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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No. 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation

THE KLAUER FOUNDATION TRUST XXXXX5009

Number and street (or P.O. box number if mail is not delivered to street address)

10 S DEARBORN IL1-0117

City or town, state or province, country, and ZIP or foreign postal code

CHICAGO, IL 60603

G Check all that apply.

Initial return

Final return

Address change

Initial return of a former public charity

Amended return

Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col. (c), line

16) \$ 3,075,974.

J Accounting method ☒ Cash ☐ Accrual

☐ Other (specify)

(Part I, column (d) must be on cash basis.)

A Employer identification number

36-6013118

B Telephone number (see instructions)

866-888-5157

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☒ if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments.				
4 Dividends and interest from securities	62,101.	61,527.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	15,099.			
b Gross sales price for all assets on line 6a	917,467.			
7 Capital gain net income (from Part IV, line 2)		15,099.		
8 Net short-term capital gain.				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	2,107.			STMT 5
12 Total revenue Add lines 1 through 11	79,307.	76,626.		
13 Compensation of officers, directors, trustees, etc.	28,574.	17,144.		11,430.
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions)	1,393.	1,393.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule)	15.			15.
24 Total operating and administrative expenses. Add lines 13 through 23.	29,982.	18,537.	NONE	11,445.
25 Contributions, gifts, grants paid	147,800.			147,800.
26 Total expenses and disbursements Add lines 24 and 25	177,782.	18,537.	NONE	159,245.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-98,475.			
b Net investment income (if negative, enter -0-)		58,089.		
c Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		26,286.	20,674.	20,674.
	2	Savings and temporary cash investments		233,856.	124,499.	124,499.
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶	NONE			
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) . STMT 8		2,058,249.	1,976,988.	2,357,759.
	c	Investments - corporate bonds (attach schedule) . STMT 12		249,962.	396,880.	398,778.
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶ (attach schedule)					
12	Investments - mortgage loans					
13	Investments - other (attach schedule) STMT 13		228,502.	179,602.	174,264.	
14	Land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶ (attach schedule)					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		2,796,855.	2,698,643.	3,075,974.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)				NONE	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒					
	27	Capital stock, trust principal, or current funds		2,796,855.	2,698,643.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)		2,796,855.	2,698,643.	
	31	Total liabilities and net assets/fund balances (see instructions)		2,796,855.	2,698,643.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,796,855.
2	Enter amount from Part I, line 27a	2	-98,475.
3	Other increases not included in line 2 (itemize) ▶ ADJUSTMENT TO BASIS	3	263.
4	Add lines 1, 2, and 3	4	2,698,643.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,698,643.

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Part IV Capital Gains and Losses for Tax on Investment Income(a) List and describe the kind(s) of property sold (e.g., real estate,
2-story brick warehouse, or common stock, 200 shs. MLC Co.)(b) How
acquired
P - Purchase
D - Donation(c) Date acquired
(mo., day, yr.)(d) Date sold
(mo., day, yr.)**1 a PUBLICLY TRADED SECURITIES**

b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 917,467.		902,368.	15,099.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			15,099.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	15,099.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{ }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	161,320.	3,158,435.	0.051076
2014	145,869.	3,265,090.	0.044675
2013	131,658.	3,099,598.	0.042476
2012	163,936.	2,883,780.	0.056848
2011	144,035.	3,010,687.	0.047841

2 Total of line 1, column (d)	2	0.242916
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.	3	0.048583
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	2,956,929.
5 Multiply line 4 by line 3.	5	143,656.
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	581.
7 Add lines 5 and 6.	7	144,237.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	159,245.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)	1	581.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	581.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	581.
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,112.
b	Exempt foreign organizations - tax withheld at source	6b	NONE
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	1,112.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	531.
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ☐ 531. Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X
14 The books are in care of ► JP MORGAN CHASE BANK .N.A. Telephone no. ► (866) 888-5157 Located at ► 10 S DEARBORN ST; MC; IL 1-0117, CHICAGO, IL ZIP+4 ► 60603		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here		
and enter the amount of tax-exempt interest received or accrued during the year 15		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐ **5b****c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JP MORGAN CHASE BANK .N.A. 10 S DEARBORN ST, MC; IL 1-0117, CHICAGO, IL 60603	TRUSTEE 2	28,574.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		-0-	-0-	-0-

Total number of other employees paid over \$50,000 ☐ **NONE**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,865,231.
b	Average of monthly cash balances	1b	136,727.
c	Fair market value of all other assets (see instructions).	1c	NONE
d	Total (add lines 1a, b, and c)	1d	3,001,958.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	3,001,958.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	45,029.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,956,929.
6	Minimum investment return. Enter 5% of line 5	6	147,846.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	147,846.
2a	Tax on investment income for 2016 from Part VI, line 5 2a 581.		
b	Income tax for 2016. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	581.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	147,265.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	147,265.
6	Deduction from distributable amount (see instructions).	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	147,265.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	159,245.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	159,245.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	581.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	158,664.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				147,265.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			143,800.	
b Total for prior years 20 <u>14</u> , 20 <u> </u> , 20 <u> </u>		NONE		
3 Excess distributions carryover, if any, to 2016				
a From 2011	NONE			
b From 2012	NONE			
c From 2013	NONE			
d From 2014	NONE			
e From 2015	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>159,245.</u>				
a Applied to 2015, but not more than line 2a			143,800.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2016 distributable amount.				15,445.
e Remaining amount distributed out of corpus.	NONE			
5 Excess distributions carryover applied to 2016 . (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b.		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017.				131,820.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9.				
a Excess from 2012	NONE			
b Excess from 2013	NONE			
c Excess from 2014	NONE			
d Excess from 2015	NONE			
e Excess from 2016	NONE			

Form **990-PF** (2016)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 14

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 31				147,800.
Total			▶ 3a	147,800.
b Approved for future payment				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments .						
4 Dividends and interest from securities			14	62,101.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	15,099.		
9 Net income or (loss) from special events . . .						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue a _____						
b TAX REFUND			14	3,776.		
c DEFERRED INCOME			14	-1,669.		
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)				79,307.		

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

NOT APPLICABLE

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

Kristen Baxter
Signature of officer or trustee

05/02/2017
Date

▶ Vice President
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

KELLY NEUGEBAUER

Preparer's signature

Kelly Leugner

Date

05/02/2017

Check ☐ if self-employed

PTIN

P01285591

Firm's name ► DELOITTE TAX LLP

Firm's address ► 127 PUBLIC SQUARE
CLEVELAND, OH

44114

Firm's EIN ▶ 86-1065772

Phone no. 312-486-9652

Form **990-PF** (2016)

THE KLAUER FOUNDATION TRUST XXXXX5009

36-6013118

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
AT&T INC	158.	158.
ABBOTT LABS	240.	240.
AETNA INC NEW	62.	62.
AMERICAN INTL GROUP INC NEW	36.	36.
ANALOG DEVICES INC	73.	73.
APPLE COMPUTER INC	24.	24.
BANK OF AMERICA CORPORATION	328.	328.
BLACKROCK INC	99.	99.
BRISTOL MYERS SQUIBB CO	110.	110.
CBS CORP	128.	128.
CMS ENERGY CORP	32.	32.
CABOT OIL & GAS CORP	88.	88.
CAPITAL GR NON US EQUITY	2.	2.
CARNIVAL CORPORATION	2,694.	2,694.
CHEVRON CORP	117.	117.
CITIGROUP INC NEW	91.	91.
COCA-COLA CO	42.	42.
COLGATE PALMOLIVE CO	82.	82.
COLUMBIA PIPELINE GROUP	11.	11.
COMCAST CORP NEW CL A	19.	19.
CONOCOPHILLIPS	67.	67.
COSTCO WHSL CORP NEW	7.	7.
DEUTSCHE X-TRACKERS MSCI EAF	39.	39.
DANAHER CORP	3,229.	3,229.
DISNEY WALT CO	4.	4.
DISCOVER FINL SVCS	84.	84.
DODGE & INTERNATIONAL STOCK FUND	75.	75.
DOUBLELINE TOTL RET BND-I	3,292.	3,292.
DOW CHEM CO	2,306.	2,306.
DU PONT E I DE NEMOURS & CO	104.	104.
EOG RESOURCES INC	21.	21.
	30.	30.

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THE KLAUER FOUNDATION TRUST XXXXX5009

36-6013118

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
EQT CORPORATION	5.	5.
EASTMAN CHEM CO	77.	77.
EQUINOX FDS TR IPM SYSTMATC I	107.	107.
FIDELITY NATL INFORMATION SVCS INC	62.	62.
GATEWAY TR GATEWAY FD CL Y*	407.	407.
GENERAL DYNAMICS CORP	14.	14.
GENERAL ELEC CO	215.	215.
GENERAL MOTORS CO	216.	216.
GILEAD SCIENCES INC	87.	87.
GOLDMAN SACHS GROUP INC	52.	52.
HP INC	18.	18.
HALLIBURTON CO	6.	6.
HARMAN INTL INDS INC NEW	49.	49.
HARTFORD FINL SVCS GROUP INC	60.	60.
HARTFORD CAPITAL APPRECIATION FUND	686.	686.
HOME DEPOT INC	193.	193.
HONEYWELL INTL INC	221.	221.
HUMANA INC	21.	21.
ISHARES MISC EAFE INDEX FUND	5,930.	5,930.
ISHARES TR RUSSELL MIDCAP INDEX FD	2,397.	2,397.
ISHARES INC MSCI JAPAN NEW	619.	619.
JPM GLBL RES ENH INDEX FD - SEL	7,092.	7,092.
JPM GLBL BD OPP FD - CL I FUND 3294	307.	307.
JOHNSON CTLS INC	44.	44.
JPMORGAN US LARGE CAP CORE PLUS FUND SEL	504.	504.
JPMORGAN SHORT DURATION BOND FUND	571.	571.
KEYCORP NEW	50.	50.
KIMBERLY CLARK CORP	81.	81.
THE KRAFT HEINZ CO	16.	3.
L-3 COMMUNICATIONS HLDGS INC	39.	39.
LAM RESEARCH CORPORATION COMMON	90.	90.
LENNOX INTL INC	42.	42.
LILLY ELI & CO	120.	120.

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STATEMENT 2

THE KLAUER FOUNDATION TRUST XXXXX5009

36-6013118

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
LOWES COS INC	129.	129.
MFS INTL VALUE-I	1,926.	1,926.
MARSH & MCLENNAN COS INC	104.	104.
MARTIN MARIETTA MATLS INC	30.	30.
MASCO CORP	47.	47.
MASTERCARD INC - CLASS A	61.	61.
MCKESSON HBOC INC	12.	12.
MERCK & CO INC	66.	66.
METLIFE INC	137.	137.
MICROSOFT CORP	422.	422.
MOLSON COORS BREWING CO	80.	80.
MONDELEZ INTERNATIONAL-W/I	123.	17.
MORGAN STANLEY DEAN WITTER & CO NEW	178.	178.
NEUBERGER BERMAN HI IN B-INS	5,727.	5,727.
NEXTERA ENERGY INC	17.	17.
NISOURCE INC	91.	91.
NORTHROP GRUMMAN CORP	9.	9.
OCCIDENTAL PETE CORP	441.	441.
PPG INDS INC	40.	40.
PACCAR INC	124.	124.
PEPSICO INC	208.	208.
PFIZER INC	206.	206.
PIMCO UNCONSTRAINED BOND-P	831.	543.
PIMCO UNCONSTRAINED BD FD INSTL CL*	498.	498.
PIONEER NAT RES CO	2.	2.
PROCTER & GAMBLE CO	132.	132.
T ROWE PR EMERG MKTS BND	1,429.	1,262.
SPDR TR UNIT SER 1	9,872.	9,872.
SCHLUMBERGER LTD	135.	135.
SCHWAB CHARLES CORP NEW	18.	18.
STANLEY BLACK & DECKER INC	113.	113.
TJX COS INC NEW	41.	41.
TEXAS INSTRS INC	97.	97.

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THE KLAUER FOUNDATION TRUST XXXXX5009

36-6013118

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
TIME WARNER INC	159.	159.
TRANSCANADA CORP	22.	22.
TWENTY-FIRST CENTURY FOX-A	42.	42.
UNION PAC CORP	59.	59.
UNITED TECHNOLOGIES CORP	67.	67.
UNITEDHEALTH GROUP INC	165.	165.
VALERO REFIN & MARKETING CO	12.	12.
VANGUARD TOTAL BOND MARKET INDEX FUND-AD	449.	449.
VANGUARD FXD INCM INTER-TERM FD ADM*	1,759.	1,759.
VANGUARD EUROPEAN ETF	744.	744.
VISA INC CLASS A SHARES	27.	27.
WASTE CONNECTIONS INC	10.	10.
WELLS FARGO & CO NEW	291.	291.
YUM BRANDS INC	50.	50.
ALLEGION PLC	21.	21.
ACCENTURE PLC	102.	102.
JOHNSON CONTROLS INTERNATIONAL PLC	320.	320.
ACE LTD NEW	34.	34.
CHUBB LTD	111.	111.
TE CONNECTIVITY LTD	32.	32.
BROADCOM LTD	187.	187.
	-----	-----
TOTAL	62,101.	61,527.
	=====	=====

FORM 990PF, PART I - OTHER INCOME

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
-----	-----
TAX REFUND	3,776.
DEFERRED INCOME	-1,669.

TOTALS	2,107.
	=====

THE KLAUER FOUNDATION TRUST XXXXX5009

36-6013118

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	36.	36.
FOREIGN TAXES ON QUALIFIED FOR	1,306.	1,306.
FOREIGN TAXES ON NONQUALIFIED	51.	51.
	-----	-----
TOTALS	1,393.	1,393.
	=====	=====

THE KLAUER FOUNDATION TRUST XXXXX5009

36-6013118

FORM 990PF, PART I - OTHER EXPENSES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	CHARITABLE PURPOSES -----
ATTORNEY GENERAL FEE	15 .	15 .
TOTALS	----- 15 . =====	----- 15 . =====

THE KLAUER FOUNDATION TRUST XXXXX5009

36-6013118

FORM 990PF, PART II - CORPORATE STOCK

=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
2824100 ABBOTT LABORATORIES	2,307.	2,074.
15351109 ALEXION PHARMACEUTICA	3,535.	2,936.
23135106 AMAZON.COM INC	5,561.	10,498.
26874784 AMERICAN INTERNATIONAL	4,541.	5,225.
32511107 ANADARKO PETROLEUM CO	2,064.	2,022.
32654105 ANALOG DEVICES INC	3,577.	4,067.
37833100 APPLE INC	1,492.	16,562.
60505104 BANK OF AMERICA CORP	4,084.	8,288.
64058100 BANK OF NEW YORK MELL	2,322.	2,511.
101137107 BOSTON SCIENTIFIC CO	4,996.	4,953.
110122108 BRISTOL-MYERS SQUIBB	2,978.	4,441.
125896100 CMS ENERGY CORP	2,467.	2,955.
143658300 CARNIVAL CORP	2,917.	2,915.
151020104 CELGENE CORP	2,036.	4,167.
172967424 CITIGROUP INC	3,367.	5,765.
254687106 WALT DISNEY CO/THE	8,306.	8,859.
254709108 DISCOVER FINANCIAL S	3,553.	4,686.
256206103 DODGE & COX INTL STO	142,537.	141,525.
260543103 DOW CHEMICAL CO/THE	3,772.	4,063.
277432100 EASTMAN CHEMICAL CO	3,102.	3,610.
369550108 GENERAL DYNAMICS COR	2,892.	3,281.
369604103 GENERAL ELECTRIC CO	7,673.	8,943.
375558103 GILEAD SCIENCES INC	2,946.	2,220.
416515104 HARTFORD FINANCIAL S	3,370.	4,289.
416649309 HARTFORD CAPITAL APP	80,499.	94,830.
437076102 HOME DEPOT INC	3,114.	9,386.
438516106 HONEYWELL INTERNATIO	4,703.	10,427.
444859102 HUMANA INC	840.	2,448.
452327109 ILLUMINA INC	2,352.	1,665.

THE KLAUER FOUNDATION TRUST XXXXX5009

36-6013118

FORM 990PF, PART II - CORPORATE STOCK

=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
464287465 ISHARES MSCI EAFE IN	251,502.	238,714.
464287499 ISHARES RUSSELL MIDC	78,842.	139,511.
493267108 KEYCORP	2,146.	3,563.
494368103 KIMBERLY-CLARK CORP	1,638.	1,484.
500754106 THE KRAFT HEINZ CO	3,261.	3,405.
512807108 LAM RESEARCH CORP	1,003.	2,538.
526107107 LENNOX INTERNATIONAL	2,572.	3,217.
532457108 ELI LILLY & CO	5,946.	5,443.
548661107 LOWE'S COS INC	1,673.	5,974.
571748102 MARSH & MCLENNAN COS	1,618.	2,501.
573284106 MARTIN MARIETTA MATE	3,026.	3,988.
574599106 MASCO CORP	1,410.	3,826.
594918104 MICROSOFT CORP	8,414.	17,834.
609207105 MONDELEZ INTERNATION	4,590.	6,782.
617446448 MORGAN STANLEY	6,706.	10,985.
666807102 NORTHROP GRUMMAN COR	2,113.	2,326.
674599105 OCCIDENTAL PETROLEUM	7,047.	7,265.
693506107 PPG INDUSTRIES INC	2,758.	2,653.
713448108 PEPSICO INC	5,806.	6,278.
717081103 PFIZER INC	7,821.	7,405.
723787107 PIONEER NATURAL RESO	5,437.	6,843.
742718109 PROCTER & GAMBLE CO/	2,371.	3,784.
806857108 SCHLUMBERGER LTD	2,768.	3,022.
808513105 SCHWAB (CHARLES) COR	2,436.	3,394.
854502101 STANLEY BLACK & DECK	4,886.	5,735.
872540109 TJX COMPANIES INC	4,811.	5,484.
872590104 T-MOBILE US INC	1,611.	2,300.
882508104 TEXAS INSTRUMENTS IN	4,099.	5,619.
887317303 TIME WARNER INC	436.	2,606.

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STATEMENT 9

THE KLAUER FOUNDATION TRUST XXXXX5009

36-6013118

FORM 990PF, PART II - CORPORATE STOCK
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ----
907818108 UNION PACIFIC CORP	2,377.	2,696.
910047109 UNITED CONTINENTAL H	2,278.	4,227.
949746101 WELLS FARGO & CO	3,593.	9,699.
988498101 YUM! BRANDS INC	1,952.	2,217.
00206R102 AT&T INC	4,311.	5,316.
00724F101 ADOBE SYSTEMS INC	1,522.	4,530.
00817Y108 AETNA INC	3,695.	4,464.
00846U101 AGILENT TECHNOLOGIES	1,864.	1,868.
02079K107 ALPHABET INC/CA-CL C	7,271.	13,121.
02079K305 ALPHABET INC/CA-CL A	4,067.	6,340.
09062X103 BIOGEN INC	1,344.	3,119.
09247X101 BLACKROCK INC	4,054.	4,566.
14042Y601 CAPITAL GR NON US EQ	154,189.	142,251.
16119P108 CHARTER COMMUNICATIO	4,892.	5,758.
20030N101 COMCAST CORP-CLASS A	985.	4,005.
20605P101 CONCHO RESOURCES INC	2,286.	2,519.
22160K105 COSTCO WHOLESALE COR	2,846.	3,522.
25470M109 DISH NETWORK CORP-A	2,843.	3,302.
26875P101 EOG RESOURCES INC	7,964.	9,706.
26884L109 EQT CORP	3,234.	2,943.
30303M102 FACEBOOK INC-A	5,879.	8,974.
31620M106 FIDELITY NATIONAL IN	3,789.	4,538.
37045V100 GENERAL MOTORS CO	4,041.	4,947.
38141G104 GOLDMAN SACHS GROUP	3,012.	4,789.
46434G822 ISHARES INC MSCI JAP	48,883.	47,932.
46637K513 JPM GLBL RES ENH IND	297,203.	319,127.
4812A2389 JPM US LARGE CAP COR	101,309.	149,592.
55273E822 MFS INTL VALUE-I	82,130.	96,326.
57636Q104 MASTERCARD INC-CLASS	2,715.	8,260.

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THE KLAUER FOUNDATION TRUST XXXXX5009

36-6013118

FORM 990PF, PART II - CORPORATE STOCK

=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ----
58933Y105 MERCK & CO. INC.	4,486.	4,180.
59156R108 METLIFE INC	2,663.	4,688.
60871R209 MOLSON COORS BREWING	3,823.	4,768.
65339F101 NEXTERA ENERGY INC	2,379.	2,270.
65473P105 NISOURCE INC	1,997.	3,144.
67103H107 O'REILLY AUTOMOTIVE	2,412.	2,506.
78462F103 SPDR S&P 500 ETF TRU	391,924.	486,178.
78486Q101 SVB FINANCIAL GROUP	2,261.	3,090.
89353D107 TRANSCANADA CORP	3,983.	4,018.
90130A101 TWENTY-FIRST CENTURY	3,481.	3,112.
91324P102 UNITEDHEALTH GROUP I	4,969.	12,643.
92532F100 VERTEX PHARMACEUTICA	2,674.	2,726.
92826C839 VISA INC-CLASS A SHA	3,533.	3,589.
94106B101 WASTE CONNECTIONS IN	2,724.	2,829.
96208T104 WEX INC	2,319.	2,790.
98138H101 WORKDAY INC-CLASS A	1,650.	1,322.
G0176J109 ALLEGION PLC	3,074.	3,008.
G0177J108 ALLERGAN PLC	9,745.	7,980.
G1151C101 ACCENTURE PLC-CL A	3,672.	5,154.
G51502105 JOHNSON CONTROLS INT	3,159.	2,719.
H1467J104 CHUBB LTD	4,142.	7,927.
Y09827109 BROADCOM LTD	2,740.	12,374.
	-----	-----
TOTALS	1,976,988.	2,357,759.
	=====	=====

THE KLAUER FOUNDATION TRUST XXXXX5009

36-6013118

FORM 990PF, PART II - CORPORATE BONDS

=====

DESCRIPTION

258620103 DOUBLELINE TOTL RET
921937603 VANGUARD TOTAL BOND
922031810 VANGUARD INTM TRM IN
64128K868 NEUBERGER BERMAN HI
77956H872 T ROWE PR EMERG MKTS

TOTALS

ENDING
BOOK VALUE

64,450.
95,715.
57,400.
119,700.
59,615.

396,880.
=====

ENDING
FMV

61,923.
92,670.
56,636.
129,633.
57,916.

398,778.
=====

THE KLAUER FOUNDATION TRUST XXXXX5009

36-6013118

FORM 990PF, PART II - OTHER INVESTMENTS

=====

DESCRIPTION -----	COST/ FMV C OR F -----	ENDING BOOK VALUE -----	ENDING FMV ---
24525131 AMER BCN NUM INTEGR A	C	30,000.	29,882.
00771X435 CHILTON STRATEGIC EU	C	30,000.	30,719.
12628J881 CRM LONG/SHORT OPPOR	C	30,000.	29,760.
29446A710 EQUINOX FDS TR IPM S	C	28,415.	26,766.
29446A819 EQUINOX CAMPBELL STR	C	28,117.	26,461.
72201M487 PIMCO UNCONSTRAINED	C	33,070.	30,676.
		-----	-----
TOTALS		179,602.	174,264.
		=====	=====

THE KLAUER FOUNDATION TRUST XXXXX5009
FORM 990PF, PART XV - LINES 2a - 2d

36-6013118

=====

RECIPIENT NAME:

JPMORGAN CHASE BANK NA

ADDRESS:

10 S DEARBORN STREET

CHICAGO, IL 60603-2300

RECIPIENT'S PHONE NUMBER: 312-732-6385

FORM, INFORMATION AND MATERIALS:

LETTER

SUBMISSION DEADLINES:

NONE

RESTRICTIONS OR LIMITATIONS ON AWARDS:

PER TRUST INSTRUMENT

STATEMENT 14

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

ASSOCIATION OF FUNDRAISING
PROFESSIONALS

ADDRESS:

3390 WINDSOR AVE
DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

SISTERS OF ST. FRANCIS

ADDRESS:

3390 WINDSOR AVE
DUBUQUE, IA 52001-1311

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

DUBUQUE ARTS COUNCIL

ADDRESS:

2728 ASBURY ROAD
DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:
RED CROSS
ADDRESS:
2400 ASBURY ROAD
DUBUQUE, IA 52001
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
CAMP ALBRECHT ACRES
OF THE MIDWEST
ADDRESS:
P.O. BOX 50
SHERRILL, IA 52073
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:
DUBUQUE COUNTY HISTORICAL SOCIETY
ADDRESS:
P.O. BOX 266
DUBUQUE, IA 52004-0266
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

LINWOOD CEMETERY ASSOC.

ADDRESS:

2735 WINDSOR

DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:

BOYS AND GIRLS CLUB OF

GREATER DUBUQUE

ADDRESS:

1299 LOCUST

DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,500.

RECIPIENT NAME:

CARNEGIE-STOUT PUBLIC LIBRARY

FOUNDATION

ADDRESS:

P O BOX 27

DUBUQUE, IA 52004-0027

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

HILLS AND DALES

ADDRESS:

1011 DAVIS AVE

DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

FOUR MOUNDS FOUNDATION

ADDRESS:

4900 PERU ROAD

DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

WARTBURG SEMINARY

ADDRESS:

333 WARTBURG PLACE

DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

DIVINE WORD SEMINARY

ADDRESS:

102 JACOBY DR SW

EPWORTH, IA 52045

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:

TRI-STATE INDEPENDENT

BLIND SOC.

ADDRESS:

3333 ASBURY

DUBUQUE, IA 52002

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:

JR ACHIEVEMENT TRISTATES INC

ADDRESS:

2728 ASBURY ROAD 840

Dubuque, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

UNIVERSITY OF DUBUQUE

ADDRESS:

2000 UNIVERSITY AVENUE

DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:

DUBUQUE HUMANE SOCIETY

ADDRESS:

175 NORTH CRESCENT RIDGE

DUBUQUE, IA 52003

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,500.

RECIPIENT NAME:

DUBUQUE MERCY HEALTH FOUNDATION

ADDRESS:

250 MERCY DRIVE DUBUQUE

Dubuque, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 7,500.

RECIPIENT NAME:

MARYS INN MARERNITY HOME

ADDRESS:

PO BOX 3338

Dubuque, IA 52004

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:

DUBUQUE RESCUE MISSION

ADDRESS:

398 MAIN ST

Dubuque, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:

STONEHILL CARE CENTER

ADDRESS:

3485 WINDSOR AVENUE

DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

CATHOLIC CHARITIES OF THE
ARCHDIOCESE OF DUBUQUE

ADDRESS:

PO BOX 1309
DUBUQUE, IA 52004-1309

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

ST. STEPHENS FOOD BANK

ADDRESS:

3145 CEDAR CREST RIDGE
DUBUQUE, IA 52003

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

DUBUQUE MUSEUM OF ART

ADDRESS:

701 LOCUST STREET
DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

RECIPIENT NAME:

UNITED WAY OF DUBUQUE

ADDRESS:

215 WEST 6TH STREET

DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 26,000.

RECIPIENT NAME:

COLTS DRUM AND BUGLE CORPS

ADDRESS:

1101 CENTRAL AVE

DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,000.

RECIPIENT NAME:

FINLEY HEALTH FOUNDATION

ADDRESS:

350 N GRANDVIEW AVE

DUBUQUE, IA 52001-6328

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 6,000.

RECIPIENT NAME:

ILLINOIS STATE UNIVERSITY

ADDRESS:

100 N UNIVERSITY ST.

NORMAL, IL 61761

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:

LUTHER MANOR

ADDRESS:

4545 NORTH 92ND STREET

WAUWATOSA, WI 53225

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

SISTERS OF CHARITY

ADDRESS:

PO BOX 858

DUBUQUE, IA 52004-0858

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

Greater Dubuque Development Corporation

ADDRESS:

900 JACKSON ST #109

Dubuque, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:

ST. VINCENT DE PAUL SOCIETY

ADDRESS:

1351 IOWA STREET

DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

IOWA SCHOLARSHIP FUND, INC.

ADDRESS:

U OF IA CARVER-HAWKEYE ARENA

IOWA CITY, IA 52242

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,400.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

GRAND OPERA HOUSE

ADDRESS:

P.O. BOX 632

DUBUQUE, IA 52004-0632

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,000.

RECIPIENT NAME:

DUBUQUE SYMPHONY ORCHESTRA

ADDRESS:

2728 ASBURY RD; SUITE 900

DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:

CLARKE University

ADDRESS:

1550 CLARKE DRIVE

DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 10,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

LORAS COLLEGE

ADDRESS:

P.O. BOX 178

DUBUQUE, IA 52004-0178

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:

UNIVERSITY OF NOTRE DAME

SCHOLARSHIPS

ADDRESS:

115 MAIN BUILDING

NOTRE DAME, IA 46556-5602

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:

UNIVERSITY OF IOWA FOUNDATION

ADDRESS:

1 W PARK RD

IOWA CITY, IA 52242

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

THE KLAUER FOUNDATION TRUST XXXXX5009

36-6013118

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

TERESA SHELTER/ OPENING DOORS

ADDRESS:

1111 BLUFF DRIVE

DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:

HOLY FAMILY CATHOLIC SCHOOLS

ADDRESS:

2005 KANE

DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:

IOWA COLLEGE FOUNDATION

ADDRESS:

505-5TH AVE SUITE 1034

IOWA CITY, IA 50309

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,500.

STATEMENT 28

THE KLAUER FOUNDATION TRUST XXXXX5009

36-6013118

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

SALVATION ARMY

ADDRESS:

P.O. BOX 416

DUBUQUE, IA 52004-0416

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 400.

RECIPIENT NAME:

COMMUNITY FOUNDATION OF

GREATER DUBUQUE

ADDRESS:

P.O. BOX 902

DUBUQUE, IA 52004-0902

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 11,000.

RECIPIENT NAME:

MARIA HOUSE/ OPENING DOORS

ADDRESS:

1561 JACKSON STREET

DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

THE KLAUER FOUNDATION TRUST XXXXX5009

36-6013118

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

DUBUQUE ARBORETUM
AND BOTANICAL GARDENS

ADDRESS:

MARSHALL PARK
DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:

SHALOM RETREAT CENTER

ADDRESS:

1001 DAVIS ST.
DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:

HILLCREST FAMILY SERVICES

ADDRESS:

2005 ASBURY
DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

STATEMENT 30

THE KLAUER FOUNDATION TRUST XXXXX5009

36-6013118

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

VISITING NURSE ASSOC.

ADDRESS:

P.O. BOX 359

DUBUQUE, IA 52004-0359

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:

SAINT MARKS COMMUNITY

CENTER

ADDRESS:

1201 LOCUST STREET

DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

VETERANS FREEDOM CENTER

ADDRESS:

2245 KERPER BLVD #1

DUBUQUE, IA 52001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

TOTAL GRANTS PAID:

147,800.

=====

STATEMENT 31