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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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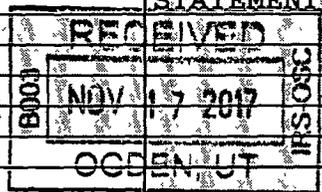
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

For calendar year 2016 or tax year beginning

, and ending

Name of foundation THE IRVING HARRIS FOUNDATION		A Employer identification number 36-6055115
Number and street (or P O box number if mail is not delivered to street address) 191 N. WACKER DRIVE, SUITE 1500		B Telephone number (312) 621-0590
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60606-1899		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 240,093,341. (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	19,281.	19,281.		STATEMENT 1
4 Dividends and interest from securities	2,581,024.	2,581,008.		STATEMENT 2
5a Gross rents	478,891.	478,891.		STATEMENT 3
b Net rental income or (loss)	478,891.			
6a Net gain or (loss) from sale of assets not on line 10	<1,000,453.>			
b Gross sales price for all assets on line 6a	994,806.			
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	2,604,557.	2,657,631.		STATEMENT 4
12 Total. Add lines 1 through 11	4,683,300.	5,736,811.		
13 Compensation of officers, directors, trustees, etc	393,777.	0.		393,777.
14 Other employee salaries and wages	700,309.	0.		511,743.
15 Pension plans, employee benefits	313,436.	0.		313,436.
16a Legal fees STMT 5	26,806.	26,806.		0.
b Accounting fees STMT 6	10,715.	5,358.		6,920.
c Other professional fees STMT 7	614,422.	614,114.		308.
17 Interest	1,716.	1,716.		0.
18 Taxes STMT 8	760,536.	82,177.		73,297.
19 Depreciation and depletion	56,296.	0.		
20 Occupancy	165,057.	0.		165,057.
21 Travel, conferences, and meetings	118,247.	0.		118,247.
22 Printing and publications	337.	0.		337.
23 Other expenses STMT 9	4,153,034.	4,097,017.		37,558.
24 Total operating and administrative expenses. Add lines 13 through 23	7,314,688.	4,827,188.		1,620,680.
25 Contributions, gifts, grants paid	15,796,036.			12,841,568.
26 Total expenses and disbursements. Add lines 24 and 25	23,110,724.	4,827,188.		14,462,248.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	<18,427,424.			
b Net investment income (if negative, enter -0-)		909,623.		
c Adjusted net income (if negative, enter -0-)			N/A	



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		5,913,554.	9,015,257.	9,015,257.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶	3,160,029.			
		Less: allowance for doubtful accounts ▶		31,984.	3,160,029.	3,160,029.
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 12	20,356,597.	19,915,715.	23,377,632.
	c	Investments - corporate bonds				
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other	STMT 13	237,173,529.	215,952,555.	204,372,156.	
14	Land, buildings, and equipment: basis ▶	576,734.				
	Less: accumulated depreciation	STMT 11 ▶	408,467.	202,408.	168,267.	
15	Other assets (describe ▶ INTEREST/DIVIDENDS)		22,916.	0.	0.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		263,700,988.	248,211,823.	240,093,341.	
Liabilities	17	Accounts payable and accrued expenses		358,089.	336,799.	
	18	Grants payable		5,241,995.	8,196,463.	
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		5,600,084.	8,533,262.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds		258,100,904.	239,678,561.		
30	Total net assets or fund balances		258,100,904.	239,678,561.		
31	Total liabilities and net assets/fund balances		263,700,988.	248,211,823.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	258,100,904.
2	Enter amount from Part I, line 27a	2	<18,427,424.>
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 10	3	5,081.
4	Add lines 1, 2, and 3	4	239,678,561.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	239,678,561.

Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENTS

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e	994,806.	897,886.	<1,124,945.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(f) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			<1,124,945.>

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	<1,124,945.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	16,254,167.	262,794,983.	.061851
2014	15,148,325.	266,358,759.	.056872
2013	15,504,151.	255,650,356.	.060646
2012	14,408,808.	239,686,088.	.060115
2011	14,551,084.	252,789,449.	.057562

2 Total of line 1, column (d)	2	.297046
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.059409
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	238,671,554.
5 Multiply line 4 by line 3	5	14,179,238.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	9,096.
7 Add lines 5 and 6	7	14,188,334.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	14,462,248.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	9,096.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	9,096.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	9,096.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	257,264.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	257,264.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	248,168.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input checked="" type="checkbox"/> 248,168. Refunded <input checked="" type="checkbox"/> 0.	11		0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> IL, MN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► WILLIAM HARRIS INVESTORS, INC. Telephone no. ► (312) 621-0590 Located at ► 191 N. WACKER DRIVE, SUITE 1500, CHICAGO, IL ZIP+4 ► 60606-1899		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		393,777.	96,249.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DENISE CASTILLO DELL ISOLA - 191 N. WACKER DR., SUITE 1500, CHICAGO, IL	SENIOR PROGRAM OFFICER 40.00	99,000.	44,926.	0.
JOANNA LAUEN - 191 N. WACKER DR., SUITE 1500, CHICAGO, IL 60606	SENIOR PROGRAM OFFICER 40.00	119,900.	17,985.	0.
JUNE MATAYOSHI - 191 N. WACKER DR., SUITE 1500, CHICAGO, IL 60606	GRANTS MANAGER 40.00	98,237.	38,194.	0.
KANDACE THOMAS - 191 N. WACKER DR., SUITE 1500, CHICAGO, IL 60606	SENIOR PROGRAM OFFICER 40.00	90,518.	24,664.	0.
JOHN WILLIAMS - 191 N. WACKER DR., SUITE 1500, CHICAGO, IL 60606	COMMUNICATIONS/PROGRAM OFFICER 40.00	94,050.	15,386.	0.

Total number of other employees paid over \$50,000 ▶ 3

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
WILLIAM HARRIS INVESTORS, INC. - 191 N. WACKER DRIVE, SUITE 1500, CHICAGO, IL 60606	ACCOUNTING AND INVESTMENT SERVICES	526,583.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount	
1 N/A		
2		
3 All other program-related investments. See instructions.		
Total. Add lines 1 through 3		0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	17,522,636.
b	Average of monthly cash balances	1b	11,607,736.
c	Fair market value of all other assets	1c	213,175,774.
d	Total (add lines 1a, b, and c)	1d	242,306,146.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	242,306,146.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,634,592.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	238,671,554.
6	Minimum investment return. Enter 5% of line 5	6	11,933,578.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	11,933,578.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	9,096.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	9,096.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	11,924,482.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	11,924,482.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	11,924,482.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	14,462,248.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	14,462,248.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	9,096.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	14,453,152.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				11,924,482.
2 Undistributed income, if any, as of the end of 2016			0.	
a Enter amount for 2015 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	2,098,032.			
b From 2012	2,678,094.			
c From 2013	3,430,782.			
d From 2014	2,378,577.			
e From 2015	3,775,352.			
f Total of lines 3a through e	14,360,837.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 14,462,248.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				11,924,482.
e Remaining amount distributed out of corpus	2,537,766.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	16,898,603.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	2,098,032.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	14,800,571.			
10 Analysis of line 9:				
a Excess from 2012	2,678,094.			
b Excess from 2013	3,430,782.			
c Excess from 2014	2,378,577.			
d Excess from 2015	3,775,352.			
e Excess from 2016	2,537,766.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:
(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

	Tax year		Prior 3 years		(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a					
b					
c					
d					
e					
3a(1)					
3a(2)					
3b					
3c(1)					
3c(2)					
3c(3)					
3c(4)					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

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b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE STATEMENT	N/A	SEE STMT	GENERAL OPERATING SUPPORT/ANNUAL GIFT	12,841,568.
Total				12,841,568.
b Approved for future payment				
SEE STATEMENT	N/A	SEE STMT	GENERAL OPERATING SUPPORT/ANNUAL GIFT	7,920,200.
Total				7,920,200.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a GRIZZLYROCK VALUE PARTNERS, L.P. 1/16-9/16	P	VARIOUS	VARIOUS
b GRIZZLYROCK VALUE PARTNERS, L.P. 10/16-12/16	P	VARIOUS	VARIOUS
c HARRIS FAMILY REP-PF	P	VARIOUS	VARIOUS
d HARRIS VENTURE PARTNERS	P	VARIOUS	VARIOUS
e PUBLICLY TRADED SECURITIES			
f W.H.I. GROWTH FUND Q.P., L.P.	P	VARIOUS	VARIOUS
g WHI FIXED INCOME FUND II, LLC	P	VARIOUS	VARIOUS
h WHI FIXED INCOME FUND III, L.P.	P	VARIOUS	VARIOUS
i WHI L&B FOUNDERS FUND LLC	P	VARIOUS	VARIOUS
j WHI L&B FOUNDERS FUND, LLC	P	VARIOUS	VARIOUS
k WHI L&B GP, LLC	P	VARIOUS	VARIOUS
l WHI MORULA FUND II, L.P.	P	VARIOUS	VARIOUS
m WHI MORULA FUND, LLC	P	VARIOUS	VARIOUS
n WHI NFP FUND, LLC	P	VARIOUS	VARIOUS
o WHI PRIVATE EQUITY MANAGERS FUND II, L.P.	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			35,509.
b			11,835.
c			871,144.
d			113,882.
e	994,806.	897,886.	96,920.
f			<2,063,846.>
g			<127,919.>
h			25,095.
i			82,743.
j			112,713.
k			2,768.
l			<576.>
m			454,432.
n			<621,959.>
o			<497,754.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			35,509.
b			11,835.
c			871,144.
d			113,882.
e			96,920.
f			<2,063,846.>
g			<127,919.>
h			25,095.
i			82,743.
j			112,713.
k			2,768.
l			<576.>
m			454,432.
n			<621,959.>
o			<497,754.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
a WHI PRIVATE EQUITY MANAGERS FUND III, L.P.	P	VARIOUS	VARIOUS
b WHI PRIVATE EQUITY MANAGERS FUND IV, L.P.	P	VARIOUS	VARIOUS
c WHI PRIVATE EQUITY MANAGERS FUND, LLC	P	VARIOUS	VARIOUS
d WHI REAL ESTATE PARTNERS I-F, L.P.	P	VARIOUS	VARIOUS
e WHI REALTY FUND II, L.P.	P	VARIOUS	VARIOUS
f WHI REALTY FUND III, L.P.	P	VARIOUS	VARIOUS
g WHI REALTY FUND, LLC	P	VARIOUS	VARIOUS
h			
i			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			66,328.
b			542,179.
c			<126,379.>
d			<25,817.>
e			<32.>
f			<95,914.>
g			19,703.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			66,328.
b			542,179.
c			<126,379.>
d			<25,817.>
e			<32.>
f			<95,914.>
g			19,703.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	<1,124,945.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST ON SAVINGS AND TEMPORARY CASH	19,281.	19,281.	
TOTAL TO PART I, LINE 3	19,281.	19,281.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS	1,918,403.	0.	1,918,403.	1,918,403.	
INTEREST	662,621.	0.	662,621.	662,605.	
TO PART I, LINE 4	2,581,024.	0.	2,581,024.	2,581,008.	

FORM 990-PF RENTAL INCOME STATEMENT 3

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
NET RENTAL INCOME FROM PASS-THROUGHS	1	478,891.
TOTAL TO FORM 990-PF, PART I, LINE 5A		478,891.

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NONTAXABLE INCOME	4,120.	0.	
OTHER INCOME FROM PASSTHROUGHS	2,600,437.	2,657,631.	
TOTAL TO FORM 990-PF, PART I, LINE 11	2,604,557.	2,657,631.	

FORM 990-PF	LEGAL FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	26,806.	26,806.		0.
TO FM 990-PF, PG 1, LN 16A	26,806.	26,806.		0.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX ADVISORY FEES	10,715.	5,358.		6,920.
TO FORM 990-PF, PG 1, LN 16B	10,715.	5,358.		6,920.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FINANCIAL SERVICE FEE	178,400.	178,400.		0.
INVESTMENT ADVISORY FEES	219,383.	219,383.		0.
ADMINISTRATIVE FEES	128,800.	128,800.		0.
CONSULTING FEES	87,531.	87,531.		0.
RECRUITING FEES	308.	0.		308.
TO FORM 990-PF, PG 1, LN 16C	614,422.	614,114.		308.

FORM 990-PF	TAXES			STATEMENT 8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	73,297.	0.		73,297.
FEDERAL INCOME TAX	444,262.	0.		0.
STATE INCOME TAX	160,800.	0.		0.
FOREIGN TAXES	82,177.	82,177.		0.
TO FORM 990-PF, PG 1, LN 18	760,536.	82,177.		73,297.

FORM 990-PF	OTHER EXPENSES			STATEMENT 9
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER EXPENSES FROM PASSTHROUGHS	4,096,803.	4,096,803.		0.
DUES & SUBSCRIPTIONS	1,225.	0.		1,225.
TELEPHONE & INTERNET	6,919.	0.		6,919.
BANK FEES	485.	0.		485.
EVENT EXPENSE	5,686.	0.		5,686.
NONDEDUCTIBLE EXPENSES	18,460.	0.		0.
FILING FEES	229.	214.		15.
SOFTWARE	14,795.	0.		14,795.
OTHER EXPENSES	8,432.	0.		8,433.
TO FORM 990-PF, PG 1, LN 23	4,153,034.	4,097,017.		37,558.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 10
DESCRIPTION		AMOUNT
PRIOR PERIOD ADJUSTMENT - NO TAX EFFECT		5,081.
TOTAL TO FORM 990-PF, PART III, LINE 3		5,081.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 11

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE	FAIR MARKET VALUE
FURNITURE & FIXTURES	94,886.	89,876.	5,010.	5,010.
COMPUTERS	41,117.	30,027.	11,090.	11,090.
EQUIPMENT	6,611.	5,713.	898.	898.
SOFTWARE	86,639.	69,263.	17,376.	17,376.
LEASEHOLD IMPROVEMENTS	277,639.	175,838.	101,801.	101,801.
WEBSITE	69,842.	37,750.	32,092.	32,092.
TO 990-PF, PART II, LN 14	576,734.	408,467.	168,267.	168,267.

FORM 990-PF CORPORATE STOCK STATEMENT 12

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
COLUMBIA ACORN INTERNATIONAL FUND	10,486,689.	14,050,888.
CS FLOATING RATE HIGH INC INSL SHS	2,762,301.	2,697,280.
DOUBLELINE TOTAL RETURN BOND FUND CLASS I	2,741,583.	2,649,913.
NORTHERN TRUST CD-MAT 11/4/10	34,901.	34,901.
PRAIRE SHORE INC	13,975.	425,370.
PEAR TREE POLARIS FOREIGN VALUE SMALL CAP FUND	3,624,684.	3,259,162.
VIVALDI MERGER ARBITRAGE FUND	250,279.	258,737.
INTERPUBLIC GROUP	1,303.	1,381.
TOTAL TO FORM 990-PF, PART II, LINE 10B	19,915,715.	23,377,632.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 13

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
PATTERN RECOGNITION, LLC	COST	38,334.	36,260.
SECOND PRAIRIE SHORES	COST	348,903.	2,405,598.
WHI FIXED INCOME FUND III, L.P.	COST	1,155,250.	879,023.
WHI GROWTH FUND, Q.P.M L.P.	COST	74,255,559.	81,754,957.
WHI L&B FOUNDERS FUND, LLC	COST	115,188.	0.
WHI L&B GP LLC	COST	51,926.	62,184.
WHI L&B MC LLC	COST	<725.>	131.
WHI MORULA FUND, LLC	COST	593,105.	146,619.
WHI MORULA FUND II, L.P.	COST	3,798,653.	1,517,763.
WHI NFP FUND, LLC	COST	69,643,340.	83,242,551.
WHI PRIVATE EQUITY MANAGERS FUND, LLC	COST	1,987,049.	1,097,390.
WHI PRIVATE EQUITY MANAGERS FUND II, L.P.	COST	3,641,046.	3,192,815.
WHI PRIVATE EQUITY MANAGERS FUND III, L.P.	COST	873,032.	2,214,325.
WHI PRIVATE EQUITY MANAGERS FUND IV, L.P.	COST	4,482,202.	6,574,871.
WHI REAL ESTATE PARTNERS 1-F, L.P.	COST	240,780.	411,313.
WHI REALTY FUND, LLC	COST	535,495.	678,803.
WHI REALTY FUND II, L.P.	COST	1,209,219.	743,424.
WHI REALTY FUND III, L.P.	COST	1,995,132.	2,716,367.
POST LTD. TERM HIGH YIELD OFFSHORE FUND LTD.	COST	2,500,000.	2,766,590.
PRIVATE EQUITY FUND CORPORATION	COST	25,715,759.	0.
PRIVATE EQUITY FUND II CORPORATION	COST	8,443,766.	0.
WHI FIXED INCOME FUND II, L.P.	COST	1,440,935.	684,751.
BLUE MOUNTAIN CREDIT ALTERNATIVE FUND	COST	3,215,191.	3,211,675.
HARRIS FAMILY REP PF W/R/T WHIREP II AND WHIREP III	COST	8,549,480.	8,944,164.
GRIZZLY ROCK VALUE PARTNERS	COST	542,202.	589,524.
WHI REAL ESTATE PARTNERS IV-PF, L.P.	COST	581,734.	501,058.
TOTAL TO FORM 990-PF, PART II, LINE 13		215,952,555.	204,372,156.

FORM 990-PF

OTHER ASSETS

STATEMENT 14

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
INTEREST/DIVIDENDS RECEIVABLE	22,916.	0.	0.
TO FORM 990-PF, PART II, LINE 15	22,916.	0.	0.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 15

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE	
			BEN PLAN CONTRIB	EXPENSE ACCOUNT
ALICIA LIEBERMAN 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	DIRECTOR 1.00	0.	0.	0.
DANIEL MEYER 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	DIRECTOR 1.00	0.	0.	0.
GEORGE POLSKY 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	DIRECTOR 1.00	0.	0.	0.
JOAN HARRIS 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	COB/DIRECTOR/PROGRAM DIRECTOR 40.00	100,000.	26,180.	0.
JAMES POLSKY 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	DIRECTOR 1.00	0.	0.	0.
NANCY MEYER 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	COB/DIRECTOR 1.00	0.	0.	0.
PHYLLIS GLINK 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	SECRETARY/EXECUTIVE DIRECT 40.00	293,777.	70,069.	0.
CHARLES POLSKY 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	DIRECTOR 1.00	0.	0.	0.
JEAN POLSKY 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	DIRECTOR/TREASURER 1.00	0.	0.	0.
WALTER GILLIAM 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	DIRECTOR 1.00	0.	0.	0.

THE IRVING HARRIS FOUNDATION

36-6055115

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

<u>393,777.</u>	<u>96,249.</u>	<u>0.</u>
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Irving Harris Foundation
Part XV (b)
Approved for future payments
990-PF, Part XV, Line 3b

Organization	Address	Foundation Status of Recipient	Purpose of Grant or contribution	Grant Amount
Administrators of the Tulane Educational Fund-PDN	Tulane Avenue #8048, New Orleans, LA 70112-2715	PC	Project Support	\$155,000
American Friends of Bar-Ilan University in Israel-PDN	160 East 56th Street New York, NY 10022	PC	Project Support	\$132,000
American Friends of the Hebrew University, Inc -PDN	20 N Wacker DR Suite 2020, Chicago, IL 60606	PC	Project Support	\$132,000
Association for Children at Risk (fiscal sponsor Jewish United Fund of Metropolitan Chicago)	13 Gisz Ezion Street Givat Shmuel, Israel 54031	PC	Project Support	\$350,000
AVODAH, The Jewish Service Corps, Inc	4707 N Broadway, 3rd Floor, Chicago, IL 60640	PC	General Operating Support/Annual Gift	\$50,000
Boston Children's Hospital - National NIDCAP	Enders Bulking Plaza 320, Longwood Avenue EN107 BCH3091 Boston, MA 02118	PC	Project Support	\$300,000
Boston Medical Center-Department of Pediatrics-PDN	Office of Development 801 Massachusetts Ave, 1st Floor Boston MA 02118	PC	Project Support	\$155,000
BUILD Initiative (fiscal sponsor Third Sector New England)	89 South Street, Suite 700 Boston, MA 02111	PC	Project Support	\$350,000
Child Trends, Incorporated	7315 Wisconsin Avenue, Ste 1200 W Bethesda, MD 20814	PC	Project Support	\$200,000
Enkson Institute	451 N LaSalle Street, Chicago, IL 60654	PC	Project Support	\$200,000
EverThrive	1256 W Chicago Avenue, Chicago, IL 60642	PC	General Operating Support/Annual Gift	\$40,000
Family Focus, Inc	310 South Peoria, Suite 301 Chicago, IL 60607	PC	Project Support	\$150,000
Family Institute	Bette D Hams Center 618 Library Place, Evanston, IL 60201	PC	Project Support	\$50,000
Florida State University-PDN	1339 East Lafayette Street, Tallahassee, FL 32301	PC	Project Support	\$145,000
Groundswell Fund	436 14th Street Suite 723, Oakland, Ca 94612	PC	Project Support	\$200,000
Haruv Institute (fiscal sponsor Unites and Lynn Schusterman Family Foundation)	The Hebrew University, Mount Scopus Jerusalem, Israel 91805	PF	Project Support	\$150,000
HealthConnectOne	1436 W Randolph Street, 4th Floor, Chicago, IL 60607	PC	General Operating Support/Annual Gift	\$425,000
Jewish Board of Family and Children's Services	135 West 50th Street 6th Floor, New York, NY 10020	PC	Project Support	\$115,000
Jewish Children and Family Services	216 W Jackson Blvd , Ste 800, Chicago, IL 60660	PC	Project Support	\$140,000
Jewish United Fund	30 S Wells, Room 3008, Chicago, IL 60601	PC	Project Support	\$50,000
Kindling Group NFP	4021 N Broadway Street Chicago IL 60613	PC	Project Support	\$100,000
LSU Health Sciences Center-PDN	433 Bolivar Street, New Orleans, LA 70112	PC	Project Support	\$105,000
National Advocates for Pregnant Women	875 6th Avenue, Ste 1807 New York, NY 10001	PC	General Operating Support/Annual Gift	\$130,000
Ounce of Prevention Fund	33 W Monroe Street Suite 2400 Chicago, IL 60603	PC	General Operating Support/Annual Gift	\$1,000,000
Rush Hour Concerts NFP	65 East Huron, Chicago, IL 60611	PC	General Operating Support/Annual Gift	\$30,000
Southwest Human Development-PDN	2850 N 24th Street Phoenix, AR, 85008	PC	Project Support	\$105,000
The Regents of the University of California-Child Trauma Research Program	3333 California Street, Suite 315, San Francisco, CA 94142	PC	Project Support	\$275,000
The Regents of the University of California-Infant Parent Program	3333 California Street, Suite 315, San Francisco, CA 94142	PC	Project Support	\$105,000
UCSF Benioff Children's Hospital Oakland-PDN	747 Fifty Second Street, Oakland, CA 94601	PC	Project Support	\$105,000
University of Chicago-Harris School of Public Policy Studies	1155 East 60th Street Chicago, IL 60637	PC	Project Support	\$1,500,000
University of Chicago - Section of Family Planning and Contraceptive Research	5841 S Maryland Avenue MC2050 Chicago, IL 60637	PC	Project Support	\$101,200
University of Colorado Foundation, Inc-PDN	1800 Grant Street, Suite 725 Denver, CO 80202	PC	Project Support	\$105,000
University of Illinois-Center of Excellence in Maternal and Child Health	1603 W Taylor Street, 6th Floor Chicago, IL 60612	PC	Project Support	\$90,000
University of Minnesota Foundation-PDN	51 E River Road, Minneapolis, MN 55545	PC	Project Support	\$115,000
Window to the World Communications, Inc	5400 North St Louis Avenue, Chicago IL 60625	PC	General Operating Support/Annual Gift	\$250,000
Yale University-Child Study Center-PDN	230 S Frontage, P O Box 207900 New Haven, CT 06520-7900	PC	Project Support	\$115,000
Zero to Three Nat'l Ctr For Infants, Toddlers & Families	1255 23rd Street, NW, Ste 350 Washington, DC 20037	PC	Project Support	\$200,000
Total				\$7,920,200

Attachment to Form 990-PF, Part XV

Question 2

The Irving Harris Foundation invites pre-selected organizations through our on-line grants management system, SmartSimple, to apply for support at designated times during the year. Our programmatic support includes three main areas: Early Childhood, Jewish Values, and Arts & Culture. We also make grants through a Legacy Giving area and a Discretionary Giving Program. Organizations are screened to ensure they have 501c3 status or statuses acceptable by IRS for grant making. Our program team then reviews applications, conducts site visits and meetings, and prepares write-ups to be discussed by Foundation program committees comprised of Board members, staff and outside experts, as needed. These committees make decisions on application awards / declines. Grants awarded over \$100,000 for Early Childhood and above \$50,000 for other giving areas are required to also have full Board approval. Once approval decisions are made, grants management handles grant disbursements. Formal contracts are prepared only for grants \$10,000 and over, which are signed by Foundation and grantee official. All grant checks are prepared by William Harris Investors' accounting department, each signed by two authorized signatories, then mailed with letter transmittals by Foundation staff. Annual grant reporting is required for grants over \$10,000.