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Form **990-PF**Department of the Treasury
Internal Revenue Service

Change of Accounting Period
Return of Private Foundation
 or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Open to Public Inspection

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 Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2016 or tax year beginning

10/1/2016

, and ending

12/31/2016

Name of foundation

ERIC A BAADE AND MARY C BAADE CHARITABLE PURPOSES TRUST

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

Wells Fargo Bank N A Trust Tax Dept - 100 N Main St MAC D4001-117

City or town, state or province, country, and ZIP or foreign postal code

Winston Salem

NC

27101

Foreign country name

Foreign province/state/country

Foreign postal code

A Employer identification number

35-6713433

B Telephone number (see instructions)

(888) 730-4933

C If exemption application is pending, check here ☐G Check all that apply ☐ Initial return ☐ Initial return of a former public charity☐ Final return☐ Amended return☒ Address change☐ Name changeH Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at
end of year (from Part II, col (c),
line 16) ▶ \$

1,809,246

J Accounting method ☒ Cash ☐ Accrual☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test,
check here and attach computation ☐E If private foundation status was terminated under
section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of
amounts in columns (b), (c), and (d) may not necessarily
equal the amounts in column (a) (see instructions))

(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable
purposes
(cash basis only)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	11,727	11,407		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	32,142			
	b Gross sales price for all assets on line 6a 130,577				
	7 Capital gain net income (from Part IV, line 2)		32,142		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	43,869	43,549	0	
	13 Compensation of officers, directors, trustees, etc	6,672	5,004		1,668
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	297	297		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	6,969	5,301	0	1,668
	25 Contributions, gifts, grants paid	20,000			20,000
	26 Total expenses and disbursements. Add lines 24 and 25	26,969	5,301	0	21,668
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	16,900			
	b Net investment income (if negative, enter -0-)		38,248		
	c Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2016)

HTA

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	17,411	94,930	94,930
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)	1,445,419	1,384,609	1,714,316	
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,462,830	1,479,539	1,809,246	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,462,830	1,479,539	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see instructions)	1,462,830	1,479,539		
31 Total liabilities and net assets/fund balances (see instructions)	1,462,830	1,479,539		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,462,830
2 Enter amount from Part I, line 27a	2	16,900
3 Other increases not included in line 2 (itemize) ▶ <u>Cost Basis Adjustment</u>	3	722
4 Add lines 1, 2, and 3	4	1,480,452
5 Decreases not included in line 2 (itemize) ▶ <u>Mutual Fund Timing Difference</u>	5	913
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,479,539

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	32,142
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }			3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	161,631	1,988,298	0.081291
2014	109,389	1,988,298	0.055016
2013	95,901	2,040,232	0.047005
2012	82,961	1,906,359	0.043518
2011	97,764	1,820,437	0.053704
2 Total of line 1, column (d)			2 0.280534
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.056107
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 1,762,235
5 Multiply line 4 by line 3			5 98,874
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 382
7 Add lines 5 and 6			7 99,256
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 21,668

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	765	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0	
3	Add lines 1 and 2	3	765	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	765	
6	Credits/Payments			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	174	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	174	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	591	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	0	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ WELLS FARGO BANK N A	Telephone no ▶ 888-730-4933		
Located at ▶ 100 N Main St MAC D4001-117 Winston Salem NC		ZIP+4 ▶ 27101		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶	1b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
If "Yes," list the years ▶ 20____, 20____, 20____, 20____				
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d) N/A
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b	N/A		
6b			X
7b	N/A		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation** (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N A 100 N Main St MAC D4001-117 Winston Salem, NC 27	Trustee SEE ATTACHED	6,672		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000



0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	NONE	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	NONE	
2		
All other program-related investments See instructions		
3	NONE	

Total. Add lines 1 through 3



0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,718,473
b	Average of monthly cash balances	1b	70,598
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	1,789,071
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,789,071
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	26,836
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,762,235
6	Minimum investment return. Enter 5% of line 5 MIR Prorated - Short Year	6	22,209

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	22,209
2a	Tax on investment income for 2016 from Part VI, line 5	2a	765
b	Income tax for 2016 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	765
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	21,444
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	21,444
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	21,444

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	21,668
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	21,668
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	21,668

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				21,444
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			15,527	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2016 from Part XII, line 4 \blacktriangleright \$ 21,668				
a Applied to 2015, but not more than line 2a			15,527	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				6,141
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				15,303
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**N/A****1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling**b** Check box to indicate whether the foundation is a private operating foundation described in section☐ 4942(j)(3) or ☐ 4942(j)(5)**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2016	(b) 2015	(c) 2014	(d) 2013	
				0
b 85% of line 2a				0
c Qualifying distributions from Part XII, line 4 for each year listed				0
d Amounts included in line 2c not used directly for active conduct of exempt activities				0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				0
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter				
(1) Value of all assets				0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
c "Support" alternative test—enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
(3) Largest amount of support from an exempt organization				0
(4) Gross investment income				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:****a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

WELLS FARGO BANK ATTN JENNIFER KING 111 EAST WAYNE STREET FORT WAYNE, IN 46802 (206)461-6548

b The form in which applications should be submitted and information and materials they should include**LETTER FORMAT****c** Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ALLEN COUNTY CHARITABLE ORGANIZATION

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Attached Statement				
Total			▶ 3a	20,000
b Approved for future payment NONE				
Total			▶ 3b	0

Form **990-PF** (2016)

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

SOUL MEDIC MEDIA GROUP

Street

6429 OAKBROOK PKWY

City

FORT WAYNE

State

IN

Zip Code

46825

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

10,000

Name

YOUTH FOR CHRIST OF NORTHERN INDIANA

Street

6427 OAKBROOK PKWY

City

FORT WAYNE

State

IN

Zip Code

46825

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

10,000

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Supplemental Information:

Part VIII: Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors.

Wells Fargo Bank N.A
100 N Main Street Mac 4001-117
Winston-Salem, NC 27101-3818

The compensation reported in column (c) is calculated based on periodic market values and/or the applicable fee agreement. It is not determined solely on an hourly basis. Corporate Trustee services include, but are not limited to, administrative services such as fiduciary accounting, custody of assets, complying with tax filing requirements, complying with distribution provisions, and complying with federal and state laws applicable to private foundations, plus asset management services such as creating asset allocation strategies, investment reporting and reallocating and rebalancing of portfolios as necessary.

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions	Short Term CG Distributions	Description	CUSIP #	Amount	Check "X" to include in Part IV	Check "X" if Purchaser is a Business	Purchaser	Acquisition Method	Date Acquired	Date Sold	Capital Gains/Losses		Gross Sales	Cost or Other Basis, Expenses, Depreciation and Adjustments			Net Gain or Loss
											Gross Sales	Other Sales	130,577	98,435	0	32,142	0
1		CME GROUP INC	12572Q105	1,196	X				10/28/2014	10/6/2016	937		735				202
2		CME GROUP INC	12572Q105		X				8/29/2015	10/6/2016	925		964				61
3		CME GROUP INC	12572Q105		X				8/29/2015	10/6/2016	729		743				14
4		CME GROUP INC	12572Q105		X				8/16/2012	10/6/2016	208		107				101
5		CUMMINS INC	231021106		X				7/27/2014	11/2/2016	4,645		5,107				462
6		CUMMINS INC	231021106		X				8/29/2015	11/2/2016	2,200		2,212				12
7		EATON VANCE GLOBAL MAC	277923728		X				8/29/2015	10/6/2016	3,731		3,721				10
8		FID ADV EMER MKTS INC-CL	315920702		X				9/22/2016	10/6/2016	5,761		5,761				0
9		ISHARES S&P MID-CAP 400	484287306		X				8/12/2010	10/6/2016	3,819		1,772				2,047
10		ISHARES S&P MID-CAP 400	484287306		X				10/21/2014	10/6/2016	3,578		3,244				334
11		ISHARES S&P MID-CAP 400	484287304		X				8/12/2010	10/6/2016	5,712		2,525				3,187
12		JPMORGAN CHASE & CO	46625H100		X				8/28/2015	10/6/2016	1,425		1,348				77
13		JPMORGAN CHASE & CO	46625H100		X				8/23/2016	10/6/2016	1,018		993				25
14		JPMORGAN CHASE & CO	46625H100		X				10/28/2014	10/6/2016	475		413				62
15		JPMORGAN CHASE & CO	46625H100		X				3/17/2016	10/6/2016	271		236				35
16		JPMORGAN CHASE & CO	46625H100		X				8/23/2016	10/6/2016	1,827		1,596				231
17		JPMORGAN HIGH YIELD EUN	4812C0803		X				9/10/2014	11/2/2016	4,422		4,872				0
18		LAS VEGAS SANDS CORP	517834107		X				4/25/2014	10/6/2016	2,541		2,769				228
19		MERGER FUND INST #301	589509207		X				8/13/2010	10/6/2016	2,081		2,111				0
20		MONSANTO CO NEW	61166W101		X				4/24/2015	12/8/2016	943		1,066				123
21		MONSANTO CO NEW	61166W101		X				7/8/2015	12/8/2016	7,861		7,959				98
22		MONSANTO CO NEW	61166W101		X				8/23/2016	12/8/2016	1,677		1,732				55
23		MONSANTO CO NEW	61166W101		X				3/27/2015	12/8/2016	1,467		1,736				269
24		IT ROWE PRICE INST FLOAT	77958B402		X				9/22/2016	10/6/2016	2,094		2,134				40
25		SPDR DJ WILSHIRE INTERNA	78493X963		X				4/21/2009	10/6/2016	11,235		6,541				4,694
26		TARGET CORP	87812E106		X				8/23/2016	11/15/2016	500		498				2
27		TARGET CORP	87812E106		X				7/31/2015	11/15/2016	643		720				77
28		TARGET CORP	87812E106		X				8/23/2007	11/15/2016	1,428		1,249				179
29		VANGUARD FTSE DEVELOPE	921943958		X				2/8/2013	10/6/2016	5,836		5,670				166
30		VANGUARD FTSE EMERGING	922042858		X				8/9/2011	10/6/2016	2,659		2,881				222
31		VANGUARD FTSE VAPER	922908553		X				4/21/2009	10/6/2016	24,734		6,737				17,997
32		AQR MANAGED FUTURES ST	00203H859		X				8/29/2016	10/6/2016	2,912		2,994				82
33		APPLE INC	037833100		X				6/24/2015	10/6/2016	1,141		1,185				44
34		BERKSHIRE HATHAWAY INC	084670702		X				10/28/2014	10/6/2016	1,441		1,399				42
35		BERKSHIRE HATHAWAY INC	084670702		X				7/22/2015	10/6/2016	1,873		1,872				1
36		BERKSHIRE HATHAWAY INC	084670702		X				8/23/2016	10/6/2016	720		745				25
37		BERKSHIRE HATHAWAY INC	084670702		X				8/12/2010	10/6/2016	5,043		2,700				2,343
38		BLACKROCK GL US CREDIT	091336732		X				11/26/2015	10/6/2016	4,158		4,324				166
39		BOEING CO	097023105		X				8/23/2015	10/6/2016	1,076		1,087				11
40		BOEING CO	097023105		X				8/28/2015	10/6/2016	1,749		1,711				38
41		CME GROUP INC	12572Q105		X				8/30/2012	10/6/2016	2,166		1,146				1,040

Part I, Line 18 (990-PF) - Taxes

		297	297	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Foreign Tax Withheld	297	297		

Part II, Line 13 (990-PF) - Investments - Other

		1,435,140	1,384,609	1,714,316
Asset Description		Book Value Beg of Year	Book Value End of Year	FMV End of Year
Basis of Valuation				
1			0	
2	CREDIT SUISSE COMM RT ST-CO 2156		42,197	38,803
3	AFFILIATED MANAGERS GROUP INC		8,516	10,752
4	APPLE COMPUTER INC COM		10,122	26,754
5	BAYER A G SPONSORED ADR		5,440	5,735
6	BOEING COMPANY		8,382	14,478
7	CVS/CAREMARK CORPORATION		6,502	10,890
8	CISCO SYSTEMS INC		10,680	15,775
9	COGNIZANT TECH SOLUTIONS CRP COM		11,324	10,702
10	DIAGEO PLC - ADR		6,348	8,315
11	WALT DISNEY CO		1,865	10,005
12	GILEAD SCIENCES INC		3,345	5,729
13	MICROSOFT CORP		12,844	21,687
14	THERMO FISHER SCIENTIFIC INC		3,945	9,030
15	TOTAL FINA ELF S A ADR		9,642	10,296
16	UNION PACIFIC CORP		8,721	15,759
17	MCKESSON CORP		6,697	7,865
18	PNC FINANCIAL SERVICES GROUP		11,237	14,269
19	ROCHE HOLDINGS LTD - ADR		13,083	11,212
20	SCHLUMBERGER LTD		10,530	12,173
21	TJX COS INC NEW		2,291	6,611
22	MANULIFE FINANCIAL CORP		9,896	12,314
23	UNITED PARCEL SERVICE-CL B		5,216	8,139
24	TARGET CORP		5,261	6,862
25	UNITEDHEALTH GROUP INC		6,190	18,405
26	HAIN CELESTIAL GROUP INC		6,397	6,089
27	BLACKROCK INC		7,083	8,372
28	JPMORGAN CHASE & CO		5,852	14,928
29	SUNCOR ENERGY INC NEW F		11,933	14,482
30	MERCK & CO INC NEW		8,869	9,949
31	BERSHIRE HATHAWAY INC		3,362	7,334
32	ALPHABET INC/CA		7,026	13,121
33	COMCAST CORP CLASS A		5,999	15,467
34	LAS VEGAS SANDS CORP		7,924	7,798
35	CELANESE CORP		8,738	13,307
36	TE CONNECTIVITY LTD		6,467	6,859
37	CITIGROUP INC		12,757	15,392
38	AMERIPRISE FINL INC		11,494	11,205
39	EATON CORP PLC		5,277	7,179
40	CME GROUP INC		4,076	8,767
41	MERGER FUND-INST #301		31,239	31,166
42	FID ADV EMER MKTS INC-CL I 607		103,810	102,222
43	T ROWE PRICE REAL ESTATE FD 122		35,093	35,313
44	ISHARES TR SMALLCAP 600 INDEX FD		40,264	120,055
45	ISHARES S&P MIDCAP 400 VALUE		44,515	88,142
46	EATON VANCE GLOBAL MACRO - I		53,194	52,843

Part II, Line 13 (990-PF) - Investments - Other

		1,435,140	1,384,609	1,714,316
		Book Value	Book Value	FMV
		Beg. of Year	End of Year	End of Year
Asset Description	Basis of Valuation			
47 SHARES S&P MIDCAP 400 GROWTH		0	36,731	83,083
48 ASG GLOBAL ALTERNATIVES-Y 1993		0	37,613	33,822
49 VANGUARD REIT VIPER		0	7,081	25,337
50 AQR MANAGED FUTURES STR-I		0	52,876	48,220
51 VANGUARD EMERGING MARKETS ETF		0	59,254	67,445
52 VANGUARD INFLAT-PROT SECS-ADM 511		0	4,664	4,450
53 JPMORGAN HIGH YIELD FUND SS 3580		0	76,074	75,460
54 T ROWE PRICE INST FLOAT RATE 170		0	30,960	31,179
55 BLACKROCK GL US CREDIT-INS #1833		0	63,370	62,980
56 VANGUARD BD INDEX FD INC		0	81,208	80,165
57 SPDR DJ WILSHIRE INTERNATIONAL REA		0	15,153	23,236
58 VANGUARD INTERMEDIATE TERM B		0	110,273	105,014
59 VANGUARD EUROPE PACIFIC ETF		0	122,386	123,030
60 ROBECO BP LNG/SHRT RES-INS		0	12,482	14,500
61 NEUBERGER BERMAN LONG SH-INS #183		0	32,741	32,945

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions															Short Term CG Distributions														
Amount															1,196														
0															0														
Description of Property Sold															CUSIP #														
Acquisition Method															Date Acquired														
Date Sold															Gross Sales Price														
Depreciation Allowed															Adjustments														
Cost or Other Basis Plus Expense of Sale															Gain or Loss														
F M V as of 12/31/89															Adjusted Basis as of 12/31/89														
Excess of FMV Over Adjusted Basis															Gains Minus Excess FMV Over Adj Basis or Losses														
1	CME GROUP INC	125720105	10/28/2014	10/6/2016	937			0	735			202		202															
2	CME GROUP INC	125720105	8/28/2015	10/6/2016	625			0	564			61		61															
3	CME GROUP INC	125720105	8/23/2016	10/6/2016	728			0	743			-14		-14															
4	CME GROUP INC	125720105	8/19/2012	10/6/2016	208			0	107			101		101															
5	CUMMINS INC	231021106	2/7/2014	11/2/2016	4,845			0	5,107			-462		-462															
6	CUMMINS INC	231021106	8/28/2015	11/2/2016	2,200			0	2,212			-12		-12															
7	EATON VANCE GLOBAL MAC	277923728	8/25/2016	10/6/2016	3,731			0	3,721			10		10															
8	FID ADV EMER MKTS INC- CI	315920702	9/22/2016	10/6/2016	5,761			0	5,761			0		0															
9	ISHARES S&P MID-CAP 400 C	484287606	8/12/2010	10/6/2016	3,819			0	1,772			2,047		2,047															
10	ISHARES S&P MID-CAP 400 V	484287705	10/21/2014	10/6/2016	3,578			0	3,244			334		334															
11	ISHARES CORE S&P SMALL-C	464287804	8/12/2010	10/5/2016	5,712			0	2,525			3,187		3,187															
12	JPMORGAN CHASE & CO	46825H100	8/28/2015	10/5/2016	1,425			0	1,348			77		77															
13	JPMORGAN CHASE & CO	46825H100	8/23/2016	10/5/2016	1,018			0	993			25		25															
14	JPMORGAN CHASE & CO	46825H100	10/28/2014	10/5/2016	475			0	413			62		62															
15	JPMORGAN CHASE & CO	46825H100	3/1/2016	10/6/2016	271			0	236			35		35															
16	JPMORGAN CHASE & CO	46825H100	3/1/2016	10/6/2016	1,827			0	1,596			231		231															
17	JPMORGAN HIGH YIELD FUND	4812C0803	4/25/2014	10/6/2016	4,422		450	0	4,872			0		0															
18	LAS VEGAS SANDS CORP	517834107	9/10/2014	11/2/2016	2,541			0	2,769			-228		-228															
19	MERGER FUND-INST #301	589509207	8/13/2010	10/6/2016	2,081		30	0	2,111			0		0															
20	MONSANTO CO NEW	61166W101	4/24/2015	12/8/2016	943			0	1,066			-123		-123															
21	MONSANTO CO NEW	61166W101	7/8/2015	12/8/2016	7,861			0	7,958			-98		-98															
22	MONSANTO CO NEW	61166W101	8/23/2016	12/8/2016	1,677			0	1,732			-55		-55															
23	MONSANTO CO NEW	61166W101	3/27/2015	12/8/2016	1,467			0	1,736			-269		-269															
24	T ROWE PRICE INST FLOAT	779589402	9/22/2016	10/6/2016	2,094			0	2,134			-40		-40															
25	SPDR DJ WILSHIRE INTERNA	78463X863	4/21/2009	10/5/2016	11,235			0	6,541			4,694		4,694															
26	TARGET CORP	87612E106	8/23/2016	11/15/2016	500			0	498			2		2															
27	TARGET CORP	87612E106	7/31/2015	11/15/2016	643			0	720			-77		-77															
28	TARGET CORP	87612E106	8/23/2007	11/15/2016	1,428			0	1,249			179		179															
29	VANGUARD FTSE DEVELOPE	921943858	2/8/2013	10/5/2016	5,836			0	5,670			166		166															
30	VANGUARD FTSE EMERGIN	922042858	8/9/2011	10/6/2016	2,659			0	2,881			-222		-222															
31	VANGUARD REIT VIPER	922085553	4/21/2009	10/5/2016	24,734			0	6,737			17,997		17,997															
32	AOR MANAGED FUTURES ST	00203H859	8/25/2016	10/6/2016	2,912			0	2,994			-82		-82															
33	APPLE INC	037833100	6/24/2015	10/6/2016	1,141			0	1,185			-44		-44															
34	BERKSHIRE HATHAWAY INC	084670702	10/28/2014	10/5/2016	1,441			0	1,399			42		42															
35	BERKSHIRE HATHAWAY INC	084670702	7/22/2015	10/5/2016	1,873			0	1,872			1		1															
36	BERKSHIRE HATHAWAY INC	084670702	8/23/2016	10/5/2016	720			0	745			-25		-25															
37	BERKSHIRE HATHAWAY INC	084670702	8/12/2010	10/5/2016	5,043			0	2,700			2,343		2,343															
38	BLACKROCK GL US CREDIT	091936732	11/26/2015	10/6/2016	4,158			0	4,324			-166		-166															
39	BOEING CO	097023105	8/23/2016	10/6/2016	1,076			0	1,087			-11		-11															
40	BOEING CO	097023105	8/28/2015	10/6/2016	1,749			0	1,711			38		38															
41	CME GROUP INC	125720105	8/30/2012	10/6/2016	2,186			0	1,146			1,040		1,040															

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

Name		Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	Wells Fargo Bank N A Trust Tax D	X	100 N Main St MAC D4001-117	Winston Salem	NC	27101		TRUSTEE	SEE ATTAC	6,672		
										6,672	0	0

Part VI, Line 6a (990-PF) - Estimated Tax Payments

		Date	Amount
1	Credit from prior year return	1	174
2	First quarter estimated tax payment	2	
3	Second quarter estimated tax payment	3	
4	Third quarter estimated tax payment	4	
5	Fourth quarter estimated tax payment	5	
6	Other payments	6	
7	Total	7	174

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2015 that remained undistributed at the beginning of the 2016 tax year	1	15,527
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	15,527