CORP	05/18 SALE 23 00 SHR @ 26 5060000	609 05	609 05	L
05-23-2016	INTEL CORP	05/18 SALE 20 00 SHR @ 30 1301000	602 58	(57 88)	L
05-23-2016	J P MORGAN CHASE & CO	05/18 SALE 33 00 SHR @ 63 6901000	2,101 72	(105 41)	S
05-23-2016	JOHNSON & JOHNSON	05/18 SALE 12 00 SHR @ 113 522500	1,362 24	318 87	L
05-23-2016	MERCK & CO INC	05/18 SALE 20 00 SHR @ 54 6801000	1,093 57	(125 48)	L
05-23-2016	PEPSICO INC	05/18 SALE 187 00 SHR @ 101 050500	18,896 03	4,134 88	L
05-23-2016	PEPSICO INC	05/18 SALE 22 00 SHR @ 101 380200	2,230 31	99 41	L
05-23-2016	PFIZER INC	05/18 SALE 58 00 SHR @ 33 2425000	1,928 02	(89 15)	S
05-23-2016	STATE STR CORP	05/18 SALE 39 00 SHR @ 61 3500000	2,392 59	(271 41)	L
05-23-2016	SYNCHRONY FINL	SALE 51 00 SHR DUE TO WASH SALE	1,485 80	(33 24)	S
05-23-2016	TEREX CORP NEW	05/18 SALE 54 00 SHR @ 24 6925000	1,333 37	(264 92)	L
05-23-2016	TRAVELERS COS INC	05/18 SALE 24 00 SHR @ 111 112500	2,666 64	727 13	L
05-24-2016	INTERCONTINENTAL EXCHANGE INC	05/19 SALE 8 00 SHR @ 259 980100	2,079 79	25 85	S
05-25-2016			5,018 09	817 19	L

	ISHARES RUSSELL MID-CAP GROWTH	05/20 SALE 55 00 SHR @ 91 2600000			
05-25-2016	TEXAS INSTRS INC	SALE 17 00 SHR DUE TO WASH SALE	992 49		
05-26-2016	ALLEGHENY TECHNOLOGIES INC	05/23 SALE 326 00 SHR @ 11 7437000	3,828 36	(1,608 05)	L
05-26-2016	APPLIED MATLS INC	05/23 SALE 148 00 SHR @ 22 7900000	3,372 84	734 46	S
05-26-2016	GAP INC DEL	05/23 SALE 333 00 SHR @ 18 0239000	6,001 82	(7,178 39)	L
05-26-2016	MERCADOLIBRE INC	05/23 SALE 31 00 SHR @ 132 636700	4,111 65	87 81	L
05-27-2016	ISHARES RUSSELL MID-CAP GROWTH	05/24 SALE 100 00 SHR @ 92 6000000	9,257 79	1,619 79	L
05-27-2016	ISHARES RUSSELL 2000 ETF	05/24 SALE 90 00 SHR @ 113 070000	10,174 27	2,223 22	L
05-27-2016	MERCADOLIBRE INC	05/24 SALE 17 00 SHR @ 132 827600	2,258 02	51 39	L
06-03-2016	TEREX CORP NEW	SALE 54 00 SHR DUE TO WASH SALE	1,333 37	(49 06)	L
06-03-2016	TRAVELERS COS INC	05/31 SALE 8 00 SHR @ 113 750100	909 98	263 48	L
06-07-2016	GAP INC DEL	06/02 SALE 273 00 SHR @ 18 3150000	4,999 89	(3,659 78)	L
06-07-2016	PFIZER INC	06/02 SALE 29 00 SHR @ 34 8300000	1,010 04	24 97	S
06-08-2016	CIGNA CORP	06/03 SALE 7 00 SHR @ 129 790100	908 51	(67 77)	S
06-08-2016	INGEVITY CORP	REVERSE ENTRY OF 05/23/16	(609 05)	(609 05)	L
06-08-2016	SPLUNK INC	06/03 SALE 96 00 SHR @ 57 0426000	5,475 97	(1,192 90)	S
06-10-2016	ADOBE SYS INC	06/07 SALE 34 00 SHR @ 98 5487000	3,350 58	576 17	S
06-10-2016	BIOGEN IDEC INC	06/07 SALE 5 00 SHR @ 252 597500	1,262 96	(811 46)	S
06-10-2016	INGEVITY CORP	05/18 SALE 23 00 SHR @ 26 5060000	609 05	(74 30)	L
06-10-2016	MERCADOLIBRE INC	06/07 SALE 30 00 SHR @ 138 635500	4,158 97	264 93	L
06-10-2016	MOBILEYE NV	06/07 SALE 99 00 SHR @ 38 9394000	3,854 92	(2,061 64)	S
06-13-2016	MERCADOLIBRE INC	06/08 SALE 62 00 SHR @ 136 128500	8,439 78	777 51	L
06-13-2016	MOBILEYE NV	06/08 SALE 20 00 SHR @ 38 7131000	774 25	(415 08)	S
06-17-2016	HOME DEPOT INC	06/14 SALE 50 00 SHR @ 125 451700	6,272 45	2,605 57	L
06-17-2016	MICROSOFT CORP	06/14 SALE 3 00 SHR @ 49 7001000	149 10	(6 39)	S
06-20-2016	J P MORGAN CHASE & CO	SALE 26 00 SHR DUE TO WASH SALE	1,655 90		
06-20-2016	LINKEDIN CORP	06/15 SALE 346 00 SHR @ 191 451700	66,240 84	24,731 36	L
06-20-2016	SYNCHRONY FINL	SALE 41 00 SHR DUE TO WASH SALE	1,194 47		
06-21-2016	FEDERATED INVS INC PA	06/16 SALE 36 00 SHR @ 30 0377000	1,081 33	(55 50)	S
06-21-2016	TIFFANY & CO NEW	06/16 SALE 41 00 SHR @ 60 5925000	2,484 24	(527 01)	S
06-21-2016	TIFFANY & CO NEW	06/16 SALE 16 00 SHR @ 60 6204000	969 91	(205 62)	S
06-22-2016	FEDERATED INVS INC PA	06/17 SALE 31 00 SHR @ 29 9857000	929 54	(49 29)	S

06-22-2016	INGEVITY CORP	CASH IN LIEU OF 833 FRACTIONAL SHARE	28 56	6 43	L
06-22-2016	TIFFANY & CO NEW	06/17 SALE 26 00 SHR @ 60 8400000	1,581 81	(325 95)	S
06-23-2016	FEDERATED INVS INC PA	06/20 SALE 36 00 SHR @ 30 2811000	1,090 10	(45 70)	S
06-23-2016	FEDERATED INVS INC PA	06/20 SALE 7 00 SHR @ 30 3761000	212 63	(8 21)	S
06-23-2016	FEDERATED INVS INC PA	06/20 SALE 13 00 SHR @ 30 0565000	390.73	(19 39)	S
06-23-2016	INTERCONTINENTAL EXCHANGE INC	06/20 SALE 40 00 SHR @ 253 548000	10,141 70	517 79	L
06-23-2016	MOBILEYE NV	06/20 SALE 96 00 SHR @ 40 5318000	3,890 97	(1,653 56)	S
06-24-2016	FEDERATED INVS INC PA	06/21 SALE 14 00 SHR @ 29 9840000	419 77	(21 93)	S
06-24-2016	FEDERATED INVS INC PA	06/21 SALE 28 00 SHR @ 29 9820000	839 48	(43 36)	S
06-30-2016	DELPHI AUTOMOTIVE PLC	06/27 SALE 50 00 SHR @ 59 0783000	2,953 85	(251 24)	L
06-30-2016	FEDERATED INVS INC PA	06/27 SALE 221 00 SHR @ 26 9807000	5,962 60	(971 65)	S
06-30-2016	MONDELEZ INTL INC	06/27 SALE 91 00 SHR @ 41 5697000	3,782 76	978 26	L
06-30-2016	PRICELINE GROUP INC	06/27 SALE 3 00 SHR @ 1174 37210	3,523 04	2,846 68	L
06-30-2016	TIFFANY & CO NEW	06/27 SALE 97 00 SHR @ 57 5193000	5,579 25	(1,369 68)	S
07-01-2016	MONDELEZ INTL INC	06/28 SALE 79 00 SHR @ 41 4947000	3,278 01	843 33	L
07-01-2016	MONDELEZ INTL INC	06/28 SALE 67 00 SHR @ 41 8139000	2,801 47	736 62	L
07-05-2016	AMERIPRISE FINL INC	06/29 SALE 20 00 SHR @ 87 4654000	1,749 27	(8 24)	L
07-05-2016	MONDELEZ INTL INC	06/29 SALE 12 00 SHR @ 42 4058000	508 86	139 04	L
07-05-2016	MONDELEZ INTL INC	06/29 SALE 14 00 SHR @ 42 1634000	590 27	158 81	L
07-05-2016	STATE STR CORP	06/29 SALE 2 00 SHR @ 51 6601000	103 31	(32 51)	L
07-06-2016	PRUDENTIAL FINL INC	06/30 SALE 453 00 SHR @ 70 4011000	31,877 41	(616 38)	L
07-08-2016	AMERIPRISE FINL INC	07/05 SALE 299 00 SHR @ 86 8701000	25,973 59	(301 12)	L
07-08-2016	CONAGRA FOODS INC	07/05 SALE 51 00 SHR @ 47 8105000	2,438 28	558 62	L
07-08-2016	MONDELEZ INTL INC	07/05 SALE 22 00 SHR @ 44 9216000	988 25	310 24	L
07-08-2016	SPLUNK INC	07/05 SALE 16 00 SHR @ 53 6749000	858 78	(266 37)	L
07-08-2016	WORKDAY INC	07/05 SALE 21 00 SHR @ 72 3878000	1,520 11	(229 39)	S
07-11-2016	COGNIZANT TECHNOLOGY SOLUTIONS	07/06 SALE 897 00 SHR @ 56 0472000	50,273 24	25,243 73	L
07-11-2016	CONAGRA FOODS INC	07/06 SALE 41 00 SHR @ 47 5925000	1,951 25	440 15	L
07-11-2016	CONAGRA FOODS INC	07/06 SALE 105 00 SHR @ 47 5797000	4,995 76	1,125 87	L
07-11-2016	MONDELEZ INTL INC	07/06 SALE 79 00 SHR @ 44 8668000	3,544 40	1,109 72	L
07-11-2016	SKYWORKS SOLUTIONS INC	07/06 SALE 134 00 SHR @ 58 7984000	7,878 81	(2,236 14)	S
07-11-2016	SPLUNK INC		2,690 66	(787 14)	L

		07/06 SALE 50 00 SHR @ 53 8143000			
07-11-2016	SPLUNK INC	07/06 SALE 9 00 SHR @ 53 4198000	480 77	(152 12)	L
07-11-2016	WORKDAY INC	07/06 SALE 5 00 SHR @ 71 8925000	359 45	(57 06)	S
07-11-2016	WORKDAY INC	07/06 SALE 34 00 SHR @ 72 6062000	2,468 56	(303 46)	S
07-12-2016	CONAGRA FOODS INC	07/07 SALE 1 00 SHR @ 47 8112000	47 81	10 95	L
07-12-2016	SPLUNK INC	07/07 SALE 14 00 SHR @ 54 8295000	767 60	(191 94)	L
07-12-2016	WORKDAY INC	07/07 SALE 14 00 SHR @ 73 2004000	1,024 78	(97 05)	S
07-13-2016	AMERICAN ELEC PWR INC	07/08 SALE 28 00 SHR @ 70 0025000	1,960 02	778 54	L
07-13-2016	JOHN HANCOCK FDS III DISCIPLINED	PROCEEDS REDEMPTION 4,916 421 UNITS	100,000 00	33,038 35	L
07-14-2016	BOSTON SCIENTIFIC CORP	07/11 SALE 115 00 SHR @ 23 8295000	2,740 33	665 53	L
07-15-2016	BOSTON SCIENTIFIC CORP	07/12 SALE 126 00 SHR @ 23 7695000	2,994 89	760 71	L
07-15-2016	ISHARES RUSSELL 2000 ETF	07/12 SALE 900 00 SHR @ 119 862800	107,856 16	29,625 79	L
07-18-2016	CHARTER COMMUNICATIONS INC NEW	CASH IN LIEU OF 911 FRACTIONAL SHARE	216 46	12 43	S
07-18-2016	MONSTER BEVERAGE CORP	07/13 SALE 27 00 SHR @ 162 041200	4,375 02	586 68	L
07-19-2016	JOHNSON & JOHNSON	07/14 SALE 19 00 SHR @ 123 210100	2,340 93	688 93	L
07-19-2016	MONSTER BEVERAGE CORP	07/14 SALE 54 00 SHR @ 161 584000	8,725 35	1,155 01	L
07-19-2016	SYSCO CORP	07/14 SALE 24 00 SHR @ 52 2001000	1,252 77	296 34	S
07-20-2016	AT&T INC	07/15 SALE 17 00 SHR @ 42 7902000	727 41	118 29	L
07-26-2016	AMERICAN ELEC PWR INC	07/21 SALE 35 00 SHR @ 68 8825000	2,410 83	933 98	L
07-26-2016	CONAGRA FOODS INC	07/21 SALE 15 00 SHR @ 47 2625000	708 92	42 47	S
07-26-2016	JOHNSON & JOHNSON	07/21 SALE 56 00 SHR @ 125 070000	7,003 77	2,134 71	L
07-26-2016	PEPSICO INC	07/21 SALE 24 00 SHR @ 108 601000	2,606 36	718 58	L
07-26-2016	STATE STR CORP	07/21 SALE 13 00 SHR @ 57 5801000	748 52	(134 31)	L
07-28-2016	TRAVELERS COS INC	07/25 SALE 24 00 SHR @ 117 122500	2,810 87	871 36	L
07-29-2016	MERCADOLIBRE INC	07/26 SALE 13 00 SHR @ 151 268500	1,966 17	492 29	L
07-29-2016	MONDELEZ INTL INC	07/26 SALE 78 00 SHR @ 45 6482000	3,560 48	1,156 62	L
08-01-2016	AGILENT TECHNOLOGIES INC	07/27 SALE 302 00 SHR @ 46 9448000	14,169 47	1,317 60	L
08-01-2016	ALLERGAN PLC	07/27 SALE 30 00 SHR @ 253 748100	7,611 53	(1,521 08)	L
08-01-2016	AMERICAN ELEC PWR INC	07/27 SALE 655 00 SHR @ 68 8560000	45,080 05	9,506 53	L
08-01-2016	APPLE INC	07/27 SALE 27 00 SHR @ 103 061300	2,781 92	(375 47)	S
08-01-2016	AUTOMATIC DATA PROCESSING INC	07/27 SALE 198 00 SHR @ 94 9004000	18,784 92	1,219 14	S
08-01-2016	AVON PRODS INC	07/27 SALE 1,085 00 SHR @ 3 88330000	4,186 16	(593 16)	L

08-01-2016	CISCO SYS INC	07/27 SALE 525 00 SHR @ 30 7200000	16,111 90	1,298 84	L
08-01-2016	COCA COLA CO	07/27 SALE 38 00 SHR @ 43 2329000	1,641 86	(119 54)	S
08-01-2016	CVS HEALTH CORP	07/27 SALE 26 00 SHR @ 93 0483000	2,418 55	(206 17)	L
08-01-2016	DISNEY WALT CO	07/27 SALE 115 00 SHR @ 96 4938000	11,093 67	31 55	S
08-01-2016	ECOLAB INC	07/27 SALE 1 00 SHR @ 118 755400	118 73	1 53	S
08-01-2016	EMC CORP	07/27 SALE 115 00 SHR @ 28 3554000	3,257 92	493 90	L
08-01-2016	FEDEX CORP	07/27 SALE 38 00 SHR @ 160 479300	6,097 13	466 31	L
08-01-2016	FORD MTR CO DEL	07/27 SALE 2,769 00 SHR @ 13 8616000	38,298 86	268 21	L
08-01-2016	HOME DEPOT INC	07/27 SALE 29 00 SHR @ 136 368300	3,953 87	70 99	S
08-01-2016	INTEL CORP	07/27 SALE 317 00 SHR @ 34 8231000	11,030 76	822 31	S
08-01-2016	J P MORGAN CHASE & CO	07/27 SALE 15 00 SHR @ 64 1950000	962 53	(51 72)	S
08-01-2016	JACOBS ENGR GROUP INC DEL	07/27 SALE 120 00 SHR @ 54 1128000	6,490 39	944 58	L
08-01-2016	KIMBERLY CLARK CORP	07/27 SALE 18 00 SHR @ 130 810300	2,354 08	197 12	S
08-01-2016	LILLY ELI & CO	07/27 SALE 106 00 SHR @ 83 0727000	8,802 86	357 35	S
08-01-2016	MARRIOTT INTL INC NEW	07/27 SALE 50 00 SHR @ 69 8864000	3,492 99	218 22	S
08-01-2016	MASCO CORP	07/27 SALE 306 00 SHR @ 36 4027000	11,131 33	1,514 33	S
08-01-2016	MCDONALDS CORP	07/27 SALE 51 00 SHR @ 119 791900	6,107 98	96 48	S
08-01-2016	MERCADOLIBRE INC	07/27 SALE 10 00 SHR @ 150 419200	1,504 16	376 08	L
08-01-2016	MERCK & CO INC	07/27 SALE 68 00 SHR @ 58 3632000	3,966 91	67 69	L
08-01-2016	ORACLE CORP	07/27 SALE 488 00 SHR @ 40 8477000	19,918 60	905 59	L
08-01-2016	PPG INDS INC	07/27 SALE 126 00 SHR @ 106 084400	13,363 19	3,905 32	L
08-01-2016	QUALCOMM INC	07/27 SALE 105 00 SHR @ 61 8150000	6,487 81	(182 03)	L
08-01-2016	SALESFORCE COM INC	07/27 SALE 144 00 SHR @ 81 2975000	11,702 98	863 90	L
08-01-2016	ST JUDE MED INC	07/27 SALE 11 00 SHR @ 81 9358000	901 00	241 58	L
08-01-2016	STARBUCKS CORP	07/27 SALE 390 00 SHR @ 57 8166000	22,538 23	3,902 68	L
08-01-2016	STATE STR CORP	07/27 SALE 22 00 SHR @ 62 7081000	1,379 00	(198 23)	S
08-01-2016	STRYKER CORP	07/27 SALE 313 00 SHR @ 114 669500	35,882 95	14,056 00	L
08-01-2016	TENET HEALTHCARE CORP	07/27 SALE 295 00 SHR @ 30 7583000	9,066 13	(2,036 28)	L
08-01-2016	TEXAS INSTRS INC	07/27 SALE 172 00 SHR @ 70 7622000	12,166 53	4,463 97	L
08-01-2016	TJX COS INC NEW	07/27 SALE 58 00 SHR @ 81 0459000	4,699 11	735 66	L
08-01-2016	UNITED PARCEL SVC INC	07/27 SALE 23 00 SHR @ 109 688900	2,522 21	520 63	L
08-01-2016	UNITEDHEALTH GROUP INC		5,242 50	932 91	S

		07/27 SALE 37 00 SHR @ 141 717300			
08-01-2016	WELLS FARGO & CO	07/27 SALE 173 00 SHR @ 47 8933000	8,281 04	877 18	L
08-02-2016	AMERICAN ELEC PWR INC	07/28 SALE 24 00 SHR @ 68 9710000	1,655 26	642 56	L
08-03-2016	ARTISAN INTERNATIONAL FUND	PROCEEDS REDEMPTION 3,594 536 UNITS	100,000 00	(6,002 87)	L
08-04-2016	AMERICAN ELEC PWR INC	08/01 SALE 154 00 SHR @ 69 2400000	10,662 72	4,164 57	L
08-04-2016	CONAGRA FOODS INC	08/01 SALE 33 00 SHR @ 46 7810000	1,543 73	77 54	S
08-05-2016	INTERPUBLIC GROUP COS INC	08/02 SALE 123 00 SHR @ 22 5804000	2,777 33	343 79	L
08-05-2016	TRAVELERS COS INC	08/02 SALE 12 00 SHR @ 116 972500	1,403 63	433 87	L
08-05-2016	WISDOMTREE JAPAN HEDGED EQUITY	08/02 SALE 1,000 00 SHR @ 40 1200000	40,099 12	(17,010 58)	L
08-08-2016	BIOGEN IDEC INC	08/03 SALE 31 00 SHR @ 315 801200	9,789 62	130 80	S
08-08-2016	FORTIVE CORP	CASH IN LIEU OF 500 FRACTIONAL SHARE	24.54	(0 82)	S
08-08-2016	INTERPUBLIC GROUP COS INC	08/03 SALE 26 00 SHR @ 22 5588000	586 52	72 11	L
08-08-2016	INTERPUBLIC GROUP COS INC	08/03 SALE 88 00 SHR @ 22 5796000	1,986 96	245 89	L
08-09-2016	INTEL CORP	08/04 SALE 13 00 SHR @ 34 5625000	449 30	(2 05)	S
08-09-2016	INTERPUBLIC GROUP COS INC	08/04 SALE 104 00 SHR @ 22 7652000	2,367 53	309 90	L
08-09-2016	MONSTER BEVERAGE CORP	08/04 SALE 21 00 SHR @ 156 985600	3,296 63	416 82	L
08-09-2016	PFIZER INC	08/04 SALE 35 00 SHR @ 35 2424000	1,233 45	85 46	S
08-09-2016	SERVICENOW INC	08/04 SALE 59 00 SHR @ 74 7125000	4,407 94	(297 27)	L
08-11-2016	BRISTOL MYERS SQUIBB CO	SALE 40 00 SHR DUE TO WASH SALE	2,401 92		
08-11-2016	BRISTOL MYERS SQUIBB CO	08/08 SALE 40 00 SHR @ 60 0493000	2,401 92	(440 82)	S
08-11-2016	NOVO-NORDISK A S	08/08 SALE 147 00 SHR @ 47 1044000	6,924 20	(1,559 91)	S
08-11-2016	SPLUNK INC	08/08 SALE 95 00 SHR @ 61 4105000	5,833 87	(326 76)	S
08-12-2016	NOVO-NORDISK A S	08/09 SALE 20 00 SHR @ 47 8600000	957 18	(197 10)	S
08-12-2016	SPLUNK INC	08/09 SALE 38 00 SHR @ 61 4603000	2,335 44	73 09	S
08-15-2016	SEALED AIR CORP NEW	08/10 SALE 47 00 SHR @ 46 6525000	2,192 62	(172 93)	L
08-16-2016	MICROSOFT CORP	08/11 SALE 23 00 SHR @ 58 3900000	1,342 94	258 14	L
08-17-2016	ILLUMINA INC	08/12 SALE 9 00 SHR @ 167 037700	1,503 31	(361 25)	L
08-18-2016	COMCAST CORP	08/15 SALE 21 00 SHR @ 67 9001000	1,425 86	244 40	S
08-18-2016	SYSCO CORP	08/15 SALE 42 00 SHR @ 52 9825000	2,225 22	560 53	L
08-19-2016	PFIZER INC	08/16 SALE 43 00 SHR @ 34 9301000	1,501 95	187 34	L
08-19-2016	SYSCO CORP	08/16 SALE 9 00 SHR @ 52 0601000	468 52	120 24	L
08-22-2016	ALLERGAN PLC	SALE 30 00 SHR DUE TO WASH SALE	7,611 53		

08-22-2016	BRISTOL MYERS SQUIBB CO	08/17 SALE 301 00 SHR @ 58 8090000	17,701 12	4,599 84	L
08-22-2016	MICROSOFT CORP	08/17 SALE 19 00 SHR @ 57 3301000	1,089 24	256 16	L
08-22-2016	PFIZER INC	08/17 SALE 52 00 SHR @ 34 8600000	1,812 68	344 27	L
08-24-2016	MCDONALDS CORP	08/19 SALE 215 00 SHR @ 115 507800	24,833 64	3,543 81	L
08-24-2016	MICROSOFT CORP	08/19 SALE 4 00 SHR @ 57 2501000	228 99	55 39	S
08-24-2016	PFIZER INC	08/19 SALE 14 00 SHR @ 34 9616000	489 45	94 11	L
08-24-2016	SYSCO CORP	08/19 SALE 10 00 SHR @ 52 4201000	524 18	137 20	L
08-26-2016	SYSCO CORP	08/23 SALE 20 00 SHR @ 52 9802000	1,059 57	288 46	L
08-29-2016	CONOCOPHILLIPS	08/24 SALE 686 00 SHR @ 43 1036000	29,551 27	1,971 26	S
08-29-2016	INTEL CORP	SALE 13 00 SHR DUE TO WASH SALE	449 30		
08-29-2016	QUALCOMM INC	SALE 71 00 SHR DUE TO WASH SALE	4,387 00	(114 40)	L
09-01-2016	ACTIVISION BLIZZARD INC	08/29 SALE 1,326 00 SHR @ 41 5639000	55,112 53	3,728 97	S
09-01-2016	ACUITY BRANDS INC	08/29 SALE 168 00 SHR @ 274 580100	46,128 44	11,167 47	L
09-01-2016	ADOBE SYS INC	08/29 SALE 557 00 SHR @ 102 406200	57,039 02	12,367 93	L
09-01-2016	AES CORP	08/29 SALE 2,468 00 SHR @ 12 2027000	30,115 60	(36 50)	L
09-01-2016	ALEXION PHARMACEUTICALS INC	08/29 SALE 409 00 SHR @ 128 621800	52,605 17	9,519 57	L
09-01-2016	ALLEGHENY TECHNOLOGIES INC	08/29 SALE 889 00 SHR @ 18 1027000	16,092 94	(8,747 40)	L
09-01-2016	ANTHEM INC	08/29 SALE 291 00 SHR @ 124 800100	36,316 03	7,590 34	L
09-01-2016	APPLIED MATLS INC	08/29 SALE 1,393 00 SHR @ 30 1731000	42,030 21	19,068 34	L
09-01-2016	AT&T INC	08/29 SALE 573 00 SHR @ 40 9850000	23,483 89	4,326 68	L
09-01-2016	BAXTER INTL INC	08/29 SALE 285 00 SHR @ 47 4350000	13,518 67	2,358 53	S
09-01-2016	BIOGEN IDEC INC	08/29 SALE 166 00 SHR @ 307 731100	51,082 24	30,690 56	L
09-01-2016	BRISTOL MYERS SQUIBB CO	08/29 SALE 1,159 00 SHR @ 59 0427000	68,429 00	6,491 95	L
09-01-2016	CARDINAL HEALTH INC	08/29 SALE 142 00 SHR @ 79 8439000	11,337 58	(391 44)	L
09-01-2016	CELGENE CORP	08/29 SALE 501 00 SHR @ 108 409300	54,311 88	35,271 68	L
09-01-2016	CIGNA CORP	08/29 SALE 85 00 SHR @ 128 505000	10,922 69	4,025 81	L
09-01-2016	CISCO SYS INC	08/29 SALE 1,528 00 SHR @ 31 6026000	48,287 72	11,486 15	L
09-01-2016	CITIGROUP INC	08/29 SALE 485 00 SHR @ 47 4600000	23,017 59	(1,544 53)	L
09-01-2016	COACH INC	08/29 SALE 471 00 SHR @ 39 0900000	18,410 98	364 95	S
09-01-2016	COMCAST CORP	08/29 SALE 391 00 SHR @ 65 8031000	25,728 44	8,566 71	L
09-01-2016	CONAGRA FOODS INC	08/29 SALE 319 00 SHR @ 46 4950000	14,831 58	1,231 12	S
09-01-2016	CORNING INC		34,159 20	10,031 37	L

		08/29 SALE 1,498 00 SHR @ 22 8037000			
09-01-2016	CVS HEALTH CORP	SALE 312 00 SHR DUE TO WASH SALE	28,985 72	(86 74)	L
09-01-2016	CVS HEALTH CORP	08/29 SALE 418 00 SHR @ 92 9050000	38,833 44	(3,512 98)	L
09-01-2016	CYPRESS SEMICONDUCTOR CORP	08/29 SALE 2,262 00 SHR @ 11 2101000	25,356 69	4,727 26	S
09-01-2016	DANA INC	08/29 SALE 1,495 00 SHR @ 14 5027000	21,681 06	(9,964 96)	L
09-01-2016	DEXCOM INC COM	08/29 SALE 435 00 SHR @ 91 5301000	39,814 73	(1,254 04)	S
09-01-2016	DOW CHEM CO	08/29 SALE 416 00 SHR @ 54 2350000	22,561 26	1,659 82	L
09-01-2016	EDWARDS LIFESCIENCES CORP	08/29 SALE 351 00 SHR @ 116 030100	40,725 68	10,028 06	S
09-01-2016	GENERAL ELEC CO	08/29 SALE 1,930 00 SHR @ 31 3823000	60,566 51	23,465 54	L
09-01-2016	GILEAD SCIENCES INC	08/29 SALE 407 00 SHR @ 78 4421000	31,925 23	(7,201 97)	L
09-01-2016	HARTFORD FINL SVCS GROUP INC	08/29 SALE 892 00 SHR @ 40 3300000	35,973 57	5,585 09	L
09-01-2016	HOME DEPOT INC	08/29 SALE 238 00 SHR @ 134 840000	32,091 22	14,636 90	L
09-01-2016	ILLUMINA INC	08/29 SALE 369 00 SHR @ 171 116400	63,140 58	4,019 23	L
09-01-2016	INTEL CORP	08/29 SALE 729 00 SHR @ 35 5700000	25,929 96	9,192 18	L
09-01-2016	INTERCEPT PHARMACEUTICALS INC	08/29 SALE 395 00 SHR @ 155 251600	61,323 04	(21,603 69)	L
09-01-2016	INTERCONTINENTAL EXCHANGE INC	08/29 SALE 120 00 SHR @ 279 049000	33,485 15	4,577 24	L
09-01-2016	INTERCONTINENTAL EXCHANGE INC	08/29 SALE 161 00 SHR @ 279 049000	44,925 90	6,481 26	L
09-01-2016	JONES LANG LASALLE INC	08/29 SALE 100 00 SHR @ 117 240100	11,723 75	1,698 77	S
09-01-2016	LENNAR CORP	08/29 SALE 864 00 SHR @ 46 7301000	40,373 92	10,083 39	L
09-01-2016	MERCADOLIBRE INC	08/29 SALE 335 00 SHR @ 167 112900	55,981 61	18,606 86	L
09-01-2016	MERCK & CO INC	08/29 SALE 20 00 SHR @ 62 9701000	1,259 37	85 19	L
09-01-2016	MICRON TECHNOLOGY INC	08/29 SALE 957 00 SHR @ 16 9900000	16,259 07	5,270 92	S
09-01-2016	MICROSOFT CORP	08/29 SALE 869 00 SHR @ 58 3287000	50,686 53	20,205 37	L
09-01-2016	MOBILEYE NV	08/29 SALE 1,549 00 SHR @ 48 1009000	74,506 67	5,675 69	L
09-01-2016	MYLAN INC	08/29 SALE 258 00 SHR @ 43 5700000	11,240 81	(426 41)	S
09-01-2016	NIKE INC	08/29 SALE 1,143 00 SHR @ 58 5923000	66,969 53	8,775 79	L
09-01-2016	NOVO-NORDISK A S	SALE 147 00 SHR DUE TO WASH SALE	6,924 20		
09-01-2016	NOVO-NORDISK A S	SALE 20 00 SHR DUE TO WASH SALE	957 18		
09-01-2016	PALO ALTO NETWORKS INC	08/29 SALE 363 00 SHR @ 142 300000	51,653 78	1,534 45	S
09-01-2016	PENNEY J C CO INC	08/29 SALE 4,473 00 SHR @ 9 79260000	43,801 34	4,736 58	L
09-01-2016	PENTAIR PLC	08/29 SALE 397 00 SHR @ 64 8400000	25,740 91	1,540 82	L
09-01-2016	PEPSICO INC	08/29 SALE 354 00 SHR @ 107 775000	38,151 51	15,106 34	L

09-01-2016	PRICELINE GROUP INC	08/29 SALE 46 00 SHR @ 1429 13000	65,738 54	48,890 23	L
09-01-2016	SALESFORCE COM INC	08/29 SALE 482 00 SHR @ 79 8501000	38,486 91	9,689 59	S
09-01-2016	SCHWAB CHARLES CORP NEW	08/29 SALE 1,313 00 SHR @ 31 1923000	40,954 60	4,266 72	S
09-01-2016	SEALED AIR CORP NEW	08/29 SALE 844 00 SHR @ 46 8800000	39,565 85	13,704 49	L
09-01-2016	SERVICENOW INC	08/29 SALE 824 00 SHR @ 73 6954000	60,723 68	239 19	L
09-01-2016	SHERWIN WILLIAMS CO	08/29 SALE 176 00 SHR @ 286 610100	50,442 28	906 38	S
09-01-2016	SPLUNK INC	08/29 SALE 967 00 SHR @ 60 2031000	58,215 12	10,433 40	L
09-01-2016	STARBUCKS CORP	08/29 SALE 869 00 SHR @ 56 8826000	49,429 90	(450 59)	S
09-01-2016	STATE STR CORP	08/29 SALE 489 00 SHR @ 69 8250000	34,143 68	2,356 65	L
09-01-2016	SYNCHRONY FINL	08/29 SALE 546 00 SHR @ 27 2035000	14,852 78	1,244 75	L
09-01-2016	SYSCO CORP	08/29 SALE 590 00 SHR @ 52 4235000	30,929 18	8,939 07	L
09-01-2016	TEREX CORP NEW	08/29 SALE 1,020 00 SHR @ 24 2920000	24,777 29	(2,187 92)	L
09-01-2016	TEXTRON INC	08/29 SALE 1,177 00 SHR @ 41 1992000	48,490 40	14,017 96	L
09-01-2016	TYCO INTL PLC	08/29 SALE 692 00 SHR @ 44 2101000	30,592 72	6,507 90	L
09-01-2016	VERTEX PHARMACEUTICALS INC	08/29 SALE 686 00 SHR @ 97 2186000	66,690 51	14,320 26	L
09-01-2016	WESTERN DIGITAL CORP	08/29 SALE 497 00 SHR @ 47 2225000	23,469 06	(8,938 63)	L
09-01-2016	WESTROCK CO	08/29 SALE 215 00 SHR @ 48 7150000	10,473 50	1,102 43	S
09-01-2016	ZIONS BANCORPORATION	08/29 SALE 893 00 SHR @ 30 0730000	26,854 60	1,088 20	L
09-02-2016	ISHARES MSCI INDIA ETF	08/30 SALE 6,500 00 SHR @ 29 5358000	191,848 51	(22,496 79)	L
09-06-2016	GENERAL MLS INC	08/31 SALE 80 00 SHR @ 70 7168000	5,657 22	(58 47)	S
09-06-2016	PFIZER INC	08/31 SALE 130 00 SHR @ 34 6594000	4,505 62	711 89	L
09-09-2016	SABMILLER PLC	09/06 SALE 62 00 SHR @ 58 5906000	3,632 54	66 92	S
09-12-2016	SABMILLER PLC	09/07 SALE 2 00 SHR @ 58 4200000	116 84	1 82	S
09-12-2016	SABMILLER PLC	09/07 SALE 46 00 SHR @ 58 5400000	2,692 78	47 32	S
09-12-2016	VERIZON COMMUNICATIONS INC	09/07 SALE 124 00 SHR @ 53 5349000	6,638 18	127 37	S
09-13-2016	MEDTRONIC PLC	09/08 SALE 45 00 SHR @ 86 2062000	3,879 19	488 33	S
09-13-2016	SABMILLER PLC	09/08 SALE 46 00 SHR @ 58 3778000	2,685 32	39 86	S
09-14-2016	SABMILLER PLC	09/09 SALE 56 00 SHR @ 58 0540000	3,250 95	30 39	S
09-15-2016	SABMILLER PLC	09/12 SALE 55 00 SHR @ 58 1561000	3,198 52	35 47	S
09-16-2016	SABMILLER PLC	09/13 SALE 27 00 SHR @ 58 5017000	1,579 51	26 74	S
09-21-2016	ABBVIE INC	09/16 SALE 174 00 SHR @ 63 3611000	11,024 59	(793 44)	L
09-22-2016	ABBVIE INC		8,901 29	(633 35)	L

		09/19 SALE 141 00 SHR @ 63 1311000			
09-22-2016	ABBVIE INC	09/19 SALE 96 00 SHR @ 63 1828000	6,065 42	69 86	L
09-22-2016	ARM HLDGS PLC	PROCEEDS FROM SALE DUE TO CASH MERGER @	30,104 06	287 02	S
09-22-2016	JOHNSON CTLS INC	TAXABLE MERGER INTO	20,419 28	(187 80)	S
09-23-2016	ABBVIE INC	09/20 SALE 6 00 SHR @ 63 2481000	379 48	20 22	S
09-23-2016	JOHN HANCOCK FDS III DISCIPLINED	PROCEEDS REDEMPTION 1,904 762 UNITS	40,000 00	14,057 14	L
09-26-2016	J P MORGAN CHASE & CO	09/21 SALE 75 00 SHR @ 66 7381000	5,005 25	(14 69)	S
09-27-2016	ALLERGAN PLC	09/22 SALE 65 00 SHR @ 243 800100	15,846.66	(1,878 46)	L
09-27-2016	ALPHABET INC	09/22 SALE 40 00 SHR @ 816 350000	32,653 28	18,545 51	L
09-27-2016	ALPHABET INC	09/22 SALE 53 00 SHR @ 787 440000	41,733 40	23,151 25	L
09-27-2016	AMAZON COM INC	09/22 SALE 63 00 SHR @ 802 770100	50,573 41	26,106.25	L
09-27-2016	BIOMARIN PHARMACEUTICAL INC	09/22 SALE 177 00 SHR @ 96 9914000	17,167 10	1,251 34	S
09-27-2016	BLACKROCK INC	09/22 SALE 49 00 SHR @ 373 850100	18,318 25	2,519 01	L
09-27-2016	BOSTON SCIENTIFIC CORP	09/22 SALE 647 00 SHR @ 23 6901000	15,327 15	3,908 71	L
09-27-2016	BROADCOM LTD	09/22 SALE 153 00 SHR @ 168 480100	25,776 89	4,211 78	L
09-27-2016	CARDINAL HEALTH INC	09/22 SALE 171 00 SHR @ 77 5139000	13,254 59	(497 30)	L
09-27-2016	CBS CORP	09/22 SALE 292 00 SHR @ 50 0510000	14,614 57	(1,218 42)	L
09-27-2016	CELGENE CORP	09/22 SALE 216 00 SHR @ 110 511100	23,869 87	(740 90)	L
09-27-2016	CENTENE CORP	09/22 SALE 229 00 SHR @ 68 8920000	15,775 92	730 00	S
09-27-2016	CHARTER COMMUNICATIONS INC NEW	09/22 SALE 66 00 SHR @ 277 042500	18,284 41	3,506 91	S
09-27-2016	CISCO SYS INC	09/22 SALE 592 00 SHR @ 31 6631000	18,744 15	1,749 07	L
09-27-2016	CITRIX SYS INC	09/22 SALE 197 00 SHR @ 85 2201000	16,787 99	1,846 72	L
09-27-2016	COCA COLA CO	09/22 SALE 725 00 SHR @ 42 9539000	31,140 90		
09-27-2016	COGNIZANT TECHNOLOGY SOLUTIONS	09/22 SALE 222 00 SHR @ 54 1836000	12,028 49	3,624 82	L
09-27-2016	COMCAST CORP	09/22 SALE 406 00 SHR @ 67 2135000	27,288 08	8,518 43	L
09-27-2016	CONAGRA FOODS INC	09/22 SALE 283 00 SHR @ 43 4901000	12,307 43	1,877 16	L
09-27-2016	COSTCO WHSL CORP NEW	09/22 SALE 146 00 SHR @ 153 100700	22,352 21	(792 24)	S
09-27-2016	DANAHER CORP DEL	09/22 SALE 217 00 SHR @ 77 6539000	16,850 53	5,709 01	L
09-27-2016	DELPHI AUTOMOTIVE PLC	09/22 SALE 153 00 SHR @ 70 1339000	10,730 25	1,844 01	L
09-27-2016	DENTSPLY SIRONA INC	09/22 SALE 223 00 SHR @ 60 0000000	13,379 70	(186 01)	S
09-27-2016	DOW CHEM CO	09/22 SALE 282 00 SHR @ 52 6300000	14,841 33	356 86	L
09-27-2016	FACEBOOK INC	09/22 SALE 431 00 SHR @ 130 020100	56,037 44	39,261 60	L

09-27-2016	FORTIVE CORP	09/22 SALE 180 00 SHR @ 51 8600000	9,334 59	2,241 46	L
09-27-2016	HANESBRANDS INC	09/22 SALE 677 00 SHR @ 26 1250000	17,686 24	(3,411 57)	L
09-27-2016	HOME DEPOT INC	09/22 SALE 232 00 SHR @ 128 973900	29,921 28	10,120 29	L
09-27-2016	HONEYWELL INTL INC	09/22 SALE 267 00 SHR @ 116 755000	31,172 91	6,864 05	L
09-27-2016	INTERCONTINENTAL EXCHANGE INC	09/22 SALE 74 00 SHR @ 280 340000	20,744 70	6,701 61	L
09-27-2016	INTERPUBLIC GROUP COS INC	09/22 SALE 352 00 SHR @ 22 4950000	7,918 06	953 77	L
09-27-2016	INTUIT	09/22 SALE 157 00 SHR @ 110 913300	17,413 01	6,592 16	L
09-27-2016	ISHARES RUSSELL MID-CAP GROWTH	09/22 SALE 412 00 SHR @ 97 6824000	40,236 03	8,767 47	L
09-27-2016	ISHARES RUSSELL 2000 ETF	09/22 SALE 645 00 SHR @ 125 750000	81,094 08	25,353 18	L
09-27-2016	KELLOGG CO	09/22 SALE 176 00 SHR @ 78 2450000	13,770 81	(781 33)	S
09-27-2016	LAUDER ESTEE COS INC	09/22 SALE 208 00 SHR @ 88 8000000	18,469 99	2,979 72	L
09-27-2016	LILLY ELI & CO	09/22 SALE 243 00 SHR @ 81 2100000	19,733 59	(157 83)	S
09-27-2016	MEDTRONIC PLC	09/22 SALE 165 00 SHR @ 87 9439000	14,510 42	2,231 17	S
09-27-2016	MOLSON COORS BREWING CO	09/22 SALE 190 00 SHR @ 107 004000	20,330 31	6,936 34	L
09-27-2016	NEUROCRINE BIOSCIENCES INC	09/22 SALE 113 00 SHR @ 54 1636000	6,120 35	(21 20)	S
09-27-2016	ORACLE CORP	09/22 SALE 675 00 SHR @ 39 5350000	26,685 54	167 96	S
09-27-2016	PAYCHEX INC	09/22 SALE 297 00 SHR @ 60 3938000	17,936 56	1,136 18	S
09-27-2016	PRICELINE GROUP INC	09/22 SALE 16 00 SHR @ 1464 05010	23,424 29	15,043 61	L
09-27-2016	PROGRESSIVE CORP OHIO	09/22 SALE 434 00 SHR @ 31 3050000	13,586 07	(64 93)	S
09-27-2016	RAYTHEON CO	09/22 SALE 143 00 SHR @ 139 460000	19,942.34	5,491 53	L
09-27-2016	SALESFORCE COM INC	09/22 SALE 265 00 SHR @ 74 5942000	19,767 03	6,842 45	L
09-27-2016	SHERWIN WILLIAMS CO	09/22 SALE 48 00 SHR @ 279 850000	13,432 51	(235 36)	S
09-27-2016	SPLUNK INC	09/22 SALE 111 00 SHR @ 59 2172000	6,572 96	701 50	S
09-27-2016	SYNCHRONY FINL	09/22 SALE 470 00 SHR @ 27 4540000	12,903 09	(1,798 43)	S
09-27-2016	TERADATA CORP	09/22 SALE 207 00 SHR @ 30 6339000	6,341 08	(19 17)	S
09-27-2016	TESARO INC	09/22 SALE 60 00 SHR @ 108 032500	6,481 80	254 05	S
09-27-2016	TESLA MTRS INC	09/22 SALE 47 00 SHR @ 206 482500	9,704 46	(416 41)	L
09-27-2016	TEXAS INSTRS INC	09/22 SALE 390 00 SHR @ 69 6836000	27,176 00	3,955 56	S
09-27-2016	TJX COS INC NEW	09/22 SALE 299 00 SHR @ 76 4739000	22,865 20	1,262 37	S
09-27-2016	ULTA SALON COSMETICS & FRAGRANCE	09/22 SALE 72 00 SHR @ 238 417500	17,165 68	10,439 94	L
09-27-2016	UNION PAC CORP	09/22 SALE 304 00 SHR @ 95 2639000	28,959 59	5,721 72	S
09-27-2016	UNITED TECHNOLOGIES CORP		14,734 39	213 48	L

		09/22 SALE 143 00 SHR @ 103 040000			
09-27-2016	UNITEDHEALTH GROUP INC	09/22 SALE 175 00 SHR @ 140 813900	24,641 89	8,941 43	L
09-27-2016	VERTEX PHARMACEUTICALS INC	09/22 SALE 170 00 SHR @ 91 8050000	15,606 50	(327 16)	L
09-27-2016	VISA INC	09/22 SALE 470 00 SHR @ 83 3850000	39,190 09	12,648 42	L
09-27-2016	VULCAN MATLS CO	09/22 SALE 102 00 SHR @ 112 570100	11,481 89	(511 33)	S
09-27-2016	WORKDAY INC	09/22 SALE 81 00 SHR @ 90 4301000	7,324 68	1,194 17	S
10-03-2016	ALIBABA GROUP HLDG LTD	09/28 SALE 166 00 SHR @ 108 553700	18,019 51	4,191 58	S
10-03-2016	ALPHABET INC	09/28 SALE 11 00 SHR @ 780 920000	8,589 93	69 54	S
10-03-2016	ALPHABET INC	09/28 SALE 11 00 SHR @ 809 700000	8,906 50	129 47	S
10-03-2016	AMAZON COM INC	09/28 SALE 30 00 SHR @ 828 622700	24,858 13	1,674 16	S
10-03-2016	AMERICAN EXPRESS CO	09/28 SALE 60 00 SHR @ 64 1741000	3,850 36		
10-03-2016	AMGEN INC	09/28 SALE 39 00 SHR @ 169 887200	6,625 45	(98 73)	S
10-03-2016	ANALOG DEVICES INC	09/28 SALE 20 00 SHR @ 63 9801000	1,279 57	12 44	S
10-03-2016	AUTODESK INC	09/28 SALE 139 00 SHR @ 72 4834000	10,074 97	600 61	S
10-03-2016	AUTOMATIC DATA PROCESSING INC	09/28 SALE 28 00 SHR @ 87 5836000	2,452 29	(61 73)	S
10-03-2016	CERNER CORP	09/28 SALE 127 00 SHR @ 62 4550000	7,931 61	(333 54)	S
10-03-2016	CISCO SYS INC	09/28 SALE 491 00 SHR @ 31 4950000	15,463 71	(55 92)	S
10-03-2016	COCA COLA CO	09/28 SALE 224 00 SHR @ 42 1316000	9,437 27		
10-03-2016	DANONE	09/28 SALE 780 00 SHR @ 14 7820000	11,529 70	(521 64)	S
10-03-2016	EXPEDITORS INTL WASHINGTON INC	09/28 SALE 180 00 SHR @ 51 3537000	9,243 46	43 86	S
10-03-2016	FACEBOOK INC	09/28 SALE 162 00 SHR @ 129 267400	20,940 86	542 06	S
10-03-2016	FACTSET RESH SYS INC	09/28 SALE 33 00 SHR @ 162 150100	5,350 83	(548 49)	S
10-03-2016	GREENHILL & CO INC	09/28 SALE 54 00 SHR @ 23 1325000	1,249 13	34 13	S
10-03-2016	MERCK & CO INC	09/28 SALE 73 00 SHR @ 63 3946000	4,627 70	30 78	S
10-03-2016	MICROSOFT CORP	09/28 SALE 135 00 SHR @ 58 0350000	7,834 55	(39 47)	S
10-03-2016	MONSTER BEVERAGE CORP	09/28 SALE 90 00 SHR @ 146 540100	13,188 31	(810 27)	S
10-03-2016	NORTHROP GRUMMAN CORP	09/28 SALE 28 00 SHR @ 217 274500	6,083 55	112 27	S
10-03-2016	NOVARTIS A G	09/28 SALE 88 00 SHR @ 81 2401000	7,148 97	139 97	S
10-03-2016	NOVO-NORDISK A S	09/28 SALE 215 00 SHR @ 43 7100000	9,397 44		
10-03-2016	ORACLE CORP	09/28 SALE 330 00 SHR @ 39 4501000	13,018 24		
10-03-2016	PROCTER & GAMBLE CO	09/28 SALE 123 00 SHR @ 89 4435000	11,001 30	170 12	S
10-03-2016	QUALCOMM INC	09/28 SALE 204 00 SHR @ 63 3424000	12,921 56	53 77	S

10-03-2016	REGENERON PHARMACEUTICALS	09/28 SALE 16 00 SHR @ 420 410100	6,726 41	293 29	S
10-03-2016	SABMILLER PLC	09/28 SALE 33 00 SHR @ 58 1200000	1,917 91	20 08	S
10-03-2016	SEI INVESTMENTS CO	09/28 SALE 184 00 SHR @ 46 1238000	8,486 59	(13 69)	S
10-03-2016	UNITED PARCEL SVC INC	09/28 SALE 74 00 SHR @ 109 080000	8,071 73	(3 81)	S
10-03-2016	UNITED TECHNOLOGIES CORP	09/28 SALE 54 00 SHR @ 102 366300	5,527 66		
10-03-2016	VARIAN MED SYS INC	09/28 SALE 83 00 SHR @ 99 5500000	8,262 46	289 12	S
10-03-2016	VISA INC	09/28 SALE 199 00 SHR @ 82 7773000	16,472 32	385 86	S
10-03-2016	YUM BRANDS INC	09/28 SALE 84 00 SHR @ 90 1601000	7,573 28	(25 61)	S
10-11-2016	GREENHILL & CO INC	10/05 SALE 5 00 SHR @ 23 4421000	117 21	4 71	S
10-12-2016	GREENHILL & CO INC	10/06 SALE 12 00 SHR @ 23 0361000	276 43	6 43	S
10-13-2016	GREENHILL & CO INC	10/10 SALE 11 00 SHR @ 22 9274000	252 20	4 70	S
10-13-2016	GREENHILL & CO INC	10/07 SALE 11 00 SHR @ 22 7352000	250 08	2 58	S
10-14-2016	ADVANSIX INC	10/11 SALE 8 00 SHR @ 15 5860000	124 69	0 80	S
10-14-2016	GREENHILL & CO INC	10/11 SALE 2 00 SHR @ 22 9528000	45 90	0 90	S
10-17-2016	GENERAL MLS INC	10/12 SALE 92 00 SHR @ 62 0577000	5,709.18	(863 86)	S
10-17-2016	TARGET CORP	10/12 SALE 96 00 SHR @ 68 1739000	6,544.55	(213 46)	S
10-18-2016	JOHNSON CONTROLS INTL PLC	10/18 SALE 601 SHR @ 43 1709000	25 95		
10-19-2016	SABMILLER PLC	PROCEEDS FROM SALE DUE TO CASH MERGER @	8,054 26	(399 71)	S
10-20-2016	EMC CORP	REDEMPTION 13 23% OF HOLDINGS RELATED	104 76	19 02	L
10-20-2016	EMC CORP	REDEMPTION 13 23% OF HOLDINGS RELATED	1,365 77	246 46	L
10-21-2016	GREENHILL & CO INC	10/18 SALE 3 00 SHR @ 22 9229000	68 77	1 27	S
10-24-2016	EMC CORP	TAXABLE MERGER INTO	9,638 28	1,734 92	L
10-24-2016	GENERAL MLS INC	10/19 SALE 81 00 SHR @ 61 4287000	4,975 62	(811 52)	S
10-24-2016	GREENHILL & CO INC	10/19 SALE 6 00 SHR @ 22 9257000	137 55	2 55	S
10-25-2016	GREENHILL & CO INC	10/20 SALE 5 00 SHR @ 22 7226000	113 61	1 11	S
10-26-2016	GREENHILL & CO INC	10/21 SALE 5 00 SHR @ 23 0196000	115 10	2 60	S
10-27-2016	GREENHILL & CO INC	10/24 SALE 6 00 SHR @ 23 3871000	140 32	5 32	S
10-28-2016	ADVANSIX INC	CASH IN LIEU OF 920 FRACTIONAL SHARE	15 28	1 03	S
10-28-2016	GREENHILL & CO INC	10/25 SALE 9 00 SHR @ 23 6052000	212.44	9 94	S
11-01-2016	GREENHILL & CO INC	10/27 SALE 35 00 SHR @ 23 6045000	826 14	38 64	S
11-04-2016	DELL TECHNOLOGIES INC	CASH IN LIEU OF 243 FRACTIONAL SHARE	11 86	0 39	L
11-07-2016	ALIBABA GROUP HLDG LTD		977 05	286 84	S

		11/02 SALE 10 00 SHR @ 97 7074000			
11-07-2016	AMAZON COM INC	11/02 SALE 1 00 SHR @ 773 368900	773 35	0 55	S
11-07-2016	CISCO SYS INC	11/02 SALE 51 00 SHR @ 30 4990000	1,555 41	(56 61)	S
11-07-2016	MICROSOFT CORP	11/02 SALE 27 00 SHR @ 59 6940000	1,611 70	36 90	S
11-07-2016	TARGET CORP	11/02 SALE 67 00 SHR @ 68 0534000	4,559 48	(157 04)	S
11-07-2016	VISA INC	11/02 SALE 20 00 SHR @ 80 9074000	1,618 11	1 38	S
11-09-2016	ALIBABA GROUP HLDG LTD	11/04 SALE 8 00 SHR @ 98 1094000	784 86	232 69	S
11-09-2016	ALPHABET INC	11/04 SALE 2 00 SHR @ 782 191500	1,564 35	(31 47)	S
11-09-2016	ALPHABET INC	11/04 SALE 2 00 SHR @ 763 070600	1,526 11	(23 05)	S
11-09-2016	AMAZON COM INC	11/04 SALE 1 00 SHR @ 759 743000	759 73	(13 07)	S
11-09-2016	CERNER CORP	11/04 SALE 16 00 SHR @ 52 8159000	845 04	(196 24)	S
11-09-2016	COCA COLA CO	11/04 SALE 43 00 SHR @ 41 8086000	1,797 73	(275 14)	S
11-09-2016	EXPEDITORS INTL WASHINGTON INC	11/04 SALE 26 00 SHR @ 51 0019000	1,326 02	(2 81)	S
11-09-2016	SEI INVESTMENTS CO	11/04 SALE 25 00 SHR @ 44 0789000	1,101 95	(52 98)	S
11-09-2016	VARIAN MED SYS INC	11/04 SALE 11 00 SHR @ 89 5058000	984 54	(72 17)	S
11-10-2016	ADIANT PLC	11/07 SALE 73 00 SHR @ 43 5699740	3,180 54	3,180 54	S
11-14-2016	GREENHILL & CO INC	11/08 SALE 20 00 SHR @ 23 0150710	460 29	10 29	S
11-15-2016	GREENHILL & CO INC	11/09 SALE 11 00 SHR @ 23 5380090	258 91	11 41	S
11-16-2016	DELL TECHNOLOGIES INC	11/10 SALE 42 00 SHR @ 48 9946000	2,056 68	74 29	L
11-16-2016	GREENHILL & CO INC	11/10 SALE 7 00 SHR @ 24 0994720	168 69	11 19	S
11-16-2016	TARGET CORP	SALE 96 00 SHR DUE TO WASH SALE	6,544 55	(197 90)	S
11-16-2016	WELLS FARGO & CO	11/10 SALE 748 00 SHR @ 50 3287000	37,622 61	6,681 59	L
11-16-2016	WESTROCK CO	11/10 SALE 218 00 SHR @ 48 4715000	10,561 11	1,060 23	L
11-22-2016	J P MORGAN CHASE & CO	11/17 SALE 74 00 SHR @ 78 1419330	5,782 38	298 48	L
11-22-2016	TARGET CORP	11/17 SALE 141 00 SHR @ 76 2635000	10,752 92	805 63	S
11-30-2016	NORTHROP GRUMMAN CORP	11/25 SALE 27 00 SHR @ 247 840000	6,691 53	607 01	S
12-01-2016	GREENHILL & CO INC	11/28 SALE 5 00 SHR @ 28 4034770	142 01	29 51	S
12-02-2016	GREENHILL & CO INC	11/29 SALE 10 00 SHR @ 27 8208780	278 20	53 20	S
12-05-2016	GREENHILL & CO INC	11/30 SALE 2 00 SHR @ 27 7382780	55 48	10 48	S
12-07-2016	GREENHILL & CO INC	12/02 SALE 1 00 SHR @ 28 0285270	28 03	5 53	S
12-12-2016	GREENHILL & CO INC	12/07 SALE 3 00 SHR @ 28 1878040	84 56	17 06	S
12-12-2016	JOHN HANCOCK FDS III DISCIPLINED	PROCEEDS REDEMPTION 2,938 012 UNITS	66,105 27	26,089 55	L

12-13-2016	VERIZON COMMUNICATIONS INC	12/08 SALE 162 00 SHR @ 51 0538520	8,270 54	(197 71)	S
12-14-2016	GREENHILL & CO INC	12/09 SALE 6.00 SHR @ 27 9625650	167 77	32 77	S
12-14-2016	ISHARES RUSSELL 2000 ETF	12/09 SALE 1,966 00 SHR @ 138 031900	271,325.48	101,423.76	L
12-14-2016	WISDOMTREE EUROPE HEDGED EQUITY	12/09 SALE 2,228 00 SHR @ 56 4956000	125,824 89	(16,254 67)	L
12-14-2016	WISDOMTREE JAPAN HEDGED EQUITY	12/09 SALE 2,466 00 SHR @ 51 4209000	126,751 85	(16,300 07)	L
12-15-2016	GREENHILL & CO INC	12/12 SALE 6 00 SHR @ 27.5291650	165 17	30 17	S
12-16-2016	GREENHILL & CO INC	12/13 SALE 20.00 SHR @ 27.9042720	558 07	108 07	S
12-19-2016	ADIANT PLC	REVERSE ENTRY OF 11/10/16	(3,180 54)	(3,180 54)	S
12-19-2016	GREENHILL & CO INC	12/14 SALE 30 00 SHR @ 27 2850760	818.53	143 53	S
12-20-2016	COGNIZANT TECHNOLOGY SOLUTIONS	12/15 SALE 142 00 SHR @ 56 5197150	8,025.62	(176 96)	S
12-20-2016	GREENHILL & CO INC	12/15 SALE 13 00 SHR @ 27.4932300	357 40	64 90	S
12-20-2016	VERIZON COMMUNICATIONS INC	12/15 SALE 96 00 SHR @ 51 5985010	4,953 35	(87 28)	S
12-21-2016	ADIANT PLC	11/07 SALE 73.00 SHR @ 43 5699740	3,180 54	(220 16)	S
12-21-2016	ARTISAN INTERNATIONAL FUND	PROCEEDS REDEMPTION 41,998 371 UNITS	1,076,418 25	(117,578 88)	L
12-29-2016	VERIZON COMMUNICATIONS INC	12/23 SALE 214 00 SHR @ 53 7342000	11,498 87	376 35	S
12-30-2016	ADIANT PLC	CASH IN LIEU OF .800 FRACTIONAL SHARE	46 18	8.91	S
Total PROCEEDS FROM SALES			0.00 9,463,913.23	847,249.04	
Total SALES			0.00 9,463,913.23		

BONDS
Year Ending December 31, 2016

SECURITY	DATE ACQUIRED	DATE DISPOSED	-ON HAND AT 1/1/2016-			--ACQUISITIONS--			--DISPOSITIONS----			--G/L--		-ON HAND AT 12/31/2016-		
			SHARES	COST		SHARES	COST		SHARES	PROCEEDS		SHORT TERM	LONG TERM	SHARES	COST	
U.S. TREASURY BONDS																
U S TREASURY NOTE 1 125% 6-15-18	6-16-15	2-10-16	57 000	57 089 06					57 000	57 451 99		362 93	0 00	0	0 00	
U S TREASURY NOTE 1 375% 3-31-20	BLOCKAGE	5-17-16	90 000	90 189 64					90 000	90 762 89		406 55	166 70	0	0 00	
U S TREASURY NOTE 1 625% 3-31-19	2-5-15	2-24-16	50 000	50 937 91					50 000	51 039 06		0 00	101 15	0	0 00	
U S TREASURY NOTE 2% 7-31-22	BLOCKAGE	11-2-16	80 000	80 980 47					80 000	82 459 44		0 00	1 478 97	0	(0 00)	
U S TREASURY NOTE 2% 8-15-25	BLOCKAGE	BLOCKAGE	50 000	49 464 84		172 000	173 823 13		169 000	202 311 62		183 07	2 232 46	23 000	23 391 88	
U S TREASURY NOTE 2 125% 9-30-21	BLOCKAGE	BLOCKAGE	174 000	177 976 66					174 000	181 400 18		1 396 75	2 026 77	0	0 00	
U S TREASURY NOTE 2 375% 8-15-24	1-20-16	5-12-16				75 000	77 876 95		75 000	79 113 28		1 236 33	0 00	0	(0 00)	
U S TREASURY NOTE 2 5% 8-15-23	BLOCKAGE	BLOCKAGE	35 000	36 137 50		163 000	176 295 32		85 000	89 525 85		(2 595 93)	0 00	113 000	120 311 04	
TOTAL TREASURY BONDS			536,000	542,776 08		410,000	427,995 40	0 00	810,000	834,064 31		989 70	6,006 05	136,000	143,702 92	
CORPORATE BONDS																
ADT CORP 2 25% 7-15-17	BLOCKAGE	5-2-16	60 000	59 715 00					60 000	60 930 25		382 75	832 50	0	0 00	
BANC OF AMERICA 5 5% 9-25-35	1-24-06		300 000	22 194 97						7 059 76		0 00	0 00	300 000	15 135 21	
BANK OF AMERICA ALT 5% 7-25-19	6-24-09		250 000	17 400 57						8 241 78		0 00	0 00	250 000	9 158 79	
BNSF RAILWAY 5 629% 4-1-24	4-28-10	6-2-16	465 000	237 873 51					465 000	238 452 33		0 00	578 82	0	0 00	
BOA MTG SECURITIES 5% 6-25-19	12-1-15		700 000	20 747 57						8 905 28		0 00	0 00	700 000	11 842 29	
CHASE MTG FN VAR% 12-25-35	1-11-06		250 000	4 296 48						907 76		0 00	0 00	250 000	3 368 72	
COMERICA BANK 5 75% 11-21-16	4-28-15	11-21-16	95 000	101 737 40				6 615 21	95 000	95 000 00		0 00	(6 737 40)	0	(0 00)	
COUNTRYWIDE HMLNS 0% 12-26-19	12-19-06		116 705	0 00						6 615 21		0 00	0 00	116 705	0 00	
CR SUISSE FIRST 5% 9-25-18	12-1-15		2 500 000	46 288 75						28 764 53		0 00	0 00	2 500 000	17 524 22	
CSX TRANS 6 251% 1-15-23	12-3-08		280 000	162 709 89						13 137 51		0 00	0 00	280 000	149 572 38	
DR PEPPER SNAPPLE 2% 1-15-20	12-11-15	11-14-16	70 000	68 590 90					70 000	69 556 90		966 00	0 00	0	0 00	
ECOLAB INC 3% 12-8-16	6-24-15	12-8-16	45 000	46 142 55					45 000	45 000 00		(1 142 55)	0 00	0	0 00	
ELECTRONIC ARTS 3 7% 3-1-21	2-17-16	5-12-16	40 000			40 000	40 237 54		40 000	42 034 00		1 796 46	0 00	0	0 00	
FORD CREDIT AUTO REMIC 9% 10-15-18	8-19-14		25 000	24 988 03						17 202 86		0 00	0 00	25 000	7 795 17	
HONDA AUTO RECEI 1 04% 2-18-20	5-5-14		98 000	98 015 29						12 102 06		0 00	0 00	98 000	85 913 23	
HYUNDAI AUTO REMIC 0% 7-16-18	8-19-14		35 000	28 765 00						23 213 13		0 00	0 00	35 000	5 551 87	
HYUNDAI AUTO REMIC 75% 9-17-18	BLOCKAGE		25 000	24 984 82		30 000	29 976 56			47 242 12		0 00	0 00	55 000	7 699 26	
JEFFERIES GROUP 5 5% 3-15-16	4-30-15	3-15-16	55 000	56 842 50					55 000	55 000 00		(1 842 50)	0 00	0	0 00	
JP MORGAN CHASE 3 625% 5-13-24	5-30-14	1-20-16	110 000	110 776 60					75 000	75 484 50		0 00	484 50	35 000	35 776 60	
MASTER ADJ RATE 2 645% 4-21-34	3-12-15		300 000	28 041 57						7 726 81		0 00	0 00	300 000	20 314 76	
MERCEDES BENZ REMIC 78% 8-15-17	8-19-14	7-15-16	35 000	11 433 43					35 000	11 367 80		0 00	(65 63)	0	(0 00)	
PRICELINECOM 3 65% 3-15-25	3-10-15	1-20-16	55 000	54 858 10					55 000	54 357 60		(500 50)	0 00	0	0 00	
RESIDENTIAL ASSET 5% 7-25-18	2-9-10		325 000	10 620 06						5 267 09		0 00	0 00	325 000	5 352 97	
STRUCTURED ASSET 8568% 10-19-34	11-12-15		500 000	18 814 19						3 060 83		0 00	0 00	500 000	15 753 36	
WACHOVIA MTG LN VAR% 10-20-35	BLOCKAGE		245 000	44 923 97		80 000	12 328 31			9 445 09		0 00	0 00	325 000	47 807 19	
WASHINGTON MUTUAL 4% 2-25-32	9-20-06		702 209	0 00				3 316 50		3 316 50		0 00	0 00	702 209	0 00	
WESTERN UNION CO 5 93% 10-1-16	BLOCKAGE	10-1-16	86 000	90 051 50					86 000	86 000 00		0 00	(4 051 50)	0	0 00	
TOTAL CORPORATE BONDS			7,727,914	1,390,802 65		150,000	82,542 41	9,931 71	1,081,000	1,035,391 70		(340 34)	(8,958 71)	6,796,914	438,586 02	
MUNICIPAL BONDS																
CHICAGO ILL TRAN 6 3% 12-1-21	12-10-08	12-1-16	200 000	198 040 00					30 000	30 000 00		0 00	294 00	170 000	168 334 00	
WISCONSIN ST TRANS 4 003% 7-1-16	3-23-15	7-1-16	50 000	51 880 00					50 000	50 000 00		0 00	(1 880 00)	0	0 00	
TOTAL MUNICIPAL BONDS			250,000	249,920 00		0	0 00	0 00	80,000	80,000 00		0 00	(1,586 00)	170,000	168,334 00	
															168 334 00	

BONDS
Year Ending December 31, 2016

			-ON HAND AT 1/1/2016-			--DISPOSITIONS----			--G/L--		-ON HAND AT 12/31/2016-	
	DATE	SECURITY	SHARES	COST	SHARES	COST	INTEREST	ADJUSTMENT	SHARES	PROCEEDS	SHORT TERM	LONG TERM
U.S. GOVERNMENT BONDS												
	11-6-14	FHLMC A96807 4% 2-1-41	217 313	84 060 27						16 725 46	0 00	0 00
	5-17-16	FHLMC E03077 3% 3-1-27			400 000	140 088 11				19 748 20	0 00	0 00
	4-17-09	FHLMC IB-4298 FLT 2038 9-1-38	320 000	13 991 19						160 83	0 00	0 00
	5-8-09	FING CORP FICO US GOVT 9 8% 11-30-17	150 000	217 186 47					150 000	170 085 00	0 00	(47 101 47)
	2-13-12	FNMA PL AB1601 3 5% 10-1-25	380 000	102 154 91						18 441 59	0 00	0 00
	3-25-15	FNMA PL AE4628 4 5% 10-1-40	56 000	25 543 42						4 185 95	0 00	0 00
	3-25-15	FNMA PL AH1527 3 5% 12-1-25	55 000	21 277 72						7 319 11	0 00	0 00
	4-13-16	FNMA PL AK6045 3% 4-1-27			288 000	142 659 91				18 485 26	0 00	0 00
	11-2-16	FNMA PL AL7437 3% 10-1-30	138 000	133 219 40						764 71	0 00	0 00
	1-23-09	FNMA PL 888558 5 488% 6-1-17	114 000	54 279 57						37 036 70	0 00	0 00
TOTAL GOVERNMENT BONDS			1,292,313	518,483 55	826,000	415,967 42	0 00	0 00	150,000	292,952 81	0 00	(47,101 47)
												SUMLINE
OTHER ASSETS												
	2-25-15	CHARLES SCHWAB 6% PREFERRED	1 700	44 914 00					1 700	45 182 86	0 00	268 86
TOTAL OTHER BONDS			1,700	44,914 00	0	0 00	0 00	0 00	1,700	45,182 86	0 00	268 86
												SUMLINE
TOTAL BONDS			9,807,927	2,746,906 28	1,386,000	926,505 23	9,931 71	0 00	2,122,700	2,287,591 68	649 36	(51,371 27)
												9,071,227
												1,345,028 63
BLOCKAGE												
	4-27-15	ADT CORP 2 25% 7-15-17	45 000	44 885 00					45 000	45 687 50	0 00	832 50
	9-24-15		15 000	14 850 00					15 000	15 232 75	382 75	0 00
			60 000	59 715 00	0	0 00	0 00	0 00	60 000	60 930 25	382 75	832 50
	8-26-15	HYUNDAI AUTO REMIC 75% 9-17-18	25 000	24 964 82						21 467 54	0 00	0 00
	2-24-16		25 000	24 964 82	30 000	29 976 56	0 00	0 00	0	47 242 12	0 00	0 00
					30 000	29 976 56						25 000
	4-6-15	U S TREASURY NOTE 1 375% 3-31-20	60 000	60 337 30					60 000	60 504 00	0 00	166 70
	8-31-15		30 000	29 852 34					30 000	30 258 89	406 55	0 00
			90 000	90 189 64	0	0 00	0 00	0 00	90 000	90 762 89	406 55	166 70
	8-21-15	U S TREASURY NOTE 2% 7-31-22	55 000	55 773 44					55 000	56 680 87	0 00	917 43
	8-26-15		25 000	25 207 03					25 000	25 768 57	0 00	561 54
			80 000	80 980 47	0	0 00	0 00	0 00	80 000	82 459 44	0 00	1 478 97
	8-20-15	U S TREASURY NOTE 2% 8-15-25	25 000	24 781 25					25 000	25 848 65	0 00	1 067 40
	8-26-15		25 000	24 683 59					25 000	25 848 65	0 00	1 165 06
	1-20-16				80 000	80 256 25			33 000	33 872 72	767 12	0 00
									47 000	46 342 73	(807 92)	0 00
	3-31-16				92 000	93 566 88			57 000	58 327 03	358 03	0 00
	9-8-16								5 000	5 169 73	84 32	0 00
	11-14-16								7 000	6 902 11	(218 48)	0 00
			50 000	49 464 84	172 000	173 823 13	0 00	0 00	199 000	202 311 62	183 07	2 232 46
	4-14-16	U S TREASURY NOTE 2 125% 9-30-21	89 000	90 526 27					89 000	92 553 04	0 00	2 026 77
	10-7-15		85 000	87 450 39					41 000	42 636 80	456 00	0 00
	8-2-16								44 000	46 210 34	940 75	0 00
			174 000	177 976 66	0	0 00	0 00	0 00	174 000	181 400 18	1 396 75	2 026 77
												0 00

BONDS
Year Ending December 31, 2016

SECURITY	DATE		DATE		-ON HAND AT 1/1/2016-		--ACQUISITIONS--		---DISPOSITIONS---		--G/L--		--G/L--		-ON HAND AT 12/31/2016-	
	ACQUIRED	DISPOSED	SHARES	COST	SHARES	COST	SHARES	COST	INTEREST	ADJUSTMENT	SHARES	PROCEEDS	SHORT TERM	LONG TERM	SHARES	COST
U S TREASURY NOTE 2.5% 8-15-23	8-31-15		35 000	36 137 50									0 00	0 00		36 137 50
	6-30-16	7-29-16			110 000	119 216 80					42 000	45 425 65	(89 75)	0 00	68 000	73 701 40
		11-18-16									43 000	44 100 20	(2 506 18)	0 00	(43 000)	(46 606 38)
	7-27-16				53 000	57 078 52							0 00	0 00	53 000	57 078 52
			35 000	36 137 50	163 000	176 296 32			0 00	0 00	85 000	89 525 85	(2 596 93)	0 00	113 000	120 311 04
WACHOVIA MTG LN VAR% 10-20-35	3-23-06		245 000	44 923 97									0 00	0 00	245 000	35 478 88
	12-6-16				80 000	12 328 31							0 00	0 00	80 000	12 328 31
			245 000	44 923 97	80 000	12 328 31			0 00	0 00	0	9 445 09	0 00	0 00	325 000	47 807 19
WESTERN UNION CO 5.93% 10-1-16	8-6-15	10-1-16	56 000	58 713 20							56 000	56 000 00	0 00	(2 713 20)	0	(0 00)
	8-26-15	10-1-16	30 000	31 338 30							30 000	30 000 00	0 00	(1 338 30)	0	(0 00)
			86 000	90 051 50	0	0 00			0 00	0 00	86 000	86 000 00	0 00	(4 051 50)	0	(0 00)

**Alvin H. Baum Family Fund
2016 Donee Addresses**

American Jewish World Service	P.O. Box 568	Etna, NH 03750
AAAS	P.O. Box 96178	Washington, DC 20077
Adler Planetarium	1300 South Lake Shore Drive	Chicago, IL 60605
Alliance of Chicago	215 West Ohio Street	Chicago, IL 60654
Almost Home Kids	75721 State Route 53	Naperville, IL 60540
Alzheimer's Association	P.O. Box 96011	Washington, DC 20090
American Cancer Society	225 North Michigan Avenue, Suite 1200	Chicago, IL 60601
American Diabetes Association	P.O. Box 1834	Merrifield, VA 22116
American Heart Association	208 South LaSalle Street, Suite 1500	Chicago, IL 60604
American Jewish World Service	P.O. Box 568	Etna, NH 03750
American Parkinson Disease Association	1800 North Main Street, Suite 215	Wheaton, IL 60187
American Red Cross	2200 West Harrison Street	Chicago, IL 60612
Ark, The	6450 North California Avenue	Chicago, IL 60645
Art Institute	111 South Michigan Avenue	Chicago, IL 60603
Arthritis Foundation	303 East Wacker Drive, Suite 300	Chicago, IL 60601
Aspiritech	1893 Sheridan Road, Suite 103	Highland Park, IL 60035
Bernie's Book Bank	28101 Ballard Drive, Suite A	Lake Forest, IL 60045
Better Government Association	223 West Jackson Boulevard, Suite 900	Chicago, IL 60606
Big Brothers Big Sisters of Metro Chgo	560 West Lake Street, 5th Floor	Chicago, IL 60661
Birmingham Holocaust Education Center	2222 Arlington Avenue South	Birmingham, AL 35205
Boulevard, The	3456 West Franklin Boulevard	Chicago, IL 60624
Boys & Girls Club of Lake County	1801 Sheridan Road, Suite 202	North Chicago, IL 60064
Brain Research Foundation	205 West Wacker Drive, Suite 1400	Chicago, IL 60606
Bulletin of the Atomic Scientists	1155 East 60th Street	Chicago, IL 60637
Bunker Labs	222 West Merchandise Mart Plaza, Suite 1212	Chicago, IL 60654
Business Executives for National Security	1030 15th Street NW, Suite 200 East	Washington, DC 20005
Cara Program, The	237 South Des Plaines Street	Chicago, IL 60661
Chai Lifeline	6600 North Lincoln Avenue, Suite 300	Lincolnwood, IL 60712
Chicago Bar Foundation	312 South Plymouth Court, Suite 3B	Chicago, IL 60604
Chicago Botanic Garden	1000 Lake Cook Road	Glencoe, IL 60022
Chicago Coalition for the Homeless	70 East Lake Street, 7th Floor	Chicago, IL 60601
Chicago Community Trust, The	225 North Michigan Avenue, Suite 2200	Chicago, IL 60601
Chicago Council on Global Affairs	332 South Michigan Avenue, Suite 1100	Chicago, IL 60604
Chicago Green City Market	2613 West Lawrence Avenue	Chicago, IL 60625
Chicago History Museum	1601 North Clark Street	Chicago, IL 60614
Chicago Humanities Festival	500 North Dearborn Street, Suite 825	Chicago, IL 60654
Chicago Legal Clinic	211 West Wacker Drive, Suite 750	Chicago, IL 60606
Chicago Youth Centers	218 South Wabash Avenue, Suite 600	Chicago, IL 60604
Chicago Zoological Society	3300 Golf Road	Brookfield, IL 60513
Children's Cancer Research Fund	7301 Ohms Lane, Suite 460	Minneapolis, MN 55439
CJE Senior Life	3003 West Touhy Avenue	Chicago, IL 60645
Cove School, The	350 Lee Road	Northbrook, IL 60062
Crossroads Fund	3411 West Diversey Parkway, #20	Chicago, IL 60647
Designs for Dignity	445 North Wells Street, Suite 402	Chicago, IL 60654
Disabled American Veterans	P O Box 14301	Cincinnati, OH 45250
Edovo	500 West Madison Street, Suite 801	Chicago, IL 60661
Environmental Defense Fund	1875 Connecticut Avenue, NW, Suite 600	Washington, DC 20077
Environmental Law & Policy Center	35 East Wacker Drive, Suite 1600	Chicago, IL 60601
Faith in Place	70 East Lake Street, Suite 920	Chicago, IL 60601
Field Museum, The	1400 South Lake Shore Drive	Chicago, IL 60605
For Love of Water	153 1/2 East Front Street, Suite 203C	Traverse City, MI 48684
Forefront	208 South LaSalle Street, Suite 1450	Chicago, IL 60604
Friends of the Chicago River	411 South Wells Street, Suite 800	Chicago, IL 60607
George Washington's Mount Vernon	P O Box 1594	Merrifield, VA 22116
Get In Chicago	225 North Michigan Avenue, Suite 2200	Chicago, IL 60601
Hadley School for the Blind	700 Elm Street	Winnetka, IL 60093
Highland Park Library	494 Laurel Avenue	Highland Park, IL 60035
Horsefeathers Therapeutic Riding	1181 Riverwoods Road	Lake Forest, IL 60645

**Alvin H. Baum Family Fund
2016 Donee Addresses**

Innovation Foundation, The	600 West Chicago Avenue, Suite 775	Chicago, IL 60654
Jewish Council for Youth Services	180 West Washington Street, Suite 1100	Chicago, IL 60602
Jewish United Fund	30 South Wells Street, Suite 3124	Chicago, IL 60606
Jewish Vocational Service	3145 West Pratt Boulevard	Chicago, IL 60645
John Marshall School of Law	315 South Plymouth Court	Chicago, IL 60604
Journey Care Foundation	405 Lake Zurich Road	Barrington, IL 60010
Kids in Danger	116 West Illinois Street, Suite 4E	Chicago, IL 60654
La Rabida Children's Hospital	East 65th Street at Lake Michigan	Chicago, IL 60649
Lakeside Congregation for Reform Judaism	1221 County Line Road	Highland Park, IL 60035
Leukemia & Lymphoma Society	651 West Washington Boulevard, Suite 400	Chicago, IL 60661
Lincoln Park Zoo	2001 North Clark Street	Chicago, IL 60614
Little Brothers Friends of the Elderly	355 North Ashland Avenue	Chicago, IL 60607
Little City Foundation	1760 West Algonquin Road	Palatine, IL 60067
Loyola University	820 North Michigan Avenue, Suite 1722	Chicago, IL 60611
Loyola University	6525 North Sheridan Road	Chicago, IL 60626
MADD	P O Box 758521	Topeka, KS 66675
Make-A-Wish Foundation of Illinois	640 North LaSalle Street, Suite 280	Chicago, IL 60610
Marine Toys for Tots Foundation	P.O. Box 4002896	Des Moines, IA 50340
March of Dimes	P.O. Box 2547	Decatur, IL 62525
Mayo Foundation	200 1st Street S.W.	Rochester, MN 55905
McDermott Center	124 North Sangamon Street	Chicago, IL 60607
McGaw YMCA	1000 Grove Street	Evanston, IL 60201
Mercy Housing Lakefront	120 South LaSalle Street, Suite 1850	Chicago, IL 60603
Merit School of Music	38 South Peoria Street	Chicago, IL 60607
Misericordia Home	6300 North Ridge	Chicago, IL 60660
Moneythink	405 West Superior Street	Chicago, IL 60654
Museum of Science & Industry	5700 South Lake Shore Drive	Chicago, IL 60637
National Fdn for Cancer Research	4600 East West Highway, Suite 525	Bethesda, MD 20814
National Heart Council	P.O. Box 20633	St. Louis, MO 63139
National Jewish Health	100 North LaSalle Street, Suite 1612	Chicago, IL 60602
National Parks Conservation Association	P O Box 97202	Washington, DC 20090
National Security		
Northshore University HealthSystem	1033 University Place, Suite 450	Evanston, IL 60201
Northwestern Memorial Foundation	251 East Huron Street, Suite 3-200	Chicago, IL 60611
Northwestern University Settlement Association	1400 West Augusta Boulevard	Chicago, IL 60642
Ounce of Prevention Fund	33 West Monroe Street, Suite 2400	Chicago, IL 60603
PADS Lake County	1800 Grand Avenue	Waukegan, IL 60085
Parkinson's Disease Foundation	1359 Broadway, Suite 1509	New York, NY 10138
Peggy Notebaert Nature Museum	2430 North Cannon Drive	Chicago, IL 60614
Rush University Medical Center	1700 West Van Buren Street, Suite 250	Chicago, IL 60612
Salvation Army, The	5040 North Pulaski Road	Chicago, IL 60630
Shedd Aquarium	75 Remittance Drive, Suite 3056	Chicago, IL 60675
Smile Train, The	P.O. Box 96246	Washington, DC 20090
Special Olympmics Illinois	P O. Box 699	Normal, IL 61761
St Jude Children's Research Hospital	501 St. Jude Place	Memphis, TN 38105
U S. Fund for Unicef	P.O. Box 96964	Washington, DC 20077
Union of Concerned Scientists	2 Brattle Square, Suite 6	Cambridge, MA 02138
United States Olympic Committee	P O. Box 7010	Albert Lea, MN 56007
United Way North Northwest	P.O. Box 2103	Northbrook, IL 60065
University of Illinois at Chicago	601 South Morgan Street	Chicago, IL 60607
University of Illinois at Chicago	845 West Taylor Street, MC 273	Chicago, IL 60607
University of Illinois at Chicago, Dept. of Athletics	839 West Roosevelt Road	Chicago, IL 60608
University of Illinois at Chicago, Dept of Surgery	840 South Wood Street, Suite 402	Chicago, IL 60612
University of Illinois, College of Law	504 East Pennsylvania Avenue, Building 202D	Champaign, IL 61820
University of Illinois Foundation	1305 West Green Street	Urbana, IL 61801
Uptown Peoples Law Center	4413 North Sheridan Road	Chicago, IL 60640
WTTW Channel 11	5400 North St. Louis Avenue	Chicago, IL 60625
YMCA	1030 West Van Buren Street	Chicago, IL 60607

STOCKS															
ABBVIE INC	BLOCKAGE	6-14-16	5 875	325 597 63		592		27 620 25	5 875	349 786 21	0 00	24 188 58	0	(0 00)	
ADIENT PLC	BLOCKAGE	10-31-16			592			838 46	592	26 994 86	(625 39)	0 00	0	0 00	
ADVANSIX INC	BLOCKAGE	5-5-16			55				55	829 83	(8 63)	0 00	0	0 00	
BE AEROSPACE INC	BLOCKAGE	11-23-16	9 245	542 536 48					2 675	159 296 67	0 00	(3 303 24)	6 570	379 936 57	
BOEING CO	BLOCKAGE	6-24-16	2 160	245 943 95					2 160	274 611 35	0 00	28 667 40	0	0 00	
BRISTOL MYERS SQUIBB CO	BLOCKAGE	BLOCKAGE	3 150	208 252 64	4 645	308 286 46			2 915	162 203 85	(32 303 63)	(10 745 14)	4 880	311 286 48	
CARDINAL HEALTH INC	BLOCKAGE	BLOCKAGE	3 760	294 579 26					3 760	302 130 64	(9 416 51)	16 967 89	0	0 00	
CROWN CASTLE INTL INC CO REIT	BLOCKAGE	11-10-16			1 130	97 526 82			1 130	93 952 91	(3 573 91)	0 00	0	0 00	
EAST WEST BANCORP	BLOCKAGE	2-8-16	7 020	282 031 07					7 020	207 786 25	(51 698 74)	(22 546 08)	0	0 00	
JOHNSON CONTROLS INC	BLOCKAGE	BLOCKAGE			7 095	323 747 02	(285 258 48)		7 095	40 649 38	2 160 84	0 00	0	(0 00)	
JOHNSON CONTROLS INTL PLC	BLOCKAGE	BLOCKAGE	8 269	106 571 38			285 258 48						8 269	391 815 82	
L BRANDS INC	BLOCKAGE	5-6-16			1 745	165 060 57			1 745	121 351 75	(43 708 82)	0 00	0	0 00	
LYONDELBASELL INDS CL A	BLOCKAGE	6-3-15	2 205	227 458 45					2 205	178 191 90	(49 266 55)	0 00	0	0 00	
MACQUARIE INFRASTRUCTURE	BLOCKAGE	1-6-16	7 880	426 267 63					1 475	100 765 48	0 00	4 015 79	6 405	329 517 94	
MICROCHIP TECHNOLOGY INC	BLOCKAGE	2-3-16	11 255	456 902 02					4 015	169 274 39	(5 799 31)	(5 193 06)	7 240	276 635 26	
MONDELEZ INTL CL A	BLOCKAGE	3-21-16			2 275	103 614 95			2 275	92 725 31	(10 889 64)	0 00	0	0 00	
SABRE CORPORATION	BLOCKAGE	10-31-16			14 005	398 265 42			2 600	66 807 25	(9 894 51)	0 00	11 405	321 563 66	
STARBUCKS CORP	BLOCKAGE	9-2-16	12 220	343 358 10					4 195	235 217 61	0 00	111 758 34	8 025	219 898 83	
TD AMERITRADE HOLDING CP	BLOCKAGE	BLOCKAGE	15 895	595 115 34					15 895	461 338 89	(133 776 45)	0 00	0	0 00	
UNION PACIFIC CORP	BLOCKAGE	BLOCKAGE	4 005	242 369 67					2 735	244 115 24	0 00	76 004 83	1 270	74 259 26	
VENTAS INC REIT	BLOCKAGE	BLOCKAGE			5 785	354 667 99			5 785	336 612 22	(18 055 77)	0 00	0	0 00	
WALGREENS BOOTS ALLIANCE	BLOCKAGE	10-28-16	5 775	215 291 66	635	52 133 05			1 030	82 929 89	(1 006 34)	16 870 32	5 380	200 358 80	
WHIRLPOOL CORP	BLOCKAGE	6-13-16	2 585	368 797 56					735	128 851 60	0 00	22 194 28	1 850	262 140 24	
TOTAL STOCKS			93,030	4,774,501 46	46,231	1,909,873 66	28,458 71	77,967	3,836,437 52	(367,863 36)	258,879 91	61,294	2,767,412 86		
										SUM LINE					2 767 412 86
BLOCKAGE															
ABBVIE INC	BLOCKAGE	9-2-14	5 560	306 824 76					5 560	331 031 28	0 00	24 206 52	0	(0 00)	
	BLOCKAGE	9-17-14	315	18 772 87					315	18 754 93	0 00	(17 94)	0	0 00	
	BLOCKAGE		5 875	325 597 63	0	0 00	0 00		5 875	349 786 21	0 00	24 188 58	0	(0 00)	
ADIENT PLC	BLOCKAGE	10-31-16			592 9	spin-off on 10/31	27 620 25		0 9	40 40	0 00	0 00	592	27 579 85	
	BLOCKAGE	11-14-16							592 0	26 954 46	(625 39)	0 00	(592)	(27 579 85)	
	BLOCKAGE		0	0 00	592 9	0 00	27 620 25		592 9	26 994 86	(625 39)	0 00	0	0 00	
ADVANSIX INC	BLOCKAGE	5-5-16			55	spin-off on 10/1	838 46			12 02	0 00	0 00	55	826 44	
	BLOCKAGE	10-13-16							55	817 81	(8 63)	0 00	(55)	(826 44)	
	BLOCKAGE		0	0 00	55	0 00	838 46		55	829 83	(8 63)	0 00	0	0 00	
BE AEROSPACE INC	BLOCKAGE	12-18-14	4 490	260 387 64							0 00	0 00	4 490	260 387 64	
	BLOCKAGE	1-22-15	1 885	114 093 86					1 265	75 330 95	0 00	(1 296 54)	620	37 466 37	
	BLOCKAGE	2-9-15	1 410	85 972 42					1 410	83 965 72	0 00	(2 006 70)	0	(0 00)	
	BLOCKAGE	6-17-15	1 460	82 082 56							0 00	0 00	1 460	82 082 56	
	BLOCKAGE		9 245	542 536 48	0	0 00	0 00		2 675	159 296 67	0 00	(3 303 24)	6 570	379 936 57	
BOEING CO	BLOCKAGE	7-10-13	1 295	136 435 00					1 295	164 639 57	0 00	28 204 57	0	(0 00)	
	BLOCKAGE	2-7-14	865	109 508 95					865	109 971 78	0 00	462 83	0	0 00	

SECURITY	DATE		-ON HAND AT 1/1/2016-		--ACQUISITIONS--		---DISPOSITIONS---		--G/L--		-ON HAND AT 12/31/2016-	
	ACQUIRED	DISPOSED	SHARES	COST	SHARES	COST	ADJUTMTS	SHARES	PROCEEDS	SHORT TERM	LONG TERM	SHARES
BRISTOL MYERS SQUIBB CO	3-5-15	9-26-16	1 740	115 153 80				1 005	55 766 06	0 00	(10 745 14)	735
	3-10-15		1 410	93 098 84						0 00	0 00	1 410
	2-16-16				2 735	169 545 04				0 00	0 00	2 735
	6-14-16	9-2-16			1 910	138 741 42		1 080	60 382 25	(18 068 41)	0 00	830
		9-26-16						830	46 055 54	(14 235 22)	0 00	(830)
CARDINAL HEALTH INC			3 150	208 252 64	4 645	308 286 46	0 00	2 915	162 203 85	(32 303 63)	(10 745 14)	4 880
	10-14-14	3-22-16	1 375	102 795 56				1 375	112 104 99	0 00	9 309 43	0
	10-17-14	3-22-16	1 140	84 160 56				715	58 294 68	0 00	5 509 81	425
		4-29-16						425	33 524 34	0 00	2 148 65	(425)
	12-11-15	4-29-16	1 245	107 623 14				1 245	98 206 63	(9 416 51)	0 00	0
EAST WEST BANCORP			3 760	294 579 26	0	0 00	0 00	3 760	302 130 64	(9 416 51)	16 967 89	0
	12-15-14	2-8-16	2 875	107 643 49				2 875	85 097 41	0 00	(22 546 08)	0
	12-10-15	2-8-16	2 870	121 457 59				2 870	84 949 42	(36 508 17)	0 00	0
	12-11-15	2-8-16	1 275	52 929 99				1 275	37 739 42	(15 190 57)	0 00	0
			7 020	282 031 07	0	0 00	0 00	7 020	207 786 25	(51 698 74)	(22 546 08)	0
JOHNSON CONTROLS INC	7-25-16			merger on 9/2	5 525	251 963 32	(222 135 80)	5 525	31 653 83	1 826 31	0 00	0
	9-2-16			merger on 9/2	1 570	71 783 70	(63 122 68)	1 570	8 995 55	334 53	0 00	0
			0	0 00	7 095	323 747 02	(285 258 48)	7 095	40 649 38	2 160 84	0 00	0
JOHNSON CONTROLS INTL PLC	9-2-16				5 929	285 258 48	merger on 9/2		14 04	0 00	0 00	5 929
	11-28-16				2 340	106 571 38				0 00	0 00	2 340
			0	0 00	8 269	106 571 38	285 258 48	0	14 04	0 00	0 00	8 269
L BRANDS INC	1-21-16	5-6-16			1 040	96 873 82		1 040	72 324 20	(24 549 62)	0 00	0
	2-1-16	5-6-16			705	68 186 75		705	49 027 55	(19 159 20)	0 00	0
			0	0 00	1 745	165 060 57	0 00	1 745	121 351 75	(43 708 82)	0 00	0
MACQUARIE INFRASTRUCTURE	1-31-14	1-6-16	6 700	344 694 78				295	20 153 07	0 00	4 976 23	6 405
	11-5-14	1-6-16	1 190	81 572 85				1 180	80 612 41	0 00	(960 44)	0
			7 880	426 267 63	0	0 00	0 00	1 475	100 765 48	0 00	4 015 79	6 405
MICROCHIP TECHNOLOGY INC												
	2-15-13		2 430	86 624 11						0 00	0 00	2 430
	3-21-13		2 500	86 047 09						0 00	0 00	2 500
	1-17-14	2-3-16	4 135	186 100 01				1 825	76 942 89	0 00	(5 193 06)	2 310
	9-9-15	2-3-16	2 190	98 130 81				2 190	92 331 50	(5 799 31)	0 00	0
SABRE CORPORATION			11 255	456 902 02	0	0 00	0 00	4 015	169 274 39	(5 799 31)	(5 193 06)	7 240
	3-1-16				9 275	260 043 78				0 00	0 00	9 275
	4-4-16	10-31-16			2 815	83 044 41		2 600	66 807 25	(9 894 51)	0 00	215
	9-6-16				1 915	55 177 23				0 00	0 00	1 915
			0	0 00	14 005	398 265 42	0 00	2 600	66 807 25	(9 894 51)	0 00	11 405

SECURITY	DATE		-ON HAND AT 1/1/2016-			--ACQUISITIONS--			---DISPOSITIONS---			--G/L--		-ON HAND AT 12/31/2016-	
	ACQUIRED	DISPOSED	SHARES	COST	SHARES	COST	ADJ.TMTS	SHARES	PROCEEDS	SHORT TERM	LONG TERM	SHARES	COST		
STARBUCKS CORP	8-5-11		640	11 867 51						0 00	0 00	640	11 867 51		
	9-16-11		330	6 420 48						0 00	0 00	330	6 420 48		
	4-1-13		7 000	199 991 95						0 00	0 00	7 000	199 991 95		
	4-11-13	9-2-16	4 250	125 078 16				4 195	235 217 61	0 00	111 758 34	55	1 618 89		
TD AMERITRADE HOLDING CP			12 220	343 358 10	0	0 00	0 00	4 195	235 217 61	0 00	111 758 34	8 025	219 898 83		
	3-25-15	3-2-16	4 655	172 046 11				4 655	140 331 03	(31 715 08)	0 00	0	0 00		
	4-13-15	1-14-16	5 520	207 741 45				4 120	124 959 06	(30 094 26)	0 00	1 400	52 688 13		
		2-2-16						1 400	36 471 26	(16 216 87)	0 00	(1 400)	(52 688 13)		
	5-18-15	2-2-16	2 885	108 027 97				2 885	75 156 85	(32 871 12)	0 00	0	0 00		
	6-4-15	2-2-16	2 835	107 299 81				255	6 643 20	(3 008 09)	0 00	2 580	97 648 52		
		3-2-16						2 580	77 777 49	(19 871 03)	0 00	(2 580)	(97 648 52)		
UNION PACIFIC CORP			15 895	595 115 34	0	0 00	0 00	15 895	461 338 89	(133 776 45)	0 00	0	0 00		
	6-20-12	9-2-16	2 160	126 299 07				890	84 859 25	0 00	32 819 44	1 270	74 259 26		
	7-26-12	6-27-16	620	37 330 41				85	7 023 53	0 00	1 905 65	535	32 212 53		
		9-2-16						535	51 010 86	0 00	18 798 33	(535)	(32 212 53)		
VENTAS INC REIT	1-2-13	6-27-16	1 225	78 740 19				1 225	101 221 60	0 00	22 481 41	0	(0 00)		
			4 005	242 369 67	0	0 00	0 00	2 735	244 115 24	0 00	76 004 83	1 270	74 259 26		
	3-11-16	11-10-16			4 485	264 532 90		2 105	122 144 95	(2 070 50)	0 00	2 380	140 317 45		
		12-1-16						2 380	139 033 33	(1 284 12)	0 00	(2 380)	(140 317 45)		
WALGREENS BOOTS ALLIANCE	6-13-16	11-10-16			1 300	90 135 09		1 300	75 433 94	(14 701 15)	0 00	0	(0 00)		
			0	0 00	5 785	354 667 99	0 00	5 785	336 612 22	(18 055 77)	0 00	0	0 00		
	7-27-12		1 480	52 920 43						0 00	0 00	1 480	52 920 43		
	1-2-13	10-28-16	4 295	162 371 23				395	31 803 18	0 00	16 870 32	3 900	147 438 37		
WHIRLPOOL CORP	9-2-16	10-28-16			635	52 133 05		635	51 126 71	(1 006 34)	0 00	0	0 00		
			5 775	215 291 66	635	52 133 05	0 00	1 030	82 929 89	(1 006 34)	16 870 32	5 380	200 358 80		
	5-16-14	6-13-16	1 490	216 216 89				735	128 851 60	0 00	22 194 28	755	109 559 57		
	6-19-14		1 095	152 580 67						0 00	0 00	1 095	152 580 67		
		2 585	368 797 56	0	0 00	0 00	735	128 851 60	0 00	22 194 28	1 850	262 140 24			