Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation: CARIDAD CORPORATION

A Employer identification number: 36-3505813

Number and street (or P O box number if mail is not delivered to street address): 2630 W LAFAYETTE RD — Room/suite:

B Telephone number: 612-803-6192

City or town, state or province, country, and ZIP or foreign postal code: EXCELSIOR, MN 55331

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 280,427.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	82,650.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	10,043.	10,043.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	34,766.			
	b Gross sales price for all assets on line 6a 267,778.				
	7 Capital gain net income (from Part IV, line 2)		34,766.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total** Add lines 1 through 11	127,459.	44,809.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees				
	17 Interest	6.	6.		0.
	18 Taxes STMT 2	441.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 3	27,999.	27,999.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	28,446.	28,005.		0.
	25 Contributions, gifts, grants paid	104,117.			104,117.
	26 **Total expenses and disbursements.** Add lines 24 and 25	132,563.	28,005.		104,117.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<5,104.>			
	b **Net investment income** (if negative, enter -0-)		16,804.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	22,729.	15,082.	15,082.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ 50,325.			
	Less: allowance for doubtful accounts ▶ 0.	50,325.	50,325.	50,325.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	171,439.	149,689.	215,020.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	244,493.	215,096.	280,427.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	244,493.	215,096.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 **Total net assets or fund balances**	244,493.	215,096.	
	31 **Total liabilities and net assets/fund balances**	244,493.	215,096.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	244,493.
2	Enter amount from Part I, line 27a	2	<5,104.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	239,389.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 4	5	24,293.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	215,096.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE STATEMENTS ATTACHED	P	VARIOUS	12/31/16
b	SEE STATEMENTS ATTACHED	D	VARIOUS	12/31/16
c	CAPITAL GAINS DIVIDENDS			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	192,622.		195,883.	<3,261.>
b	66,189.		37,129.	29,060.
c	8,967.			8,967.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				<3,261.>
b				29,060.
c				8,967.
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	34,766.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	240,059.	320,719.	.748503
2014	331,558.	602,193.	.550584
2013	167,229.	903,114.	.185169
2012	91,100.	1,107,461.	.082260
2011	92,875.	1,065,684.	.087151

2	Total of line 1, column (d)	2	1.653667
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.330733
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	234,477.
5	Multiply line 4 by line 3	5	77,549.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	168.
7	Add lines 5 and 6	7	77,717.
8	Enter qualifying distributions from Part XII, line 4	8	104,117.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	168.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	168.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	168.
6	Credits/Payments:		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	168.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MN			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ CARIDAD CORP. / THOMAS LOWE III Telephone no. ▶ 612-803-6192

Located at ▶ 2630 W LAFAYETTE RD, EXCELSIOR, MN ZIP+4 ▶ 55331

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53 4945-5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THOMAS P. LOWE 2630 W. LAFAYETTE RD EXCELSIOR, MN 55331	PRESIDENT 0.00	0.	0.	0.
MAGARET L. LOWE 2630 W. LAFAYETTE RD EXCELSIOR, MN 55331	VICE PRESIDENT 0.00	0.	0.	0.
THOMAS P. LOWE III 3295 CARMAN RD EXCELSIOR, MN 55331	TREASURER 20.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	216,288.
b	Average of monthly cash balances	1b	21,760.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	238,048.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	238,048.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,571.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	234,477.
6	***Minimum investment return.*** Enter 5% of line 5	6	11,724.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	11,724.
2a	Tax on investment income for 2016 from Part VI, line 5 — 2a: 168.		
b	Income tax for 2016. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	168.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	11,556.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	11,556.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	11,556.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	104,117.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	*Amounts set aside for specific charitable projects that satisfy the:*		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	104,117.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	168.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	103,949.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					11,556.
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only				0.	
b Total for prior years: ____, ____, ____			0.		
3 Excess distributions carryover, if any, to 2016:					
a From 2011	40,681.				
b From 2012	35,727.				
c From 2013	122,073.				
d From 2014	301,448.				
e From 2015	224,905.				
f **Total** of lines 3a through e		724,834.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $	104,117.				
a Applied to 2015, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2016 distributable amount					11,556.
e Remaining amount distributed out of corpus		92,561.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		817,395.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.				0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7		40,681.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		776,714.			
10 Analysis of line 9:					
a Excess from 2012	35,727.				
b Excess from 2013	122,073.				
c Excess from 2014	301,448.				
d Excess from 2015	224,905.				
e Excess from 2016	92,561.				

Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test - enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV | Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 6

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

THOMAS P LOWE C/O CARIDAD CORPORATION, 2630 W LAFAYETTE RD

b The form in which applications should be submitted and information and materials they should include:

LETTER DESCRIBING ORGANIZATION, BENEFIT, 501(C)(3) STATUS.

c Any submission deadlines:

OCTOBER 30TH EACH CALENDAR YEAR

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT				104,117.
Total			▶ 3a	104,117.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	10,043.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			14	8,967.	25,799.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		19,010.	25,799.

13 **Total**. Add line 12, columns (b), (d), and (e) 13 44,809.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
	N/A

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 5/9/17 Title: ▶ TREASURER

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MICHAEL J BARRETT	[signature]	5/8/17		P00443533

Firm's name ▶ MOLLER & BARRETT, LLP — Firm's EIN ▶ 41-1796618

Firm's address ▶ 3900 NORTHWOODS DRIVE, STE 350 ST. PAUL, MN 55112 — Phone no. (612) 372-0090

Form **990-PF** (2016)

623622 11-23-16

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990* .

OMB No 1545-0047

2016

Name of the organization	Employer identification number
CARIDAD CORPORATION	36-3505813

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . .. ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
CARIDAD CORPORATION	36-3505813

Part I **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	THOMAS LOWE 2630 W LAFAYETTE ROAD EXCELSIOR, MN 55331	$ 82,650.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
CARIDAD CORPORATION	36-3505813

Part II Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	STOCK GIFT - 3000 SHARES AP ALTERNATIVE ASSETS	$ 82,650.	07/27/16

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization	Employer identification number
CARIDAD CORPORATION	36-3505813

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc , contributions of $1,000 or less for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
TD AMERITRADE	19,010.	8,967.	10,043.	10,043.	
TO PART I, LINE 4	19,010.	8,967.	10,043.	10,043.	

FORM 990-PF TAXES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAX	441.	0.		0.
TO FORM 990-PF, PG 1, LN 18	441.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE SUPPLIES	245.	245.		0.
BANK FEES	229.	229.		0.
BUSINESS SERVICES	27,500.	27,500.		0.
OTHER	25.	25.		0.
TO FORM 990-PF, PG 1, LN 23	27,999.	27,999.		0.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 4

DESCRIPTION	AMOUNT
APPRECIATION ON STOCK RECIEVED - FMV VRS. DONORS ADJUSTED BASIS	24,293.
TOTAL TO FORM 990-PF, PART III, LINE 5	24,293.

FORM 990-PF CORPORATE STOCK STATEMENT 5

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STOCKS/OPTIONS	149,689.	215,020.
TOTAL TO FORM 990-PF, PART II, LINE 10B	149,689.	215,020.

FORM 990-PF PART XV - LINE 1A STATEMENT 6
LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

THOMAS P. LOWE
MAGARET L. LOWE

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
TD AMERITRADE	19,010.	8,967.	10,043.	10,043.	
TO PART I, LINE 4	19,010.	8,967.	10,043.	10,043.	

FORM 990-PF TAXES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAX	441.	0.		0.
TO FORM 990-PF, PG 1, LN 18	441.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE SUPPLIES	245.	245.		0.
BANK FEES	229.	229.		0.
BUSINESS SERVICES	27,500.	27,500.		0.
OTHER	25.	25.		0.
TO FORM 990-PF, PG 1, LN 23	27,999.	27,999.		0.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 4

DESCRIPTION	AMOUNT
APPRECIATION ON STOCK RECIEVED - FMV VRS. DONORS ADJUSTED BASIS	24,293.
TOTAL TO FORM 990-PF, PART III, LINE 5	24,293.

FORM 990-PF	CORPORATE STOCK	STATEMENT 5

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STOCKS/OPTIONS	149,689.	215,020.
TOTAL TO FORM 990-PF, PART II, LINE 10B	149,689.	215,020.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT 6

NAME OF MANAGER

THOMAS P. LOWE
MAGARET L. LOWE

LOWE THOMAS

Close Date	Rec type	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss Adjustment	ST/LT	Box	Gain/Loss
07/11/2016		01/10/2011	APPLE COMPUTER INC (AAPL)	100	9,721 30	4,880 53	donated		LT	boxE	4,840.77
09/15/2016		01/10/2011	APPLE COMPUTER INC (AAPL)	100	11,496 26	4,880.53			LT	boxE	6,615 73
07/25/2016		04/22/2013	AP ALTERNATIVE ASSETS LP (APLVF)	100	2,783 95	1,928.00			LT	boxE	855 95
07/29/2016		04/22/2013	AP ALTERNATIVE ASSETS LP (APLVF)	100	2,989 94	1,928 00			LT	boxE	1,061 94
09/15/2016		04/22/2013	AP ALTERNATIVE ASSETS LP (APLVF)	200	6,101 88	3,855 99			LT	boxE	2,245 89
09/27/2016		04/22/2013	AP ALTERNATIVE ASSETS LP (APLVF)	200	6,179 88	3,880.00			LT	boxE	2,299 88
09/30/2016		04/22/2013	AP ALTERNATIVE ASSETS LP (APLVF)	200	6,279 87	3,903 99			LT	boxE	2,375 88
10/21/2016		04/22/2013	AP ALTERNATIVE ASSETS LP (APLVF)	200	6,259 87	3,904 00			LT	boxE	2,355 87
11/15/2016		04/22/2013	AP ALTERNATIVE ASSETS LP (APLVF)	200	6,957 86	3,984 00			LT	boxE	2,973 86
12/05/2016		04/22/2013	AP ALTERNATIVE ASSETS LP (APLVF)	200	7,417 85	3,983 99			LT	boxE	3,433.86
02/10/2016		06/25/2014	CYS INVESTMENTS INC (CYS)	1,000	6,959 98	8,681.33	purcased		LT	boxD	-1,721 35
02/10/2016		06/25/2014	MFA FINANCIAL INC (MFA)	2,000	12,709 97	16,329 99			LT	boxD	-3,620.02
02/10/2016		07/21/2009	MPLX LP (MPLX)	272	4,911.80	4,664.56			LT	boxE	247 24
07/11/2016		01/25/2016	NORTHSTAR REALTY FINANCE CO (NRF)	1,000	11,871 05	11,374 63			ST	boxA	496.42
12/05/2016		VARIOUS	PROSPECT CAPITAL CORP (PSEC)	3,000	24,079 78	30,143 32			LT	boxD	-6,063.54
			total		126717	-108322					18,395 gain

TD AMERITRADE CLEARING INC

Account 920049625

Regulated Futures Contracts

2016

02/21/2017 CORRECTED

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
S&P 500 SPX Feb 19 2016 1900 0 Call	SPX 02/19/16 C 1900.000	01/19/16	2.00	56 88	Realized futures profit/loss	
S&P 500 SPX Aug 19 2016 2260.0 Call	SPX 08/19/16 C 2260 000	08/19/16	-10 00	1,332 26	Realized futures profit/loss	
S&P 500 SPX Aug 19 2016 2280.0 Call	SPX 08/19/16 C 2280.000	08/19/16	10.00	-907.73	Realized futures profit/loss	
S&P 500 SPX Jul 15 2016 1770.0 Put	SPX 07/15/16 P 1770 000	07/15/16	10 00	-3,717.73	Realized futures profit/loss	
S&P 500 SPX Jul 15 2016 1790.0 Put	SPX 07/15/16 P 1790.000	07/15/16	-10 00	4,342.26	Realized futures profit/loss	
S&P 500 SPX Sep 16 2016 2020.0 Put	SPX 09/16/16 P 2020.000	09/16/16	10.00	-2,977.73	Realized futures profit/loss	
S&P 500 SPX Sep 16 2016 2040.0 Put	SPX 09/16/16 P 2040.000	09/16/16	-10 00	3,652.26	Realized futures profit/loss	
S&P 500 SPXW Jan 08 2016 2160.0 Call	SPXW 01/08/16 C 2160.000	01/07/16	-20.00	2,983 68	Realized futures profit/loss	
		01/07/16	-20.00	2,784.34	Unrealized profit/loss prior year	
				199 34	Security Total	
S&P 500 SPXW Jan 08 2016 2180.0 Call	SPXW 01/08/16 C 2180.000	01/08/16	20.00	-1,625.65	Realized futures profit/loss	
		01/08/16	20.00	-1,425.65	Unrealized profit/loss prior year	
				-200 00	Security Total	
S&P 500 SPXW Jan 29 2016 2175.0 Call	SPXW 01/29/16 C 2175.000	01/15/16	-15.00	2,237.75	Realized futures profit/loss	
		01/15/16	-15.00	1,413.25	Unrealized profit/loss prior year	
				824 50	Security Total	
S&P 500 SPXW Jan 29 2016 2195.0 Call	SPXW 01/29/16 C 2195 000	01/29/16	15 00	-1,221 74	Realized futures profit/loss	
		01/29/16	15 00	-696.74	Unrealized profit/loss prior year	
				-525.00	Security Total	
S&P 500 SPXW Feb 12 2016 2030.0 Call	SPXW 02/12/16 C 2030 000	02/12/16	-20.00	2,344.34	Realized futures profit/loss	
S&P 500 SPXW Feb 12 2016 2050.0 Call	SPXW 02/12/16 C 2050.000	02/12/16	20.00	-1,385 65	Realized futures profit/loss	
S&P 500 SPXW Mar 04 2016 2000 0 Call	SPXW 03/04/16 C 2000.000	03/07/16	-15.00	-1,058.26	Realized futures profit/loss	
S&P 500 SPXW Mar 04 2016 2020.0 Call	SPXW 03/04/16 C 2020.000	03/07/16	15 00	-1,143 25	Realized futures profit/loss	
S&P 500 SPXW Apr 08 2016 2070.0 Call	SPXW 04/08/16 C 2070.000	04/08/16	-15.00	7,181.77	Realized futures profit/loss	

TD AMERITRADE CLEARING INC

Account 920049625

Regulated Futures Contracts
(continued)

2016

02/21/2017 CORRECTED

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
S&P 500 SPXW Apr 08 2016 2090.0 Call	SPXW 04/08/16 C 2090.000	04/08/16	15.00	-4,128.23	Realized futures profit/loss	
S&P 500 SPXW Jul 06 2016 2180.0 Call	SPXW 07/06/16 C 2180.000	07/06/16	-15.00	2,403.39	Realized futures profit/loss	
S&P 500 SPXW Jul 06 2016 2200.0 Call	SPXW 07/06/16 C 2200.000	07/06/16	15.00	-1,161.60	Realized futures profit/loss	
S&P 500 SPXW Jul 29 2016 2180.0 Call	SPXW 07/29/16 C 2180.000	07/28/16	-5.00	-1,077 74	Realized futures profit/loss	
		07/28/16	-5.00	-1,107.74	Realized futures profit/loss	
				-2,185.48	Security Total	
S&P 500 SPXW Jul 29 2016 2200.0 Call	SPXW 07/29/16 C 2200 000	07/28/16	5.00	-22 72	Realized futures profit/loss	
		07/28/16	5.00	-27.73	Realized futures profit/loss	
				-50 45	Secunty Total	
S&P 500 SPXW Jan 29 2016 1620 0 Put	SPXW 01/29/16 P 1620.000	01/29/16	20 00	-7,195.65	Realized futures profit/loss	
S&P 500 SPXW Jan 29 2016 1640.0 Put	SPXW 01/29/16 P 1640.000	01/29/16	-20 00	8,444 33	Realized futures profit/loss	
S&P 500 SPXW Jan 29 2016 1770.0 Put	SPXW 01/29/16 P 1770 000	01/19/16	4.00	4,218.73	Realized futures profit/loss	
		01/19/16	6.00	6,298.11	Realized futures profit/loss	
		01/19/16	10.00	10,581 84	Realized futures profit/loss	
				21,098 68	Securıty Total	
S&P 500 SPXW Jan 29 2016 1790.0 Put	SPXW 01/29/16 P 1790.000	01/19/16	-20.00	-26,351 32	Realized futures profit/loss	
S&P 500 SPXW Feb 12 2016 1660.0 Put	SPXW 02/12/16 P 1660.000	02/12/16	10.00	-3,297.82	Realized futures profit/loss	
S&P 500 SPXW Feb 12 2016 1680.0 Put	SPXW 02/12/16 P 1680.000	02/12/16	-10.00	3,922.17	Realized futures profit/loss	
S&P 500 SPXW Feb 12 2016 1730.0 Put	SPXW 02/12/16 P 1730 000	02/12/16	20.00	-32,925.67	Realized futures profit/loss	
S&P 500 SPXW Feb 12 2016 1750.0 Put	SPXW 02/12/16 P 1750.000	02/12/16	-20 00	38,564 34	Realized futures profit/loss	
S&P 500 SPXW Feb 26 2016 1600.0 Put	SPXW 02/26/16 P 1600.000	02/26/16	10.00	-2,467.75	Realized futures profit/loss	
S&P 500 SPXW Feb 26 2016 1620.0 Put	SPXW 02/26/16 P 1620.000	02/26/16	-10 00	3,142 24	Realized futures profit/loss	
S&P 500 SPXW Jul 08 2016 1880.0 Put	SPXW 07/08/16 P 1880.000	07/08/16	10.00	-3,167.73	Realized futures profit/loss	
S&P 500 SPXW Jul 08 2016 1900.0 Put	SPXW 07/08/16 P 1900.000	07/08/16	-10.00	3,742.26	Realized futures profit/loss	
S&P 500 SPXW Nov 04 2016 1950 0 Put	SPXW 11/04/16 P 1950.000	11/04/16	10.00	-1,567.73	Realized futures profit/loss	

TD AMERITRADE CLEARING INC

Account 920049625

Regulated Futures Contracts
(continued)

2016

02/21/2017 CORRECTED

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
S&P 500 SPXW Nov 04 2016 1970.0 Put	SPXW 11/04/16 P 1970.000	11/04/16	-10 00	1,992 26	Realized futures profit/loss	
S&P 500 SPXW Nov 23 2016 1850.0 Put	SPXW 11/23/16 P 1850 000	11/23/16	10 00	-3,487.73	Realized futures profit/loss	
S&P 500 SPXW Nov 23 2016 1870.0 Put	SPXW 11/23/16 P 1870.000	11/23/16	-10.00	4,062.26	Realized futures profit/loss	
				7,403.03	**Total Regulated Futures Contracts**	

CARIDAD CORPORATION
YEAR ENDED DECEMBER 31, 2016
FEDERAL ID# 36-3505813

STATEMENT #12
PART XV LINE 3A

RECIPIENT	RELATION	FOUNDATION STATUS	PURPOSE	AMOUNT
Alzheimer's Association	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	500.00
American Council on Health & Science	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	500.00
American Refugee Committee	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	2500.00
ASWRV	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	5000.00
Bladder Advocacy Cancer network	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	500.00
Camphill Village Minnesota	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	200.00
Capital Research Center	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	500.00
Cato Institute	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	2500.00
Center Of The American Experiment	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	15000.00
CFACT	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	1000.00
Chi Psi Educational Trust	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	100.00
College Possible	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	2000.00
Community Emergency Services	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	2000.00
Competitive Enterprise Institute	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	1000.00
Courage Center	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	500.00
Hammer Residences	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	10000.00
Holy Rosary Church	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	6000.00
Intermountain Therapy Animals	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	1000.00
Institute For Justice	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	250.00
Intellectual Takeout	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	500.00
Interfaith Outreach	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	5000.00
International Therapy Animals	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	150.00
Judicial Watch	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	1000.00
LAKE MINNETONKA ASSOC	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	500.00
Loaves & Fishes	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	250.00
Love One Another	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	5000.00
Manhattan Institute	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	500.00
Memorial Day March	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	500.00
Michael Ankeny	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	2500.00
MicroGrants	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	10000.00
Minnetonka Center for The Arts	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	3000.00
New Impact Fund	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	5000.00
Oregon Institute of Science & Medicine	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	250.00
Pacific Legal Foundation	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	1000.00
Page Education Foundation	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	500.00
Paypal - Anne Taylor	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	500.00
Salvation Army	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	1000.00
Save The Lake	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	250.00
Sharing & Caring Hands	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	6000.00
Snowbird Sports Education Foundation	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	250.00
St Martin's Church	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	666.67
The Blake School Annual Fund	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	500.00
The Community Library	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	2000.00
Top Dog Foundation	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	500.00
Twin Cities Public Television	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	1000.00
Twin Cities RISE!	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	2500.00
Union Gospel Mission	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	250.00
Way To Grow	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	2000.00
			Total	$ 104,116.67