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2016

OMB No 1545-0052

Return of Private Foundation

Department of the Treasury

Form 990-PF

Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For	caler	ndar year 2016, or tax year	beginning 01-01-20)16 , aı	nd en	nding 12-31-	2016		
RI	CHARD	indation P & LAURINE KIMMEL				' '	entification numbe	r	
		BLE FOUNDATION INC				36-3617581			
Number and street (or P O box number if mail is not delivered to street address) Room/suite 8555 EXECUTIVE WOODS DRIVE RM 600							B Telephone number (see instructions)		
						(402) 475-1797	,		
		n, state or province, country, and ZIP or E 68512	foreign postal code			C If exemption	application is pendin	g, check here	
G Cł	neck al	I that apply	☐ Initial return of a	former public charity		D 1. Foreign or	ganizations, check he	ere . \square	
		☐ Final return	Amended return				ganizations meeting		
		Address chan	ge 🔲 Name change			· ·	k here and attach co	· -	
	,		501(c)(3) exempt private				ındatıon status was t n 507(b)(1)(A), chec		
		n 4947(a)(1) nonexempt charitable							
of	year <i>(f</i>	xet value of all assets at end from Part II, col (c), \$ 25,279,716	J Accounting method ☐ Other (specify) (Part I, column (d) must	Cash L Accru	ıal		ition is in a 60-montl n 507(b)(1)(B), chec		
Do	-A T	Analysis of Poyonya and		I	Т			L.D. D. J	
Pa	rt I	Analysis of Revenue and of amounts in columns (b), (c), and (equal the amounts in column (a) (see	d) may not necessarily	(a) Revenue and expenses per books	(Ь)	Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)	
	1	Contributions, gifts, grants, etc , schedule)	received (attach						
	2	Check ► ✓ If the foundation is	not required to attach						
	_	Sch B							
	3	Interest on savings and tempora	•	38,754	-	38,754			
	4	Dividends and interest from secu Gross rents	irities	702,510	<u>'</u>	702,510			
	5a b	Net rental income or (loss)			\vdash				
ъ	6a	Net gain or (loss) from sale of as	sets not on line 10	477,514	1				
Ĕ	ь	Gross sales price for all assets or		,	+				
Revenue	_	· ·	5,780,684						
~	7	Capital gain net income (from Pa		-	477,514				
	8	Net short-term capital gain . Income modifications			-				
	10a	Gross sales less returns and allow			+				
	ь	Less Cost of goods sold	wances	<u></u>					
	С	Gross profit or (loss) (attach sch	edule)						
	11	Other income (attach schedule)							
	12	Total. Add lines 1 through 11		1,218,778	3	1,218,778			
	13	Compensation of officers, directo	rs, trustees, etc	174,188	3				
	14	Other employee salaries and wag	ges	109,630)	54,815		54,815	
es	15	Pension plans, employee benefits	5	21,160)	9,000		12,160	
su s	16a	Legal fees (attach schedule) .		7,154	1	4,000		3,154	
Operating and Administrative Expenses	b	Accounting fees (attach schedule	.)	13,355	5	10,000		3,355	
e E	С	Other professional fees (attach s	•	2,443	3			2,443	
ativ	17	Interest		00.1	_				
IStr	18	Taxes (attach schedule) (see inst	•	5,760	-	5,760			
Ē	19	Depreciation (attach schedule) a	•	25,056	-	25,056			
Adl	20	Occupancy		44,775	+	14,200		30,575	
pu	21 22	Travel, conferences, and meeting Printing and publications		14,512	1			14,512	
9 9	23	Other expenses (attach schedule		123,133	3	55,576		67,557	
Œ	24	Total operating and administr			\vdash	,-, -		1,237	
ær		Add lines 13 through 23	·	541,166	;	178,407		188,571	
Ö	25	Contributions, gifts, grants paid		586,583	3			586,583	
	26	Total expenses and disbursen 25	nents. Add lines 24 and	1,127,749		178,407		775,154	
	27	Subtract line 26 from line 12							
	а	Excess of revenue over exper disbursements	ses and	91,029					
	ь	Net investment income (If neg	•			1,040,371			
	С	Adjusted net income(if negative	<u> </u>						
For	Paper	work Reduction Act Notice, see	e instructions.			Cat No 11289	(For	m 990-PF (2016)	

1,017,622

14,975,518

740,951

582,858

435

435

20.224.377

20,224,377

20,224,812

20,224,812

9

15.059.511

489,505

557,802

20,315,715

309

309

20,315,406

20,315,406 20,315,715

20,224,377

20,315,406

20,315,406 Form 990-PF (2016)

91,029

1

2

3

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5

6

19.552.437

500,760

1,017,622

25,279,716

) >
	6
	7
S	8
ssets	9
2	100

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11

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21 22

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25

28

29

31

Part III

2

3

Liabilities

Balances

Fund 26

5

Assets 27

Net 30 Inventories for sale or use

Prepaid expenses and deferred charges

Investments—land, buildings, and equipment basis ▶

Investments—other (attach schedule)

Less accumulated depreciation (attach schedule) ▶ 459,820

Foundations that follow SFAS 117, check here

and complete lines 24 through 26 and lines 30 and 31.

Foundations that do not follow SFAS 117, check here ▶

Paid-in or capital surplus, or land, bldg, and equipment fund

Capital stock, trust principal, or current funds

Retained earnings, accumulated income, endowment, or other funds

Total net assets or fund balances (see instructions)

Total liabilities and net assets/fund balances (see instructions) .

Analysis of Changes in Net Assets or Fund Balances

Total net assets or fund balances at beginning of year-Part II, column (a), line 30 (must agree with end-

Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30

Loans from officers, directors, trustees, and other disqualified persons

Mortgages and other notes payable (attach schedule).

Less accumulated depreciation (attach schedule) ▶

Total assets (to be completed by all filers—see the

Land, buildings, and equipment basis

instructions Also, see page 1, item I)

Other assets (describe > _

Deferred revenue .

Unrestricted

Temporarily restricted

Permanently restricted .

and complete lines 27 through 31.

of-year figure reported on prior year's return)

Decreases not included in line 2 (itemize) ▶

Other increases not included in line 2 (itemize) -

Enter amount from Part I, line 27a

Other liabilities (describe -

Investments—U S and state government obligations (attach schedule)

Investments—corporate bonds (attach schedule)

Part IV Ca	pitai Gains and I	osses for Tax on Invest	ment Income			
		(a) nd(s) of property sold (e g , re e, or common stock, 200 shs		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a VARIOUS	PUBLIC SECURITIES			P	2016-07-04	2016-12-31
	PUBLIC SECURITIES			P	2015-01-01	2016-12-31
c						
		(f)		(g)		(h)
Gross	(e) sales price	Depreciation allowed (or allowable)	Cost or	other basis ense of sale	Gain d	or (loss) f) minus (g)
а	2,611,725			2,451,798		159,927
b	3,168,959			2,851,372		317,587
С						
d						
e						
Complete	only for assets show	ring gain in column (h) and ow	ned by the foundation	on 12/31/69		(I)
	(i) as of 12/31/69	(j) Adjusted basis as of 12/31/69	Excess	(k) of col (ı) (j), ıf any	Gains (Col (col (k), but not	(h) gain minus cless than -0-) or rom col (h))
а						159,927
b						317,587
С						
d						
e						
3 Net short	also enter in Part I, lii	et capital loss) (loss) as defined in sections 1 ne 8, column (c) (see instructions 1		,	3	477,514 159,927
		r Section 4940(e) for Re	duced Tax on Net	Investment In	come	
For optional use	by domestic private	foundations subject to the sec	tion 4940(a) tax on ne	et investment incom	ne)	
	l)(2) applies, leave th	•	, ,		,	
		on 4942 tax on the distributal lify under section 4940(e) Do			,	es 🗸 No
1 Enter the	appropriate amount	ın each column for each year,	see instructions before	making any entrie	s	
(a) Base period yea year (or tax year	ars Calendar	(b) Ijusted qualifying distributions	(c) Net value of noncharitab	le-use assets	(d) Distribution rat (col (b) divided by	
201		555,129		24,789,111		0 022394
201	4	2,038,901		18,674,831		0 109179
201	3	946,472		22,060,940		0 042903
201	2	8,064,966		21,192,904		0 380550
201	1	1,567,462		21,626,138		0 072480
2 Total of li	ne 1, column (d)			2		0 627506
number of	years the foundation	ne 5-year base period—divide to n has been in existence if less t table-use assets for 2016 from	than 5 years 🔒 🗓	, or by the		0 125501
			•		 	21,293,626
	•			5		2,672,371
		come (1% of Part I, line 27b)		6		10,404
7 Add lines 5				7		2,682,775
		om Part XII, line 4 ,				775,154
TE line O in		han line 7, check the box in Pa	ort VI line 1h and com	niete that nart licir	ng a 1% tay rate. Se	oo the Part VI

Form 990-PF (2016) Information About Officers, Directors, Trustees,	Foundation Managers Highly	Page 7
and Contractors (continued)	roundation managers, riging	Palu Employees,
3 Five highest-paid independent contractors for professional service	es (see instructions). If none, en	ter "NONE".
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		>
Part IX-A Summary of Direct Charitable Activities		1
List the foundation's four largest direct charitable activities during the tax year. Include rele organizations and other beneficiaries served, conferences convened, research papers produ	evant statistical information such as the nunced, etc	nber of Expenses
1		
2		
2		
3		
		
4		
Part IX-B Summary of Program-Related Investments (see	nstructions)	
Describe the two largest program-related investments made by the foundation during the	ne tax year on lines 1 and 2	Amount
1 N/A		
2		
All other program-related investments See instructions		
all other program-related investments. See instructions		
-		
Total. Add lines 1 through 3		. ▶
		Form 990-PF (2016)

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for

1a

1b

2

За 3b

4

5

775,154

775.154

775,154

Form 990-PF (2016)

Part XII

1

2

3

4

5

Qualifying Distributions (see instructions)

Amounts set aside for specific charitable projects that satisfy the

the section 4940(e) reduction of tax in those years

Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes

Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,

Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment

Adjusted qualifying distributions. Subtract line 5 from line 4.

Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.

Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4

indicated below:

Page 9

1,043,874

775.154

268,720

Form **990-PF** (2016)

Part XIII Undistributed Income (see instruct	tions)			
	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
Distributable amount for 2016 from Part XI, line 7				1,
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only				
b Total for prior years 20				
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012 6,853,179				

268,720

7,703,065

7,703,065

1,118,606

7,971,785

c From 2013. **d** From 2014. e From 2015. . . . XII, line 4 > \$

f Total of lines 3a through e. 4 Qualifying distributions for 2016 from Part (Election required—see instructions).

a Applied to 2015, but not more than line 2a **b** Applied to undistributed income of prior years c Treated as distributions out of corpus (Election

6 Enter the net total of each column as

6.584.459

1.118.606

same amount must be shown in column (a))

d Applied to 2016 distributable amount. e Remaining amount distributed out of corpus **5** Excess distributions carryover applied to 2016 (If an amount appears in column (d), the

a Corpus Add lines 3f, 4c, and 4e Subtract line 5

b Prior years' undistributed income Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. d Subtract line 6c from line 6b Taxable amount —see instructions e Undistributed income for 2015 Subtract line

required—see instructions).

4a from line 2a Taxable amount—see instructions f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must

be distributed in 2017 corpus to satisfy requirements imposed by

7 Amounts treated as distributions out of section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)

8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .

9 Excess distributions carryover to 2017.

10 Analysis of line 9

a Excess from 2012. . **b** Excess from 2013. .

c Excess from 2014. . d Excess from 2015. . e Excess from 2016. .

Subtract lines 7 and 8 from line 6a

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other

factors

RESTRICTIONS ARE PER THE ATTACHED STATEMENTS

ter gross	amounts unless otherwise indicated	Unrelated bus	siness income	Excluded by section	512, 513, or 514	(e) Related or exempt
. Program	service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	function income (See instructions)
	and contracts from government agencies					
_	ship dues and assessments					
	st on savings and temporary cash ments			14	38,754	
	ds and interest from securities			14	702,510	
	tal income or (loss) from real estate					
	financed property					
	ntal income or (loss) from personal property					
	evestment income					
	r (loss) from sales of assets other than					
	ory					477,514
Gross p	rofit or (loss) from sales of inventory					
. Other r	evenue a					
d						
d e					741,264	,
d e 2 Subtota 3 Total . /	al Add columns (b), (d), and (e) Add line 12, columns (b), (d), and (e)	ns)		13	741,264 B	,
d e ? Subtota ? Total. A ee worksh		ns)				,
d e Subtota Total. A ee worksh art XVI	Add Ine 12, columns (b), (d), and (e)	ns) e Accomplishi ncome is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ed importantly to	,
d e Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e). Add line 12, columns (b), (d), and (e). Beet in line 13 instructions to verify calculations. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplishi ncome is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ed importantly to	,
d e Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e). Add line 12, columns (b), (d), and (e). Beet in line 13 instructions to verify calculations. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplishi ncome is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ed importantly to	,
d e Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e). Add line 12, columns (b), (d), and (e). Beet in line 13 instructions to verify calculations. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplishi ncome is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ed importantly to	,
d e Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e). Add line 12, columns (b), (d), and (e). Beet in line 13 instructions to verify calculations. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplishi ncome is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ed importantly to	,
d e Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e). Add line 12, columns (b), (d), and (e). Beet in line 13 instructions to verify calculations. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplishi ncome is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ed importantly to	,
d e Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e). Add line 12, columns (b), (d), and (e). Beet in line 13 instructions to verify calculations. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplishi ncome is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ed importantly to	,
d e Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e). Add line 12, columns (b), (d), and (e). Beet in line 13 instructions to verify calculations. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplishi ncome is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ed importantly to	,
d e Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e). Add line 12, columns (b), (d), and (e). Beet in line 13 instructions to verify calculations. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplishi ncome is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ed importantly to	,
d e Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e). Add line 12, columns (b), (d), and (e). Beet in line 13 instructions to verify calculations. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplishi ncome is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ed importantly to	,
d e Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e). Add line 12, columns (b), (d), and (e). Beet in line 13 instructions to verify calculations. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplishi ncome is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ed importantly to	,
d e Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e). Add line 12, columns (b), (d), and (e). Beet in line 13 instructions to verify calculations. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplishi ncome is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ed importantly to	,
d e Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e). Add line 12, columns (b), (d), and (e). Beet in line 13 instructions to verify calculations. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplishi ncome is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ed importantly to	,
d e Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e). Add line 12, columns (b), (d), and (e). Beet in line 13 instructions to verify calculations. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplishi ncome is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ed importantly to	,
d e Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e). Add line 12, columns (b), (d), and (e). Beet in line 13 instructions to verify calculations. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplishi ncome is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ed importantly to	,
d e Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e). Add line 12, columns (b), (d), and (e). Beet in line 13 instructions to verify calculations. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplishi ncome is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ed importantly to	,
d e Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e). Add line 12, columns (b), (d), and (e). Beet in line 13 instructions to verify calculations. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplishi ncome is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ed importantly to	,
de Subtota Total. / ee worksh art XVI ine No.	Add columns (b), (d), and (e). Add line 12, columns (b), (d), and (e). Beet in line 13 instructions to verify calculations. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplishi ncome is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ed importantly to	,
d e Subtota Total. A ee worksh art XVI ine No.	Add columns (b), (d), and (e). Add line 12, columns (b), (d), and (e). Beet in line 13 instructions to verify calculations. Belationship of Activities to the Explain below how each activity for which the accomplishment of the foundation's ex	ns) e Accomplishi ncome is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ed importantly to	477,514 1,218,778

Information Regarding Transfers To and Transactions and Relationships With Noncharitable

Par	: XVI	Exempt		-	1 4115	1613 10 6	and Iransac	01	iis ailu K	ciatio	nsinps with Noi	iiciiaiic	аыс		
		rganization direct									n described in sections?	on 501		Yes	No
a Tra	nsfers	from the report	ting foun	dation to a n	oncha	ritable ex	empt organizat	on .	of			Ī			
(1) Cash											. [1a(1)		No	
(2) Other assets											. [1a(2)		No	
b Other transactions											[
(1) Sales of assets to a noncharitable exempt organization											[1b(1)		No	
(2) Purchases of assets from a noncharitable exempt organization										[1b(2)		No		
(3) Rental of facilities, equipment, or other assets											1b(3)		No		
(4) Rein	nbursement arra	angemen	ts								. [1b(4)		No
(5) Loar	ns or loan guara	ntees.									.	1b(5)		No
(6) Perfo	rmance of servi	ces or m	embership oi	fund	raising sol	licitations					.	1b(6)		No
	_	of facilities, equip				•						. [1c		No
											ways show the fair r				
											d less than fair mar ts, or services recei		9		
	u.,, c.c	and action of one	ing arra	ingerment, on		(0	a, the value of		goods, our	c. 455c	13, 51 321 1123 13321	,			
(a) Lin	e No	(b) Amount inv	olved	(c) Name of	noncha	arıtable exe	mpt organization	\perp	(d) Descri	ption of	transfers, transactions	, and shar	ing arra	ngemen	ts
								_							
								_							
								+							
								+							
								+							
								+							
								+							
								+							
								T							
								T							
2a Is	the fou	ındatıon dırectly	or indire	ectly affiliated	d with	, or relate	d to, one or mo	re t	tax-exempt	organı	zations	_	_	_	
de	scribed	l ın section 501(c) of the	Code (other	than	section 50	01(c)(3)) or in s	sect	ion 527?.			🗆	Yes	✓ N	0
b If	'Yes,"	complete the fol	lowing so	chedule											
		(a) Name of o	organizatio	n		(1	b) Type of organiz	zatio	n		(c) Description	of relation	nship		
	Lln	dor popultion of	portury	I doclare tha	+ I bay	Vo ovamin	od this roturn	ıncl	uding accor	mnanvi	ng schedules and st	tatomont	s and	to the	hoct
											than taxpayer) is b				
Sign	y wh	ıch preparer has	any kno	wledge						•					
Here		*****					2017-06-21		***	***		May the IR			
		Signature of off	ficar ar ti	rustoo			Date		— ▶ 			with the pr (see instr)			
		Signature or on	iicei oi ti	ustee			Date		, inde	=		(see instr)) Y Y	es ⊔ N	0
		Print/Type pi	reparer's	name	Prep	arer's Sıgı	nature		Date			PTIN			
						,					Check if self-		200767	7044	
		KYLE L SI	TZMAN C	'DΔ					2017.0	c 21	employed ▶ 📙		-00/0/	777	
Paid			. El lativ						2017-0	0-21					
Prep	are	r Firm's name I	► BUC	KLEY & SITZI	MAN I	.LP			I		1				
-	Only	i ii iii o ii aiii c i										Fırm's EI	N ►47	-03502	35
		Firm's addres	ss ▶ 42	40 PIONEER	woo	DS DR									
			1 77	אכסוא איב י	58506	5278						Phone no	(402) 484-7	7676
LINCOLN, NE 685065278															

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation (a) Name and address Title, and average (c) Compensation (If (d) Expense account, Contributions to hours per week not paid, enter (e) other allowances (b) devoted to position -0-) employee benefit plans and deferred compensation ERNEST L WEYENETH 174,188 PRESIDENT 000 00 8555 EXECUTIVE WOODS DR 600 LINCOLN, NE 68512

PATRICIA HOLMES	DIRECTOR	0	0	0
153 S 56TH ROAD NEBRASKA CITY, NE 68410	000 00			
TYLER CROWNOVER	DIRECTOR	0	0	0
80 S ROAD	000 00			

NEBRASKA CITY, NE 68410				
TYLER CROWNOVER	DIRECTOR	0	0	C
80 S ROAD UNADILLA, NE 68454	000 00			

LEN MENTALE	DIDECTOR			
80 S ROAD UNADILLA, NE 68454	000 00			
TYLER CROWNOVER	DIRECTOR	0	0	0
		l e	I .	

80 S ROAD UNADILLA, NE 68454	000 00	0	0	U
LEN WEYENETH	DIRECTOR	0	0	0

80 S ROAD UNADILLA, NE 68454	000 00			
LEN WEYENETH	DIRECTOR	0	0	C
8555 EXECUTIVE WOODS DR 600	7 000 00			

ONABILLA, NE 00434				
LEN WEYENETH	DIRECTOR	0	0	C
8555 EXECUTIVE WOODS DR 600 LINCOLN, NE 68508	1 000 00			

LEN WEYENETH	DIRECTOR	0	0	
8555 EXECUTIVE WOODS DR 600 LINCOLN, NE 68508	1 000 00			
	1			

8555 EXECUTIVE WOODS DR 600 LINCOLN, NE 68508				
KYLE RYAN	DIRECTOR	0	0	

KYLE RYAN	DIRECTOR	0	0	
525 TEDRA OAKS DRIVE	000 00			

NEBRASKA CITY, NE 68410

efile GRAPHIC print - DO NOT PROCES	S As Filed D	ata -	D	LN: 93491193002047
TY 2016 Accounting Fees So	hedule			
		O P & LAURINE KIMI ABLE FOUNDATION : 581		
Category A	mount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	13,355	10,000		3,355

efile GRAPHIC pr	int - DO N	OT PROCESS	As Filed Data	-				DLN: 934	91193002047
Note: To capture the full content of this document, please select landscape mode (11" \times 8.5") when printing.									
TY 2016 Dep	reciatio	n Schedule							
		Name: F	ùChard p & L∕	AURINE KIMMEL					
1		C	CHARITABLE FC	DUNDATION INC					
		EIN: 3	36-3617581						
Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
DEPRECIATION						25,056			

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491193002047
TY 2016 Investments Corpora	ite Bonds Schedule	
Name:	RICHARD P & LAURINE KIMMEL	
	CHARITABLE FOUNDATION INC	
EIN:	36-3617581	

EIN: 30-30	01/081		
Name of Bond		End of Year Book Value	End of Year Fair Market Value
PER ATTACHMENT		489,505	500,760

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491193002047
TY 2016 Investments Corpora	te Stock Schedule	
Name:	RICHARD P & LAURINE KIMMEL	
	CHARITABLE FOUNDATION INC	
EIN:	36-3617581	

r	Name of Stock	End of Year Book	End of Year Fair
		Value	Market Value
PER ATTACHMENT		15,059,511	19,552,437

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DI	N: 93491193002047
TY 2016 Investments - Other	Schedule		
Name:	RICHARD P & LAURINE KIM	MEL	
	CHARITABLE FOUNDATION	INC	
EIN:	36-3617581		
Caka sassa / Thans	1:444 4 64 64	Dools Value	Food of Waren Faire

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ATLAS PIPELINE PARTNERS PTP	AT COST		

efile GRAPHIC print - DO NOT I	PROCESS	As Filed Data -		DLN: 93491193002047
TY 2016 Land, Etc. Schedule				
	Name:	RICHARD P & LAU	RINE KIMMEL	
		CHARITABLE FOU	NDATION INC	

	EIN: 36-3617581			
Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
	1,017,622	459,820	557,802	1,017,622

efile GRAPHIC print - DO NOT PROCESS	As Filed Data	-	DLI	N: 93491193002047
TY 2016 Legal Fees Schedule	•			
Name	: RICHARD P	& LAURINE KIMME	:L	
	CHARITABLE	FOUNDATION IN	С	
EIN	: 36-3617581			
Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
VARIOUS ATTORNEYS	7,154	4,000		3,154

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -		DLN	: 93491193002047
TY 2016 Other Expenses Sche	dule			
Name:	RICHARD P & L	AURINE KIMMEL		
	CHARITABLE FO	DUNDATION INC		
EIN:	36-3617581			
Other Expenses Schedule				
Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				

1,993

11,992

7,663

4,265

6,306

10,000

15,937

22,202

7,865

5,000

3,000

4,000

5,000

10,000

11,101

3,000

1,993

11,992

2,663

1,265

2,306 5,000

5,937

11,101

4,865

ADVERTISING

ARTIST STIPENDS-NET

COMPUTER SUPPLIES & SERVICE

CLEANING SERVICES

CUSTODIAL FEES

DIRECTORS FEES

INSURANCE

DUES & SUBSCRIPTIONS

MEALS AND ENTERTAINMENT

Description Revenue and **Net Investment Adjusted Net** Disbursements for Charitable Expenses per Income Income Books Purposes

Other Expenses Schedule

SEMINARS

SUPPLIES

UTILITIES

WEBSITE

MEETINGS & GATHERINGS	5,025	2,000	3,025
OFFICE SUPPLIES	4,479	2,000	2,479
POSTAGE	473	100	373

1,136

2,521

20,926

350

375

10,000

761

350

2,521 10,926

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -		DL	LN: 93491193002047
TY 2016 Other Liabilities Sche	dule			
Name:	RICHARD P &	LAURINE KIN	1MEL	
	CHARITABLE F	FOUNDATION	I INC	
ETNI.				
ETIA:	36-3617581			
Description	n		Beginning of Year - Book Value	End of Year - Book Value
TAXES WITTHELD			435	309

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491193002047
TY 2016 Other Notes/Loans R	eceivable Short Schedul	e
Name:	RICHARD P & LAURINE KIMN	1EL
	CHARITABLE FOUNDATION I	INC
EIN:	36-3617581	
Name of 501(c)(3) Organization	Balance Due
RECEIVABLE FROM KOV		

efile GRAPHIC print - DO NOT PROCES	S As Filed Data	-	DL	N: 93491193002047
TY 2016 Other Professional	l Fees Schedu	le		
		& LAURINE KIMME E FOUNDATION IN	_	
Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
VARIOUS	2,443			2,443

efile GRAPHIC print - DO NOT PROCESS	As Filed Data	-	DL	N: 93491193002047
TY 2016 Taxes Schedule				
Name:	RICHARD P	& LAURINE KIMME	L	
	CHARITABLE	FOUNDATION IN	С	
EIN:	36-3617581			
Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE	5,760	5,760		

Kimmel Foundation Transaction Detail by Account January through December 2016

11/17 sh Basis

6 PM

Paid Amount Balance	-11 00	-11 00	5,606 85 5,606 85 1,472 00 7,078 85 75 00 7,153 85 75 00 7,228 85	7,228 85 7,228 85		5,000 00 5,000 00	2		0 00 30,197 00	10,000 00 40,187 00	41.997	3,000 00 44,997 00		58,497	10,000 00 68,497 00 2,500 00 70,002 00	75,997	266'08		8		2,000 00 96,036 38 5,000 00 404 036 38	108,536				10,000 00 352,436 38		17,491 78 374,928 16						
-11 00			5,606 85 1,472 00 75 00 75 00			5,000 00	15,000 00	10,197 00	00000	-10,000,00	11,800 00	3,000 00	10,000 00	3,500 00	2 500 00	5,000 00	5,000 00	10,000 00	2,500 00	539 38	2,000 00	2,000 00			2,400 00	10,000 00	5,000 00	17,491 78	5,000 00		23,154 56	23,154 56 0 00 7 000 00	23,154 56 0 00 4,900 00 4,900 00	23,154 56 0 00 4,900 00 4,900 00 15,000 00
	Ernie Reimbursement from Ki		Legal Services Legal Services Account Number 2024 10000 Account No 2024 10000			Pmt of Grant Ref #496	Pmt of Grant Ref #492	Pmt of Grant Ref #485	Pmt of Grant Ref #512 For CHK 10019 voided on 04/	Reverse of G.IF BS2015208 -	Pmt of Grant Ref #512			Pmt of Grant Ref #495						Pmt of Grant Ref #524			KOV Loan to Grant						Pmt of Grant Ref #526					()
	Ernie Weyeneth (Reim)		PGH & GATTORNEYS A PGH & GATTORNEYS A PGH & GATTORNEYS A PGH & GATTORNEYS A			Nebraska Community Fou	Kappa Foundation	University of Nebraska Fo	Cattlemen's Ball of Nebra	Cattlemen's Ball of Nebra	Cattlemen's Ball of Nebra	Nebraska Community Fou	Nebraska City Food Pantry	LINCOIN ARS COUNCIL	Lourdes Central Catholic	Syracuse Dollars for Scho	NET Foundation for Televi	University of Nebraska Fo	University of Nebraska Fo	Kregel Windmill Factory Peru State College	Peru State College	Nebraska City Public Sch		Nebraska Community Fou	Bright Lights	Strategic Air and Space M	Foundation for Knowledge	Otoe County Agriculture S	The Villege of Pelanian		Celebrating Nebraska Sta	Celebrating Nebraska Sta	Celebrating Nebraska Sta Friends of Lied Friends of Lied	Celebrating Nebraska Sta Friends of Lied Friends of Lied Celebrating Nebraska Sta
		e)e	10067 10184 10476 10591			9866	9973	9982	10019 RS201	BS201	10020	10022	10062	10075	10109	10110	10111	10125	10126	10149	10178	10179	BS201	10229	10230	10231	10232	10269	10200	200	10330	10330	10330 10332 10333	10330 10332 10333 BS201
ts Receivable	09/22/2016	Accounts Receivable	y Fees 05/04/2016 07/05/2016 11/22/2016 12/22/2016	Attorney Fees	ble Payments her	01/29/2016	03/14/2016	03/23/2016	04/12/2016	04/12/2016	04/12/2016	04/18/2016	05/04/2016	05/05/2016	05/19/2016	05/19/2016	05/19/2016	05/27/2016	05/27/2016	06/13/2016	06/30/2016	06/30/2016	06/30/2016	07/18/2016	07/18/2016	07/18/2016	07/18/2016	08/08/2016	00/10/2010	03/00/50	09/08/2016	09/08/2016	09/08/2016 09/08/2016 09/08/2016	09/08/2016 09/08/2016 09/08/2016 09/08/2016
1200 · Accounts Receivable	Deposit	Total 1200 Acc	5040 · Attorney Fees Check 05/04 Check 07/05 Check 11/22 Check 12/25	Total 5040 Atto	5100 · Charitable Payments 5100.5 · Other	Check	Check	Check	General	General	Check	Check	Check	S & &	Check	Check	Check	Check	Check	S S S S S S S S S S S S S S S S S S S	Check	Check	General	Check	Check	C eck	Check	Check	S de C	. CI GCS	Check	Check	Check Check Check	Check Check Check General

Transaction Detail by Account Kimmel Foundation

sh Basis

6 PM 11/17

Balance	282 43 43		529,843 34 529,843 34 544,843 34	529,843.34 544,843.34 554,843.34		586,583 34	586,583 34	5,760 00	5,760 00	0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 0
Paid Amount	24,000 00 24,460 62 1,000 00	15,000 00 10,000 00 2,500 00	-15,000 00 0 00 15,000 00	-15,000 00 15,000 00 10,000 00	10,000 00 10,000 00 6,740 00 4,000 00 10,000 00	586,583 34	586,583 34	5,760 00	5,760 00	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
Original Amount	24,000 00 24,460 62 1,000 00	15,000 00 10,000 00 2,500 00	-15,000 00 0 00 15,000 00	-15,000 00 15,000 00 10,000 00	10,000 00 10,000 00 6,740 00 4,000 00 10,000 00	. ,		5,760 00		000 000 000 000 000 000 000 000 1,197 810 50 00 000 000 000 000 000 000 000 000
Memo		Pmt of Grant Ref #536 Pmt of Grant Ref #532 Pmt of Grant Ref #537	Reverse of GJE BS2016113 - Pmt of Grant Ref #520 For CHK 10528 voided on 12/	Reverse of GJE BS2010:114 - Pmt of Grant Ref # 520 Pmt of Grant Ref #540 Pmt of Grant Ref #643	Pmt of Grant Ref #530 Grant Reference #545 Pmt of Grant Ref # 526(2) Pmt of Grant Ref #542			990 PF Tax Deposit 2016		Justin Wissmann IRA Employ Patricia Friedli IRA Employer Amanda Smith IRA Employer Elizabeth Stehling IRA Emplo
Name	Peru State College Kregel Windmill Factory Lourdes Central Catholic	LUX Center for the Arts University of Nebraska Fo University of Nebraska Fo	Celebrating Nebraska Sta Celebrating Nebraska Sta Celebrating Nebraska Sta	Celebrating Nebraska Sta Celebrating Nebraska Sta Southeast Nebraska Com Cass County Historical So	University of Nebraska Fo Foundation for Lincoln Pu Lancaster Co Agricultural Lincoln Community Found			US Treasury		Wissmann, Justin M Friedli, Patricia L Stifel Nicolaus Friedli, Patricia L
Wn N	10406 10407 10454	10474 10511 10512	10528 10528 BS201	10529 10538 10538	10540 10541 10553		z:	6142016		Match 9827 11520 9860 13120 9888 21520 9920 22920 9963 311520 10056 41520 10079 10079 10079
Date	10/18/2016 10/24/2016 11/04/2016	11/21/2016 12/07/2016 12/07/2016	12/14/2016 12/14/2016 12/14/2016 12/14/2016	12/14/2016 12/19/2016 12/19/2016	12/22/2016 12/22/2016 12/28/2016 12/30/2016	Other	Charitable Payments	990PF 06/13/2016	Taxes - 990PF	RA Foundation 01/15/2016 01/15/2016 01/29/2016 01/29/2016 02/15/2016 02/29/2016 02/29/2016 02/29/2016 03/15/2016 03/15/2016 04/15/2016 04/15/2016 04/29/2016 05/09/2010 05/09/2010 05/09/2010 05/09/2010 05/00/2010 05/00/2010 05/00/2010 05/00/2010 05/00/2010 05/00/2010 05/00/20
Type	Check Check	Check Check	General Check General	00000000000000000000000000000000000000	Check Check Check Check	Total 5100 5	Total 5100 Cha	5730 · Taxes - 990PF Check 06/13	Total 5730 Tax	Paycheck 01/15/2016 9827 Paycheck 01/15/2016 9827 Paycheck 01/12/2016 9860 Paycheck 01/29/2016 1312 Paycheck 02/15/2016 9888 Paycheck 02/15/2016 9988 Paycheck 02/29/2016 2292 Paycheck 02/29/2016 29953 Paycheck 03/15/2016 9985 Paycheck 03/15/2016 9985 Paycheck 03/15/2016 9985 Paycheck 03/15/2016 1005 Paycheck 04/15/2016 1005 Paycheck 04/15/2016 1005 Paycheck 04/15/2016 1007 Check 05/09/2016 1007

ASSET DETAILS

This section shows the cash equivalents and/or securities in your account. Prices obtained from outside sources are considered reliable but are not guaranteed by Stifel Actual prices may vary, and upon sale, you may receive more or less than your original purchase price. Contact your Financial Advisor for current price quotes. Gain/Loss is provided for informational purposes only. Cost basis may be adjusted for, but not limited to, amortization, principal paydowns, capital changes, listed option premiums, grifting rules, inheritance step-up, or wash sales. The Gain/Loss information should not be used for tax preparation without the assistance of your tax advisor. Lot detail quantity displayed is truncated to the one thousandth of a share.

NET CASH EQUIVALENTS

			Annualized Estimated	Estimated
1	Current value	Cost Basis	Income	Income Yield %
GENERAL GOVT SECURITIES	4,191,473.58	4,191,473.58	419.15	0 0 1%
even Equivalents of the second	54.191,473,58	1	\$418148	0.01%
The second of th	the second state of the County Second Second	the second secon	on or or or or or or or	

Estimated

Balances in the Insured Bank Program or in any money market fund offered as an available fund for Cash Investment Services at Stifel can be liquidated upon request and returned to your brokerage account or remitted directly to you.

PORTFOLIO ASSETS - HELD AT STIFEL

;	Symbol/		Current Price/	Average Unit Cost/	Unrealized		Estimated
Equities	Туре	Quantity	Current Value	Cost Basis	Gain/(-)Loss ¹⁰	Income	Yield %
AT&T INC CUSIP: 00206R102	T Cash	30,000	42 5300 1,275,900.00	35 6178 1,068,532.86	207,367 14	58,800 00	4.61%
BERKSHIRE HATHAWAY INC DE CL B NEW CUSIP 084670702	BRK/B Cash	10,000	162 9800 1,629,800 00	140.5554 1,405,554.46	224,245 54	N/A	A/Z
COCA-COLA COMPANY CUSIP 191216100	KO Cash	26,000	41 4600 1,077,960 00	31 2072 811,388 25	266,571.75	36,400 00	3 38%
DOW CHEMICAL COMPANY CUSIP: 260543103	DOW Cash	15,000	57 2200 858,300.00	33.9296 508,944.00	349,356.00	27,600 00	3.22%
HASBRO INC CUSIP: 418056107	HAS Cash	8,500	77.7900 661,215 00	58.4758 497,044.09	164,170.91	17,340 00	2.62%
JPMORGAN CHASE & COMPANY CUSIP: 46625H100	JPM Cash	16,000	86 2900 1,380,640 00	61 9715 991,543.68	389,096 32	30,720 00	2 23%
JOHNSON & JOHNSON CUSIP: 478160104	JNJ Cash	12,500	115 2100 1,440,125 00	59.4294 742,868.00	697,257 00	40,000 00	2.78%
3M COMPANY CUSIP: 88579Y101	MMM Cash	6,500	178 5700 1,160,705 00	96 7161 628,654 94	532,050.06	28,860 00	2 49%



UBATCO & CO FAO KIMMEL FOUNDATION ATTN: ANDY KAFKA

December 1 -December 31, 2016 Account Number

Page 4 of 12 LE40 3117-9223

Accrued Income 6 C	ASSET DETAILS (continued)	(1							
1709 Current Pince Current Pince Current Pince Cost Basis Current Value Cost Basis	PORTFOLIO ASSETS - HELD	AT STIFEL (continued)						Dotimotod	
Cash 12.500 103.6800 861.870.47 12.800.000 12.804.70 10.30.6000 861.870.47 10.50.600000 10.50.20 10	Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis		Unrealized Gain/(-)Loss ¹⁰	Annualized Income	Estimated Yield %
ONS VZ Cash 1.067,600.00 40 1582 Cash Cash 30,000 1.41399 Cash Cash 30,000 1.41399 Cash Cash Cash Cash Cash Cash Cash Cash Carnot Price Carnot Price Carnot Price Carnot Price Carnot Price Carnot Price Cash Cash Carnot Price Cash	UNION PACIFIC CORP CUSIP. 907818108	UNP Cash	12,500	103.6800 1,296,000.00	68.9496 861,870.47		434,129 53	30,250.00	2 33%
Note Supplementary Suppl	VERIZON COMMUNICATIONS INC CUSIP 92343V104	VZ Cash	20,000	53.3800 1,067,600.00	40 1582 803,164.51		264,435 49	46,200.00	4.33%
FIFT Cash Cash 30,000 121 5000 86,4457 S.645,000 Cash S.645,000 Cash S.645,000 Carnent Pince Cash Carnent Pince Cash Carnent Pince Cash Cash Cash Cash Cash Carnent Pince Cash Carnent Pince Cash Cash Cash Carnent Pince Cash Carnent Pince Cash Carnent Pince Cash Cash Carnent Pince Cash Cash Carnent Pince Cash Ca	ALPS ALERIAN MLP ETF CUSIP: 00162Q866 Orginal Cost [:] 1,459,600.26	AMLP Cash	94,500	12.6000 1,190,700.00	14.1399 1,336,223.42		-145,523 42	96,295 50	8.09%
Symbol Current Proce Average Unit Cost	VANGUARD INFORMATION TECHNOLOGY ETF CUSIP: 92204A702	VGT Cash	30,000	121 5000 3,645,000 00	86.4457 2,593,369.69		1,051,630 31	51,960 00	1 43%
Symbol Symbol Current Value Cost Basis Corrent Value Cost Basis Cost Basis Corrent Ivalue Cost Basis C			•	16,683,945.00	\$12,249,158.37		84,434,786.63	\$464,425.50 Estimated	2,78%
PFD 7% CFCB 5,000 25,4600 25,000 <td>Preferreds</td> <td>Symbol/ Type</td> <td>Quantity</td> <td>Current Price/ Current Value</td> <td>Average Unit Cost/ Cost Basis</td> <td></td> <td>Unrealized Gain/(-)Loss¹⁰</td> <td>Annualized Income</td> <td>Estimated Yield %</td>	Preferreds	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis		Unrealized Gain/(-)Loss ¹⁰	Annualized Income	Estimated Yield %
DEP N V TUAL DEBT TUAL DEBT TUAL DEBT TUAL DEBT 11TIES 6 2%. 5,000 125,200 00 25,0400 125,200 00 23,2000 116,000.00 456837400 1referreds \$252,500.00 \$240,000 Symbol/ Type Current Price/ Quantity Average Unit Cost/ Current Value Average Unit Cost/ Cost Basis Accrued Income 6 Average Unit Cost/ Cost Basis C MORGAN INC DE TIE Moody: Baa3 Moody: Baa3 500,760.00 500,760.00 489,505.00 833,33 489,505.00 A9456BAD3 A9456BAD3	COUNTRYWIDE CAP V NEW TRUST PFD 7% 11/01/36 CUSIP: 222388209	Cesh Cash	5,000	25 4600 127,300 00	25 0000 125,000 00		2,300 00	8,750.00	6.87%
rate/Government Symbol/ Bond Rating/ Bond Rating/ Type Current Price/ Quantity Current Price/ Average Unit Cost/ Current Value Average Unit Cost/ Cost Basis Accrued Income 6 Average Unit Cost/ Cost Basis Cost Basis Accrued Income 6 Average Unit Cost/ Cost Basis Cost Basis Accrued Income 6 Average Unit Cost/ Cost Basis Cost Basis Accrued Income 6 Average Unit Cost/ Average Unit Cost/ Cost Basis Cost Basis Accrued Income 6 Average Unit Cost/ Average U	ING GROEP N V PERPETUAL DEBT SECURITIES 6 2% CUSIP: 456837400	ISP Cash	5,000	25.0400 125,200 00	23.2000 116,000.00		9,200 00	7,750 00	6 19%
Average Unit Cost/Bond Rating/Bond Rating/Bond Rating/Bond Rating/Bond Rating/Bond Rating/Bond Rating/Type Current Price/Current Price/Bond Boods Average Unit Cost/Bosis Accrued Income 6 Cost Basis Cost Basis	Total Preferreds			\$252,500.00	\$241,000.00		\$11,500.00	\$18,500.00	6.53%
S&P: BBB- 500,000 100 1520 97.9010 833.33 Moody: Baa3 500,760.00 489,505.00 489,505.00 Cash 500,760.00 489,505.00 833.33	Corporate/Government Bonds	Symbol/ Bond Rating/ Type	Quantity	Current Pnce/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income 6	Unrealized Gam/(-)Loss ¹⁰	Estimated Annualized Income	Estimated Yield %
STORY OF STANDARD TRAINERS TO STANDARD TO	KINDER MORGAN INC DE SR NOTE CPN 2.000% DUE 12/01/17 DTD 11/26/14 FC 06/01/15 CUSIP, 49456BAD3	S&P: BBB- Moody: Baa3 Cash	200,000	100 1520 500,760.00	97.9010 489,505 00	833,33	11,255.00	10,000.00	2 00%
e issuer Principal Protected Market Linked CDs are subject to applicable limits.	Total Corporate/Covernment Principal Protected Notes are subject	Bonds to the credit risk of the issuer	500,000 Principal Prote	\$500,760.00 cted Market Linked CD	\$489,505.00	\$833.33 limits.	\$11,255.00	\$10,000.00	7.7%

......

December 1 -December 31, 2016 Account Number

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Mutual Funds	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Onginal Investment ⁷ / Cumulative Retum ⁸	Unrealized Gain/(-)Loss ¹⁰	Estimated Annualized Estimated Income Yield %	Estimated Yield %
Closed-End Funds								
EATON VANCE FLOATING RATE INCOME TRUST CUSIP : 278279104	EFT Cash	83,000	14 9000 1,236,700.00	14.9849 1,243,745 47	1,243,745.47 -7,045.47	-7,045 47	72,708.00	5.88%
MFS CHARTER INCOME TRUST SBI CUSIP·552727109	MCR Cash	80,000	8 5200 681,600.00	9.9156 793,248 22	793,248 22 -111,648 22	-111,648.22	63,768.00	9.36%
TEKLA HEALTHCARE INV SBI CUSIP: 87911J103	НОН Cash	33,161	21 1300 700,691.93	16.0538 532,359.62	532,359.62 168,332.31	168,332 31	66,322 00	9 47%
Fotal Mutual Funds			\$2,618,991.93	\$2,569,353.31		\$49,638,62	\$202,798.00	7.74%
Total Portfolio Assets - Held at Stiff	at Stife!		\$20,056,196.93	~ \$15,549,016,68		\$4,507,180.25	\$693,723,50	3.46%
Total Net Portfolio Value			\$24,247,670.51	\$19,740,490,26		\$4,507,180,25,1	\$694,142.65	2.86%

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- Accrued Income: Accrued Income amounts are provided for informational purposes only and are not included as part of the Net Portfolio Value. Accrued Income represents the sum of accrued interest and accrued dividends on securities positions, but which Stifel has not yet received. Stifel cannot guarantee the accuracy of the Accrued Income, which may be subject to change. Accrued Income amounts are not covered by SIPC and should not be relied upon for making investment decisions
 - Original Investment: Total amount invested in the purchased shares still held in the account. Does not include shares purchased through automatic reinvestment of capital gains and dividends Cumulative Return: Current Value minus Original Investment. This is the capital appreciation (depreciation) of all shares purchased, including shares purchased through automatic reinvestment of capital gains and dividends
- Please note "Unrealized Gaın/(-)Loss" does not equal the total current value minus the total cost if any value or cost amounts are missing. Unrealized gains or losses are provided for your information only and should not be used for tax purposes



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