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DLN: 93493306013087 OMB No. 1545-0047

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private

Do not enter social security numbers on this form as it may be made public Open to Public Department of the Treasury ▶ Information about Form 990 and its instructions is at <u>www IRS gov/form990</u> Internal Revenue Service Inspection For the 2016 calendar year, or tax year beginning 01-01-2016 , and ending 12-31-2016 C Name of organization AMERICAN SOCIETY OF ANESTHESIOLOGISTS D Employer identification number B Check if applicable ☐ Address change 36-2181944 ☐ Name change Doing business as ☐ Initial return Final □eturn/terminated E Telephone number Number and street (or P O box if mail is not delivered to street address) Room/suite ☐ Amended return 1061 AMERICAN LANE (847) 825-5586 ☐ Application pending City or town, state or province, country, and ZIP or foreign postal code SCHAUMBURG, IL 601734973 **G** Gross receipts \$ 57,056,079 Name and address of principal officer H(a) Is this a group return for PAUL POMERANTZ □Yes ☑No subordinates? 1061 AMERICAN LANE H(b) Are all subordinates SCHAUMBURG, IL 601734973 ☐ Yes ☐No ıncluded? Tax-exempt status 501(c)(3) **✓** 501(c) (6) **◄** (insert no) 4947(a)(1) or If "No," attach a list (see instructions) **H(c)** Group exemption number ▶ Website: ► WWW ASAHQ ORG **L** Year of formation 1936 M State of legal domicile NY K Form of organization ✓ Corporation ☐ Trust ☐ Association ☐ Other ▶ Summary 1 Briefly describe the organization's mission or most significant activities ADVANCING THE PRACTICE AND SECURING THE FUTURE OF ANESTHESIOLOGY THROUGH MEDICAL EDUCATION, CREATION AND DISSEMINATION OF NEW KNOWLEDGE, IMPROVEMENT OF PATIENT CARE, AND LEGISLATIVE AND REGULATORY ADVOCACY Activities & Governance Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets Number of voting members of the governing body (Part VI, line 1a) . . 3 62 Number of independent voting members of the governing body (Part VI, line 1b) 4 196 Total number of individuals employed in calendar year 2016 (Part V, line 2a) 5 Total number of volunteers (estimate if necessary) . 6 1,014 7a Total unrelated business revenue from Part VIII, column (C), line 12 7a 839,064 Net unrelated business taxable income from Form 990-T, line 34 7b **Prior Year Current Year** 8 Contributions and grants (Part VIII, line 1h) . 52,057 Program service revenue (Part VIII, line 2g) . 39,343,948 44,809,520 4,738,226 10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) . -2,755,378 11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 2,944,180 3,041,058 47,078,411 45,131,745 12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) 4,221,924 5,198,255 13 Grants and similar amounts paid (Part IX, column (A), lines 1–3). 14 Benefits paid to or for members (Part IX, column (A), line 4) . 15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) 18,136,361 20,830,162 16a Professional fundraising fees (Part IX, column (A), line 11e) . **b** Total fundraising expenses (Part IX, column (D), line 25) ▶0 21,744,545 27,943,760 17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) . 18 Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25) 44,102,830 53.972.177 19 Revenue less expenses Subtract line 18 from line 12 . 2,975,581 -8,840,432 Net Assets or Fund Balances End of Year **Beginning of Current Year** 20 Total assets (Part X, line 16) . 117,951,767 122,370,781 21 Total liabilities (Part X, line 26) . 48,443,898 51,514,721 22 Net assets or fund balances Subtract line 21 from line 20 69,507,869 70,856,060 Signature Block Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge 2017-10-23 Signature of officer Sign Here PAUL POMERANTZ CEO Type or print name and title Print/Type preparer's name GREGORY S ADAMS Preparer's signature GREGORY S ADAMS Date Check \square if 2017-10-18 P00095597 Paid self-employed Firm's name CLIFTONLARSONALLEN LLP Firm's EIN > 41-0746749 **Preparer** Firm's address ► 1301 W 22ND ST STE 1100 Phone no (630) 573-8600 Use Only

OAK BROOK, IL 60523

May the IRS discuss this return with the preparer shown above? (see instructions) .

✓ Yes 🗆 No

Form	990 (2016)				Page 2
Par	Stateme	nt of Program Service Acc	complishments		
	Check if Sc	hedule O contains a response or	note to any line in this Part III .		🗹
1	Briefly describe th	e organization's mission			
			OF ANESTHESIOLOGY THROUGH , AND LEGISLATIVE AND REGULAT		ON AND DISSEMINATION
2	,	, , ,	gram services during the year whic	h were not listed on	
	•	O or 990-EZ?			🗌 Yes 🗹 No
	,	these new services on Schedule			
3	services?	- -	gnificant changes in how it conduct	s, any program	☐ Yes ☑ No
4	Section $501(c)(3)$		nplishments for each of its three lai required to report the amount of g service reported		
4a	(Code) (Expenses \$	including grants of \$) (Revenue \$)
	See Additional Data				
4b	(Code) (Expenses \$	including grants of \$) (Revenue \$)
	See Additional Data				
4c	(Code) (Expenses \$	including grants of \$) (Revenue \$)
	See Additional Data				
	(Code) (Expenses \$	ıncludıng grants of \$) (Revenue \$)
	REGULATORY ACTIVI		GOVERNMENTAL AFFAIRS (OGA), LOCATI EVEL, PARTICULARLY THOSE ISSUES THA ATES		
4d	Other program se	rvices (Describe in Schedule O)			
	(Expenses \$	ıncludıng	grants of \$) (Revenue \$)
4e	Total program s	ervice expenses ►			

Yes

Yes

Yes

Yes

Yes

Yes

1

2

3

4

5

6

7

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9

10

11a

11b

11c

11d

11e

11f

12a

12b

13

14a

14h

15

16

17

18

19

Yes

or X as applicable

Section 501(c)(3) organizations.

Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates

Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right

Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation

Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments,

c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its

d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported

Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D. Part X 🕏

Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses

the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X 🛸

If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional 🐒

Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any

Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to

Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX,

Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII,

Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes,"

b Was the organization included in consolidated, independent audited financial statements for the tax year?

b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments

valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV

Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E

14a Did the organization maintain an office, employees, or agents outside of the United States? . . .

column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)

Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total

permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V 🛸 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VIII, VIII, IX,

Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year?

5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues,

Did the organization receive or hold a conservation easement, including easements to preserve open space,

the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II 🛸 🔒 . Did the organization maintain collections of works of art, historical treasures, or other similar assets?

assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 🛸

to provide advice on the distribution or investment of amounts in such funds or accounts?

a Did the organization report an amount for land, buildings, and equipment in Part X, line 10?

12a Did the organization obtain separate, independent audited financial statements for the tax year?

foreign organization? If "Yes," complete Schedule F, Parts II and IV

or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV . . .

assessments, or similar amounts as defined in Revenue Procedure 98-19?

1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? . . .

No No No

Page 3

No Nο Nο

No

No

Nο

Nο

Nο

No

No

No

Νo

No

No

Νo

Form **990** (2016)

29

Page 4

No

THE Checklist of Required Schedules (continued)

ell C LV	CHECKIISE OF	Required	Jeneuale.	3 (CO)	iciiia	cuj
						"

20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H . . .

Yes 20a

20b Yes 21

22

23

24a

24b

24c

24d

25a

25b

26

27

28a

28b

28c

29

30

31

32

33

34

35a

35b

36

37

Yes

Yes

Yes

Form 990 (2016)

Yes Yes

Nο

Nο

Nο

No

Nο

Νo

Νo

Nο

No

Nο

Nο

Nο

b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III

25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.

instructions for applicable filing thresholds, conditions, and exceptions)

Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's

current and former officers, directors, trustees, key employees, and highest compensated employees, If "Yes,"

24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and

b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . .

c Did the organization maintain an escrow account other than a refunding escrow at any time during the year

d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . .

that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ?

officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV

301 7701-2 and 301 7701-3? If "Yes," complete Schedule R, Part I 🔧

within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 . . .

is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI 🛸

Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets?

35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?

a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L,

Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes,"

b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and

Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons?

Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member

Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV

b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part

An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an

Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation

Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I .

Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections

Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and

b If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity

Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related

Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that

Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 197 Note.

Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M . . .

orm	990 (2016)			Page
Par	t V Statements Regarding Other IRS Filings and Tax Compliance			
	Check if Schedule O contains a response or note to any line in this Part V			
			Yes	No
	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable 1a 393			
	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable 1b 0			
С	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1 c		
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by			
	this return	2b	Yes	
D	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note.If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	20	163	
За	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	Yes	
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O	3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		No
b	If "Yes," enter the name of the foreign country See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR)			110
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			
-	2	5c		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a	Yes	
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6 b	Yes	
7	Organizations that may receive deductible contributions under section 170(c).			
а	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a		
	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b		
С	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c		
d	If "Yes," indicate the number of Forms 8282 filed during the year			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	79 7h		
8	Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	8		
9a	Did the sponsoring organization make any taxable distributions under section 4966?	9a		
	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b		
0	Section 501(c)(7) organizations. Enter			
	Initiation fees and capital contributions included on Part VIII, line 12 10a			
	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b			
1	Section 501(c)(12) organizations. Enter			
	Gross income from members or shareholders			
	Gross income from other sources (Do not net amounts due or paid to other sources			
	against amounts due or received from them)			
	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year 12b			
3	Section 501(c)(29) qualified nonprofit health insurance issuers.			
а	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O	13a		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans			
c	Enter the amount of reserves on hand			
_		ı l		NI.
	Did the organization receive any payments for indoor tanning services during the tax year?	14a		No

Par	t VI	Governance, Management, and DisclosureFor each "Yes" response to lines 2 through 7b below, and for a "N 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O See instructions	o" respo	nse to li	nes
		Check if Schedule O contains a response or note to any line in this Part VI			✓
Se	ction	A. Governing Body and Management			
				Yes	No
la	Enter	the number of voting members of the governing body at the end of the tax year 1a 6.	5		
	body,	ore are material differences in voting rights among members of the governing or if the governing body delegated broad authority to an executive committee or ar committee, explain in Schedule O			
b	Enter	the number of voting members included in line 1a, above, who are independent 1b 6	<u>.</u>		
2		ny officer, director, trustee, or key employee have a family relationship or a business relationship with any other r, director, trustee, or key employee?	2		No
3		ne organization delegate control over management duties customarily performed by or under the direct supervisio icers, directors or trustees, or key employees to a management company or other person?	n 3		No
4	Did th	ne organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		No
5	Did th	ne organization become aware during the year of a significant diversion of the organization's assets?	5		No
6		ne organization have members or stockholders?	6		No
7a		ne organization have members, stockholders, or other persons who had the power to elect or appoint one or more			
	meml	bers of the governing body?	7a	Yes	
b		ny governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or ons other than the governing body?	7b	Yes	
8		ne organization contemporaneously document the meetings held or written actions undertaken during the year by ollowing			
а	The g	overning body?	8a	Yes	
		committee with authority to act on behalf of the governing body?	8b	Yes	
9		ere any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the nization's mailing address? <i>If "Yes," provide the names and addresses in Schedule O</i>	9		No
Se	ction	B. Policies (This Section B requests information about policies not required by the Internal Revenue	ie Code		
10-	D-4 +	no overanization have local chapters, hypnobos, or affiliates?	10a	Yes	No No
		ne organization have local chapters, branches, or affiliates? .s." did the organization have written policies and procedures governing the activities of such chapters, affiliates,	IUa		INO
	and b	pranches to ensure their operations are consistent with the organization's exempt purposes?	10b		
	form?		11a	Yes	
		ribe in Schedule O the process, if any, used by the organization to review this Form 990			
		ne organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes	
	confli	officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to cts?	12b	Yes	
С		ne organization regularly and consistently monitor and enforce compliance with the policy? <i>If "Yes," describe in dule O how this was done</i>	12c	Yes	
13		ne organization have a written whistleblower policy?	13	Yes	
14		ne organization have a written document retention and destruction policy?	14	Yes	
15		ne process for determining compensation of the following persons include a review and approval by independent ons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
а	The o	organization's CEO, Executive Director, or top management official	15a	Yes	
b		r officers or key employees of the organization	15b	Yes	
		es" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a		ne organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a ble entity during the year?	16a		No
b	ın joir	es," did the organization follow a written policy or procedure requiring the organization to evaluate its participation nt venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt s with respect to such arrangements?			
			16 b		
<u>Se</u> 17		C. Disclosure he States with which a copy of this Form 990 is required to be filed▶			
18		on 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only)			
	availa	able for public inspection. Indicate how you made these available. Check all that apply			
19		Own website \square Another's website $ olimits$ Upon request \square Other (explain in Schedule 0) ribe in Schedule 0 whether (and if so, how) the organization made its governing documents, conflict of interest			
	policy	, and financial statements available to the public during the tax year			
20		the name, address, and telephone number of the person who possesses the organization's books and records DMAS CONWAY 1061 AMERICAN LANE SCHAUMBURG, IL 601734973 (847) 268-9125			0 (20)

compensated employees, and former such persons

(A)

Name and Title

(F)

Estimated

Form 990 (2	2016) Page 7
Part VII	Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors
	Check if Schedule O contains a response or note to any line in this Part VII
Section	A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
year ● Lıst all	e this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount ation. Enter -0- in columns (D), (E), and (F) if no compensation was paid
• List all o	of the organization's current key employees, if any See instructions for definition of "key employee "
who receive	organization's five current highest compensated employees (other than an officer, director, trustee or key employee) In reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the In and any related organizations
• List all o	of the organization's former officers, key employees, or highest compensated employees who received more than \$100,000

(C)

Position (do not check more

(D)

Reportable

(E)

Reportable

organiza List of reportable compensation from the organization and any related organizations

• List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(B)

Average

hours per than one box, unless person compensation compensation amount of other week (list is both an officer and a from the from related compensation organization (Wany hours director/trustee) organizations from the for related 2/1099-MISC) (W- 2/1099organization and Highest compensat employee Individual trustee or director Office: Former organizations MISC) related Institutional Trustee below dotted organizations employee line) See Additional Data Table

1 EAST WACKER DRIVE FLOOR 24 CHICAGO, IL 60601

compensation from the organization ▶ 31

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Form 990 (2016)													Page 8
Part VII Section A. Officers, Direct	tors, Trustees	s, Key	Empl	loye	ees,	and	High	hest Co	mpensate	ed Employees (cont	inued)	
(A) Name and Title	d Title Average hours per than one box, unless person week (list any hours Average hours per than one box, unless person week (list any hours any hours Average hours (do not check more compensation compensation from the director/trustee) Average hours (do not check more compensation compensation from the director/trustee) Average hours (do not check more compensation compensation from the director/trustee) Average hours per than one box, unless person compensation from the director/trustee) Average hours per than one box, unless person compensation from the c		(E) Reportable compensation from related organizations (1	N-	(F) Estimated amount of of compensation from the organization								
	organizations below dotted line)	Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	2/103	99-MI3C)	2/1099-MISC		relat organiza	ed
See Additional Data Table											\top		
										 	+		
											\perp		
							-			-	+		
1b Sub-Total		 n A .	<u>. </u>	<u>.</u>	<u> </u>	 					H		
d Total (add lines 1b and 1c)	<u> </u>					▶		3,	669,069	5,13	9		548,713
2 Total number of individuals (including of reportable compensation from the			e list	ed a	bove	e) who	rec	eived mo	ore than \$1	00,000			
												Yes	No
3 Did the organization list any former line 1a? If "Yes," complete Schedule.			ee, k			oyee,	or hi	ghest co	mpensated • • •	employee on	3		No
For any individual listed on line 1a, is organization and related organization individual	s greater than s	150,00	0? <i>If</i>							n the	4	Yes	
5 Did any person listed on line 1a recei services rendered to the organization									ition or indi	vidual for	5		No
Section B. Independent Contract	ors												
Complete this table for your five high from the organization Report compe	nsation for the o									n's tax year	npen		
Name a	(A) and business addre	955							Desc	(B) ription of services		(C Comper	
PSAV PRESENTATION SERVICES									CONVENTIO	N SERVICES		2	,917,276
549 W LAKE STREET ELMHURST, IL 60126													
WOLTERS KLUWER HEALTH INC									EDITORIAL	SERVICES			951,461
2001 MARKET STREET PHILADELPHIA, PA 19103									DATA BACE	CERVICEC			046 202
PREMIER HEALTHCARE SOLUTIONS									DATA BASE	SEKVICES			946,283
5882 COLLECTIONS CENTER DRIVE CHICAGO, IL 60693													
&G DC REIT INC									SPACE REN	ΓAL			573,037
225 W WASHINGTON SUITE 2300 CHICAGO, IL 60606													
PUBLIC COMMUNICATIONS INC									PR AND MAI	RKETING SERVICES			443,662

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of

Part	VI	II Statement of	Revenue									rage J
				a respo	onse or note to any	line in t	hıs Part VII	Ι.				🗆
							(A) revenue	e fu	(B) lated or xempt inction	(C Unrela busin revei	ated ness	(D) Revenue excluded from tax under sections
	1	a Federated campaig	ns	1a				re	evenue			512-514
nts ints		b Membership dues		1b	<u> </u>							
ira! 10u		c Fundraising events		1c	<u> </u> 							
s. (An		d Related organizatio		1d	<u> </u> 							
Gift		e Government grants (co		1e	<u> </u>							
Si E		f All other contributions			<u> </u>							
tior sr.S		and similar amounts n		1f	36,545							
Contributions, Giffs, Grants and Other Similar Amounts		g Noncash contribution in lines 1a-1f \$	ons included									
Con	ı	h Total.Add lines 1a-1	lf	. .	•		36,545					
9.					Business	Code	_					
Nev	2 a	DUES				900099		104,978	22,104	,978		
å.		ANNUAL MEETING				541900		149,304	7,989		460,0	050
MCE		ANESTHESIA CONTINUI QCDR/PSH PARTICIPAT:				541900 541900	·	925,644 154,700	5,925 3,154			
Şet		OTHER SUPPORT	ION FEES			541900	· · · · · · · · · · · · · · · · · · ·	104,496	2,404			
ram							2,7	770,398	2,445	_	325,3	325
Program Service Revenue		f All other program se			44,8	09,520						
		ITotal.Add lines 2a-2i Investment income (i			unterest and other							Γ
			· · · ·		miterest, and other ►		1,911,80	6				1,911,806
		Income from investme		-		<u> </u>	2.076.20					2 222 624
	5	Royalties	(ı) Pop		>	<u> </u> 	2,876,29	3			53,689	2,822,604
	62	a Gross rents	(ı) Rea	1	(II) Personal	1						
	ŀ	b Less rental expenses										
	(c Rental income or (loss)	1	155,814								
	(d Net rental income o	r (loss)			1	155,81	4	155,814			
			(ı) Securit	ties	(II) Other							
	7 <i>a</i>	7a Gross amount from sales of assets other than inventory										
	ŀ	b Less cost or other basis and sales expenses	11,9									
	•	C Gain or (loss)	-4,6	67,184								
		d Net gain or (loss) .			•	<u>]</u>	-4,667,18	4				-4,667,184
Other Revenue	82	a Gross income from f (not including \$ contributions reporte See Part IV, line 18	ed on line 1c)	of								
Re	ŀ	b Less direct expense	s	b								
ler		c Net income or (loss)			ents	_						
Ott	9 <i>a</i>	Gross income from g See Part IV, line 19		ies								
		.		a								
		b Less direct expense c Net income or (loss)		b activit	ies							
		aGross sales of invent returns and allowand	tory, less	а								
	ŀ	b Less cost of goods s	sold	b								
	(Net income or (loss)		invent	· · · · · · · · · · · · · · · · · · ·							
	11	Miscellaneous			Business Code 900099		8,95	1				8,951
	1.	1aSHARED SERVICES			900099		0,93					8,931
	ŀ	b										
	(с										
		d All other revenue										
		e Total. Add lines 11a			•		8,95	1				
	12	2 Total revenue. See	Instructions		· · · · •		45,131,74	5	44,179,959		839,064	
												Form 990 (2016)

Form 990 (2016)				Page 10
Part IX Statement of Functional Expenses Section 501(c)(3) and 501(c)(4) organizations must complete all c	olumns All other orga	anizations must com	plete column (A)	
Check if Schedule O contains a response or note to any	/ line in this Part IX			🗆
Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraisingexpenses
Grants and other assistance to domestic organizations and domestic governments See Part IV, line 21	5,170,755			
2 Grants and other assistance to domestic individuals See Part IV, line 22	27,500			
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals See Part IV, line 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	3,588,442			
6 Compensation not included above, to disqualified persons (as defined under section $4958(f)(1)$) and persons described in section $4958(c)(3)(B)$				
7 Other salaries and wages	12,146,166			
8 Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions)	1,491,227			
9 Other employee benefits	2,613,258			
10 Payroll taxes	991,069			
11 Fees for services (non-employees)				
a Management				
b Legal	121,930			
c Accounting	72,528			
d Lobbying	420,000			
e Professional fundraising services See Part IV, line 17				
f Investment management fees	81,206			
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	1,210,617			
12 Advertising and promotion	245,345			
13 Office expenses	1,347,618			
14 Information technology	710,059			
15 Royalties				
16 Occupancy	2,028,766			
17 Travel	649,978			
18 Payments of travel or entertainment expenses for any federal, state, or local public officials .				
19 Conferences, conventions, and meetings	7,949,054			
20 Interest	431,666			
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	2,161,858			
23 Insurance	139,676			
24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a OTHER OUTSIDE SERVICES	3,345,958			
b SUBSCRIPTIONS	993,916			
c CREDIT CARD FEES	802,368			

567,909

4,663,308

53,972,177

Form **990** (2016)

d RESEARCH SERVICE PAYMEN

25 Total functional expenses. Add lines 1 through 24e

26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation

Check here ▶ ☐ If following SOP 98-2 (ASC 958-720)

e All other expenses

Check if Schedule O contains a response or note to any line in this Part IX .

					(A) Beginning of year		(ਖ਼) End of year
	1	Cash-non-interest-bearing			2,196,890	1	4,501,280
	2	Savings and temporary cash investments .			157,979	2	
	3	Pledges and grants receivable, net		•		3	
	4	Accounts receivable, net	2,163,679	4	3,382,846		
ssets	5	Loans and other receivables from current and for trustees, key employees, and highest compensa II of Schedule L				5	
	6 7	Loans and other receivables from other disquali section 4958(f)(1)), persons described in sectio contributing employers and sponsoring organizations voluntary employees' beneficiary organizations Part II of Schedule L Notes and loans receivable, net	(c)(3)(B), and f section 501(c)(9)		6		
\$8	8	Inventories for sale or use		8			
4	9	Prepaid expenses and deferred charges	1,410,114	9	1,302,997		
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a	43,669,414			
	ь	Less accumulated depreciation	10b	8,007,524	36,800,369	10c	35,661,890
	11	Investments—publicly traded securities .			74,815,156	11	75,277,071
	12	Investments—other securities See Part IV, line	11 .	[12	
	13	Investments—program-related See Part IV, line	e 11 .			13	
	14	Intangible assets		[14	
	15	Other assets See Part IV, line 11	[407,580	15	2,244,697	
	16	Total assets.Add lines 1 through 15 (must equ	117,951,767	16	122,370,781		
	17	Accounts payable and accrued expenses			7,430,109	17	10,577,024
	18	Grants payable			18		

Page **11**

		investments program related See Fare IV, line II			
	14	Intangible assets		14	
	15	Other assets See Part IV, line 11	407,580	15	2
	16	Total assets.Add lines 1 through 15 (must equal line 34)	117,951,767	16	122
	17	Accounts payable and accrued expenses	7,430,109	17	10
	18	Grants payable		18	
	19	Deferred revenue	9,146,373	19	10
	20	Tax-exempt bond liabilities		20	
S	21	Escrow or custodial account liability Complete Part IV of Schedule D		21	
Liabilities	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified			
<u>a</u>		persons Complete Part II of Schedule L		22	
	23	Secured mortgages and notes payable to unrelated third parties	29,765,000	23	28
	24	Unsecured notes and loans payable to unrelated third parties		24	
	25	Other liabilities (including federal income tax, payables to related third parties,	2,102,416	25	2

0,132,543 28,772,834 2.032.320

and other liabilities not included on lines 17-24) Complete Part X of Schedule D 48,443,898 51,514,721 26 Total liabilities. Add lines 17 through 25 . 26 Net Assets or Fund Balances

Organizations that follow SFAS 117 (ASC 958), check here ightharpoonup and

complete lines 27 through 29, and lines 33 and 34. 69.507.869 70.856.060 27 Unrestricted net assets 27 28

29

30

31

32

33

34

70,856,060

122,370,781 Form **990** (2016)

69,507,869

117,951,767

28 Temporarily restricted net assets 29 Permanently restricted net assets

Organizations that do not follow SFAS 117 (ASC 958), check here ▶ □ and complete lines 30 through 34.

Capital stock or trust principal, or current funds . . .

Total net assets or fund balances

Total liabilities and net assets/fund balances

Paid-in or capital surplus, or land, building or equipment fund . . .

Retained earnings, endowment, accumulated income, or other funds

30

31

32

33

Yes

Nο

Form 990 (2016)

2c

3a

3b

c If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight

b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required

audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits

of the audit, review, or compilation of its financial statements and selection of an independent accountant?

If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O

3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single

Audit Act and OMB Circular A-133?

Additional Data

Software ID:

Software Version:

EIN: 36-2181944

Name: AMERICAN SOCIETY OF ANESTHESIOLOGISTS

Form 990 (2016)

Form 990, Part III, Line 4a:

MEMBERSHIP - MEMBERS OF ASA INCLUDE MD/DOS WHO ARE LICENSED PHYSICIANS AND HAVE COMPLETED A TRAINING PROGRAM IN ANESTHESIOLOGY, AS WELL AS MEDICAL STUDENTS INTERESTED IN ANESTHESIOLOGY AND RESIDENTS ENROLLED IN AN ANESTHESIOLOGY TRAINING PROGRAM ANESTHESIOLOGISTS PRACTICING OUTSIDE THE US AND SCIENTISTS INVOLVED IN ANESTHESIA RESEARCH MAY BECOME AFFILLATE MEMBERS EDUCATIONAL MEMBERS INCLUDE NURSE ANESTHETISTS AND ANESTHESIOLOGIST ASSISTANTS THE SOCIETY HAS 52,000 MEMBERS THE SOCIETY MANAGES INDIVIDUAL AND GROUP DUES INVOICING AND PROCESSING FOR ASA MEMBERS. THE SOCIETY MAINTAINS A COMPREHENSIVE MEMBER AND CUSTOMER DATABASE TRACKING ALL SALES AND TRANSACTIONS WITH THE SOCIETY.

PUBLICATIONS - ASA PRODUCES STATEMENTS, GUIDELINES, AND STANDARDS WHICH FOCUS ON WAYS OF IMPROVING THE PRACTICE OF ANESTHESIOLOGY ADDITIONAL PUBLICATIONS PROVIDE INFORMATION ON THE VARIOUS ASPECTS OF ANESTHETIC CARE FOR BOTH MEDICAL PERSONNEL AND THE LAY PUBLIC A DISTINGUISHED SCIENTIFIC JOURNAL, "ANESTHESIOLOGY", IS PUBLISHED MONTHLY, AS IS THE OFFICIAL NEWSLETTER, "MONITOR", WHICH PROVIDES GENERAL

Form 990, Part III, Line 4b:

INFORMATION AND NEWS ON CURRENT ISSUES AFFECTING ANESTHESIOLOGY

CONTINUING EDUCATION - ASA SPONSORS CONTINUING MEDICAL EDUCATION VIA ONLINE COURSES, TANGIBLE PRODUCTS, AND LIVE MEETINGS THAT ARE DESIGNED TO ADVANCE PHYSICIAN ANESTHESIOLOGISTS' CURRENT KNOWLEDGE AND BUILD SKILLS ON NEWEST CLINICAL DEVELOPMENTS, TECHNOLOGY ADVANCEMENTS, AND RESEARCH SELF STUDY COURSES INCLUDE TWO BASED ON A QUESTION AND ANSWER FORMAT ANESTHESIOLOGY CONTINUING EDUCATION (ACE), AND THE SELF-

OTHER SELF-STUDY COURSES INCLUDE A JOURNAL CME PROGRAM USING ARTICLES FROM "ANESTHESIOLOGY, REFRESHER COURSES IN ANESTHESIOLOGY" AN EXPANSION OF ANNUAL MEETING COURSES, PATIENT SAEFETY, ETHICS, AND A VARIETY OF E-LEARNING PROGRAMS ON CLINICAL TOPICS ASA IN-PERSON EDUCATION PROGRAMS INCLUDE AN ANNUAL MEETING, THE WORLD'S LARGEST EDUCATION PROGRAM FOR PHYSICIAN ANESTHESIOLOGISTS, OFFERING A VARIETY OF SESSIONS

EDUCATION AND EVALUATION (SEE). THAT ARE USED TO ASSESS PROFESSIONAL KNOWLEDGE AND PREPARE FOR MAINTENANCE OF CERTIFICATION AND LICENSURE

MANAGEMENT, ANESTHESIA OUALITY MEETING, LEGISLATIVE CONFERENCE, AND THE CERTIFICATE IN BUSINESS ADMINISTRATION

Form 990, Part III, Line 4c:

AND WORKSHOPS THAT COVER THE CURRENT TOPICS AND EMERGING SCIENCE THE 2016 ANNUAL MEETING NUMBER OF ATTENDEES WAS AS FOLLOWS MEMBERS 7,779NON-MEMBERS 1,572EXHIBITORS 2,455GUEST/SPOUSE 1,584FOR A TOTAL OF 13,390 ATTENDEESOTHER ANNUAL PROGRAMS INCLUDE CONFERENCE ON PRACTICE

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors (D) (E) (F) Name and Title Average Position (do not check more Reportable Reportable Estimated hours per than one box, unless compensation compensation amount of other person is both an officer from related week (list from the compensation any hours and a director/trustee) organization organizations from the for related (W-2/1099-(W- 2/1099organization and Officer Highest compensatemployee Former Individual trustee or director organizations Institutional MISC) MISC) related director below dotted organizations employee line)

JOHN P ABENSTEIN	0 00	×	x		0	0	
IMMEDIATE PAST PRESIDENT		^	^			3	
PATRICK H ALLAIRE	1 00	V			905	0	
DIRECTOR		^			303	0	
CHARLES K ANDERSON	2 00	х			2,112	0	
DIRECTOR	1.00						

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TIMOTHY N BEESON

ROBERT W BRANDT

DAVID BROUSSARD

PATRICIA M BROWNE

DIRECTOR							
CHARLES K ANDERSON	2 00	x			2,112	0	
DIRECTOR					2,112	5	
JOSEPH F ANSWINE DIRECTOR	1 00	Х			1,750	0	
	4.00						

DIRECTOR							
JOSEPH F ANSWINE	1 00	×			1,750	0	
DIRECTOR		,			1,730	Ů	
DONALD E ARNOLD	4 00	V			4.737	750	
		_ ^			4,/3/	/30	

DIRECTOR	•••••	X			1,750	0	(
DONALD E ARNOLD	4 00	×			4,737	750	(
DIRECTOR					4,737	,30	
BRETT L ARRON	1 00						

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Compensated Employees, and Independent Contractors (D) (E) (F) Name and Title Average Position (do not check more Reportable Reportable Estimated than one box, unless hours per compensation compensation amount of other person is both an officer week (list from the from related compensation any hours and a director/trustee) organization organizations from the for related (W-2/1099-(W-2/1099organization and MISC) MISC) related organizations

DIRECTOR

DIRECTOR

ANJUM BUX

DIRECTOR

DIRECTOR

DIRECTOR

DIRECTOR

DIRECTOR

DANIEL CAMPOS

JOESPH F CASSADY

MICHAEL W CHAMPEAU

ASSISTANT TREASURER

MICHAEL CAHALAN

BRIAN J CAMMARATA

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ASOKUMAR BUVANENDRAN

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DIRECTOR		^				1,300	Ĭ	
DEDEDICY W BUDGESS	0 00							

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LAUDE BRUNSON	1 00	X				1,500	0	
PIRECTOR								
REDERICK W BURGESS	0 00	X				0	0	
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CLAUDE BRUNSON	1 00					1,500	0	
DIRECTOR		^				1,300	0	
FREDERICK W BURGESS	0 00	х				0	0	
DIRECTOR		^					0	
RUTH E BURSTROM	0 00							

750

1,500

1,500

2,000

4,250

Compensated Employees, and Independent, Contractors (D) (E) (F) Name and Title Average Position (do not check more Reportable Reportable Estimated hours per than one box, unless compensation amount of other compensation person is both an officer from related week (list from the compensation any hours and a director/trustee) organization organizations from the for related (W-2/1099-(W- 2/1099organization and Office Highest compen Former Individual truste or director Institutional MISC) organizations MISC) related below dotted organizations employee line)

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RANDALL M CLARK	0 00	Х				0	0	,
CHAIR-SEC ON PROFESSIONAL STANDARDS		^					3	
NEAL COHEN	9 00	V				11,750	1,500	
CHAIR-SEC ON PROFESSIONAL PRACTICE		^				11,750	1,300	
DANIEL J COLE	31 00	V				40.000	0	,
		. X	1		ı	. 40 000	ı U	ı

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CHAIR SEC ON TROI ESSIONAL TRACTICE							
DANIEL J COLE PRESIDENT/PAST PRESIDENT	31 00	Х			40,000	0	
LOIS A CONNOLLY DIRECTOR	1 00	х			623	0	
	1.00						

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GERARD T COSTELLO

JAY D CUNNIGHAM

KRAIG S DE LANZAC

JENNIFER R DOLLAR

JOHN F DOMBROWSKI

ASSISTANT SECRETARY

PETER J DUNBAR

DIRECTOR

DIRECTOR

DIRECTOR

DIRECTOR

DIRECTOR

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent, Contractors (D) (E) (F) Name and Title Average Position (do not check more Reportable Reportable Estimated than one box, unless hours per compensation amount of other compensation person is both an officer week (list from the from related compensation any hours organizations and a director/trustee) organization from the for related (W-2/1099-(W- 2/1099-Highest comper employee organization and Office Former individual trusta or director key employee Institutional MISC) organizations MISC) related below dotted organizations line)

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SHEILA J ELLIS DIRECTOR	0 00	x				0	0	
KENNETH ELMASSIAN DIRECTOR	1 00	х				1,250	0	
CHRISTOPHER D EMERSON	0 00	х				0	0	

DIRECTOR						
CHRISTOPHER D EMERSON	0 00	x			0	
DIRECTOR		,			, and the second	
EMIL ENGELS	1 00				1,281	
DIRECTOR		^			1,201	
TANK CIVICITOR	3 00					

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3 00

DAVID M GABA

NICHOLAS C GAGLIANO

RICARDO D GALAN

BRENDA A GENTZ

CHAIR-SEC ON ANNUAL MEETING

DIRECTOR

DIRECTOR

DIRECTOR

CHRISTOPHER D EMERSON		v			٨	ام	
DIRECTOR		^				Ĭ	
EMIL ENGELS	1 00	V			1.281	0	
DIRECTOR		^			1,281		
JANE C K FITCH	3 00				3,282	0	
DIRECTOR		_ ^			3,202	l ol	

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EMIL ENGELS 1 00 X 1,281 0 DIRECTOR	
	`
JANE C K FITCH 3 00 X 3,282 0	(
DIRECTOR	

DIRECTOR							
EMIL ENGELS	1 00	_			1,281	0	0
DIRECTOR		^			1,201	0	
JANE C K FITCH	3 00	V			3,282	0	0
DIRECTOR		^			3,202	0	· ·
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JANE C K FITCH		x			3,282	0	0
DIRECTOR					5,252		
MARK F FLANERY	0 00	¥			0	0	0
DIRECTOR		^			9	o	
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Compensated Employees, and Independent Contractors (D) (F) (E) Name and Title Average Position (do not check more Reportable Reportable Estimated than one box, unless compensation amount of other hours per compensation person is both an officer week (list from the from related compensation any hours organizations and a director/trustee) organization from the for related (W-2/1099-(W- 2/1099organization and individua or direct Officer Former Instituti MISC) organizations MISC) related below dotted organizations έm line)

JAMES D GRANT

DIRECTOR

DIRECTOR

DIRECTOR

FIRST VP/PRESIDENT ELECT

FRANCISCO GRINBERG

JEFFREY B GROSS

SCOTT B GROUDINE

RAAFAT S HANNALLAH

CHAIR-SEC ON CLINICAL CARE

		al trustee tor	ional Trustee	ployee	compensated se			
MATTHEW C GERTSCH	0 00					0	0	
DIRECTOR		^						

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MATTHEW C GERTSCH	0 00	V			0	0	
DIRECTOR		^			0	0	
PATRICK GIAM	1 00	V			1,905	0	
DIRECTOR		^			1,903	0	
MARK A GILBERT	0 00						

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MATTHEW C GERTSCH	0 00	X			0	0	
DIRECTOR		,			•	,	
PATRICK GIAM	1 00	>			1 005	0	
DIRECTOR		^			1,905	U	
MARK A GILBERT	0 00	~			0	0	
DIRECTOR		^			0	0	
PETER GOLDZWEIG	4 00	×			5 836	0	

PATRICK GIAM	1 00	V			1.005		•
DIRECTOR		X			1,905	U	U
MARK A GILBERT	0 00	V			0	0	
DIRECTOR		X			U	U	U
PETER GOLDZWEIG	4 00	V			F 936	0	
DIRECTOR		^			5,836	U	U
MICHAEL C GOSNEY	1 00	×			1 500	0	0

MARK A GILBERT	0 00					0	,
DIRECTOR		^			0	0	
PETER GOLDZWEIG	4 00	v			5,836	0	
DIRECTOR					5,636	0	
MICHAEL C GOSNEY	1 00	V			1 500	0	
DIRECTOR		_ ^			1,500	0	
IAMES D GRANT	1 00						

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2,690

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1,750

Compensated Employees, and Independent, Contractors (D) (F) (E) Name and Title Average Position (do not check more Reportable Reportable Estimated than one box, unless compensation amount of other hours per compensation person is both an officer week (list from the from related compensation any hours organizations and a director/trustee) organization from the for related (W-2/1099-(W- 2/1099organization and Highest co employee Individual to Officer Former Institution MISC) organizations MISC) related below dotted emplo organizations line)

		trustee	al Trustee),ee	on pensated			
DANIEL A HANSEN	2 00					2,000	0	
DIRECTOR		^				2,000	0	
BRIAN E HARRINGTON	1 00					1,500	0	
DIRECTOR		^				1,300	0	

DIRECTOR		^			2,000		
BRIAN E HARRINGTON	1 00	×			1,500	0	
DIRECTOR		^			1,300	J	
RONALD L HARTER	3 00	V	_		3.750	0	Г
VICE SPEAKER, HOUSE OF DELEGATES		_ ^	^		3,730	١	

K					
HARRINGTON	1 00	×			1,500
R		^			1,500
L HARTER	3 00	·	~		3,750
AKER, HOUSE OF DELEGATES		^	^		3,730
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D/ CHAID DELECATION TO AMA		^			5,41!

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DIRECTOR

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VERNON C HILL

JAMES R HEBL

DAVID L HEPNER

LINDA B HERTZBERG

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ALLEN J HAYMAN

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest

BRIAN E HARRINGTON DIRECTOR	1 00	х			1,500	0	0
RONALD L HARTER VICE SPEAKER, HOUSE OF DELEGATES	3 00	x	×		3,750	0	0
STEVEN HATTAMER DIRECTOR/ CHAIR-DELEGATION TO AMA	4 00	x			5,415	0	0
KEVIN W HATTON	2 00	Х			3,000	0	0

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Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors (D) (E) (F) Name and Title Average Position (do not check more Reportable Reportable Estimated hours per than one box, unless compensation compensation amount of other person is both an officer week (list from the from related compensation any hours and a director/trustee) organization organizations from the for related (W-2/1099-(W-2/1099organization and Individual trustee or director Highest compensatemployee Former Institutional MISC) related organizations MISC) below dotted organizations employee line) Trust

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CLINT G HUMPHREYS	0 00	X				0	0	
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SEAN E HUNT	1 00	_				750	0	
DIRECTOR		^				730	0	
JEFFREY S JACOBS	1 00							

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SEAN E HUNT	1 00	x		
DIRECTOR		,		
JEFFREY S JACOBS	1 00	¥		
DIRECTOR		^		

ROBERT E JOHNSTONE

DIRECTOR

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ZEEV N KAIN

MURRAY A KALISH

LILIAN Y KANAI

CANDACE E KELLER

JAMES B KELLY JR

SCOTT E KERCHEVILLE

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors (D) (E) (F) Name and Title Average Position (do not check more Reportable Reportable Estimated hours per than one box, unless compensation compensation amount of other person is both an officer from related week (list from the compensation any hours and a director/trustee) organization organizations from the for related (W-2/1099-(W-2/1099organization and Officer Highest compensatemplovee Former Individual trustee or director Institutional MISC) organizations MISC) related below dotted organizations employee line)

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EVAN D KHARASCH	2 00	_			2,894	
DIRECTOR		_ ^			2,034	
JAMES D KINDSCHER	2 00	l				
DIRECTOR		×			2,000	

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DIRECTOR				
JAMES D KINDSCHER	2 00	×		
DIRECTOR		^		
JEFFREY R KIRSCH	1 00			

DIRECTOR

DIRECTOR

DIRECTOR

DIRECTOR

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DIRECTOR

DIRECTOR

DIRECTOR

MAXINE M LEE

CORRY J KUCIK

ELIZABETH W LAU

ALAN KOOTZ

CHRIS A KITTLE

HEIDI M KOENIG

DAVID M KRHOVSKY

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors (D) (E) (F) Name and Title Average Position (do not check more Reportable Reportable Estimated hours per than one box, unless compensation compensation amount of other person is both an officer week (list from the from related compensation any hours and a director/trustee) organization organizations from the for related (W-2/1099-(W-2/1099organization and Officer Highest compensatemplovee Former Individual trustee or director Institutional MISC) organizations MISC) related below dotted organizations employee line)

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CYNTHIA A LIEN	1 00	Х				1,000
CHAIR-SEC ON EDUCATION & RESEARCH						•
DELLA M LIN	0 00	x				0
DIRECTOR		, ,				
SELINA A LONG	1 00					
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DIRECTOR

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SECRETARY

DIRECTOR

DIRECTOR

ERIC MELBIHESS

ALAN P MARCO

DAVID MARTIN

LINDA J MASON

DOUGLAS H MCMICHAEL

JOSHUA L LUMBLEY

GERALD A MACCIOLI

Compensated Employees, and Independent Contractors (D) (E) (F) Name and Title Average Position (do not check more Reportable Reportable Estimated hours per than one box, unless compensation compensation amount of other person is both an officer from related week (list from the compensation any hours and a director/trustee) organization organizations from the for related (W-2/1099-(W- 2/1099organization and Officer Highest compen Former Individual truste or director key employee Institutional MISC) related organizations MISC) below dotted organizations line)

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JAMES R MESROBIAN DIRECTOR	3 00	×				3,355	0	(
WILLIAM H MONTGOMERY DIRECTOR	1 00	x				1,500	0	(
JEFF T MUELLER	2 00	x				2,500	0	

WILLIAM H MONTGOMERY	1 00		
DIRECTOR		Х	
JEFF T MUELLER	2 00	Х	
DIRECTOR		^	
JOEL H MUMFORD	0 00		
		X	

DIRECTOR

DIRECTOR

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LOC T NGUYEN

HOWARD ODOM

STEPHEN B PACKER

BLAKE PEDERSEN

RUSSELL S PETERSEN

JOHN NACHTIGAL

Compensated Employees, and Independent, Contractors (D) (E) (F) Name and Title Average Position (do not check more Reportable Reportable Estimated hours per than one box, unless compensation compensation amount of other person is both an officer from related week (list from the compensation any hours and a director/trustee) organization organizations from the for related (W-2/1099-(W- 2/1099-Highest comp employee organization and Individual trus or director Officer Former key employe Institutional MISC) related organizations MISC) below dotted organizations line)

		डॉस्स	Trustee		1D	pensated			
MARY DALE PETERSON	3 00	x					4,250	0	
TREASURER							1,233	,	
BEVERLY K PHILIP	7 00	x		x			9,656	0	
VICE PRESIDENT FOR SCIENTIFIC AFFAIRS							3,030	5	

BEVERLY K PHILIP		x	×		9,656	٥	
VICE PRESIDENT FOR SCIENTIFIC AFFAIRS		,			3,000		
JEFFREY S PLAGENHOEF	12 00	×	x		156,250	0	
PRESIDENT ELECT		,			130,230	Ĭ	
DEAN POLCE	1 00	v			1,000	0	
DIRECTOR		^			1,000	۱ ۷	

JEFFREY S PLAGENHOEF	12 00	v	<		156,250	0	
PRESIDENT ELECT		^	^		130,230	0	C
DEAN POLCE	1 00	×			1,000	0	
DIRECTOR		^			1,000	0	Ŭ
	l						

JEFFREY S PLAGENHOEF	12 00	v	v		156,250	0	_
PRESIDENT ELECT		^			130,230	0	0
DEAN POLCE	1 00	×			1,000	0	0
DIRECTOR		^			1,000	,	,
JOHNATHAN L PREGLER	2 00	.,					

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NARIMAN RAHIMZADEH

STEPHANIE L RANDALL

HARLAN R RIBNIK

ROBERT P RIEKER JR

DAVID K ROBINSON

MEDICAL STUDENT COMPONENT

DIRECTOR

DIRECTOR

DIRECTOR

DIRECTOR

JEFFREY S PLAGENHOEF PRESIDENT ELECT		x	Х		156,250	0	0
DEAN POLCE DIRECTOR	1 00	Х			1,000	0	0
JOHNATHAN L PREGLER DIRECTOR	2 00	×			2,781	0	0

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Compensated Employees, and Independent, Contractors (D) (E) (F) Name and Title Average Position (do not check more Reportable Reportable Estimated than one box, unless hours per compensation compensation amount of other person is both an officer from related week (list from the compensation any hours organizations and a director/trustee) organization from the for related (W-2/1099-(W- 2/1099-Highest comp employee organization and individual to or director Officer Former key employe Institutiona MISC) organizations MISC) related below dotted organizations line)

		ustee	Trustee		Ģ	ipensated				
PETER ROCK	0 00	×						0	0	
DIRECTOR									, and the second	
JENNIFER ROOT	1 00	V					Ī	750		
		X		l				750	U	

DIRECTOR		_ ^			0	0	
JENNIFER ROOT	1 00	×			750	0	
DIRECTOR		,			, 50	J	
FRED A ROTENBERG	0 00	V			0	0	
DIRECTOR		^			0	0	
JOHN D DOTRHCK	0 00					_	

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ANDREW SCHMIDTT

STEPHEN D SHUMPERT

DIRECTOR

DIRECTOR

DIRECTOR

DIRECTOR

DIRECTOR

PAUL A SKAFF

DAVID W SIEGEL

STEVEN SIVILS

JENNIFER ROOT DIRECTOR	1 00	×			750	0	0
FRED A ROTENBERG DIRECTOR	0 00	х			0	0	0
JOHN R ROTRUCK DIRECTOR	0 00	X			0	0	0
CATHERINE C SCHMIDT	0 00	V			0	0	0

DIRECTOR							
FRED A ROTENBERG	0 00	×			0	0	
DIRECTOR		^			O O	0	
JOHN R ROTRUCK	0 00	×			0	0	0
DIRECTOR		,				7	
CATHERINE C SCHMIDT	0 00	v			0	0	0
DIRECTOR		^				, and the second se	0

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Compensated Employees, and Independent Contractors (D) (E) (F) Name and Title Average Position (do not check more Reportable Reportable Estimated than one box, unless hours per compensation compensation amount of other person is both an officer from related week (list from the compensation any hours organizations and a director/trustee) organization from the for related (W-2/1099-(W- 2/1099-Highest comp employee organization and Individual trus or director Office Former key employe organizations Institutional MISC) MISC) related below dotted organizations line)

		ड्रां ड्रां	Trustee		ē	pensated			
STANLEY W STEAD	0 00	,,		Ī.,					
VICE PRESIDENT FOR PROFESSIONAL AFFAIRS	••••••	×		×			0	0	
KENNETH R STONE	1 00	×					1 378	0	

STANLEY W STEAD	0 00	×	Y		0	0	
VICE PRESIDENT FOR PROFESSIONAL AFFAIRS		^	^			3	
KENNETH R STONE	1 00	×			1,378	0	
DIRECTOR		^			1,370	5	
ERIN A SULLIVAN	2 00	v			2,750	0	
DIRECTOR		_ ^			2,730	· ·	

VICE PRESIDENT FOR PROFESSIONAL AFFAIRS						,		
KENNETH R STONE	1 00	V			·	1.378	0	0
DIRECTOR		^				1,370	0	
ERIN A SULLIVAN	2 00	x				2.750	0	0
DIRECTOR						2,730	J	
STEVEN L SWEEN	2 00	V		< .		3.500	0	
		, X	1		l	2,500	l Ol	ı

KENNETH R STONE DIRECTOR	1 00	x			1,378	0	0
ERIN A SULLIVAN DIRECTOR	2 00	х			2,750	0	0
STEVEN L SWEEN SPEAKER, HOUSE OF DELEGATES	2 00	x	×		2,500	0	0
CHRISTOPHER E SWIDE	0 00	Х			0	0	0

DIRECTOR		X			2,750	0	0
STEVEN L SWEEN	2 00	×	Y		2,500	0	0
SPEAKER, HOUSE OF DELEGATES		^	^		2,300	0	
CHRISTOPHER E SWIDE	0 00	V				0	
DIRECTOR		^			0	0	0

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JOSEPH W SZOKOL

STEPHEN D TARVER

ROBERT W TOMSEN

BRENT THURNESS

DIRECTOR

DIRECTOR

DIRECTOR

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DIRECTOR

TETSU UEJIMA

Compensated Employees, and Independent, Contractors (D) (E) (F) Name and Title Average Position (do not check more Reportable Reportable Estimated than one box, unless hours per compensation compensation amount of other person is both an officer from related week (list from the compensation any hours and a director/trustee) organization organizations from the for related (W-2/1099-(W- 2/1099organization and Highest comper employee Former Individual truste or director Institutional MISC) organizations MISC) related below dotted organizations employee line)

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DIRECTOR

DIRECTOR

DIRECTOR

DIRECTOR

JOHN WILLS

DIRECTOR

DIRECTOR

MARY B WEBER

JAMES M WEST

DANNY L WILKERSON

GEOFFREY WILSON

		T·	Stee		ाडवा स्त्र			
DAVID VARLOTTA	1 00	×				1,750	0	
DIRECTOR		^				1,730	3	
SUSAN T VERGHESE	0 00	_				0	0	
DIRECTOR		^					0	

DIRECTOR							
SUSAN T VERGHESE	0 00	×			0	0	
DIRECTOR		^			3	3	
MICHAEL J VOLLERS	0 00	_			0	0	
DIRECTOR		^			0	0	
	1.00						

DIRECTOR							
MICHAEL J VOLLERS	0 00	X			0	0	
DIRECTOR		^				Ŭ	
MARK A WARNER	1 00				1,500	2.204	
CHAIR-SEC ON PUBLICATIONS		^			1,500	2,204	

MICHAEL J VOLLERS DIRECTOR	0 00	x			0	0	0
MARK A WARNER CHAIR-SEC ON PUBLICATIONS	1 00				1,500	2,204	0
MARY ELLYN WARNER	0 00						

1,750

1,500

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Compensated Employees, and Independent, Contractors (D) (E) (F) Name and Title Average Position (do not check more Reportable Reportable Estimated than one box, unless compensation amount of other hours per compensation person is both an officer week (list from the from related compensation any hours and a director/trustee) organization organizations from the for related (W-2/1099-(W- 2/1099organization and individu or direc Office Former Institut MISC) organizations MISC) related below dotted organizations E S line)

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DIRECTOR

DIRECTOR

DIRECTOR

DIRECTOR

PAUL POMERANTZ

DIRECTOR, CEO

CONWAY THOMAS

CHIEF OPERATING OFFICER

CRYSTAL C WRIGHT

CHRISTOPHER A YEAKEL

CHRISTOPHER J YOUNG

	,	al trustee tor	ional Trustee	eevold	compensated ee			
BRETT E WINTHROP	1 00	V				4 500		
DIRECTOR		×				1,500	0	
	2.00							

BRETT E WINTHROP	1 00	¥			1,500	0	
DIRECTOR		^			1,300	0	
DAVID J WLODY	2 00	×			2,038	0	
DIRECTOR		^			2,030	3	
KRISTIN T WOODWARD	0 00						

DIRECTOR							
DAVID J WLODY	2 00	x			2,038	0	
DIRECTOR		^			2,030	0	
KRISTIN T WOODWARD	0 00	V			0	0	
DIRECTOR		^			0	0	
BYDON WORK	1 00						

DIRECTOR		^			2,036	0	0
KRISTIN T WOODWARD	0 00	×			0	0	0
DIRECTOR		^				Ü	0
BYRON WORK	1 00	v			1,500	0	0
DIRECTOR		^			1,500	ŭ	0
BRADLEY W WORTHINGTON	0 00						

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1,500

630,382

349,906

46,983

64,386

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Compensated Employees, and Independent Contractors (D) (E) Name and Title Average Position (do not check more Reportable Reportable than one box, unless hours per compensation compensation week (list person is both an officer from the from related any hours and a director/trustee) organizations organization

								(14, 24,000	(14, 2/4,000	
	for related organizations below dotted line)	Individual trustee or director	Institutional Trustee	0€I	key employee	Highest compensated employee	Former	(W- 2/1099- MISC)	(W- 2/1099- MISC)	organization and related organizations
BARRON DANIEL DIRECTOR OF INFORMATION TECHNOLOGY	45 00				x			213,196	0	53,060
BONILLA MANUEL CHIEF ADVOCACY OFFICER	55 00				x			301,956	0	64,698
DELLERT ED	45 00				х			225,015	0	23,716

(F)

Estimated

amount of other

compensation

from the

55,583

47,096

DIRECTOR OF INFORMATION TECHNOLOGY			^		213,130	5	,
BONILLA MANUEL	55 00		Х		301,956	0	
CHIEF ADVOCACY OFFICER			, ,				
DELLERT ED	45 00		х		225,015	0	
CHIEF LEARNING OFFICER			^		223,013	0	,
WEHKING CHRISTOPHER	50 00						

DELLERT ED	45 00		V		225.045		
CHIEF LEARNING OFFICER			X		225,015	0	23,
WEHKING CHRISTOPHER	50 00		×		259.615	0	63,
CHIEF PROGRAM OFFICER			^		255,015		33,
HOWARD TERRI	50 00						
				Х	172,507	0	42,

WEHKING CHRISTOPHER	50 00		×		259,615	0	63,662
CHIEF PROGRAM OFFICER					203,010	·	33,332
HOWARD TERRI	50 00			×	172,507	0	42,776
DIRECTOR OF MEMBER SERVICES				^	172,307	5	+2,770
	F0.00						

CHIEF PROGRAM OFFICER							
HOWARD TERRI	50 00			v	172,507	0	
DIRECTOR OF MEMBER SERVICES				^	172,307	0	
LEWIN JEREMY B	50 00						
	l			· ·	426 E21	0	

HOWARD TERRI DIRECTOR OF MEMBER SERVICES	50 00			×	172,507	0	
LEWIN JEREMY B	50 00			×	436,521	0	
CENERAL COLINCEL				^	130,321		

50 00

50 00

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DIRECTOR OF PAYMENT & PRACTICE MANAGEMENT

DIRECTOR OF ANALYTICS & RESEARCH SERVICES

DIRECTOR OF CORPORATE DEVELOPMENT

MILLER THOMAS RAY

MOSER SARA

LEWIN JEREMY B				×	436,521	0	54,398
GENERAL COUNSEL				^	430,321		34,330
MERRICK SHARON	50 00						
				Х	189,517	0	32,355

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Х

265,226

218,431

• Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C

SCHEDULE C (Form 990 or 990-

Department of the Treasury

Internal Revenue Service

EZ)

Political Campaign and Lobbying Activities

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

For Organizations Exempt From Income Tax Under section 501(c) and section 527 ▶Complete if the organization is described below. ▶Attach to Form 990 or Form 990-EZ. ▶Information about Schedule C (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

DLN: 93493306013087 OMB No 1545-0047

Open to Public Inspection

o : If the	Section 527 organizations Comple e organization answered "Yes" o Section 501(c)(3) organizations tha Section 501(c)(3) organizations tha	501(c)(3)) organizations. Complete Pa te Part I-A only n Form 990, Part IV, Line 4, or Form it have filed Form 5768 (election under it have NOT filed Form 5768 (election n Form 990, Part IV, Line 5 (Proxy T	990-EZ, Part VI, Iir section 501(h)) Counder section 501(h	ne 47 (Lobbying Activities Implete Part II-A Do not co)) Complete Part II-B Do r	omplete Part II-B not complete Part II-A
(Pro	xy Tax) (see separate instructior	is), then	ax) (see separate i	instructions) or 1 orni 330.	-LZ, Fait V, line JJC
Nar	Section 501(c)(4), (5), or (6) organime of the organization	·		Employer iden	tification number
AME	ERICAN SOCIETY OF ANESTHESIOLOGIS	TS		36-2181944	
Par	t I-A Complete if the orga	nization is exempt under sect	ion 501(c) or is		zation.
1 2	Provide a description of the organ Political expenditures	nization's direct and indirect political co	ampaign activities ir	Part IV	\$
3	Volunteer hours				
		nization is exempt under sect			
1	•	ax incurred by the organization under		.	\$
2	•	ax incurred by organization managers		•	\$
3	If the organization incurred a sec	tion 4955 tax, did it file Form 4720 for	r this year?		☐ Yes ☐ No
4a	Was a correction made?				☐ Yes ☐ No
b	If "Yes," describe in Part IV				
Par	t I-C Complete if the orga	nization is exempt under sect	ion 501(c), exce	ept section 501(c)(3)	•
1		ded by the filing organization for section	•		\$
2	Enter the amount of the filing org function activities	ganization's funds contributed to other	organizations for se	ection 527 exempt ►	\$
3	Total exempt function expenditur	res Add lines 1 and 2 Enter here and	on Form 1120-POL,	line 17b ►	\$
4	Did the filing organization fileFor	m 1120-POL for this year?			☐ Yes ☐ No
5	organization made payments Fo of political contributions received	employer identification number (EIN) reach organization listed, enter the arthat were promptly and directly delived that were the control of the c	nount paid from the ered to a separate p	filing organization's funds olitical organization, such a	ch the filing Also enter the amount
	(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-
ANES	RICAN SOCIETY OF STHESIOLOGISTS POLITICAL ACTION MITTEE	1501 M STREET NW SUITE 300 WASHINGTON DC, DC 20005	36-3887214		36,545
2					
3					
4					
5					
6					

Schedule C (Form 990 or 990-EZ) 2016

Total lobbying expenditures

Grassroots ceiling amount (150% of line 2d, column (e))

Grassroots nontaxable amount

Grassroots lobbying expenditures

activity

Volunteers?

Media advertisements?

answered "Yes."

Dues, assessments and similar amounts from members

Supplemental Information

expenses for which the section 527(f) tax was paid).

Taxable amount of lobbying and political expenditures (see instructions)

1

1

2

b

c Total

3

5

Part IV

Current vear

Carryover from last year

expenditure next year?

22,104,978

762,671

762,671

442,100

320,571

(b)

Amount

(a)

Yes

1

2a

2b

2c

3

4

5

No

Mailings to members, legislators, or the public? Publications, or published or broadcast statements? Grants to other organizations for lobbying purposes? Direct contact with legislators, their staffs, government officials, or a legislative body? Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means? Other activities? Total Add lines 1c through 1i Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? 2a If "Yes," enter the amount of any tax incurred under section 4912 If "Yes," enter the amount of any tax incurred by organization managers under section 4912 If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year? Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c) (6). Yes No 1 Were substantially all (90% or more) dues received nondeductible by members? 1 No 2 2 Did the organization make only in-house lobbying expenditures of \$2,000 or less? Nο 3 Did the organization agree to carry over lobbying and political expenditures from the prior year? Nο Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6)Part III-B and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying

Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?

During the year, did the filing organization attempt to influence foreign, national, state or local legislation,

including any attempt to influence public opinion on a legislative matter or referendum, through the use of

Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political

If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political

Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues

Instructions), and Part II-B, line 1 Also, complete this part for any additional information

Return Reference Explanation

PART I-A, LINE 1 THE SOCIETY COLLECTS CONTRIBUTIONS ON BEHALF OF A RELATED POLITICAL ACTION COMMITTEE AND

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, lines 1 and 2 (see

SCHEDULE D

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

DLN: 93493306013087 OMB No 1545-0047

(Form 990)

► Attach to Form 990. Open to Public Department of the Treasury Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990. Inspection Internal Revenue Service Name of the organization **Employer identification number** AMERICAN SOCIETY OF ANESTHESIOLOGISTS 36-2181944 Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" on Form 990, Part IV, line 6. (a) Donor advised funds (b)Funds and other accounts 1 Total number at end of year 2 Aggregate value of contributions to (during 3 Aggregate value of grants from (during year) Aggregate value at end of year 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ No Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7. Purpose(s) of conservation easements held by the organization (check all that apply) Preservation of land for public use (e.g., recreation or education) Preservation of an historically important land area Protection of natural habitat Preservation of a certified historic structure ☐ Preservation of open space Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation 2 easement on the last day of the tax year Held at the End of the Year Total number of conservation easements 2a Total acreage restricted by conservation easements 2b Number of conservation easements on a certified historic structure included in (a) 20 C Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register 3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year > Number of states where property subject to conservation easement is located ▶ 5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year 6 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(II)? □ No In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" on Form 990, Part IV, line 8. If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items (i) Revenue included on Form 990, Part VIII, line 1 (ii) Assets included in Form 990, Part X If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the 2 following amounts required to be reported under SFAS 116 (ASC 958) relating to these items Revenue included on Form 990, Part VIII, line 1 Assets included in Form 990, Part X

Cat No 52283D

Schedule D (Form 990) 2016

 $\boldsymbol{c} \;\; \text{Leasehold improvements}$

Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c))

 ${f d}$ Equipment . . .

e Other .

Page **2**

Par	t IIII	Organizations Ma	aintaining Coll	ections of	Art, Histo	rical T	reasu	ires, or	Other	Similar A	Assets (continu	ed)	
3		g the organization's acqu s (check all that apply)	uisition, accession	, and other	records, chec	k any of	the fo	llowing th	nat are a	sıgnıfıcant	use of its	collect	ion	
а		Public exhibition			d		Loan	or excha	nge prog	grams				
b		Scholarly research			е		Othe	r						
С		Preservation for future	generations											
4	Provi Part :	de a description of the o	organization's colle	ections and	explain how t	hey furt	her the	e organiza	ation's ex	xempt purp	oose in			
5		ng the year, did the orga s to be sold to raise fun								nılar	□ Ye	s [□No	
Pa	rt IV	Complete of the org			on Form 99	0, Par	: IV, lı	ne 9, or	reporte	ed an amo	ount on F	orm 9	90, P	art
1a		X, line 21. e organization an agent, ded on Form 990, Part >		ın or other ır	ntermediary f	or contr	ibution	s or othe	r assets	not	☐ Ye	es [Z No	
Ь	If "Ye	es," explain the arrange	ment in Part XIII	and complet	e the followin	a table		Γ			Amount			
c		nning balance	mene iii i die XIII	and complet	e the followin	g table		F	1c		7			
d	_	ions during the year						F	1d					
е		ibutions during the year						F	1e					
f		ng balance						F	1f					
2 a		he organization include	an amount on For	m 990 Part	X line 21 fo	r escro	w or cu	L Istodial ad	count lia	ability?		г	—	
	Dia ti	ne organization melade	an amount on roi	111 550, 1 410	A, IIIIC 21, IC	1 63610	v 01 cu	istourar at	courte ne	ability	∐ Ye	es L	Z No	
b	If "Ye	es," explain the arrange						•						
Pa	irt V	Endowment Fund	is. Complete if						•					
4	D = =:==		-	(a)Current	year (b	Prior ye	ar	(c)Two ye	ars back	(d)Three y	ears back	(e)Four	years	<u>back</u>
	-	ning of year balance .												
		butions												
		vestment earnings, gain	· · · · · · · · · · · · · · · · · · ·											
		or scholarships	H											
	and pr	expenditures for facilities ograms	?S -											
		istrative expenses .												
g	End of	year balance	[
2 a		de the estimated percer d designated or quasi-ei	=	nt year end	balance (line	1g, colu	ımn (a)) held as	i					
b	Perm	anent endowment >												
c	Temr	porarily restricted endov	vment ▶											
·		percentages on lines 2a,		d equal 100°	2/0									
3а	Are t	here endowment funds nization by	•			at are l	neld an	d adminis	stered fo	r the		Γ¥	es I	No
	_	nrelated organizations									3	a(i)		
	(ii) r	elated organizations .										a(ii)		
b		es" on 3a(II), are the rel		s listed as re	quired on Sc	nedule F	۲۶ .				. 🗀	3b		
4	Desci	ribe in Part XIII the inte	nded uses of the	organızatıon	's endowmen	t funds						ı		
Pa	rt VI	Land, Buildings, Complete of the org			on Form 99), Part	IV, lır	ne 11a. S	See For	m 990, Pa	art X, lın	e 10.		
	Descr	iption of property	(a) Cost or othe (Investmen	er basıs	(b)Cost or oth					depreciation		(d)Book	value	
	Land					6.4	05,805						6.4	105,805
	Buildin			+			43,738			1,664,553	3			79,185
_		J				,								

33,325

6,325,061

35,661,890

118,514

117,515

23,681

6,201,775

150,840

142,195

12,526,836

(a) Description of secontry or consequency (b) Book (control or control of very action (control on more description) (control	Part VII	Investments—Other Securities. Complete if the org	ganızatıor	n answe	ered 'Yes' on	Form 990, Pa	rt IV, line 11b.
		(a) Description of security or category			Cost		
(B) (C) (C) (D) (D) (E) (F) (F) (G) (F) (G) (F) (F) (F) (G) (F) (F) (F) (F) (F) (F) (F) (F) (F) (F	(3) Other		$-\mid$				
(c) (c) (d) (d) (e) (e) (e) (e) (e) (e) (e) (e) (e) (e	(A)						
(c) Secretary of court equal form 900, Part X, Line 15. (d) Decretary of Form 900, Part X, Line 15. (e) Decretary of Form 900, Part X, Line 15. (f) Exemption of investments—Program Related. Complete if the organization answered "Yes" on Form 900, Part IV, line 11c. (g) Exemption of investments (h) Decretary (h) Decret	(B)						
(F) (G) (G) (G) (F) (G) (G) (F) (G) (F) (G) (F) (F) (F) (F) (F) (F) (F) (F) (F) (F	(C)						
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(a) Description of investments—Program Related. Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. (b) Book value (c) Method of valuations (c) Method of valuations (c) Method of valuations (c) See Form 390, Part X, line 11. (d) Description of investment (e) Book value (c) Method of valuations (c) Cost or end-of-year market value (d) Cost or end-of-year market value (e) Gook value (f) Form 990, Part X, line 13. (h) Book value (h) Book v	(F)						
Total: (Calumo (b) must equal from 990, Part X, or (8) for 12) Part XII Investments—Program Related. Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13. (a) Description of investment (b) Book value (c) Method of valuation (d) Cot. for etc. of year mather value (1) (3) (4) (5) (6) (7) (8) (9) Part XII Other Assetts. Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15 (a) Description (b) Book value (c) Method of valuation (c) Method of valuation (d) Cot. for etc. of year mather value (b) Good value (7) (8) (9) Total: (Calumo (b) must equal form 990, Part X, ser (b) ine 13) Part XII Other Assetts. Complete if the organization answered "Yes" on Form 990, Part IV, line 11d See Form 990, Part X, line 15 (a) Description (b) Book value (c) Book value (b) Book value (c) Form 990, Part X, line 11d See Form 990, Part X, line 21d See Form 990, Part X, line 11d See Form 990, Part X, line 11d See Form 990, Part X, line 11d See Form 990, Part X, line 21d See Form 990, Part							
See Form 990, Part X, line 13.				on answ	vered 'Yes' or	ı Form 990, P	art IV, line 11c.
Cost or end-of-year market value		See Form 990, Part X, line 13.					
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Part X Other Liabilities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25. 1. (a) Description of liability (b) Book value (1) Federal income taxes DEFERRED RENT 2,032,320 (2) (3) (4) (5) (6) (7) (8) (9) Total. (Column (b) must equal Form 990, Part X, col (B) line 25)	(9)						
See Form 990, Part X, line 25.						1	•
(1) Federal income taxes DEFERRED RENT 2,032,320 (2) (3) (4) (5) (6) (7) (8) (9) Total. (Column (b) must equal Form 990, Part X, col (B) line 25) ▶ 2,032,320 2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the	Part X	See Form 990, Part X, line 25.	ered 'Yes'	on Forr	m 990, Part I	V, line 11e or	- 11f.
(2) (3) (4) (5) (6) (7) (8) (9) Total. (Column (b) must equal Form 990, Part X, col (B) line 25)	(1) Federal I			(b) Boo	ok value		
(2) (3) (4) (5) (6) (7) (8) (9) Total. (Column (b) must equal Form 990, Part X, col (B) line 25)	DEFERRED S	CNT			2 022 220		
(4) (5) (6) (7) (8) (9) Total. (Column (b) must equal Form 990, Part X, col (B) line 25) 2,032,320 2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the	(2)	LEIN I			2,032,320		
(5) (6) (7) (8) (9) Total. (Column (b) must equal Form 990, Part X, col (B) line 25) 2,032,320 2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the	(3)						
(6) (7) (8) (9) Total. (Column (b) must equal Form 990, Part X, col (B) line 25) 2,032,320 2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the	(4)						
(7) (8) (9) Total. (Column (b) must equal Form 990, Part X, col (B) line 25) 2,032,320 2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the	(5)						
(8) (9) Total. (Column (b) must equal Form 990, Part X, col (B) line 25) 2,032,320 2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the	(6)						
(9) Total. (Column (b) must equal Form 990, Part X, col (B) line 25) 2,032,320 2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the	(7)						
(9) Total. (Column (b) must equal Form 990, Part X, col (B) line 25) 2,032,320 2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the	(8)						
Total. (Column (b) must equal Form 990, Part X, col (B) line 25) ■ 2,032,320 2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the	(9)						
2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the		n (b) must equal Form 990, Part X, col (B) line 25)	<u> </u>		2,032,320		
THE PROPERTY OF THE PROPERTY O	2. Liability fo	or uncertain tax positions In Part XIII, provide the text of the f	footnote to	_	anızatıon's fına		_

1

2

b

c

d

е

3

Schedule D (Form 990) 2016

2e

3

Page 4

Donated services and use of facilities . .

Other (Describe in Part XIII)

Add lines 2a through 2d

Subtract line 2e from line 1 .

Recoveries of prior year grants

5	Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12
Part	Reconciliation of Expenses per Audited Finan Complete if the organization answered
1	Total expenses and losses per audited financial statements
2	Amounts included on line 1 but not on Form 990, Part IX, line 25
а	Donated services and use of facilities
b	Prior year adjustments
c	Other losses
d	Other (Describe in Part XIII)
e	Add lines 2a through 2d
3	Subtract line 2e from line 1
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:
а	Investment expenses not included on Form 990, Part VIII, line 7b
b	Other (Describe in Part XIII)
C	Add lines 4a and 4b
5	Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 1
Par	XIII Supplemental Information
Prov	ide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a an

Total revenue, gains, and other support per audited financial statements

Amounts included on line 1 but not on Form 990, Part VIII, line 12 Net unrealized gains (losses) on investments

3	Subtract line Ze from line 1 .		
4	Amounts included on Form 990, F	Part VIII, line 12, but not on line 1	
а	Investment expenses not include	d on Form 990, Part VIII, line 7b	4a
b	Other (Describe in Part XIII) .		4b
c	Add lines 4a and 4b		
5	Total revenue Add lines 3 and 4	c. (This must equal Form 990, Part I, line 12)	
Par		i <mark>on of Expenses per Audited Financi</mark> Complete if the organization answered 'Y	
1	Total expenses and losses per au	dited financial statements	
2	Amounts included on line 1 but n	ot on Form 990, Part IX, line 25	
а	Donated services and use of facili	ties	2a
b	Prior year adjustments		2b
С	Other losses		2c
d	Other (Describe in Part XIII) .		2d
е	Add lines 2a through 2d		
3	Subtract line ${f 2e}$ from line ${f 1}$.		
4	Amounts included on Form 990, F	Part IX, line 25, but not on line 1:	
а	Investment expenses not include	d on Form 990, Part VIII, line 7b	4a
b	Other (Describe in Part XIII) .		4b
С	Add lines 4a and 4b		
5	Total expenses Add lines 3 and 4	c. (This must equal Form 990, Part I, line 18)
Pai	t XIII Supplemental Info	ormation	
		art II, lines 3, 5, and 9, Part III, lines 1a and nes 2d and 4b, and Part XII, lines 2d and 4b	
	Return Reference		Explanation
See A	Additional Data Table		

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

2a

2b

2c

2d

4c 5 s With Expenses per Return. 90, Part IV, line 12a. 2e 3 4c 5 nis part to provide any addit

2e	
3	
4c	
5	
de any	additional information
Sched	ule D (Form 990) 201!

Page 5		Schedule D (Form 990) 2015			
	tal Information <i>(continued)</i>	Part XIII Supplemental Info			
xplanation		Return Reference			

Schedule D (Form 990) 2016

Additional Data

Software ID: Software Version:

EIN: 36-2181944

Name: AMERICAN SOCIETY OF ANESTHESIOLOGISTS

Supplemental Informat

Supplemental Information								
Return Reference	Explanation							
PART X, LINE 2	ACCOUNTING PRINCIPLES GENERALLY ACCEPTED IN THE UNITED STATES OF AMERICA REQUIRE MANAGEMEN T TO EVALUATE TAX POSITIONS TAKEN BY THE SOCIETY AND RECOGNIZE A TAX I TABILITY IF THE SOCI							

ETY HAS TAKEN AN UNCERTAIN POSITION THAT MORE LIKELY THAN NOT WOULD NOT BE SUSTAINED UPON EXAMINATION BY THE IRS OR OTHER APPLICABLE TAXING AUTHORITIES MANAGEMENT HAS ANALYZED THE TAX POSITIONS TAKEN BY THE SOCIETY AND HAS CONCLUDED THAT AS OF DECEMBER 31, 2016, THERE ARE NO UNCERTAIN POSITIONS TAKEN OR EXPECTED TO BE TAKEN THAT WOULD REQUIRE RECOGNITION OF A LIABILITY IN THE FINANCIAL STATEMENTS

efile GRAPHIC prin	t - DO NOT I	PROCESS	As Filed Data		DLN	: 93493306013087
SCHEDULE F (Form 990)		ement of	Activities (Outside the Un	OMB No 1545-0047	
(► Complet	_	n answered "Yes" to Form	990,	2016
		- A++	•	14b, 15, or 16. See separate instructions.		Open to Public
Department of the Treasury Internal Revenue Service	▶ Informa			and its instructions is at wi		Inspection
Name of the organization AMERICAN SOCIETY OF A		CISTS			Employer ide	ntification number
AMERICAN SOCIETY OF	ANESTHESIOEO	01313			36-2181944	
	Information , Part IV, line		o Outside the U	Inited States. Comple	ete if the organization a	answered "Yes" to
other assistance, to award the grai	the grantees' nts or assistant rs. Describe in	eligibility for ti ce?	ne grants or assis	substantiate the amount stance, and the selection dures for monitoring the	criteria used	☐ Yes ☐ No ther assistance
3 Activites per Regio	on (The followin	ng Part I, line 3	table can be duplı	cated if additional space is	s needed)	
(a) Region		(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region		(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	a (f) Total expenditures for and investments in region
(1) See Add'l Data				-		
(2)						
(3)						
(4)						
(5)						
3a Sub-total b Total from continua Part I c Totals (add lines 3			0 0			0 0
For Paperwork Reduction	1 Act Notice see	the Instruction	ns for Form 990.	Cat	No 50082W Schedu	le F (Form 990) 2016

(4) (5) (6)

(7) (8) (9)

(10) (11) (12) (13) (14) (15) (16)

(17) (18) Page **3**

Schedule F (Form 990) 2016

Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Part III Part III can be duplicated if additional space is needed. (c) Number of (d) Amount of (e) Manner of cash (f) Amount of (h) Method of (a) Type of grant or assistance (b) Region (a) Description

(a) Type of grant of assistance	(D) Region	recipients	cash grant	disbursement	non-cash assistance	of non-cash assistance	valuation (book, FMV, appraisal, other)
(1)							
(2)	•						

			assistance	assistance	(book, FMV, appraisal, other)
(1)					
(2)					

(1)				
(2)				
(3)				

Sche	dule F (Form 990) 2016		Page 4
Par	t IV Foreign Forms		
1	Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes,"the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)	Yes	☑ No
2	Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)		
	2.1.3.1. decidens for 1 5.1.1.5 GS2G and GS2G Try	☐ Yes	☑ No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations (see Instructions for Form 5471)		
	Corporations (see Instructions for Form 5471)	\square Yes	✓ No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)	Yes	☑ No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)		
	(see Instructions for Form 6003)	☐ Yes	✓ No
6	Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form		
	5713)	∐ Yes	✓ No

Additional Data

Software ID: Software Version:

EIN: 36-2181944

Name: AMERICAN SOCIETY OF ANESTHESIOLOGISTS

Name: AMEDICAN SOCIETY OF ANE

Schedule F (Form 990) 2016

Part V
Supplemental Information
Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method;

amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
AFRICA	0	0	DUES	MEMBERSHIP DUES	
EUROPE	0	0	DUES	MEMBERSHIP DUES	
SOUTH AMERICA	0	0	DUES	MEMBERSHIP DUES	

Form 990 Schedule F Part I - Activities Outside The United States										
(a) Region	(b) Number of offices in the region	tes in the employees or in region (by type) (i		(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region					
EAST ASIA	0	0	DUES	MEMBERSHIP DUES	_					
CENTRAL AMERICA	0	0	DUES	MEMBERSHIP DUES						
MIDDLE EAST	0	0	DUES	MEMBERSHIP DUES						

Form 990 Schedule F Part I - Activities Outside The United States											
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region						
MEXICO AND CANADA	0	0	DUES	MEMBERSHIP DUES							
SUB-SAHARAN AFRICA	0	0	DUES	MEMBERSHIP DUES							
SOUTH ASIA	0	0	DUES	MEMBERSHIP DUES							

Form 990 Schedule F Part I - Activities Outside The United States (a) Region (b) Number of (c) Number of (d) Activities conducted (e) If activity listed in (d) (f) Total expenditures offices in the employees or in region (by type) (i.e., is a program service, for region fundraising, program describe specific type of agents in region service(s) in region services, grants to region recipients located in the region) RUSSIA 0 IDUES MEMBERSHIP DUES **EUROPE** 0 IEDUCATIONAL SALES OF PUBLICATIONS, MATERIALS INCLUDING CONTINUING EDUCATION MATERIALS 0 FDUCATIONAL SALES OF PUBLICATIONS. SOUTH AMERICA MATERIALS INCLUDING CONTINUING EDUCATION MATERIALS

Form 990 Schedule F Part I - Activities Outside The United States										
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region					
EAST ASIA	0		EDUCATIONAL MATERIALS	SALES OF PUBLICATIONS, INCLUDING CONTINUING EDUCATION MATERIALS						
MEXICO AND CANADA	0		EDUCATIONAL MATERIALS	SALES OF PUBLICATIONS, INCLUDING CONTINUING EDUCATION MATERIALS						
CENTRAL AMERICA 0			EDUCATIONAL MATERIALS	SALES OF PUBLICATIONS, INCLUDING CONTINUING EDUCATION MATERIALS						

Form 990 Schedule F Part I - Activities Outside The United States										
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region					
MIDDLE EAST	0		EDUCATIONAL MATERIALS	SALES OF PUBLICATIONS, INCLUDING CONTINUING EDUCATION MATERIALS						
SUB-SAHARAN AFRICA	0		EDUCATIONAL MATERIALS	SALES OF PUBLICATIONS, INCLUDING CONTINUING EDUCATION MATERIALS						
SOUTH ASIA			EDUCATIONAL MATERIALS	SALES OF PUBLICATIONS, INCLUDING CONTINUING EDUCATION MATERIALS						

efile GRAPHIC print - DO NOT PROCESS As Filed Data -DLN: 93493306013087 OMB No 1545-0047 Schedule I **Grants and Other Assistance to Organizations,** (Form 990) Governments and Individuals in the United States Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22. Open to Public Attach to Form 990. Department of the Inspection ▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990. Treasury Internal Revenue Service Name of the organization Employer identification number AMERICAN SOCIETY OF ANESTHESIOLOGISTS 36-2181944 Part I **General Information on Grants and Assistance** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and ✓ Yes □ No Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000 Part II can be duplicated if additional space is needed (a) Name and address of **(b)** EIN (c) IRC section (d) Amount of cash (e) Amount of non-(f) Method of valuation (g) Description of (h) Purpose of grant organization if applicable (book, FMV, appraisal, non-cash assistance or assistance grant cash other) or government assistance See Additional Data Table (1)(2)(3)(4)(6)(8)(9)(10)(11)(12)Enter total number of section 501(c)(3) and government organizations listed in the line 1 table 11 Schedule I (Form 990) 2016 For Paperwork Reduction Act Notice, see the Instructions for Form 990. Cat No 50055P

Schedule I (Form 990) 2016						Page 2
Part III Grants and Other Assista Part III can be duplicated if	ance to De	omestic Individua al space is needed	als. Complete if the orga	nızatıon answered "Yes"	on Form 990, Part IV, line 22	
(a) Type of grant or assistance	3	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
(1) EDUCATIONAL GRANTS - POLICY RES ROTATION IN POLITICAL AFFAIRS PRO		5	27,500			
(1)						
(2)						
(3)						
(4)						
(5)						
(6)						
(7)				·		
Part IV Supplemental Info	ormation	1. Provide the info	ormation required in F	Part I, line 2, Part III	, column (b), and any other ac	dditional information.
Return Reference Ex	xplanation	1				
						ELINES FOR REVIEWING GRANT APPLICATIONS, THE

Schedule I (Form 990) 2016

Additional Data

ANESTHESIA QUALITY

1061 AMERICAN LANE SCHAUMBURG, IL 60193

INSTITUTE

Software ID: Software Version: **EIN:** 36-2181944 Name: AMERICAN SOCIETY OF ANESTHESIOLOGISTS Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Gov

501 C 3

26-3848288

overnments.		
Method of valuation ok, FMV, appraisal,	(g) Description of non-cash assistance	(h) Purpose of grant or assistance

OPERATING SUPPORT

FOUNDATION FOR 52-1494164 501 C 3 2,000,000 OPERATING SUPPORT ANESTHESIA EDUCATION & RESEARCH 1061 AMERICAN LANE SCHAUMBURG, IL 60193

495,522

(a) Name and address of (b) EIN (c) IRC section (d) Amount of cash (e) Amount of non-(f) Method of valuation (g) Description of (h) Purpose of grant organization ıf applıcable grant cash (book, FMV, appraisal, non-cash assistance or assistance other) or government assistance ANESTHESIA PATIENT SAFETY 51-0287258 501 C 3 425.000 OPERATING SUPPORT

FOUNDATION 1061 AMERICAN LANE SCHAUMBURG, IL 60193					
WOOD LIBRARY MUSEUM	36-3573324	501 C 3	400,500		OPERATING SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

1061 AMERICAN LANE SCHAUMBURG, IL 60193

(a) Name and address of **(b)** EIN (c) IRC section (d) Amount of cash (e) Amount of non-(f) Method of valuation (a) Description of (h) Purpose of grant if applicable (book, FMV, appraisal, non-cash assistance organization arant cash or assistance or government other) assistance MALIGNANT HYPERTHERMIA 06-1076301 501 C 3 6.250 OPERATING SUPPORT ASSOCIATION 11 E STATE STREET SHERBURNE, NY 13460 ARIZONA SOCIETY OF 86-0193130 501 C 6 25.000 OPERATING SUPPORT

Form 990. Schedule I. Part II. Grants and Other Assistance to Domestic Organizations and Domestic Governments.

ANESTHESIOLOGISTS 810 W BETHANY HOME RD PHOENIX, AZ 85013

(a) Name and address of **(b)** EIN (c) IRC section (d) Amount of cash (e) Amount of non-(f) Method of valuation (a) Description of (h) Purpose of grant if applicable organization grant cash (book, FMV, appraisal, non-cash assistance or assistance or government other) assistance 94-6076499 501 C 6 15.500 OPERATING SUPPORT CALIFORNIA SOCIETY OF ANESTHESIOLOGISTS

SUPPORT

1 CAPITOL MALL SUITE 800 SACRAMENTO, CA 95814					
FLORIDA SOCIETY OF ANESTHESIOLOGISTS 11300 WEST OLYMPIC BLVD	59-6138053	501 C 6	18,750		OPERATING S

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

SUITE 600 LOS ANGELES, CA 90064

(a) Name and address of **(b)** EIN (c) IRC section (d) Amount of cash (e) Amount of non-(f) Method of valuation (a) Description of (h) Purpose of grant if applicable (book, FMV, appraisal, organization grant cash non-cash assistance or assistance or government other) assistance 43-1526552 501 C 6 25.000 OPERATING SUPPORT KANSAS SOCIETY OF ANESTHESIOLOGISTS

3901 RAINBOW BLVD KANSAS CITY, KS 66160					
LOUISIANA SOCIETY OF ANESTHESIOLOGISTS 2420 ATHANA PARKWAY SUITE 101	72-1057427	501 C 6	25,000		OPERATING SUPPORT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

MAETAIRIE, LA 70001

(a) Name and address of (b) EIN (c) IRC section (d) Amount of cash (e) Amount of non-(f) Method of valuation (a) Description of (h) Purpose of grant (book, FMV, appraisal, organization ıf applıcable grant cash non-cash assistance or assistance or government other) assistance MASSACHUSETTS SOCIETY OF 04-6180165 501 C 6 20.000 OPERATING SUPPORT ANESTHESIOLOGISTS 318 BEAR HILL ROAD SUITE 501 C 6 7,000 38-6077098 OPERATING SUPPORT

WALTHAM, MA 02451 MICHIGAN SOCIETY OF ANESTHESIOLOGISTS 120 N WASHINGTON SQUARE

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

STE 110A

LANSING, MI 48933

(a) Name and address of **(b)** EIN (c) IRC section (d) Amount of cash (e) Amount of non-(f) Method of valuation (a) Description of (h) Purpose of grant if applicable (book, FMV, appraisal, non-cash assistance organization arant cash or assistance or government other) assistance MONTANA SOCIETY OF 20-8722591 501 C 6 25.000 OPERATING SUPPORT ANESTHESIOLOGISTS PO BOX 1155 BILLINGS, MT 59103 NEVADA SOCIETY OF 88-0335875 501 C 6 15.000 OPERATING SUPPORT

Form 990. Schedule I. Part II. Grants and Other Assistance to Domestic Organizations and Domestic Governments.

ANESTHESIOLOGISTS 3825 BOULDER PATCH RENO, NV 85911

(a) Name and address of **(b)** EIN (c) IRC section (d) Amount of cash (e) Amount of non-(f) Method of valuation (a) Description of (h) Purpose of grant if applicable (book, FMV, appraisal, non-cash assistance organization arant cash or assistance or government other) assistance HOPE FOR THE WARRIORS 20-5182295 501 C 3 20.000 OPERATING SUPPORT 1335 WESTERN BLVD SUITE E 05-0455740 501 C 6 10,000 OPERATING SUPPORT

 JACKSONVILLE, NC 28546
 RHODE ISLAND SOCIETY OF ANESTHESIOLOGISTS
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Form 990. Schedule I. Part II. Grants and Other Assistance to Domestic Organizations and Domestic Governments.

PROVIDENCE, RI 02908

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments. (a) Name and address of (c) IRC section (d) Amount of cash (e) Amount of non-(f) Method of valuation (a) Description of (h) Purpose of grant (b) EIN organization ıf applıcable grant cash (book, FMV, appraisal, non-cash assistance or assistance other) or government assistance 501 C 6 20.000 MARYLAND SOCIETY OF 81-0620229 IOPERATING SUPPORT ANESTHESIOLOGISTS 18 PINKEY STREET

ANNAPOLIS. MD 21401

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DLN: 93493306013087

OMB No 1545-0047

2015

Open to Public Inspection

Compensation Information Schedule J (Form 990)

For certain Officers, Directors, Trustees, Key Employees, and Highest **Compensated Employees**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23. ► Attach to Form 990.

▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

Treasury Internal Revenue Service

Department of the

Employer identification number Name of the organization AMERICAN SOCIETY OF ANESTHESIOLOGISTS 36-2181944 **Questions Regarding Compensation** Yes No Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items First-class or charter travel Housing allowance or residence for personal use Travel for companions Payments for business use of personal residence Tax idemnification and gross-up payments Health or social club dues or initiation fees Discretionary spending account Personal services (e.g., maid, chauffeur, chef) If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain 1h Yes Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a? 2 Yes Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III Compensation committee Written employment contract Independent compensation consultant Compensation survey or study Form 990 of other organizations Approval by the board or compensation committee During the year, did any person listed on Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization a Receive a severance payment or change-of-control payment? 4a Νo 4b Participate in, or receive payment from, a supplemental nonqualified retirement plan? Νo Participate in, or receive payment from, an equity-based compensation arrangement? 4c Νo If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9. For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of The organization? **5**a 5b Any related organization? If "Yes," on line 5a or 5b, describe in Part III For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of The organization? 6a 6b Any related organization? If "Yes," on line 6a or 6b, describe in Part III For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 67 If "Yes," describe in Part III 7 Were any amounts reported on Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe ın Part III 8

If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations

section 53 4958-6(c)?

Schedule J (Form 990) 2015							Page Z
Part III Officers, Directors	, Trustees, Key Er	nployees, and Hig	hest Compensate	ed Employees. Use	duplicate copies if	additional space is	needed.
For each individual whose compensal instructions, on row (ii) Do not list al Note. The sum of columns (B)(i)-(iii)	ny individuals that are	not listed on Form 990	, Part VII	• , ,	-	·	
(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and	(F) Compensation in		
	Base (1) compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	other deferred compensation	benefits	(B)(ı)-(D)	column(B) reported as deferred on prior Form 990

Cahadula 1 (Farm 000) 201 F

See Additional Data Table

Page 3
formation
on, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II Also complete this part for any additional information
Explanation
THE ASA ADMINISTRATIVE PROCEDURES ALLOW DOMESTIC FIRST-CLASS AIRFARE FOR PRESIDENT, PRESIDENT-ELECT, FIRST VP, ROVENSTEIN LECTURER, RECIPIENT OF THE DISTINGUISHED SERVICE AWARD, RECIPIENT OF THE EXCELLANCE IN RESEARCH AWARD, LEGISLATIVE CONFERENCE KEYNOTE SPEAKER, AND THE NICHOLAS M GREENE, MD, OUTSTANDING HUMANITARIAN CONTRIBUTION AWARD, ALONG WITH THE SPOUSES OF EACH ASA ALLOWS DOMESTIC FIRST-CLASS TRAVEL FOR THE SPEAKER AT THE JOHN W SEVERINGHAUS LECTURE ON TRANSLATIONAL SCIENCE
_

Cahadula 1 (Form 000) 201 F

PART I, LINE 3 OUTSIDE COMPENSATION EXPERTS ARE UTILIZED REGARDING BENCHMARKED POSITION OF THE CHIEF EXECUTIVE OFFICER THE

Schedule J (Form 990) 2015

EXECUTIVE COMPENSATION COMMITTEE MAKES DECISIONS ON ANY MERIT INCREASES FOR THE CHIEF EXECUTIVE OFFICER BASED ON DATA PROVIDED BY AN EXTERNAL COMPENSATION CONSULTANT

Software ID: Software Version:

EIN: 36-2181944

Name: AMERICAN SOCIETY OF ANESTHESIOLOGISTS

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title			FW-2 and/or 1099-MIS (ii) Bonus & Incentive compensation	Compensation (iii) Other reportable compensation	(C) Retirement and other deferred compensation	(D) Nontaxable benefits		(F) Compensation in column (B) reported as deferred on prior Form 990
1JEFFREY S PLAGENHOEF PRESIDENT ELECT	(1)	156,250	0	0	0	0	156,250	0
	(11)	0	0	0	0	-	_	0
1PAUL POMERANTZ DIRECTOR, CEO	(1)	542,681	87,701	0	34,450	12,533	677,365	0
,	(11)	0	0	0	0			0
2CONWAY THOMAS CHIEF OPERATING OFFICER	(1)	312,699	37,207	0	34,450	29,936	414,292	0
	(11)	0	0	0	0			0
3BARRON DANIEL DIRECTOR OF INFORMATION	(1)	176,778	20,939	15,479	23,695	29,365	266,256	0
TECHNOLOGY	(11)	0	0	0	0			0
4BONILLA MANUEL CHIEF ADVOCACY OFFICER	(1)	269,568	32,388	0	34,450	30,248	366,654	0
CHIEF ADVOCACT OFFICER	(11)	0	0	0	0			0
5DELLERT ED	(1)	87,210	27,993	109,812	13,717	0	248,731	0
CHIEF LEARNING OFFICER	(11)	0	0	0	0			0
6WEHKING CHRISTOPHER	(1)	230,707	28,908	0	33,715	29,947	323,277	0
CHIEF PROGRAM OFFICER	(11)	0	0	0	0			0
7HOWARD TERRI	(1)	153,168	19,339	0	22,389	0 20,387	215,283	0
DIRECTOR OF MEMBER SERVICES	(11)	0			0			0
8LEWIN JEREMY B	(1)	387,819	48,702	0	34,450	0	490,919	
GENERAL COUNSEL	(11)	0	46,702		34,430	19,948	490,919	
9MERRICK SHARON	(1)	167,550				0	0	
DIRECTOR OF PAYMENT & PRACTICE MANAG	(1)	107,530	21,967	0	21,352 	11,003	221,872	0
10MILLER THOMAS RAY		222.222	0	0	0	0		
DIRECTOR OF ANALYTICS & RESEARCH SER	(1)	238,290	26,936	0	34,445	21,138	320,809	0
	(11)		0	0	0	0	0	0
11MOSER SARA DIRECTOR OF CORPORATE DEVELOPMENT	(1)	193,899	24,532	0	26,906	20,190	265,527	0
	(11)	0	0	0	0	0		0

efile GRAPH	IC print - DO NOT PROCESS As Filed Data -	D	LN: 93493306013087
SCHEDUL (Form 990 or EZ)	99()- Complete to provide information for res Form 990 or 990-EZ or to provide a ▶ Attach to Form 99 ▶ Information about Schedule O (Form 990 www.irs.gov/fo	ponses to specific questions on any additional information. 0 or 990-EZ. or 990-EZ) and its instructions is at	2016 Open to Public Inspection
	of ANESTHESIOLOGISTS Of Supplemental Information	Employer id 36-2181944	entification number
Return Reference	Exp	planation	
FORM 990, PART VI, SECTION A, LINE 7A	THE HOUSE OF DELEGATES MEETS ON AN ANNUAL BAS ON BY THE BOARD OF DIRECTORS THE BOARD OF DIRE NAGES THE BUSINESS AND FINANCIAL AFFAIRS OF THE E ACQUISITION, MANAGEMENT, CONTROL AND DISPOSIT F ALL CONTRACTS ON ITS BEHALF, THE BOARD OF DIRE ITY TO THE OFFICERS, COUNCILS, SECTIONS OR COMMI PROVIDED FOR IN THE BYLAWS CERTAIN MEMBERS OF BOARD OF DIRECTORS UNDER THE ORGANIZATION'S BY ELEGATES AT THE ANNUAL MEETING	CTORS EXERCISES FINAL AUTHORIT SOCIETY, INCLUDING, BUT NOT LIMIT FION OF ITS PROPERTY AND THE AUT CTORS MAY DELEGATE PORTIONS O ITTEES AND PERFORM SUCH OTHER THE HOUSE OF DELEGATES ARE MEI	Y OVER AND MA ED TO, TH HORIZATION O F SUCH AUTHOR DUTIES AS ARE MBERS OF THE

Return Explanation

Reference

FORM 990,	THE HOUSE OF DELEGATES HAS THE AUTHORITY TO CHANGE THE ORGANIZATION'S BYLAWS AND MODIFY SELECT
PART VI,	DECISIONS OF THE BOARD OF DIRECTORS
SECTION A,	
LINE 7B	

Return Explanation
Reference

FORM 990,	THE SECTION ON FISCAL AFFAIRS, WHICH IS A SUB-COMMITTEE OF THE BOARD OF DIRECTORS REVIEWS
PART VI,	THE FORM 990 WITH THE INDEPENDENT CPA FIRM THAT PREPARED THE RETURN A REPORT OF THIS REVI
SECTION B,	EW AND A FULL COPY WILL BE POSTED TO THE "MEMBERS ONLY" PORTION OF THE WEBSITE, WWW ASAHQ
LINE 11B	ORG. AS SOON AS POSSIBLE FOLLOWING THE MEETING WITH THE CPA FIRM

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 12C	ON AN ANNUAL BASIS, ASA BOARD MEMBERS AND OFFICERS ARE REQUIRED TO DISCLOSE WHETHER OR NOT THEY HAVE ANY POTENTIAL CONFLICTS OF INTEREST, AND IF SO, ARE REQUIRED TO DISCLOSE THEM THE GOVERNANCE UNIT SHALL MONITOR COMPLIANCE WITH THE POLICY THE ASA OFFICE OF GENERAL CO UNSEL, IN CONSULTATION WITH THE ADMINISTRATIVE COUNCIL, SHALL HAVE PRIMARY RESPONSIBILITY TO MONITOR AND ENFORCE THE CONFLICT OF INTEREST POLICY AS IT PERTAINS TO THE ADMINISTRATIVE E COUNCIL ON OR BEFORE COMMENCEMENT OF A NEW TERM OF OFFICE OR SERIVCE, THE INCOMING COMM ITTEE CHAIRS, ADMINISTRATIVE COUNCIL, AND THE OFFICE OF GENERAL COUNSEL SHALL INITIAL REVIEW OF THE POTENTIAL CONFLICT OF INTEREST DISCLOSURE STATEMENTS AND COMPLETE INITIAL REVIEW OF SUCH STATEMENTS WITHIN 30 DAYS OF THE COMMENCEMENT OF THE TERM THE SECRETARY SHALL BE NOTIFIED IN THE EVENT OF AN ALLEGED FAILURE TO CONFORM TO THE STANDARDS THE SECRETARY SHALL BE NOTIFIED IN THE EVENT OF AN ALLEGED FAILURE TO CONFORM TO THE STANDARDS THE SECRETARY SHALL BE NOTIFIED IN THE EVENT OF AN ALLEGED FAILURE TO CONFORM TO THE STANDARDS THE SECRETARY SHALL BE NOTIFIED IN THE ADMINISTRATIVE COUNCIL THE ADMINISTRATIVE COUNCIL MAY DETERMINE THAT A CONFLICT DOES NOT EXIST, DETERMINE THAT A CONFLICT DOES EXIST BUT GRANT A WAIVER, DETERMINE THAT THE MATTER SHOULD BE HEARD BY THE JUDICIAL CONCIL, OR IN THE BASE OF ALLEGED CONFLICT BY A DIRECTOR OR ALTERNATE DIRECTOR, REFER THE MATTER TO THE COMPONENT SOCIETY NOMINATING THE DIRECTOR OR ALTERNATE DIRECTOR FOR APPROPRIATE ACTION THE SE REQUIREMENTS SHALL APPLY EQUALLY TO THE MEMBERS OF THE ASA EXECUTIVE STAFF IN THE CASE OF SUCH INDIVIDUALS, THE EXECUTIVE COMMITTEE OF THE ADMINISTRATIVE COUNCIL SHALL BE RESPONSIBLE FOR MONITORING AND ENFORCING COMPLIANCE WITH THE CONFLICT OF INTEREST POLICY AS IT PERTAINS TO THE ASA EXECUTIVE STAFF, INCLUDING THE DETERMINATION OF ANY WAIVER OR FOR THE APPLICATION OF SANCTIONS IN THE EVENT OF ANY VIOLATIONS OF THE POLICY THE COI STATEMENT A ND THE FORMS ARE AVAILABLE ON THE ASA WEBSITE IN THE "MEMBERS ONLY" SECTION HAR

Return Explanation Reference

990 Schedule O, Supplemental Information

FORM 990,
PART VI,
SECTION B,
LINE 15

OUTSIDE COMPENSATION EXPERTS ARE UTILIZED REGARDING BENCHMARKED POSITION OF THE CHIEF EXEC
UTIVE OFFICER OUTSIDE COMPENSATION EXPERTS ARE UTILIZED FOR RECOMMENDATIONS ON COMPENSATI
ON FOR OTHER OFFICERS AND KEY EMPLOYEES THE EXECUTIVE COMPENSATION COMMITTEE MAKES DECISI
ONS ON ANY MERIT INCREASES FOR THE CHIEF EXECUTIVE OFFICER BASED ON DATA PROVIDED BY AN EX
TERNAL COMPENSATION CONSULTANT

Return Explanation

Reference	
FORM 990,	THE CONFLICT OF INTEREST POLICY, GOVERNING DOCUMENTS, AND FINANCIAL STATEMENTS ARE AVAILBALE TO
PART VI,	THE PUBLIC UPON REQUEST
SECTION C,	
LINE 19	

Return Explanation
Reference

FORM 990, PART XI, LINE 9

efile GRAPHIC print - DO NOT PROCESS **SCHEDULE R**

As Filed Data -

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

OMB No 1545-0047

DLN: 93493306013087

Open to Public

Internal Revenue Service
Name of the organization

(Form 990)

► Attach to Form 990.

▶ Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.

Inspection **Employer identification number**

AMERICAN SOCIETY OF ANESTHESIOLOGISTS							36-2	181944				
Part I Identification of Disregarded Entities Complete	e if the organi	zation ansv	vered "Yes'	on Fo	rm 990, Part 1	V, lıne	33.					
(a) Name, address, and EIN (If applicable) of disregarded entity			(b) Primary activity Legal or f			(d) Total income		(e) End-of-year assets		(f) Direct cont entity	trolling	
Part II Identification of Related Tax-Exempt Organizat	tions Comple	to if the or	zanization :	newor	nd "Vos" on Fe	rm 99	0 Part I\	/ line 3	M hosauso	ut had one or m	noro	
related tax-exempt organizations during the tax yea (a) Name, address, and EIN of related organization		<u> </u>	(c) Legal domicil or foreign co	e (state	(d) Exempt Code sec	tion Pu	(e) ublic charity section 501	status		(f) t controlling entity	Section (b)(13)
(1)WOOD LIBRARY-MUSEUM OF ANESTHESIOLOGY 1061 AMERICAN LANE	COLLECTION OF ANESTHESIA LIT		IL		501(C)(3)	LIN	NE 11		AMERICAN SO ANESTHESIOL		Yes	No
SCHAUMBURG, IL 60173 36-3573324 (2)FOUNDATION FOR ANESTHESIA EDUCATION AND RESEARCH 1061 AMERICAN LANE	ANESTHESIA CA EDUCATION ANI		IL		501(C)(3)	LIN	NE 7		AMERICAN SC ANESTHESIOL		Yes	
SCHAUMBURG, IL 60173 52-1494164 (3)ANESTHESIA PATIENT SAFETY FOUNDATION 1061 AMERICAN LANE	ANESTHESIA PA SAFETY EDUCAT		IL		501(C)(3)	LIN	NE 7		AMERICAN SC ANESTHESIOL		Yes	
SCHAUMBURG, IL 60173 51-0287258 (4)AMERICAN SOCIETY OF ANESTHESIOLOGISTS POLITICAL ACTION COMMITTEE 1061 AMERICAN LANE	POLITICAL CAMI ACTIVITIES	PAIGN	IL		527							No
SCHAUMBURG, IL 60173 36-3887214 (5)ANESTHESIA QUALITY INSTITUTE 1061 AMERICAN LANE SCHAUMBURG, IL 60173	ADVANCES IN Q CARE MEASURE DELIVERY IN AN	MENT AND	IL		501(C)(3)	LIN	NE 11		AMERICAN SO ANESTHESIOL		Yes	
26-3848288 (6)ASA CHARITABLE FOUNDATION 1061 AMERICAN LANE SCHAUMBURG, IL 60173 45-4946512	IMPROVE HEALT MEDICAL CARE SERVICED COMI	IN UNDER-	IL		501(C)(3)	LIN	NE 11		AMERICAN SC ANESTHESIOL		Yes	
Ear Department Deduction Act Nation and the Trestwesting for Ear				- N- E						adula D /Farma (

(a) Name, address, and EIN related organization	of	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant Income(related, unrelated, excluded from tax under sections 512- 514)		(g) Share of end-of-year assets	Disprop	h) rtionate tions?	(I) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j Gene mana parti	ral or aging	(k) Percenta ownersh
					,			Yes	No		Yes	No	
		+					1						
Identification of Related Orga because it had one or more relate						zation ansv	wered "Yes	" on F	orm 9!	90, Part IV,	line	34	
Identification of Related Orga because it had one or more relate (a) Name, address, and EIN of related organization		s a corporation		t during th	(d) controlling Typentity (C of	(e)	wered "Yes (f) Share of total income	Share	(g) e of end- year assets	(h) ntage	 Se (1	L3) cont entity
because it had one or more relate (a) Name, address, and EIN of	ed organizations treated a	s a corporation	on or trus (c) egal micile or foreign	t during th	(d) controlling Typentity (C of	(e) pe of entity orp, S corp,	(f) Share of total	Share	(g) e of end- year	-of- Percer) ntage	 Se (1	(i) ection 5 13) contr entity Yes
because it had one or more relate (a) Name, address, and EIN of	ed organizations treated a	s a corporation	on or trus (c) egal micile or foreign	t during th	(d) controlling Typentity (C of	(e) pe of entity orp, S corp,	(f) Share of total	Share	(g) e of end- year	-of- Percer) ntage	 Se (1	ection 5: 13) conti entity
because it had one or more relate (a) Name, address, and EIN of	ed organizations treated a	s a corporation	on or trus (c) egal micile or foreign	t during th	(d) controlling Typentity (C of	(e) pe of entity orp, S corp,	(f) Share of total	Share	(g) e of end- year	-of- Percer) ntage	 Se (1	ection 5 13) cont entity
because it had one or more relate (a) Name, address, and EIN of	ed organizations treated a	s a corporation	on or trus (c) egal micile or foreign	t during th	(d) controlling Typentity (C of	(e) pe of entity orp, S corp,	(f) Share of total	Share	(g) e of end- year	-of- Percer) ntage	 Se (1	ection 5 13) cont entity
because it had one or more relate (a) Name, address, and EIN of	ed organizations treated a	s a corporation	on or trus (c) egal micile or foreign	t during th	(d) controlling Typentity (C of	(e) pe of entity orp, S corp,	(f) Share of total	Share	(g) e of end- year	-of- Percer) ntage	 Se (1	ection 5 13) cont entity
because it had one or more relate (a) Name, address, and EIN of	ed organizations treated a	s a corporation	on or trus (c) egal micile or foreign	t during th	(d) controlling Typentity (C of	(e) pe of entity orp, S corp,	(f) Share of total	Share	(g) e of end- year	-of- Percer) ntage	 Se (1	ection 5 13) con entit

Part V Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.			
Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule		Yes	No
1 During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			<u> </u>
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or(iv) rent from a controlled entity	1	а	No
b Gift, grant, or capital contribution to related organization(s)	1	b Yes	T
c Gift, grant, or capital contribution from related organization(s)	1	С	No
d Loans or loan guarantees to or for related organization(s)	1	d	No
e Loans or loan guarantees by related organization(s)	. 1	е	No
f Dividends from related organization(s)	1	f	No
g Sale of assets to related organization(s)	1	g	No
h Purchase of assets from related organization(s)	1	h	No
i Exchange of assets with related organization(s)	1	i	No
j Lease of facilities, equipment, or other assets to related organization(s)	1	j Yes	
k Lease of facilities, equipment, or other assets from related organization(s)	1	k	No
I Performance of services or membership or fundraising solicitations for related organization(s)	<u> </u>	l Yes	+-
m Performance of services or membership or fundraising solicitations by related organization(s)	<u> </u>	m Yes	+
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	. 1	n Yes	+-
o Sharing of paid employees with related organization(s)		0	No
p Reimbursement paid to related organization(s) for expenses	1	p Yes	
q Reimbursement paid by related organization(s) for expenses		q Yes	

Page 3

Schedule R (Form 990) 2016

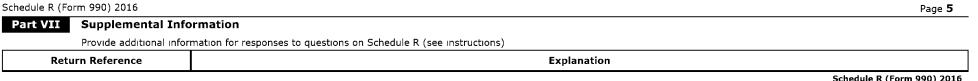
K	Lease of facilities, equipment, or other assets from related organization(s)	1-1	l	140
ı	Performance of services or membership or fundraising solicitations for related organization(s)	11	Yes	
n	n Performance of services or membership or fundraising solicitations by related organization(s)	1m	Yes	
n	Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	Yes	
О	Sharing of paid employees with related organization(s)	10		No
р	Reimbursement paid to related organization(s) for expenses	1р	Yes	
q	Reimbursement paid by related organization(s) for expenses	1 q	Yes	
r	Other transfer of cash or property to related organization(s)	1r	Yes	

1s Yes 2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds See Additional Data Table (a) Name of related organization (d) Method of determining amount involved (b) Transaction (c) Amount involved type (a-s)

Part VI Unrelated Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships

(a) Name, address, and EIN of entity	(b) Primary activity	l domicilo l	(d) Predominant income (related, unrelated, excluded from tax under sections 512- 514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations?		(1) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
			514)	Yes	No			Yes	No		Yes	No	
													_
									_	Schedul	e R (Forn	199	0) 2016



Additional Data

(a) Name, address, and EIN of related organization

(1)

1061 AMERICAN LANE SCHAUMBURG, IL 60173

1061 AMERICAN LANE

1061 AMERICAN LANE

SCHAUMBURG, IL 60173

SCHAUMBURG, IL 60173

36-3573324 (1)

52-1494164

51-0287258 (3)

36-3887214 (4)

26-3848288

45-4946512

(5)

(2)

Software ID:

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

Software Version:

FTN: 36-2181944

(b)

Primary activity

COLLECTION OF

ANESTHESIA CARE

ANESTHESIA PATIENT

POLITICAL CAMPAIGN

ADVANCES IN QUALITY

IMPROVE HEALTH AND

AND DELIVERY IN

MEDICAL CARE IN

UNDER-SERVICED COMMUNITIES

ANESTHESIA

OF CARE MEASUREMENT

SAFETY EDUCATION

EDUCATION AND

ANESTHESIA

LITERATURE

RESEARCH

ACTIVITIES

(c)

Legal domicile

(state

or foreign

country)

ΙL

ΙL

ΙL

ΙL

ΙL

ΙL

(d)

Exempt Code

section

501(C)(3)

501(C)(3)

501(C)(3)

501(C)(3)

501(C)(3)

527

(e)

Public charity

status

(if section 501(c)

(3))

LINE 11

LINE 7

LINE 7

LINE 11

LINE 11

(f)

Direct controlling

entity

AMERICAN SOCIETY OF

AMERICAN SOCIETY OF

AMERICAN SOCIETY OF

ANESTHESIOLOGISTS

AMERICAN SOCIETY OF

AMERICAN SOCIETY OF

ANESTHESIOLOGISTS

IANESTHESIOLOGISTS

ANESTHESIOLOGISTS

ANESTHESIOLOGISTS

(g)

Section 512

(b)(13)

controlled

entity? Yes

Yes

Yes

Yes

Yes

Yes

No

Nο

LIIV.	30-2101944
Name:	AMERICAN SOCIETY OF ANESTHESIOLOGISTS

Form 990, Schedule R, Part V - Transactions With Related Organizations (b) (c) Name of related organization Transaction Amount Involved (d) type(a-s) Method of determining amount involved WOOD LIBRARY MUSEUM 400.500 RECORDS MAINTAINED AT FMV (1) В WOOD LIBRARY MUSEUM 155,814 RECORDS MAINTAINED AT FMV (1) (2) WOOD LIBRARY MUSEUM R 137,225 RECORDS MAINTAINED AT FMV (3) WOOD LIBRARY MUSEUM Q 357.065 RECORDS MAINTAINED AT FMV (4) FOUNDATION FOR ANESTHESIA EDUCATION AND RESEARCH В 2,000,000 RECORDS MAINTAINED AT FMV (5) FOUNDATION FOR ANESTHESIA EDUCATION AND RESEARCH Q 728.631 RECORDS MAINTAINED AT FMV FOUNDATION FOR ANESTHESIA EDUCATION AND RESEARCH 26,574 RECORDS MAINTAINED AT FMV (6) Р (7) ANESTHESIA PATIENT SAFETY FOUNDATION В 425,000 RECORDS MAINTAINED AT FMV ANESTHESIA PATIENT SAFETY FOUNDATION RECORDS MAINTAINED AT FMV (8) 16,464 ANESTHESIA PATIENT SAFETY FOUNDATION S 39,854 RECORDS MAINTAINED AT FMV (9) ANESTHESIA PATIENT SAFETY FOUNDATION (10) Q 55,913 RECORDS MAINTAINED AT FMV ANESTHESIA QUALITY INSTITUTE RECORDS MAINTAINED AT FMV (11)В 495,522 (12)ANESTHESIA QUALITY INSTITUTE 1 42,738 RECORDS MAINTAINED AT FMV (13) ANESTHESIA QUALITY INSTITUTE М 168,682 RECORDS MAINTAINED AT FMV (14)ANESTHESIA QUALITY INSTITUTE 15,304 RECORDS MAINTAINED AT FMV ANESTHESIA OUALITY INSTITUTE Ν RECORDS MAINTAINED AT FMV (15)6.955