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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation

KATTEN MUCHIN ROSENMAN FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address)

525 W. MONROE ST.

Room/suite

1900

City or town, state or province, country, and ZIP or foreign postal code

CHICAGO, IL 60661

6 Check all that apply:

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

H Check type of organization:

☒

Section 501(c)(3) exempt private foundation

☐

Section 4947(a)(1) nonexempt charitable trust

☐

Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

\$ 190696.

J Accounting method:

☒

Cash

☐

Accrual

☐ Other (specify)

(Part I, column (d) must be on cash basis.)

Part I

Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received	2361501.			
	2	Check ☐ if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities				
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		0.		
	8	Net short-term capital gain				
Operating and Administrative Expenses	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	Total. Add lines 1 through 11	2361501.	0.	0.	
	13	Compensation of officers, directors, trustees, etc.	0.	0.	0.	0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
b	Accounting fees					
c	Other professional fees					
17	Interest					
18	Taxes					
19	Depreciation and depletion					
20	Occupancy					
21	Travel, conferences, and meetings					
22	Printing and publications					
23	Other expenses Stmt 1	396.	0.	0.	396.	
24	Total operating and administrative expenses. Add lines 13 through 23	396.	0.	0.	396.	
25	Contributions, gifts, grants paid	2432328.			2432328.	
26	Total expenses and disbursements. Add lines 24 and 25	2432724.	0.	0.	2432724.	
27	Subtract line 26 from line 12:					
a	Excess of revenue over expenses and disbursements	-71223.				
b	Net investment income (if negative, enter -0-)		0.			
c	Adjusted net income (if negative, enter -0-)			0.		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	261919.	190696.	190696.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	261919.	190696.	190696.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	261919.	190696.	
	30 Total net assets or fund balances	261919.	190696.	
	31 Total liabilities and net assets/fund balances	261919.	190696.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	261919.
2 Enter amount from Part I, line 27a	2	-71223.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	190696.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	190696.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b NONE				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	2337990.	89741.	26.052640
2014	2597251.	87020.	29.846598
2013	2052681.	141080.	14.549766
2012	1946787.	214149.	9.090806
2011	2067124.	182935.	11.299773
2 Total of line 1, column (d)			2 90.839583
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 18.167917
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 129717.
5 Multiply line 4 by line 3			5 2356688.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 0.
7 Add lines 5 and 6			7 2356688.
8 Enter qualifying distributions from Part XII, line 4			8 2432724.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	0.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ <u>IL</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	X	

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	

Website address **N/A**

14 The books are in care of **Katten Muchin Rosenman LLP** Telephone no. **312-902-5200**
 Located at **525 W. Monroe St., Ste. 1900, Chicago, IL** ZIP+4 **60661-3693**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year **15** **N/A**

16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

	Yes	No
16		X

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country **N/A**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No	
If "Yes," list the years N/A		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 2		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2016)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	0.
b	Average of monthly cash balances	1b	131692.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	131692.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	131692.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1975.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	129717.
6	Minimum investment return. Enter 5% of line 5	6	6486.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	6486.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	0.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	6486.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	6486.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	6486.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2432724.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2432724.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	2432724.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				6486.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	2057977.			
b From 2012	1936080.			
c From 2013	2045627.			
d From 2014	2592900.			
e From 2015	2333503.			
f Total of lines 3a through e	10966087.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$	2432724.			
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				6486.
e Remaining amount distributed out of corpus	2426238.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	13392325.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	2057977.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	11334348.			
10 Analysis of line 9:				
a Excess from 2012	1936080.			
b Excess from 2013	2045627.			
c Excess from 2014	2592900.			
d Excess from 2015	2333503.			
e Excess from 2016	2426238.			

N/A

1

☐ 4942(j)(3) or ☐ 4942(j)(5)

(e) Total

(4) Gross investment income

2016.04010 KATTEN MUCHIN ROSENMAN FOUN 36316521

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Attached Schedule			In order to make contributions for charitable, religious, educational or scientific purposes.	2432328.
Total			▶ 3a	2432328.
b Approved for future payment None				
Total			▶ 3b	0.

Part XVII	Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations
------------------	--

- | | | Yes | No |
|----------|--|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | | X |
| | (2) Other assets | | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | | X |
| | (3) Rental of facilities, equipment, or other assets | | X |
| | (4) Reimbursement arrangements | | X |
| | (5) Loans or loan guarantees | | X |
| | (6) Performance of services or membership or fundraising solicitations | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☒ Yes ☐ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
Katten Muchin Rosenman LLP		See Statement 3
Employees' Welfare Benefit Plan	501(c)(9)	
/		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, this is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here  10-20-17 President

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr. 7)? ☐ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	Firm's name ▶				Firm's EIN ▶
	Firm's address ▶				Phone no.

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

Employer identification number

KATTEN MUCHIN ROSENMAN FOUNDATION, INC.**36-3165216**

Organization type (check one)

Filers of:**Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization	Employer identification number
KATTEN MUCHIN ROSENMAN FOUNDATION, INC.	36-3165216

Part I Contributors (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	<u>David R. Dlugie</u> <u>525 W. Monroe St., Ste. 1900</u> <u>Chicago, IL 606613693</u>	\$ <u>5150.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
<u>2</u>	<u>Gil M. Soffer</u> <u>525 W. Monroe St., Ste. 1900</u> <u>Chicago, IL 606613693</u>	\$ <u>6100.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
<u>3</u>	<u>Katten Muchin Rosenman LLP</u> <u>525 W. Monroe St., Ste. 1900</u> <u>Chicago, IL 606613693</u>	\$ <u>2200000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
<u>4</u>	<u>Kenneth W. Miller</u> <u>525 W. Monroe St., Ste. 1900</u> <u>Chicago, IL 606613693</u>	\$ <u>15833.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
<u>5</u>	<u>Lewis Greenbaum</u> <u>525 W. Monroe St., Ste. 1900</u> <u>Chicago, IL 606613693</u>	\$ <u>10000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
<u>6</u>	<u>Milton S. Wakschlag</u> <u>525 W. Monroe St., Ste. 1900</u> <u>Chicago, IL 606613693</u>	\$ <u>5350.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

KATTEN MUCHIN ROSENMAN FOUNDATION, INC.**36-3165216****Part I Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	Saul E. Rudo 525 W. Monroe St., Ste. 1900 Chicago, IL 606613693	\$ 30050.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

KATTEN MUCHIN ROSENMAN FOUNDATION, INC.

36-3165216

Part II Noncash Property (See instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Employer identification number

36-3165216

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) **\$** _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Form 990-PF	Other Expenses			Statement	1
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
FILING FEES	15.	0.	0.	15.	
BANK FEES	381.	0.	0.	381.	
To Form 990-PF, Pg 1, ln 23	396.	0.	0.	396.	

Form 990-PF	Part VIII - List of Officers, Directors Trustees and Foundation Managers	Statement	2
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Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan	Expense Contrib	Account
DiAngelo, Chris 575 Madison Avenue New York, NY 10022-2585	Director 0.50	0.	0.	0.	
Sergi, Vincent A.F. 525 W, Monroe Street Chicago, IL 60661-3693	President 0.50	0.	0.	0.	
Rudo, Saul E 525 W, Monroe Street Chicago, TX 77010-3033	Director 0.50	0.	0.	0.	
Farley, Mark L. 1301 McKinney Street Houston, DC 20007-5118	Director 0.50	0.	0.	0.	
Heller, Noah S. 575 Madison Avenue New York, NY 10022-2585	Director 0.50	0.	0.	0.	
Zinman, Lance A. 525 W, Monroe Street Chicago, IL 60661-3693	Director 0.50	0.	0.	0.	
Silverman, Ross O. 525 W, Monroe Street Chicago, IL 60661-3693	Director 0.50	0.	0.	0.	

KATTEN MUCHIN ROSENMAN FOUNDATION, INC.

36-3165216

Wander, Herbert S. 525 W, Monroe Street Chicago, IL 60661-3693	Secretary 0.50	0.	0.	0.
Barbarosh, Craig A. 100 Spectrum Center Drive Irvine, CA 92618-4960	Director 0.50	0.	0.	0.
Huffenus, Daniel S. 401 S. Tyron St., Ste. 2600 Charlotte, NC 28202-1935	Director 0.50	0.	0.	0.
Knight, Stacey D. 2029 Century Park East Los Angeles, CA 90067-3012	Director 0.50	0.	0.	0.
Solow, Steven P. 2900 K Street NW. North Tower Washington, DC 20007-5118	Director 0.50	0.	0.	0.
Totals included on 990-PF, Page 6, Part VIII		0.	0.	0.

990-PF	Affiliation with Tax-Exempt Organizations Part XVII, Line 2, Column (c)	Statement	3
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Name of Affiliated or Related Organization

Katten Muchin Rosenman LLP Employees' Welfare Benefit Plan

Description of Relationship with Affiliated or Related Organization

Common Directors/Trustees

KATTEN MUCHIN ROSENMAN FOUNDATION, INC.
F.E.I.N. 36-3165216
FORM 990-PF RETURN OF PRIVATE FOUNDATION
FYI 12/31/16

PART XV SUPPLEMENTAL INFORMATION--3A
CONTRIBUTIONS PAID DURING THE YEAR

AMOUNT

100 Women in Hedge Funds Foundation	250
92nd Street Y	10,000
A Safe Haven Foundation	500
Academy for Urban School Leadership	25,000
Adams Street Foundation	4,725
After School Matters	8,600
AGJ Cancer Hospital & RJS Research Inst.	100
Aim High	10,000
All Saints' Episcopal School	1,300
Alliance for Children's Rights	8,900
American Cancer Society	380
American Family Housing	2,500
American Friends of the Hebrew University	2,600
American Health Lawyers Association	3,100
American Heart Association	18,290
American India Foundation	2,500
American Ireland Fund	850
American Jewish Committee	1,500
American Veterans Co-Op Foundation	350
American-Israel Economic Forum	3,600
Animals Rescue Funds of the Hamptons Inc.	205
Ann & Robert H. Lurie Childrens Hosp Fdn	726
Ann & Robert Lurie Childrens Hosp of Chgo	22,500
Anti-Defamation League	10,000
Appleseed	5,000
Arc Foundation of Somerset County	4,000
Assistance in Healthcare	10,000
Association of Women Attorneys Foundation	530
ATHENA International	1,500
Auditorium Theatre of Roosevelt Univ	5,500
Autism Speaks	493
Ballet Theater Foundation Inc.	16,667
Bible Way Church of Washington, DC	750
Big Brothers Big Sisters of NYC	10,000
Blumenthal Performing Arts	2,500
Boy Scouts of America	9,605
Boy Scouts of America - Longhorn Council	24,560
Boys & Girls Club of Atlantic City	1,000
Boys & Girls Clubs of America	9,000

KATTEN MUCHIN ROSENMAN FOUNDATION, INC.
F.E.I.N. 36-3165216
FORM 990-PF RETURN OF PRIVATE FOUNDATION
FYI 12/31/16

PART XV SUPPLEMENTAL INFORMATION--3A
CONTRIBUTIONS PAID DURING THE YEAR

AMOUNT

Boys & Girls Clubs of Chicago	13,900
Boys Hope Girls Hope of Illinois	20,500
Brain Research Foundation	5,000
Breaking Ground	2,500
Breakthrough New York	8,500
BuildOn	861
Cabrini Green Legal Aid	12,500
Camp Kesem	8,500
Cancer Wellness Center	1,000
Capital Area Food Bank	6,015
Cara Program	1,396
Care for Real	500
Career Education Scholarship Fund	10,000
Carolina Breast Friends	700
Carolinas HealthCare Foundation	150
CARPLS	5,000
CASA of Los Angeles	235
CBA/University of IL College of Business	1,250
Center for Disability & Elder Law	2,200
Center for Enriched Living	3,400
Center for Law and Social Work	4,250
Center for Women In Law	9,500
Centers for Advanced Orthopaedics Fdn	500
Chabad Center for Jewish Discovery	6,000
Charlotte Museum of History	1,000
Chashama	2,500
Chgo Lawyers Comm for Civil Rts Under Law	1,500
Chgo. Comm. on Min. in Large Law Firms	6,750
Chiaravalle Montessori	500
Chicago Architecture Foundation	3,500
Chicago Bar Foundation	37,454
Chicago Children's Advocacy Center	1,220
Chicago Children's Choir	1,000
Chicago Commons	1,000
Chicago Lawyers' Committee	34,625
Chicago Loop Alliance Foundation	3,750
Chicago Network	4,530
Chicago Summer Business Institute	5,000
Chicago Symphony Orchestra	5,000

KATTEN MUCHIN ROSENMAN FOUNDATION, INC.
F.E.I.N. 36-3165216
FORM 990-PF RETURN OF PRIVATE FOUNDATION
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PART XV SUPPLEMENTAL INFORMATION--3A
CONTRIBUTIONS PAID DURING THE YEAR

AMOUNT

Chicago United	10,000
Chicago Volunteer Legal Services	5,457
Chicago White Sox Charities	2,000
Chicago Zoological Society	1,000
Chicago-Kent College of Law Alumni Assn.	1,300
Chicagoland Entrepreneurial Center	10,000
Children's Brain Tumor Foundation	1,000
Children's Health Fund	9,250
Children's Law Center	2,740
Children's Oncology Services Inc.	4,000
Christ the King Jesuit College Prep.	9,900
Citizen Schools Illinois	5,000
City Bar Fund	200
City of Hope	14,750
College Bound Opportunities	1,600
Comm. in Schools of Charlotte Mecklenburg	2,340
Comm. Svs for Blind & Visually Impaired	350
Community Link	1,000
Constitutional Rights Foundation	9,500
Corporate Counsel Women of Color	6,000
Council for Children's Rights	27,500
Council for Jewish Elderly	5,000
Cristo Rey Jesuit High School	7,860
Crohn's & Colitis Foundation of America	1,800
CURE	23,200
CVAN	105
Cystic Fibrosis Foundation	300
D.C. Bar Pro Bono Program	4,500
DC Grays Baseball	5,000
DC Safe	3,000
Digestive Health Foundation	1,000
Disabled Sports USA	15,000
District of Columbia Bar Foundation	3,000
DOE Fund	105
Dolphins Cycling Challenge, Inc.	1,500
Domestic Violence Legal Clinic	5,000
Donate Life America	944
Dress for Success Houston	10,600
Dress for Success Worldwide-Central	2,182

KATTEN MUCHIN ROSENMAN FOUNDATION, INC.
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PART XV SUPPLEMENTAL INFORMATION--3A
CONTRIBUTIONS PAID DURING THE YEAR

AMOUNT

DV LEAP	6,000
Easter Seals DuPage & Fox Valley	7,000
Easter Seals Metropolitan Chicago	4,000
Eastern Washington University Foundation	1,650
Economic Club of Washington DC	10,000
Elisabeth Haub School of Law at Pace Univ	5,000
Environmental Law Institute	3,400
Epic Academy	5,000
Equal Justice Works	5,000
Equality Illinois Education Project	4,750
EverThrive Illinois	8,000
Family Center	105
Federal Bar Foundation	1,500
Feinstein Institute for Medical Research	5,200
Felicia Kaplan Memorial Foundation	2,500
Fight for Children	21,250
Fisher House Foundation	5,000
Folds of Honor Foundation	1,000
Frank Lloyd Wright Trust	1,000
Freedom School Partners	5,000
Friends of Detroit Hockey Academy	3,500
Friends of Golf, Inc.	1,500
Friends of IDF	2,640
Friends of the Folds of Honor Foundation	10,000
Friends of the Superior Court of DC	1,000
Galveston Bay Foundation	2,350
Gardeneers	35,375
Gary Comer Youth Center, Inc.	1,250
Gastro-Intestinal Research Foundation	6,000
Gateway for Cancer Research	40,000
Gelsey Kirkland Acad. of Classical Ballet	834
Girls Incorporated of New York City	500
GO Red for Women	115
GOAL	4,800
Good Sports	1,000
Goodman Theatre	24,550
Grammy Foundation	1,500
Greater Chicago Food Depository	3,720
H Foundation	1,000

KATTEN MUCHIN ROSENMAN FOUNDATION, INC.
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PART XV SUPPLEMENTAL INFORMATION--3A
CONTRIBUTIONS PAID DURING THE YEAR

AMOUNT

Harboring Hearts	1,037
Harlem Educational Activities Fund	545
Harold Robinson Foundation	5,000
Hedge Funds Care	47,000
Her Story Theater Inc. NFP	500
HFS Chicago Scholars	2,150
HistoryMakers	25,000
Holocaust Memorial Foundation of Illinois	15,000
Holy Trinity High School	1,000
Hospitality House of Charlotte	4,341
Housing Works, Inc.	5,000
Houston Ballet	4,000
Houston Volunteer Lawyers	4,031
Houston Wilderness	4,500
Howard University School of Law	5,000
Hubbard Street Dance Chicago	5,000
Human Rights First	7,000
IHA	2,250
Illinois Holocaust Museum & Education Ctr	5,000
Illinois Legal Aid Online	2,000
Ingalls Development Foundation	600
Inner City Law Center	7,500
Inspiration Corporation	4,000
Inst. for Inclusion in the Legal Prof.	2,500
Institute of Contemporary Art, LA	5,000
Integrated Medical Foundation	1,000
Invest for Kids	5,000
Israel Tennis Centers Foundation	1,500
JCC of Central NJ	1,000
JDRF	1,000
Jewish Council for Youth Services	1,000
Jewish National Fund	5,000
Jewish United Fund	214,014
John Buck Company Foundation	5,000
Jumpstart	1,376
JVSLA	3,000
Kelly Hall YMCA	4,760
Ketchum - Downtown YMCA	5,000
Knightwood Ensemble	1,000

KATTEN MUCHIN ROSENMAN FOUNDATION, INC.
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PART XV SUPPLEMENTAL INFORMATION--3A
CONTRIBUTIONS PAID DURING THE YEAR

AMOUNT

LAF	830
Lambda Legal	4,370
LatinoJustice PRLDEF	10,000
Lawyers for the Creative Arts	10,000
Legal Aid of North Carolina	1,000
Legal Aid Society	20,100
Legal Aid Society of Dist. of Columbia	8,564
Legal Assistance Foundation	49,670
Legal Council for Health Justice	2,900
Legal Counsel for the Elderly	5,000
LeGal Foundation	3,536
Les Turner ALS Foundation	10,000
Leslie Shankman School Corporation	1,000
Leukemia & Lymphoma Society	1,230
Lincoln Center for the Performing Arts	12,750
Little City Foundation	2,500
Los Angeles Center for Law and Justice	5,480
Make-A-Wish Southern Florida Inc.	250
Mandell JCC	1,500
Marfan Foundation	1,000
Mecklenburg Bar Foundation	5,000
Melwood Horticultural Training Center	5,000
Mental Health Assn. of New York City	2,500
Mesivta Yeshiva Rabbi Chaim Berlin	6,450
Midtown Educational Foundation	7,500
Minds Matter of Chicago	1,650
Minority Corporate Counsel Association	9,000
Mitchell A. Mars Foundation	2,500
Mothers Against Cancer	4,725
Mount Sinai Children's Center Foundation	5,000
Museum of Contemporary Art	1,500
Myositis Association	120
National Alliance to End Homelessness	1,000
National Eating Disorders Association	8,400
National Immigrant Justice Center	24,632
National Kidney Foundation of Illinois	16,000
National Multiple Sclerosis Society	15,300
National Ovarian Cancer Coalition	959
National Park Trust	12,000

KATTEN MUCHIN ROSENMAN FOUNDATION, INC.
F.E.I.N. 36-3165216
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PART XV SUPPLEMENTAL INFORMATION--3A
CONTRIBUTIONS PAID DURING THE YEAR

AMOUNT

National Partnership for Women & Families	23,000
National Veterans Legal Services Program	5,000
NAWBO Spirit Foundation	1,500
Nazareth Housing	15,000
Nephcure Kidney International	420
New Destiny Housing Corporation	2,100
New York Choral Society	3,500
New York Housing Conference	3,900
New York Lawyers for the Public Interest	57,400
New York Legal Assistance Group Inc.	12,750
New York Stem Cell Foundation	10,000
Next Steps of O'Connor Foundation	2,000
Nick's Network of Hope	1,869
Night Court	1,000
Noah's Hope - Hope 4 Bridget	5,000
North Shore Animal League America	1,000
Northlight Theater	3,500
Northwestern University	27,000
NSB-NUSA	5,000
NY Police & Fire Widows' & Children's BFI	750
One Savvy Veteran	793
OneOrlando	11,420
ORT America Metropolitan Chicago Region	2,350
Ovarian Cancer Research Fund	365
PACE Law School	5,000
Pamela B Katten Mem Leukemia Research Fdn	15,000
Pan Mass Challenge (PMC)	200
Philip and Bernice Frieze Fund	2,000
Pink Aid	2,350
Planned Parenthood Gulf Coast	1,000
Posse Foundation, Inc.	5,000
POTCF	3,000
Public Counsel	45,000
Public Interest Law Initiative	11,000
Pulmonary Fibrosis Foundation	170
Queens University of Charlotte	1,500
R Baby Foundation	5,000
Rancho Little League	500
Rape Victim Advocates	1,000

KATTEN MUCHIN ROSENMAN FOUNDATION, INC.
F.E.I.N. 36-3165216
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PART XV SUPPLEMENTAL INFORMATION--3A
CONTRIBUTIONS PAID DURING THE YEAR

AMOUNT

Ravinia Festival Association	50,150
Read Ahead	1,000
Riley Sandler Memorial Foundation	6,000
Rock Creek Conservancy	6,000
Ronald McDonald House Charity	95
Ronald McDonald House of New York Inc.	5,000
Rush Copley Foundation	2,000
Rush University Medical Center	2,100
Sacramento Kings Foundation	10,000
Safe Alliance	5,166
Safe Horizon	500
Salesmanship Club Charitable Golf Dallas	5,000
Salvation Army	135
Sanctuary for Families	3,500
SEC Historical Society	5,000
Second Harvest Food Bank of Metrolina	120
Sephardic Bikur Holim	1,000
Settlement Housing Fund, Inc.	1,900
SFGI Foundation	20,000
Shedd Aquarium Society	1,700
Shriner's Hospitals for Children	300
Signature Theatre Company	17,500
Silverlake Conservatory of Music	2,500
Simon Wiesenthal Center	1,640
Smithsonian National Zoo	38,138
South Brooklyn Legal Services	3,000
Southwestern Law School	1,500
St. Gertrude's Church	700
St. Joseph School	5,000
St. Jude Children's Research Hospital	85
St. Sabina	1,500
Stakeholders, Inc.	10,000
Stop Cancer	5,700
Sunrise Association	100
Supportive Housing Communities	2,500
Susan G. Komen	959
Teaching Tolerance	5,000
Teen Parent Connection	491
Texas Access to Justice Foundation	9,140

KATTEN MUCHIN ROSENMAN FOUNDATION, INC.
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PART XV SUPPLEMENTAL INFORMATION--3A
CONTRIBUTIONS PAID DURING THE YEAR

AMOUNT

Texas Civil Rights Project	1,000
Texas Defender Service	1,000
The IIIB'S Foundation	80
The Law Project	10,000
The Water Trust	5,000
Three Crowns Foundation	3,000
Thurgood Marshall College Fund	4,500
Timeline Theatre Company	3,950
TLC Rescue Inc.	90
True Value Foundation	1,500
U Dance	120
U of IL College of Business Alumni Assn	3,826
U.S. Soccer Federation	7,500
UCLA Foundation	4,800
UJA-Federation of New York	24,500
United Friends of the Children	1,000
United Hospital Fund	1,500
United States Holocaust Memorial Museum	7,700
Univ. of Chicago Booth School of Business	5,000
University of Chicago Cancer Research Fdn	25,000
University of Texas Law School Foundation	5,000
UpBeat Music and Arts	861
Urban Land Institute	10,000
USC Gould School of Law	5,000
USO	793
USO of Illinois	12,400
Verbum Dei High School	4,480
Volunteer Lawyers for the Arts	500
Volunteer Legal Services of Central Texas	7,500
Volunteers of Legal Service	20,000
Wapiyapi	3,250
WAS Nationals Youth Baseball Academy	5,000
Washington Lawyers' Committee	7,500
Washington Legal Clinic for the Homeless	10,000
Washington Nationals Dream Foundation	8,000
Wellness House	2,500
Westchester Jewish Community Services	2,500
WIN Partners	20,000
Wings Club Foundation	1,000

KATTEN MUCHIN ROSENMAN FOUNDATION, INC.
F.E.I.N. 36-3165216
FORM 990-PF RETURN OF PRIVATE FOUNDATION
FYI 12/31/16

PART XV SUPPLEMENTAL INFORMATION--3A
CONTRIBUTIONS PAID DURING THE YEAR

AMOUNT

World Business Chicago	25,000
World of Children Inc.	6,500
Wounded Warrior Project	115
Young Jewish Professionals	6,000
YouthBuild Lake County	650
ZERO - Baltimore	2,500
	2,432,328